

Clouds and the atmospheric circulation response to warming

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ABSTRACT

We study the effect of clouds on the atmospheric circulation response to 7 CO₂ quadrupling in an aquaplanet model with a slab-ocean lower bound-8 ary. The cloud effect is isolated by locking the clouds to either the control 9 or $4xCO_2$ state in the shortwave (SW) or longwave (LW) radiation schemes. 10 In our model, cloud-radiative changes explain more than half of the total pole-11 ward expansion of the Hadley cells, midlatitude jets, and storm tracks under 12 CO₂ quadrupling, even though they cause only one-fourth of the total global-13 mean surface warming. The effect of clouds on circulation results mainly 14 from the SW cloud-radiative changes, which strongly enhance the Equator-15 to-pole temperature gradient at all levels in the troposphere, favoring stronger 16 and poleward-shifted midlatitude eddies. By contrast, quadrupling CO₂ while 17 holding the clouds fixed causes strong polar amplification and weakened mid-18 latitude baroclinicity at lower levels, yielding only a small poleward expan-19 sion of the circulation. Our results show that (a) the atmospheric circulation 20 responds sensitively to cloud-driven changes in meridional and vertical tem-2 perature distribution, and (b) the spatial structure of cloud feedbacks likely 22 plays a dominant role in the circulation response to greenhouse gas forcing. 23 While the magnitude and spatial structure of the cloud feedback are expected 24 to be highly model-dependent, an analysis of 4xCO₂ simulations of CMIP5 25 models shows that the SW cloud feedback likely forces a poleward expansion 26 of the tropospheric circulation in most climate models. 27

1. Introduction

Clouds exert a very substantial effect on the energy balance of the Earth's atmosphere through 29 their effects on shortwave (SW) and longwave (LW) radiation, with an approximate global-mean 30 effect of -20 W m^{-2} (Boucher et al. 2013). With increasing greenhouse gas forcing, the SW and 31 LW radiative effects of clouds are expected to change, and while the magnitude of this change is 32 highly uncertain, most climate models predict a positive global-mean forcing from cloud changes 33 - a positive cloud feedback (Soden et al. 2008; Vial et al. 2013). Previous research has mainly 34 focused on the impact of cloud feedbacks on the global energy balance and climate sensitivity 35 (e.g., Soden et al. 2008; Zelinka and Hartmann 2010; Zelinka et al. 2012; Vial et al. 2013). How-36 ever, cloud feedbacks also possess rich spatial structures, and hence they affect spatial patterns 37 of warming (Roe et al. 2015), meridional energy transport by atmospheric motions (Hwang and 38 Frierson 2010; Zelinka and Hartmann 2012), and likely also the atmospheric circulation (Ceppi 39 et al. 2014; Voigt and Shaw 2015). 40

While quantitative aspects of the circulation response to greenhouse gas forcing remain highly 41 uncertain, robust qualitative aspects of the response include a weakening of the Hadley circulation 42 (Held and Soden 2006; Vecchi and Soden 2007), a rise of the tropopause and upward expansion of 43 the circulation (e.g., Lorenz and DeWeaver 2007), and a poleward expansion of the Hadley cells, 44 midlatitude jets, and storm tracks (Kushner et al. 2001; Yin 2005; Lu et al. 2007; Frierson et al. 45 2007; Chang et al. 2012; Barnes and Polvani 2013). How clouds contribute to shaping such circu-46 lation changes is presently not well understood. It is also unclear to what extent the uncertainty in 47 the cloud feedbacks affects the inter-model spread in atmospheric circulation changes; it has been 48 suggested that this effect could be substantial in the case of the midlatitude jet response (Ceppi 49 et al. 2014). 50

The purpose of this paper is to quantitatively assess the effect of cloud-radiative changes on 51 the atmospheric circulation response to CO_2 increase in a climate model. Here, we use an aqua-52 planet model with interactive sea surface temperature to demonstrate that clouds can cause a very 53 substantial enhancement of the circulation response to CO₂ quadrupling. Overall, clouds explain 54 more than half of the total poleward expansion of the circulation in our model. This occurs mainly 55 through the SW effect of clouds, which acts to strongly increase the Equator-to-pole temperature 56 gradient and make the midlatitudes more baroclinically unstable. Remarkably, CO_2 quadrupling 57 only yields a weak poleward expansion of the circulation if the clouds are held fixed, indicating 58 that the cloud response is a key influence on the circulation changes predicted by our model. Be-59 cause clouds have such a strong effect, the results presented here suggest that cloud feedbacks 60 could significantly contribute to the uncertainty in the atmospheric circulation response to global 61 warming, highlighting the need for better constraints on the cloud response in climate models. 62

We begin by presenting the methodology used to isolate the effect of cloud-radiative changes on atmospheric circulation in our climate model in section 2. In section 3, we then present the key results of our experiments, followed by a discussion in section 4, and a summary and concluding remarks in section 5.

67 **2. Methods**

The atmospheric model used in this study is the Geophysical Fluid Dynamics Laboratory (GFDL) AM2.1 (The GFDL Global Atmospheric Model Development Team 2004). It is run in aquaplanet configuration, coupled to a slab-ocean lower boundary representing a mixed layer of 50 m depth. While there is no seasonal cycle, insolation is set to its annual-mean value at every latitude. The model also has no sea ice, but the sea surface temperature can be below freezing. We study the effects of cloud feedbacks on atmospheric circulation by comparing two model climatologies with identical boundary conditions except for CO_2 forcing. These two climates, which we describe as CTL and 4xCO₂, have CO₂ mixing ratios of 348 and 1392 ppm, respectively.

We use the cloud-locking method to assess the effect of cloud-radiative changes on the atmo-76 spheric circulation response. This method involves prescribing clouds from two different climate 77 states in the climate model's radiation code, to obtain the effect of cloud changes in isolation. In 78 our case, the two climate states that the clouds are "locked" to are CTL and $4xCO_2$. Note that only 79 the radiation code experiences the locked clouds, which override the cloud-radiative properties 80 simulated by the model interactively; all other model components (e.g. the cloud microphysics, 81 or the large-scale condensation scheme) use the model's internally simulated clouds. Locking 82 of model fields such as clouds and water vapor as a method to quantify feedback processes has 83 been successfully implemented in many studies (e.g., Wetherald and Manabe 1980, 1988; Hall and 84 Manabe 1999; Schneider et al. 1999; Langen et al. 2012; Mauritsen et al. 2013; Voigt and Shaw 85 2015). Unlike previous studies, however, we discriminate between SW and LW cloud effects by 86 separately prescribing cloud-radiative properties in the SW and LW radiation schemes. 87

When locking clouds, it is necessary to use the full time-varying cloud-radiative properties, 88 rather than time-averaged values. This is because cloud-radiative properties (e.g. cloud optical 89 depth) and cloud-radiative effects are generally not linearly related, so that using time-mean cloud 90 properties would yield a large climate bias. We therefore prescribe instantaneous cloud-radiative 91 properties taken from every call of the radiation code. As discussed in previous studies (Schneider 92 et al. 1999; Mauritsen et al. 2013; Voigt and Shaw 2015), prescribing cloud properties at every time 93 step results in the loss of the spatio-temporal correlation between cloud, moisture, and temperature 94 anomalies, which may cause a bias in the mean climate. For example, the radiation code could 95 experience cloud-free conditions in a gridbox in which ascent and condensation are occurring, 96 because the prescribed cloud-radiative properties are decorrelated from the weather. We will show 97

in the next section that this climate bias is small, however, and is unlikely to affect our conclusions.
 To ensure that variables are similarly decorrelated in all experiments, the prescribed cloud fields
 are offset by one year relative to the model's simulated climate.

The cloud-locked experiments are performed as follows. We first run the CTL and $4xCO_2$ 101 experiments with interactive clouds for twenty years (after discarding two years of model spin-up), 102 and save all cloud variables used in the model's radiation scheme at every call of the radiation code 103 (every 6 h). We then use the cloud-radiative properties output by the interactive CTL and $4xCO_2$ 104 simulations to run a total of eight cloud-locked simulations, involving all possible combinations 105 of CO₂ concentration G, SW cloud-radiative properties S, and LW cloud-radiative properties L. 106 Denoting the CTL and 4xCO₂ states by numbers 1 and 2, respectively, the eight experiments 107 are G1S1L1, G2S1L2, G1S2L1, G1S1L2, G2S2L1, G2S1L2, G1S2L2, and G2S2L2. In each of 108 these cloud-locked simulations, the time-varying cloud properties from either the CTL or $4xCO_2$ 109 simulation are read in at every time step, and override the cloud properties calculated by the model. 110 Separately locking SW and LW cloud-radiative properties is possible because the AM2.1 radiation 111 scheme uses different cloud properties in the SW and LW schemes. 112

Locking the model clouds allows us to calculate the separate effects of changing clouds while keeping CO₂ levels fixed, and increasing CO₂ while keeping the clouds fixed. For simplicity, hereafter we refer to these components as the "effect of cloud-radiative changes," and the "effect of CO₂ increase," but it must be kept in mind that each of these effects includes additional contributions from other climate feedbacks (see discussion below). We calculate the effects of clouds and CO₂ increase using a method similar to Voigt and Shaw (2015), and follow their notation in the discussion below. Consider a variable *X*, which is a function of G, S, and L. The total response of $_{120}$ X to changes in all of these variables can be written as

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$$\delta X = X_{\text{G2S2L2}} - X_{\text{G1S1L1}},\tag{1}$$

where the subscripts 1 and 2 refer to the control and perturbed states, respectively. The individual contributions of greenhouse gas forcing and cloud SW and LW effects can then be expressed as

$$\delta X_{\rm G} = \frac{1}{2} [(X_{\rm G2S1L1} - X_{\rm G1S1L1}) + (X_{\rm G2S2L2} - X_{\rm G1S2L2})], \tag{2}$$

$$\delta X_{\rm S} = \frac{1}{4} [(X_{\rm G1S2L1} - X_{\rm G1S1L1}) + (X_{\rm G2S2L1} - X_{\rm G2S1L1}) + (X_{\rm G1S2L2} - X_{\rm G1S1L2}) + (X_{\rm G2S2L2} - X_{\rm G2S1L2})],$$
(3)

$$\delta X_{\rm L} = \frac{1}{4} [(X_{\rm G1S1L2} - X_{\rm G1S1L1}) + (X_{\rm G2S1L2} - X_{\rm G2S1L1}) + (X_{\rm G1S2L2} - X_{\rm G1S2L1}) + (X_{\rm G2S2L2} - X_{\rm G2S2L1})],$$
(4)

Equations 2–4 represent averages over the various pairs of experiments that involve changes in each of the three variables of interest. It can easily be shown that the right-hand sides of Eqs. 2–4 add up to the right-hand side of Eq. 1, so that $\delta X = \delta X_{\rm G} + \delta X_{\rm S} + \delta X_{\rm L}$ by construction. In the remainder of this paper, for additional clarity, the terms $\delta X_{\rm G}$, $\delta X_{\rm S}$, and $\delta X_{\rm L}$ are referred to as $\delta X_{\rm CO_2}$, $\delta X_{\rm SW \, cloud}$, and $\delta X_{\rm LW \, cloud}$, respectively. We additionally define the change in X due to the net cloud-radiative change as the sum of the SW and LW effects, $\delta X_{\rm net \, cloud} = \delta X_{\rm SW \, cloud} + \delta X_{\rm LW \, cloud}$.

It is important to note that the cloud and CO_2 responses in our experiments are affected by other feedbacks. In our model, this includes the temperature feedbacks (Planck and lapse rate), as well as the water vapor feedback; surface albedo values are kept constant between experiments. Unlike other studies (Langen et al. 2012; Mauritsen et al. 2013; Voigt and Shaw 2015), we do not separately account for the positive water vapor feedback, which likely amplifies the anomalies caused by the CO_2 and cloud perturbations in our experiments. Thus, the "effect of cloud-radiative changes" as defined in this paper encompasses all effects of replacing the clouds from the CTL climate with $4xCO_2$ clouds, including subsequent temperature and water vapor feedbacks. The same applies to the component of the response that we ascribe to the CO_2 increase. This should be kept in mind in the interpretation of our results, since the water vapor feedback in isolation has been shown to have a non-negligible effect on the atmospheric circulation response (Voigt and Shaw 2015).

144 3. Results

¹⁴⁵ a. Climate response to CO₂ and cloud changes

We begin by describing the total response to CO₂ quadrupling, including the effects of cloud 146 feedbacks, in the experiment with locked clouds (left column of Fig. 1); this is equivalent to the 147 change described by Eq. 1. CO_2 quadrupling produces a large increase in sea surface temperature 148 (SST), with a global-mean increase of 4.4 K and amplified warming at high latitudes (Fig. 1a, 149 left). The surface warming is smallest near the edge of the tropics, so that the meridional SST 150 gradient increases within the tropics, but decreases in the extratropics. The vertical structure of the 151 temperature response (Fig. 1b) features the familiar maximum in the upper tropical troposphere (as 152 expected if the tropical troposphere remains close to neutral stability relative to the moist adiabat), 153 and stratospheric cooling, a direct consequence of the CO₂ increase. The temperature changes 154 result in a large zonal wind response (Fig. 1c) with a poleward shift of the tropospheric jet and 155 a vertical expansion of the upper-level westerlies. The upper tropical troposphere also features 156 a transition from easterly to superrotating winds at the Equator, a feature previously reported in 157 warmed aquaplanet climates (Caballero and Huber 2010), with westerly winds peaking near 8 m 158 s^{-1} around 100 hPa. Finally, the response of the mean meridional circulation reflects the combined 159 effects of a Hadley cell weakening, and upward and poleward expansion of the circulation, all of 160

which are typical features of global warming experiments (e.g., Frierson et al. 2006; Lorenz and
 DeWeaver 2007; Langen et al. 2012). Differences between hemispheres appear to be minimal,
 suggesting that the responses are very robust and unaffected by sampling variability.

Before we study the individual effects of cloud feedbacks and CO₂ increase on the circulation 164 response, we need to ensure that the total response in the cloud-locked experiment is similar to 165 the response in the case with interactive clouds. As mentioned in the previous section, the mean 166 CTL and $4xCO_2$ climates may be different owing to the decorrelation between cloud, temperature, 167 and moisture anomalies in the cloud-locked case. The differences in the responses to CO_2 quadru-168 pling, shown in the right column of Fig. 1, are relatively small overall. The case with interactive 169 clouds has very slightly larger surface warming (0.05 K global-mean difference), with the largest 170 temperature differences in the stratosphere and in the subtropics of the Northern Hemisphere. (Re-171 call that since the model is hemispherically and zonally symmetric, any differences between the 172 hemispheres are solely due to sampling error.) The slightly enhanced warming results in a modest 173 enhancement of the poleward shift of the eddy-driven jet, particularly in the Northern Hemisphere, 174 combined with a slight weakening of the subtropical jet core and an enhancement of the tropical 175 superrotation. Differences in the mean meridional circulation response appear to be very small. 176 We conclude that overall, the experiment with locked clouds provides a meaningful representation 177 of the total climate response to CO_2 quadrupling in our model. 178

¹⁷⁹ b. Surface temperature and cloud response

We next consider the breakdown of the SST response into cloud and CO_2 effects (Fig. 2a). Quadrupling CO_2 while holding the clouds fixed (Eq. 2) causes a global-mean SST increase of 3.4 K, with the temperature change smoothly increasing with latitude from the tropics to the poles (green curve in Fig. 2a). As discussed in section 2, note that this response includes the effects

of the water vapor and lapse rate feedbacks. While the ice-albedo feedback is not active in our 184 simulations because of the absence of sea ice, amplified warming at high latitudes is still expected 185 for several reasons. Temperature (Planck and lapse-rate) feedbacks have been shown to drive polar 186 amplification in CMIP5 models (Pithan and Mauritsen 2014), although the lapse-rate feedback is 187 likely much weaker in our aquaplanet model given the lack of sea ice and associated low-level 188 temperature inversions. But more importantly, even in the absence of local positive feedbacks, 189 an increase in poleward energy transport by the atmosphere is to be expected in response to an 190 increasing meridional moist static energy (MSE) gradient with warming, yielding enhanced energy 191 convergence in polar regions (Hwang et al. 2011; Roe et al. 2015). The MSE gradient increase 192 results from the larger increase in specific humidity at low latitudes, consistent with the Clausius-193 Clapeyron relationship under the assumption of near-constant relative humidity. 194

The SW cloud effect (Fig. 2a, purple curve; Eq. 3) causes a negligible change in global-mean 195 SST (-0.2 K), but features a strong latitude dependence, with a weak temperature increase in the 196 tropics and lower midlatitudes, and strong cooling at high latitudes. The temperature response is 197 in close agreement with the SW cloud feedback, shown in Fig. 2b (purple curve)¹. The negative 198 SW cloud feedback at high latitudes results from increases in cloud water and optical depth rather 199 than total cloud amount (Figs. 2c-d), consistent with most climate models (Zelinka et al. 2012, 200 Fig. 8b). The high-latitude cloud water increase is thought to be related to the effect of phase 201 changes in mixed-phase clouds: warming favors a transition from ice to liquid water, reducing 202 the overall precipitation efficiency and yielding an enhanced reservoir of cloud water (Senior and 203 Mitchell 1993; Tsushima et al. 2006; McCoy et al. 2014a; Ceppi et al. 2015). In addition to the 204

¹The SW and LW cloud feedbacks were calculated in separate partial radiative perturbation (PRP) experiments, where the difference in radiative fluxes between instantaneous CTL and 4xCO2 clouds was calculated at each time step. The radiative effect of cloud changes is the average of two PRP experiments, one with control CO_2 and one with quadrupled atmospheric CO_2 concentration, equivalent to a two-sided PRP (Colman and McAvaney 1997; Soden et al. 2008).

phase change effect, changes in the vertical derivative of the moist adiabat could also favor an 205 increase in cloud water with warming, and this effect is most pronounced at lower temperatures 206 (e.g., Betts and Harshvardhan 1987; Tselioudis et al. 1992). The resulting high-latitude cloud 207 optical depth feedback is a very robust feature of global warming simulations in CMIP5 models 208 (Zelinka et al. 2012; McCoy et al. 2014b; Ceppi et al. 2015). Most climate models also predict 209 a positive SW cloud feedback in the tropics owing to cloud amount decreases (e.g., Bony and 210 Dufresne 2005; Zelinka et al. 2012), although our physical understanding of these changes is more 211 limited (Boucher et al. 2013). Thus, the overall structure of the SW cloud feedback in our model 212 is consistent with the mean behavior of climate models, even though the strongly negative high-213 latitude feedback in our model causes a more negative global-mean SW cloud feedback compared 214 to most models (Soden et al. 2008; Zelinka et al. 2012; Vial et al. 2013). As will be shown later 215 in the paper, the increase in the meridional SST gradient caused by the SW cloud effect is a key 216 component of the total response to CO_2 increase. 217

The temperature response due to the LW cloud effect (orange curve in Fig. 2a; Eq. 4) mirrors 218 the response to the SW effect, so that both effects partly cancel each other out. The LW cloud 219 feedback largely reflects the high cloud amount response (Fig. 2b–c) and is positive in the global-220 mean, consistent with the rise of cloud tops under the Fixed Anvil Temperature (FAT) hypothesis 221 (Hartmann and Larson 2002; Zelinka and Hartmann 2010). The high cloud decreases in parts 222 of the tropics are sufficiently large to offset the effect of rising cloud tops, yielding a negative 223 feedback locally. The particularly strong positive LW cloud feedback at high latitudes is associated 224 with very high cloud fraction in the control climate, especially at mid and upper levels (not shown), 225 yielding a strong LW effect of rising cloud tops. Despite the partial cancellation of SW and 226 LW cloud-radiative changes, the SST response to both cloud effects combined (grey curve) is 227 still dominated by the SW effect in terms of the meridional structure, with peak warming at the 228

equator and an overall increased Equator-to-pole temperature gradient, while the global-mean SST
 increase results entirely from the LW effect of clouds.

231 c. Atmospheric circulation changes

We now study the vertical structure of changes in temperature and atmospheric circulation in our experiments. We begin by considering the zonal wind response and its relationship with temperature changes, shown in Fig. .3.

The CO₂ increase causes the expected tropospheric warming and stratospheric cooling, with 235 warming maxima at upper levels in the tropics and in the lower polar troposphere (Fig. 3, top 236 left). An interesting result is that increasing CO_2 while holding the clouds fixed causes very little 237 change in the tropospheric jet (Fig. 3, top right). This result is surprising, since a poleward shift 238 of the tropospheric eddy-driven jet is often regarded as one of the most fundamental circulation 239 responses to greenhouse gas forcing, especially in idealized models (Kushner et al. 2001; Yin 240 2005; Brayshaw et al. 2008; Lu et al. 2010). The zonal wind response mainly consists of an 241 upward shift of the jet stream, consistent with the troposphere becoming warmer and deeper. A 242 slight weakening of the tropospheric jet is seen on the equatorward flank of the jet at the lowest 243 levels, resulting in a poleward jet shift of 0.9° (based on the latitude of peak zonal-mean zonal wind 244 at the surface, cubically interpolated onto a 0.1° grid). The relatively modest poleward jet shift in 245 the troposphere appears consistent with the structure of the temperature response: while at upper 246 levels the warming peaks in the tropics, in the lower troposphere it maximizes at high latitudes, a 247 result consistent with previous modeling evidence (e.g., Held 1993). Upper-level tropical warming 248 and lower-level polar warming have been shown to have opposing influences on the eddy-driven 249 jet response (Butler et al. 2010). 250

By contrast, the relatively modest temperature response caused by the SW cloud feedback pro-251 duces a substantial zonal wind response in the troposphere, with a clear strengthening and pole-252 ward shift of the eddy-driven jet (Fig. 3, second row). As will be shown below, the large eddy-253 driven jet response is related to the spatial structure of the thermal forcing associated with the SW 254 cloud feedback, which causes an enhancement of the meridional temperature gradient at all levels 255 in the troposphere. The fact that an increased midlatitude temperature gradient tends to favor a 256 poleward jet shift has been noted in several previous studies (Brayshaw et al. 2008; Chen et al. 257 2010; Ceppi et al. 2012; Lorenz 2014). While the mechanisms of the eddy-driven jet response 258 to thermal forcing are still a topic of active research, our results appear consistent with several 259 existing theories. Lorenz (2014) proposed that stronger upper-level westerlies near the jet result 260 in changes in Rossby wave propagation, favoring a poleward shift of the region of eddy momen-261 tum flux convergence. Chen and Held (2007) argued that increasing eddy phase speeds could 262 cause a poleward shift of the eddy-driven circulation; an eddy phase speed increase could occur in 263 response to a strengthened meridional temperature gradient and upper-level westerly winds. Be-264 sides the poleward jet shift, we also note a transition to more westerly winds in the upper tropical 265 troposphere, which are sustained by enhanced eddy momentum flux convergence associated with 266 tropical waves (not shown). 267

²⁶⁸ Unlike the effect of SW cloud-radiative changes, the LW effect yields a tropospheric temperature ²⁶⁹ response qualitatively similar to that of CO₂, but weaker overall and with a higher degree of polar ²⁷⁰ amplification at low levels (Fig. 3, third row). Like CO₂, this forcing also mainly causes an upward ²⁷¹ shift of the jet streams, with a relatively weak tropospheric response that occurs mostly above 500 ²⁷² hPa and resembles a narrowing of the westerly jet.

Adding the SW and LW cloud responses together yields the net effect of cloud-radiative changes (fourth row of Fig. 3), consisting of generalized tropospheric warming peaking in the tropical

upper troposphere. It is noteworthy that the net cloud effect results in a warming pattern quite 275 different from CO_2 forcing, with an increase in Equator-to-pole temperature gradient at all tropo-276 spheric levels. The temperature change due to clouds yields a clear poleward and upward shift 277 of the tropospheric jet. Finally, the total response to CO_2 quadrupling, including the effects of 278 cloud changes, is shown in the bottom row of Fig. 3; recall that this response is identical to the 279 sum of rows 1–3, by construction. The tropospheric zonal wind response most resembles the ef-280 fect of clouds (compare rows 4 and 5). The large contribution of cloud-radiative changes to the 281 tropospheric circulation response will be confirmed later in this paper, using various metrics to 282 objectively quantify circulation shifts. 283

The very distinct effects of cloud-radiative changes and CO₂ forcing on the thermal structure of 284 the troposphere are summarized in Fig. 4. To quantify the overall change in tropospheric thermal 285 structure at various levels, we define the mean upper- and lower-tropospheric temperature as the 286 vertically-averaged values from 100 to 500 hPa and 500 to 1000 hPa, respectively, which we 287 denote as $\langle T \rangle_{\text{upper}}$ and $\langle T \rangle_{\text{lower}}$ (Fig. 4a,c). In the upper troposphere, both clouds and CO₂ forcing 288 cause enhanced tropical warming, yielding an enhanced thermal gradient between the tropics (30°) 289 S to 30° N) and the extratropics (Fig. 4a,b). Both the SW and LW cloud changes contribute 290 to the enhanced upper-tropospheric temperature gradient, even though the LW effect is almost 291 twice as large. In the lower troposphere, however, only the SW cloud-radiative changes act to 292 enhance the meridional temperature gradient, while both the LW cloud effect and CO₂ forcing 293 cause polar-amplified warming (Fig. 4c,d). Thus, in a tropospheric-mean sense the SW cloud-294 radiative change is the main contributor to the amplified temperature gradient; while CO_2 forcing 295 and LW cloud-radiative changes yield substantial warming, they cause negligible change in the 296 gradient of tropospheric temperature in the vertical mean (Fig. 4e,f). This result strongly suggests 297 that the change in temperature *gradient* at all tropospheric levels is much more relevant to the 298

atmospheric circulation response than the change in mean temperature, at least in terms of the
 poleward expansion of the circulation.

We next assess changes in eddy activity, measured by the eddy kinetic energy as $EKE = (\overline{u'}^2 +$ 301 $\overline{v'}^2$)/2, where primes denote deviations from the zonal and time mean, and overbars indicate zonal 302 and time averages (left column of Fig. 5). Around the midlatitudes, EKE provides a measure 303 of the location and intensity of the storm track, which modulates important climate properties in 304 the extratropics such as cloudiness and precipitation. Comparing with the temperature changes in 305 Fig. 3, we find that the tropospheric EKE response is strongly tied to changes in the meridional 306 temperature gradient, consistent with the idea that baroclinicity is the dominant control on eddy 307 activity. The largest tropospheric response is an increase and poleward shift of EKE caused by 308 the SW cloud feedback in midlatitudes, but it is opposed by weaker EKE decreases by the LW 309 cloud feedback and CO₂ forcing with clouds fixed, resulting in a near-zero total response below 310 200 hPa (Fig. 5, bottom left). The total EKE response mainly consists of an upward expansion 311 in midlatitudes (consistent with the deepening of the troposphere with warming), as well as a 312 strengthening of eddy activity around the equatorial tropopause, which results mainly from the 313 CO₂ and SW cloud effects. 314

Finally, we discuss the response of the meridional mass streamfunction (calculated as $\Psi =$ 315 $2\pi a g^{-1} \int_0^{p_0} \bar{v} \cos \phi \, dp$, where a is the radius of the Earth, g is gravitational acceleration, \bar{v} is zonal-316 mean meridional wind, ϕ is latitude, p is pressure, and p_0 is surface pressure). The mass stream-317 function reflects the Hadley circulation climatology, which is an important control on the moisture 318 budget in the intertropical convergence zone (ITCZ) and in subtropical dry regions (Hartmann 319 1994). Overall the mass streamfunction response consists of a weakening of the Hadley circu-320 lation, except in for the response to SW cloud-radiative changes (right column of Fig. 5). The 321 Hadley cell response to various forcings appears consistent with the competing effects of increas-322

³²²³ ing meridional SST gradient and increasing static stability. While the SW effect tends to enhance ³²⁴ the meridional SST gradient within the tropics, favoring a strengthening of the circulation, cloud ³²⁵ changes also yield a stabilization of the tropics, especially through the LW effect, which favors a ³²⁶ Hadley cell weakening (Knutson and Manabe 1995; Gastineau et al. 2008). This results in a very ³²⁷ small overall change in Hadley cell strength in response to the net cloud-radiative changes. In the ³²⁸ case of CO₂ quadrupling with fixed clouds, tropical SST gradients change little (Fig. 2a) and the ³²⁹ stability increase dominates, resulting in a marked weakening of the Hadley circulation.

A modest poleward expansion of the Hadley cell edge also occurs in response to each of the 330 forcings; while this response is too weak to be visible in the responses to individual forcings, it 331 appears clearly in the total streamfunction response (Fig. 5, bottom right). The poleward shift of 332 the Hadley cell edge may result from the combined influences of the stabilization of the tropical 333 troposphere, which shifts the latitudes of baroclinic instability poleward (Frierson et al. 2007; Lu 334 et al. 2007), and from changes in the wave driving of the circulation. For example, increases in 335 Rossby wave phase speeds with global warming (Chen and Held 2007) could cause a poleward 336 shift of eddy momentum flux divergence and associated subtropical wave breaking, driving an 337 anomalous meridional circulation consistent with a Hadley cell expansion (Ceppi and Hartmann 338 2013; Vallis et al. 2014). The Hadley cell weakening and poleward expansion are robust features 339 of the atmospheric circulation response to warming (Frierson et al. 2007; Lu et al. 2007; Gastineau 340 et al. 2008; Ceppi and Hartmann 2013; Vallis et al. 2014). 341

342 d. Poleward expansion of the atmospheric circulation

We have shown that cloud feedbacks with global warming produce thermal forcings that are particularly effective at inducing a poleward expansion of the tropospheric circulation in our aquaplanet model, particularly through the impact of SW cloud-radiative changes on meridional tem-

perature gradients. To objectively quantify the contribution of clouds to the expansion of the 346 circulation, we calculate changes in four circulation metrics: the poleward edge of the Hadley 347 circulation based on the meridional mass streamfunction at 500 hPa; the edge of the subtropi-348 cal dry zones, calculated as the latitude where precipitation equals evaporation in the subtropics 349 (P - E = 0); the jet latitude measured as the peak surface zonal-mean zonal wind; and the lat-350 itude of the storm tracks, measured as the peak in sea-level pressure (SLP) variance. For each 351 of these metrics, the fields of interest are cubically interpolated onto a 0.1° grid before locating 352 the latitudes. For storm-track latitude, we use SLP variance rather than EKE for consistency with 353 previous studies (e.g., Chang et al. 2012; Harvey et al. 2014); however, note that the results are 354 similar if surface EKE is used instead. As in Harvey et al. (2014), we use 2-6 day band-pass 355 filtered SLP data to quantify the variability associated with transient synoptic eddies. 356

The changes in each of the metrics relative to the control climate are shown in Fig. 6. Both clouds 357 and CO_2 forcing alone contribute to the expansion of the tropics, as measured by the edge of the 358 Hadley cells and of the subtropical dry zones. However, their impacts on the jet and storm-track 359 position are very different, with SW cloud-radiative changes having the largest positive effect. The 360 strong SW cloud effect on jet and storm-track latitude is consistent with the zonal wind and EKE 361 responses shown in Figs. 3 and 5. It is noteworthy that the storm-track latitude is much more sen-362 sitive to SW and LW cloud effects than is the jet position; this may be related to the much higher 363 climatological latitude of the storm track compared to the jet, as defined here (52.4° versus 38.9°), 364 making the storm track more responsive to high-latitude temperature changes. Remarkably, in 365 our model the SW radiative response associated with clouds is the only factor contributing to the 366 poleward shift of the storm track. The net effect of cloud feedbacks is to force a poleward expan-367 sion of the circulation that strongly enhances the effect of CO₂ forcing, while the CO₂ increase 368 only yields only a modest circulation shift if the clouds are held fixed. This result becomes clear 369

³⁷⁰ by comparing the grey and black crosses in Fig. 6, which show that the cloud-radiative changes ³⁷¹ explain more than half of the total expansion of the circulation.

As described in Eqs. 2–4, the responses to each of the forcings result from averages over several 372 experiments. Comparing the responses to a particular forcing across experiments provides a mea-373 sure of the sensitivity of the response to the reference climate. The shifts in each of the circulation 374 metrics shown in Fig. 6 are listed in Table 1 for all experiments. For each individual forcing, there 375 are clear differences in the magnitude of the shift in each of the metrics between experiments. 376 Part of these differences may result from random internal variability, but we believe most of the 377 differences reflect a sensitivity to the initial climate. Despite this nonlinear behavior, the effect of 378 each forcing on atmospheric circulation remains qualitatively consistent across experiments. For 379 example, for each metric and forcing, the sign of the shift is identical across all experiments; the 380 only exception is the eddy-driven jet response to LW cloud changes, which is generally close to 381 zero. 382

4. Discussion

The main purpose of this paper is to show that cloud feedbacks produce thermal forcings which 384 can substantially alter the large-scale circulation response to CO_2 increase. Our results support 385 the finding of Ceppi et al. (2014), of a strong relationship between the meridional structure of SW 386 feedbacks and the austral jet stream response in CMIP5 models under RCP8.5 forcing. They are 387 also consistent with the large effect of clouds on the mean circulation shown by Li et al. (2015). 388 Recently, Voigt and Shaw (2015) demonstrated the importance of cloud and water vapor feedbacks 389 on the circulation response in two aquaplanet models forced with a uniform SST increase. Because 390 the SSTs are prescribed, however, it is likely that their results mainly reflect the effect of LW cloud 391 feedbacks, since SW radiation is mostly absorbed at the surface. A novel aspect of our study is 392

the separate consideration of SW and LW cloud feedbacks, which highlights the important but different roles of SW and LW cloud effects when SSTs are allowed to interact with radiation.

³⁹⁵ a. Cloud feedbacks in contemporary climate models

Care must be taken in generalizing our results to other models, for at least two reasons. First 396 and foremost, cloud feedbacks are highly uncertain and model-dependent, and so is their effect 397 on atmospheric circulation. To quantify their contribution to the mean and spread in atmospheric 398 circulation changes with warming, it is therefore necessary to test the effects of cloud changes in 399 a wider set of models. Despite this uncertainty, we will argue below that the meridional structures 400 of the SW and LW cloud feedbacks produced by our model are fairly representative of the mean 401 behavior of state-of-the-art climate models. Second, our experiment design is highly idealized. 402 The low surface albedo associated with the aquaplanet configuration may lead to an overestima-403 tion of the SW effect of clouds, particularly compared with Northern Hemisphere conditions. The 404 sensitivity of the atmospheric circulation to external forcings may be overestimated given the low 405 climatological jet latitude in our model (38.9°), especially compared to the Southern Hemisphere 406 (Kidston and Gerber 2010). Also, the zonally symmetric boundary conditions mean that station-407 ary waves play no role in the atmospheric circulation response to CO_2 forcing, unlike the real 408 world (Simpson et al. 2014). However, the idealized experimental design also allows for an easier 409 interpretation of the basic effects of cloud feedbacks on circulation. 410

⁴¹¹ Cloud feedbacks play a special role in the atmospheric circulation response to warming for
⁴¹² two reasons: (a) they tend to enhance the Equator-to-pole temperature gradient and midlatitude
⁴¹³ baroclinicity, and (b) they are highly uncertain and cause inter-model spread in circulation changes.
⁴¹⁴ Figure 7, showing the cloud feedback components in the abrupt4xCO2 simulations of 28 CMIP5
⁴¹⁵ models, illustrates these two points. As in our idealized model, the mean SW cloud feedback in

CMIP5 models leads to an overall enhanced meridional gradient of absorbed SW radiation around 416 the midlatitudes, with a positive mean feedback in the tropics and a negative feedback at high 417 latitudes. By contrast, the LW cloud feedback tends to be positive at all latitudes. Because the LW 418 cloud feedback has less spatial structure than the SW feedback, the net feedback is dominated by 419 the SW component (Fig. 7c), tending to enhance the meridional gradient of absorbed SW radiation; 420 this is also in agreement with our model results (see Fig. 2b). Comparing the grey curves in Fig. 7 421 provides an idea of the uncertainty in the magnitude and spatial distribution of the cloud feedbacks, 422 which is particularly large for the SW component. 423

b. Relationship between feedback and temperature response

Inter-model differences in cloud feedbacks motivate a discussion of the relationship between the 425 meridional structure of the feedbacks and the structure of the resulting temperature response. It 426 is important to recognize that changes in top-of-atmosphere radiation associated with feedbacks 427 do not necessarily predict the meridional structure of the associated temperature change, owing to 428 the role of meridional energy transport (Langen et al. 2012; Merlis 2014), consistent with climate 429 feedbacks being fundamentally nonlocal in nature (Feldl and Roe 2013). With this complication 430 in mind, how robust are our results to variations in the spatial pattern of the SW and LW cloud 431 feedbacks? 432

The strong poleward circulation shift induced by the SW cloud feedback relies on an overall enhancement of the tropospheric meridional temperature gradient. If the tropical SW cloud feedback is positive as most models predict, the resulting increase in tropical MSE will induce an enhancement of the poleward energy transport by the atmosphere, causing polar-amplified warming unless the high-latitude SW cloud feedback is sufficiently negative. In other words, the SW cloud feedback could produce polar amplification at low levels even if the Equator-to-pole gradient of absorbed SW radiation is enhanced. The remote effects of tropical climate feedbacks on the high-latitude temperature response are clearly illustrated in Fig. 2 of Roe et al. (2015). The circulation impacts of the SW cloud feedback would likely also depend on the degree of tropical upper-tropospheric warming, which we expect to be directly linked to the amount of tropical SST increase caused by SW cloud-radiative changes, since surface and upper-tropospheric temperatures are tightly coupled in the tropics through the effects of convection.

Thus, the net effect of the SW cloud feedback on circulation is determined by the relative mag-445 nitudes of the positive tropical forcing and negative high-latitude forcing; for example, we would 446 expect to find a much weaker poleward expansion of the circulation by the SW cloud feedback in a 447 model in which this feedback is much less negative at high latitudes. While the negative SW cloud 448 feedback at high latitudes is a robust feature of CMIP5 global warming experiments (Fig. 7a), and 449 is supported by a robust physical mechanism (phase changes in mixed-phase clouds, section 3b), 450 the magnitude of this negative high-latitude feedback – both in absolute terms and relative to the 451 generally positive SW cloud feedback in the tropics – is highly model-dependent. 452

We believe the temperature and circulation impacts of the LW cloud feedback are somewhat 453 more robust. In presence of a positive LW cloud feedback at most latitudes, the low-level temper-454 ature response to LW cloud-radiative changes is very likely to be amplified at high latitudes owing 455 to the effect of increasing meridional energy transport and positive temperature feedbacks (Pithan 456 and Mauritsen 2014; Roe et al. 2015). An overall positive LW cloud feedback is expected as cloud 457 tops rise with warming, consistent with the Fixed Anvil Temperature hypothesis (Hartmann and 458 Larson 2002); models agree on this effect, and there is no physical argument to expect a negative 459 LW cloud feedback at high latitudes. However, the degree of polar amplification at low levels will 460 still be affected by the magnitude of the local LW cloud feedback. In our model, the high-latitude 46 LW cloud feedback appears too positive, which we ascribe to an unrealistically high climatolog-462

ical cloud fraction in our aquaplanet configuration in high latitudes (section 3b). It is therefore
 possible that our model overestimates the amount of polar amplification associated with the LW
 cloud feedback, and therefore underestimates the contribution of LW cloud-radiative changes on
 the poleward expansion of the circulation, compared to more realistic models.

Despite the complex relationship between feedback patterns and temperature responses, Ceppi 467 et al. (2014) showed that the meridional structure of SW feedbacks (mainly from clouds and sea 468 ice) explains the changes in SST gradient very well in RCP8.5 simulations around the Southern 469 midlatitudes. From the perspective of the atmospheric circulation response, the results in the 470 present paper suggest that the spatial distribution of the thermal forcing, both at lower and upper 471 tropospheric levels, is more important than the global-mean effect, as discussed in section 4a. 472 Hence, the results in Fig. 7 support the idea that the cloud feedback likely enhances the poleward 473 expansion of atmospheric circulation in most climate models. 474

475 c. Effects of other climate feedbacks

While the focus of this paper has been on the effects of clouds, other feedbacks will also affect 476 the temperature and circulation responses to greenhouse gas forcing in climate models. For exam-477 ple, the large-scale effects of the water vapor feedback have been demonstrated in previous studies 478 (Schneider et al. 1999; Hall and Manabe 1999; Mauritsen et al. 2013; Voigt and Shaw 2015). Al-479 though Voigt and Shaw (2015) found an equatorward contraction of the atmospheric circulation 480 in response to radiative changes of water vapor, it is not obvious that a similar response would 481 be obtained in a coupled atmosphere-ocean climate model like ours. This is because water vapor 482 changes cause a very different temperature response when SSTs are allowed to respond to the ra-483 diative forcing, with substantial warming in the tropical upper troposphere (compare e.g. Fig. 6d 484 in Langen et al. 2012 with Fig. 3c in Voigt and Shaw 2015). Furthermore, since the water vapor 485

content is so strongly tied to temperature through the Clausius-Clapeyron relationship, we spec ulate that the uncertainty in the circulation response associated with the water vapor feedback is
 much smaller than that caused by cloud changes.

By contrast, we believe that the temperature and surface albedo feedbacks could contribute sig-489 nificant uncertainty to the spatial pattern of the temperature increase and the associated circulation 490 response in climate models. Temperature feedbacks (including the Planck and lapse rate feed-491 backs) have been shown to contribute to polar warming (Pithan and Mauritsen 2014). The lapse 492 rate feedback, which is the strongest contribution to Arctic warming in CMIP5 models (Pithan and 493 Mauritsen 2014), is positive at high latitudes because of the existence of strong low-level inver-494 sions that trap warming near the surface. It is therefore plausible that the lapse-rate feedback in 495 high latitudes could depend on the strength of the polar low-level inversions in the control climate. 496 Finally, the surface albedo feedback is dominated by fairly uncertain changes in sea ice extent and 497 snow cover, and while its effect on global-mean temperature is much smaller than that of cloud 498 feedbacks (Vial et al. 2013), it has a strong effect on polar amplification in CMIP5 models (Pithan 499 and Mauritsen 2014). 500

501 5. Summary and conclusions

This paper investigates the effect of cloud feedbacks on the atmospheric circulation response to CO_2 quadrupling in an aquaplanet model with a slab-ocean lower boundary. We use a cloudlocking technique to break down the circulation response into two main components: the response to CO_2 increase while clouds are fixed, and the response to cloud changes while CO_2 is fixed. The response to cloud changes is further decomposed into SW and LW cloud effects. We find that cloud changes cause a very substantial atmospheric circulation response, inducing a poleward expansion of the Hadley cells, midlatitude jet streams, and storm tracks. This response is dominated by the ⁵⁰⁹ SW effect of clouds, while LW cloud-radiative changes alone force a modest tropical expansion, ⁵¹⁰ no jet shift, and an equatorward shift of the storm tracks.

While quadrupling CO_2 with fixed clouds also forces an expansion of the circulation, this effect 511 is smaller than the net effect of cloud changes, despite the fact that CO_2 quadrupling causes three 512 times as much surface warming than cloud changes in the global mean (3.4 versus 1.1 K). We 513 explain this surprising result in terms of the spatial structures of the thermal forcings associated 514 with CO_2 and cloud-radiative changes. The SW effect of cloud changes is to strongly enhance the 515 Equator-to-pole temperature gradient at all tropospheric levels, increasing midlatitude baroclinic-516 ity. Previous research has associated this type of forcing with a clear strengthening and poleward 517 shift of the jet streams and storm tracks. By contrast, the CO₂ increase (and to a lesser extent the 518 LW cloud-radiative changes) cause global warming with peak warming in low-level polar regions 519 and in the upper tropical troposphere. We believe that the different changes in meridional tempera-520 ture gradient at upper and lower levels have opposing effects on atmospheric circulation, reducing 521 the impact of these forcings on the expansion of the circulation. 522

Our results highlight the importance of the spatial structure of the temperature response as 523 opposed to the global-mean response, since the SW cloud-radiative changes cause the smallest 524 global-mean surface temperature change (-0.2 K), but the largest midlatitude circulation response 525 in our model. Thus, it is important to note that clouds could enhance the atmospheric circulation 526 response to CO_2 forcing even in a hypothetical case where the global-mean cloud feedback is 527 near-zero or negative. This suggests that in terms of large-scale circulation impacts, changes 528 in meridional temperature gradients may be at least as important as the amount of global-mean 529 warming. 530

⁵³¹ We caution that the results presented in this paper are based on a single model, and are not neces-⁵³² sarily representative of the atmospheric circulation impacts of cloud feedbacks in other models or

in the real world. However, an analysis of the cloud feedbacks in CMIP5 model experiments with 533 quadrupled CO_2 concentrations reveals that the key basic features of the cloud-radiative response 534 are similar to our model – particularly the tendency of cloud feedbacks to enhance the Equator-535 to-pole temperature gradient through the SW effect. We therefore argue that cloud changes likely 536 enhance the poleward expansion of the circulation with global warming in most state-of-the-art 537 climate models. Because of the large uncertainty in the cloud response, it is also likely that clouds 538 significantly contribute to inter-model differences in the atmospheric circulation response, as sug-539 gested by previous research (Ceppi et al. 2014; Voigt and Shaw 2015). 540

This study has focused on the atmospheric circulation response mainly from the perspective of 541 the poleward expansion of the Hadley cells, jet streams, and storm tracks, in an idealized, zonally-542 and hemispherically-symmetric setting. In a more realistic configuration, cloud feedbacks would 543 likely also have an important effect on the asymmetric component of the circulation, impacting 544 the amplitude and location of stationary waves (Donner and Kuo 1984; Slingo and Slingo 1988) 545 as well as inter-hemispheric asymmetries and the latitude of the intertropical convergence zone 546 (Frierson and Hwang 2012). This further underlines the fact that constraining cloud feedbacks is 547 essential not only for an accurate estimation of climate sensitivity, but also for a realistic represen-548 tation of the atmospheric circulation response to greenhouse gas forcing. 549

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780 LIST OF TABLES

781	Table 1.	Hemispherically-averaged latitudinal shift in various atmospheric circulation
782		metrics, with poleward shifts defined as positive. The CTL latitude is provided
783		in the second row for reference. For a definition of the metrics, see Fig. 6 and
784		text. The symbols used for the experiments are described in section 2. The
785		mean CO ₂ , SW cloud, and LW cloud effects are calculated as in Eqs. 2–4

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Experiment	$\Psi_{500}=0$	P-E=0	$\phi_{ m jet}$	$\phi_{\sigma^2(\mathrm{SLP})}$
CTL	26.7	35.2	38.9	52.4
G2S1L1 – G1S1L1	0.8	1.1	1.4	0.1
G2S2L2 – G1S2L2	0.5	0.9	0.5	0.1
mean CO ₂ effect	0.6	1.0	0.9	0.1
G1S2L1 – G1S1L1	0.9	1.5	2.5	1.9
G1S2L2 – G1S1L2	0.2	0.8	1.0	3.1
G2S2L1 – G2S1L1	0.6	1.2	1.9	4.6
G2S2L1 – G2S1L2	0.2	1.0	1.1	1.4
mean SW cloud effect	0.5	1.1	1.6	2.7
G1S1L2 – G1S1L1	0.8	0.8	0.9	-2.4
G1S2L2 – G1S2L1	0.1	0.3	-0.2	-1.2
G2S1L2 - G2S1L1	0.5	0.4	0.1	-2.0
G2S2L2 – G2S2L1	0.1	0.3	-0.3	-1.5
mean LW cloud effect	0.4	0.4	0.1	-1.8

790 LIST OF FIGURES

791 792 793 794 795	Fig. 1.	Changes in (a) sea surface temperature (SST), (b) air temperature, (c) zonal wind, and (d) meridional mass streamfunction after CO_2 quadrupling. The left column shows the changes between the CTL and $4xCO_2$ experiments, with clouds locked to CTL and $4xCO_2$ climates, respectively (Eq. 1). The right column shows the difference between the response in cases with interactive and locked clouds. In panel (d), $1 \text{ Sv} = 10^9 \text{ kg s}^{-1}$.	40
796 797 798 799 800	Fig. 2.	(a) SST response broken down into effects of SW and LW cloud-radiative changes and CO_2 forcing. (b) SW and LW cloud feedback. (c) High ($p < 440$ hPa), low ($p > 680$ hPa), and total cloud amount response. (d) Liquid and ice water path response. The cloud feedback in (b) is normalized by the total global-mean surface warming in the 4xCO2 experiment including cloud changes (4.4 K).	41
801 802 803 804 805	Fig. 3.	Temperature (left column) and zonal wind (right column) responses to CO_2 quadrupling, broken down into contributions from CO_2 forcing and clouds. Shading denotes the response. In the right column, thick grey contours represent the control climatology (contour interval 10 m s ⁻¹ , only positive values shown). In panel (a), the CO_2 , SW cloud, and LW cloud effects are calculated with Eqs. 2, 3, and 4, respectively.	42
806 807 808 809 810 811	Fig. 4.	(a,c,e) As in Fig. 2a, but showing the response of the vertically-averaged tropospheric temperature. $\langle T \rangle_{upper}$, $\langle T \rangle_{lower}$, and $\langle T \rangle$ denote upper-tropospheric (100 to 500 hPa), lower-tropospheric (500 to 1000 hPa), and tropospheric (100 to 1000 hPa) vertical-mean temperature, respectively. (b,d,f) Changes in the meridional gradient of $\langle T \rangle$ at various tropospheric levels, calculated as the change in tropical-mean $\langle T \rangle$ (30° S to 30° N) minus the change in extratropical-mean $\langle T \rangle$.	43
812 813 814	Fig. 5.	As in Fig. 3, but for eddy kinetic energy (EKE, left) and meridional mass streamfunction (Ψ , right). Grey contours show the control climatology in intervals of 40 m ² s ⁻² (EKE, left) and 60 Sv (Ψ , right), with negative values dashed and the zero contour omitted.	44
815 816 817 818 819	Fig. 6.	$4xCO_2$ response of various circulation metrics: Hadley cell edge defined as the first zero- crossing of the mass streamfunction at 500 hPa ($\Psi_{500} = 0$); latitude where precipitation equals evaporation in the subtropics ($P - E = 0$); jet latitude defined as the peak in zonal- mean zonal wind (ϕ_{jet}); storm-track latitude defined as the peak in sea-level pressure variance ($\phi_{\sigma^2(SLP)}$). All results are averaged over both hemispheres.	45
820 821 822 823 824	Fig. 7.	Cloud feedback components in the abrupt4xCO2 experiment of 28 CMIP5 models, all calculated as years $121-140$ minus the pre-industrial control climatology. Grey curves represent individual models, with the multi-model mean in thick black. The cloud feedback is calculated using radiative kernels, following the method of Soden et al. (2008), and includes rapid adjustments to CO ₂ forcing (Sherwood et al. 2015).	46



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FIG. 6. 4xCO₂ response of various circulation metrics: Hadley cell edge defined as the first zero-crossing of the mass streamfunction at 500 hPa ($\Psi_{500} = 0$); latitude where precipitation equals evaporation in the subtropics (P - E = 0); jet latitude defined as the peak in zonal-mean zonal wind (ϕ_{jet}); storm-track latitude defined as the peak in sea-level pressure variance ($\phi_{\sigma^2(SLP)}$). All results are averaged over both hemispheres.



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