

# *Elevated CO<sub>2</sub> enrichment induces a differential biomass response in a mixed species temperate forest plantation*

Article

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1 **Elevated CO<sub>2</sub> enrichment induces a differential biomass response in a mixed**  
2 **species temperate forest plantation**

3

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25 Introduction:	1347 words
26 Materials and Methods:	1635 words
27 Results:	1348 words
28 Discussion:	2680 words
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32

33

34 **Summary**

- 35 • In a free-air CO<sub>2</sub> enrichment study (BangorFACE) *Alnus glutinosa*, *Betula*  
36 *pendula* and *Fagus sylvatica* were planted in areas of one, two and three  
37 species mixtures ( $n=4$ ). The trees were exposed to ambient or elevated CO<sub>2</sub>  
38 (580  $\mu\text{mol mol}^{-1}$ ) for four years, and aboveground growth characteristics  
39 measured.
- 40 • In monoculture, the mean effect of CO<sub>2</sub> enrichment on aboveground woody  
41 biomass was +29, +22 and +16% for *A. glutinosa*, *F. sylvatica*, and *B. pendula*  
42 respectively. When the same species were grown in polyculture, the response  
43 to CO<sub>2</sub> switched to +10, +7 and 0%, for *A. glutinosa*, *B. pendula*, and *F.*  
44 *sylvatica* respectively.
- 45 • In ambient atmosphere our species grown in polyculture increased  
46 aboveground woody biomass from  $12.9 \pm 1.4 \text{ kg m}^{-2}$  to  $18.9 \pm 1.0 \text{ kg m}^{-2}$ ,  
47 whereas in an elevated CO<sub>2</sub> atmosphere aboveground woody biomass  
48 increased from  $15.2 \pm 0.6 \text{ kg m}^{-2}$  to  $20.2 \pm 0.6 \text{ kg m}^{-2}$ . The overyielding effect  
49 of polyculture was smaller (+7%) in elevated CO<sub>2</sub> than in an ambient  
50 atmosphere (+18%).
- 51 • Our results show that the aboveground response to elevated CO<sub>2</sub> is  
52 significantly affected by intra- and inter-specific competition, and that  
53 elevated CO<sub>2</sub> response may be reduced in forest communities comprised of  
54 tree species with contrasting functional traits.

55 **keywords:**

56 Free-air CO<sub>2</sub> enrichment (FACE), temperate forest, alder (*Alnus glutinosa*), silver  
57 birch (*Betula pendula*), European beech (*Fagus sylvatica*), biomass, allometry,  
58 polyculture, monoculture, overyielding.

59 **Introduction**

60 Forests occupy one third of the land surface of the Earth, and account for almost half  
61 of carbon stored in the terrestrial biosphere (Schlesinger & Lichter, 2001). In a  
62 summary of studies conducted to investigate the effects of increased atmospheric CO<sub>2</sub>  
63 on forest C cycles, Norby *et al.*, (2005) calculated that an enrichment of 200 ppm CO<sub>2</sub>  
64 above the current ambient CO<sub>2</sub> level caused a 23% median increase of forest net  
65 primary productivity. However, interactions with other environmental factors may  
66 dampen such response at larger temporal or spatial scales (Leuzinger *et al.*, 2011).  
67 Nevertheless, increasing atmospheric CO<sub>2</sub> concentrations may fundamentally alter  
68 forest ecosystem functioning by altering species growth, resource use and community  
69 interactions (Eamus & Jarvis, 1989). As forests are inextricably linked to the global  
70 carbon cycle, elevated CO<sub>2</sub> driven environmental change may impact upon global  
71 carbon storage in phytomass, complex biogeochemical feedback mechanisms and  
72 ultimately long term C sequestration in soils.

73 Empirical studies on woody plants exposed to elevated atmospheric CO<sub>2</sub> have  
74 demonstrated that growth and aboveground biomass production in woody plants  
75 increases, but that there is a considerable variation in response (Curtis & Wang,  
76 1998). The observed variation of responses to elevated CO<sub>2</sub> has been attributed to a  
77 large number of confounding factors, such as the length of study, interactions with  
78 other environmental stresses, plant functional group, species morphological  
79 physiology (Poorter, 1993), symbiotic associations (Godbold *et al.*, 1997) and  
80 community dynamics (Kozovits *et al.*, 2005). Recent research efforts have been  
81 focused on studying whole ecosystem responses in near-natural conditions chiefly  
82 achieved by employing Free Air Carbon dioxide Enrichment (FACE) facilities  
83 (Hattenschwiler *et al.*, 2002; Karnosky *et al.*, 2003; Körner *et al.*, 2005; Hoosbeek *et*

84 *al.*, 2011). Körner (2006) has suggested dividing elevated CO<sub>2</sub> studies into the  
85 following two types: (i) high abundance of major resources other than carbon –  
86 ‘decoupled’ systems and (ii) near to steady-state nutrient cycling and full canopy  
87 development – ‘coupled systems’. Type I systems include the present study, aspen  
88 FACTS II FACE (Karnosky *et al.*, 2003), and EuroFACE (Calfapietra *et al.*, 2003)  
89 experiments. The remaining three (type II) experiments have used CO<sub>2</sub> enrichment in  
90 stands with an already closed canopy. The Oak Ridge (Norby *et al.*, 2002) and  
91 DukeFACE (Oren *et al.*, 2001) experiments both started enrichment *ca.* 10-20 years  
92 after planting, while at the Basel Web-FACE (Körner *et al.*, 2005) enrichment was  
93 conducted in a mature deciduous forest comprised of four species more than 100 years  
94 old. Using data from four of these studies (DukeFACE, FACTS II FACE, Oak Ridge  
95 and EuroFACE), Norby *et al.*, (2005) demonstrated that an enrichment of 200 ppm  
96 CO<sub>2</sub> above the current ambient CO<sub>2</sub> level caused a 23% median increase of forest net  
97 primary productivity. This conclusion was largely based on the initial response of  
98 forest ecosystems to elevated CO<sub>2</sub>. Subsequent investigations have shown that this  
99 response may not be maintained over a longer time horizon (Norby *et al.*, 2010), as  
100 the response to elevated CO<sub>2</sub> has been found to both decline (Norby *et al.*, 2010) or be  
101 maintained (Drake *et al.*, 2011; Zak *et al.*, 2011) after 10-11 years of exposure. In  
102 both of these examples, the response to elevated CO<sub>2</sub> was likely mediated by N  
103 availability. The decline in response to elevated CO<sub>2</sub> was attributed to N limitation  
104 (Norby *et al.*, 2010), while no change in response was a result of greater N cycling  
105 (Zak *et al.*, 2011). Comparison of these two studies clearly demonstrates that nutrient  
106 availability, in particular N, is a strong factor mediating the response of woody plants  
107 to elevated CO<sub>2</sub>.

108 Much of the research investigating species diversity, ecosystem functioning and  
109 productivity has been focused in grasslands (Hooper *et al.*, 2005). Many experiments  
110 have shown a positive relationship between productivity and increased biodiversity  
111 (Tilman *et al.*, 1996; Tilman *et al.*, 1997). Fornara & Tilman (2009) suggested that  
112 the increased productivity of N-limited species rich plant communities is dependent  
113 on the seasonal accumulation of root N pools by N-fixing plants. The importance of  
114 incorporating N-fixing plants in the facilitation of greater plant community  
115 productivity was also supported by Hooper & Dukes, (2004), but argued that N-  
116 fixation is not the only mechanism explaining the overyielding of species rich  
117 communities. Elevated CO<sub>2</sub> has been found to stimulate symbiotic N fixation in  
118 several studies (eg. Hungate *et al.*, 1999; Schortemeyer *et al.*, 2002), and the  
119 incorporation of N-fixing plants to facilitate N dynamics of co-occurring species with  
120 elevated CO<sub>2</sub> was explored by Lee *et al.*, (2003) who found that in nine different  
121 grassland species assemblages incorporating N-fixing *Lupinus* did not facilitate a  
122 larger community growth response to elevated CO<sub>2</sub>.

123 In forests, controversy surrounding the benefits of mixed species stand  
124 productivity dates back to the 18th century (Hartig, 1791), with silvicultural practice  
125 of mixed species forests being subject to much conjecture. Only recently have  
126 rigorous scientific studies been initiated to elucidate the precise mechanisms  
127 mediating the productivity differences of trees grown in polyculture (Pretzsch, 2005).  
128 For example, in Southern Germany, mixed stands of *Fagus sylvatica* and *Picea abies*  
129 produced up to 59% more aboveground biomass than adjacent pure stands (Pretzsch  
130 & Schütze, 2009). In contrast, Jacob *et al.* (2010) found decreases in aboveground  
131 biomass of *F. sylvatica* with increasing species richness in comparison to *F. sylvatica*  
132 in monoculture. Early on, most research on forest diversity focused on one or two tree

133 species, but recent studies included more species in an attempted to verify the  
134 applicability of grassland findings to forest stands (DeClerck *et al.*, 2006; Vila *et al.*,  
135 2007; Paquette & Messier, 2010). In large scale investigations, support has been  
136 found for the assertion that increased tree diversity leads to increased biomass  
137 production (Vila *et al.*, 2007; Paquette & Messier, 2010). The studies of both Vila *et*  
138 *al.*, (2007) and Paquette & Messier (2010) used databases originating from national  
139 forest inventories, while taking into account the effects of environment. Paquette &  
140 Messier (2010) used 12,000 permanent forest plots in boreal and temperate forest in  
141 Canada, and could show a strong positive and significant effect of tree biodiversity on  
142 aboveground productivity. The study of Vila *et al.*, (2007) used over 8,000 permanent  
143 forest plots in mediteranean forests in Catalonia, and could show a mean 30% higher  
144 wood production in mixed forest compared to mono-specific stands, and a production  
145 increase from 23% in two species stands to 59% in five species stands. In a meta-  
146 analysis of 54 forest studies investigating diversity–productivity relationships, Zhang  
147 *et al.*, (2012) could show a 24% higher productivity in polycultures than monocultures  
148 with most of the variation accounted for by evenness, the heterogeneity of shade  
149 tolerance, species richness and stand age, in decreasing order of importance. Recently,  
150 high plant diversity has been shown to be required to maintain ecosystem function and  
151 services through time (Isbell & Wilsey, 2011), however the role of tree diversity in  
152 ecosystem productivity, resistance and resilience is still poorly investigated (DeClerck  
153 *et al.*, 2006). In the case of resistance to drought, DeClerck *et al.* (2006) found that the  
154 relative percentage of different species was more important than the species richness  
155 *per se*. Differing species resistance to drought can change the competitive relationship  
156 between the species and may thus result in changed species composition. Reich *et al.*,  
157 (2001) could show that the enhancement of biomass accumulation in response to

158 elevated levels of CO<sub>2</sub> was smaller in species-poor than in species-rich assemblages  
159 of herbaceous plants. However, although it has long been known that tree seedlings of  
160 co-occurring species show differing response to CO<sub>2</sub> (Bazzaz & Miao, 1993), the  
161 influence of elevated CO<sub>2</sub> on tree competition, and the influence of tree biodiversity  
162 on community response to CO<sub>2</sub> has not been investigated.

163 The objectives of this work were to investigate the effects of elevated CO<sub>2</sub>  
164 (580 μmol mol<sup>-1</sup>) on the species and community response of monocultures and  
165 polycultures of tree mixtures under field conditions. Using a Free Air Carbon dioxide  
166 Enrichment (FACE) system we investigated the aboveground response of  
167 monocultures and a three species polyculture of *Alnus glutinosa*, *Betula pendula* and  
168 *Fagus sylvatica* to elevated CO<sub>2</sub> over four years. We tested the hypothesis that  
169 interspecific competition modifies the response of tree species to elevated CO<sub>2</sub>.

## 170 **Materials and Methods**

### 171 *Site description*

172 The Bangor FACE experimental site was established in March 2004 at the Bangor  
173 University research farm (53°14'N, 4°01'W) on two former agricultural fields with a  
174 total area of 2.36 ha. Both fields were originally pastures, one field was used for small  
175 scale forestry experiments for the last 20 years, the other field was ploughed and  
176 planted with oil seed rape in 2003. Climate at the site is classified as Hyperoceanic  
177 with a mean annual temperature in 2005 through 2008 of 11.5 °C and an annual  
178 rainfall of 1034 mm. Soil parent material is postglacial alluvial deposits from the Aber  
179 river which comprises Snowdonian rhyolitic tuffs and lavas, microdiorites and  
180 dolerite in the stone fractions and Lower Paleozoic shale in the finer fractions. Soil is a  
181 fine loamy brown earth over gravel (Rheidol series) and classified as Fluventic  
182 Dystrochrept (Teklehaimanot *et al.*, 2002). Soil texture is 63% sand, 28% silt and 9%

183 clay, nitrogen content in the top 30 cm is 2.6% with C/N ratio of 10.5. The  
184 topography consists of a shallow slope of approximately 1–2° on a deltaic fan. The  
185 site aspect is northwesterly, with an altitude of 13 to 18 m a.s.l. The depth of the water  
186 table ranges between 1 and 6 m.

187         Eight octagonal plots, four ambient and four CO<sub>2</sub> enriched were established at  
188 the site, creating a 2 × 4 factorial block design across the two fields. We used three  
189 tree species (*Alnus glutinosa* [L.] Gaertner, *Betula pendula* Roth. and *Fagus sylvatica*  
190 L.) selected due to their contrasting shade tolerance, successional chronology and to  
191 represent a range of taxonomic, physiological and ecological types. A replacement  
192 series design (with inter-tree spacing constant between treatments) was selected  
193 because of the experiments objective of being realistic in reflecting the practical  
194 realities of how forests comprising monocultures or mixtures of potential canopy tree  
195 species could be established (Jolliffe, 2000). The site was planted with 60 cm saplings  
196 of each species with inter-tree spacing of 0.8 m, giving a density of 15,000 tree ha<sup>-1</sup>. A  
197 systematic hexagonal planting design (Aguiar *et al.*, 2001) was used to maximise the  
198 mixing effect so that, in the three-species polyculture sub-plots, each tree was  
199 surrounded by nearest neighbours of two-conspecific individuals and one and three  
200 individuals of the other two species respectively, resulting in each tree having six  
201 equidistant neighbours. Each plot was divided into seven planting compartments and  
202 planted in a pattern creating areas of one, two and three species mixtures (Fig. 1). The  
203 present study makes use of observations originating from three single species sub-  
204 plots containing nine trees of *B. pendula*, *A. glutinosa* and *F. sylvatica*, and a fourth  
205 sub-plot which contained a species balanced polyculture of all three species. The  
206 planting pattern of each pair of control and elevated CO<sub>2</sub> plots was rotated by 90° to  
207 avoid potential artefacts introduced by microclimate, soil and uneven growth rates of

208 the different species. Each plot was surrounded by a 10 m border of *B. pendula*, *A.*  
209 *glutinosa* and *F. sylvatica* planted at the same density. The remaining field was  
210 planted at a 1 m spacing (10,000 trees ha<sup>-1</sup>) with a mixture of birch (*B. pendula*), alder  
211 (*A. glutinosa*), beech (*F. sylvatica* L.), ash (*Fraxinus excelsior* L.), sycamore (*Acer*  
212 *pseudoplatanus* L.), chestnut (*Castanea sativa* Mill.) and oak (*Quercus robur* L.). To  
213 protect the saplings, the entire plantation was fenced.

214         Eight steel towers were erected around each plot to delineate the experimental  
215 area and to provide supporting infrastructure for the CO<sub>2</sub> enrichment system in the  
216 treatment plots. Ambient CO<sub>2</sub> control plots were identical to the treatment plots, but  
217 for the absence of CO<sub>2</sub> injection piping, to ensure any infrastructure introduced  
218 artefacts were applied to both the treatment and control. Carbon dioxide enrichment  
219 was carried out using high velocity pure CO<sub>2</sub> injection (Okada *et al.*, 2001). In the  
220 first two growing seasons, CO<sub>2</sub> was delivered from a horizontal pipe held at canopy  
221 level. In the growing seasons 3 and 4, an additional pipe suspended 2 m below the  
222 canopy pipe was added to provide adequate enrichment throughout the canopy.  
223 Control of CO<sub>2</sub> delivery was achieved using equipment and software modified from  
224 EuroFACE (Miglietta *et al.*, 2001). The target concentration in the elevated CO<sub>2</sub> plots  
225 was ambient plus 200 ppm. The elevated CO<sub>2</sub> concentrations, measured at 1 minute  
226 intervals, were within 30% deviation from the pre-set target concentration of 580 ppm  
227 CO<sub>2</sub> for 75-79% of the time during the photosynthetically active (daylight hours  
228 between budburst until leaf abscission) period of 2005 – 2008. Vertical profiles of  
229 CO<sub>2</sub> concentration measured at 50 cm intervals through the canopy showed a  
230 maximum difference of +7% from reference value obtained at the top of the canopy.  
231 The effect of CO<sub>2</sub> fumigation on diameter and height of trees grown within the plots  
232 was not modified by the distance from the CO<sub>2</sub> delivery pipe (Supporting Information

233 Fig. S1). The CO<sub>2</sub> used for enrichment originated from natural gas and had a δ<sup>13</sup>C of -  
234 39‰.

235

#### 236 *Biometric Measurements*

237 Tree height and stem diameter at 22.5 cm were measured after tree establishment in  
238 March 2005 and then February of each following year during CO<sub>2</sub> enrichment (2006-  
239 2009). Tree measurements were taken during the winter dormant phase to prevent  
240 growth introduced variation. Tree height was determined using a telescopic pole, and  
241 two measurements of diameter were taken perpendicular to each other using digital  
242 vernier callipers. To account for elliptical stem shape a geometric mean was  
243 calculated. As the initial tree height was less than 137 cm it was only possible to  
244 measure diameter at breast height (DBH) in subsequent years as the stand developed.

245

#### 246 *Allometric Relationships, Stem Volume Index*

247 Two trees of each species were selected for destructive harvest from the downwind  
248 buffer zone of each treatment and control plot. The selection of trees for each species  
249 was based on average height and diameter data collected during the previous season.  
250 Tree height and stem diameter at 22.5 cm were measured and the trees were excavated  
251 to a root diameter of 3-4 mm then separated into leaves, branches stems and roots.  
252 Roots were washed free of adhering soil and stems cut into 15-20 cm sections, oven  
253 dried at 80 °C for 72 hrs and weighed. As a consequence, a power regression of stem  
254 diameter and woody biomass was used to explain the allometric relationship for each  
255 species studied since height was not found to contribute significantly to any of the  
256 allometric models tested (Equation 1). Equation 2 shows the biomass allometric

257 equation in its linear form. Where  $D$  is stem diameter at 22.5 cm, with the power  
258 regression scaling coefficients  $a$  (amplitude) and  $b$  (exponent).

259

Eqn 1

Eqn 2

260

261 Stem volume index (basal diameter<sup>2</sup> × height) was calculated and correlated against  
262 allometrically determined biomass to test the accuracy of predicted biomass values.

263

264 *Overyielding*

265 To determine the effect of growing species in polyculture, the total measured  
266 aboveground woody biomass values in the three-species polyculture sub-plots was  
267 compared with a theoretical mixture calculated from the biomass of each species  
268 growing in the monoculture sub-plots. Equation 3 shows the theoretical mixture  
269 biomass calculation based on the stem number contribution of each species to the  
270 polyculture, where  $B_{Species}$  is the biomass component contributing to the mixture. The  
271 theoretical basis of this calculation is directly analogous to the Relative Yield of  
272 Mixtures index used to quantify the effects of competition (Wilson, 1988). The use of  
273 Equation 3 in this experiment is comparable with the Relative Yield Total (Weigelt &  
274 Jolliffe, 2003).

275

$$B_{mixture} = \left( \frac{1}{3} \times B_{Alnus} \right) + \left( \frac{1}{3} \times B_{Betula} \right) + \left( \frac{1}{3} \times B_{Fagus} \right) \quad \text{Eqn 3}$$

276

277

278 *Leaf N contents*

279 Leaf N contents were measured on five fully mature but otherwise unaltered leaves  
280 collected throughout the canopy of each species sub-plot (120 leaves in total) in 2006  
281 (Ahmed, 2006), 2007 (Anthony, 2007), and 2008 (Millett *et al.*, 2012).

282

283 *Leaf Area Index*

284 From the beginning of leaf senescence, fallen leaf litter was collected weekly using  
285 litter baskets with an area of 0.11 m<sup>2</sup> until all leaves had abscised (October to  
286 December). A litter basket was located in each of the monoculture sub-plots and the  
287 three species polyculture sub-plot (4 in each experimental plot). Litter was washed in  
288 a laboratory, sorted by species and then dried at 80 °C for 24 hours. Dry weight of  
289 each species was determined and recorded for each species sub-plot. Juvenile *Fagus*  
290 *sylvatica* was excluded from the calculations as the beech trees retained the foliage  
291 until bud burst the following season. Leaf area index was calculated according to  
292 (McCarthy *et al.*, 2007). The specific leaf area was calculated from fresh leaves  
293 collected during 2006 and dried archived leaves collected in 2007. Measurements of  
294 leaf area were made with a LI 3000A portable area meter (LI-COR, Lincoln, NE,  
295 USA). Immediately following area measurement leaves were dried at 80 °C for 24  
296 hours, and weighed to determine specific leaf area. The LAI values obtained were  
297 then scaled to calibrate for the different number of trees per species per ground area in  
298 the monoculture and polyculture plots

299

300 *Statistical Analysis*

301 Regression fitting was conducted using SigmaPlot v11.0 (Systat Software Inc,  
302 Chicago, IL.). All statistical procedures were undertaken with SPSS 17.0 (SPSS Inc.,

303 Chicago, IL) with  $P < 0.05$  used as the limit for statistical significance. To avoid  
304 pseudoreplication the mean woody biomass per unit area ( $\text{g m}^{-2}$ ) was calculated from  
305 the trees contributing to the single and mixed-species plots and data were subjected to  
306 repeated measures ANOVA for time series analyses using the plots as replicates  
307 ( $n=4$ ); equality of variance was tested using Mauchly's test of sphericity. A General  
308 Linear Model was used to calculate univariate analysis of variance for data  
309 determined at conclusion of the experiment. Data were tested for normality using  
310 Shapiro-Wilk's test and homogeneity of variance was determined using Levene's test.  
311 Diameter distributions were compared by fitting a normal distribution into the  
312 frequency data and testing for differences in the peak diameter by extra sum-of-  
313 squares F test.

314

315

## 316 **Results**

### 317 *Stem diameter and tree height*

318 At the conclusion of the experiment, the treatment effect on diameter was most  
319 pronounced in single species sub-plots with the largest effect of +14% observed in *A.*  
320 *glutinosa* (ambient 49.1 mm, elevated  $\text{CO}_2$  55.9 mm,  $P=0.007$ , Table 1). Elevated  
321  $\text{CO}_2$  did not change stem diameter of *B. pendula* or *F. sylvatica* significantly.

322 We assessed the treatment effects on diameter distributions of all species by grouping  
323 all measured trees into ten diameter classes with 10 mm step increment. For *A.*  
324 *glutinosa*, *B. pendula* and *F. Sylvatica*, the most frequent diameter class was 50-60  
325 mm, 40-50 mm and 20-30 mm, respectively. The diameter class distribution of *B.*  
326 *pendula* and *F. sylvatica* grown in monoculture was not altered by elevated  $\text{CO}_2$   
327 enrichment (Supporting Information Fig. S2). However in *A. glutinosa*, there was a

328 shift towards larger diameter boles under elevated CO<sub>2</sub>, where 39% of trees had a  
329 diameter greater than 50-60 mm, which was in contrast to ambient plots, where only  
330 11% of trees were in this diameter class ( $P=0.021$ ). In polyculture, the mean of the  
331 diameter distribution was not altered by elevated CO<sub>2</sub> in any of the species. Tree  
332 height was unaffected by elevated CO<sub>2</sub> enrichment in either mono- or polyculture at  
333 the end of observation (Table 1).

334

### 335 *Allometric Equations*

336 Height and diameter data gathered from trees in the vicinity of elevated and ambient  
337 CO<sub>2</sub> plots were subjected to a stepwise biomass prediction regression. Height was  
338 excluded during this analysis, as it did not significantly contribute to the regression  
339 model. Ultimately a simple power regression of diameter predicted biomass with the  
340 greatest accuracy. Power function scaling coefficients for the three species utilised in  
341 this study are shown in Table 2. There were no changes in allometry due to elevated  
342 CO<sub>2</sub> at this stage of tree development and subsequently all species specific data were  
343 pooled to produce three allometric relationships with coefficients of variation ranging  
344 from 0.78 to 0.85. Strong correlations between stem volume index and predicted  
345 biomass confirmed the accuracy of predictions for *A. glutinosa* ( $R^2=0.98$ ) and *B.*  
346 *pendula* ( $R^2=0.99$ ), but highlight a small underestimate of predicted *F. sylvatica*  
347 biomass in elevated CO<sub>2</sub> plots ( $R^2=0.88$ ).

348

### 349 *Aboveground biomass in monoculture and polyculture.*

350 Making use of the allometric equations to calculate tree aboveground woody biomass,  
351 we show that species grown in monoculture responded to elevated CO<sub>2</sub> treatment  
352 more than those grown in the three species polyculture. Fig. 3 and Table 3 detail the

353 relationship between time and biomass accrual for all species in ambient and  
354 elevated atmospheric CO<sub>2</sub>. Under ambient CO<sub>2</sub> both *A. glutinosa* and *B. pendula*  
355 accumulated aboveground woody biomass faster in the polyculture than in the  
356 monocultures. The influence of elevated CO<sub>2</sub> on aboveground woody biomass  
357 production varied between species and years. Unsurprisingly in an expanding system,  
358 sampling year explained the greatest amount of variation in a repeated measures  
359 ANOVA model, being highly significant for all species in both monoculture and  
360 polyculture (Table 4). There were no significant year × treatment interactions for any  
361 species in the polyculture or for *B. pendula* and *F. sylvatica* in the monocultures.  
362 However, there was a significant year × treatment interaction for *A. glutinosa*  
363 ( $P=0.008$ ). Elevated CO<sub>2</sub> treatment produced a significant effect on aboveground  
364 woody biomass in *A. glutinosa* grown in monoculture during 2005 ( $P=0.022$ ), 2007  
365 ( $P=0.025$ ) and 2008 ( $P=0.002$ , Table 3). In polyculture, no statistically significant  
366 effects of elevated CO<sub>2</sub> were found.

367 The temporal fluctuation in the treatment effect of *B. pendula* and *F. sylvatica* grown  
368 in monoculture and polyculture became more apparent when the aboveground woody  
369 biomass NPP for each year was calculated (Table 5). In the monocultures, *A.*  
370 *glutinosa* showed a positive treatment effect throughout the 4 years of enrichment,  
371 whereas in *B. pendula* both positive and negative treatment effects were found. In *F.*  
372 *sylvatica*, aboveground woody biomass NPP was initially stimulated under elevated  
373 CO<sub>2</sub>, but the effect turned strongly negative in 2008. In polyculture, *A. glutinosa*  
374 showed a strong positive treatment effect on aboveground woody biomass for all  
375 years except 2007. Similarly in *B. pendula* a positive treatment effect on aboveground  
376 woody biomass were shown for all years. In contrast, a negative effect of elevated  
377 CO<sub>2</sub> was shown on the accumulation of aboveground woody biomass in *F. sylvatica*

378 in all years except 2006. Pooling the species contributing to the polyculture over all  
379 years, there was no effect of elevated CO<sub>2</sub> on overyielding in the mixture ( $P=0.094$ ),  
380 nor did we observe any modification of the CO<sub>2</sub> fertilisation when growing trees in  
381 monoculture or polyculture ( $P=0.192$ , Fig. 3).

382 At the conclusion of the experiment with all species pooled, aboveground woody  
383 biomass reached  $16.5 \pm 0.8 \text{ kg m}^{-2}$  in ambient CO<sub>2</sub> plots and  $19.3 \pm 0.4 \text{ kg m}^{-2}$  in  
384 elevated CO<sub>2</sub> plots, a significant increase of 17% ( $P=0.022$ ). The contribution of  
385 aboveground woody biomass within the elevated CO<sub>2</sub> plots followed the order *B.*  
386 *pendula* ( $10.1 \pm 0.0 \text{ kg m}^{-2}$ ), *A. glutinosa* ( $8.6 \pm 0.6 \text{ kg m}^{-2}$ ) and *F. sylvatica* ( $0.6 \pm 0.0$   
387  $\text{kg m}^{-2}$ ). A significant 16% ( $P=0.046$ ) increase in aboveground woody biomass was  
388 observed in *B. pendula* in response to CO<sub>2</sub> treatment. Pooling the values for each  
389 species, in the monocultures the aboveground woody biomass was  $12.9 \pm 1.4 \text{ kg m}^{-2}$   
390 in ambient, and  $15.2 \pm 0.6 \text{ kg m}^{-2}$  in elevated CO<sub>2</sub> treatments. Polyculture  
391 aboveground woody biomass reached  $18.9 \pm 1.0 \text{ kg m}^{-2}$  in ambient and  $20.2 \pm 0.6 \text{ kg}$   
392  $\text{m}^{-2}$  in elevated CO<sub>2</sub> treatments. This resulted in an increase in aboveground woody  
393 biomass under elevated CO<sub>2</sub> of 18% in monoculture and 7% in polyculture.

394 To summarise, pooled aboveground woody biomass was significantly affected by  
395 elevated CO<sub>2</sub> ( $P=0.022$ ). We also observed a significant positive effect of species  
396 mixture ( $P=0.001$ ), but the interaction was not significant ( $P=0.534$ ).

397

#### 398 *Leaf N content and aboveground NPP*

399 Over the course of the experiment, leaf N contents were not significantly affected by  
400 elevated CO<sub>2</sub> (Table 6). However, we observed a strong increase in foliar N content in  
401 time ( $P<0.001$ ), combined with significant differences between species ( $P<0.05$ ) over  
402 the period 2006-2008 (Supporting Information Fig. S3). Leaf NUE, defined as unit of

403 aboveground NPP per unit of foliar N content (Yasumura *et al.*, 2002), fluctuated in  
404 time (Fig. 5) and was significantly increased by elevated CO<sub>2</sub> from 44.0 to 53.7 g m<sup>-2</sup>  
405 mg g<sup>-1</sup> averaged for all species and years ( $P=0.017$ ). Due to data unavailability, we  
406 could only establish the effect of mixture on leaf NUE in 2008. Four years into the  
407 experiment, growing species in polyculture as opposed to monoculture significantly  
408 increased the overall leaf NUE from 23.4 to 38.6 g m<sup>-2</sup> mg g<sup>-1</sup> ( $P=0.022$ , Fig. 6).  
409 However, there was no effect of mixture or elevated CO<sub>2</sub> on leaf NUE in individual  
410 species in 2008.

411

#### 412 *Leaf Area Index*

413 Repeated measures ANOVA showed a significant year  $\times$  species interaction for  
414 species grown in monoculture ( $P<0.05$ ) and polyculture ( $P<0.001$ ; Table 7). The  
415 response of LAI to elevated CO<sub>2</sub> when species were grown in monoculture was a  
416 mean increase of 32% in *B. pendula*, and mean decrease of 6% in *A. glutinosa*. During  
417 the four years of CO<sub>2</sub> enrichment LAI of *B. pendula* was between 1.1-3.2 m<sup>2</sup> m<sup>-2</sup> in  
418 ambient CO<sub>2</sub> and 0.8-4.0 m<sup>2</sup> m<sup>-2</sup> in elevated CO<sub>2</sub> plots, whereas LAI of *A. glutinosa*  
419 was between 1.4-7.6 m<sup>2</sup> m<sup>-2</sup> and 1.4-8.2 m<sup>2</sup> m<sup>-2</sup> in ambient and elevated CO<sub>2</sub> plots  
420 respectively (Fig. 4). Elevated CO<sub>2</sub> initially increased LAI of *B. pendula* by 37%,  
421 however this effect gradually declined to 24% in 2007, recovering to 32% by the  
422 conclusion of the experiment. In both mono- and polyculture, peak LAI in *A.*  
423 *glutinosa* and *B. pendula* was recorded in 2007, which was preceded by a severe  
424 drought, summer crown defoliation, and leaf re-flushing during august of 2006, a  
425 strong decline in LAI immediately followed in 2008 in monocultures. During 2008 in  
426 polyculture the LAI was 4.6 and 4.4 times greater than in monoculture in ambient  
427 atmosphere for *B. pendula* and *A. glutinosa*, respectively, whilst in monoculture the

428 LAI was 6.1 and 4.6 times greater than in elevated CO<sub>2</sub> for *B. pendula* and *A.*  
429 *glutinosa* respectively.

430

#### 431 **Discussion**

432 Allometric relationships have commonly been used to estimate biomass of  
433 aboveground compartments. The allometric coefficients generated in this study were  
434 broadly similar to previously published coefficients (Hughes, 1971; Bartelink, 1997;  
435 Pajtik *et al.*, 2011), with the exception of *F. sylvatica*. The dimorphic growth  
436 characteristics of juvenile *F. sylvatica* under different light regimes during canopy  
437 development may explain the difference observed (Delagrange *et al.*, 2006). The  
438 application of species and site specific allometric relationships is likely to be valid for  
439 *A. glutinosa* and *B. pendula*. However, the relationship for *F. sylvatica* appears a little  
440 weaker and may benefit from closer examination of the differences in morphology  
441 when trees are shade suppressed and growing in full light.

442 In this study, aboveground woody biomass accumulation in *A. glutinosa* and  
443 *B. pendula* was greater in polyculture than in the monocultures. In species diverse  
444 communities, complementary use of resources may lead to higher yields than in  
445 monocultures (Loreau & Hector, 2001). Differences in the tree species life-history  
446 character traits, such as crown structure, rooting depth, shade tolerance, phenology,  
447 and photosynthetic light response may allow for differential access to resources  
448 (Kelty, 1992). If the chosen species occupying the same site differ substantially in  
449 these characteristics, they may capture site resources more completely or use  
450 resources more efficiently to produce biomass. Species with contrasting trait  
451 characteristics can be described as having complementary resource use (Haggar &  
452 Ewel, 1997) or good ecological combining ability (Harper, 1977). However, it should

453 be noted that complementarity may not necessarily result in a positive effect on  
454 productivity, antagonistic interactions (negative complementarity) between species  
455 may also occur due to character trait interferences that may lower the productivity of  
456 species mixtures over those expected from monocultures (Wardle *et al.*, 1998; Loreau  
457 & Hector, 2001; Eisenhauer, 2012). In this study, Paquette & Messier (2010) in an  
458 analysis of naturally occurring tree biodiversity could show a strong positive effect of  
459 biodiversity on tree productivity. They further suggest that in the more productive  
460 environment of temperate forest, competitive exclusion is the most probable outcome  
461 of species interactions, but in the more stressful environment of boreal forest  
462 beneficial interactions such as niche partitioning and facilitation may be more  
463 important.

464 In our temperate forest mixture, we used two pioneer species and a late  
465 successional species that strongly differ in their functional traits. *Betula pendula* is a  
466 light demanding, early successional pioneer species which casts little shade and  
467 rapidly occupies open areas due to fast juvenile growth (Fischer *et al.*, 2002). *Alnus*  
468 *glutinosa* is an N-fixing, water demanding pioneer species, also with high juvenile  
469 growth rates (Braun, 1974). The root system of *A. glutinosa* is adapted to wet soils,  
470 with many vertically growing sinker roots that may reach 5 m depth (Claessens *et al.*,  
471 2010). In mixed forests, its limited height growth and shade intolerance prevent it  
472 from dominating in late successional forest. Lastly, *Fagus sylvatica* is shade tolerant  
473 and slow growing when juvenile (Ellenberg *et al.*, 1991), can persist in the understory,  
474 and often dominates late successional forest. The higher polyculture productivity in  
475 our 4 year old plantation suggests that the dominant pioneer species *A. glutinosa* and  
476 *B. pendula* are partitioning canopy space made available by *F. sylvatica*. However,  
477 the flattening of the diameter class distribution in *B. pendula*, but not in *A. glutinosa*,

478 suggests that some *B. pendula* are being excluded. In our study, we did not  
479 systematically determine crown architecture, but observed that in polyculture both *B.*  
480 *pendula* and shorter *A. glutinosa* had deeper crowns. Indeed, we saw higher LAI in *A.*  
481 *glutinosa* and *B. pendula* in polyculture compared to monocultures, but no difference  
482 in stem height, which suggests alteration of crown architecture between monoculture  
483 and polyculture grown trees. Claessen *et al.*, (2010) suggest that *A. glutinosa* grown in  
484 monoculture produces a straight bole and round crown, whereas when grown in  
485 admixture with other species forms a stratified canopy. In the meta-analysis of species  
486 richness productivity relationships by Zhang *et al.*, (2012), heterogeneity of shade  
487 tolerance was the second most important factor explaining increased productivity in  
488 mixtures. In addition to an aboveground partitioning of canopy space, an increase in  
489 N availability via the N-fixing *A. glutinosa* could also be a factor in the higher  
490 productivity of the polyculture. In *A. glutinosa* under ambient CO<sub>2</sub>, the amount of N  
491 content in the leaves did not differ between monoculture or polyculture (Millett *et al.*,  
492 2012), however in polyculture leaves of *F. sylvatica* and *B. pendula* were less  
493 enriched in <sup>15</sup>N compared to the leaves of these species growing in monoculture. This  
494 difference suggests an incorporation of N fixed by the symbionts of *A. glutinosa*. In  
495 other investigations, the contribution of transferred N to total N was 5–15%  
496 (Arnebrant *et al.*, 1993) and 1–3% (Ekblad & HussDanell, 1995) on average between  
497 *A. glutinosa* and *P. contorta* and *A. incana* and *P. sylvestris*, respectively.  
498 Furthermore, leaves of both *F. sylvatica* and *B. pendula* with greater numbers of *A.*  
499 *glutinosa* as direct neighbours were significantly depleted in <sup>15</sup>N compared to leaves  
500 of those with fewer *A. glutinosa* as direct neighbours (Millett *et al.*, 2012), suggesting  
501 a competition for N as a possible mechanism for exclusion of some of the *B. pendula*.

502 In response to elevated CO<sub>2</sub>, aboveground woody biomass for all 3 species  
503 combined was increased by 22% in monocultures. A response of this magnitude is  
504 consistent with previously reported woody plant response of 28% calculated from  
505 meta-analyses of elevated CO<sub>2</sub> experiments (Curtis & Wang, 1998; Ainsworth &  
506 Long, 2005) or 23% from four forest FACE experiments after six years of enrichment  
507 (Norby *et al.*, 2005). Utilising observations spanning somewhat longer exposure to  
508 elevated CO<sub>2</sub> (up to 11 years), Norby *et al.*, (2010) have shown that NPP  
509 responsiveness decreases in time. The limitation of NPP stimulation may largely be  
510 attributed to progressive nitrogen limitation (PNL), however the observed reduction in  
511 NPP stimulation was almost entirely accounted for by changes in fine root production.  
512 Given the life history character traits of the species chosen in our experimental  
513 plantation, it is possible that the increased accrument of woody biomass we observed  
514 in polyculture may not decrease as the forest stand develops. The presence of *A.*  
515 *glutinosa* in the mixture should compensate for increased N uptake and thus negate or  
516 at least delay the onset of PNL. Several studies have shown that the rate of N-fixation  
517 in the nodules of trees supporting this type of symbiosis increases under elevated CO<sub>2</sub>,  
518 presumably as a result of increased C availability (Hungate *et al.*, 1999; Schortemeyer  
519 *et al.*, 2002). *B. pendula* and *F. sylvatica* growing in our plantation have been shown  
520 to utilize N fixed by *A. glutinosa*, suggesting that the presence of an N-fixing species  
521 might alleviate N limitation for all species grown in a polyculture.

522 There were considerable temporal differences in the response to elevated CO<sub>2</sub>  
523 at our site. In the first growing season before canopy closure, all species responded to  
524 elevated CO<sub>2</sub> enrichment by increasing total biomass by 27-29%. Stimulation of *B.*  
525 *pendula* began to decline during the second growing season, whereas the response of  
526 *F. sylvatica* declined during the last two growing seasons – an effect often attributed

527 to acclimation to elevated CO<sub>2</sub> (Ainsworth & Long, 2005) or to nutrient limitation  
528 (Oren *et al.*, 2001). In the present study leaf N was unaffected by elevated CO<sub>2</sub> during  
529 all stages of development, and thus it is unlikely that the decreasing overall elevated  
530 CO<sub>2</sub> effect is due to N limitation. Due to the history of land use at the site, we did not  
531 expect lack of N to limit plant growth within the first four years. In fact, foliar N  
532 increased while leaf NUE decreased with time in all treatments, indicating sufficient  
533 N uptake. In all species pooled together, leaf NUE was increased by elevated CO<sub>2</sub> and  
534 also by growing trees in a mixture. However, we did not observe any differences in  
535 leaf NUE in individual species, suggesting that a different mechanism may explain  
536 observed species-specific responses.

537         Since we observed an expanding system with at least two canopy levels, the  
538 developmental phase of the stand and the strength of competition in our experiment  
539 must also be considered. Each species used in this study differs in their shade  
540 tolerance. Ellenberg (1991) characterised *F. sylvatica*, *A. glutinosa* and *B. pendula*  
541 respectively as shade tolerant (3, out of 9), intermediate (5) and light demanding (7).  
542 Low leaf mass per leaf unit area and high rate of carbon assimilation per unit leaf area  
543 of light demanding species allow rapid occupancy of available space and some  
544 canopy light penetration (Niinemets, 2006). Considering only monocultures in 2005,  
545 the saplings of each species were initially not influenced by intra-specific competition  
546 for light and space, allowing a greater response to elevated CO<sub>2</sub>. The subsequent  
547 decline in response of *F. sylvatica* to elevated CO<sub>2</sub>, may be explained by strong  
548 intraspecific competition through leaf morphology and crown architecture that  
549 minimises canopy light penetration. In contrast, *A. glutinosa* sustained the stimulation  
550 by elevated CO<sub>2</sub>, ranging between 25-32% throughout the four year experiment.  
551 Claessens *et al.*, (2010) described *A. glutinosa* as fast growing when juvenile, but as a

552 poor competitor that does not produce shade leaves. Respirational losses of crown  
553 shaded leaves may result in a leaf carbon balance that approaches zero which can  
554 lead to rapid leaf death (Reich *et al.*, 2009). In our ecosystem, fast juvenile growth  
555 coupled with rapid self-pruning enabled *A. glutinosa* grown in monoculture to fully  
556 utilise elevated levels of atmospheric CO<sub>2</sub> to accumulate aboveground woody  
557 biomass, however, aboveground growth response to elevated CO<sub>2</sub> was dramatically  
558 reduced when species were grown in polyculture. Initial increases in biomass of *F.*  
559 *sylvatica* were marginal, eventually becoming suppressed in the last growing seasons.  
560 The lack of stimulation of *F. sylvatica* is most likely due to faster canopy occupation  
561 by *A. glutinosa* and *B. pendula* under elevated CO<sub>2</sub>. Changes in leaf area index (LAI)  
562 may influence canopy light penetration and inter-specific competition under elevated  
563 CO<sub>2</sub>. In our study, in monocultures the LAI was unaffected by elevated CO<sub>2</sub>, but was  
564 there was a consistently higher trend in *B. pendula* for the first three years. During the  
565 summer of 2006, a severe drought resulted in partial canopy defoliation, which may  
566 explain the dramatic LAI increase in 2007. Both species possess indeterminate growth  
567 characteristics that enabled an additional leaf flush when environmental conditions  
568 improved later in the 2006 season. We propose two mechanisms to explain this  
569 phenomena; (i) differences in rooting depth between the two species and (ii) the  
570 ability to recover from defoliation related to N storage. *A. glutinosa* has been  
571 characterised as possessing extensive root systems, with particularly deep tap roots  
572 that enable it to access water below the normal water table (Schmidt-Vogt, 1971;  
573 Claessens *et al.*, 2010). This confers a considerable advantage in leaf production  
574 during, and following, drought conditions. The second explanation centres on the  
575 storage of N in tree perennial organs which can be re-mobilised and support leaf  
576 regrowth after defoliation. In combination with a flush of carbon and organic nitrogen

577 compounds released for root uptake as the abscised litter decomposed mid-growing  
578 season, this mechanism may have facilitated the development of leaf primordia and a  
579 greater LAI during the following season (Tromp, 1983). Oksanen *et al.* (2001) found  
580 that elevated CO<sub>2</sub> consistently increased leaf area index throughout the growing  
581 season in aspen, birch and maple stands, which was attributed to larger leaves. In  
582 contrast, Gielen *et al.* (2001) found that leaf area index of *P. nigra* increased by 225%  
583 during the first growing season. However, a post-canopy closure analysis using a fish-  
584 eye canopy analyser revealed no increase in leaf area index, which is in agreement  
585 with data obtained at the Oak Ridge deciduous closed canopy elevated CO<sub>2</sub>  
586 experiment (Norby *et al.*, 2003.).

587 Our results clearly show that the aboveground response to elevated CO<sub>2</sub> is species  
588 dependent, but also affected by intra- and inter-specific competition. Indeed, old  
589 growth *F. sylvatica* have been reported to show only a limited response to CO<sub>2</sub>  
590 enrichment (Körner *et al.*, 2005). In our study, a small, but statistically non-significant  
591 positive effect of elevated CO<sub>2</sub> on *F. sylvatica* in polyculture was shown in 2006, a  
592 year in which a severe summer drought in June and July resulted in strong leaf loss in  
593 *A. glutinosa* and *B. pendula*. During this period only 44 mm of precipitation fell,  
594 compared to 101, 216 and 85 mm in the same period of 2005, 2007 and 2008  
595 respectively. In July 2006 maximum temperature was 34.5 °C, 10 °C warmer than in  
596 other years. The increase in light penetration to the understory formed by *F. sylvatica*,  
597 in combination with improved water use efficiency, may have stimulated a response  
598 to elevated CO<sub>2</sub>, at least until *A. glutinosa* and *B. pendula* regrew some of their  
599 foliage in late August. The literature suggests that much of the response of trees to  
600 elevated CO<sub>2</sub> is linked to greater water availability, and that trees may be more  
601 drought tolerant under elevated CO<sub>2</sub> (Eamus, 1991; Holtum & Winter, 2010;

602 Leuzinger *et al.*, 2011). If elevated CO<sub>2</sub> had conferred a greater tolerance to drought in  
603 our experiment we would have expected the highest response to elevated CO<sub>2</sub> in  
604 2006, this was clearly not the case for *A. glutinosa* and *B. pendula*, however, the  
605 severity of the drought in combination with higher temperatures and photosynthetic  
606 oxidative stress should also be considered.

607 To date, the majority of tree elevated CO<sub>2</sub> experiments have used monospecific tree  
608 stands and report a mean stimulation of NPP for the duration of the observation  
609 (Norby *et al.*, 2010). We show that in a short-term empirical study of juvenile  
610 deciduous temperate trees grown in polyculture that the aboveground woody biomass  
611 response to elevated CO<sub>2</sub> was strongly decreased. This result may have implications  
612 for estimating global forest response to elevated CO<sub>2</sub>, as in natural mixed species  
613 forest the response to CO<sub>2</sub> may be lower than previous estimates. However, caution  
614 must be exercised when extrapolating data from small scale temperate plantations,  
615 particularly when there is potential for experimental artefacts, arising from CO<sub>2</sub>  
616 enrichment systems and edge effects influencing the response of saplings planted in  
617 complex arrangements at high planting densities. Although providing useful data  
618 experimental plantations do not directly mimic the natural species diverse, multi-aged,  
619 and complex structures of the majority of the world's forests that grow in differing  
620 biomes, constrained by other physical and environmental drivers. Leuzinger *et al.*  
621 (2011) suggest that an increase in the number of driver variables such as elevated  
622 CO<sub>2</sub>, drought, N addition will dampen ecosystem response to single factors through  
623 contrasting driver interactions. Similarly, Langley & Megonigal (2010) could show  
624 that in a grassland system, addition of N under high CO<sub>2</sub> promoted a shift in  
625 community composition to C<sub>4</sub> species that were less responsive to CO<sub>2</sub>, thus  
626 decreasing overall community response. Further, Langley & Megonigal (2010)

627 suggest that if the addition of N favours species that respond strongly to CO<sub>2</sub>, the  
628 community response to CO<sub>2</sub> should increase. In our experimental mixture,  
629 complementary resource acquisition has lead to greater community productivity  
630 which has dampened the aboveground woody biomass response to elevated CO<sub>2</sub> even  
631 though the most responsive species in monoculture (*A. glutinosa* and *B. pendula*) have  
632 been promoted within the mixed community. This is most likely due to changes in  
633 source-sink relationships and carbon allocation to belowground organs. Indeed, tree  
634 root systems under elevated atmospheric CO<sub>2</sub> have been shown to expand deeper into  
635 the soil (Lukac *et al.*, 2003; Iversen, 2010; Smith *et al.*, 2012). Clearly, we are only  
636 beginning to understand how changes in elevated CO<sub>2</sub> influenced above- and  
637 belowground processes may alter plant community dynamics.

638 In conclusion, atmospheric CO<sub>2</sub> enrichment did not alter species specific allometric  
639 relationships. Estimation of aboveground biomass stocks and productivity revealed a  
640 differential response to elevated atmospheric CO<sub>2</sub>. Aboveground biomass responses to  
641 CO<sub>2</sub> enrichment were species specific and strongly reduced when species were grown  
642 in polyculture. In monoculture, *A. glutinosa* produced the largest and most consistent  
643 response, maintaining growth response until the experiment's conclusion. In contrast,  
644 the growth response of *B. pendula* and *F. sylvatica* diminished with time. In  
645 polyculture growth of *F. sylvatica* was not enhanced by elevated CO<sub>2</sub>. Our results  
646 suggest that determining how the aboveground biomass response of deciduous species  
647 grown in polyculture differs over single species plantations is imperative to improving  
648 our understanding of future CO<sub>2</sub> will impact natural forest community dynamics.

649

650

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660

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907 **Supporting Information**

908 **Supporting Information Fig. S1** – Effect of CO<sub>2</sub> fumigation on diameter at base (A)  
909 and height (B) of all trees grown within experimental plots.

910

911 **Supporting Information Fig. S2** – Diameter class distributions at the conclusion of  
912 the Bangor FACE experiment of individual species grown in monoculture and a three  
913 species polyculture under ambient and elevated CO<sub>2</sub>.

914

915 **Supporting Information Fig. S3** – Foliar nitrogen content (a), aboveground NPP (b),  
916 and leaf NUE (c) in *A.glutinosa*, *B.pendula* and *F.sylvatica*.

**Table 1** Overall effect of elevated CO<sub>2</sub> and probability of significance at the end of 2008 growing season after four years fumigation. The effect of elevated CO<sub>2</sub> is expressed as a percentage relative to control plot measurements of tree diameter at 22.5 cm and height of *A. glutinosa*, *B. pendula*, *F. sylvatica*. Trees were grown in monocultures and a three species polyculture. Statistically significant results are emboldened and denoted by an asterisk (\*\* $P < 0.01$ ).

Planting pattern	Species	Diameter		Height	
		Effect	Probability	Effect	Probability
<i>Mono</i>	<i>A. glutinosa</i>	14%	<b>0.007**</b>	3%	0.706
	<i>B. pendula</i>	6%	0.146	0%	0.935
	<i>F. sylvatica</i>	6%	0.603	0%	0.965
<i>Poly</i>	<i>A. glutinosa</i>	4%	0.618	1%	0.837
	<i>B. pendula</i>	5%	0.614	3%	0.728
	<i>F. sylvatica</i>	-5%	0.483	-12%	0.333

**Table 2** Allometric relationship power function scaling coefficients for the three species utilised in this study determined by regression analysis.

Species	$a$	$b$	$R^2$
<i>Alnus glutinosa</i>	0.5200	2.020	0.85
<i>Betula pendula</i>	0.4414	2.163	0.86
<i>Fagus sylvatica</i>	0.6885	1.853	0.78

**Table 3** Effect of CO<sub>2</sub> enrichment on aboveground woody biomass of *Alnus glutinosa*, *Betula pendula* and *Fagus sylvatica* when grown in monoculture and in a three species polyculture. Statistically significant results are emboldened and denoted by an asterisk (\* $P < 0.05$ ).

Planting	Species	2005	2006	2007	2008	Overall
<i>Mono</i>	<i>A. glutinosa</i>	<b>*+29%</b>	+25%	<b>*+28%</b>	<b>*+32%</b>	+29%
	<i>B. pendula</i>	+27%	+13%	+14%	+9%	+16%
	<i>F. sylvatica</i>	+28%	+33%	+20%	+9%	+22%
<i>Poly</i>	<i>A. glutinosa</i>	+13%	+12%	+3%	+8%	+10%
	<i>B. pendula</i>	+4%	+8%	+6%	+7%	+6%
	<i>F. sylvatica</i>	+2%	+5%	+2%	-8%	0%

**Table 4** F-values and probability of significance for sampling year and sampling year  $\times$  CO<sub>2</sub> treatment interactions from a repeated measures ANOVA of tree diameter, height and aboveground woody biomass for *A. glutinosa*, *B. pendula* and *F. sylvatica* grown in both monoculture and polyculture. Statistically significant results are emboldened and denoted by an asterisk (\* $P$ <0.1, \*\* $P$ <0.05, \*\*\* $P$ <0.001).

Planting Pattern	Species	Source of Variation	Diameter		Height		Biomass	
			F	Probability	F	Probability	F	Probability
<i>Mono</i>	<i>A. glutinosa</i>	treatment	7.216	<b>0.036</b> **	0.681	0.441	3.920	<b>0.095</b> *
		year	506.525	<b>&lt;0.001</b> ***	512.615	<b>&lt;0.001</b> ***	253.786	<b>&lt;0.001</b> ***
		year $\times$ treatment	2.689	0.055	0.603	0.664	5.546	<b>0.008</b> **
	<i>B. pendula</i>	treatment	1.808	0.227	0.076	0.792	1.064	0.342
		year	428.974	<b>&lt;0.001</b> ***	394.712	<b>&lt;0.001</b> ***	113.580	<b>&lt;0.001</b> ***
		year $\times$ treatment	0.610	0.659	0.193	0.940	0.078	0.971
	<i>F. sylvatica</i>	treatment	1.017	0.352	0.576	0.477	0.445	0.529
		year	123.828	<b>&lt;0.001</b> ***	200.403	<b>&lt;0.001</b> ***	47.454	<b>&lt;0.001</b> ***
		year $\times$ treatment	0.454	0.769	1.124	0.368	0.250	0.860
<i>Poly</i>	<i>A. glutinosa</i>	treatment	0.319	0.592	0.110	0.751	0.271	0.622
		year	377.886	<b>&lt;0.001</b> ***	934.984	<b>&lt;0.001</b> ***	125.788	<b>&lt;0.001</b> ***
		year $\times$ treatment	0.818	0.526	0.223	0.923	0.179	0.909
	<i>B. pendula</i>	treatment	0.440	<b>0.532</b>	0.368	0.566	0.355	0.573
		year	223.473	<b>&lt;0.001</b> ***	351.368	<b>&lt;0.001</b> ***	64.346	<b>&lt;0.001</b> ***
		year $\times$ treatment	0.245	0.910	0.088	0.985	0.083	0.969
	<i>F. sylvatica</i>	treatment	0.003	0.958	0.695	0.436	0.270	0.622
		year	205.838	<b>&lt;0.001</b> ***	116.937	<b>&lt;0.001</b> ***	101.798	<b>&lt;0.001</b> ***
		year $\times$ treatment	0.651	0.632	0.950	0.453	1.240	0.325

**Table 5** Effect of CO<sub>2</sub> enrichment on annual production of aboveground woody biomass in *Alnus glutinosa*, *Betula pendula* and *Fagus sylvatica* when grown in monocultures and polyculture with other species. Statistically significant results are emboldened and denoted by an asterisk (\* $P < 0.05$ ).

Planting	Species	2005	2006	2007	2008	Overall
<i>Mono</i>	<i>A. glutinosa</i>	35%	20%	<b>*33%</b>	<b>*59%</b>	37%
	<i>B. pendula</i>	32%	-7%	15%	-8%	8%
	<i>F. sylvatica</i>	30%	38%	-4%	-31%	9%
<i>Poly</i>	<i>A. glutinosa</i>	27%	13%	-13%	29%	14%
	<i>B. pendula</i>	6%	13%	4%	7%	8%
	<i>F. sylvatica</i>	-2%	9%	-20%	-38%	-13%

**Table 6** Leaf nitrogen content ( $\% \pm \text{SEM}$ ) of *Alnus glutinosa*, *Betula pendula* and *Fagus sylvatica* grown under ambient and elevated CO<sub>2</sub>. Figures in bold denote CO<sub>2</sub> effect significant at  $P < 0.05$ . Source <sup>a</sup>Ahmed (2006), <sup>b</sup>Anthony (2007), <sup>c</sup>Millett *et al.* (2011).

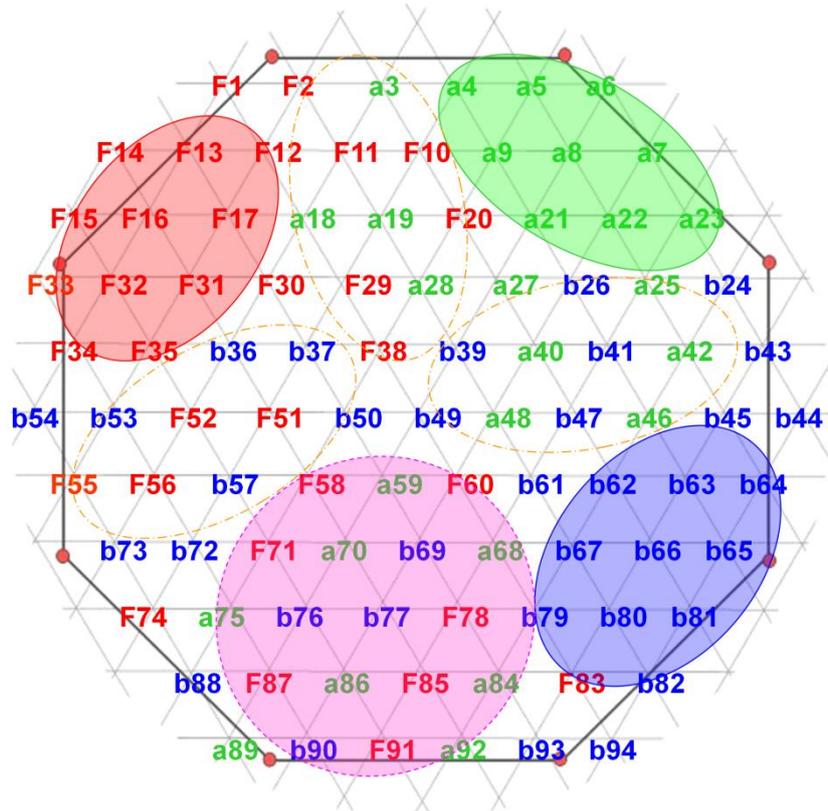
Species	2006 <sup>a</sup>		2007 <sup>b</sup>		2008 <sup>c</sup>	
	Ambient	FACE	Ambient	FACE	Ambient	FACE
<i>A. glutinosa</i>	<b>4.1 ± 0.5</b>	<b>3.1 ± 0.2</b>	3.4 ± 0.2	3.7 ± 0.2	4.1 ± 0.0	3.9 ± 0.1
<i>B. pendula</i>	3.0 ± 0.1	2.7 ± 0.1	2.6 ± 0.5	2.5 ± 0.2	3.7 ± 0.1	3.8 ± 0.2
<i>F. sylvatica</i>	2.0 ± 0.1	2.0 ± 0.1	<b>1.6 ± 0.5</b>	<b>3.7 ± 0.1</b>	3.0 ± 0.1	3.1 ± 0.1

**Table 7** Analysis of the LAI of trees grown in monoculture and a three species polyculture under ambient and elevated CO<sub>2</sub> between 2005-2008 using repeated measures ANOVA. Statistically significant results are emboldened and denoted by an asterisk (\* $P < 0.05$ , \*\*\* $P < 0.001$ )

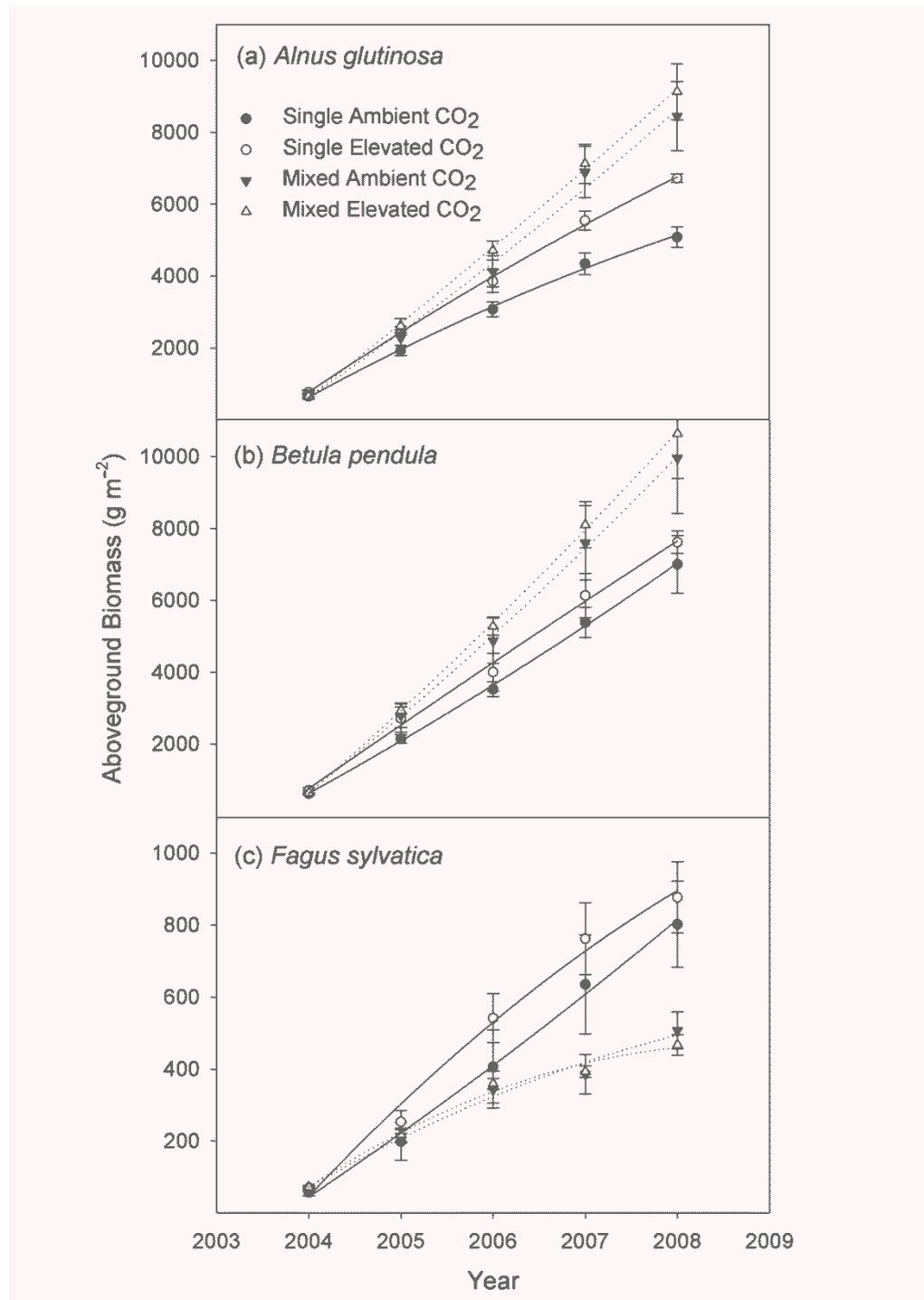
Source of Variation	Monoculture		Polyculture	
	F-Value	Probability	F-Value	Probability
year	44.478	<b>&lt;0.001</b> ***	33.451	<b>&lt;0.001</b> ***
year × treatment	1.318	0.283	0.106	0.956
year × species	3.715	<b>0.020</b> *	19.008	<b>&lt;0.001</b> ***
year × treatment × species	0.423	0.737	1.174	0.333



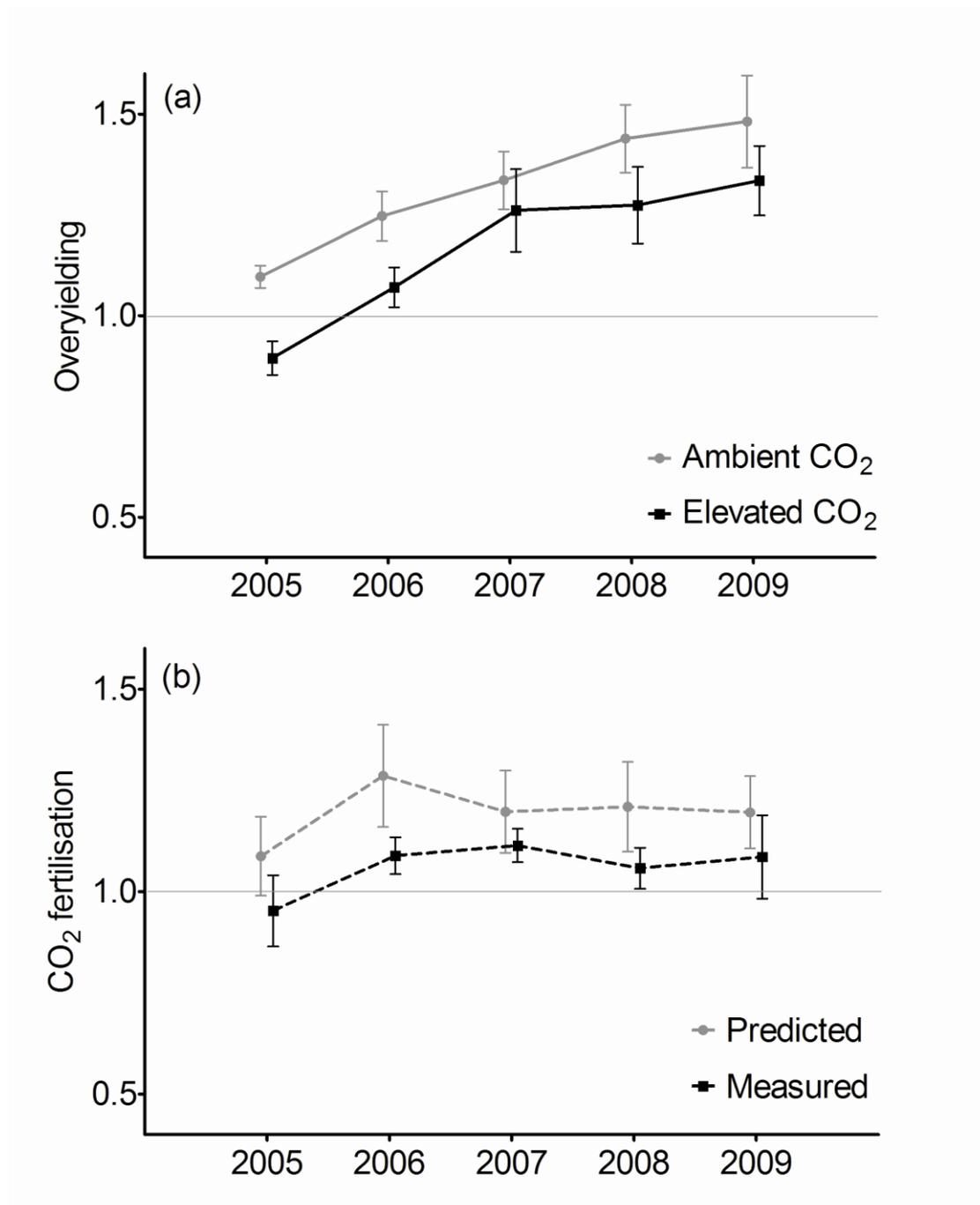
**Fig. 1** Layout of ambient and elevated CO<sub>2</sub> plots; a = *Alnus glutinosa*, b = *Betula pendula*, F = *Fagus sylvatica*. Each plot contains 27 trees per species. Monoculture species area is indicated by a solid lined oval and three species polyculture plots a dot-dash line oval.



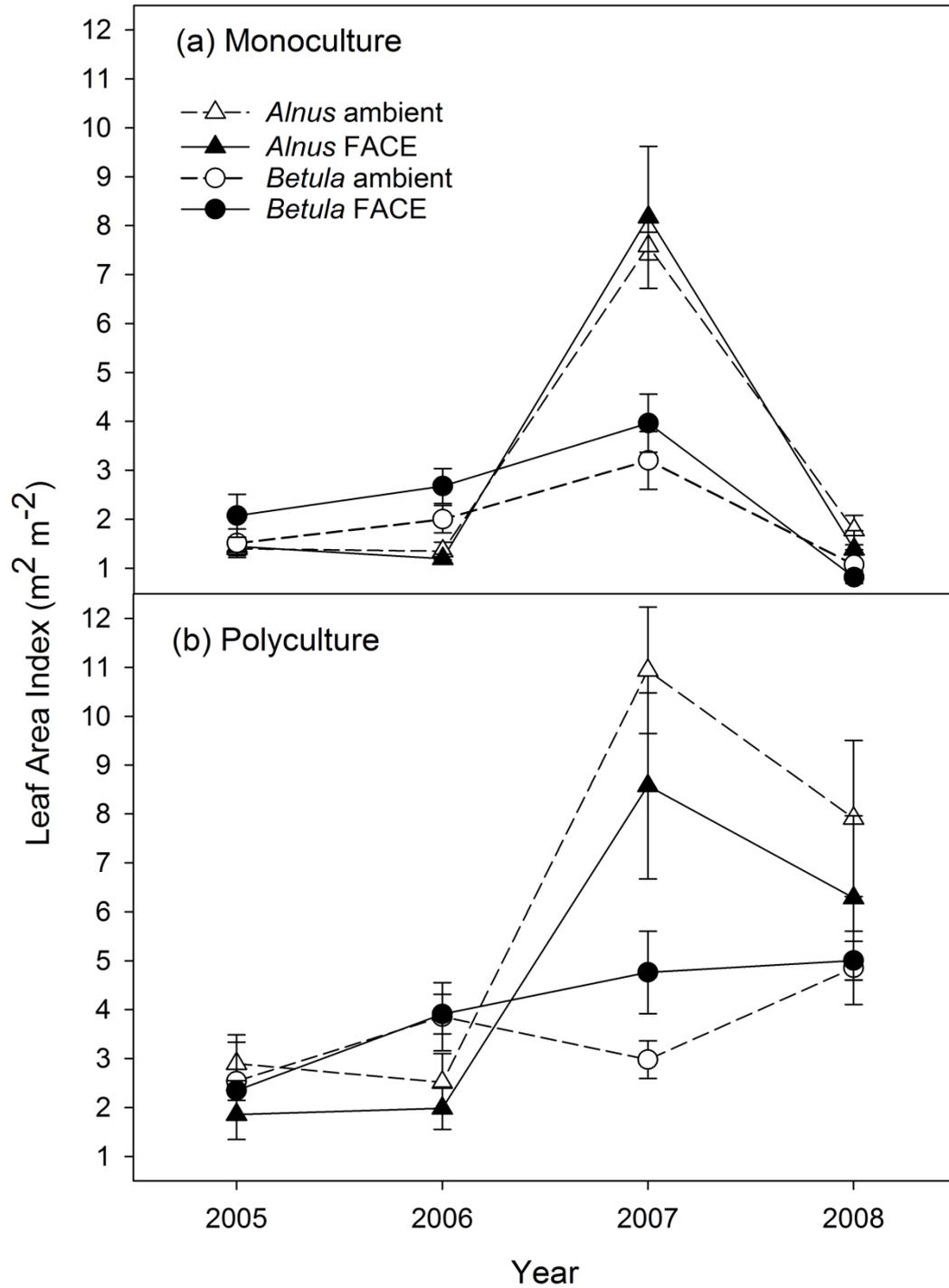
**Fig. 2** Mean  $\pm$  SE aboveground woody biomass for the species grown in monoculture sub-plots under elevated and ambient CO<sub>2</sub> for four years. Aboveground woody biomass was calculated from allometric relationship determined from whole tree harvesting in 2006. Hollow circles indicated elevated atmospheric CO<sub>2</sub> and filled circles indicate ambient CO<sub>2</sub>.



**Fig. 3** Overyielding (a) and CO<sub>2</sub> fertilisation (b) effects in pooled data for *A.glutinosa*, *B.pendula* and *F.sylvatica*. Overyielding was calculated as aboveground woody biomass measured in polyculture over that predicted from monocultures. Predicted biomass was calculated by taking 1/3 of biomass observed in each species when grown in monoculture. CO<sub>2</sub> fertilisation was calculated as biomass in elevated over ambient CO<sub>2</sub> treatments. Values are mean  $\pm$  SE,  $n=4$ .

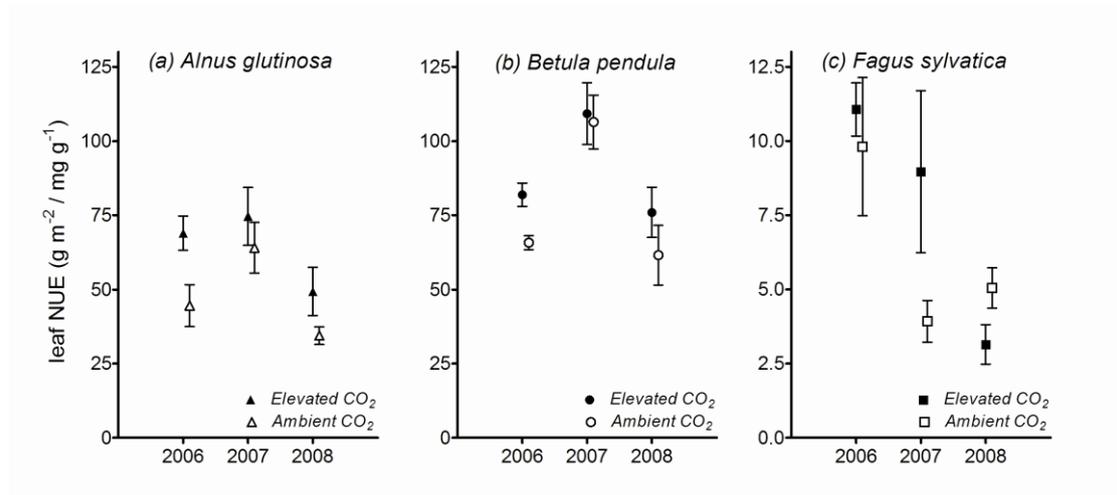


**Fig. 4** Measured leaf area index for *A. glutinosa* and *B. pendula* grown under ambient and elevated CO<sub>2</sub> in monoculture (upper panel) and polyculture (lower panel). Values are mean  $\pm$  SE.



**Fig. 5** Leaf Nitrogen Use Efficiency (NUE) defined as aboveground net primary production per unit of leaf N content. Leaf N Data for (a) *A. glutinosa*, (b) *B. pendula* and (c) *F. sylvatica* are from Ahmed (2006), Anthony (2007) and Millett *et al.* (2011).

Values are mean  $\pm$  SE,  $n=4$ .



**Fig. 6** Leaf Nitrogen Use Efficiency (NUE), defined as aboveground net primary production per unit of leaf N content, in trees grown in monocultures and a three species mixture. Leaf N Data for (a) *A. glutinosa*, (b) *B. pendula* and (c) *F. sylvatica* are from Ahmed (2006), Anthony (2007) and Millett *et al.* (2011). Values are mean  $\pm$  SE,  $n=4$ .

