

# *A mechanism of internal decadal atlantic ocean variability in a high-resolution coupled climate model*

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1 **A mechanism of internal decadal variability in a high resolution coupled**  
2 **climate model**

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## ABSTRACT

12 The North Atlantic Ocean subpolar gyre (NA SPG) is an important re-  
13 gion for initialising decadal climate forecasts. Climate model simulations  
14 and palaeo climate reconstructions have indicated that this region could also  
15 exhibit large, internally generated variability on decadal timescales. Under-  
16 standing these modes of variability, their consistency across models, and the  
17 conditions in which they exist, is clearly important for improving the skill of  
18 decadal predictions — particularly when these predictions are made with the  
19 same underlying climate models. Here we describe and analyse a mode of  
20 internal variability in the NA SPG in a state-of-the-art, high resolution, cou-  
21 pled climate model. This mode has a period of 17 years and explains 15–30%  
22 of the annual variance in related ocean indices. It arises due to the advection  
23 of heat content anomalies around the NA SPG. Anomalous circulation drives  
24 the variability in the southern half of the NA SPG, whilst mean circulation  
25 and anomalous temperatures are important in the northern half. A negative  
26 feedback between Labrador Sea temperatures/densities and those in the North  
27 Atlantic Current is identified, which allows for the phase reversal. The atmo-  
28 sphere is found to act as a positive feedback on to this mode via the North  
29 Atlantic Oscillation which itself exhibits a spectral peak at 17 years. Decadal  
30 ocean density changes associated with this mode are driven by variations in  
31 temperature, rather than salinity — a point which models often disagree on  
32 and which we suggest may affect the veracity of the underlying assumptions  
33 of anomaly-assimilating decadal prediction methodologies.

## 34 **1. Introduction**

35 The North Atlantic Ocean has been shown to be a key region for the initialisation of decadal  
36 forecasts (Dunstone et al. 2011) and sea surface temperatures (SSTs) in this region are likely im-  
37 portant for the climates of the nearby continents of North America and Europe (Rodwell et al.  
38 1999). SSTs in the North Atlantic show large multidecadal variability (Knight et al. 2005) which  
39 has been linked to drought in the Sahel region (Folland et al. 1986; Zhang and Delworth 2006), At-  
40 lantic hurricane formation (Goldenberg et al. 2001; Smith et al. 2010), precipitation over northern  
41 Europe (Sutton and Hodson 2005), and the growth and persistence of Arctic sea ice, which could  
42 also affect the climate of northern Europe (Screen 2013). In addition to the response to globally  
43 increasing greenhouse gas emissions, understanding the natural variability of this region is im-  
44 portant in helping to improve the veracity of decadal predictions, which rely in particular on the  
45 North Atlantic subpolar gyre (NA SPG) (Dunstone et al. 2011). Indeed, the NA SPG could be an  
46 important region in regulating decadal (Hakkinen and Rhines 2004) and longer timescale climate  
47 cycles (Kleppin et al. 2015). Due to the paucity of long observational records within the NA SPG  
48 much of the mechanistic understanding must be gained through analysis of climate models, some  
49 of which is now summarised.

50 There have been many studies investigating the interannual/decadal variability of the NA SPG,  
51 which may be useful in adding value to predictions made up to a decade ahead. Given the num-  
52 ber of such studies we present here a very brief review. One of the first studies into NA SPG  
53 decadal variability proposed a mechanism related to temperature induced gyre changes which ad-  
54 vect salinity into the model's sinking regions and had a periodicity of 50 years in an early coupled  
55 climate model (Delworth et al. 1993). Following on from the idealised-ocean work of Frankignoul  
56 et al. (1997) this mechanism was ocean-only with the atmosphere providing white noise forcing.

57 However, the agreement between idealised models and fully coupled general circulation models  
58 was better in the subtropics than subpolar regions (Frankignoul et al. 1997). Later work found the  
59 mechanism of Delworth et al. (1993) in the HadCM3 model (Dong and Sutton 2005) but with a re-  
60 duced period of just 25 years with this reduction attributed in part to the removal of flux corrections  
61 and the improved representation of surface temperature gradients in the ocean.

62 Using the ECHAM3/LSG model Timmermann et al. (1998) searched for the observationally-  
63 based salinity-dominated mechanism of Wohllleben and Weaver (1995) related to great salinity  
64 anomalies. A periodicity of 35 years was reported and this time the atmosphere was postulated to  
65 play a direct, coupled role. However, in parallel work using a coupled model with the same atmo-  
66 sphere but a different ocean (ECHAM3/HOPE), Grotzner et al. (1998) also suggested a coupled  
67 decadal mode, but with the now even shorter timescale of 17 years, and this time with temperature  
68 changes playing an important role. To try and reconcile these differences, Eden and Willebrand  
69 (2001) investigated the relative importance of heat and freshwater fluxes related to the North At-  
70 lantic Oscillation (NAO) in an ocean-only model and found that, of the two, heat fluxes were more  
71 important than freshwater fluxes for the interannual/decadal variability of the NA SPG. However,  
72 the coupling between the ocean and atmosphere on multiannual timescales appears to go in both  
73 directions (Rodwell et al. 1999; Battisti et al. 1995) suggesting that coupled models are required  
74 in order to fully capture the interactions.

75 Disagreements remain over the main contribution to density changes in the NA SPG, along  
76 with questions about the degree to which the atmosphere plays a coupled role and the key pro-  
77 cesses which set the timescale. However, the general periodicity of simulated multiannual but  
78 sub-centennial variability has begun to crystallise (Frankcombe et al. 2010). In addition to the  
79 aforementioned works, other studies of this variability within the NA SPG continually find peri-  
80 odicities near to 20 years (Visbeck et al. 1998; Watanabe et al. 1999; Holland et al. 2001; Eden

81 and Greatbatch 2003; Dai et al. 2005; Alvarez-Garcia et al. 2008; Danabasoglu 2008; Born and  
82 Mignot 2012; Tulloch and Marshall 2012; Sévellec and Fedorov 2013; Escudier et al. 2013; Kwon  
83 and Frankignoul 2014). This timescale is sometimes attributed to the basin-crossing timescale of  
84 Rossby waves in the NA SPG (Frankcombe et al. 2010) though many studies attribute it to the  
85 time to build up sufficient temperature anomalies. Indeed, the role of the forcing from Rossby  
86 waves has recently been called into question (MacMartin et al. 2013) and the importance of wave  
87 processes in controlling decadal variability is still unclear (Fevrier et al. 2007; Roussenov et al.  
88 2008). This approximately 20 year variability is generally separate from centennial variability in  
89 the Atlantic, which relies on the long advective timescales to bring anomalies from the tropical  
90 Atlantic or Arctic and in which salinity is more consistently the dominant driver of the related  
91 density changes (Vellinga and Wu 2004; Jungclaus et al. 2005; Menary et al. 2012). Indeed, the  
92 role of salinity in either weakening or strengthening circulations in the NA SPG may depend on  
93 timescale (Deshayes et al. 2014).

94 As previously noted, limited instrumental observations within the NA SPG make it hard to detect  
95 the existence of decadal variability. However, palaeo reconstructions do suggest increased vari-  
96 ance at decadal timescales (Mann et al. 1995) and indeed 20 year variability can be detected on the  
97 outskirts of the NA SPG in palaeo proxies (Chylek et al. 2012). Additionally, the relative impor-  
98 tance of temperature or salinity variability in real world overturning circulation changes has been  
99 investigated. On multiannual timescales, Curry and McCartney (2001) found that the Labrador  
100 Sea potential energy anomaly and the overturning were thermally driven — insofar as tempera-  
101 tures changed twice as much as salinities in the sinking regions (after scaling by the thermal and  
102 haline expansion coefficients).

103 To bring together this previous work with climate models, Figure 1 schematically depicts the  
104 studies so far mentioned along with the reported period and whether the proposed mechanism

105 is coupled or ocean-only. Additionally, whether the timescale is reported to be primarily set by  
106 either wave processes; the mean circulation strength and the integration of anomalies within the  
107 NA SPG; or interaction with the deep western boundary current is noted. Also noted is whether  
108 density changes in the Labrador Sea (or model equivalent sinking region) are reported to be tem-  
109 perature or salinity dominated. In short, there is much disagreement between the models on the  
110 key processes, the details of the mechanism (see above for some examples; the reader is referred  
111 to the specific studies for further details), the degree of atmospheric interaction, and the dominant  
112 driver of density changes.

113 As analysing decadal variability requires many decades/centuries of integration these previous  
114 studies generally use low resolution coupled models ( $>1^\circ$  ocean resolution,  $>2^\circ$  atmosphere res-  
115 olution) or higher resolution ocean-only models. There are reasons to suppose that improved at-  
116 mospheric resolution could affect the amplitude of decadal variability (Danabasoglu 2008) whilst  
117 improved ocean resolution and associated representation of the Gulf Stream and other bound-  
118 ary currents may affect the precise timescales of multiannual/decadal variability (Grotzner et al.  
119 1998; Gelderloos et al. 2011; Hodson and Sutton 2012). Higher resolution topography may also  
120 be expected to affect the efficacy of wave processes as compared to idealised ocean models with  
121 smoothed/no topography (Roussenov et al. 2008; Zhang and Vallis 2007) and improve deep water  
122 pathways (Spence et al. 2011). At high ocean resolution eddy induced mixing can be left ex-  
123 plicit, rather than parameterised (or the parameterisation significantly turned down), which may  
124 impact on the magnitude and variability of ocean heat and freshwater transports (Volkov et al.  
125 2008; Treguier et al. 2014). Stronger sea surface temperature gradients, associated with higher  
126 ocean resolution, may improve the strength of atmosphere-ocean coupling (Brayshaw et al. 2008).  
127 Ultra-high resolution within the Agulhas region has also been shown to affect the variability of the  
128 simulated low-latitude Atlantic overturning (Biastoch et al. 2008b).

129 In this study, we document the drivers of NA SPG variability in a new, high-resolution coupled  
130 model that represents a rare combination of high resolution (in both ocean and atmosphere) and  
131 the multi-century length integration required to analyse decadal timescale modes. We ask: Does  
132 high resolution, and the associated processes it allows, affect the nature of simulated decadal  
133 variability?

134 The paper is structured as follows: Section 2 describes the model and data used. We then briefly  
135 characterise the model in Section 3 before exploring the mechanism of decadal variability in some  
136 depth throughout Section 4. The implications of our findings are discussed in Section 5 before  
137 conclusions in Section 6.

## 138 **2. Model description and experimental setup**

139 We examine a prototype of the Met Office Hadley Centre’s state-of-the-art coupled ocean-  
140 atmosphere-land ice global environment model, HadGEM3, hereafter referred to as HG3. 460  
141 years of near present-day control simulation have been run at high resolution. The atmosphere  
142 component is the Met Office Unified Model version 7.7 (Walters et al. 2011). It has a horizontal  
143 resolution of N216 (92km at the equator) and 85 levels in the vertical with a model top at 85km  
144 with at least 30 levels in or above the stratosphere. The ocean is resolved on the NEMO tripolar  
145 grid (0.25°, 75 depth levels, version 3.2, Madec and Coauthors (2008)), with a pole under  
146 Antarctica and poles either side of the Arctic Ocean in Asia and North America. The ocean in  
147 HG3 was initialised from rest at December 1st using the 2004–2008 time mean EN3 (Ingleby and  
148 Huddleston 2007) December-time climatology and subsequently allowed to freely evolve with re-  
149 peating 2000 external forcings in the atmosphere. The year 2000 was chosen as it combined a  
150 well sampled and recorded set of external forcings with relatively neutral conditions in major cli-

151 mate indices, such as El Niño; for further details of the model configuration and other simulations  
152 see Walters et al. (2011).

153 We use observed data from the EN4 objective analysis (Good et al. 2013) which provides infilled,  
154 optimally interpolated fields of temperature and salinity on a  $1 \times 1^\circ$  grid from 1900 to present-day.  
155 EN4 is an updated version of EN3, with improved quality control and error estimates, but was not  
156 available when the climate model was initialised. We use the period 1900–2013 to construct a sim-  
157 ple climatology for comparison with HG3 and note that the biases in HG3 are large enough (see  
158 Section 3a) that the method used to construct the climatology is unlikely to be of first order impor-  
159 tance *i.e.* the results are not sensitive to choosing 1900–2013 or 1960–2013 climatologies. Unlike  
160 the HG3 model, which is run with interannually constant forcings appropriate for the year 2000,  
161 this observational data also includes the effects of all other natural and anthropogenic forcings.

162 HG3 is a precursor to the model used in the Met Office global seasonal forecast,  
163 GloSea5 (MacLachlan et al. 2014). GloSea5 will also be similar to the new decadal prediction  
164 model. However, there are some differences between the HG3 and GloSea5 models, as GloSea5  
165 underwent additional development whilst the HG3 control was running. Most importantly for the  
166 present study of the NA SPG is the more diffuse thermocline in the HG3 ocean (NEMO version  
167 3.2) as compared to GloSea5 (NEMO version 3.4, see discussion section) (Megann et al. 2014).  
168 Despite this the NA SPG biases in upper ocean temperature and salinity (compared to EN4), are  
169 small compared to many other coupled climate models used to study NA SPG variability (e.g. Es-  
170 cudier et al. (2013), Wang et al. (2014) (for SSTs), see Section 3a). Further details of global  
171 mean-state biases within the atmosphere and ocean in HG3 can be found in Walters et al. (2011).

### 172 3. Characterising the model

173 We now examine the NA mean state biases and signal of decadal variability in HG3 in some  
174 more detail as a precursor to investigating the mechanisms of variability which exist on top of  
175 these biases. In all cases, ‘decadal’ variability refers to 5-year smoothed data, unless otherwise  
176 stated.

#### 177 *a. NA SPG Mean state*

178 Mean state biases in top 500m depth averaged temperatures (T500), salinities (S500), and den-  
179 sities ( $\rho$ 500) in the NA SPG are less than  $\pm 3^{\circ}\text{C}$ ,  $\pm 0.4\text{PSU}$ , and  $\pm 0.1\text{kg/m}^3$  in the interior NA  
180 SPG, with larger  $+4^{\circ}\text{C}$ ,  $+0.6\text{PSU}$ , and  $\pm 0.2\text{kg/m}^3$  biases in the boundary current regions (Fig-  
181 ure 2). The temperature and salinity biases are close to being density compensating in the NA  
182 SPG but in the subtropical gyre (not the focus of this study) temperature biases dominate result-  
183 ing in lighter waters. The anomalously cold region in the western SPG, often attributed to the  
184 simulated Gulf Stream being too zonal (Kwon et al. 2010), is not as large as in many coupled cli-  
185 mate models (Scaife et al. 2011). Warm anomalies exist all along the NA SPG northern boundary  
186 currents. These anomalies are associated with reduced ice distribution around southern Greenland  
187 and in the Labrador Sea (not shown). Within the NA SPG, simulated deep convection, as estimated  
188 from the annual standard deviation in March mixed layer depths (using the mixed layer estimation  
189 method of Kara et al. 2000), is located in the Labrador Sea and Irminger Current.

190 The Atlantic meridional overturning circulation (AMOC) streamfunction in the model is shown  
191 in Figure 3a. The zero streamfunction line sits at a depth of 2–3km with the maximum overturning  
192 occurring at a depth of approximately 1km. The deeper overturning cell, representing Antarctic  
193 Bottom Water (AABW) and Lower North Atlantic Deep Water (LNADW) has a strength of around  
194 3Sv, whereas the shallower AMOC cell, representing the western boundary current and Upper

195 North Atlantic Deep Water (UNADW) has a mean strength of 17Sv for the last 200 years of the  
196 simulation.

197 At 26°N it is possible to directly compare the streamfunction in the model to the RAPID observa-  
198 tions. The depth of the RAPID overturning maximum is marked with a cross and is approximately  
199 200m deeper than in the simulations, which at these depths represents a single model grid cell  
200 in the vertical. The depth of the RAPID zero streamfunction line is around 4km, much deeper  
201 than simulated. This is not uncommon in models and may be partly an artefact of computing  
202 the simulated overturning using the full 3-dimensional velocities (Roberts et al. 2013), although  
203 some models do represent a much deeper upper cell (Yeager and Danabasoglu 2012). Neverthe-  
204 less, using a ‘RAPID-style’ calculation, after Roberts et al. (2013) with a depth of no motion at  
205 4740m, yields a zero streamfunction depth approximately only 250m deeper than using the full  
206 3-dimensional velocities. The structure and variability of the streamfunction shallower than this  
207 are essentially unchanged. Finally, the NA SPG barotropic streamfunction and associated time  
208 series are also shown (Figure 3, b and d) and broadly compare well to observational estimates and  
209 high resolution models (Tréguier et al. 2005).

210 Although the depth (1000m) and strength (17Sv) of the maximum of the upper AMOC cell  
211 are consistent with observations, the simulated annual variability in this index is weaker than  
212 observed. The simulated annual mean AMOC streamfunction at 26.5°N and 1000m depth has a  
213 standard deviation of 1.2Sv (0.9Sv if first detrended), compared to an annual standard deviation  
214 of 2.3Sv from the 10 years of RAPID data available (Figure 3c). Additionally, the simulated  
215 index begins at a low value and then takes several centuries to spin-up to a more stable state more  
216 favourably comparable to the observed mean. Although this represents an improvement in this  
217 index of the NA circulation, the spin-up of the overturning circulation also results in an increase

218 in northward heat and salt transport within the Atlantic Ocean, causing the NA SPG to drift away  
219 from its initialised state to a warmer and saltier state, seen in Figure 2

220 The simulated AMOC index also shows some evidence of multiannual/decadal variability, par-  
221 ticularly at the more northerly latitudes of the NA SPG (not shown) in addition to 26N, as in other  
222 models (Zhang 2010). The maximum correlation between the simulated AMOC indices at 26.5°N  
223 and 50°N occurs when the 50°N index leads by 1 year (correlation of 0.63 with a correlation of  
224 0.12 required for significance at the 95% level), suggesting the lower latitude variability is re-  
225 sponding to variability further north in the NA SPG. We now move on to examine the decadal  
226 variability of the NA SPG in more detail.

#### 227 *b. Signal of decadal variability*

228 The time-mean T500 simulated in HG3 is shown in Figure 4a along with contours at 6 and 10  
229 degrees to mark the general shape of the NA SPG. A comparison with observations (EN4) again  
230 shows the general warm bias of the NA SPG, particularly towards the edges of the gyre. A power  
231 spectrum for T500 over the whole region reveals a significant peak at a period of 16 to 17 years  
232 (Figure 4b). This periodicity exists whether using the entire simulation or alternatively removing  
233 the first 200 years (not shown), suggesting it is not merely an adjustment process, and so we use  
234 the entire time series to maximise the available data. Additionally, the periodicity is not unique to  
235 any of the four individual subregions within the NA SPG (dashed regions in Figure 4a); all show  
236 a significant peak at 16 to 17 years, as well as the North Atlantic Current (NAC) and Irminger  
237 regions (not shown). Indeed, in HG3 many other large scale ocean indices in the NA SPG also  
238 reveal peaks in their power spectra at periods of 16 and 17 years, such as SSTs, depth averaged  
239 salinities, the AMOC at 50°N, or the strength of the NA SPG itself (as defined by the barotropic  
240 streamfunction, *c.f.* Figure 3).

241 In addition to these ocean indices, the NAO index also shows periodicity at 16 to 17 years in its  
242 otherwise much whiter spectrum (Figure 4c). This is suggestive of a link from ocean to atmosphere  
243 in the region of the NA in which the ocean can impart some of its long term memory on to the  
244 atmosphere. Such a feedback might in general be expected to be weaker than similar atmosphere  
245 to ocean processes, and related to the strength of the ocean circulation and SST gradients (Nonaka  
246 and Xie 2003), and thus detection of this feedback is perhaps at least in part due to the increased  
247 signal to noise ratio resulting from the length of the control simulation (though we note this is  
248 still short compared to many previous studies with lower resolution models). The mechanistic  
249 drivers behind this 17 year mode in the ocean and atmosphere, and the reasons for the particular  
250 timescale, are investigated in the next sections; initially characterising the variability in the NA  
251 SPG as a whole before targeted analysis of the processes in different regions.

#### 252 **4. Mechanism of decadal variability in the NA SPG**

253 We now diagnose the mechanism of decadal variability within the NA SPG, beginning with a  
254 heat budget for the region before investigating how temperature anomalies propagate around the  
255 gyre.

##### 256 *a. Heat budget*

257 To begin to understand the variability of T500 in HG3 a heat budget of the NA SPG is diagnosed  
258 (Appendix). There is considerable variability in the net heat flux into the NA SPG, the majority  
259 of which appears to be attributable to the advective heat fluxes from the south, which results  
260 in decadal timescale heat content changes within the NA SPG. Annual and decadal correlations  
261 between the total heat flux and net advective fluxes are 0.75 and 0.69 (for annual and decadal data  
262 the 95% significance levels, assuming a two-tailed t-test, are 0.12 and 0.37 respectively), whereas

263 the same for the total heat flux and net surface fluxes are 0.63 and 0.29 (the regression gradients  
264 scale similarly) suggesting that particularly on decadal timescales advective heat fluxes dominate  
265 the variability. Once within the NA SPG, how do these heat content anomalies evolve?

266 *b. Lagged regression analysis*

267 In order to investigate the spatial characteristics of the heat content variability, lagged regressions  
268 of NA SPG T500 on to SST spatially averaged over the NA SPG were performed (Figure 5). T500  
269 anomalies can be seen propagating around the NA SPG: eastwards along the southern boundary  
270 whilst spreading into the interior with a timescale of around 4–6 years (notably slower than implied  
271 by the mean circulation speed in this region); westwards along the northern edge but south of  
272 the Greenland, Iceland, Norwegian (GIN) Seas; into the central Labrador Sea as opposite sign  
273 anomalies form in the Gulf Stream region. A similar evolution of anomalies was also found  
274 when regressing T500 on to T500 spatial averages over the eastern SPG, NAC region, or Labrador  
275 Sea (not shown). The remaining panels will be discussed in Section 4f. Features such as the  
276 Reykjanes Ridge can be seen diverting the flow. Although not shown here, there is little evidence  
277 of significant amounts of the signal diverting into the GIN Seas in the far northern part of the SPG.  
278 The heat content anomalies reach the Labrador Sea from the eastern SPG within a couple of years  
279 but several more years are required for the anomalies to spread into the interior SPG. As the heat  
280 content anomalies in the Labrador Sea build up so does a cold anomaly in the Gulf Stream/NAC  
281 region. The opposite phase of the cycle now begins.

282 The underlying essence of the cycle is captured by regressing T500 indices in the northern  
283 and southern edges of the NA SPG against each other (Figure 6, the same result is also found if  
284 removing the spin-up phase, not shown). This shows the southern edge of the NA SPG leading the  
285 northern edge by 4–6 years and subsequently lagging changes in the northern edge by 0-2 years

286 with opposite sign, yielding a half period of 4–8 years and a full period of 8–16 years (constrained  
287 here to be even by the use of annual data). The timescale is further increased by 2 years (putting  
288 the 16/17 year spectral peak more towards the centre of this range) if a third location in the eastern  
289 SPG is added to the regression model, forcing the signal to go via the eastern SPG (by regressing  
290 the southern index with an index of the eastern NA SPG, and then regressing the index of the  
291 eastern NA SPG with the northern index, not shown), suggesting that the spread in timescales is  
292 perhaps related to the superposition of various advective pathways. This decadal mode is generally  
293 confined to the top 500m–1km with the exception of the central Labrador Sea where it extends to  
294 around 2km (not shown). Decadal variability in the band 10–30 years, encompassing the spectral  
295 peak at 17 years, explains >15% of the interannual variability in T500 within the NA SPG, with  
296 this value rising to >30% in the centre of the gyre.

297 The lagged regression analysis leads to two key questions: Firstly, what is controlling the appar-  
298 ent propagation of the heat content anomalies in both a) the Gulf Stream extension/NAC, and b)  
299 the northern boundary currents/Irminger Current? Secondly, what is the negative feedback which  
300 forms the opposite sign anomaly in the NAC, resulting in a cyclical mechanism and a spectral peak  
301 in NA SPG temperatures?

### 302 *c. Heat content anomalies in the NAC region*

303 To determine what controls the heat content changes on the southern boundary of the NA SPG,  
304 the heat budget of the NAC region is examined in more detail. A region was chosen where sim-  
305 ulated zonal currents are much stronger than meridional or vertical currents (See Figure 4a, blue  
306 box). This simplifies the later interpretation of the decomposition of advective heat fluxes into  
307 circulation and temperature components. As noted in the Appendix, it is not possible to close the  
308 heat budget precisely, which becomes more apparent for smaller subregions. Table 1 shows the

309 time mean advective components and net surface heat fluxes for the NAC top 500m. Note that the  
310 choice of reference temperature becomes irrelevant when considering the net transport through all  
311 faces combined but not when considering open sections (Schauer and Beszczynska-Möller 2009).  
312 The most important advective heat fluxes are from the east and west, associated with the mean vol-  
313 ume transport through the region from east to west. These advective heat fluxes are approximately  
314 balanced by the surface heat fluxes but the sum of the two is not identical to the actual heat content  
315 change implied by the in-situ temperatures. This is due to missing diagnostics (See Appendix)  
316 and the use of monthly means when computing  $vT$ , rather than at each model time step. However,  
317 although the means are slightly different, the variability in both time series is well correlated on all  
318 timescales at monthly or longer sampling (Table 1). Thus in the ensuing analysis of the variability  
319 we treat the budget as sufficiently closed.

320 The annual and decadal timescale correlations (regression gradients,  $W=Watts$ ) between the  
321 advective heat fluxes and net actual heat content changes in the NAC are 0.82 ( $0.92 W_{dOHC}/W_{adv}$ )  
322 and 0.54 ( $0.40 W_{dOHC}/W_{adv}$ ) respectively, compared to 0.43 ( $0.92 W_{dOHC}/W_{surf}$ ) and 0.20 ( $0.20$   
323  $W_{dOHC}/W_{surf}$ ) for the correlation between surface heat fluxes and the net heat content change  
324 (for annual and decadal data the 95% significance levels, assuming a two-tailed t-test, are 0.12 and  
325 0.37 respectively). Thus much of the annual and decadal variability in the heat content changes  
326 in the Gulf Stream is associated with advective heat fluxes but there is a role for surface fluxes to  
327 modulate these changes, even on decadal timescales. See Appendix 2 for the full heat transport  
328 breakdown. Of the advective heat fluxes, the remaining question is whether these are due to the  
329 anomalous circulation or anomalous temperature.

330 For the NAC region it can be seen that slightly more of the advective heat flux variability arises  
331 from that due to anomalous circulation advecting mean temperature anomalies ( $v'T_0$ , Table 3).  
332 Although the magnitudes are similar between  $v'T_0$  and  $v_0T'$  components, the relationship with

333 the net ocean heat transport (OHT, *i.e.*  $vT$ ) is not, with  $v'T_0$  having a higher positive correlation  
334 with OHT. Correlations between  $v'T_0$  and OHT are 0.29, 0.36, and 0.42 on monthly, annual, and  
335 decadal timescales respectively, compared to 0.00, -0.16, and -0.23 for  $v_0T'$  (Table 2). This holds  
336 throughout the western half of the southern edge of the NA SPG (not shown), and is associated  
337 with a strong background temperature gradient. Thus  $v'T_0$  appears to be the dominant advective  
338 heat flux in the NAC region on all timescales.

339 *d. Heat content anomalies in the Irminger Current region*

340 The same breakdown of heat content changes into a particular region was applied to the Irminger  
341 Current at the entrance to the Labrador Sea (Figure 4a, red box). Similarly to the NAC region,  
342 this was chosen where horizontal circulation was well defined in a particular direction and much  
343 larger than all orthogonal circulations. The breakdown of heat fluxes into surface, advective,  
344 and advective subcomponents is shown in Table 1. Similarly to the Gulf Stream region, the net  
345 surface and net advective heat fluxes approximately balance but do not fully explain the directly  
346 calculated heat content change. However, as before, the correlation between the sum of the surface  
347 and advective components and the flux implied by the actual heat content change is very good on  
348 all timescales and so we again treat the budget as sufficiently closed.

349 For the individual fluxes, on annual timescales, the correlation (regression gradient) between the  
350 advective heat fluxes and net heat content changes is 0.56 ( $0.56 W_{dOHC}/W_{adv}$ ), again marginally  
351 greater than the correlation between surface heat fluxes and net heat content changes at 0.47 ( $0.52$   
352  $W_{dOHC}/W_{surf}$ ). On decadal timescales these drop to 0.21 (0.08) and 0.19 (0.09) for advective  
353 and surface fluxes respectively. Despite these low decadal correlations, there is still a very large  
354 correlation between their sum and the actual net heat content change (Table 1), suggesting that on  
355 these decadal timescales no single component of the heat budget can be considered the controlling

356 influence. This is also indicated by the strong anti-correlation between advective and surface heat  
357 fluxes of -0.87 on decadal timescales (for annual and decadal data the 95% significance levels,  
358 assuming a two-tailed t-test, are 0.12 and 0.37 respectively).

359 In contrast to the Gulf Stream region, for the Irminger Current the most important advective heat  
360 flux is that due to the mean circulation advecting anomalous temperature ( $v_0T'$ , Table 3).  $v_0T'$   
361 has slightly greater variability on all timescales than  $v'T_0$  and also shows larger correlations (and  
362 regression gradients) with the actual OHT changes on all timescales. Correlations between OHT  
363 and  $v_0T'$  for monthly, annual, and decadal variability are 0.83, 0.34, and 0.29 respectively, whereas  
364 correlations between OHT and  $v'T_0$  are much smaller (Table 2). In our Irminger Current box the  
365 zonal currents are an order of magnitude larger than in all other directions, and so we suggest  
366 that it is the zonal mean circulation which is playing an important role in moving heat content  
367 anomalies from east to west on the northern edge of the NA SPG. Additionally, the simulated  
368 mean temperature of the core of the inflow and outflow waters differs by 0.2K in the Irminger  
369 Current region, compared to 1.6K for the NAC region, which may help to explain the smaller  
370 standard deviations in advective heat fluxes through the Irminger Current region.

371 In summary, the heat budget for the NA SPG as a whole has been diagnosed and it has been  
372 seen that advective heat fluxes play an important role on decadal timescales, but that the relative  
373 contributions of circulation and temperature anomalies to the OHT are region specific. We now  
374 investigate the remaining question of what controls the negative feedback between Labrador Sea  
375 and NAC temperature anomalies.

#### 376 *e. Negative feedback between Labrador Sea and Gulf Stream T500*

377 The anomalous temperatures in the Labrador Sea, which are related to the increased heat flux  
378 into the region, affect deep water formation in this region. As noted in Section 1, an assessment of

379 related studies suggests an approximately even split between temperature and salinity control of  
380 the Labrador Sea density changes related to increased deep water formation on decadal timescales.  
381 Following Delworth et al. (1993) we decompose the simulated density changes in the Labrador  
382 Sea into those due to temperature and those due to salinity (Figure 7a). This analysis suggests  
383 that in HG3 simulated density changes in the Labrador Sea are due to temperature induced den-  
384 sity changes (annual correlation with actual density: 0.64, correlation required for significance at  
385 the 95% level, assuming a two-tailed t-test, is 0.12), rather than salinity induced density changes  
386 (annual correlation with actual density: -0.06). A lagged correlation analysis confirms that on  
387 both annual and decadal timescales density changes are temperature-controlled (Figure 7b). We  
388 hypothesise that simulated dense water formation in the Labrador Sea in HG3 contributes to circu-  
389 lation anomalies in the NAC region via the creation of an anomalous north-south dynamic height  
390 gradient, and as such acts as a negative feedback on to NA SPG temperatures.

391 To examine this hypothesis we calculate a composite difference in the density in a cross section  
392 through the NAC which lags the density upstream in the Labrador Sea (Figure 8a). To the north the  
393 connection between surface and deep water is revealed with the signal sinking below the surface as  
394 it progresses southwards. The north-south density gradient is associated with a change in the local  
395 dynamic height (Figure 8b). Despite the negative density anomaly in the south it can be seen that  
396 a large part of the dynamic height anomaly is controlled by the northern, positive density anomaly.

397 As the signal of anomalous density spills out of the Labrador Sea this dynamic height gradient  
398 increases and is balanced by anomalous shear in the geostrophic velocities (Figure 8c). The mean  
399 geostrophic velocity anomaly between the surface and 500m for the pictured transect is 1.2cm/s,  
400 increasing to 1.6cm/s for the top 200m only. Thus, an increase in density in the Labrador Sea,  
401 associated with a cooling in this region, is followed by a strengthening of the circulation in the  
402 NAC, and thus an increase in northward OHT into the NA SPG (with likely also some additional

403 contribution from  $v'T'$  as the anomalous circulation acts on anomalously warm, low density sur-  
404 face water, *c.f.* Figure 8a). This acts as a negative feedback on the NA SPG temperatures. We now  
405 discuss the atmospheric contribution to these ocean feedbacks.

406 *f. The role of the atmosphere*

407 Although the proposed mechanism of decadal (17 year) variability in HG3 has been described  
408 mostly in terms of ocean dynamics there are regions where the atmosphere directly forces, or acts  
409 as a positive feedback on, the ocean variability.

410 For example, the negative feedback dipole between Labrador Sea and NAC temperatures is  
411 reminiscent of the Ekman response to NAO forcing. To quantify the instantaneous (*i.e.* zero  
412 lag) impact of the NAO we attempt to isolate its signal similarly to the analysis of Polo et al.  
413 (2014). Specifically, the annual mean 3-dimensional ocean density field was regressed onto the  
414 wintertime NAO index (both unfiltered, not shown). The direct impact of the NAO was then  
415 removed from the density field by scaling the regression pattern by the NAO index and removing  
416 the pattern from the density at each time point. Removing the instantaneous NAO-related signal  
417 weakens the density/dynamic height and thus geostrophic current response (Figure 8d) calculated  
418 in Section 4e, hence suggesting that some of the proposed ocean negative feedback is forced by  
419 the atmosphere and not merely an ocean-only process. On annual timescales the magnitude of  
420 the current response is reduced by 45% but on longer, decadal timescales the reduction is less  
421 stark (13% reduction, shown in Figure 8d). This analysis assumes that the instantaneous impact  
422 of the NAO is annually independent and can be linearly separated. To what extent the NAO and  
423 ocean temperatures/densities can be seen as one-way forcing from atmosphere to ocean, and to  
424 what extent it is actually a coupled feedback (*i.e.* some of the NAO signal is itself forced by the  
425 ocean, implied by the spectral peak in the NAO power spectrum Figure 4c), is discussed below.

426 However, the reduction in anomalous circulation response when removing the NAO suggests that  
427 atmospheric forcing/the NAO may act to reinforce this ocean feedback.

428 In the northern NA SPG we have previously shown a role for ocean advection in moving heat  
429 content anomalies westwards via the mean circulation (Section 4d). At the same time, surface heat  
430 fluxes were also shown to be non-negligible. In Figure 5 the SST, T500 (discussed in Section 4b),  
431 SHF, Sea Surface Salinity (SSS), Mean Sea Level Pressure (MSLP), and Sea ice are regressed at  
432 various lags against NA SPG mean SSTs. The SHF is directed into the ocean and at lag=0,+2  
433 is having a cooling effect in the eastern SPG and a warming effect in the western SPG, *i.e.* it is  
434 effectively moving heat content anomalies from east to west. This is likely related to the concomi-  
435 tant strongly negative NAO anomaly in the MSLP field at the same lags. The actual magnitude  
436 of the SHF contribution to the Irminger Current OHC change is similar to the contribution from  
437 advective fluxes (Table 1) but, as noted in Section 4d, both are individually quite poorly correlated  
438 with the OHC change on multiannual timescales. This is consistent with a mechanism whereby  
439 the ocean integrates up the interannually independent forcing from the atmosphere/NAO resulting  
440 in decadal timescale variability in ocean heat content. However, the spectral peak in the NAO  
441 index (Figure 4c) also implies some ocean to atmosphere forcing.

442 Additionally, in the eastern SPG, the SSTs are anti-correlated with the NAO index, seen both at  
443 the lag=0 regression and with the opposite phase at lag=-6. These SSTs are likely a combination of  
444 the direct forcing of both 1) the NAO via SHFs and anomalous Ekman and gyre circulation (Hakki-  
445 nen and Rhines 2004; Sarafanov et al. 2008) and 2) the advective heat flux associated with the  
446 diagnosed mechanism of decadal variability. As noted previously, the simulated NAO shows a  
447 spectral peak at 17 years similarly to ocean indices within the NA SPG. It would appear most  
448 likely that this atmospheric memory must come from the ocean but unfortunately long enough  
449 atmosphere-only experiments with this model are not available to further test this hypothesis.

450 At lag=0 (and with opposite phase at lag=-6), the anomalous NAO-related SHFs show the same  
451 sign change over both the Labrador Sea and Gulf Stream/NAC but over the Gulf Stream/NAC they  
452 are of the wrong sign to explain the heat content changes (both at the surface and throughout at  
453 least the top 500m of the water column). This is consistent with advective heat fluxes playing a  
454 much more dominant role in the heat budget of the NAC region (see Section 4c) than the Irminger  
455 Current/Labrador Sea region (Section 4d). However, as noted at the beginning of this section, in  
456 the NAC region there is a significant portion of the ocean geostrophic circulation (and associated  
457 heat transport) response which is itself related to the NAO (*c.f.* Figures 8c and 8d). In short, it is  
458 impossible to completely separate the effects of either the atmosphere or ocean.

459 SSS evolves similarly to SST in the NA SPG although the largest changes are associated with  
460 movement of the ice edge in the GIN Seas (Figure 5; first, fourth, and sixth columns). In general  
461 in the NA SPG, positive salinity anomalies co-vary with positive temperature anomalies in both  
462 space and time, again suggesting a role for advective fluxes. NAO-related surface freshwater fluxes  
463 are also proposed to be of only secondary importance due to the fact that simulated SSS anomaly  
464 magnitudes are independent of the amplitude of the NAO (not shown).

465 Similarly to other large scale variables within the NA SPG, ice edge changes exhibit decadal  
466 variability with a spectral peak at a period of 17 years (not shown). However, unlike in similar  
467 work with the IPSL model (Escudier et al. 2013) negative sea ice anomalies do not appear to lead  
468 cooling in the NA SPG (Figure 5, sixth column). We suggest that in our simulations ice edge  
469 changes are primarily a passive response to the temperature dominated decadal variability within  
470 the NA SPG, perhaps again via the NAO (Deser et al. 2000), rather than a direct driver of this  
471 variability.

472 *g. Summary of the proposed mechanism*

473 The mechanism of decadal (17 year) variability simulated in the NA SPG T500 and SSTs is  
474 summarised in Figure 9. Positive circulation anomalies in the southern part of the SPG move heat  
475 eastwards and northwards into the eastern SPG with a timescale of around 5 years (orange). These  
476 heat content anomalies are then transported by the mean circulation around the northern edge of  
477 the SPG with a timescale of around 2 years (red). In the Labrador Sea these anomalies affect the  
478 stability of the water column. These negative density anomalies, associated with reduced deep  
479 water formation, spill out from the Labrador Sea into the SPG, deepening as they go. In the region  
480 north of the Gulf Stream these negative density anomalies affect the north-south density gradient  
481 and induce geostrophic circulation anomalies weakening the NAC. The weaker circulation reduces  
482 ocean heat transport and acts to cool the NA SPG (blue). The phase of the oscillation is thus  
483 reversed.

484 The postulated role of the atmosphere is also noted (black dashed lines in Figure 9): As tem-  
485 perature anomalies build up in the eastern SPG the atmosphere acts to strengthen these anomalies.  
486 When the east of the NA SPG is anomalously warm or cold SHFs also act to move the ocean  
487 heat content anomaly westwards. Lastly, in the region of the Labrador Sea/Gulf Stream temper-  
488 ature (density) dipole the NAO is associated with around 13% of the ocean-circulation feedback  
489 (calculated in Section 4e and shown in Figure 8d).

490 We now discuss the implications of our work and similarities between it and previous studies.

491 **5. Discussion**

492 In the context of the brief literature summary in Section 1, and the schematic illustration  
493 presented in Figure 1, our simulations broadly fall into a temperature-dominated regime in the  
494 Labrador Sea in which the mechanism could be described as ‘Ocean\*’ (where the asterisk implies

495 a positive feedback between the NAO and SSTs may be amplifying the mode, after Figure 1).  
496 The timescale is set in part by mean circulation speeds in the northern SPG but with a transition  
497 to anomalous circulation in the southern SPG — although it is not clear from the simulations  
498 precisely where this transition occurs.

499 The simulated timescales between changes in the Labrador Sea, NAC and eastern SPG have been  
500 attributed to advective processes. However, confounding this are wave processes which are also  
501 weakly detectable within the model. Analysis of the deep density field (1500–3000m, not shown)  
502 reveals signals characteristic of boundary waves propagating from the Labrador Sea to the equator;  
503 propagating along the equator to the eastern boundary; subsequently propagating north and south  
504 along the eastern boundary, all the while radiating Rossby waves westwards. The evolution is  
505 very similar to that found in the idealised model of Johnson and Marshall (2002) and yield a lag  
506 between the Labrador Sea and eastern SPG of 5 years, broadly similar to that due to advection.  
507 Although detectable, these wave signals require heavy filtering of the deep density field whilst the  
508 proposed mechanism exists mainly in the top 1km. We can only conclude that wave processes  
509 may play an additional role in our simulated variability but the magnitude of this is unclear. We  
510 also note that the relatively diffuse thermocline in HG3 (Megann et al. 2014) may act to dampen  
511 these wave processes (Grotzner et al. 1998) as compared to the updated seasonal forecast model,  
512 GloSea5 (which will be similar to the new Met Office decadal prediction model).

513 Despite the lagged regression analysis used in this study, and its ubiquity within studies of  
514 decadal variability within climate models, there are some hints from the present work that the  
515 proposed mechanism may be asymmetric. This asymmetry is manifest in the timescales of various  
516 phases of the cycle being also dependant on the sign of the anomaly; *i.e.* the same processes are  
517 at work in opposite phases of the mechanism but may evolve with different timescales. Some  
518 evidence for this can be seen in Figure 5 in which all the fields reverse sign over 6–8 years,

519 implying a periodicity of 12–16 years, and yet the spectral peak occurs at the upper end of this  
520 at 16 to 17 years. If we construct lagged composites of the T500 (or SST) field based on the  
521 top/bottom 10% of phases of the SST index (not shown) we find a reversal timescale of 10 years  
522 following a high SST phase, but a reversal time of 6 years following a low SST phase. This  
523 asymmetrical timescale doesn't appear to be directly due to the effect of heat transport by the  
524 anomalous circulation in the southern SPG ( $v'T_0$ , see Section 4c) as the lags between the NAC and  
525 eastern SPG are the same timescale in both phases. It is important to note though that constructing  
526 composites, which only use 20% of the total data, reduces the number of degrees of freedom.

527 This asymmetry also appears evident in the coupled simulation when compositing MSLP based  
528 on high/low phases of the SST index. Although both MSLP patterns, composited against posi-  
529 tive SSTs (Figure 10a) and negative SSTs (Figure 10b), show significant MSLP anomalies, the  
530 magnitude and precise structure are clearly different, with only the negative SST composite as-  
531 sociated with the canonical NAO pattern (Figure 10b). Additionally, atmosphere-only sensitivity  
532 experiments (not shown) suggest a stronger coupling in the NA SPG between anomalously pos-  
533 itive NAO/negative SSTs than anomalously negative NAO/positive SSTs. We plan to investigate  
534 the asymmetry further in a separate study.

### 535 *Comparison with observations and other models*

536 It is difficult to that the prove the mode of variability reported here is inconsistent with observa-  
537 tional data due to the paucity of observational records in the NA SPG, particularly in the northern  
538 half, and the presence of confounding additional transient forcings in the observational record.  
539 However, palaeo proxies from the NA SPG suggest there is 20 year variability in some indices  
540 in the region (Sicre et al. 2008; Chylek et al. 2012), although it must be noted that there is dis-  
541 agreement on the spectral characteristics of all proxies (Mann et al. 1995). The specific elements

542 of our proposed mechanism (anomalous circulation OHT in the southern part of the NA SPG,  
543 mean circulation OHT in the northern part, a negative feedback between Labrador Sea and NAC  
544 temperatures) are also broadly consistent with the observational literature. For example, there  
545 are some similarities to the anti-correlated relationship between Labrador Sea and NAC tempera-  
546 tures/transportes seen in observations (Curry and McCartney 2001). This observational work also  
547 highlights the significant role of the NAO in this relationship as well as the dominant role for tem-  
548 perature (as opposed to salinity) in driving these changes. We note that as a result of the northern  
549 NA SPG warm bias in HG3 there is less ice in the mean, which may detrimentally affect the ability  
550 of ice/freshwater fluxes to affect the decadal variability. In models where the NA SPG mean state  
551 bias is cold, feedbacks involving ice and freshwater fluxes have been shown to be crucial to the  
552 diagnosed decadal variability (Escudier et al. 2013).

553 Although it is difficult to isolate the precise mechanisms by which increased ocean or atmo-  
554 sphere resolution may have altered our results — without a parallel set of low resolution simula-  
555 tions within the same model framework — there are specific features of the decadal variability that  
556 are likely to be affected by enhanced resolution. For example, our proposed mechanism of NA  
557 SPG decadal variability suggests a prominent role for boundary currents, which may be improved  
558 by higher resolution (Grotzner et al. 1998; Gelderloos et al. 2011). Additionally, the increased  
559 atmospheric resolution (which represents the main computational burden for the coupled model)  
560 may affect the innate atmospheric variability over the North Atlantic (Matsueda et al. 2009), while  
561 the role of the atmosphere may also be modulated by the improved ocean resolution (Scaife et al.  
562 2011). Recent work comparing  $1^\circ$ ,  $0.25^\circ$ , and  $1/12^\circ$  resolution simulations with the same under-  
563 lying model suggest that  $0.25^\circ$  is a significant improvement over  $1^\circ$ , in terms of the biases in NA  
564 SPG SSTs and the location of the Gulf Stream, but that there are still further improvements to be  
565 had at even higher resolution (Marzocchi et al. 2015).

566 Similar to our findings, recent ultra-high resolution ( $1/12^\circ$  horizontal resolution) eddy resolving  
567 ocean-only model studies show that much of the OHT into the eastern NA SPG occurs in the near  
568 surface (but below the Ekman layer) originating in the subtropics (25% of virtual floats at 500m,  
569 compared to less than 10% at 50m or 1000m (Burkholder and Lozier 2011, 2014)). In addition,  
570 the role of anomalous circulation transporting the mean temperature gradient in the southern part  
571 of the NA SPG is indirectly supported by these ocean-only simulations, which find that the mean  
572 circulation is unable to explain the slow timescale by which temperature anomalies move from the  
573 subtropics to the eastern SPG. Important for decadal variability in our simulations are advective  
574 heat fluxes from the southern edge of the NA SPG due to the anomalous circulation ( $v'T_0$ ). How-  
575 ever, annual variability (*i.e.* the anomaly) in the AMOC at  $26.5^\circ\text{N}$  from 10 years of RAPID data  
576 is approximately double the annual standard deviation in HG3. Thus, if the proposed mechanism  
577 exists in reality then it could be expected to have a larger amplitude or faster timescale.

578 The mechanism we have presented has a timescale of 17 years, similar to the 20 years found in  
579 the IPSL-CM5A-LR model recently investigated by Escudier et al. (2013). However, a similar  
580 timescale does not imply the same mechanism: see for example an identical 17 year timescale but  
581 different mechanism reported by Born and Mignot (2012). The present study reports a mode of  
582 variability where temperature dominates the density budget, whereas Escudier et al. (2013) report  
583 a mode in which freshwater/salinity fluxes have an important role. Indeed, salinity advection  
584 within the SPG has been proposed as a cause of bistability in the SPG (Born et al. 2013), albeit on  
585 longer timescales. It is intuitive that whether the density budget is dominated by temperature or  
586 salinity would affect whether a strengthening northward circulation acted as a positive or negative  
587 feedback — but why are NA SPG density changes differently controlled in the two models?

588 One hypothesis is that the nature of the biases (compared to observations) affects the variability  
589 as the non-linear equation of state for density becomes increasingly salinity dominated at cooler

590 temperatures. To estimate this effect we compute the density change in the Irminger Current re-  
591 gion, mechanistically important in both studies, for a one standard deviation change in temperature  
592 or salinity (whilst keeping the other of salinity or temperature at climatological values) in both  
593 HG3 and the IPSL-CM5A-LR model as well as an observational estimate from EN4 (Table 4).  
594 In HG3, such a temperature change has double the impact on density than a change in salinity.  
595 This is not the case in the IPSL model where salinity changes are found to be more important  
596 (the relative magnitudes are unchanged if we remove the spin-up in HG3, not shown). The EN4  
597 data suggest that the real world may be in a temperature dominated regime, similar to HG3. This  
598 points to there being some relationship between the NA SPG mean state biases of a given model  
599 and the subsequently diagnosed mechanisms of decadal variability. Note that this cursory analysis  
600 merely compares mean states and variability, and does not explicitly investigate whether density  
601 variability is temperature- or salinity-controlled. Nevertheless, one implication of this would be  
602 that decadal prediction studies using anomaly-assimilation methods, in which the mean state bi-  
603 ases are implicitly assumed to be independent of the variability, would need to re-evaluate the  
604 validity of this assumption (Robson 2010). We plan to investigate this relationship in more detail  
605 in a forthcoming study (Menary et al. 2015).

## 606 **6. Conclusions**

607 We have analysed a decadal mode of variability in the near surface (top 500m) of the North  
608 Atlantic subpolar gyre (NA SPG) in a 460 year control simulation with a version of the high  
609 resolution coupled climate model HadGEM3 (HG3).

- 610 • The mode of variability involves the propagation of heat content anomalies around the NA  
611 SPG with a periodicity of around 17 years.

- 612 ● Simulated decadal variability (between 10 to 30 years) in the NA SPG explains more than  
613 15% of the annual mean variance in top 500m depth averaged temperatures. This rises to  
614 >30% of the variance within the interior NA SPG and Labrador Sea.
  
- 615 ● The simulated NA SPG heat budget is dominated by advective, rather than surface, heat fluxes  
616 on decadal timescales, with advection from the subtropics playing the primary role. For the  
617 specific regions of interest, namely the Irminger Current and North Atlantic Current (NAC),  
618 advective fluxes were also found to dominate. The large depth extent of the mode is also  
619 consistent with an important role for advection (Saravanan and McWilliams 1998).
  
- 620 ● The role of mean or anomalous circulation in transporting heat content anomalies was found  
621 to vary with region: Anomalous circulation dominated the variability in the NAC with mean  
622 circulation, and hence temperature anomalies, dominant in the Irminger Current region.
  
- 623 ● A negative feedback, required for the mechanism to result in a spectral peak, occurs between  
624 the Labrador Sea and NAC. Here, density anomalies spill out of the Labrador Sea resulting  
625 in a dynamic height gradient across the NAC/Labrador Sea which induces vertical shear in  
626 the geostrophic currents. These current anomalies result in heat transport anomalies which  
627 reverse the cycle. The density changes are temperature, rather than salinity, driven.
  
- 628 ● Variability in the NAO directly contributes to various stages of the mechanism as well as  
629 showing signs of responding to ocean variability. Removing the North Atlantic Oscilla-  
630 tion (NAO) signal from the negative feedback between Labrador Sea and NAC tempera-  
631 tures/densities (see Section 4f) shows about 45% of the geostrophic current speed feedback  
632 is related to the NAO on annual timescales but that on decadal timescales the ocean feedback  
633 still dominates. The atmosphere also acts to reinforce temperature anomalies in the eastern

634 NA SPG and aid their westward propagation in the northern SPG. The proposed mechanism  
635 is summarised in Figure 9.

- 636 • Whether density changes are temperature or salinity controlled effects where, and how, neg-  
637 ative feedbacks can occur. This may also be expected to affect the particular mechanism  
638 simulated in the model. This could have important implications for decadal prediction stud-  
639 ies that use the method of anomaly-assimilation and prediction, in which the future evolution  
640 of the model is assumed to be independent of the mean state — an assumption which we  
641 suggest may not be valid.

642 A modified version of the model presented here will be used as part of the Met Office decadal  
643 prediction system and analyses such as we have presented will be important in developing and  
644 evaluating such systems. Given the relationship between resolution and the improved realisation  
645 of particular processes, as well as mean state biases, further high resolution coupled model studies  
646 would be valuable in testing whether these results are robust.

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## APPENDIX

### Heat budget

The basin-wide, full depth NA SPG heat budget is shown in Figure 11 for the latitude range 53–73°N. Due to the lack of availability of the correct ocean diagnostics at high enough output frequency (precluded by the expense of storing high resolution atmosphere and ocean data), the heat budget of the NA SPG does not close perfectly (*c.f.* red and black lines in Figure 11a). However, the error is negligible, less than 1% of the net surface fluxes of the region. Sensitivity tests where all output diagnostics were computed online and stored revealed that horizontal diffusion was the most important missing heat flux. The heat budget of the NEMO ocean is further complicated by the use of a linear free surface with variable volume which sits on top of the fixed volume ocean grid cells and a heat flux between the two. For further details of the precise formulation of the heat budget within the NEMO ocean model see Madec and Coauthors (2008).

The heat budget (ocean heat content (*OHC*) rate of change) of the NA SPG can first be broken down into advective ( $Q_{adv}$ ) and surface fluxes ( $Q_{surf}$ ), which add together to give the net heat flux into the volume. There are also additional smaller heat fluxes from the ice to ocean, between the linear free surface and fixed ocean volume, and from geothermal heating of the abyssal ocean, particularly in the vicinity of the mid Atlantic ridge,  $Q_{ice}$ ,  $Q_{free}$ , and  $Q_{geo}$  respectively:

$$\frac{dOHC}{dt} = Q_{adv} + Q_{surf} + Q_{ice} + Q_{free} + Q_{geo} \quad (A1)$$

The advective fluxes can be further broken down into fluxes from the north (*OHTN*) and south (*OHTS*, positive northward) whilst the surface fluxes can be broken down into the shortwave (solar), longwave, latent, and sensible heat fluxes:

$$Q_{adv} = OHTS - OHTN \quad (A2)$$

$$Q_{surf} = Q_{SW} + Q_{LW} + Q_{lat} + Q_{sens} \quad (A3)$$

677 This reveals that *OHTS* dominates the variability in advective heat fluxes: Using annual data,  
 678 the standard deviation of *OHTS* is 28PW, compared to 17PW for *OHTN*. The variability in *OHTS*  
 679 is split between vertical ‘AMOC’ and horizontal ‘gyre’ heat transport variability at these latitudes  
 680 (annual correlation between *OHTS* and *OHT<sub>AMOC</sub>* is 0.74, and between *OHTS* and *OHT<sub>gyre</sub>* is  
 681 0.88). The surface fluxes (directed into the ocean) are dominated by shortwave (solar) heating of  
 682 the NA SPG, whereas longwave, latent, and sensible heat fluxes represent net heat loss from the  
 683 NA SPG.

684 To investigate the relative magnitudes of their variability, and any trends, the mean of each heat  
 685 flux over the years 22–42 is removed (Figure 11b). Rather than remove the full time mean, remov-  
 686 ing the mean from just the period soon after the model was initialised serves to additionally show  
 687 how the heat fluxes diverge. Net advective heat fluxes into the region are increasing throughout the  
 688 period, balanced largely by increasing surface heat flux loss, but with some residual heating of the  
 689 NA SPG. The advective heat flux trend is dominated by the increase in heat flux from the south,  
 690 which is due to the strengthening AMOC (Figure 3c), with much of this heat lost via latent heat  
 691 loss as well as longwave emission. The rate of net warming is highest in the first century, which  
 692 is also why the net heat flux appears to be below zero for the remainder of the time, *i.e.* the net  
 693 warming rate is slower in the subsequent years.

## 694 APPENDIX 2

### 695 Heat budget breakdown

696 Previously Dong and Sutton (2001), showed the advective heat budget for a region in approximate  
 697 long term equilibrium could be estimated by considering perturbations around a long term mean  
 698 as:

$$q(t) = \bar{q} + q'(t) \quad (21)$$

699 where  $q(t)$  is some quantity varying in time,  $\bar{q}$  is its time mean, and  $q'(t)$  is the anomaly in  $q$  at  
 700 each time,  $t$ . For the case of the net advective heat transport convergence, replacing  $q$  with both  $v$   
 701 (velocity) and  $T$  (temperature) and dropping the  $(t)$  on the right hand side for clarity gives:

$$OHT(t) = \rho \times c_p \times \int (\bar{v}\bar{T} + v'T' + \bar{v}T' + v'\bar{T}) dA \quad (22)$$

702 where  $\rho$  is density,  $c_p$  is the heat capacity of sea water,  $\bar{v}\bar{T}$  is a constant (the mean heat trans-  
 703 port when multiplied by  $\rho c_p$ ),  $v'T'$  is the heat transport due to co-variances in circulation and  
 704 temperature (and is usually but not always small for large enough areas),  $\bar{v}T'$  is the heat transport  
 705 by the mean circulation,  $v'\bar{T}$  is the heat transport by the anomalous circulation, and  $dA$  indicates  
 706 integrating over all faces enclosing the volume.  $vT$  is estimated at horizontal and vertical faces.

707 However, as previously mentioned, there is a trend in the NA SPG temperatures, and so the  
 708 breakdown of the heat budget is made more complicated. For the case of a known trend in one or  
 709 more of these parameters (*e.g.* temperature) the  $q'$  term will not just represent the annual/decadal  
 710 anomaly but will also have a component due to the trend with the relative contributions to  $q'$  vary-  
 711 ing in size depending on the magnitude of the trend compared to the magnitude of the variability.  
 712 Thus  $q$  must be detrended prior to combining the terms together, *e.g.*

$$q(t) = q_0 + q_1 \times t + q'(t) \quad (23)$$

713 where  $q_0$  is the intercept,  $q_1$  is the linear trend multiplied by time,  $t$ , and  $q'$  is the perturbation  
 714 from this trend. Setting  $t = 0$  at the mid point of the linearly trending time series results in  $q_0$  also  
 715 representing the mean (previously  $\bar{q}$ ). This results in the OHT becoming an equation of nine terms  
 716 (as we detrend  $v$  as well due to the trend in the AMOC, Figure 3c):

$$OHT(t) = \rho \times c_p \times \int \left( v_0 T_0 + v_0 T_1 t + v_0 T' + v_1 t T_0 \right. \\ \left. + v_1 T_1 t^2 + v_1 t T' + v' T_0 + v' T_1 t + v' T' \right) dA \quad (24)$$

717 where the terms inside the integral on the right hand side respectively refer to: 1) The time mean  
 718 OHT, 2) the interaction between the temperature trend and the mean circulation, 3) the OHT by the  
 719 mean circulation, 4) the interaction between the mean temperature and the trend in circulation, 5)  
 720 the interaction between the trends in both circulation and temperature, 6) the interaction between  
 721 the trend in circulation and the anomalous temperatures, 7) the OHT by the anomalous circulation,  
 722 8) the interaction between the anomalous circulation and the trend in temperatures, and 9) the OHT  
 723 due to co-variances in circulation and temperature. Analysis of these components reveals a non-  
 724 zero contribution from trend-related terms to the advective heat budget variability, but this is much  
 725 smaller than the mean and anomalous circulation terms ( $v_0 T'$  and  $v' T_0$ ) and as so we focus on these  
 726 latter circulation terms.

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963 **LIST OF TABLES**

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 977 58–60°N, top 500m). The density changes are calculated by estimating the  
 978 decadal standard deviation (s.d.) in temperature or salinity (by band-pass filter-  
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 980 the densities with these T/S perturbations added. As there is limited raw data  
 981 from EN4 to reliably estimate decadal variability in the Irminger Current, and  
 982 to simplify the experimental design and interpretation, we use HG3 estimates  
 983 of the decadal variability in temperature and salinity in all cases. . . . . 49

	NAC	Irminger Current
East advection	-1660	493
West advection	1753	-392
North advection	33	0
South advection	-83.1	-23.7
Net vertical advection	7.5	-63.9
Net convergence	51.4	15.2
Surface	-49.8	-16.9
A: Sum of advection and surface (net sum)	1.6	-1.7
B: Ocean heat content change (net actual)	0.1	0.1
Correlation A:B (Monthly, Annual, Decadal)	0.96, 0.93, 0.98	0.96, 0.94, 0.95

984 TABLE 1. Time mean simulated heat fluxes into the North Atlantic Current (NAC) and Irminger Current  
985 regions (TW, referenced to 0°C).

	Monthly	Annual	Decadal
NAC $v_0 T'$	0.00 (0.01)	-0.16 (-1.1)	-0.23 (-1.6)
NAC $v' T_0$	0.29 (0.82)	0.36 (2.4)	0.42 (2.9)
Irminger Current $v_0 T'$	0.83 (0.83)	0.34 (0.66)	0.29 (0.53)
Irminger Current $v' T_0$	0.19 (0.10)	-0.10 (-0.18)	-0.14 (-0.24)

986 TABLE 2. Correlations (regression slopes in brackets) between net ocean heat transport ( $vT$ ) and advective  
987 heat flux components in the North Atlantic Current (NAC) and Irminger Current at various timescales (TW).  
988 The 95% significance levels, assuming a two-tailed t-test and accounting for some missing data, are 0.03, 0.12,  
989 and 0.37 for monthly, annual, and decadal data respectively.

	Monthly	Annual	Decadal
NAC $v_0T'$	139	43	31
NAC $v'T_0$	149	44	33
NAC $v'T'$	58	16	11
Irminger Current $v_0T'$	13.1	4.0	3.2
Irminger Current $v'T_0$	6.7	3.6	2.7
Irminger Current $v'T'$	4.0	1.1	1.0

990 TABLE 3. Standard deviations of advective heat flux components in the North Atlantic Current (NAC) and  
991 Irminger Current at various timescales (TW).

Mean state	Density change for one s.d. change in T	Density change for one s.d. change in S
EN4 + HG3 bias	0.027	0.014
EN4 + IPSL bias	0.010	0.014
EN4 (original)	0.023	0.014

992 TABLE 4. Characteristic magnitudes of density changes ( $\text{kg/m}^3$ ) in different simulated/estimated temperature  
993 or salinity (T/S) regimes. Mean states are volume averaged temperature and salinity (in the models defined as  
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998 decadal variability in the Irminger Current, and to simplify the experimental design and interpretation, we use  
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**Fig. 1.** A summary of some of the literature on simulated decadal variability in the North Atlantic subpolar gyre (NA SPG), with a particular emphasis on studies which found self-sustaining cyclical behaviour. Key regions of the NA SPG are marked. The figure legend (right) denotes the studies which we have attempted to synthesise and an associated numerical identifier. Where these studies report a significant peak in the power spectrum on decadal timescales this is noted as well as whether the mechanism is primarily ocean-only or inherently coupled. Studies where the atmosphere is postulated to amplify — but not transmute — the signal are marked with an asterisk. For each study the feedback or process which is reported as crucial in setting the timescale is marked on the map using a simple numbering system. These comprise: 1) Feedbacks relating to the deep water pathways and their interaction with the northward flowing western boundary current, 2) Rossby wave (or sometimes ‘geostrophic self advection’) transit times across the NA SPG, 3) the mean advection timescale for anomalies to propagate into the NA SPG from the tropics, or for small anomalies to integrate up over time. Lastly, using the same numerical key, the studies are split into which of temperature or salinity is reported to control decadal timescale density changes in the Labrador Sea. In all case, studies in brackets appear in more than one category. This represents a drastic simplification of each of these studies and the reader is referred to the original works for further details. In particular, the reported feedback/process that sets the overall timescale to some degree also reflects the precise focus of the particular study. . . . 53

**Fig. 2.** Top 500m depth averaged temperature (T500, a) salinity (S500, b), and density ( $\rho$ 500, c) biases in HG3 (computed from full time series) compared to EN4. Grey shading is used for regions shallower than 500m. d) Standard deviation in March mixed layer depths (Kara et al. 2000), to highlight where deep convection occurs . . . . . 54

**Fig. 3.** a) Time mean Atlantic overturning streamfunction in HG3. The contour interval is 2Sv and the zero-line is marked with a grey contour. At 26.5°N the profile from the RAPID array is overlaid on the same colour/contour scale. The depth of the maximum in the RAPID profile is marked with a cross. Note that the latitudes north of 45°N are approximate (within 1°) due to the increasingly curved nature of the model grid towards the two northern poles. b) Time mean NA SPG barotropic streamfunction in HG3. Contour interval is 10Sv. c) Time series of the overturning streamfunction at 26.5°N and 1000m in HG3 (red). Also shown are the time mean and annual mean standard deviation from the 10 years of RAPID data (black). d) Time series of the minimum (multiplied by -1) of the barotropic streamfunction in the NA SPG in HG3. . . . . 55

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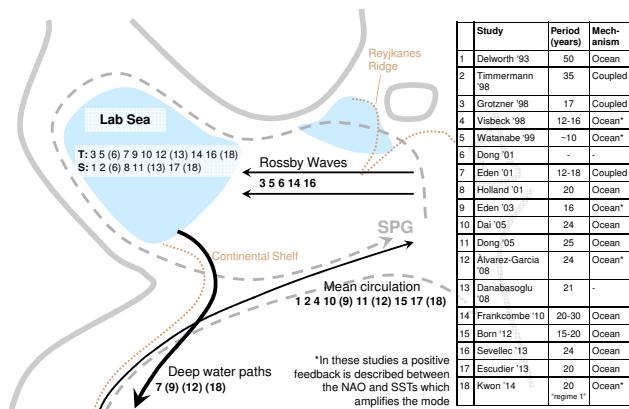
1058 **Fig. 7.** a) 5-year smoothed Labrador Sea (50–60°W, 55–62°N) top 500m mean density (black) and  
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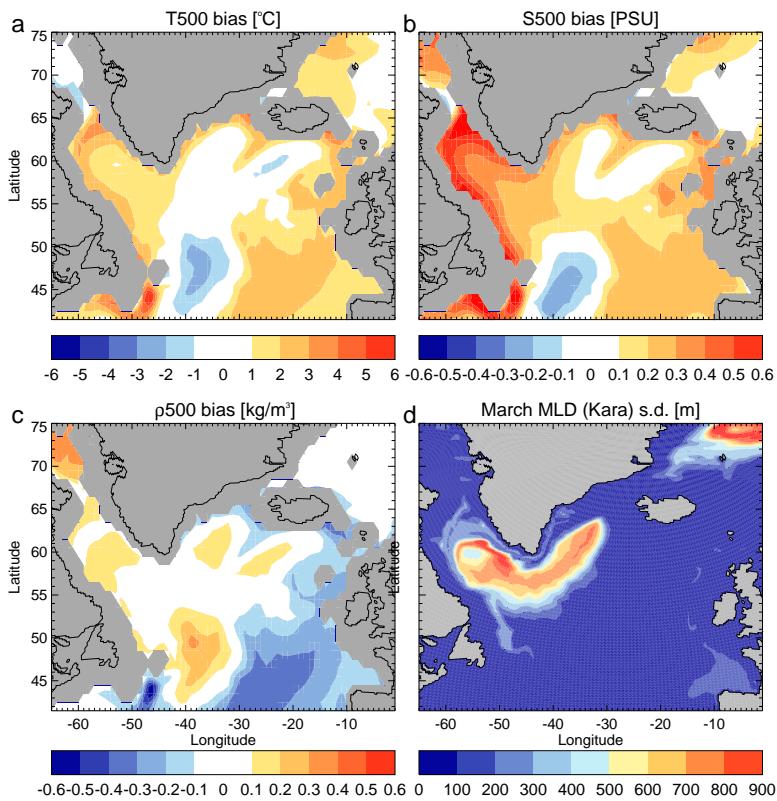
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1087 **Fig. 10.** Mean sea level pressure (MSLP) composites created using area-averaged eastern NA SPG  
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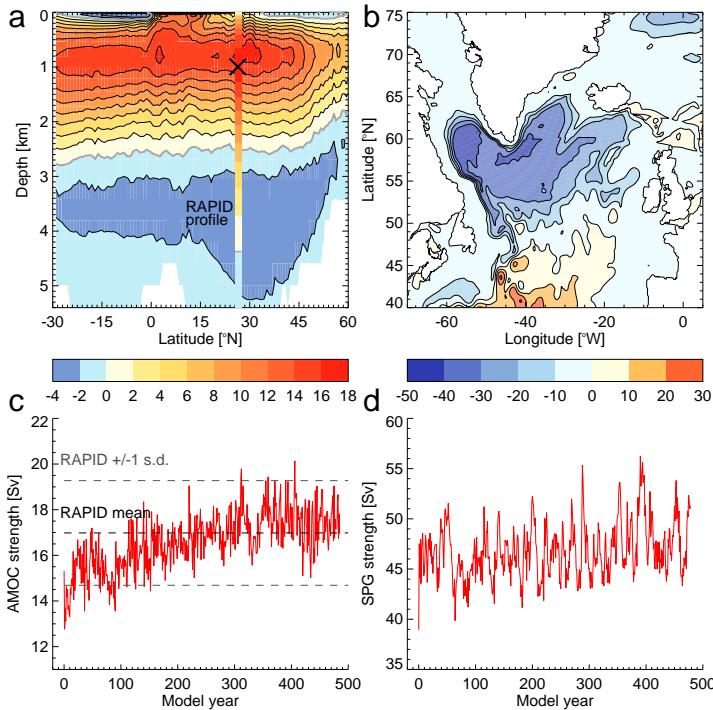
1094 **Fig. 11.** The full depth heat budget of the NA SPG (53–73°N) volume plotted using 9 year running  
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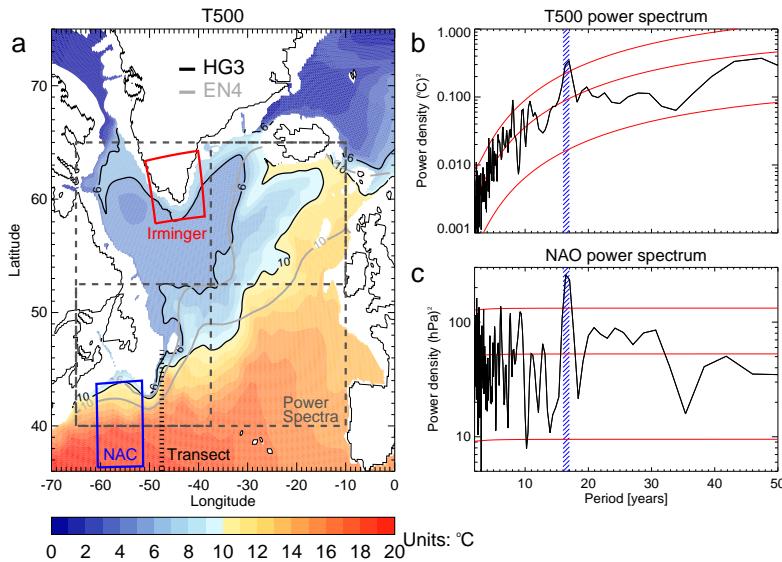
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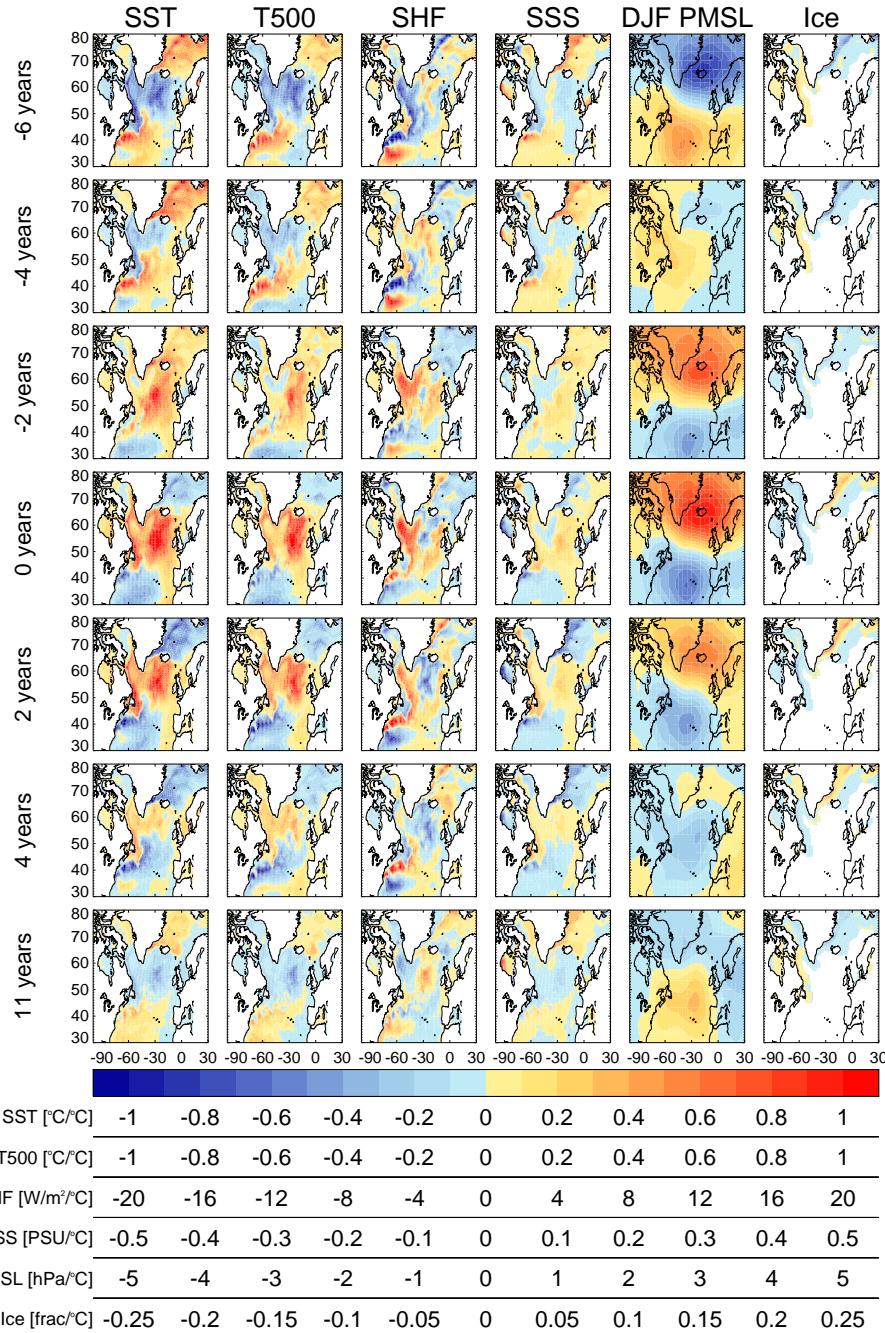
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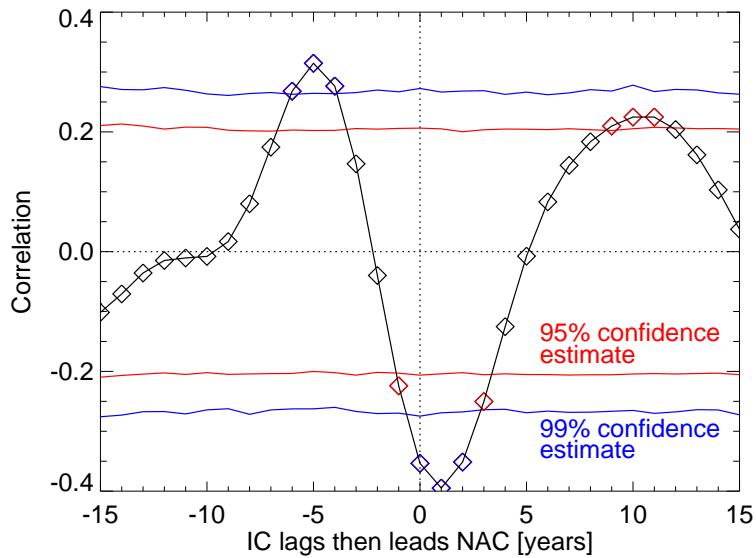
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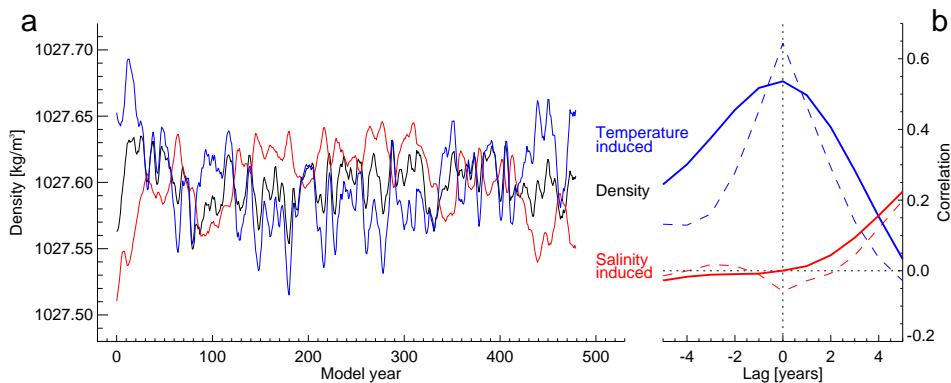
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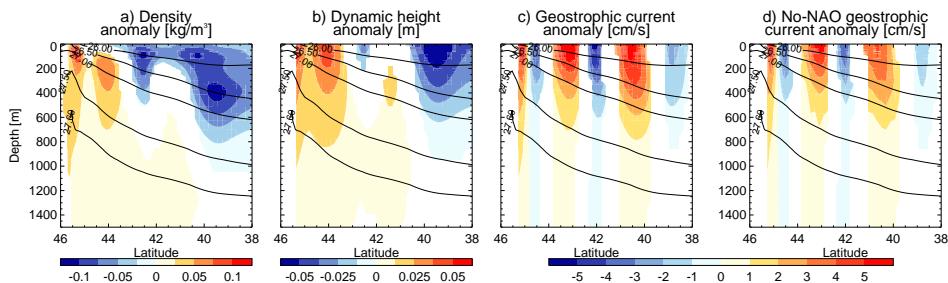
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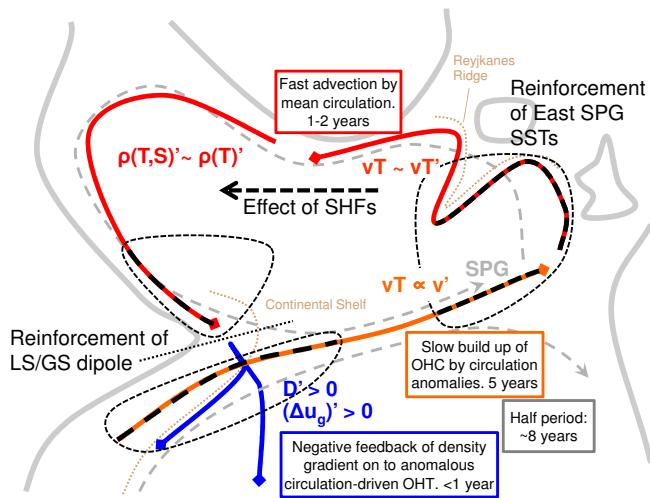
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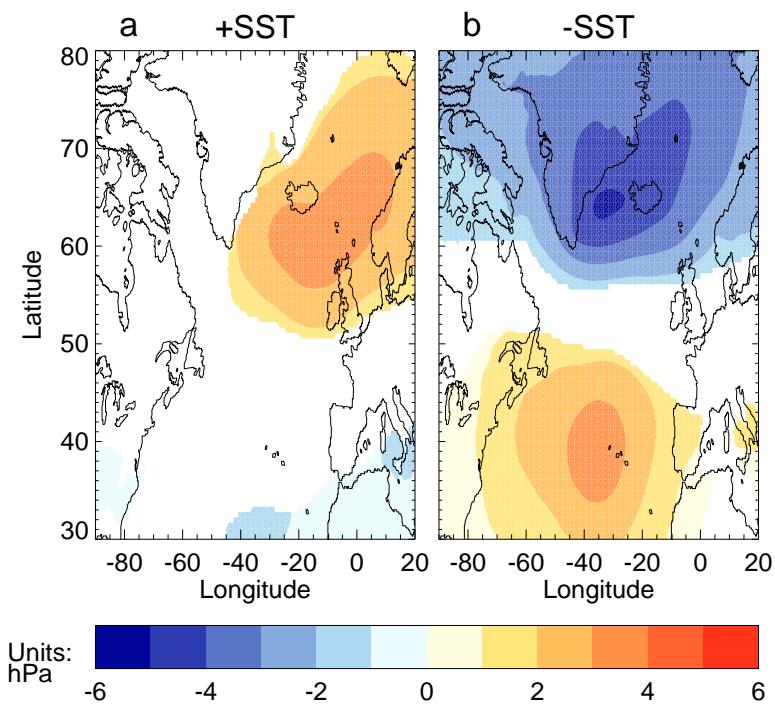
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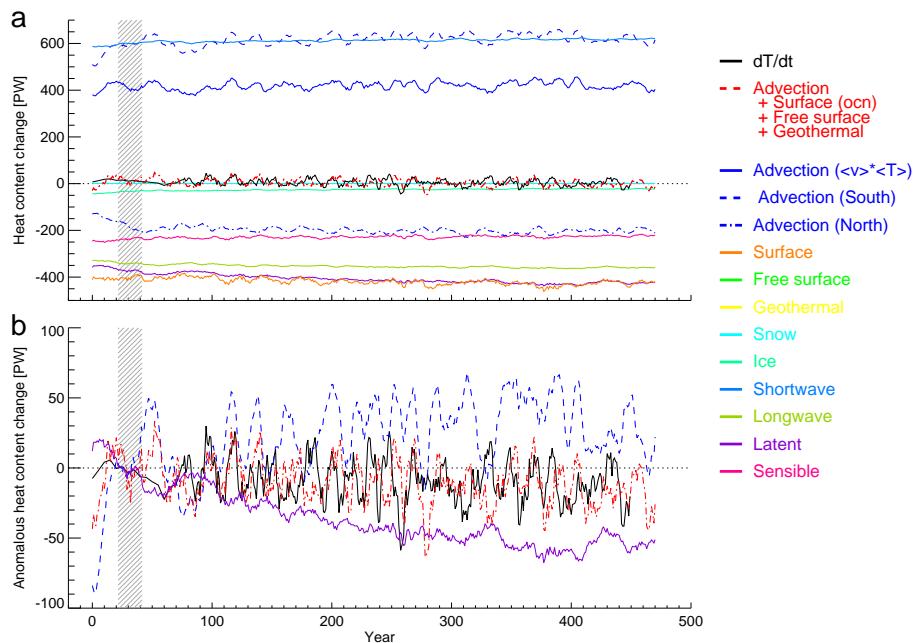
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 1176 Only data significant at the 99% level is shown. Significance is estimated using a moving blocks bootstrap  
 1177 approach (Wilks 1997) reconstructing the composites 10000 times by resampling the data with a block length  
 1178 estimated from the autocorrelation in each original composite.



1179 FIG. 11. The full depth heat budget of the NA SPG (53–73°N) volume plotted using 9 year running means  
 1180 for clarity and to highlight decadal variability. Positive is into the specified region. a) Individual components  
 1181 of the heat budget as denoted in the legend. b) The anomalous heat budget (referenced against years 22–42) to  
 1182 highlight the trends in latent heat fluxes and advective heat fluxes through the southern boundary. Note that the  
 1183 heat content change ( $\frac{dT}{dt}$ , black) and sum of heat fluxes (red) do not match prior to the year 100 as instantaneous  
 1184 ocean temperatures (used to calculate  $\frac{dT}{dt}$ ) were stored with intermittent frequency during this period.