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Published Version

Porson, A., Clark, P. A., Harman, I. N., Best, M. J. and Belcher, S. (2010) Implementation of a new urban energy budget scheme in the MetUM. Part I: Description and idealized simulations. Quarterly Journal of the Royal Meteorological Society, 136 (651). pp. 1514-1529. ISSN 1477-870X doi: <https://doi.org/10.1002/qj.668> Available at <http://centaur.reading.ac.uk/26983/>

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Published version at: <http://dx.doi.org/10.1002/qj.668>

To link to this article DOI: <http://dx.doi.org/10.1002/qj.668>

Publisher: Royal Meteorological Society

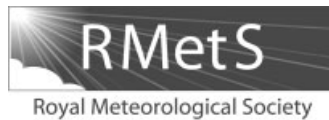
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Implementation of a new urban energy budget scheme in the MetUM. Part I: Description and idealized simulations

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† The contributions of P. A. Clark and M. J. Best were written in the course of their employment at the Met Office, UK, and are published with the permission of the Controller of HMSO and the Queen's Printer for Scotland.

This paper describes the formulation of a new urban scheme, MORUSES (Met Office–Reading Urban Surface Exchange Scheme) for use in the Met Office Unified Model. The implementation of the new scheme ensures that (1) the new scheme offers more flexibility in the parametrization of the building properties, and hence provides a more realistic representation of the fluxes; (2) the bulk outputs are in satisfactory agreement with previous observational studies; and (3) the impact of the new scheme on the energy balance fluxes is similar to the impact of the current urban scheme when set up to mimic it. As well as having a better physical basis, MORUSES also gains in flexibility in applications and adaptations to different urban materials as well as urban planning. The new scheme represents the urban area as a composition of two tiles, a canyon and a roof, using a simple 2D geometry. Sensitivity analysis to canyon geometry and thickness of the roof canopy emphasizes the gain in flexibility captured by the new scheme. Copyright © 2010 Royal Meteorological Society and Crown Copyright.

Key Words: urban parametrization; energy balance for canyon and roof; surface fluxes; NWP

Received 19 December 2008; Revised 15 February 2010; Accepted 8 May 2010; Published online in Wiley Online Library 27 August 2010

Citation: Porson A, Clark PA, Harman IN, Best MJ, Belcher SE. 2010. Implementation of a new urban energy budget scheme in the MetUM. Part I: Description and idealized simulations. *Q. J. R. Meteorol. Soc.* **136**: 1514–1529. DOI:10.1002/qj.668

1. Introduction

Large populations live in urban areas, and more than 60% of the world's population is projected to reside within cities in the coming decades. This motivates us to understand and predict urban impacts on local climates. In this paper, we propose a new urban surface scheme, MORUSES (Met Office–Reading Urban Surface Exchange Scheme), and implement it into the Met Office Unified Model (MetUM). To serve this purpose, the new urban surface scheme is aimed at improving our understanding of the impact of urban areas within the communities of weather forecasting and regional climate modelling.

Numerical weather prediction (NWP) or regional climate modelling applications place constraints on the formulation of an urban surface scheme. On the one hand, the increase in resolution in these models means that urban areas are now resolved (being larger than a few gridboxes), but, on the other hand, the resolution remains too coarse to resolve the flow around individual buildings. Therefore, the effects of the buildings need to be parametrized. The parametrization ideally needs to be based on measurable properties of the buildings because it is neither desirable nor feasible to tune a model separately for each city, and even where fine local tuning is feasible, a good starting point should ideally be based on physically meaningful inputs. One way to address

these constraints is to represent the city by generic building types. The most commonly used generic building type is a two-dimensional (2D) representation of a series of street canyons and roofs, first introduced by Nunez and Oke (1977) and now applied widely in the urban community (Masson, 2000; Kusaka *et al.*, 2001; Martilli *et al.*, 2002; Dupont and Mestayer, 2006; Harman and Belcher, 2006; Lee and Park, 2008). More information on these schemes can be found in the international urban projects of COST 715 (Fisher *et al.*, 2006), FUMAPEX or COST 728 (Baklanov *et al.*, 2006, 2008) and the intercomparison model exercise led by Grimmond *et al.* (2010). The scheme developed here will follow a 2D approach.

Additionally, NWP also requires a faithful representation of the energy fluxes from the surface, which provide the driving forcing to the atmosphere. The building canopy of urban areas has a finite depth so that the fluxes are delivered to the atmosphere over a finite depth, which is what multi-level urban models do. However, it is the overall flux that drives the large-scale atmospheric motions and so the urban canopy here can be represented as a single-layer model. Models that represent the urban canopy with multiple levels might be necessary for air pollution and dispersion applications (Hamdi and Masson, 2008; Baklanov *et al.*, 2006), but this is not the main focus here. At the UK Met Office, the Nuclear Accident Modelling Exercise (NAME) model (Jones *et al.*, 2006) post-processes model outputs from the MetUM for such applications, and future work may focus on improving the coupling between the MetUM and the NAME models over urban areas.

There remains the question of the number of separate active thermodynamic surfaces that are needed to represent the idealized geometry. Porson *et al.* (2009) showed that a two-facet (roof and canyon) representation is as accurate as a four-facet (roof, two walls and street) model using idealized observations as well as observations of surface fluxes. Following these arguments, the new scheme, MORUSES, will adopt a two-facet formulation.

A last aspect in developing parametrizations for use in weather forecasting or regional climate modelling is their computational cost. Practical models require parameterizations to be as simple as possible to minimize the costs of maintaining and testing the code and the number of external parameters that must be supplied to run (Best, 2006).

To summarize, the urban surface scheme proposed here consists of two separate surfaces, one street and one roof. The physics of the parametrization relies on the simplification of a four-facet single-layer model (Harman *et al.*, 2004a, b; Harman and Belcher, 2006). In particular, radiative exchange within the canyon is formulated with an effective albedo and an effective emissivity (Harman *et al.*, 2004a). Heat transfer relies on the formulation of effective roughness lengths for momentum and for heat (Harman *et al.*, 2004b). Storage of heat within the urban canopy (buildings) and the heat transfer to the deep soil are parametrized in order to represent the high thermal capacity of the urban surface. All these processes are dependent on town morphology.

Specific questions are:

1. Does the new scheme produce values of the bulk parameters consistent with previous measurements and models? The Best urban surface scheme currently

implemented in the UM uses bulk parameter values that are not measurable directly. However MORUSES takes parameter values that are measurable from the urban fabric and geometry. This question is addressed here for three variables: the albedo, the roughness length for heat and the heat capacity or thermal inertia. Albedo values for cities are observed to be less than crops or grass (Jin *et al.*, 2005), due to multi-reflection within the canyon (Aida and Gotoh, 1982). In the current UM scheme, Best (2005) adopts a value of 0.18 to represent the whole urban canopy. However, urban facet albedo values can sometimes vary from 0.08 up to 0.5 (Masson *et al.* 2002), so how do these facet values aggregate? Additionally, since the albedo itself depends on geometry, its value is best calculated with a geometry-dependent formulation. Secondly, Best *et al.* (2006) have shown that the roughness length for heat should decrease by six orders of magnitude, from 10^{-1} times the roughness length for momentum (which is of $O(1\text{ m})$), in order to obtain a good agreement between the model and observations of surface fluxes. Despite a few attempts to approximate this parameter (Lemonsu *et al.*, 2004; Kanda *et al.*, 2005), a comprehensive physical model is lacking. Thirdly, in Best (2005), the thermal inertia of the urban surface is represented by a relatively high value of the heat capacity. Here, we propose a formulation for thermal inertia that involves volumetric heat capacity and thickness values from urban material properties (brick, tiles and asphalt).

2. How consistent is the new scheme with the current scheme? The current scheme has been extensively tuned to give reasonable results in a (small) number of situations. The new scheme is introduced in order to represent more physical processes as well as to provide more flexibility in the parametrization of the building properties, but it is worthwhile checking that the new scheme's energy balance matches, to a certain extent, qualitatively and quantitatively, the energy balance of the current scheme when it is set up for conditions similar to those for which the current scheme was tuned. The two schemes cannot be rigorously compared because of the dependence on geometry (which is included in the new scheme, but not included in the current scheme). The sensitivity to geometry will be partly investigated in addressing question 1 about the bulk values of the parameters and their dependence on geometry, but the direct impact on the energy balance fluxes needs to be researched further, which brings the following questions.
3. The new scheme consists of a series of parametrizations of urban processes. What is the sensitivity of the energy balance to these urban processes? To evaluate the impact of the new scheme on the energy balance of the MetUM, it is important to evaluate the sensitivity of the energy balance to each urban process implemented with the new scheme. To achieve this goal, an ideal method would be to perturb the energy balance of a simple flat surface by adding each urban process separately, and evaluate the deviations in the surface fluxes.
4. Considering the fact that the new scheme depends on urban geometry and the current scheme does not, how do the two schemes compare and what do we

learn about the current scheme's performance versus the sensitivity of the new scheme to city geometry?

5. What is the sensitivity of the new scheme to roof properties? Following Harman and Belcher (2006), the accuracy of roof properties is crucial to control the coupling between the urban surface and the atmosphere. Porson *et al.* (2009) have shown that urban canopy models are best represented with two surfaces and that one-surface urban canopy models could fail to capture properly the mixing of atmospheric fluxes from the canyon and the roof surfaces. In the first paper of this research, our goal is to estimate the sensitivity of the surface fluxes to a change in roof properties due, for example, to insulation.

These questions will be addressed in Part I of this work in three main sections: (2) model description and development, (3) idealized simulations with the MetUM, and (4) conclusions. In Part II (Porson *et al.*, 2010), the new scheme MORUSES will be further evaluated against observations and model outputs from another urban surface scheme for which the urban canopy is resolved using a temperature diffusion equation over multiple substrate layers.

2. Model formulation

2.1. Description of the MetUM surface scheme and the Best scheme

The MetUM surface exchange scheme has nine surfaces: five vegetation and four non-vegetated surfaces including the urban surface. Essery *et al.* (2003) introduced subgrid-scale heterogeneity within the MetUM using a tile scheme: a particular grid box is then described by the percentage land use of each of these nine tiles. The surface energy balance is then calculated separately for each surface tile and the results combined to give the grid box average using the blending height concept. As a consequence, each tile produces its own surface fluxes, its own vertical gradients of prognostic atmospheric variables (wind, temperature and humidity) between the surface and an atmospheric level called 'the blending height'. Above the blending height, these vertical gradients merge and the grid box average flux provides the lower boundary condition to the first atmospheric model level and the boundary-layer scheme (Lock *et al.*, 2000). The blending height level should be derived iteratively, but, in practice in the MetUM, it coincides with the first atmospheric level even in models with relatively high vertical resolution. The blending height is a height scale, and not a rigorously defined height. However, the concept of blending height perhaps becomes stretched over urban areas where the length-scale of heterogeneity becomes less than 100–200 m (Mason, 1988; Clark *et al.*, 2008) and further work may be needed to improve this formulation. As a consequence of this blending, the tiles are not completely independent of each other, since all of them are bounded by the same atmospheric conditions. Note that the soil is not tiled, so a total ground heat flux has to be calculated by aggregation of all tiles. More information on tile schemes and their coupling to the boundary layer can be found in Best *et al.* (2004).

Depending on the land cover type it represents, each tile follows a surface energy balance equation as:

$$C \frac{dT_*}{dt} = R_N - Q_H - Q_E - G, \quad (1)$$

with T_* the surface (or canopy) temperature, C the areal heat capacity ($\text{J K}^{-1} \text{m}^{-2}$), R_N the net radiation (W m^{-2}), Q_H the sensible heat flux (W m^{-2}), Q_E the latent heat flux (W m^{-2}) and G the ground heat flux (W m^{-2}) (Cox *et al.*, 1999; Essery *et al.*, 2001; Essery *et al.*, 2003). Note that the $C dT_*/dt$ term remains if the surface has a certain thickness, and then forms a canopy. Note that there is no anthropogenic heat flux in Eq. (1); work is currently being undertaken to evaluate anthropogenic heat sources over urban areas in the UK. The net radiation flux R_N is taken as the sum of the net solar radiation R_S and net long-wave radiation R_L . The sensible heat flux Q_H and latent heat flux Q_E are formulated as:

$$Q_H = \rho c_p f_H C_H U(1) \Delta T, \quad (2)$$

$$Q_E = \psi \rho c_p f_H C_H U(1) \Delta q, \quad (3)$$

with ψ a factor depending on aerodynamical resistance, soil and vegetation resistance to evaporation (Essery *et al.*, 2003), ΔT and Δq respectively the temperature and humidity differences between the surface and the first atmospheric level, C_H the heat transfer coefficient (defined later in section 2.4), f_H a stability function (Essery *et al.*, 2001; McCabe and Brown, 2007) and $U(1)$ the wind speed at the first atmospheric level. The ground heat flux G is usually expressed as a linear combination of radiative exchange and heat conduction, depending on a radiative fraction f_{rad} :

$$G = f_{\text{rad}} (\sigma T_*^4 - \sigma T_{\text{soil}}^4) + (1 - f_{\text{rad}}) \frac{2\lambda}{\Delta z} (T_* - T_{\text{soil}}), \quad (4)$$

with f_{rad} dependent on the land-cover type, T_{soil} the surface soil layer temperature, λ the thermal conductivity and Δz the thickness of the top soil layer. The aggregation of the ground heat flux G from all tiles, as a function of fractional cover type, represents the top boundary condition to a soil temperature diffusion equation:

$$G_{\text{soil}} = \lambda_{\text{soil}} \frac{\partial T_{\text{soil}}}{\partial z}, \quad (5)$$

with λ_{soil} the soil thermal conductivity. The soil temperature is also controlled by advective moisture fluxes (Essery *et al.*, 2001). Although each tile has its own surface energy balance, one tile can influence another through its individual impact on the soil or on the atmosphere.

2.2. The current scheme (Best scheme) and the new urban scheme (MORUSES)

A description of the two urban schemes follows here and a schematic of how the two schemes are coupled to the atmosphere and underlying soil is illustrated in Figure 1. In the current urban scheme, Best (2005) implemented a weak radiative coupling between the urban canopy and the underlying soil by assuming a radiative fraction f_{rad} equal to 1, so:

$$G = (\sigma T_{\text{urban}}^4 - \sigma T_{\text{soil}}^4), \quad (6)$$

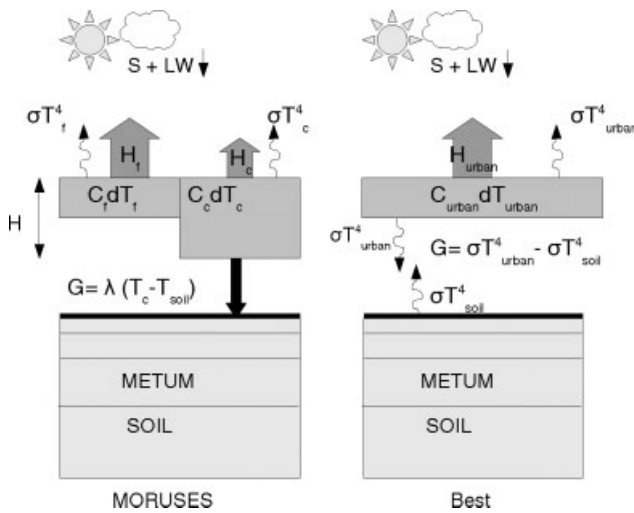


Figure 1. Schematics of MORUSES and the Best scheme.

with $T_{urban} = T_*$, the urban canopy temperature. The link between the areal heat capacity and the depth scale of the canopy is fully detailed in section 2.5. For typical volumetric material properties, the urban canopy is made effectively thick compared with the depth scale by using a high magnitude of the areal heat capacity (which Best chooses to be $0.28 \text{ J K}^{-1} \text{ m}^{-2}$). The combination of the weak coupling to the underlying soil and the ‘thick-canopy’ areal heat capacity allows the urban surface to store a large fraction of the energy provided by the net radiation within the urban substrate. As a result, the urban canopy is characterized by a large thermal inertia. Additionally, in this scheme, the capacity of the urban canopy to hold water is minimal (0.5 kg m^{-2}) and drainage of water is favoured over surface infiltration. This limits the evaporation to periods directly after precipitation and so the urban canopy is therefore often equivalent to a dry, one-layer block with a high heat capacity. Because urban cover always contains yet finer scales of vegetation (i.e. gardens, irrigation), the MetUM, using Centre for Ecology and Hydrology (CEH) data for land cover, assumes 100% cover fraction for continuous urban development, but 50% for suburban areas with 40% of C3 grass and 10% of bare soil. Overall, due to the large high thermal inertia, the Best scheme has led to significant improvements in the bias and r.m.s. errors of urban temperatures from the Met Office’s operational 12 km resolution forecast (Best, 2005).

The new urban scheme introduced here follows a simplified 2D repeating geometry following Oke (1987) and many others such as Masson (2000) and Martilli *et al.* (2002). A schematic of this simplified 2D geometry is illustrated in Figure 2. Because most European cities show random street orientation, no specific canyon orientation is chosen and the new scheme is implemented with averaging over canyon orientations. If W represents the road width, R the combined length for the road and roof, and H the building height, it is possible to express the planar and frontal indices λ_{plan} and λ_{front} as a function of the ratios H/W and W/R as:

$$\lambda_{plan} = 1 - \frac{W}{R}, \tag{7}$$

$$\lambda_{front} = \frac{2}{\pi} \frac{H}{W} \frac{W}{R}, \tag{8}$$

where the $2/\pi$ comes from orientational averaging. This geometry will be represented by two facets, a canyon and a

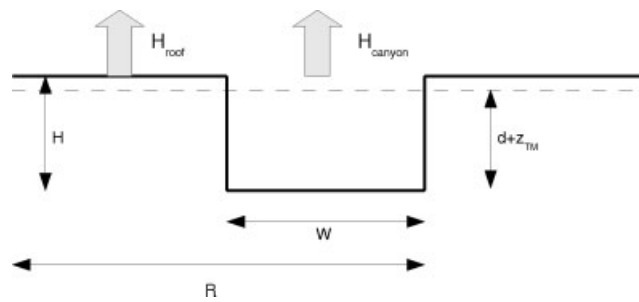


Figure 2. Schematics of the simplified 2D geometry of the urban canyon. The height $d + z_{TM}$ represents the origin of the vertical coordinate system in the model.

roof. Compared to a 1-facet approach, a 2-facet approach is justified by the large differences in the surface energy balance fluxes between the canyon and the roof (Harman and Belcher, 2006), particularly for insulated roof material (Porson *et al.*, 2009). Because of these differences in the energy balance, the canyon and the roof have to be modelled as separate tiles within the MetUM. Following the subgrid-scale tile scheme from Essery *et al.* (2003), the canyon and the roof tiles have separate surface energy balance equations depending on their own parameters and characteristics. In the following subsections, we describe the characteristics of the canyon and roof tiles with respect to the energy balance terms. In total, four parametrized processes characterize the new urban scheme:

1. The exchange of short-wave radiation within the canyon (calculation of the net solar radiation for the canyon tile R_S);
2. The exchange of long-wave radiation within the canyon (calculation of the net long-wave radiation for the canyon tile R_L);
3. The transfer of sensible heat flux through a resistance network for the calculation of the sensible heat flux Q_H ;
4. The thermal inertia and coupling with the underlying soil for the residual terms of storage $C dT/dt$ and ground heat flux G .

As the roof tile behaves as a flat surface for short-wave and long-wave flux densities, the following two subsections will focus only on describing the solar and long-wave budgets for the canyon tile. In the description of the facet parameters, we use systematically the subscripts ‘r’ for road, ‘w’ for wall, ‘f’ for roof and ‘c’ for canyon.

2.3. Exchange of radiation within the street canyon

The method used here relies on Sparrow and Cess (1970) and Harman *et al.* (2004a,b). The canyon is conceived as an enclosed 2D box, which includes four facets (one road, two walls and the sky). As mentioned earlier, to avoid the use of a specific canyon orientation, we average over canyon orientations. With respect to the solar radiation, averaging over canyon orientations implies that the two walls will receive exactly the same amount of radiance. The two walls are then lumped together, which results in a closed box with three facets: the road, the two walls (lumped together) and the sky. The vectors described in the following mathematical development will have three components, each of these refer to the three facets as: 1 for road, 2 for walls, 3 for sky.

Table I. Symbols for radiation parametrization of the canyon tile.

Symbol	Definition
α_r	Road albedo
α_w	Wall albedo
ε_r	Road emissivity
ε_w	Wall emissivity
\mathbf{B}	Outgoing exchange-diffuse radiation
Λ	Incoming exchange-diffuse radiation
\mathbf{Q}_S	Net solar exchange-diffuse radiation
\mathbf{R}_S	Net solar radiation
\mathbf{R}_L	Net long-wave radiation

The exchange of radiation within the canyon is diffuse and so as not to confuse with the use of the word ‘diffuse’ that represents the diffuse/scattered radiation component of the sky, we will name it ‘exchange-diffuse’. A list of the symbols used to represent the radiative variables is included in Table I.

To evaluate the bulk albedo and the bulk emissivity, in the following development we aim at parametrizing the net solar radiation and net long-wave radiation, respectively.

2.3.1. Bulk albedo

To obtain the net solar radiation for the canyon tile, we need to calculate the direct component of incoming solar radiation on each facet and the exchange-diffuse component between each facet. Consider first the derivation of the direct component. For an incident solar radiation density at the top of the canopy S_{incident} (W m^{-2}), we define the matrix \mathbf{S}^\downarrow as the direct incoming solar radiation density reaching the three facets and the matrix \mathbf{S}^\uparrow the emitted (or reflected) solar radiation density from the three facets as:

$$\mathbf{S}^\downarrow = \begin{bmatrix} S_r^\downarrow \\ S_w^\downarrow \\ S_{\text{sky}}^\downarrow \end{bmatrix} = \begin{bmatrix} (1 - \chi_f)\chi_r \\ (1 - \chi_f)\chi_w \\ 1 \end{bmatrix} S_{\text{incident}}, \quad (9)$$

$$\mathbf{S}^\uparrow = \begin{bmatrix} S_r^\uparrow \\ S_w^\uparrow \\ S_{\text{sky}}^\uparrow \end{bmatrix} = \begin{bmatrix} \alpha_r(1 - \chi_f)\chi_r \\ \alpha_w(1 - \chi_f)\chi_w \\ \chi_f \end{bmatrix} S_{\text{incident}}, \quad (10)$$

where χ_f represents the fraction of S_{incident} scattered by the sky (estimated to 0.3), χ_r and χ_w represent the shadowing factors defined in Masson (2000) (in his Eqs (13) and (14)), so that $(1 - \chi_f)\chi_r$ and $(1 - \chi_f)\chi_w$ represent the fractions of S_{incident} reaching the road and the two walls respectively after sky diffusion and shadowing effects. The road albedo is α_r and the wall albedo is α_w , so that $\alpha_r(1 - \chi_f)\chi_r$ and $\alpha_w(1 - \chi_f)\chi_w$ represent the fractions of S_{incident} emitted from the road and the walls respectively. We now have the vector \mathbf{S}^\downarrow that represents the direct incoming solar radiation density, and the vector \mathbf{S}^\uparrow that represents the reflected part of the direct incoming solar radiation density. These reflected densities will now be used to evaluate the multi-reflections of solar radiation within the canyon, through a process of exchange of radiation between each pair of canyon facets. We name this process ‘the exchange-diffuse radiation’.

Let us now consider how to compute the exchange-diffuse radiation. We define the net exchange-diffuse radiation density as $\mathbf{Q}_S \times S_{\text{incident}}$, the incoming exchange-diffuse radiation density as $\Lambda \times S_{\text{incident}}$ and the outgoing exchange-diffuse radiation density as $\mathbf{B} \times S_{\text{incident}}$, with

$$\mathbf{Q}_S = \Lambda - \mathbf{B}. \quad (11)$$

Note that \mathbf{Q}_S , Λ and \mathbf{B} represent fractions of solar radiation density, and are therefore non-dimensional. Harman *et al.* (2004a,b) revisited the exchange-diffuse radiation method developed by Sparrow and Cess (1970). This method relates the incoming radiation density $\Lambda \times S_{\text{incident}}$ onto a facet i to the outgoing radiation density $\mathbf{B} \times S_{\text{incident}}$ from a facing facet j , through a matrix of exchange coefficients \mathbf{F}_{ij} . By using the geometry of a 2D canyon box, Harman *et al.* (2004a,b) calculated the matrix of exchange coefficients \mathbf{F}_{ij} for the cases of road, walls, and sky. Following their work, we formulate \mathbf{B} , Λ and \mathbf{Q}_S as:

$$\Lambda_i = \sum_j F_{ij} B_j, \quad i, j = 1, 2, 3, \quad (12)$$

$$B_i = \sum_j \Psi_{ij} (S_j^{\uparrow} / S_{\text{incident}}), \quad (13)$$

$$\text{with } (\Psi_{ij})^{-1} = \delta_{ij} - \alpha_i F_{ij}, \quad i, j = 1, 2, 3,$$

$$\mathbf{Q}_{S,i} = \Lambda_i - B_i, \quad i, j = 1, 2, 3. \quad (14)$$

The net fraction $f_{S\downarrow}$ of direct incoming solar radiation S_{incident} going into the canyon is the sum of the direct and the exchange-diffuse fractions, weighted by the canyon geometry:

$$f_{S\downarrow} = [(1 - \chi_f)\chi_r + \mathbf{Q}_{S,r}] + \frac{2H}{W} [(1 - \chi_f)\chi_w + \mathbf{Q}_{S,w}]. \quad (15)$$

The bulk albedo is $1 - f_{S\downarrow}$ and the bulk net solar radiation for the canyon tile is $R_{S,c} = (1 - f_{S\downarrow}) S_{\text{incident}}$.

Figure 3 illustrates the dependence of the bulk albedo on zenith angle and on canyon geometry. Wall albedo α_w is set to 0.5 and road albedo α_r to 0.08. Such values were used by Masson *et al.* (2002) to represent the asphalt road surface in Vancouver. The run was made for day 210 (end of July) and at 50°N (these conditions match the set-up of the following idealized simulations). For low values of H/W , there is a clear minimum of effective albedo with low zenith angles (maximum value of S^\downarrow). For high values of H/W , the variation with zenith angle is negligible. For H/W varying from 0.1 to 1, the minimum value of albedo increases. However, for H/W larger than 1, the minimum value decreases again, suggesting a significant contribution from the exchange-diffuse component.

Referring to Harman *et al.* (2004a,b), the derivation of the bulk albedo described here is the exact solution (no approximation in the number of multi-reflections is used), and, in this respect, is the closest representation of multi-reflection calculation to the Monte-Carlo methods (Aida and Gotoh, 1982; Kondo *et al.*, 2001). Kondo *et al.* (2001) developed a 3D Monte-Carlo approach and showed that the bulk albedo decreases for increasing building heights, which is similar to the results in Figure 3 for H/W larger than 1. For H/W smaller than 1, the bulk albedo value is largely dominated by the very small road albedo (0.08), in contrast

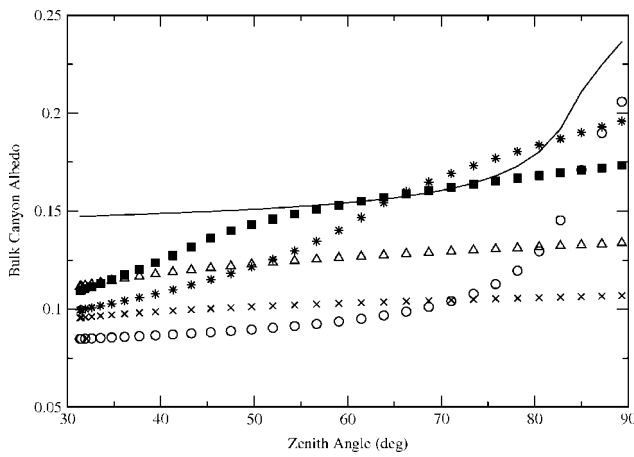


Figure 3. Model outputs of bulk albedo dependence as a function of zenith angle and height-to-width aspect ratio (H/W), with $\alpha_r = 0.08$. Input parameters are defined in Table IV. The symbols denote H/W values 0.1 (circles), 0.5 (stars), 1.0 (squares), 2.0 (triangles), and 3.0 (crosses). The solid line shows $H/W=0.1$ and $\alpha_r=0.15$.

to the large wall albedo (0.50). To confirm this, the solid line in Figure 3 represents the bulk canyon albedo computed for H/W of 0.1 and using a road albedo value of 0.15 instead of 0.08. These results underline the difficulty in comparing such methods for heterogeneous surfaces. Aida and Gotoh (1982) used a 2D Monte-Carlo approach and obtained minimum bulk albedo values for intermediate zenith angles. Figure 3 shows increasing values of the bulk albedo for increasing zenith angles at small H/W , while for high H/W , the variation with zenith angle gets smoother. Alternately, Kondo *et al.* (2001) obtained very little variation when plotting albedo values against a time period between 1200 and 1900 local time, indicating that the albedo dependence on zenith angle is negligible in their study. The degree to which these models can be compared depends on the assumptions made in their inputs. For example, Aida and Gotoh (1982) assume that each photon has a 50% probability to be reflected isotropically or specularly. They show that the magnitude and variation of the bulk albedo are both sensitive to this probability value, and also to the choice of the reflectivity function.

Jin *et al.* (2005) used Earth Observation System observations from the Moderate Resolution Imaging Spectrometer to compute urban albedo values as a weighted average of spectral albedos. They found that the urban albedo values were largely controlled by the near-infrared (NIR) spectral band. They found an average albedo value between the visible and NIR bands of 0.15. However, they note that this value depends not only on the wavelength but also on the fractions and types of urban surface represented, since urban materials such as asphalt have low albedos and emissivities. As mentioned previously, the very small road albedo values dominate the bulk albedo values for low H/W ratios. Best (2005) uses a bulk albedo value for the urban tile of 0.18. Since the bulk albedo value modelled by the new scheme depends on canyon geometry and on the parameters of the canyon facets, the new scheme offers more flexibility than the current scheme. For the inputs in albedo parameter values we used to produce Figure 3 (road albedo of 0.08), a bulk albedo of roughly 0.2 can only be achieved for low H/W and high zenith angles. If another set of parameter values was chosen (for example a road albedo of 0.15 as represented by the solid line), a bulk albedo value

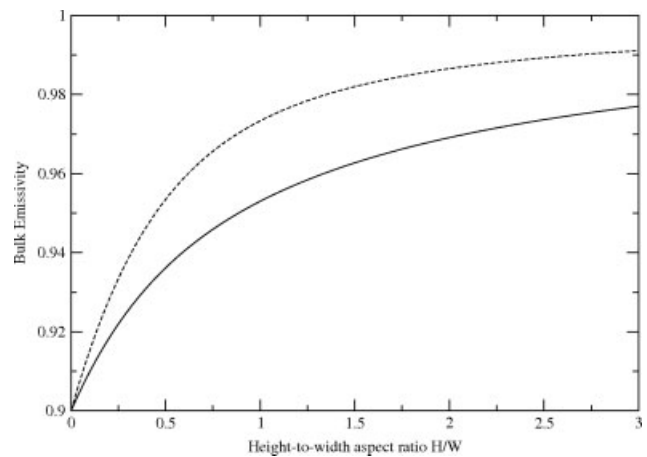


Figure 4. Model outputs of bulk emissivity as a function of H/W for two sets of material emissivity: with $\epsilon_w=0.85$ and $\epsilon_r=0.90$ (solid line), and with $\epsilon_w=0.95$ and $\epsilon_r=0.90$ (dotted line).

of roughly 0.2 could also be reached for higher H/W ratios. In the following idealized simulations, for similarity to the observational study of Masson *et al.* (2002), the road albedo will be fixed to 0.08, so that the bulk albedo of the new scheme will be lower than the value of 0.18 of the current scheme, unless at small H/W .

2.3.2. Bulk emissivity

We define the emitted long-wave radiation density $L^{\downarrow\uparrow}$ by each facet as:

$$L^{\downarrow\uparrow} = \begin{bmatrix} L_r^{\downarrow\uparrow} \\ L_w^{\downarrow\uparrow} \\ L_{sky}^{\downarrow\uparrow} \end{bmatrix} = \begin{bmatrix} \epsilon_r \sigma T_c^4 \\ \epsilon_w \sigma T_c^4 \\ LW^{\downarrow} \end{bmatrix}, \quad (16)$$

with LW^{\downarrow} the downward long-wave radiation density, ϵ_r the road emissivity and ϵ_w the wall emissivity. Note that for the sky facet, we make the assumption that the sky emissivity ϵ_{sky} is equal to 1.

Following a similar procedure to the one leading to Eqs (12) and (13), we can derive the net long-wave radiation for the canyon tile $R_{L,c}$ as:

$$R_{L,c} = \epsilon LW^{\downarrow} - \epsilon \sigma T_c^4, \quad (17)$$

with ϵ the canyon bulk emissivity. The details of this derivation are included in the appendix.

As shown in Figure 4 for two sets of material emissivity, the bulk emissivity values imply higher absorption and emission from the canyon tile ($H/W > 0$), than a flat surface. Note that Figure 4 is similar to Figure 4 in Harman *et al.* (2004a). While this parametrization aims at representing the impact of long-wave exchange within the canyon box, urban emissivities are usually measured to be lower than other land-cover surfaces (Jin *et al.*, 2005). As for the albedo, the use of material with dark and impervious surfaces in urban areas lowers the material emissivity.

2.4. Transfer of heat

Heat transfer in the MetUM is parametrized in terms of heat transfer coefficients. To compute the heat transfer coefficients, the roughness length for momentum z_{TM} and

the roughness length for heat z_{TH} need to be parametrized or estimated. In the Best scheme z_{TM} is set to 1 m, and the ratio between z_{TM} and z_{TH} is set to 10^{-1} (as usually estimated for vegetation surfaces). Best *et al.* (2006) re-evaluated this ratio to 10^{-7} , in agreement with Voogt and Grimmond (2000) and Kanda *et al.* (2005). The latter value is used with the Best scheme. Here, in MORUSES, we compute the value of z_{TH} . This drop in the magnitude of z_{TH} is explained by the difference in the physical meaning of u_* and the sensible heat flux Q_{H} within the numerical model. On the one hand, urban areas exert a drag on the boundary layer through both a pressure drag force and through skin friction. Compared to a flat surface, for a given wind speed, this acts to increase the drag on the boundary layer. In these conditions, u_* and z_{TM} both increase. On the other hand, heat and other scalars are exchanged solely through molecular diffusion at the surface. Barlow *et al.* (2004) and Harman *et al.* (2004a,b) show that, for the same surface to boundary layer scalar concentration difference, the total exchange for an urban-like surface is approximately the same as for a flat surface. In surface-layer theory, the sensible heat flux Q_{H} is equal to $\rho C_p u_* \theta_*$, where θ_* is the scale of fluctuations for the potential temperature θ . Hence, if u_* increases, for the same Q_{H} , care should be taken to reduce accordingly the θ_* in urban areas, compared to a flat surface. This implies a reduction in z_{TH} over urban areas. Physically the implied Peclet number is small for the following reason. The length-scale that determines z_{TM} is the building height (and spacing, etc.) through morphometric methods, as explained below. The roughness length for heat is determined by small-scale processes associated with the boundary layers on the building walls. Hence the very small ratio. The implied Peclet number is smaller than engineers usually encounter because the ratio of the building height to the wall boundary layer is much larger than encountered in conventional engineering flows.

In the new urban surface scheme, we use the formulation of Macdonald *et al.* (1998), for staggered arrays ($\beta = 1$), to compute the roughness length for momentum z_{TM} and displacement height d . When comparing seven morphometric methods to derive the roughness length and displacement height, Grimmond and Oke (1999) showed that the formulation of Macdonald was in the middle of the rankings, with the advantage that it is applicable across the full range of urban densities. For the roughness length for heat, we propose a physically based parametrization that represents z_{TH} as a function of the height-to-width aspect ratio (H/W). The parametrization is similar to the one developed in Harman *et al.* (2004b), but rewritten so that the resistances are made dimensionless to avoid the use of a specific wind direction relative to canyon orientation. The latter study was validated against wind tunnel experiments, using a naphthalene sublimation technique, from Barlow and Belcher (2002) and Barlow *et al.* (2004). This work is summarised in the appendix.

The new component of this parametrization, compared to Harman *et al.* (2004b), is the derivation of the bulk roughness length for heat z_{TH} and implementation into the heat transfer coefficient C_{H} , which drives the surface energy balance of the UM. The roughness length for heat z_{TH} is directly computed from the bulk resistances for the canyon

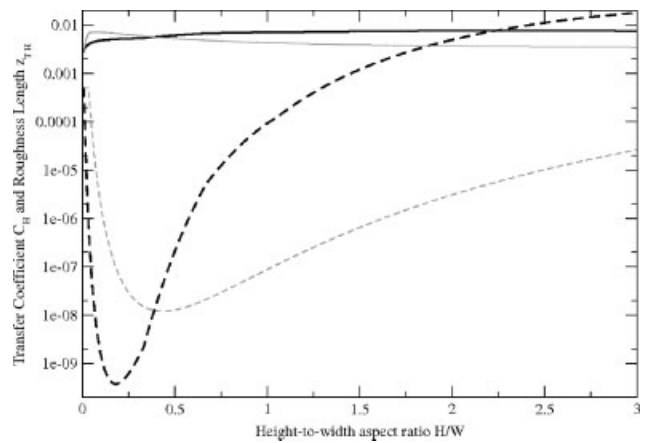


Figure 5. Model outputs of heat transfer coefficient C_{H} (solid lines) and of roughness length for heat z_{TH} (m) (dashed lines), as a function of height-to-width aspect ratio (H/W), for canyon tile (black lines) and roof tiles (grey lines). The building height H is 10 m, the ratio of thermal to momentum material roughness lengths is 10^{-1} and material roughness length for momentum is 5×10^{-3} . The effective roughness length to momentum is calculated using the formulation of Macdonald *et al.* (1998).

$r_{\text{bulk,c}}$ and the roof $r_{\text{bulk,f}}$ using:

$$\frac{z_{\text{TH}}}{H} = \{z(1) + z_{\text{TM}}\} \left[H \exp \left\{ \frac{r_{\text{bulk}} k^2}{\ln \left(\frac{z(1) + z_{\text{TM}}}{z_{\text{TM}}} \right)} \right\} \right]^{-1}, \quad (18)$$

with $z(1)$ the first level of the atmospheric model of the MetUM. The heat transfer coefficient C_{H} is defined as:

$$\frac{1}{C_{\text{H}}} = \frac{1}{k^2} \ln \left(\frac{z(1) + z_{\text{TM}}}{z_{\text{TM}}} \right) \ln \left(\frac{z(1) + z_{\text{TM}}}{z_{\text{TH}}} \right). \quad (19)$$

Figure 5 plots z_{TH} and C_{H} separately for the canyon and the roof tiles. The maximum value of z_{TH} , at small H/W , is limited to $z_{\text{OH}} = z_{\text{OM}}/10$ with $z_{\text{OM}} = 5 \times 10^{-3}$ m, with z_{OH} and z_{OM} the material roughness lengths for heat and momentum respectively. This limit was chosen because, at small H/W , the bulk roughness length z_{TM} is limited to a minimum of z_{OM} . The magnitudes of z_{TH} reproduced here are of the same order as the ones encountered in the previous studies of Voogt and Grimmond (2000), Kanda *et al.* (2005) and Best *et al.* (2006). Note that there is no stability dependence of the roughness length or displacement height (Zilitinkevich *et al.*, 2008). The use of a roughness length that does not depend upon stability remains the standard approach, and is the one followed here.

2.5. Thermal inertia and coupling with soil

We now define the two remaining terms in the energy balance of the canyon and roof tiles: the ground heat flux G and the thermal inertia $C dT/dt$. As introduced in section 2.1, all the tiles are coupled to the same underlying soil. This condition requires some care in the definition of these two terms. Indeed, the ground heat flux and the storage terms both contribute to a net heat flux from the surface of the urban canopy down to the underlying soil. In other words, they both contribute to the forcing boundary condition of a temperature diffusion equation which computes the temporal variation of the soil temperatures. The net heat flux will be named $G_{\text{N},j}$ per tile

j. Both tiles, canyon and roof, are considered as impervious surfaces as a first approximation, so only the net heat fluxes $G_{N,j}$ are considered here. To find out the appropriate forms of G_N for the canyon $G_{N,c}$ and the roof $G_{N,f}$, we first review how to express the analytical solution of the temperature diffusion equation for a slab canopy of finite thickness Δz .

2.5.1. Analytical solution to the temperature diffusion equation

The approach to heat fluxes into the surface and sub-surface materials is best explained by starting with the 1D heat transfer equation for a uniform surface:

$$c \frac{\partial T}{\partial t} = \lambda \frac{\partial^2 T}{\partial z^2}. \tag{20}$$

We take $z = 0$ as the surface, so the sub-surface extends to negative z . Defining, as above, the ground heat flux as the flux into the surface at $z = 0$, we write

$$G_N = \lambda \left. \frac{\partial T}{\partial z} \right|_{z=0}. \tag{21}$$

We first consider this system driven by a single Fourier component in time with frequency ω . Thus

$$G_N = G_{N0} e^{i\omega t}, \tag{22}$$

and

$$T(z, t) = T_m(z) + Z(z) e^{i\omega t}. \tag{23}$$

This satisfies Eq. (20) if

$$T_m(z) = T_m + \Gamma z \tag{24}$$

with T_m and Γ constant and

$$Z(z) = A\gamma + B\gamma^{-1}, \tag{25}$$

where

$$z_* = \left(\frac{2\lambda}{\omega c} \right)^{\frac{1}{2}}, \tag{26}$$

and

$$\gamma = \exp\left(\frac{(1+i)z}{z_*} \right). \tag{27}$$

Considering an infinitely thick layer, both Γ and B must be zero for finite T at $z = -\infty$, leading to:

$$T(z, t) = T_m + A \exp\left(\frac{(1+i)z}{z_*} \right) \exp(i\omega t) \tag{28}$$

The constant A can be expressed in terms of the surface ground heat flux using Eqs (21) and (26):

$$A = G_{N0} \frac{z_*}{\lambda(1+i)} = G_{N0} \frac{2}{\omega c z_* (1+i)}. \tag{29}$$

At the surface, writing:

$$T(z = 0) = T_* = T_m + A e^{i\omega t}, \tag{30}$$

we can write

$$\frac{c z_* \omega}{2} (1+i)(T_* - T_m) = G_N. \tag{31}$$

This expression emphasises that the relationship between surface temperature variation and ground heat flux contains a $\pi/4$ phase shift. However, the term $i\omega T_*$ suggests that this can be rewritten as:

$$\frac{c z_*}{2} \omega (T_* - T_m) + \frac{c z_*}{2} \frac{\partial T_*}{\partial t} = G_N. \tag{32}$$

This is essentially the ‘force-restore equation’ (Blackadar, 1979) for a slab with uniform temperature, in the form derived by Yee (1988). This demonstrates that, for a given frequency component, the force-restore equation actually represents an exact statement of the surface energy balance for an infinite sub-surface governed by the diffusion equation, with $z_*/2$ representing the equivalent depth of a slab. Yee (1988) also shows that Eq. (32) represents a depth-averaged solution, expressed in terms of surface values, provided the flux at the lower boundary is negligible.

The force-restore approach is, of course, only accurate for one given Fourier frequency. (Incidentally, Warrilow *et al.* (1986) showed that numerical solution of the diffusion equation using n layers can also be derived which is accurate for n separate Fourier frequencies.) Yee points out that, given a Fourier series of forcings, effective parameters in the force-restore equation can be derived as weighted averages of parameters for each frequency, but, in practice in a numerical model, we are unable to derive these averages in advance. We therefore choose a representative frequency (the diurnal frequency Ω) to set the effective depth. Furthermore, the temperature T_m represents the mean temperature; in the idealised system above this equals the ‘deep soil’ temperature, but in practice the diurnal-average temperature on a given day does not equal this deep soil temperature. Equation (32) can be rewritten as:

$$\frac{\lambda}{z_*} (T_* - T_m) + \frac{c z_*}{2} \frac{\partial T_*}{\partial t} = G_N. \tag{33}$$

In this form, G is expressed as a sum of two terms. The first is a conductive coupling of the surface to a ‘deep’ temperature over a depth-scale z_* , while the second represents a ‘canopy heat capacity’ with overall areal heat capacity determined by a material thickness of half the depth and volumetric heat capacity. In this form, the frequency of the forcing does not appear explicitly (though, of course, it appears in the definition of z_* in Eq. (26)).

This approach may be compared with that of Best (2005), which includes similar terms, but the conductive coupling is replaced by (generally weaker) radiative coupling. In practice, this may not be so inconsistent, as the heat capacity used by Best represents a large effective depth-scale of material, consistent with weak coupling to deep soil temperatures. We have chosen to use the form of Eq. (33) in the representation of the storage in the urban canopy.

We can extend the above analysis to a layer of fixed depth Δz . Recalling Eqs (28) to (30) and ignoring Γ for now, the solution may be expressed in the form:

$$\{T(z, t) - T_m\} = (T_* - T_m) \left(\frac{\gamma^{-1} + \gamma}{2} \right) - G_N \frac{z_*}{\lambda(1+i)} \left(\frac{\gamma^{-1} - \gamma}{2} \right). \tag{34}$$

If the temperature variation with time at $z = -\Delta z$ is zero, we obtain, at $z = 0$,

$$\frac{\lambda(1+i)}{z_*}(T_* - T_m)f = G_N, \quad (35)$$

where

$$f = \frac{\gamma_\Delta^{-1} + \gamma_\Delta}{\gamma_\Delta^{-1} - \gamma_\Delta} \quad (36)$$

and

$$\gamma_\Delta = \exp\left\{- (1+i) \frac{\Delta z}{z_*}\right\}. \quad (37)$$

On the other hand, if zero flux is imposed at $z = -\Delta z$ we obtain

$$\frac{\lambda(1+i)}{z_*}(T_* - T_m)f^{-1} = G_N. \quad (38)$$

The term f is complex and has the effect of modifying the effective depth. For cases where $\Delta z/z_* \gg 1$, f tends to 1 and Eq. (38) becomes the same as Eq. (31). Some insight may be gained by considering the case $\Delta z/z_* \ll 1$, in which case

$$f \approx \left\{ (1+i) \frac{\Delta z}{z_*} \right\}^{-1}. \quad (39)$$

In this case, the zero temperature variation case becomes

$$\frac{\lambda}{\Delta z}(T_* - T_m) = G_N, \quad (40)$$

while the zero flux case becomes

$$c\Delta z i\omega(T_* - T_m) = c\Delta z \frac{\partial T_*}{\partial t} = G_N. \quad (41)$$

Equations (40) and (41) resemble the two separate parts of the force-restore equation, which reinforces the interpretation of Yee (1988) that the two terms represent the flux from the deep soil associated with temperature variations across the slab and the heating of the slab itself, with zero flux across the slab, respectively. Following this idea, we have adopted the ‘canopy heat capacity’ term for the two surfaces that are effectively insulated from the sub-surface soil (the walls and roof) and for those surfaces in direct contact with the sub-surface soil (the road), while the conductive coupling term is used only for the latter.

Finally, it should be noted that, for finite thickness slabs, a constant temperature gradient, Γ , may be added to the solution, representing a constant flux from the lower surface due to a temperature difference $T_m - T_b$. In this case, an additional soil heat flux

$$G'_N = \lambda \frac{T_m - T_b}{\Delta z} \quad (42)$$

should be added to the above. This can represent conductive coupling to an internal building temperature, T_b . We have not adopted this approach here, as it represents a model of at least one component of the anthropogenic heat source which we shall address separately.

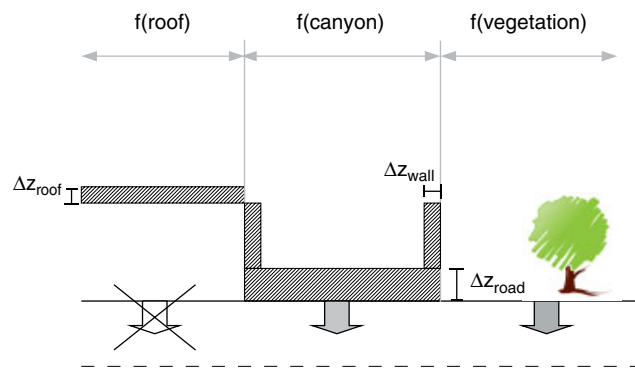


Figure 6. Schematics of coupling between a surface of the MetUM including an urban canopy and the underlying soil. This figure is available in colour online at wileyonlinelibrary.com/journal/qj

2.5.2. Application to canyon and roof

The previous analytical results can now be used to calculate directly the net heat fluxes for the canyon $G_{N,c}$ and the roof $G_{N,f}$. Figure 6 illustrates how these two tiles are coupled to the underlying soil.

For the canyon tile, as discussed above, the walls have a zero-flux boundary condition (Eq. (41)), while the road has the more general form of the force-restore model (Eq. (33)). Hence, the net heat flux $G_{N,c}$ has a conduction component from the road, as well as a storage component for the road and the walls. The conduction component G_c is expressed as:

$$G_c = -\lambda_c \frac{(T_{soil} - T_c)}{0.5(\Delta z_r + \Delta z_{soil})}, \quad (43)$$

with $\lambda_c = \frac{\Delta z_r + \Delta z_{soil}}{(\Delta z_r/\lambda_r) + (\Delta z_{soil}/\lambda_{soil})}$,

with λ_c , λ_{soil} and λ_r , respectively the thermal conductivities for the canyon, the deep soil and road, and T_r the road temperature. Note that the road temperature T_r is equal to the canyon temperature T_c .

The storage component $(C_c/2)dT_c/dt$ takes into account both road and wall surface area through the formulation of the areal heat capacity C_c :

$$C_c = 2 \frac{H}{W} c_w \Delta z_w + c_r \Delta z_r, \quad (44)$$

with c_w and c_r the volumetric heat capacity of the walls and the road respectively. Equation (44) is normalized by the dimension W to represent the heat flux density across the road width. Similarly to Eq. (26), the material thickness of each facet i is formulated as:

$$\Delta z_i = \left(\frac{2\kappa_i}{\Omega} \right)^{1/2}, \quad (45)$$

with κ the diffusivity of material/facet i . Note the use of the diurnal frequency Ω . The surface energy balance for the canyon tile then follows:

$$G_{N,c} = R_{N,c} - Q_{H,c}, \quad (46)$$

or, similarly,

$$\beta_c \frac{C_c}{2} \frac{dT_c}{dt} = R_{N,c} - Q_{H,c} - G_c, \quad (47)$$

with $R_{N,c}$ defined as the sum of R_S from section 2.3.1 and of R_L from section 2.3.2. In Eq. (47), we also introduce β_c , an adjustable parameter to the analytical formulation of the force-restore in Eq. (33). The latter formulation considers a substrate of infinite depth with a single and unique frequency ω . Here, we develop a parametrization using a finite depth that is driven by multiple frequencies. The value of β_c affects the linear combination of the conduction and storage terms of Eq. (33). For $\beta_c \neq 1$, the emphasis is placed on the phase shift of the surface temperature variation relative to the force and restore terms. The introduction of an adjustable parameter results in the definition of different combinations of frequencies for the surface temperature variation and the conduction term, which then increases the model's flexibility in capturing different oscillations. Here, we set β_c equal to 2 for the following reasons:

- (i) The origin of the factor of 1/2 in the storage term relative to the conduction term (Eq. (33)) is related to the integration of the total heat content over the slab thickness or to the definition of the soil temperature T_m . When the slab thickness is large enough relative to the damping depth or $T_* - T_m > 0$, the temperature decays exponentially within the slab. The total heat content can be approximated by a triangular distribution over the damping depth (hence the factor 1/2). When the slab thickness is small relative to the damping depth or $T_* - T_m \simeq 0$, the total heat content can be approximated by a rectangular distribution with a width equal to the slab thickness (hence no factor 1/2). Relative to the diurnal damping depth, the effective damping depth captured by the NWP model is larger because of the presence of lower frequencies. For example, the damping depth associated with a 5-day period synoptic system will lead to an increase by a factor of 2.25. When representing urban areas in NWP models, we are particularly interested in high-pressure blocking events for their impact on the Urban Heat Island (UHI) since these are often associated with extended periods of light winds and clear skies.
- (ii) In point (i), we argued that the material thickness is smaller than the effective damping depth, due to the presence of lower frequencies. In these conditions, by setting β_c to 2, the modelled thickness of the urban canopy becomes equal to the value of the diurnal damping depth for the urban fabric. The magnitude of the diurnal damping depth for a typical soil is around 0.1 m (Garratt, 1994). This magnitude is similar to the values of urban material thickness used in the study of Dupont and Mestayer (2006).
- (iii) The value of the adjustable parameter β_c also depends on the geometry. Urban facades are generally covered by inhomogeneities that could potentially lead to an increase of their surface area, and hence their heat content, thus increasing the effective heat capacity.
- (iv) In the urban canopy parametrization of Dupont and Mestayer (2006), the ratio between the surface temperature variation term and the conduction term is also equal to 1 ($= \beta_c/2$, with $\beta_c=2$).

For the roof tile, following Eq. (41), the zero-flux boundary condition leads to the absence of any conductive coupling, which gives the following parametrization of the

storage heat flux:

$$G_{N,f} = \frac{\beta_f}{2} c_f \Delta z_f \frac{dT_f}{dt}, \quad (48)$$

with Δz_f the roof thickness following Eq. (45) and β_f an adjustable parameter. Similarly to the canyon parameter β_c , the value of β_f is set to 2. Note that these values of areal heat capacity (canyon and roof) are comparable to the value used in the Best scheme, as explained in the next section.

The surface energy balance for the roof tile then becomes:

$$\frac{\beta_f}{2} c_f \Delta z_f \frac{dT_f}{dt} = R_{N,f} - Q_{H,f}, \quad (49)$$

with

$$R_{N,f} = (1 - \alpha_f) S_{\text{incident}} + \varepsilon_f (LW^\downarrow - \sigma T_f^4), \quad (50)$$

with c_f the volumetric heat capacity ($\text{JK}^{-1}\text{m}^{-3}$). We define an areal heat capacity C_f as the product $c_f \Delta z_f$. Sensitivity analysis to Δz_f for insulation roof material will be conducted in section 3.3 and in Part II.

To take into account the fact that only the canyon tile is coupled to the soil, the total heat flux G_N per planar area is given by:

$$G_N = \sum_{j, \text{non-urban}} f(j) G_j + \frac{f_c + f_r}{f_c} G_c, \quad (51)$$

with $f(j)$ the cover fraction of tile j per grid box.

Lastly, as mentioned earlier, the equations described in this section are formulated for impervious surfaces. Urban surfaces in MORUSES, as well as in the Best scheme, can be made pervious by allowing for non-zero values of the water capacity of the urban tiles. The latent heat flux can also be increased by increasing the canopy conductance to evaporation. Moisture fluxes within the soil substrate may then have an important contribution in the evolution of the soil and surface temperatures. These moisture processes are also neglected in the following idealized simulations. The impact of soil moisture and water infiltration on the urban energy balance should be considered for future work.

3. Idealized simulations

3.1. Motivation and set-up of the simulations

In these idealized simulations, our motivation is to isolate the impact of each urban process, described in section 2, relative to the energy balance of a flat surface. When all the urban processes are included, the flat surface is equivalent to an urban surface represented with the new scheme. To reach this goal, we created very specific idealized conditions. Our urban cover is assumed to be infinitely long. We want our system to evolve solely by diurnal short-wave radiation. We work therefore in dry conditions, which results in very small (and probably unrealistic) values for downward long-wave radiation. We specify conditions which initially produce long-wave, turbulent and ground heat fluxes close to zero to avoid large initial transients.

To address these criteria, the following paragraph details the special set-up of these idealized simulations. The new scheme was incorporated into the 3D Unified Model code

Table II. Input parameters for bare soil surface.

Symbol	Units	Value
α		0.30
ε		0.97
C	$\text{J m}^{-2}\text{K}^{-1}$	0.00
Bulk roughness length for momentum	m	5×10^{-3}
Ratio of roughness lengths (heat to momentum)		10^{-1}

at 1 km horizontal resolution. A domain of 64×64 km was centred around London, and the land-use class was modified in order to obtain a classification of 100% urban. The vertical domain was kept identical to the full 3D UM, 40 km depth with 76 vertical levels with spacing varying quadratically in the troposphere. The bottom temperature level is at 5 m, and the next at 20 m. The model runs with bi-cyclic lateral boundary conditions, so the simulation is not affected by any advection caused by differences in source area characteristics (at the opposite extreme to surface-only models, for which the forcing in observations may include such advection). The ozone vertical profiles were horizontally averaged to guarantee horizontal homogeneity in solar forcing. Evaporation is forced to 0 by suppressing the surface conductance and minimizing the canopy water availability. Initially, the soil and atmosphere are set up to identical temperature conditions: the soil temperature profile is isothermal with a temperature T_i ; the atmospheric profile is (dry) adiabatic up to 1000 m (with an inversion of 12°C), and isothermal above 1000 m with a temperature T_i . The temperature T_i was chosen so that the average net solar radiation at the surface balances the average emitted long-wave radiation:

$$\langle \text{Net SW} \rangle = \langle \sigma T_s^4 \rangle = \sigma T_i^4,$$

With solar forcing conditions from mid-July for the flat surface, T_i was evaluated to 277 K (this value was chosen for the rest of the simulations). The simulations are initialized at 0600 local standard time (when fluxes are minimal) and run for three days.

3.2. Impact of urban processes on energy balance fluxes

In order to investigate the impact of the new parametrization, we add, to a flat bare surface, a perturbation induced by a specific urban process: albedo, emissivity, heat transfer and roughness to momentum, thermal inertia and coupling with the soil. The input parameters for the flat bare soil are shown in Table II, and the input parameters for the Best scheme and the new scheme are shown respectively in Tables III and IV. Values are taken from Oke (1987) and Masson *et al.* (2002).

The perturbation to the flat surface fluxes induced by the emissivity is weak, and is not shown (using Eq. (17), a bulk emissivity value of 0.973 is calculated, while a default value of 0.97 is used for the flat surface). Figure 7 illustrates the surface energy balance flux densities, averaged over a 3-day period, separately for the flat bare soil only, for the flat bare soil with bulk albedo (subsection 2.3.1), the flat bare soil with heat transfer (subsection 2.4) and for the flat bare

Table III. Input parameters for default current scheme.

Symbol	Units	Value
α		0.18
ε		0.97
C	$\text{J m}^{-2}\text{K}^{-1}$	0.28×10^6
Bulk roughness length for momentum	m	1
Ratio of roughness lengths (heat to momentum)		10^{-7}

Table IV. Input parameters for new scheme.

Symbol	Units	Value
C_r	$\text{J m}^{-3}\text{K}^{-1}$	1.94×10^6
Δz_r	m	0.11
C_w	$\text{J m}^{-3}\text{K}^{-1}$	1.37×10^6
Δz_w	m	0.13
C_f	$\text{J m}^{-3}\text{K}^{-1}$	1.50×10^6
Δz_f	m	0.13
α_r		0.08
α_w		0.50
α_f		0.18
ε_r		0.95
ε_w		0.90
ε_f		0.92
Building height	m	10
Material roughness length	m	0.005
λ_{soil}	$\text{W m}^{-1}\text{K}^{-1}$	0.22
λ_r	$\text{W m}^{-1}\text{K}^{-1}$	0.75
H/W		1.0
W/R		0.5

Data are taken from Oke (1987), Masson *et al.* (2002).

Wall material (_w) is brick,
road material (_r) is asphalt/concrete,
and roof material (_f) is concrete/stone.

soil following the thermal inertia and coupling with soil in subsection 2.5. We chose not to include the second part of the night on these graphs in order to focus more on the large differences occurring at daytime and dusk. The idealized set-up we use results in very small downward long-wave radiation, and important radiation loss at night-time. The loss of radiation results in a drop of surface temperature at night-time and large values of storage heat flux are therefore needed after dawn, to elevate the canopy temperature above the air temperature. In these conditions, the energy balance for the flat case has relatively large storage heat flux and the sensible heat flux peaks relatively later in the day than one may expect from a flat surface. Sensible heat flux densities at night-time tend to zero because of the choice in the stability dependence of the diffusion heat coefficients in the stable case. (By default, a function of the bulk Richardson number is used, which acts to decouple the surface from the atmosphere beyond a critical Ri .)

We will now go through each of these urban processes separately. Due to the reduced effective albedo, the perturbation induced by the bulk albedo process leads to an increase in net radiation and sensible heat flux, compared

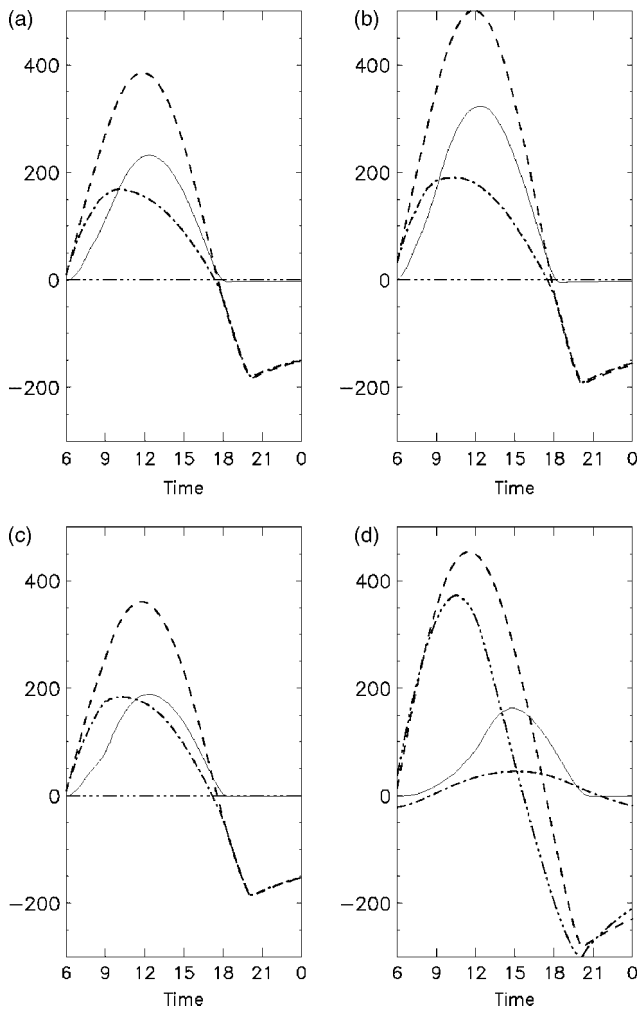


Figure 7. Idealized MetUM outputs of surface energy balance flux densities (W m^{-2}) for (a) flat bare soil perturbed separately by three urban processes: (b) albedo, (c) heat transfer, and (d) thermal inertia and coupling with soil. Net radiation (dashed line), sensible heat (solid line), heat to deep soil (dot-dashed line), storage heat (triple dot-dashed line). See text for further explanation.

to the flat surface case. The perturbation induced by heat transfer and roughness results in slightly smaller values of Q_H , and higher values of conduction G . Compared to the undisturbed flat case, the smaller values of Q_H result from weaker wind speeds above the urban canopy (as a consequence of high roughness to momentum), despite the larger values of heat transfer coefficients C_H ($Q_H \approx U(1)C_H \Delta T$). Lastly, the perturbation induced by the combination of thermal inertia and coupling with the underlying soil leads to a decrease in the conductive heat flux with the soil and to the introduction of a large storage heat flux. The introduction of the storage heat flux causes a significant phase delay in the sensible heat flux as well as a significant increase in the net radiation, compared to the flat case. Following Harman and Belcher (2006), the high inertia dampens the diurnal amplitude of surface temperature. After dawn, it takes longer for the surface temperature to become larger than the air temperature; hence, the phase delay in the sensible heat. The difference in net radiation is explained by the difference in upward long-wave radiation as in Best *et al.* (2006). The smaller conductive heat flux is associated with smaller surface temperatures, which leads to smaller temperature differences between the urban canopy and the

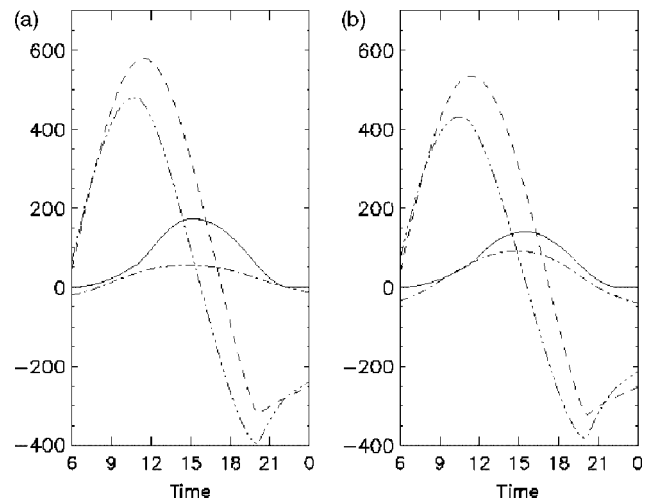


Figure 8. Idealized MetUM outputs of surface energy balance flux densities (W m^{-2}) for (a) the new scheme with flat bare soil perturbed by four urban processes together (albedo, emissivity, heat transfer, thermal inertia and coupling with soil), and (b) the Best scheme. The line styles are as in Figure 7. See text for further explanation.

first soil layer. Out of the three perturbations illustrated in Figure 7, the combination of thermal inertia and coupling with soil has the largest impact on the energy balance fluxes.

Next, in Figure 8, we show the perturbations to the flat surface induced by the combination of all the urban processes, compared to the current urban scheme. The perturbation represents now the new scheme (indeed, nothing is left from the flat surface). The comparison of the new scheme with the current scheme shows promising results: the surface energy balance fluxes from the two schemes are similar. This is encouraging because, as mentioned in the introduction, our main goal, at this stage, is to reproduce the characteristics of the current scheme while using measurable properties representative of urban material, and allowing for a sensitivity to canyon geometry so that the new scheme is more adaptable to other situations.

There are, nevertheless, slight differences between the schemes which we shall now explain. From Figure 8, the current scheme produces slightly less heat storage than the new scheme. The Best scheme uses a bulk heat capacity value of $0.28 \text{ J K}^{-1} \text{ m}^{-2}$. For a H/W value of 1 and from Table IV, the canyon heat capacity is roughly $0.6 \text{ J K}^{-1} \text{ m}^{-2}$, while the roof heat capacity is roughly $0.15 \text{ J K}^{-1} \text{ m}^{-2}$. Together, for a value of W/R of 0.5, an approximated bulk value of $0.375 \text{ J K}^{-1} \text{ m}^{-2}$ can be calculated by area-weighted average. Further to subsection 2.3.1, the albedo for the current scheme is, however, higher (0.18) than the approximated combination of the bulk canyon value used by the new scheme (Figure 3 for $H/W=1$) and of the roof albedo (0.20). The smaller albedo values for the new scheme lead to higher values of net radiation and sensible heat fluxes. Despite these slight differences, the grid-box surface temperatures are almost equal between the two schemes. This is illustrated in Figure 9. In this figure, we show that the two grid-box surface temperatures are almost identical (maximum difference reaches 1.4 around 21.00). We also show the larger diurnal amplitudes in the roof surface temperature, compared to the canyon as in Porson *et al.* (2009).

From Figure 9, we can also explain the differences in heat flux G between the two schemes. The Best scheme uses a radiative exchange of long-wave radiation between the first

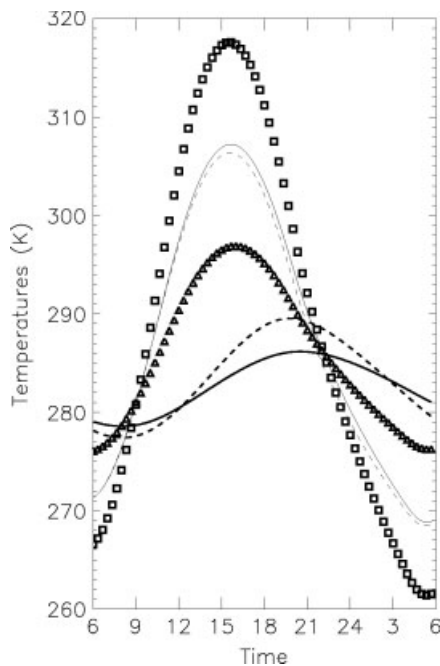


Figure 9. Idealized MetUM outputs of surface temperatures (gridbox and tile) and soil temperatures (K): the new scheme (solid lines), the Best scheme (dashed lines), gridbox temperatures (thin lines), first level soil temperatures (bold lines), roof surface temperature (squares), canyon surface temperature (triangles). See text for further explanation.

soil layer and the surface temperature of the urban canopy (Eq. (6)). At a first order of approximation, this difference in long-wave radiation can be written as a linear difference between the first layer soil temperature and the surface temperature of the urban canopy. In this approximation, G becomes equivalent to the conductive formulation used in the new scheme (Eq. (43)), but with the major difference that for the new scheme, the surface temperature is the canyon temperature (triangles in Figure 9), while, for the Best scheme, the surface temperature is the grid-box temperature (dashed line in Figure 9). This major difference explains why the conduction heat flux G is smaller in the new scheme and why the diurnal amplitude of the first soil layer temperature is also smaller in the new scheme.

3.3. Sensitivity analysis

In the following section, we describe a sensitivity analysis of the new scheme and compare our results of surface energy balance fluxes to the current scheme. Let us recall here that the current scheme is 'frozen' since its parameterization does not depend on canyon geometry.

Firstly, we will investigate the sensitivity to geometry and produce idealized simulations of the new scheme for varying values of H/W (W/R fixed) and vice-versa.

Figure 10 shows the sensible heat flux Q_H and heat storage flux $C dT/dt$ for increasing values of H/W . Consistently with the calculation of C_c (Eq. (46)), the increase in H/W results in a higher heat storage. Higher heat storage goes with decreasing magnitudes and increasing phase delays of sensible heat flux. Compared to the Best scheme (thick lines), the new scheme stores more heat (as discussed in sub-section 3.2). With the exception of small H/W , the Best scheme storage flux densities are systematically smaller in magnitude. This systematic deviation also occurs for the conduction heat flux G : increasing values of H/W leads to

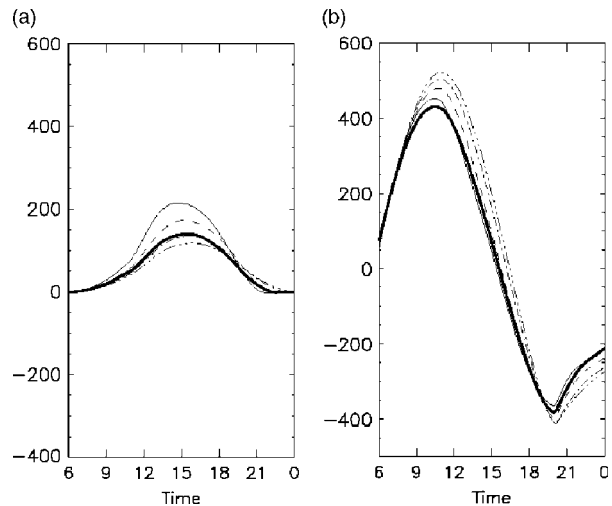


Figure 10. Idealized MetUM outputs of (a) sensible and (b) heat storage fluxes ($W m^{-2}$) for $W/R=0.5$ and increasing values of H/W : Best scheme (thick solid line), new scheme with H/W values 0.5 (thin solid line), 1.0 (dashed line), 2.0 (dash-dotted line), and 3.0 (dash-triple dotted line). See text for further explanation.

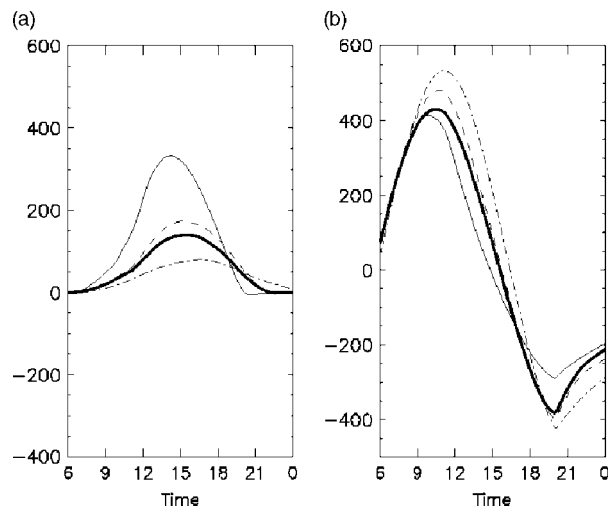


Figure 11. Idealized MetUM outputs of (a) sensible and (b) heat storage fluxes ($W m^{-2}$) for $H/W=1$ and increasing values of W/R : Best scheme (thick solid line), new scheme with W/R values 0.1 (thin solid line), 0.5 (dashed line), and 0.9 (dash-dotted line). See text for further explanation.

systematically smaller G values for the new scheme than for the Best scheme, due to a decrease in the diurnal amplitude of the canyon temperature in the new scheme (not shown). The magnitudes of the sensible heat flux Q_H from the Best scheme are, however, included within the sensitivity range of the magnitudes of Q_H from the new scheme. Overall, the sensitivity analysis to the large range of H/W values (0.1 to 3.0) reveals a significant impact on the energy balance (fluxes vary by a factor of 2). This impact remains, however, smaller than the impact on the energy balance due to variations in W/R .

Similarly to Figure 10, Figure 11 plots the sensible heat flux Q_H and heat storage flux $C dT/dt$ for W/R varying from 0.1 to 0.9 (H/W is fixed to 1). The sensitivity of the energy balance of the new scheme is much larger for varying W/R than for varying H/W . The analysis of these results is based on the physical differences between the canyon and the roof tiles. For small values of W/R , the roof fraction is much larger than the canyon fraction. The roof tile has a smaller heat capacity, which leads to large diurnal amplitudes in

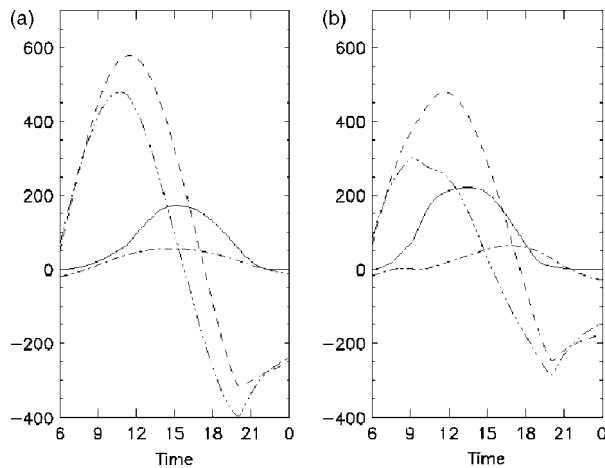


Figure 12. Idealized MetUM outputs of surface energy balance fluxes (W m^{-2}) for the new scheme with (a) thick and (b) thin roofs. The line styles are as in Figures 7 and 8. See text for further explanation.

surface temperature, large temperature gradients, and so large Q_H . In these conditions (W/R small), Q_H is large, while the storage term $C dT/dt$ is small. At the other end of the range (W/R large), the canyon fraction is much larger than the roof fraction. The large heat capacity of the canyon tile results in small temperature gradients, small Q_H and large $C dT/dt$. These results are in agreement with Harman and Belcher (2006). They showed that the air temperatures reach larger diurnal amplitudes when the roof fraction is high (small W/R), which is equivalent to saying the sensible heat flux is larger when the roof fraction is high. The result for large W/R depends critically on the assumption about the properties of the non-roof part of the domain. The results here assume that the whole of that area is road. However, if it were bare soil, the answers would be very different.

The last sensitivity study from this work deals with the sensitivity to the roof thermal properties. Note that the roof material provides all of the thermal inertia of the roof part of the system. In so-doing, we are assuming that the roof is well insulated from the interior of the building. This is clearly an ideal which many building designs seek to achieve, but other designs may correspond more to 'thick roof' behaviour. In the case of a well-insulated roof space, roof thermal conductivity and diffusivity are small. To take this effect into account, we set the roof thickness ($\sim \kappa_f^{1/2}$) to an arbitrary small value of 0.02 m. The small roof thickness reduces the magnitude of the areal heat capacity, which in turn leads to significantly smaller net radiation, larger and earlier sensible heat fluxes. Figure 12 illustrates these effects by plotting the global energy balance fluxes for two cases: one, for a roof energy balance using Eq. (45) (thick roof) and two, for a roof thickness set to $\Delta z_f = 0.02$ m (thin roof). The new scheme is very sensitive to this type of information, and the roof thickness will be a key factor when the models performance is compared with observations. Part II of this study will compare this work to observations.

4. Conclusions

In this paper, we developed and implemented a new urban surface scheme, MORUSES (Met Office Reading Urban Surface Exchange Scheme), into the Met Office Unified Model. The new scheme includes the following urban processes: formulation of a roughness length for heat using

a resistance network, formulation of a roughness length for momentum following the morphometric method of Macdonald *et al.* (1998), bulk values of albedo and emissivity deriving from the exact numerical solution to the exchange of diffuse radiation in a 2D canyon geometry, increase in heat storage in the canyon tile, and conductive coupling with the underlying soil using material properties from the road surface.

Our first objective is to ensure that the bulk outputs from the new scheme are in agreement with previous studies. The bulk albedo values show similar tendencies with previous Monte-Carlo methods for varying geometry parameters, except at small H/W where the impact of heterogeneity between the canyon facets is significant. Concerning the heat transfer, the resistance network allows us to capture the magnitudes of roughness length for heat as small as in previous studies ($O(10^{-7}$ m)). Lastly, concerning the thermal inertia, we formulate an effective heat capacity for each urban tile as a function of material damping depth and volumetric heat capacity values. The resulting bulk value, combining roof and canyon, is similar to the current scheme's default value.

Our second objective is to ensure that the energy balance of the new scheme is consistent with the energy balance of the current scheme for similar overall parameters. A series of idealized simulations is then performed. The simulations show that the energy balance of the new scheme reflects the urban processes as well as in the current scheme. In particular, the surface temperature of the two schemes is remarkably similar.

Our third objective is to analyse the impact of each urban process on the energy balance fluxes. To evaluate the impact of each process, we perturb a flat surface and compare the energy balance of the disturbed surface to the energy balance of the flat surface. The implementation of thermal inertia and conductive coupling with the underlying soil has the biggest impact on the surface fluxes: leading to smaller magnitudes and later peaks in sensible heat and conductive heat, high contribution in heat storage, higher net radiation due to larger heat capacity values. This suggests that the new scheme will be sensitive to any modification introduced in these processes.

Our fourth objective is to test the new scheme for varying geometry parameters as the height-to-width aspect ratio H/W and the canyon width to urban width aspect ratio W/R . The new scheme is less sensitive to H/W than to W/R . However, increasing H/W or W/R results in the same impact. Indeed, increasing H/W leads to a stronger impact of thermal inertia, i.e. increasing magnitudes and later peak values in the sensible heat, as well as higher magnitudes of heat storage. Increasing W/R leads to a smaller contribution of the roof tile, and respectively a larger contribution from the canyon tile, which also leads to smaller magnitudes and later peak values in sensible heat, as well as larger magnitudes of heat storage.

Lastly, our final objective is to study the sensitivity of the new scheme to the roof parameters. The new scheme is sensitive to the roof fraction of the urban canopy, due to the contrast in the thermal inertia between the roof and the canyon tile. This contrast is even stronger for insulated roof properties, which use small conductivity and heat capacity values. As a test for insulation, we repeat some idealized simulations with smaller roof thickness (smaller heat capacity values). Significant differences appear in the

sensible heat and heat storage fluxes, in coherence with decreasing heat capacity values. The new scheme is therefore highly sensitive to the roof properties. In part II, the comparison of the new scheme against measurements of surface fluxes will be tested, as well as the new scheme's sensitivity to roof thickness.

Acknowledgements

The authors are grateful to Richard Forbes and Changgui Wang for their help in setting up the idealised MetUM simulations. The authors would like to take the opportunity to thank Brian Golding for his fruitful comments for finalising these papers before submission. Finally, the authors are grateful to Gabriel Rooney and David Thomson for their help during the revision process.

Appendix

A1. Derivation of canyon bulk emissivity

Following a similar procedure to the one leading to Eqs (12) to (14), we can derive the net long-wave radiation $R_{L,i}$ for each facet i through the following set of equations following Harman *et al.* (2004):

$$B_i = \sum_j \Psi'_{ij} L_j^{\downarrow\uparrow}, \quad (A1)$$

$$\text{with } (\Psi'_{ij})^{-1} = \delta_{ij} - (1 - \varepsilon_i) F_{ij}, \quad i, j = 1, 2, 3$$

$$R_{L,i} = \Lambda_i - B_i, \quad (A2)$$

$$\text{with } \Lambda_i = \sum_j F_{ij} B_j, \quad i, j = 1, 2, 3$$

and

$$\begin{aligned} R_{L,i} &= \sum_j \left(\frac{\delta_{ij} - \Psi'_{ij}}{1 - \varepsilon_i} \right) B_j - B_i = \frac{B_i - L_i^{\downarrow\uparrow}}{1 - \varepsilon_i} - B_i \\ &= \frac{\varepsilon_i B_i - L_i^{\downarrow\uparrow}}{1 - \varepsilon_i}, \quad i, j = 1, 2, 3. \end{aligned} \quad (A3)$$

The net long-wave radiation for the canyon tile R_L is the weighted sum, according to canyon geometry, of $R_{L,r}$ and $R_{L,w}$ being:

$$R_{L,c} = R_{L,r} + \frac{2H}{W} R_{L,w}, \quad (A4)$$

$$R_{L,r} = \frac{\varepsilon_r (\Psi'_{r,r} L_r^{\downarrow\uparrow} + \Psi'_{r,w} L_w^{\downarrow\uparrow} + \Psi'_{r,sky} L_{sky}^{\downarrow\uparrow}) - L_r^{\downarrow\uparrow}}{1 - \varepsilon_r}, \quad (A5)$$

$$R_{L,w} = \frac{\varepsilon_w (\Psi'_{w,r} L_r^{\downarrow\uparrow} + \Psi'_{w,w} L_w^{\downarrow\uparrow} + \Psi'_{w,sky} L_{sky}^{\downarrow\uparrow}) - L_w^{\downarrow\uparrow}}{1 - \varepsilon_w}. \quad (A6)$$

Rearranging Eqs (16), (A4), (A5) and (A6), the net long-wave radiation for the canyon tile $R_{L,c}$ can be reformulated as:

$$R_{L,c} = \varepsilon L W^{\downarrow} - \varepsilon \sigma T_c^4, \quad (A7)$$

with ε the canyon bulk emissivity.

A2. Derivation of canyon and roof bulk resistances

For the canyon tile, following Harman *et al.* (2004b), we represent three types of flow regimes: the isolated roughness regime where the canyon flow is affected both by a recirculation and a ventilation region, a wake interference flow regime and a skimming flow regime where ventilation is minimum. In each case, we have two resistances built in parallel: r_{recirc} for the recirculation region and r_{venti} for a potential ventilated region where exchange of air between the canyon and the atmosphere above is dominant. An internal length-scale L_r is given as an input parameter to limit the length of the recirculation region.

Each of these resistance systems, r_{recirc} and r_{venti} , incorporates three sub-resistances (Figure 5 in Harman *et al.*, 2004b). Two of these sub-resistances represent the transfer of heat across an internal boundary layer, adjacent to each facet and expressed as:

$$r_{\text{int},i} = \frac{1}{f_{\delta_i} k^2} \ln \left(\frac{\delta_i + z_{0M}}{z_{0M}} \right) \ln \left(\frac{\delta_i + z_{0M}}{z_{0H}} \right), \quad (A8)$$

$$\text{with } f_{\delta_i} = \frac{u(\delta_i)}{U_{\text{ave}}(1)}, \text{ and } i = \text{facet indicator,}$$

where U_{ave} represents the orientationally averaged wind:

$$U_{\text{ave}}(1) = \frac{2}{\pi} U(1), \quad (A9)$$

and δ_i the depth of the internal layer adjacent to the facet considered, z_{0M} is the material roughness length for momentum, z_{0H} is the material roughness length for heat, u is the wind speed component of the atmospheric model, and k is the von Kármán constant. The ratio f_{δ_i} depends on the facet itself and the type of region: f_{δ_i} relies on the derivation of the jet speeds within the canyon. To complete the network, the sub-resistances $r_{\text{int},i}$ from two adjacent facets (i.e. upstream wall and road fraction affected by recirculation region) are built in parallel and connected in series to a third sub-resistance r_{inert} that represents the transfer of heat across the inertial sub-layer, expressed as:

$$r_{\text{inert}} = \frac{(1 - f_{\delta})}{k^2} \ln \left(\frac{z(1) + z_{TM}}{z_{TM}} \right). \quad (A10)$$

Across the inertial sublayer, the transfer of heat is affected by the bulk properties of the canyon as the bulk roughness length z_{TM} . In this case, f_{δ} is the interpolated wind speed ratio down to canyon top. Once calculated, the sub-resistances r_{inert} and r_{int} have to be dimensionalized by the canyon width dimension W (for values per planar area).

Let 1 stand for the upstream wall, 2 for the fraction of road affected by recirculation, 3 for the fraction of road affected by ventilation and 4 for downstream wall. The bulk resistance for the canyon tile is formulated as:

$$\begin{aligned} r_{\text{bulk},c}^{-1} &= \underbrace{\left(\frac{r_{\text{int},1} r_{\text{int},2}}{r_{\text{int},1} + r_{\text{int},2}} + r_{\text{inert,recirc}} \right)^{-1}}_{(r_{\text{recirc}})^{-1}} \\ &+ \underbrace{\left(\frac{r_{\text{int},3} r_{\text{int},4}}{r_{\text{int},3} + r_{\text{int},4}} + r_{\text{inert,venti}} \right)^{-1}}_{(r_{\text{venti}})^{-1}}. \end{aligned} \quad (A11)$$

Note that, in the case of the skimming flow regime, when the contribution of the ventilated region is minor, in order to take into account the transfer of heat from the downstream wall, Eq. (A11) is modified so as to accommodate the downstream wall transfer in r_{recirc} . For the roof tile, the resistance network simplifies into an internal resistance $r_{\text{int},f}$, put in series with the inertial sublayer resistance $r_{\text{inert},f}$:

$$r_{\text{bulk},f} = r_{\text{int},f} + r_{\text{inert},f}. \quad (\text{A12})$$

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