

Neo-advocacy for Neo-liberal Times: Planning Aid and the Advocacy Project in England

Article

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Abstract:	The paper reviews the literature on advocacy planning and interweaves empirical evidence drawn from participants in Planning Aid England to reconsider its basis and effectiveness. Forms of 'neo-advocacy' planning are deemed necessary given the continuing under-representation of lower income groups and other minority groups in planning and in an era of neo-liberal policy. The attention of policymakers and the planning profession more widely should consider how neo-advocacy functions are sustained. It is concluded that the aims of Planning Aid are so important to the legitimacy and effectiveness of Planning that it needs to be placed on a more secure footing.

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Neo-advocacy for Neo-liberal Times: Planning Aid and the Advocacy Project in England.

Introduction

Efforts to pluralise planning practice, to redress issues of exclusion and widen access to planning have involved a long-running search for ways to ‘emancipate communities’ (MacDonald, 2014; Matthews, 2013). Progress in extending participation in planning to those ends has been limited and while there are many examples of ideas and tools in circulation geared to enable participation (e.g. Brownill and Parker, 2010; Pemberton *et al*, 2015), there are numerous critiques of initiatives aimed at extending participation (e.g. Eversole, 2012; Sager, 2011; MirafTAB, 2009; Bengs, 2005; Krumholz, 1994). Over fifty years ago advocacy planning was conceived as a means to represent and support sections of the population who were less able to participate effectively. In England a means to implement the ideas of advocacy planning proponents (e.g. Davidoff, 1965) was reflected in the creation of Planning Aid. This paper discusses the role, need and significance of advocacy planning in current times and reflects on the experience of Planning Aid England.

The paper recounts the findings of research involving a literature review, semi-structured interviews with Planning Aid England (PAE) staff and volunteers operating in one English region. All participants were anonymised as per the ethical undertakings provided at the time of data collection. The experiences of Planning Aid highlight how advocacy has been translated and manipulated, to reflect aspects of numerous planning theories. We do not seek to extend theoretical understandings of agonism (see Mouffe 1999; 2005; 2007; Pløger, 2004; Hillier, 2002; Gualini, 2015), nor the collaborative strand of theory (e.g. Forester, 1994; Healey, 2003; Huxley and Yiftachel, 2000; Benner and Pastor, 2015) here but it is useful to acknowledge these as relevant normative ideals and explanatory tools.

Advocacy Planning - then and now

Political theory since the 1970s has recognised calls to widen and deepen participation in local governance and provoked a concern with the redesign of *institutions* to enable this aim (Healey, 2003; Cleaver, 1999; Cleaver et al, 2001). Recently debates over the ‘just city’ and concerns over spatial justice (Soja, 2010; Fainstein, 2010; Benner and Pastor, 2015; Taylor and Edwards, 2016) have continued this trope. A major challenge appears to be how advocacy planning initiatives can play a role in operating between powerful interests and an empowered plural community. Studies that have looked carefully at the implementation of

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3 advocacy planning theory and practice have pointed to its limitations and the deep-rooted
4 difficulties in solving the challenges that it has sought to address (Friedmann, 1987; Forester,
5 1994; Peattie, 1978; 1994; Neuman, 2000; Allmendinger, 2004; Gualini, 2015). Related
6 discussions of dialogical and collaborative planning have led to calls for a more critical
7 analysis of outcomes and to develop 'post-collaborative' participation - a strand which
8 highlights the challenges involved, and the range of contexts and conditions that are
9 producing and shaping participatory episodes (Brownill and Parker, 2010; Brownill, 2009).
10 This potentially involves more agonistic approaches (Pløger, 2004; Hillier, 2002), while
11 recognising that ultimately means of reconciliation are also required. As Benner and Pastor
12 (2015) note, the apparently competing models of collaborative and advocacy planning may
13 be applied and combined creatively both to develop knowledge and understanding and to
14 hold powerful interests to account. Having said that *how* to actualise this remains unresolved.

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25 The uneasy and limited acceptance of participatory engagement and the theoretical bases that
26 promote participation efforts among public authorities or private developers can easily result
27 in both *fragile* and *precarious* support for such activities and the intermediaries involved;
28 given that they remain under-resourced and marginalised. Participatory spaces are often
29 diluted or co-opted to serve the interests of the powerful, or designed to minimise perceived
30 'obstruction' to development and typically involve consultation exercises, and superficial
31 adjustments to policy which can amount to a 'new tyranny' (Cleaver et al, 2001; Taylor,
32 2007) or reflect a 'symbolic inclusion' (Porter and Craig; 2004; Sager, 2011).

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40 The current operating environment of planning practice in England has also been subject to a
41 well-developed critique of the impacts of neo-liberal planning forms (Sager, 2011; 2009;
42 Davoudi and Madanipour, 2013) and concerns over a depoliticisation effect have been
43 rehearsed (Ghose, 2005; Brenner et al, 2010; Hall, 2011; Allmendinger and Haughton, 2012)
44 whence those with power and resource maintain a critical degree of control (Newman, 2014;
45 Neilson and Rossiter, 2008). In short the planning system, and present planning structures in
46 England at least, appear to do little to rebalance access to knowledge and support towards
47 those that need it most, despite rhetorical claims from UK governments that may indicate
48 otherwise:
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55 *'many people may feel excluded in such a system because the process appears*
56 *bureaucratic and forbidding, and because it seems too difficult and expensive to*
57 *obtain legal information or advice. By simplifying processes, with clear opportunities*
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3 *for community involvement, we create [sic] a more effective, efficient and user-*
4 *friendly service' (ODPM, 2004: p9).*
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7 This was taken from the high point of the New Labour efforts to induce particular forms of
8 participation. Subsequently a form of 'localism' has been packaged and presented since 2010
9 in England, as 'empowering' but many regard this and the main vehicle, neighbourhood
10 planning, as another constituent element of the neo-liberal tools and features being created to
11 choreograph planners and the public (Sager, 2011; Clarke and Cochrane, 2013; Corbett and
12 Walker, 2013; Newman, 2014; Baines et al., 2014). Wider factors, including streamlining of
13 the planning system, austerity cuts which reduce public sector capacity, privatisation and a
14 variety of performance management measures are implicated and deployed as part of a longer
15 trend in reorienting planning (see Sager, 2011).
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23 The recent iterations require self-help in planning activity but within a circumscribed space
24 which avoids agonistic challenge (Parker et al, 2015; Bradley, 2015). This approach is
25 justified by neo-liberal communitarians on the basis that agents can operate more effectively
26 as rational actors in a market. This also bears certain assumptions about the willingness and
27 capacity of individuals to participate (Conservative Party, 2010; Davoudi and Madanipour,
28 2013). As such the environment of increasingly neo-liberalised planning can marginalise
29 minorities, or those lacking voice. While the aim to reverse or rebalance priorities for
30 planning practice has underpinned the advocacy model promulgated by Davidoff (1965) and
31 others (see, for example; Mazziotti, 1974; Sandercock, 1998), widespread advocacy
32 and effective support is so far largely unattained, despite the best efforts of largely voluntary
33 NGOs such as Planning Aid England, Planning Aid London, Planning Aid Scotland and
34 Planning Aid Wales which remain constrained by resources, support and reach. Indeed more
35 recent trends appear to make this prospect seem more distant.
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46 Arguments to justify advocacy planning or 'equity planning' (Forester, 1994; Krumholz and
47 Forester, 1990; Hoch, 1994), has received some renewed energy in an era of neo-liberalised
48 planning and this is discernible in recent discussions over forms of 'insurgent' planning
49 (Holston, 1998; Miraftab, 2009; Friedmann, 2011; Gualini, 2015). The advocacy planning
50 movement was initially prompted by a concern with 'unjustifiable' inequitable outcomes
51 associated with rational planning (Altshuler, 1965; Gans, 1982; Hoch, 1994) and where
52 policy selection was seen to be embedded in the political process (Krumholz, 1994: p150)
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3 and which therefore needed to be opened up and ‘recentred’. It was Paul Davidoff (1965)
4 who reflected widespread concerns about inequalities of access to decision-making processes
5 and the seemingly unjust outcomes wrought by urban renewal schemes in the 1950s and
6 1960s in his call for advocacy. Altshuler (1965) also argued that the plans made by
7 professional planners were themselves selectively adopted by other powerful agents ‘*when*
8 *the powerful use these plans, it is often to achieve the insidious goal of justifying private and*
9 *political interests as public goods*’ (Hoch, 1994: p274). This led to consideration of how to
10 deal with the reality that planning policy and outcomes were as much reflections of political
11 and economic power as technical, rational expressions of the public interest (Benner and
12 Pastor, 2015). The solution was to reveal this and challenge the powerful regarding their
13 assumptions and claims to representativeness. Davidoff’s (1965) perspective still resonates
14 today:
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23 *‘The recommendation that city planners represent and plead the plans of many*
24 *interest groups is founded upon the need to establish an effective urban democracy,*
25 *one in which citizens may be able to play an active role in the process of deciding*
26 *public policy. Appropriate policy in democracy is determined through a process of*
27 *political debate. The right course of action is always a matter of choice, never of fact.*
28 *In a bureaucratic age great care must be taken that choices remain in the area of*
29 *public view.’* (Davidoff, 1965: p424).
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32 Articulate and powerful groups have the resources and ability to mobilise relevant skills and
33 influence to shape city plans to serve their own interests. Davidoff and others, such as
34 Mazziotti (1974), argued that many do not have such capacities, or at least that such
35 capacities or needs cannot be brought to the table without support or direct advocacy.
36 Advocacy theory called on professional planners to act to champion the interests of those
37 who were marginalised and Peattie (1978: p88) identified three forms of advocacy planning
38 that had emerged in the US; the first being the *classic* advocacy form where ‘desirable
39 processes of change are arrived at by a more inclusively pluralistic political process’. The
40 second is an *activist* strand which, while viewing modifications of policy and outcome
41 deriving from the classic approach as desirable, regards the ‘true’ aim of advocacy to be the
42 raising of ‘radical consciousness and organisational competency’ - often labelled as capacity-
43 building. The third is a *radical* iteration whereupon ‘radical political change in the base of
44 society is necessary’ and where, so the argument runs, advocacy can assist in that. Such
45 distinctions and overlaps were also recognised by Sandercock (1998) when reflecting on the
46 variety of styles of planning that emerged after the 1960s in recognition of a need to embrace
47 the challenge of more inclusive empowerment in planning praxis.
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5 Critiques of subsequent theorisation of collaborative planning forms, and potentially some of
6 the classic advocacy, see a danger in such models bargaining away or concealing different
7 needs or preferences (Gunder, 2010; Agger and Løfgren, 2008; Neuman, 2000). Professional
8 planners were in part *'needed to educate the community, to communicate and to translate the*
9 *increasingly technical language of professional planning'* (Heskin, 1980: p57). Lane (2005:
10 p293) highlights that advocacy planning, as theory at least, also looked to 'unsettle' the
11 planning system, with the following aims:

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17 *'to ensure that unheard or invisible interests were articulated and, as far as possible,*
18 *accommodated in decision-making. Implicit in the approach is the rejection of the*
19 *notion of a unitary public interest. Beginning with the assumption of political*
20 *plurality, advocacy planners are essentially facilitators whose central task is to either*
21 *catalyse the participation of inarticulate actors or, alternatively, advocate their*
22 *interests directly'.*
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26 The type of urban democracy envisaged by Davidoff has had influence on the consideration
27 of dialogics and agonism featured in the work of theorists such as Chantal Mouffe (e.g. 1999;
28 2005; 2007) and are based on the assumption of a more developed pluralist participatory
29 democracy which implies a more partisan role for planners. Yet the effectiveness of the forms
30 and episodes of advocacy planning practiced since the 1970s (e.g. Mouffe, 2005; Bailey,
31 2010; Haughton and Allmendinger, 2013; Checkoway, 1994; Forester, 1994; Peattie, 1968;
32 1978; 1994) remains questionable and issues remain regarding agonistic pluralism (see
33 Gualini, 2015; Hillier, 2002) and where and how to deploy relevant models or combinations
34 of apparently conflicting planning theory in suitable post-collaborative formulations (Benner
35 and Pastor, 2015).
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44 Friedmann (1987) identified that some advocacy planning activity could be seen as forming
45 'guidance' as opposed to more radical 'transformative' planning activity. For the latter to
46 occur he argued that a more fundamental shift in the relationship between planners and
47 'clients' needed to take place - where the client becomes an active partner in planning
48 (Friedmann, 1973: p172). He also noted the difficulties of reconciling advocacy in action
49 with notions of the public interest which is 'constructed through political debate and even
50 conflict' and this remains 'the master of social processes and the final goal of planning in the
51 public domain' (Friedmann, 1987: p441). The distinction between guiding and transforming
52 activity forms a useful heuristic when we consider the Peattie (1978) categories and the key
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3 issues that have followed in advocacy generally and given the influence of collaborative
4 planning theory over the past two decades.
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7 In the UK numerous efforts to respond to claims of elitism, anti-democratic behaviour and
8 spatial injustice were triggered in the 1960s and this produced a legacy of planning practice
9 critique which still echoes loudly today. A more inclusive and open type of planning was
10 increasingly viewed as a political necessity, and was reflected, if weakly, in accommodations
11 for participation opportunities in the 1970s (see, for example; Parker and Doak, 2005;
12 Brownill and Carpenter, 2007b; Monno and Khakee, 2012). In parallel ideas about how an
13 independent organisation, beyond governmental interest or control, such as Planning Aid,
14 could act as an advocate for those otherwise unable to access the necessary specialist skills,
15 knowledges and resources needed to engage in planning issues effectively. As discussed
16 below the tensions apparent in advocacy and the Planning Aid experience thus far centres on
17 five overlapping issues:
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- 25 i. difficulty of reaching and selecting client groups or individuals for support;
- 26 ii. the danger of limited or qualified/conditional support;
- 27 iii. the possibility of limited horizons being offered up by advocates (i.e. the ‘classic’
28 variant of advocacy);
- 29 iv. a lack of organisation, capacity building effort and infrastructure to create self-
30 sustaining activist communities; and
- 31 v. a lack of resources to challenge elite or dominant interests effectively.
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38 Advocacy approaches include addressing the *immediate* needs of those who are excluded and
39 who may suffer spatial and environmental injustices. The representation and defence of the
40 interests of those who are under-represented and a desire to capacity-build through education
41 and other means of support are together a central part of what we term a ‘neo-advocacy’
42 approach that responds to need for locally and temporally appropriate hybrid responses and
43 which retain a critical degree of independence from centres of power. This term reflects a
44 fluid hybrid of equity planning, transactive planning and the three advocacy forms; the
45 hypothesis being that such an approach can result in a nurturing of voice, capacity and
46 challenge. The neologism also reflects a recognition that support for a renewed advocacy is
47 needed just as much now, if not more, than in the conditions of the 1960s. As part of the
48 argument for such a model, the paper contributes to the debate about where Planning Aid
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3 does, could or should feature in the landscape of such a neo-advocacy planning offer by
4 reflecting on current conditions and past experience.
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8 The literature discussing advocacy, 'equity' and empowerment planning models (Krumholz
9 and Forester, 1990; Krumholz, 1982; Peattie, 1978) has been largely hortatory and belies
10 much of what we know about the difficulties in realising progressive planning forms and
11 outcomes (see Matthews, 2013; Rydin, 2013). The focus has rested on the theory, design,
12 technique and process (Sandercock, 1998), rather than the *conditions* necessary for success.
13 Our view is that if (neo)advocacy planning forms are to be embraced the latter needs to be
14 recognised.
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20 21 **Planning Aid and Advocacy in England**

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23 Planning Aid in England was championed by professional planners in England (see RTPI,
24 2013; Amos, 1971; Curtis and Edwards, 1980) and early Planning Aid groups in England
25 operated with self-determined agendas prompted by advocacy theory and as circumstances
26 and resources dictated. A feature of the Planning Aid project is that staff and volunteers have
27 sought to provide assistance freely to those without the means to marshal their own interest
28 effectively i.e. those who are inhibited from engaging in planning, and attempting to assert a
29 new advocacy role for planners. The proponents of Planning Aid in England in the early
30 1970s set themselves a lofty set of transformative aims; ostensibly to empower those who
31 lacked the means to participate effectively in shaping their own environment and to contest
32 rational top down planning processes. Curtis and Edwards (1980:p3) highlight that in the first
33 decade of Planning Aid there was no general understanding of what it involved except that it
34 was '*concerned with enabling the public to have greater influence over planning decisions*'.
35 Subsequently the stated aims of Planning Aid England (PAE) have been expressed as
36 follows: '*Planning Aid England offers planning advice and support to individuals and*
37 *communities. We believe everyone should have the opportunity to get involved in planning*
38 *their local area and provide people with the knowledge and tools to achieve this*' (Planning
39 Aid England/RTPI, 2015: no pagination).
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53 We discuss how the aims of Planning Aid and its early exponents are still relevant but a
54 consistent theme throughout the history of Planning Aid relates to the unease with which the
55 planning polity has viewed advocacy and indeed any spaces which encourage challenge or
56 agonistic exchange. Indeed any institutional arrangement which may destabilise an urban
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3 politics is likely to be regarded with suspicion, particularly where time and other resources
4 are claimed to be scarce on a practical level, and which also reflects how urban planning
5 remains 'a crucial site of political struggle' (McCann, 2001: p207). The political and
6 institutional context in which Planning Aid has operated highlights the practical but
7 fundamental issues that have dogged 'classic' and 'activist' advocacy in the UK given the
8 way that the role and purpose of planning has been reshaped and given that 'other better-
9 endowed groups are already busy with advocates of their own' (Friedmann, 1987: p300), in
10 reference to private sector agents lobbying for those who can afford their services.
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18 A segment of planning professionals, concerned with the inequitable outcomes with which
19 they felt complicit, wanted to support, inform and empower those disenfranchised by the
20 operation of the system in England. This provoked a response from the profession and as
21 early as 1971 the then Royal Town Planning Institute president Jim Amos formally called for
22 the establishment of a 'planning aid' service in England (Amos, 1971). Not long afterwards it
23 was the Town and Country Planning Association (TCPA) who established the beginnings of
24 a service in 1973 based in London (RTPI, 2013; Hardy, 1991). This was set up on an
25 'experimental basis...to make available a free independent source of planning advice' (Curtis
26 and Edwards, 1980: pv-vi). They received some modest funding from central government,
27 ostensibly to redress the imbalance of access to planning processes.
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36 While the early years of Planning Aid saw most activity in London and the South-East of
37 England, various English regions and Wales (1978) and Scotland (1993) subsequently
38 established separate Planning Aid services, with London retaining a service apart from the
39 latterly RTPI-led Planning Aid England (see Evans and Gardiner, 1985). Northern Ireland
40 also operated a service between 2000-2004 and the recent reorganisation of local government
41 and the planning system there acted as a prompt for calls to re-establish a similar service once
42 again (see Peel, 2013). A series of sympathetic critiques of Planning Aid expressed as early
43 as 1980 (Curtis and Edwards, 1980); Bidwell and Edgar (1982) and Evans and Gardiner
44 (1985); Thomas (1992) and Allmendinger (2004) highlight obstacles to the expansion or
45 consolidation of Planning Aid. Indeed Curtis and Edwards (1980: pvi) state that the early
46 service had found it challenging to reach its intended beneficiaries: '*the users of the service*
47 *have not been those for whom it was designed, and whom, we agree, should receive the*
48 *greatest priority*'. Other published work on Planning Aid covers overviews of operational
49 practices across the UK (Mordey, 1987; see also Pemberton et al, 2015); analysis from the
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3 perspective of volunteers and their rationales (Thomas, 1992) and the actual impact of
4 Planning Aid on communities, the possible masking of deeper faults in the planning system
5 (Allmendinger, 2004; 2002) and discussion of specific case studies (Hardy, 1991). More
6 recently research explored how Planning Aid functioned, and who it was reaching during the
7 first terms of the New Labour era (1997-2005) (see Brownill and Carpenter, 2006; 2007a,b).
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12 The history of Planning Aid has seen different types of support activity offered and the
13 longevity of Planning Aid has meant that the name has become recognised as a feature of
14 planning practice in the UK. A wider advocacy planning offer has not been stabilised or
15 embedded as a necessary part of a progressive planning system though and it is clearly not
16 sufficient to rely on uncoordinated and unsupported local action groups to maintain their own
17 responses alone. Campaigning groups such as *Just Space* in London (see Taylor and
18 Edwards, 2016) exist and their modality may bear some resemblance to activist advocacy
19 forms, but these seem exceptional. As such they may be regarded as laudable but are unlikely
20 to 'move the centre' of planning on their own (Krumholz, 1994).
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30 Prior accounts of Planning Aid by Bidwell and Edgar (1982), refined by Thomas (1992) and
31 also expressed by Peel (2013) also identified several roles for Planning Aid which map in
32 some measure across with Peattie's typology, these were: to provide *advice*, fulfil a
33 responsibility as public *educators*, act as direct *advocates* and to perform a more fundamental
34 *community development* role. It may be argued that the latter two are the most important
35 approaches for transformative effect but have actually tended to form the minority part of
36 Planning Aid England activity over time and it is advice and education that has been more
37 dominant (Curtis and Edwards, 1980: p3; Thomas, 1992; Brownill and Carpenter, 2006).
38 Throughout the history funding has been an overriding issue and has frustrated and oriented
39 activity.
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48 By the late 1970s, Planning Aid in England through the TCPA unit, and nascent groups
49 across England, had become agents for advocacy in one-off planning disputes, as well as
50 offering an education service with volunteers working directly with community groups and
51 individuals. This activity was supported by a small staff team and by 1979 central
52 government were taking an active interest in how such services might be supported and
53 extended; having provided a small grant to pilot the service in the mid-1970s. The model
54 appeared to hold potential to provoke a wider participation in local planning but there were
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3 recognised challenges as highlighted above (Curtis and Edwards, 1980). While some
4 individual cases that Planning Aid volunteers and staff have pursued are notable, for example
5 the case of the Divis Flats in Belfast and Tolmers Square, London (see Hardy, 1991), these
6 appear to be somewhat exceptional as activist examples.
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11 Soon after such incidences the TCPA planning aid unit was effectively dismantled by the
12 withdrawal of government funding in the mid-1980s (Hardy, 1991). Despite such setbacks
13 Planning Aid has expanded and diversified in the following decades to form the mainstay of
14 the advocacy offer, and the response of the profession in the UK. Although it should be noted
15 that individuals and local action groups have also attempted to challenge the planning system
16 by applying advocacy theory in an *ad hoc* way (see Hardy, 1991; Friedmann, 2011). The
17 uneven and often conditional support and funding for Planning Aid has influenced a range of
18 cultures and operating conditions for the various Planning Aid organisations across the UK.
19 They have developed slightly different structures, staff/volunteer mixes have also shaped
20 their operation and focus over time.
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30 While the skills and experience of volunteers and staff have been honed, and few would
31 claim that Planning Aid *cannot* deliver positive outcomes in advocacy activity in principle, it
32 is arguable whether the service in England has actually been able to achieve much in this
33 regard. Given the close relationship between central government funding, when governmental
34 goals were deemed congruent with Planning Aid skills and general outlook, PAE has sought
35 to mutually align with government objectives as discussed below. This has made it more
36 challenging still to deploy advocacy any of the three forms featured in the Peattie (1968;
37 1978) typology, as explained below and has left a rather limited legacy.
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46 *Planning Aid England and New Labour*

47 The New Labour governments (1997-2010) claimed to recognise deficiencies in previous
48 attempts to involve the public in planning. In reforming the planning system in their second
49 and third terms in power (2001-2010), they sought to widen and organise participation
50 (Parker and Doak, 2005). The 2004 Planning and Compulsory Purchase Act featured the
51 'frontloading' of community involvement in plan-making (ODPM, 2004: p10). Central
52 government saw Planning Aid as a potential partner in helping to realise their intent and
53 made explicit mention of the service. Moreover the 2004 prospectus on community
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3 involvement also aimed to address the engagement of previously excluded groups. As a
4 result, Planning Aid England was funded by central government between 2005-2010 to
5 deliver a programme of outreach and education this enabled the organisation to expand their
6 activity and staffing levels.
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11 The partnering arrangement between the New Labour governments and Planning Aid
12 England came closest to institutionalising Planning Aid and allowed for some advocacy (via
13 casework). Yet much of the activity encouraged by government fell into the 'advice' and
14 'education' categories, involving staff and volunteers promoting planning, informing people
15 about the system and how they could be involved in it. There was perhaps less emphasis, by
16 central government, on establishing *whom* should be the recipients of Planning Aid's support.
17 This period saw the use of means such as roadshows, events and school visits to engage
18 communities in planning facilitating and led by a large cadre of staff (around 60 people were
19 employed by Planning Aid England at its peak). Another significant change was the
20 development of a large cadre of volunteers during the 2000s; by 2012 the number of
21 registered volunteer planners associated to Planning Aid England stood at around 900.
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31 Difficulties in reaching target groups and mobilising and sustaining activity has been a long-
32 term issue however, and Brownill and Carpenter (2006; 2007a) claimed this was the case
33 even when levels of funding for Planning Aid was significant and the service extended across
34 the whole of England. They also highlighted how the stability of Planning Aid in England
35 had been reliant on grants and project funding.
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40 A concern for many staff and volunteers was policy and operational 'drift' from Planning
41 Aid's advocacy planning roots. Planning Aid volunteers and staff identified in interview that
42 it was reaching *deprived communities* with their knowledge that was particularly motivating
43 for them:
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47 *'helping disadvantaged communities is a key theme in engaging volunteers, when*
48 *you talk to people [volunteers] they say actually that's why they come into the game*
49 *in the first place; because they want to make a difference'* (PAE volunteer, s04)
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51 *'I think the purpose of Planning Aid is really to support those that can't get support*
52 *elsewhere...it did drift, certainly when I was involved, into helping groups that*
53 *could afford to help themselves. If you think about the roots of Planning Aid...the*
54 *Advocacy Planning, that's a very important [thing]'* (PAE Volunteer, s05)
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56 The volunteer role has often been to work with individuals or groups on a *pro bono* basis;
57 typically on objections to planning applications or between 2010-2011 to support
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3 neighbourhood planning groups (see Parker and Salter, 2016). While the staff role in
4 supporting and maintaining the volunteer force is a critical one, Planning Aid staff
5 interviewed stressed that many of the volunteers did not regularly involve themselves in case
6 work. Internal work to understand the preferences of volunteers conducted in 2013 showed
7 that some of the more challenging aspects of PAE's scope was seen as daunting for many
8 volunteers (Staff Interview s02) and this sets up a question of whether volunteers alone can
9 realistically be expected to pursue cases that may be lengthy and conflictual - not unless
10 there is substantial support.

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13 Writing just after the funding agreement between Planning Aid England and the Labour
14 government had been concluded in 2003, Allmendinger (2004: p270) claimed that: *'what*
15 *Planning Aid does is postpone crises in and challenges to...planning...by helping assure*
16 *those dissatisfied or excluded from the system that they eventually had a 'voice' or a 'fair*
17 *say'*. He was voicing doubt about how the rather limited service available could actually
18 reform planning process and outcome in the spirit of the advocacy planning movement. This
19 concern recurred in our research when discussing Planning Aid with volunteers and staff in
20 relation to the West Midlands. Moreover the assumption made by Allmendinger (2004), that
21 Planning Aid was actually performing an 'assurance' role, or more meaningfully supporting
22 those dissatisfied or excluded, is not actually sustained by the evidence - even if
23 the theoretical assertion may have carried weight *if* such activity had been delivered.

34 35 36 37 38 *Planning Aid in the West Midlands and Planning Aid England 2010-2016*

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40 Planning Aid was mooted as early as 1976 in the West Midlands, with a service being
41 formally offered by 1978 (RTPI, 2013; Curtis and Edwards, 1980: p54) and there has been a
42 volunteer group delivering support to communities with planning issues across the region
43 ever since - although the administrative arrangements have changed over time. The West
44 Midlands region is broadly representative of England as whole with around 10% of the
45 population of England located there and spread across a diverse array of 30 local authorities,
46 although 25% (1.3 million) of the region's population were in households with incomes
47 below the poverty threshold; one of the highest percentages of all English regions (ONS,
48 2011).

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50 At its high point during the New Labour period the West Midlands had a dedicated team of
51 Planning Aid staff. By 2011 the support for wider education and advice activity under New
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3 Labour had been cut. This resulted in an organisational shake-up and PAE staffing in the
4 West Midlands region was reduced to one person, plus a basic centralised support service
5 providing telephone advice from London. The minimal level of core funding made available
6 from the RTPI (around £140,000 per annum in 2013) meant that PAE could not realistically
7 deliver the kind of outcomes hoped for in the past and given there was little resource
8 available for support, training, orchestration and direction this also affected the way that
9 volunteers could be mobilised. The feeling of those staff interviewed was that priority groups
10 were being neglected and that neighbourhood planning had largely supplanted other activity
11 by 2012.
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14 One PAE staff interviewee acknowledged that the lack of Planning Aid staff meant that
15 regional knowledge and expertise was also lost and opportunities for Planning Aid to perform
16 useful work in the regions was not being identified or pursued. Overall PAE operated its
17 service on a reactive model; responding to the requests of those who approached the service
18 and then largely to give advice, or in the latter period to support neighbourhood planning.
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22 *'while PAE has wanted to support those in most need during my time working here,*
23 *other activity has taken precedence, largely because of funding arrangements and*
24 *prevailing conditions. It has meant that the advice and casework service that*
25 *remained in the period 2011-2015 was reactive and many of those making use of it*
26 *probably could have sought advice or support elsewhere – either from the local*
27 *planning authority or from a consultant' (PAE Staff member, s02).*
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31 Work with the most deprived or other minority groups in society has proved challenging and
32 there is little evidence about how the activity of PAE has addressed this in the past 20 years
33 or so. In the West Midlands there were five case work instances taken up in 2014-15 and
34 findings reported in 2006 also suggested that Planning Aid England had been responding
35 largely to people who already had some knowledge of the planning system and only a
36 relatively few of those were from disadvantaged groups:
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40 *'even with the sustained efforts the organisation's community planners are putting in*
41 *to increase participation, barriers still exist... only a small percentage of community*
42 *groups worked with were from black and ethnic minority groups. Similarly a large*
43 *number of telephone callers to the Planning Aid information lines did not meet*
44 *Planning Aid's criteria for assistance, which exclude those who can afford to pay for*
45 *professional support' (Brownill and Carpenter, 2007b: p630).*
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49 Thus if these challenges were apparent then, the scope to address this was much reduced by
50 2011. The then new Coalition government had contracted PAE - after a competitive bidding
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3 process - to support neighbourhood plans, in the period 2011-2015, which were to be led by
4 communities themselves and produced on the pre-condition that they accepted some growth
5 (see Parker et al, 2015). Those active in the West Midlands felt a tension between the
6 instrumental 'reality' of needing to find funds, set against the aims and integrity of the
7 organisation which had interested them when they first became involved:
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12 *'I think that over the last few years, maybe the last 5 to 10 years Planning Aid has got*
13 *lost. I think it has lost its mission and I think that hasn't helped matters. I think there*
14 *is huge confusion about the role of volunteers.... Until we get a clear vision as to what*
15 *we do, only then we can start talking about what volunteers do to help deliver that.*
16 *For various understandable reasons there has been a bit of mission drift'* (former
17 PAE Staff member, s03).
18

19 The situation in the West Midlands reflects change across England where funding for
20 Planning Aid England has been variously reduced, removed or shifted over time. Much of the
21 concerns expressed by interviewees about the current and future orientation of Planning Aid
22 in England related to the emphasis on neighbourhood planning. By 2015 Planning Aid
23 England had supported 274 neighbourhood planning groups, with 40 of those located in the
24 West Midlands. This soaked up considerable volunteer time working alongside the small
25 number of paid staff involved in supporting those neighbourhoods. It emerged in focus group
26 discussions with Planning Aid volunteers and staff that this was not necessarily seen as true
27 to the historic mission. This was made more obvious given that so many early neighbourhood
28 plans had been initiated by more affluent communities (see Gunn et al, 2015; Parker and
29 Salter, 2016):
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38 *'I think the key thing is that Planning Aid needs to get back to dealing with people*
39 *where there is a need...rather than focussing on areas like neighbourhood planning*
40 *where there is money around...there is a need to get back to a variety of activities that*
41 *focus on engaging people (in need) in all aspects of planning...* (PAE volunteer, s05).
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45 The challenge of returning to what several volunteers identified as Planning Aid's 'core
46 mission' was rendered difficult largely due to funding. In interview a senior employee of
47 Planning Aid England reflected that:
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51 *'the work of PAE in the period 2011 to 2015 rested predominately on neighbourhood*
52 *planning, because this was where the funding was. We could only maintain a very*
53 *limited operation beyond this due to the resources and capacity available. There was*
54 *little space to challenge developers or local authorities on their actions or to look for*
55 *cases or issues to pursue proactively'* (PAE Staff member, s01).
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57 *'...the challenges were really twofold. The first was the demands of neighbourhood*
58 *planning which took up almost all of the staff time and attention between 2011-2015.*
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3 *This meant that most volunteer activity reflected that priority. Some volunteers were*
4 *not too happy about this and wanted to see a rebalancing [of activity] to assist those*
5 *who needed planning support most. This brings into view the second challenge;*
6 *relating to funding and a nervousness on the part of local authorities*
7 *and consultancies about the historic aims of Planning Aid - as well as issues of*
8 *conflict of interest if they supported the organisation financially, or for some even to*
9 *volunteer for Planning Aid' (PAE staff member, s01).*
10

11 Securing stable and adequate funding is a fundamental issue for any support organisation; let
12 alone one that overtly aspires to enable advocacy planning. Finding appropriate and stable
13 funding for Planning Aid has been a consistent issue across past reviews of Planning Aid, as
14 underscored by Peel (2013: p2):
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18 *'it is important not to underestimate the costs involved in managing and sustaining a*
19 *volunteer force. There is a need, for example, to recruit, coordinate, and support*
20 *volunteers; and generally to promote and manage such a service in a professional*
21 *way. As an RTPI-endorsed activity, the delivery of Planning Aid is reflective of the*
22 *standards of the profession. The quality of the service – even if it is provided on a*
23 *voluntary basis – is critical to the wider standing of the statutory land use planning*
24 *system and how effectively, efficiently, and equitably it is perceived to operate' .*
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28 Funding is a recognised issue in the wider not-for-profit sector, where 'mission' is often seen
29 to be in tension with organisational effectiveness and trade-offs between mission and
30 organisational survival are common (Frumkin and Andre-Clark, 2000). This has rarely been
31 given much attention in advocacy planning Corey's (1972) study of advocacy planning is an
32 exception. Funding conditions attached and operating constraints associated with available
33 funding are critical to the way organisations such as Planning Aid flourishes or orients itself.
34 Indeed the overwhelming majority of the funding received by PAE since 2003 was shaped by
35 governmental policy agendas, whether in the form of grant or project funds.
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44 **Conclusion: embedding neo-advocacy in planning systems**

45 Successive reflections on Planning Aid performance highlight that the types of activity
46 undertaken have been shaped by numerous constraints and obstacles; related to priorities of
47 local and national politics, as well as the design and operation of the planning system overall
48 and attendant funding constraints. Arguments in support of such activity and for Planning
49 Aid in principle have not receded, but it has nevertheless been without the wherewithal to
50 provide a more pervasive system of support. It is recognised how challenging it can be to
51 enable and sustain inclusive participation in such environments (Eversole, 2012; Botes and
52 Van Rensburg, 2000) and our exploration of Planning Aid's record raises serious doubts
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3 about both the reach and extent of work effected and the longer term influence on the
4 communities supported. This corresponds with the mainstay of the critique levelled by
5 Allmendinger (2004).
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9 Indeed the account of PAE presented here can be viewed alongside an emerging narrative of
10 how planning is being reshaped as a result of government spending cuts legitimated under the
11 umbrella of austerity and reoriented through a growing reliance on privatised provision and
12 other neo-liberal mechanisms. This set of structural issues is supplemented by substantive
13 issues such as the chronic need for affordable housing (in the context of a housing crisis), the
14 persistent gulf between incomes and quality of life, and the all too frequent poor-quality of
15 new development (House of Lords, 2016), which together lend support to the argument that
16 advocacy is needed more than ever by communities. While Planning Aid has lacked a clear
17 framework for progressive action it appears it has never received unequivocal support from
18 the state, or consistent support from within the planning profession either. On the basis of this
19 experience, we argue that rather than abandoning advocacy the reverse is required and an
20 'arms-length' agency independent from local and central government to support and enable
21 classic / activist advocacy i.e. which keeps challenge, capacity-building and advice core to its
22 mission. It could be said this represents a 'neo-advocacy' for neo-liberal times and Bailey
23 (2010: p319) developed a not dissimilar view: *'the traditional view is that community
24 involvement can be added onto existing decision-making and service delivery bodies but
25 increasingly it is being argued that these agencies need to be completely recast in order to
26 give primacy to service users'*.
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39 This approach may have some prospect of addressing the five barriers and limitations to
40 deploying advocacy via Planning Aid:
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- 42 i. *difficulty of reaching and selecting client groups or individuals for support* -
43 this relates to confidence, resourcing as well as training and understanding
44 within the cadre of advocates to ensure that identification and liaison is
45 pursued;
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- 48 ii. *the danger of limited or qualified/conditional support* - this is affected crucially
49 by the question of independence and closeness to government in particular, and
50 adds to the case for a separate adequately resourced and well managed body;
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- 52 iii. *the possibility of limited horizons being offered up by advocates (i.e. the*
53 *'classic' variant of advocacy)* – again a question of training, inculcation of a
54 neo-advocacy 'toolkit' and good management, as well as the points already
55 made about (in)adequate funding;
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3 iv. *a lack of capacity building effort and infrastructure to create self-sustaining*
4 *activist communities* - also perpetuated due to conditions of engagement being
5 partly imposed by funders, as well as inadequate thought given to how to
6 support and mobilise planning advocacy volunteers. This may also be
7 alleviated by appropriate funding and continuity;
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10 v. *overall a lack of power and resource to challenge elite or dominant interests*
11 *effectively* – this is a critical issue and a lack of confidence among professional
12 planners to act as advocates in current conditions and a weakened, fragmented
13 profession exacerbates this.
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16 This situation has meant that local authorities, themselves sometimes conflicted, and certainly
17 constrained, struggle to call to account powerful and well-organised interests on the one side
18 and fail to orchestrate meaningful inclusive participation on the other. Planning Aid provides
19 a platform for action and institutional design, status and questions of consistent resourcing
20 appear critical to us. This basic question of resourcing has never been adequately resolved.
21 Moreover there has been no appetite to see a neo-advocacy mission embedded as a necessary
22 feature of the planning system. The role for Planning Aid implied by the early proponents of
23 advocacy planning is one that cannot be easily reconciled with current neo-liberal
24 governmentalities as such a stable and independent Planning Aid role is becoming *more* not
25 less important and neo-advocacy activity is needed to bolster collaborative forms in order to
26 hold the system to account and provide needed balance - perhaps particularly so given the
27 effective lobbying and advocacy role that the private sector plays in the system at present.
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36 Planning Aid or whatever emerges in the coming years should mobilise communities to
37 engage critically; to help people think and reflect as well as challenge and reorient planning.
38 The presence of a stable institution that has as its main role neo-advocacy orientation that is
39 not dogmatic but contextually relevant and nuanced in the light of participatory theory
40 generated over the past forty years merits serious consideration. Such a body should also
41 make creative use of techniques and opportunities afforded and explained through the legacy
42 of various strands of participatory theory and in this way adopt a post-collaborative stance.
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