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Chitosan as a rainfastness adjuvant for agrochemicals†

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Chitosan has been identified as an excellent rainfastness aid for a model agrochemical. We use fluorescent labelling and microscopy to show that chitosan deposits on Vicia faba leaves are able to resist wash off by rain, both in a lab-scale test and via simulated rain. Furthermore, via the same methods it has been demonstrated that the rainfastness of azoxystrobin, a common fungicide which is fluorescently active, can be improved by formulating with chitosan. These findings via fluorescent microscopy were in agreement with a quantitative LC-MS/MS method in which the exact quantity of active ingredient on a leaf surface was determined before and after a rain wash. The potential of chitosan as a rainfastness aid is further supported by positive comparison with a commercial tank-mix standard, with almost 100% retention of the model active ingredient achieved in both cases after 1 hour of washing with 10 mm h⁻¹ intensity rain.

1 Introduction

Food security is of great concern around the world. Establishing how to supply our growing population is an important and growing issue for society.¹ The ability of an agrochemical formulation to have a protective effect on crops is ensured by preventing losses to a multitude of factors. These loss mechanisms range from photolytic,² hydrolytic or microbial degradation² to spray drift⁴ and poor retention⁵ of impacting droplets.⁶ However, the sole focus of this research is the ability of an agrochemical deposit to resist wash off by rain and irrigation – commonly termed rainfastness.⁷⁻⁹ In a recent study, we presented a method for characterizing rainfastness of fluorescently labeled polymers, and discussed what properties made poly(vinyl alcohol) rainfast.¹⁰

Chitosan is a biopolymer with a great range of commercial and biomedical uses. Produced via the deacetylation of chitin, a natural polysaccharide sourced principally from crustacean shells,¹¹ chitosan is subject to an enormous amount of research. The reason for this level of interest is that chitosan is biocompatible,¹²⁻¹⁹ biodegradable,¹²,¹³,¹⁹⁻²¹ non-toxic,¹²,¹³,¹⁷,²² mucoadhesive²³ and shows good compatibility with other polymers in composites.¹²,¹³,¹⁷,¹⁹⁻²²,²³ Not least in the list of possible and realized applications for chitosan is its potential for plant protection.²⁴,²⁵ For example, chitosan was shown to inhibit viral infection in 11 plant species and it inhibits growth of various soil borne fungi.²⁶,²⁷

In this contribution we further expand the repertoire of chitosan and to our best knowledge this is the first demonstration that chitosan is an excellent rainfastness adjuvant for agrochemicals. We examine the retention of chitosan on Vicia faba leaf surfaces via fluorescent labeling and the use of fluorescent microscopy. To further this method, we assess the adjuvancy of chitosan as a rainfastness aid by formulating the polymer with a model fungicide. Azoxystrobin, able to offer protection against a wide range of fungal diseases, was selected to act as the model compound as it is fluorescently active. In addition, poly(vinyl alcohol) and a commercially available adjuvant are examined and compared. We characterize the physical properties of the polymers in order to determine which enables the adjuvancy. Finally, we directly measure the retention of azoxystrobin formulated with polymer solutions on leaf surfaces using a spot and wash method to recover the model compound and LC/MS-MS for quantitative analysis.

In a previous study¹⁰ we used the fluorescent microscopy techniques to assess the ability of poly(vinyl alcohol) (PVA) as a rainfastness agent. We highlighted with fluorescent imaging that high molecular weight PVA was most successful at retaining on leaf surfaces after rain. Chitosan and PVA share some characteristic properties – such as being the product of deacetylation of a precursor – chitin and poly(vinyl acetate) respectively. Both polymers are semi-crystalline and depending on molecular weight, the polymers are insoluble at neutral and ambient conditions. This work significantly builds on the
previous art by incorporating a model fluorescent agrochemical into our existing analysis method and via quantitative analysis of rainfastness adjuvancy using LC/MS-MS. Additionally we are able to draw comparisons between chitosan and PVA as rainfastness aids.

2 Experimental

2.1 Materials

Three samples of chitosan were purchased from Sigma Aldrich (UK). Samples were supplied as ‘low’, ‘medium’ and ‘high’ molecular weights. Fluorescein isothiocyanate, used to label chitosan, was also purchased from Sigma Aldrich (UK). Azoxystrobin was provided by Syngenta in the form of a milled formulation. As azoxystrobin is insoluble in water, it is provided as a 50% concentration of milled particles which are dispersed in water with an anionic surfactant. In addition, xanthan gum is present as an anti-settling agent. A sample of Bond, a commercial adjuvant containing 45% styrene butadiene copolymer and 10% alcohol alkoxylate was obtained from De Sangosse Ltd.

2.2 Characterization of polymers

Chitosan is water-soluble only below pH 6.5.\(^{18,28}\) For \(^1\)H NMR and GPC, trifluoroacetic acid (TFA) was used to adjust the pH of solution. For all other methods requiring chitosan solutions, 0.2 M acetic acid was used. Chitosan can take many hours to fully dissolve and solutions were stirred for at least 24 hours before use. Poly(vinyl alcohol) (PVA) was dissolved in deionized water by heating and stirring at 90 °C for approximately 1 hour.

2.2.1 \(^1\)H NMR. The degree of acetylation (DA) of chitosan was determined via \(^1\)H NMR spectrometry. Chitosan was dissolved in D\(_2\)O (acidified with trifluoroacetic acid) and spectra were recorded using a Bruker 400 MHz spectrometer. The spectra were analyzed using MestReNova Lite software. Peaks in the overlapped region of 3.25–4.00 ppm represent protons from H\(_3\) to H\(_6\) on both the de- and acetylated moieties of chitosan as well as H\(_2\) from the acetylated moiety (Fig. S1 in the ESI†). Acetyl protons are present at 2.00 ppm. By integrating these peaks the fraction of acetylation is determined.

\[
DA = \frac{1}{6} \left( \frac{1}{3} \text{Ac} \right) \times 100
\]  

2.2.2 Gel permeation chromatography. A Polymer Laboratories PL-GPC 50 PLUS instrument was used to determine the molecular weights of polymers described in this work. Aqueous 0.1 M solution of NaNO\(_3\), adjusted to pH 2.1 with trifluoroacetic acid was used as the mobile phase for chitosan samples. Pullulan standards were used to calibrate the instrument which was equipped with a refractive index detector. An Agilent PL Aquagel-OH (Mixed H 8 μm) column was used in this analysis with a flow rate of 0.5 mL min\(^{-1}\) at 30 °C. GPC for PVA was carried out as described in our previous paper.\(^{19}\) Values for molecular weight and polydispersity for all polymers used in this work can be found in the Table S1 in the ESI†.

2.2.3 Swelling and solubility. A gravimetric method was used to determine the swelling and solubility of solution cast polymer films. Solutions were cast in plastic Petri dishes and dried at room temperature to form films of approximately 0.25 g for chitosan and 1 g for PVA, with water content in the range of 3.8–7.6% by weight (as determined using thermal gravimetric analysis). Chitosan films were initially weighed and then placed in a 200 mL water bath at 25 °C and their masses re-weighed periodically. For larger PVA films a water bath of 500 mL was used. A phosphate buffer at pH 7 was used to maintain a constant neutral pH during the test. Swelling degree was determined in triplicate.

\[
SD = \frac{m - m_0}{m_0}
\]

where \(m\) is the mass of the film at time \(t\) and \(m_0\) is the mass of the initial dry film.

2.3 Fluorescent labelling of polymers

Chitosan was labelled using fluorescein isothiocyanate (FITC) according to the methodology described previously.\(^{29,30}\) Chitosan (1 g) was dissolved in 100 mL of acetic acid (0.2 M) and left to stir for 24 hours. FITC (100 mg) was dissolved in 50 mL of methanol and subsequently was added to the chitosan solution and stirred for 3 hours. The labelled chitosan was then precipitated in 1 L of sodium hydroxide (0.1 M) and filtered. The product was redissolved and dialyzed using cellulose dialysis membrane with a molecular weight cut-off of 7 kDa (Medicell Membranes Ltd) against deionized water to remove any unreacted FITC. Finally, the product was obtained via freeze drying of the dialyzed solution. PVA was labeled using 5-[(4,6-dichlorotriazinyl)aminofluorescein] (5-DTA F) according to the procedure previously reported.\(^{10}\)

2.4 Rainfastness methods

Assessing rainfastness inherently requires that the formulation being examined is in the form of a dry deposit on a surface. Vicia faba (field bean) plants used as the surface were provided by Syngenta. The plants were grown under controlled conditions and leaves used were from leaf position 3 (LP3) from plants of growth stage 18 (GS18) classified using the BBCH scale (Table S2 in the ESI†). Leaf position refers to the position of leaves on the plant, LP1 being the first set of leaves from the bottom of the plant, LP2 being the second set and so on. All treatments studied were either solutions of fluorescently labelled polymers or formulations of unlabeled polymer and fluorescently active azoxystrobin (Table 1). ‘Bond’ (De Sangosse), a commercially available ‘spray modifier’ and ‘sticker’ adjuvant, was used as a commercial control.

2.4.1 Lab-scale and raintower wash-off. These methods were described in detail previously.\(^{18}\) Briefly, droplets (0.2 μL) of a treatment were placed onto a leaf and allowed to dry. For the lab-scale method, individual leaves were washed using a burette to deposit 1 mL of deionized water (Fig. 1A). For the raintower method (Fig. 1B), leaves were exposed to simulated rain generated at a specialized raintower available at Syngenta’s
Instead of a fixed volume the leaves are exposed to a 3 minute ‘rain’ event where the intensity of the rain can be tuned. For analysis, dry deposits were first imaged under a fluorescent microscope (Leica MZ10 F, fitted with an ‘ET GFP’ filter and digital camera). The leaves were then sequentially washed and re-imaged until deposits were seen to be removed, or until no further change was likely to occur – resulting in a series of images which depict the wash-off behavior of each treatment. ImageJ software (v1.46r) was used to analyze the images by determining the coverage of the fluorescent polymer deposit. The first image was taken as the value for initial ‘100% coverage’ and the subsequent images were quantified as a percentage with regard to the initial dry deposit (Fig. 1C).

2.4.2 Liquid chromatography tandem mass spectrometry (‘spot and wash’). A quantitative measure of azoxystrobin retention on leaf surfaces is possible via a ‘spot and wash method’ involving liquid chromatography and mass spectrometry analysis. For an azoxystrobin treatment, leaves were separated into sets of samples according to the number of time points desired for analysis. On each leaf 10 droplets (0.2 μL) of a treatment with known concentration (Table 1) were deposited using a microliter syringe and allowed to dry for one hour. One set of leaves was washed with acetonitrile without undergoing a rain wash. This was carried out in order to determine the effectiveness of the acetonitrile treatment at recovering the full amount of azoxystrobin on the leaf surface. The acetonitrile wash was performed by putting the leaf in a falcon tube with acetonitrile (10 mL) and vigorously shaking the tube. The other sets of leaves were placed under the rain for the required amount of time for a rain event. Five additional samples were generated by spiking the same volume of acetonitrile with 10 droplets of an azoxystrobin treatment in order to determine the reliability of the microliter syringe. Ultra-high pressure liquid chromatography (UPLC) analysis was carried out using an Agilent Technologies 1290 Infinity instrument fitted with a ThermoScientific triple stage quadrupole Quantum Ultra tandem mass spectrometer detector (LC-

Jealott’s Hill International Research Centre (Bracknell, UK).
MS/MS) available at Syngenta. The system was running with a pressure of 361 bar using an Acquity UPLC ethylene bridged hybrid C18 column and acetonitrile with 0.2% formic acid as mobile phase. The mass spectrometer detectors were able to analyze the parent ion (m/z = 404.2) and three fragments (m/z = 156.2, 172.2, 183.3). The daughter fragment of m/z = 156.2 was used for the actual quantitation of azoxystrobin. Samples were analysed in a random order to eliminate any potential error from drift in the detector. Further to this, several untreated leaves were washed with acetonitrile and analysed – this showed that no contaminants were detected as azoxystrobin fragments.

3 Results and discussion

3.1 Characterization of polymers

Proton NMR and GPC were used to determine fraction of acetylation and molecular weight, respectively, for both polymers. Weight average molecular weight as determined by GPC is reported in Table 1 and further parameters from GPC are provided in Table S1 in the ESI.† The fraction of acetylation was determined to be 29.7, 26.1 and 30.7% for CSL, CSM and CSH, respectively. Molecular weights of PVAL and PVAHV were determined previously and are reported in Table 1 and the degree of hydrolysis for both PVA samples was 99%.

3.1.1 Swelling and solubility of polymer films. Characterization of the swelling and solubility of bulk polymer films submerged in water (Fig. 2) was undertaken as a measure of water resistance that would be analogous to the measure of rainfastness. There are likely to be similarities in behavior with water when comparing dry deposits on leaf surfaces and bulk polymer films. Low molecular weight PVA dissolved after 10 minutes but the other four polymers did not dissolve after 24 hours. CSL reached a maximum swelling degree of approximately 3.2 after 1 minute and then slowly reduced in mass over 24 hours. PVAHV reached a maximum swelling degree of approximately 2.5 after 1 hour and began to lose mass by 24 hours. Higher molecular weight chitosan, CSM and CSH, increased in mass constantly and CSH reaches a swelling degree of approximately 6.5 after 24 hours which was much higher than other polymers. Those polymers which do not dissolve are likely to be rainfast when deposited on leaf surfaces. It could be theorized that the swelling and solubility parameters are most relevant to rainfastness in the first hour as this simulates a dry deposit being subjected to rain. This means that differences between the polymers after 24 hours of swelling are not likely to manifest in a rainfastness experiment that lasts for less than one hour. However, those polymers that do not lose mass after 24 hours may last longer on the leaf over larger time-scales which are likely in real world conditions. In the literature, a chitosan sample of 1300 kDa swollen under the same conditions reached a swelling degree of only 0.25 after 1 hour. This may indicate that as the molecular weight of chitosan increases, the ability of water to penetrate into the polymer bulk phase is reduced. However, this is not observed in our results, which may be as a result of alternative analysis methods. In another study, chitosan reached a swelling degree of approximately 5.2 after 2 hours which is in line with our findings.

3.2 Fluorescent microscopy analysis of rainfastness of labeled polymers

Lab-scale results for fluorescently labeled chitosan indicated that three grades of chitosan (CSL-F, CSM-F and CSH-F) resisted wash-off excellently (Fig. 3). Values retrieved for the pre-wash dry deposit were considered to represent 100% of coverage and subsequent values are adjusted accordingly. A decrease in coverage shows that a deposit is unable to resist wash-off and an increase occurs due to spreading of the deposit beyond the initial coverage boundary. Included in the presentation of results are a low (20 kDa) and a high (93 kDa) molecular weight sample of labeled PVA. High molecular weight PVA was proven to be much more resistant to wash-off than a low molecular weight PVA in a previous study. Regarding chitosan, after 10 washes the coverage of initial deposits changed very little, performing to the same level as high molecular weight PVA. To contrast this result, a poorly retaining low molecular weight PVA is completely removed after 2 washes. Previously, a molecular weight threshold for wash-off resistance was established to be around 35-50 kDa for PVA. Although supplied as ‘low’ molecular weight – at 62 kDa the lowest molecular weight chitosan sample tested still exceeds this PVA threshold. However, this PVA threshold cannot be considered to be particularly relevant to chitosan. Results suggest that the two higher molecular weight chitosan samples performed slightly better than low molecular weight grade but there is not a statistically significant difference between the three samples.

The analysis of images from the raintower method (Fig. 4) follows the same procedure as at lab-scale except that simulated rain was used instead of a 1 mL wash. The chitosan samples both showed excellent ability to retain and exceed the coverage of the most resistant PVA grades. Chitosan with the lowest molecular weight (62 kDa) showed better performance than the best performing PVA sample. When PVA was examined, washing with a rain intensity of 10 mm h⁻¹ was shown to be largely analogous to results generated in the lab, albeit with reduced coverage values for each sample. However, increasing the intensity of rain from 10 mm h⁻¹ to 30 mm h⁻¹ had a significant detrimental impact on the retention of all but the

Fig. 2 Swelling behavior of chitosan and poly(vinyl alcohol) films in pH 7 phosphate buffer at 25 °C. Data are shown as mean values (n = 3) ± standard deviation.
highest molecular weight PVA grades (92 kDa). Interestingly, the increase in intensity of rain does not make a significant difference in retention of chitosan samples. This highlights that chitosan deposits are significantly better at retaining on leaves than PVA.

3.3 Analysis of polymer adjuvancy on rainfastness of an agrochemical

Until this point, analysis has been focused on the retention of polymer deposits on the leaf surface after washing. However, the aim is to identify and better understand these polymers as potential adjuvants for increasing the retention of an active ingredient. To this end, and instead of examining deposits of fluorescently labeled polymers, the following are results from analysis of a fluorescently active fungicide formulated with the unlabeled polymers. Both the lab-scale and raintower methods are deployed in the same way as already discussed.

Lab scale results, presented in Fig. 5, highlight that chitosan successfully increased the coverage of azoxystrobin over an unformulated azoxystrobin control. Chitosan (CSM) was shown to be better at enhancing the retention than PVA – when formulated with 0.4% w/w chitosan, azoxystrobin coverage was almost unchanged after 10 washes. Additionally, chitosan still had an excellent effect on the retention of azoxystrobin even at a reduced concentration of 0.04% w/w. Non-rainfast PVAL (9–20 kDa) had a detrimental effect on azoxystrobin retention – the highly soluble character of this PVA grade likely means that it acts as a surfactant and helps the removal process of the formulated azoxystrobin. Surprisingly, high molecular weight PVA (92 kDa) and a commercial adjuvant, Bond, did not significantly increase the retention of azoxystrobin over an unformulated azoxystrobin control. This method is qualitative so it is not possible to conclude that CSM is twice as good at improving retention of Bond simply because the coverage of
azoxystrobin formulated with CSM after 10 washes was double than that of azoxystrobin formulated with Bond. It may simply mean that CSM is better able to maintain an evenly distributed coverage of azoxystrobin than Bond.

Raintower wash-off profiles of azoxystrobin formulated with polymers are largely analogous to lab-scale results (Fig. 6). Although PVAL-AZ showed some increased coverage in initial images, by the fifth wash the majority of azoxystrobin coverage was lost. Retention of azoxystrobin when formulated with PVAVH was improved and retention when formulated with CSM was reduced when compared to lab-scale results. However, Bond and an unformulated azoxystrobin showed similar performance as in the lab-scale analysis. Overall, the similarity of results between the two methods is a good sign that the rainfastness adjuvancy of unlabeled polymers can be examined by this method. Azoxystrobin may be aggregated in one particular area of the deposit and the detected coverage values will not indicate this. Therefore, coverage lost may not be equal to the actual loss of azoxystrobin, and equally, maintaining complete coverage may not represent complete retention of azoxystrobin.

A lab-scale or raintower fluorescent microscopy method has potential to be used as a qualitative screening method for determining rainfastness potential of various adjuvants.

**3.3.1 Spot and wash.** The accuracy of the fluorescent microscopy wash-off tests was determined by carrying out a quantitative analysis of azoxystrobin retention when formulated with chitosan, PVA and Bond as rainfastness aids. A full set of results is available in the ESI (Fig. S2†). Fig. S2† shows the LC-MS/MS determined concentration of each azoxystrobin wash. The graph highlights that there is no difference in the detected concentration of azoxystrobin from formulations that were spiked into 10 mL acetonitrile and formulations that were allowed to dry into deposits for 1 hour and washed with 10 mL acetonitrile. This is proof that the method of washing with acetonitrile is good enough to recover all of the azoxystrobin on the leaf surface. The concentration of azoxystrobin determined by washing dried deposits with acetonitrile was taken to represent 100% of the azoxystrobin treatment. After washing a set of
leaves for 1 hour under the raintower, they were washed with acetonitrile to recover the retaining azoxystrobin (Fig. 7A). Three samples of chitosan were able to protect azoxystrobin excellently, with nearly 100% azoxystrobin recovered after 1 hour of rain. This improved the retention of azoxystrobin by 60% over a treatment with chitosan-free fungicide. Bond performed better than had been expected due to the fluorescent microscopy results. This result perhaps highlights that the fluorescent microscopy analysis cannot be used to quantitatively determine the retention of azoxystrobin as Bond did not perform significantly better than chitosan-free azoxystrobin in those tests.

As in fluorescent microscopy analysis, a low molecular weight PVA (9–20 kDa) was unable to increase retention of the active ingredient and perhaps increased the solubility of azoxystrobin. The most surprising result was that the high molecular weight PVAVH (92 kDa) was unable to improve retention of azoxystrobin over the control. This result was repeated with three sets of leaves which were subjected to 10, 20 and 30 minutes of rain. The hypothesis was that the PVAVH would show a gradual loss of azoxystrobin recovery over time. However, results (Fig. 7B) showed a consistent level of recovery. It is possible that the first result is an anomaly or that between 30 and 60 minutes, significant losses occur.

3.4 Further discussion

Results from fluorescent microscopy analysis of polymer retention correlated well with the swelling and solubility analysis of bulk polymer films. Polymers (CSL, CSM, CSH and PVAVH) that resist dissolution in water are able to resist being washed off of leaf surfaces. They also showed the ability to aid the retention of a formulated agrochemical. A PVAL film which dissolved in water after just 10 minutes also showed poor retention on leaf surfaces. Additionally it reduced the effectiveness of azoxystrobin at retaining on a leaf surface as shown in all analysis methods.

In the azoxystrobin microscopy analysis, the coverage of azoxystrobin when formulated with Bond was reduced significantly after washing. This result was not corroborated via the spot and wash method, which showed that Bond was an excellent rainfastness adjuvant. This discrepancy and others like it are possibly due to the way in which the deposit forms. When a Bond and azoxystrobin formulation dry into a deposit it is possible that the fungicide is concentrated into certain regions. This means that losing significant fluorescent coverage is not a direct indication of significant azoxystrobin loss. In lab-scale analysis of azoxystrobin coverage, chitosan was significantly better at retaining coverage than Bond or PVA. Although in the spot and wash analysis it was shown the Bond and chitosan performed similarly well – the fact that chitosan was able to achieve this result with a larger area of coverage may be a useful property. It could be advantageous for an agrochemical adjuvant to have increased coverage as well as rainfastness. Additionally, chitosan and PVA are considered biodegradable and non-toxic substances – advantages over some conventional compounds which may be harmful when used in high doses.32

4 Conclusions

Via fluorescently labeling and microscopy, chitosan and PVA were proven to resist wash-off by rain on Vicia faba leaf surfaces in lab-scale and raintower tests. Formulations of the unlabeled polymer and a fluorescently active fungicide were also tested via the same methods to prove that the polymers could improve retention of a co-formulant. An optimized azoxystrobin control lost coverage after rain washing more easily than azoxystrobin formulated with chitosan or high molecular weight PVA (92 kDa). Finally, it was shown quantitatively that retention of a model agrochemical was improved when formulated with these polymers via a ‘spot and wash’ method. For chitosan, almost 100% retention of azoxystrobin on Vicia faba leaf surfaces was achieved which was comparable to a commercially available adjuvant. For the future, the method of testing a potential adjuvant with azoxystrobin, or a similarly fluorescently active ingredient, and measuring loss of coverage via fluorescent microscopy shows promise as a high throughput method for measuring these treatments on a variety of different surfaces. After promising adjuvants are identified the testing should move onto the more rigorous ‘spot and wash’ method.
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