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## Causal relationships versus emergent patterns in the global controls of fire frequency

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Abstract. Global controls on month-by-month fractional burnt area (2000-2005) were investigated by fitting a generalised linear model (GLM) to Global Fire Emissions Database (GFED) data, with 11 predictor variables representing vegetation, climate, land use and potential ignition sources. Burnt area is shown to increase with annual net primary production (NPP), number of dry days, maximum temperature, grazing-land area, grass/shrub cover and diurnal temperature range, and to decrease with soil moisture, cropland area and population density. Lightning showed an apparent (weak) negative influence, but this disappeared when pure seasonal-cycle effects were taken into account. The model predicts observed geographic and seasonal patterns, as well as the emergent relationships seen when burnt area is plotted against each variable separately. Unimodal relationships with mean annual temperature and precipitation, population density and gross domestic product (GDP) are reproduced too, and are thus shown to be secondary consequences of correlations between different controls (e.g. high NPP with high precipitation; low NPP with low population density and GDP). These findings have major implications for the design of global fire models, as several assumptions in current models - most notably, the widely assumed dependence of fire frequency on ignition rates – are evidently incorrect.

#### 1 Introduction

Fire is a natural, recurring episodic event in almost all ecosystems, although most prevalent in savannas, Mediterranean evergreen woodlands, and boreal forests (Bond and Wilgren, 1996; Bowman et al., 2009). It is generally understood that fire occurrence is closely linked to climate, vegetation and human activities, but disentangling these various controls has proven difficult, in part because of their multiplicity, and in part because fire was impossible to observe and analyse as a global phenomenon until well into the satellite era (Lavorel et al., 2007; Bowman et al., 2009; Harrison et al., 2010). Recent studies have suggested that the relative importance of the different controls may change with spatial and temporal scales (Parisien and Moritz, 2009; Falk et al., 2011), and moreover, that climate, vegetation properties and human activities can have different effects on different aspects of the fire regime – the timing of the fire season, the prevalent fire type (crown versus ground fires), the number of fires, and the area burnt (Lavorel et al., 2007; Archibald et al., 2009). Understanding global controls on fire regimes is of practical importance because the incidence of wildfire is already increasing, and many authors have suggested that this could be a response to climate change (e.g. Running, 2006; Westerling et al., 2006; Baltzer et al., 2007; European Environmental Agency, 2012). There is a need to predict how fire hazards may continue to develop in the future, so that

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appropriate management strategies and land-use policies can be developed (e.g. Moritz et al., 2012; Amatulli et al., 2013).

The availability of remotely sensed data in a number of active fires (Giglio et al., 2006; Bartlein et al., 2008) and burnt areas (Giglio et al., 2010) during the past decade makes it possible now to analyse the controls on wildfire on a global scale (Krawchuk et al., 2009; Aldersley et al., 2011; Daniau et al., 2012; Moritz et al., 2012; Knorr et al., 2014). Each of these studies considered different sets of potential controls and used different methods. Krawchuk et al. (2009) (updated by Moritz et al., 2013) used generalised additive models (GAMs) to explore relationships between burnt area and 17 climate variables, net primary production and two measures of human impact. Different subsets of variables were selected by individual GAMs, but the availability of fuel (quantified by net primary production, NPP) was the strongest single predictor in all cases. Aldersley et al. (2011) used a regression-tree and random-forest approach to examine the influence of climate, vegetation and human impact on burnt area. Climate and climate-determined vegetation properties were the most important controls. Knorr et al. (2014) examined human impact on annual burnt area using nonlinear models, which show that the dominant influence of humans on a global scale is to reduce fire frequency. Statistical models have been used to predict the potential consequences of future climate change for fire regimes (Krawchuk et al., 2009; Moritz et al., 2012). We suggest that the reliability of these predictions depends on the degree to which the fitted statistical relationships reflect underlying processes.

Process-based fire modules have also been developed and included in dynamic global vegetation models (DGVMs), but current DGVMs differ greatly in the processes they consider and how they are represented. Some models (e.g. LPJ-SPITFIRE: Thonicke et al., 2010; LPJ-LMfire (v1.0): Pfeiffer et al., 2013; CLMfire: Kloster et al., 2010; Li et al., 2012) have included human ignitions as a right-skewed unimodal function of population density, with ignitions increasing up to an optimum population density and decreasing thereafter. In contrast, the LPX model (Prentice et al., 2011; Kelley et al., 2014) considers only ignitions caused by lightning. Some models include the effects of land use by suppressing fire in cropland areas (e.g. LPX) and/or reducing fuel loads on grazing lands (e.g. ORCHIDEE: Krinner et al., 2005; Chang et al., 2013). None of these treatments is based on extensive data analysis.

Both the global consequences and the regional predictions of future fire hazards vary considerably between models and different types of models (Harrison et al., 2010). Krawchuk et al. (2009) showed increased future fire in boreal forests, while simulations with fire-enabled dynamic global vegetation models (DGVMs) have shown a decrease (Scholze et al., 2006), an increase (Kloster et al., 2010) or no change (Harrison et al., 2010). We infer that the present level of scientific understanding of fire, as embodied in current models, is low.

Here we adopt a hybrid approach that is observationally based, but with the choice of environmental predictor variables guided by explicit hypotheses about the potential controls of a burnt area. We focus on burnt area, as (a) it is a general measure of the ecological and human importance of fire - equivalent to fire frequency, i.e. the probability of fire per unit time at a randomly chosen point in space (Knorr et al., 2014) – and (b) it is a key determinant (along with biomass) of the emissions of atmospheric constituents that influence climate, including greenhouse gases, volatile organic compounds and black carbon (Arneth et al., 2010). We use a generalised linear model (GLM) to relate the fractional burnt area to a series of predictors representing the potential vegetation, climatic and human controls on fire ignition and spread. GLM modelling has previously been used by Lehsten et al. (2010) to model burnt area in Africa.

A key point in our analysis is the distinction between "underlying" relationships (fitted using statistical methods making the simplest possible assumptions about their form, and displayed using partial residual plots) and "emergent" patterns, which are observed when plotting burnt area against each variable by itself. Built-in partial correlations between the predictor variables ensure that the two kinds of plots appear very different, and that the single-variable plots are not a reliable guide to the underlying relationships. To take one example that is already well understood (van der Werf et al., 2008; Harrison et al., 2010; Prentice et al., 2011), the emergent global relationship between burnt area and precipitation is unimodal, because fire is limited by fuel availability in dry climates, and by fuel moisture in wet climates. In other words, the underlying causes can be represented as a monotonically increasing relationship of fire probability with primary production, and as a monotonically decreasing relationship with climatic moisture. The emergent unimodal pattern results from the combination of two different mechanisms by which precipitation controls vegetation and fuel properties. We will demonstrate further examples where emergent unimodal responses of burnt area arise through the combined effects of different causal factors.

#### 2 Data and methods

#### 2.1 Generalised linear modelling

Our analysis is based on the principle of multiple regressions, which enables underlying relationships with several predictor variables to be teased out even in the presence of (moderate) correlations between the predictors. The finding that a given predictor has a statistically significant effect on the prediction means there is a relationship that remains after the effects of the other predictors have been taken into account. The form of the burnt-area data – lying between 0 and 1, but over-dispersed and with many ( $\sim 90\,\%$ ) zero values – rules out the use of ordinary least-squares regression, and calls

Table 1. Sources of data.

Data type	Data source	Transformation		
Burnt area	GFED v3.1	Cell fraction		
Trees	Vegetation continuous fields	Cell fraction		
Non-trees	Vegetation continuous fields	Cell fraction		
Croplands	HYDES	Cell fraction		
Grazing lands	HYDES	Cell fraction		
Number of dry days per month	CRU	Logarithmic transformation		
Diurnal temperature range	CRU	Logarithmic transformation		
Maximum monthly temperature	CRU	Logarithmic transformation		
Lightning counts	LIS/OTD	Square-root transformation		
Net primary productivity	SDBM, Knorr and Heimann, 1995	Logarithmic transformation		
Population density	GRUMP v1.0	Square-root transformation		
α	Gallego-Sala et al. (2010)	-		

for the use of a generalised linear model (GLM), of which ordinary least-squares regression is a special case. There is no standard method for handling quantitative data of this particular type in a GLM context. However, the GLM variant known as logistic regression gives highly interpretable results with this kind of data (Wang et al., 2013). Logistic regression fits an underlying relationship between logit-transformed probability (y) and a linear combination of predictors:

$$\ln[y/(1-y)] = b_0 + b_{1\times 1} + b_{2\times 2} + \dots \tag{1}$$

where  $b_0$  is the intercept and  $b_i$  are the slope coefficients for each variable i. In classical logistic regression, the data consist of ones and zeroes, and it is assumed that the data are binomially distributed. The use of fractional data implies maximisation of a quasi-likelihood function instead of a true likelihood (Papke and Wooldringe, 1996). Implicitly, the burnt area data are treated as estimates of an underlying probability. The logarithm on the left-hand side of Eq. (1) implies that the predictors are assumed to combine multiplicatively, so the model is "linear" only in the sense that the terms on the right-hand side are added together.

Logistic regression was implemented using the GLM package in R. Goodness-of-fit of the complete model was quantified using the proportion of explained deviance, also known as McFadden's  $R^2$  (McFadden, 1974). This is a socalled pseudo- $R^2$  that can be interpreted analogously to the coefficient of determination in ordinary regression. Partial residual plots were used to display the fitted underlying relationship between each variable and the predicted probabilities. These plots are analogous to x-y plots in bivariate regression, except that the y coordinate of each data point in each plot is shifted so as to remove the fitted partial effects of all the other predictors (Larsen and McCleary, 1972). z values (slope coefficients normalised by their respective standard errors) were used to quantify the importance of each partial relationship. z values are the most appropriate statistics for this purpose, because they express the strength of the signal relative to noise, and are independent of the units of measurement. We did not include any interactions between predictors, nor did we add quadratic terms or use the more flexible functions represented by GAMs. Instead, we set out to discover whether key features of the burnt area data could be described adequately by a combination of independent, monotonically increasing (or decreasing) functions of the predictors.

#### 2.2 Burnt area data

Global fractional burnt area data, on the standard 0.5° grid, were obtained for each month from January 2000 to December 2005, from the third version of the Global Fire Emissions Database (GFED3: Giglio et al., 2010). We confined our attention to the post-2000 period, for which the GFED data were derived by combining MODIS satellite observations with biome-dependent modelling of the relationship between burnt area and observed fires. We do not analyse the period post-2005, because other data sets (e.g. net primary production, NPP) are lacking. However, since we are considering spatial relationships between burnt area and the predictor variables using monthly burnt area, this 72-month time period is adequate for diagnosing the key relationships. We made no attempt to screen the data for deforestation or agricultural fires, in part because of the difficulty in unambiguously identifying such fires, and in part because it is clear that the extent and timing of deforestation and agricultural fires are influenced by climatic factors (e.g. van der Werf et al., 2010). The fourth version of the GFED data became available after our analyses were completed; we have checked that the results do not change when the newer data set is used.

#### 2.3 Environmental predictor variables

We selected 11 variables representing vegetation, land use, climate and potential ignition rates (Table 1). Not every variable has a unique entry for each grid cell and month. We categorise the variables as static (spatial pattern only), annually

varying (not varying between months), seasonally varying (not varying between years), and dynamic (varying between months and years).

Tree cover and grass/shrub cover: the division into forested and non-forested vegetation types is fundamental, distinguishing ecosystem types (e.g. forest versus savannas) and layers (canopy, subcanopy, ground cover) that can possess very different fire regimes (Lavorel et al., 2007). Brovkin et al. (2012) showed that woody litter decays an order of magnitude more slowly than grass litter. Fractional cover data (static) for trees and non-trees (defined as plants less than 5 m tall, whether shrubby or herbaceous) were obtained from the remotely sensed Vegetation Continuous Fraction data set derived from MODIS (DeFries and Hansen, 2009). The two variables are not mutually redundant, because the data set also includes fractional bare ground.

Net primary production: fuel limitation is a major constraint on burnt area (van der Werf et al., 2008). We used annual net primary production (NPP) (annually varying) as an index of the potential natural fuel load. NPP was included as annually varying because litter mass accumulates over a year or longer; it does not vary with monthly NPP. Annual NPP was estimated using the Simple Diagnostic Biosphere Model (SDBM, Knorr and Heimann, 1995), driven by remotely sensed "greenness" (fractional absorbed photosynthetically active radiation) from the SeaWiFS data set (Gobron et al., 2006). The SDBM is an implementation of the general light-use efficiency model for primary production. It provides the most accurate available gridded NPP data, as shown by its ability to predict observed seasonal cycles of CO<sub>2</sub> concentration at different locations, so far unmatched by any other model (Kelley et al., 2013), as well as fieldbased NPP where this has been measured.

Land use: human activities have greatly modified natural vegetation in much of the world (Sanderson et al., 2002). Intensive agriculture leads to landscape fragmentation (Guyette et al., 2002; Syphard et al., 2009), which inhibits fire spread by disrupting fuel continuity. Grazing also removes fuel, but fire has been used as part of the management of both croplands and grazing lands (Pyne, 2012; Fernandes et al., 2013). Fractional cover data for crops and grazing land (static) were obtained from Vegetation Continuous Fields data set MOD44B (DiMiceli et al., 2011).

Climate: fuel dryness determines whether ignition events lead to spreading fires, and also the rate of spread and thus the area burnt. The Nesterov index (Nesterov, 1949) is one of the measures used to assess the risk of fire that takes into account factors that control drying rate. We selected climatic predictors based on the logic of the simplified Nesterov index used in the LPJ-SPITFIRE (Thonicke et al., 2010) and LPX (Prentice et al., 2011) models:

$$NI = \sum T_{\text{max}} \cdot (T_{\text{max}} - T_{\text{dew}}) \tag{2}$$

where NI is the simplified Nesterov index,  $T_{\text{max}}$  is the daily maximum temperature,  $T_{\text{dew}}$  is the dewpoint temperature (approximated by the daily minimum temperature), and summation is over each period of consecutive dry days, conventionally defined as days with 3 mm precipitation or less. The predictors used here are the monthly mean diurnal temperature range (a proxy for vapour pressure deficit), monthly mean daily maximum temperature, and the number of dry days in the month  $(n_{\text{dry}})$ . Vapour pressure deficit is the main environmental control on the drying rate of dead fuel. Diurnal temperature range and maximum daily temperature determine flammability on a given day, but the time since rain is crucial for the evolution of fuel moisture. Dry days were entered as the ratio  $n_{\rm dry}/(1-n_{\rm dry})$ , which is proportional to the expected time since a rainfall event. These three predictors are combined multiplicatively in the model, as they are in the index. Climate data were obtained from the Climate Research Unit (CRU) TS 3.1 time series data set.

Soil moisture: the moisture content of living fuel, and the rate of conversion to dead fuel in the case of grasses, is determined by soil moisture, which varies much more slowly through the season than either vapour pressure deficit or fuel moisture because the water-holding capacity of soil allows moisture to be retained for several months. Unfortunately, there is no reliable global data set of soil moisture. We therefore used the ratio of actual to equilibrium evapotranspiration  $(\alpha)$ , which is widely used as an index of plant-available moisture (Prentice et al., 1993), as a surrogate for soil moisture. This index is calculated from the CRU TS3.1 climate data as described in Gallego-Sala et al. (2010). Equilibrium evapotranspiration refers to the water loss from a large homogeneous area under constant atmospheric conditions. Estimated actual evapotranspiration depends on the rate of supply of moisture from the soil, which declines in proportion to soil water content.

Ignition sources: fires can be started by lightning or (accidentally or deliberately) by people. There is no global data set of the frequency of effective ground strikes, but this is approximately proportional to the total number of lightning flashes (Kelley et al., 2014). We used remotely sensed, gridded data on total flashes at 0.5° spatial resolution (seasonally varying) to describe the potential natural ignition rate. These data are only available globally as a fixed seasonal cycle combining the Optical Transient Detector (OTD) and the Lightning Imaging Sensor (LIS) (Cecil et al., 2014). As it has been widely assumed that the number of human-set fires increases as human activities increase (see e.g. Aldersley et al., 2011), we used population density (static) as a general index of human influence. These data were obtained from GRUMP v1.0 (CIESIN, 2005). The GRUMP data set is based on information of populations for administrative units, and the size of these units varies by region. Unlike the HYDE data set (Klein Goldewijk et al., 2011), no attempt is made to use ancillary information to redistribute population within the administrative unit. We have avoided the use of such modelled

population data, given that that it is difficult to test the realism of such redistribution. The resolution of the GRUMP data set is sufficient for our analyses over most of the globe, but the large size of some administrative units, for example in northern North America, could lead to slight biases in the assessment of the impact of humans on ignitions.

Some predictors used in previous analyses are not included in ours. Wind speed influences the rate of fire spread (Rothermel, 1972; Weise and Biging, 1997), and is an important control on the area burnt by any particular fire. We do not expect it to be important for monthly data at 0.5° resolution. In any case, there is no reliable global data set for wind speed near the surface. Reanalysis products underestimate diurnal variability in wind speed and gustiness (Sheridan, 2011), both of which are critical for fire spread. Gross domestic product (GDP) has been used as an index of cultural influences on the use of fire (Aldersley et al., 2011). The only available global data set (http://sedac.ciesin.columbia.edu/data/ collection/gpw-v3) expresses GDP per unit area (rather than per capita), and is dominated by patterns in population density. The primary data appear to have been collected either at country level or from variably sized administrative units. When population density is factored out (in order to estimate GDP per capita), the resulting mapped patterns are grossly unrealistic.

Many additional climate variables have been used in fire studies (see e.g. Krawchuk et al., 2009). These are expected to be highly correlated with other climate predictors, and less easy to relate mechanistically to fire properties. We wished to avoid inclusion of redundant variables to avoid multicollinearity. We examined our set of predictor variables (Table 1) for independence using cross-correlation analysis. No pairwise correlation exceeded 0.5. Correlation among predictor variables increases the sample size required to achieve statistical significance (Maxwell, 2000), but does not compromise the validity of the regression coefficients or their estimated significance levels. By defining the combinations of predictors a priori we avoided the bias towards significance that is characteristic of stepwise methods (Cohen et al., 2003). The great majority of fires are much smaller than a grid cell, so there is effectively no contagion. Spatial autocorrelation is thus not a problem for this analysis as the data points can be considered as independent realisations of the underlying process.

Many of the predictor variables have highly skewed distributions. We transformed the data prior to analysis to reduce their skewness (Table 1). We used logarithmic transformation in cases where logically we expect there to be no fire when the predictor variable takes the value zero, and square-root transformation where this is not the case. If a log-transformed variable appears as one of the  $x_i$  terms on the right-hand side of Eq. (1), this is equivalent to fitting a power function ( $x_i$  raised to power  $b_i$ ) in the multiplicative model. We made no attempt to optimise the overall fit of the GLM model, and we use this final model to predict the probability of burnt area.

We carried out a further analysis in which pure seasonal-cycle effects were included. Two (seasonally varying) predictors were added, namely  $\sin\theta$  and  $\cos\theta$  where January (in the Northern Hemisphere) or July (in the Southern Hemisphere) is coded as  $\theta=0$ , and subsequent months in increments of  $\pi/6$ . Positive (negative) values of  $\sin\theta$  represent proximity to spring (autumn), and positive (negative) values of  $\cos\theta$  represent proximity to winter (summer). Each month possesses a unique combination of values of these two variables

We applied the GLM to predict seasonal burnt areas for 2005 as an example to demonstrate the realism of its spatial patterns, in comparison with maps based directly on the data. We also show the predicted emergent relationships of the modelled burnt area with each predictor, one by one, for comparison with similar plots derived directly from the data.

The GLM can be used to predict emergent relationships with variables other than the selected predictors (i.e. differently transformed, or even variables that were not included in the model). We examined the predicted and observed emergent relationships with mean annual temperature, mean annual precipitation, and the logarithms of population density and GDP.

#### 3 Results

The fitted GLM attained a pseudo- $R^2$  of 0.74. All the predictor variables except tree cover were significant predictors of burnt area (Table 2). The strongest effects were an increase in burnt area with NPP (z = 56.8), and a decrease in burnt area with soil moisture (z = -58.9). In addition, dry days (z = 32.9), maximum temperature (z = 19.6), grazingland area (z = 17.9), grass/shrub cover (z = 16.2) and diurnal temperature range (z = 11.1) increased burnt area; human population (z = -22.5) and cropland area (z = -22.0) decreased burnt area. These relationships were highly significant (P < 0.001). Partial residual plots (Fig. 1) show the fidelity of the data to the fitted relationships for these variables. A general finding, however, is that some grid cells and months have exceptionally high burnt areas, not accounted for by the GLM, and thus appearing as a spread of large residuals above the fitted partial relationships.

Lightning showed a weak *negative* effect on burnt area. However, when pure seasonal cycle effects were added in the second analysis (Table 2), this paradoxical effect became non-significant. The negative signs of the coefficients for the seasonal variables indicate a global tendency for fires to occur in summer and autumn in both hemispheres. We infer that some strongly seasonal effects (possibly associated with vegetation phenology) were not captured by the other environmental predictors, and became associated with lightning in the GLM because (a) it has an extremely strong seasonal cycle, and (b) its values were unavoidably fixed from year to year. The *z* values for other predictors were

	Initial analysis	Including pure seasonal- cycle effects	Excluding non-significant predictors	Results of final model using GFED4
Tree cover	-0.2	(-0.1)	_	_
Grass/shrub cover	16.2	16.3	22.9	23.2
Cropland area	-20.4	-19.8	-20.7	-20.5
Grazing-land area	17.9	16.6	17.9	17.5
Dry days	32.9	28.2	36.9	38.6
Diurnal temperature range	11.1	11.9	11.3	10.1
Maximum temperature	19.6	19.5	20.7	20.6
Lightning	-2.9	-0.6	_	_
Net primary production	56.8	49.3	74.3	73.6
Population density	-22.5	-22.6	-23.1	-24.4
α	-58.9	-46.1	-66	-64.4
$\cos \theta$	_	-8.5	_	_
$\sin \theta$	_	-4.4	_	_

**Table 2.** Regression coefficients from each of the three models. Non-significant values (P > 0.05) in parentheses.

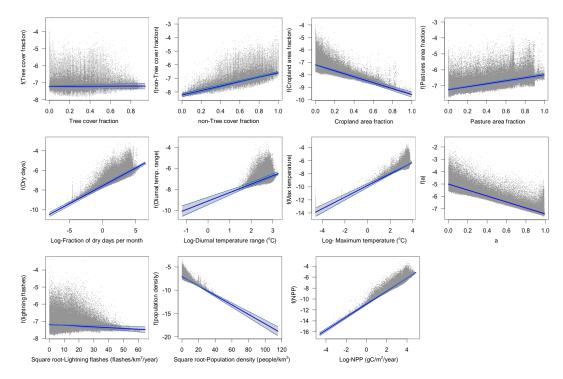
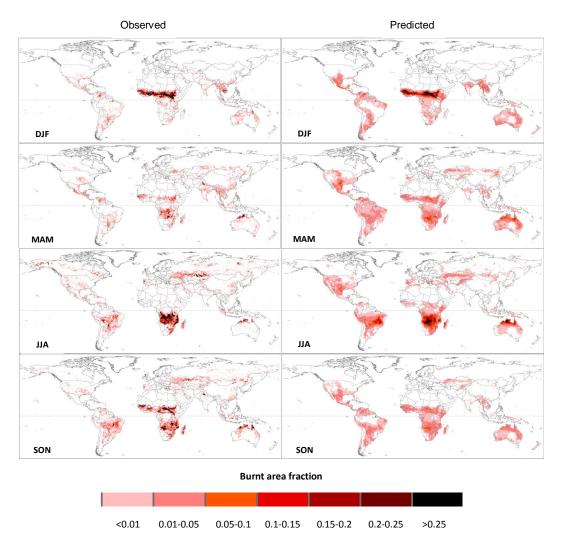


Figure 1. Partial residual plots for the main analysis (Table 2, first column), showing the relationship between the logit-transformed probability of burnt area in a given month (f) and the predictor variables, after taking account of the fitted partial effects of all the other predictors. The blue line shows the partial fitted relationship, and the pink shaded area shows the standard errors, while the grey points show the actual values. The land-use categories are fractional coverage, whereas the number of dry days, diurnal temperature range, maximum temperature, and net primary productivity (NPP) are log transformed, and lightning and population density are square-root transformed (Table 1).

not materially altered by the inclusion of seasonal cycle effects. A third analysis (Table 2) excluded both tree cover (being non-significant) and lightning. The relationships of burnt area to the remaining predictors were closely similar in all three models. Analyses using the GFED4 database (Giglio e

al., 2013) show that the relationships are not affected by the choice of burnt area data set.

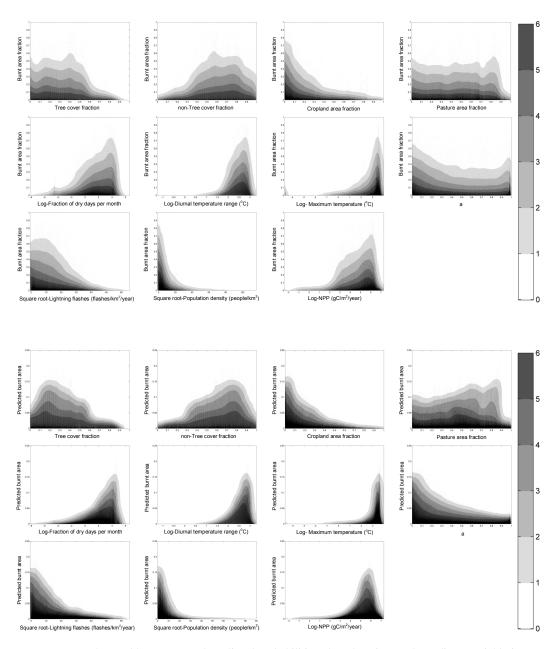
The fitted GLM (Table 2, first column) was used to predict the monthly burnt areas for 2005 and totals mapped for the four meteorological seasons (Fig. 2). The predicted probabilities have been truncated below so that values less than



**Figure 2.** Predicted probability of fire (right panel) and observed burnt area (left panel) for December–January–February (DJF), March–April–May (MAM), June–July–August (JJA) and September–October–November (SON) of 2005. Predicted probabilities less than 0.004 are shown as zero. The global  $R^2$  values are 0.65, 0.25, 0.52 and 0.43 respectively.

a 0.004166 fraction of the cell area are shown as zero. This threshold is equal to the minimum (nominal 500 m) pixel size of the MODIS data on which GFED is based. Thus, the data do not record burnt areas smaller than this value. A general (and expected) feature is that fire in the real world is patchy, with more absences and some very high values, in comparison with the fitted values (which are strictly speaking probabilities, and which by definition are smooth functions of the predictors). Nevertheless, the visual impression given by this comparison is satisfactory. We use  $R^2$  in order to assess this visual agreement, as it gives a good geometrical interpretation as the cosine of the angle between observed and calculated values. We obtain  $R^2$  values of 0.65 (DJF), 0.25 (MAM), 0.52 (JJA) and 0.43 (SON). Although the broad geographic and seasonal patterns are captured by the GLM, there are discrepancies in regions where the actual incidence of fire is low and/or highly periodic, such as boreal and temperate forests. This is an under-sampling problem, and this reflects the fact that the observational record is short, and thus the derived GLM has greater difficulty in capturing low-frequency and highly aperiodic events. This is also reflected in the predicted seasonal values, where the match between observed and predicted values is better for the periods corresponding to the major periods of burning (DJF and JJA) than for MAM and SON. Nevertheless, the model provides a good representation of the controls on fire in those regions which are most important in terms of the terrestrial carbon cycle.

Emergent relationships between predictor variables and burnt area are shown in Fig. 3. The *y* axis scales used for the paired graphs (observation versus prediction) differ because their visual impact is dominated by the highest values. (The highest observed burnt area values are typically 3–4 times higher than the predicted probabilities of burning, while the many counterbalancing zero values in the data are



**Figure 3.** Emergent patterns: observed burnt area and predicted probabilities plotted against each predictor variable in turn. We show the density of the data superimposed onto logarithmic density using 50 bins.

obscured.) We focus on comparing the forms of the relationships. The key point is that they are rarely the same as the underlying partial relationships as fitted by the GLM, and yet they are successfully predicted post hoc by the GLM. For example, although the underlying relationship between burnt area and NPP is monotonically increasing, the emergent pattern is unimodal, with a peak at  $380 \, \mathrm{g \, C \, m^{-2} \, a^{-1}}$ . This unimodal pattern is nonetheless correctly predicted by the GLM (Fig. 3). Low NPP results in fuel limitation, and the initial increase in burnt area with NPP is a consequence of the removal of this limitation. The apparent decrease in

burnt area at high values of NPP occurs because high rainfall is necessary to sustain high NPP. Similar logic explains why, despite the strongly negative underlying relationship between burnt area and monthly dry days, the emergent relationship is unimodal. The apparent relationship between burnt area and diurnal temperature range is also unimodal. This relationship emerges because small diurnal ranges are characteristic of wet environments and large diurnal ranges of deserts (Dai et al., 1999), so that either the fuel is too wet or NPP too low to allow fires to burn.

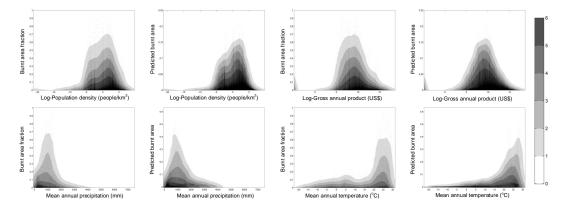


Figure 4. Emergent patterns: predicted probabilities against mean annual temperature (MAT), mean annual precipitation (MAP), ln (population density) and ln (GDP per unit area). We show the density of the data superimposed onto logarithmic density using 50 bins.

A number of variables outside our set of predictors have been shown to correlate with burnt area (Fig. 4). Our GLM can also predict the emergent relationships with these variables. Daniau et al. (2012), for example, from an analysis of remotely sensed burnt area and the charcoal palaeo record of biomass burning, showed that fire increases monotonically with mean annual temperature (MAT). We predict the same steeply positive emergent response (Fig. 4), but also an extremely steep decline at the very highest temperatures, which are encountered only in exceptionally dry environments where there is little or no fuel to burn. Van der Werf et al. (2008) showed a unimodal relationship between fire in the tropics and subtropics and mean annual precipitation (MAP), and this response has been replicated in a process-based model (Prentice et al., 2011). Our GLM also predicts a unimodal relationship between burnt area and MAP. This relationship emerges because fuel availability (through NPP) is strongly controlled by MAP, while the fuel is too wet to burn in climates with high MAP (van der Werf et al., 2008; Archibald et al., 2009).

A number of analytical studies of both regional and global data sets have shown a unimodal relationship between burnt area and human population density, when log-transformed to emphasise the form of the relationship at very low population densities (Archibald et al., 2009; Aldersley et al., 2011; Bistinas et al., 2013). We also predict this unimodal response, even though the underlying fitted relationship is monotonically decreasing. The emergent relationship is therefore an accurate representation of how population affects fire frequency with other factors held constant. It emerges simply because regions with very low NPP generally support low population densities. Similarly, the emergent unimodal relationship between fire and gross domestic product (GDP), shown by Aldersley et al. (2011), is an artefact caused by the automatic correlation of GDP (expressed per unit area) with population density.

#### 4 Discussion

We have focused on analysing plausible mechanistic controls of burnt area, which is the aspect of the fire regime most directly relevant to vegetation disturbance and the carbon cycle, and have demonstrated that there are strong, monotonic relationships with NPP and climate variables related to the Nesterov index. At the same time, we have shown that there is no significant relationship between burnt area and either anthropogenic or lightning ignitions. This latter finding may appear surprising, and runs counter to the assumption currently adopted in many fire models that burnt area is related to the number of fire starts. Knowing that people start fires, some model developers have focused on human ignitions as a primary determinant of burnt area (e.g. Thonicke et al., 2010). In a similar way, because observations indicate that large fires in e.g. boreal ecosystems are started by lightning and that the number of fire starts increases with convective activity and the number of strikes (e.g. Peterson et al., 2010), it is frequently assumed that increasing the number of lightning ignitions will lead to an increase in burnt area (e.g. Pfeiffer et al., 2013). However, the controls on burnt area are not necessarily the same as the controls on other aspects of the fire regime, especially fire numbers. Fire size is extremely skewed, and large fires only occur under weather conditions suitable for fuel production and rapid fire spread. Thus, and as demonstrated here, burnt area is determined not by the number of fire starts, but by vegetation productivity and climate controls on drying. Confusion about the distinction between the controls on burnt area and on fire starts is rife in the literature. Part of this confusion may stem from the fact that remotely sensed data on active fire counts have been available for longer than burnt area products. Indeed, pre-2000 burnt area products (including the early years covered by GFED) strongly depend on active fire counts (Giglio et al., 2010). By using post-2000 burnt area data, we avoid this bias.

We have shown that NPP is a key control on burnt area, not surprisingly as NPP determines the amount of fuel available to burn. The importance of NPP has been one of the most consistent results emerging from previous studies (e.g. Krawchuk et al., 2009; Aldersley et al., 2011; Moritz et al., 2012). Fire is limited in regions of low NPP because of lack of fuel or the discontinuous nature of the fuel. Regions of high NPP however are always associated with high precipitation. As a result, burnt area declines at high NPP, but high NPP is not the cause of this decline. We can simulate this pattern, because our GLM includes the relevant climatic predictors as well as NPP.

Given the importance of NPP, it might be expected that other aspects of vegetation – influencing the flammability of fuel – would influence burnt area. There is indeed a strong relationship between burnt area and grass/shrub cover. This is probably due to the predominance of fast-drying fine fuels in grasslands and shrublands, compared to the coarser woody fuels that comprise much of the litter in forests.

We have shown that burnt area declines with soil moisture, while increasing with all three components of the Nesterov index, representing time since rain, maximum temperature, and vapour pressure deficit, respectively. Many other fire indices include similar components (see Alexander et al., 1996; Noble et al., 1980; Hardy and Hardy, 2007). Our analysis implies that each one of these components is independently necessary for a realistic prediction of burnt area. However, the strong relationship with  $\alpha$  indicates that these three are not sufficient; soil moisture, with a much longer "memory" than litter moisture, is of major importance as well. We suggest that this is because soil moisture (a) controls the moisture content of live fuels, and (b) influences the rate at which foliage in highly seasonal climates (e.g. grass leaves in tropical savannas) is "cured", i.e. transitioning from living, turgid leaves into highly flammable fine litter.

Our analysis confirms the importance of human agency in influencing burnt area - but not always in the ways commonly envisaged. Cropland area, as expected, has a negative relationship with burnt area. The steep negative relationship between croplands and fire provides support for the idea that landscape fragmentation associated with intensive agriculture limits burnt area (see e.g. Marlon et al., 2008; Archibald et al., 2013) by reducing fuel connectivity. Grazing-land area however has a positive relationship with burnt area, suggesting that the effect of grazing in reducing fuel load (implemented as an effect reducing fire in some models; e.g. Krinner et al., 2005) is outweighed by other factors, which may include the increase in fine fuel promoted by forest conversion, and continuing rangeland management. Many rangelands are in areas that would otherwise be dominated by natural grasslands, so the amount of grazed land is unlikely to reduce landscape connectivity.

Human population density shows a strong and consistently negative relationship with burnt area, which is significant despite the separate inclusion of cropland and grazing-

land area. The role of people in suppressing fire has been identified through previous analyses of observations on biomass burning on recent, historic and palaeo timescales (e.g. Krawchuk and Moritz, 2009; Carcaillet et al., 2009; Marlon et al., 2008), and is attributed in part to direct intervention, but largely to a result of landscape fragmentation and fuel reduction. Given the independent relationships with cropland and grazing area – terms which already incorporate some aspects of landscape fragmentation or fuel removal our analyses indicate that other human activities are also important in suppressing fires and thus limiting the area and biomass burnt. Possible mechanisms include the removal of wood for heating and cooking, roads and clearings creating barriers to fire spread, and fragmentation through urbanisation. It is likely that the mechanisms are different at different levels of population density and in different regions (Bistinas et al., 2013; Knorr et al., 2014).

Many modelling groups have included some form of fire suppression by humans. The most common approach is to mask fire in cropland (e.g. Thonicke et al., 2010; Prentice et al., 2011; Kelley et al., 2014) or as a universal (e.g. Pechony and Shindell, 2009; Kloster et al., 2010; Li et al., 2012) or spatially variable (tuned) function of population density (Thonicke et al., 2010), or by reducing fuel loads in grazing areas (Krinner et al., 2005). Clearly, given the independent relationships with cropland area and human population density, the reliance on crop masking is insufficient. The reduction of fuel loads in grazing land is not supported by the positive relationship between burnt area and grazing-land area. Suppression of fire as a function of population density is consistent with our findings, but should be applied even at low population densities. Thus, models incorporating algorithms that increase fire as a result of increasing population density and then subsequently allow for fire suppression at higher population densities are not mechanistically consistent with the observed relationships.

It is implausible that burnt area decreases as lightning ignitions increase, and our second analysis showed that the initially fitted negative relationship of lightning to burnt area must be an artefact of other, yet-to-be-determined processes with a strong seasonal cycle – such as day-length effects on phenology in seasonal climates. Pre-emptive burning before weather conditions become most suitable for large wildfires (Le Page et al., 2010) may also be a factor in synchronising the timing of fires with the seasonal cycle, and would also be expected to contribute to the human-induced reduction in burnt area.

Our results question some widely held assumptions about what controls fire. These assumptions underpin both the selection of variables included in statistical models, and the treatment of fire in DGVMs:

1. Many fire models reduce fire in agricultural areas, but no DGVM allows for the full impact of landscape

- fragmentation on fire spread, implied by the strength of the negative influence of cropland on burnt area.
- 2. DGVMs have assumed that burnt area increases in proportion to ignitions by lightning and/or people. Lightning has no significant effect, indicating that the number of lightning strikes is not a limiting factor on biomass burning, and specifically on burnt area. These conclusions support the suggestion of Knorr et al. (2014) that ignition sources rarely or never limit fire frequency. Although there may be considerable spatial and temporal variability in the number of lightning-induced fire starts, as well as regional differences in the relative importance of natural and anthropogenic fire starts, this variability is ultimately unimportant in determining burnt area. Imposing a strong and explicit link between the number of fire starts and burnt area in a model will lead to erroneous predictions. We have shown that an apparent increase in burnt area with increasing population density at low population densities is an artifact of the relationship between NPP and population density. Given that models already incorporate the effect of fuel limitation on burning, including a second constraint through population density will lead to erroneous predictions. The strong negative relationship between population density and burnt area, which is independent of constraints on burnt area due to land use, implies that it is important to include fire suppression in a modelling framework. In addition to fire-management activities, fire suppression could be a result of non-agricultural landscape fragmentation, the creation of artificial barriers to fire spread, and the removal of fuel for domestic use. Given that the causes of fire suppression are likely to vary spatially and with population density and cultural factors, further analyses of this phenomenon would be worthwhile.
- 3. Unimodal relationships between burnt area and climate variables, which have been used in some statistical models, have no mechanistic basis, and are therefore likely to mispredict burnt area when global conditions change, for example due to decoupled changes in temperature and precipitation, or due to increasing CO<sub>2</sub> concentrations.

The increasing availability and quality control of global data sets on fire provides an opportunity for further data-driven analyses of fire to inform model development. We anticipate that this will lead to major changes in the way fire is represented in models.

#### 5 Summary and conclusions

We have used generalised linear modelling to analyse the relationships between burnt areas and a set of variables related to explicit controls on biomass burning. We show it is possible to describe the influences of vegetation, climate and land use on burnt areas as simple monotonic functions, and that these relationships make intuitive sense in terms of the mechanisms of fire spread. Specifically, a burnt area is positively related to annual net primary production, the amount of grass/shrub cover, the number of dry days per month, maximum daily temperature, the diurnal temperature range, and the area of the grazing land. Conversely, burnt areas decrease with increasing soil moisture, cropland area and human population density. There is a strong seasonal cycle of lightning, but once the influence of this is removed, there is no relationship between the number of lightning strikes and the burnt area. Thus, although the amount of lightning may influence the number of fire starts, it does not determine how much of the area subsequently burns. We have also shown that the unimodal relationships that have been found between burnt areas and climate variables such as mean annual temperature and precipitation, or measures of potential human impact such as population density and gross domestic product, are not indicative of direct causal relationships. They are emergent patterns that arise because these variables are correlated with specific controls that exert different influences on burnt areas. For example, the unimodal emergent relationships with population density and GDP arise because regions of low productivity generally support low populations, but regions with high productivity can only occur where precipitation is high, and are thus generally too wet to burn. Our findings suggest that caution is required in the attribution of causality and the implementation of individual controls on burnt areas in both statistical and dynamic vegetation models. Specifically, the widely assumed dependence of fire frequency on ignition rates is incorrect: lightning is not limiting, and the impact of increasing human populations is to suppress fire.

### Appendix A: Cross-correlation matrix and fitting criterion

For the correlation matrix, see Table A1.

In order to assess the relative quality of our statistical model, we use the Akaike information criterion (AIC) as

 $AIC = 2N - 2\ln(L),$ 

where N is the number of parameters used in the model and L is the maximum likelihood. We choose the model that maximises the likelihood L. The model with the maximum likelihood is the model with the minimum AIC.

**Table A1.** Cross-correlation matrix of all variables used for model fitting. We show Spearman's  $\rho$  coefficients.

	1	2	3	4	5	6	7	8	9	10	11	12
Burnt area fraction	1.0000	0.0095	0.0344	-0.0166	0.0774	0.0973	0.0925	0.0681	0.0045	0.0289	-0.0124	-0.0911
2. Tree cover fraction	0.0095	1.0000	-0.5062	-0.1550	-0.3039	-0.3470	0.0065	0.1153	0.2442	0.4986	-0.0818	0.3408
3. Non-tree cover fraction	0.0344	-0.5062	1.0000	0.4157	0.3846	0.1926	0.1939	0.0574	-0.0041	-0.0945	0.1755	-0.1098
4. Cropland area fraction	-0.0166	-0.1550	0.4157	1.0000	0.0658	0.1022	0.0806	0.1944	0.1595	0.1317	0.4903	0.0673
<ol><li>Grazing-land area fraction</li></ol>	0.0774	-0.3039	0.3846	0.0658	1.0000	0.3205	0.4195	0.2742	0.1562	-0.3917	-0.0077	-0.2445
6. Log-fraction dry days per month	0.0973	-0.3470	0.1926	0.1022	0.3205	1.0000	0.4971	0.0905	-0.1419	-0.4737	0.0665	-0.3145
7. Log-diurnal temperature range	0.0925	0.0065	0.1939	0.0806	0.4195	0.4971	1.0000	0.3665	0.2282	-0.2327	-0.0090	-0.1650
8. Log-maximum temperature	0.0680	0.1153	0.0574	0.1944	0.2742	0.0905	0.3665	1.0000	0.4905	0.0740	0.2814	0.1536
9. α	-0.0911	0.2442	-0.0041	0.1595	0.1562	-0.1419	0.2282	0.4905	1.0000	0.2520	0.2203	0.1719
10. Square-root lightning flashes	0.0045	0.4986	-0.0945	0.1317	-0.3917	-0.4737	-0.2327	0.0740	0.2520	1.0000	0.1585	0.4911
11. Square-root population density	-0.0124	-0.0818	0.1755	0.4903	-0.0077	0.0665	-0.0090	0.2814	0.2203	0.1585	1.0000	0.0722
12. Log NPP	0.0289	0.3408	-0.1098	0.0673	-0.2445	-0.3145	-0.1650	0.1536	0.1719	0.4911	0.0722	1.0000

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