Thesis deposit form

You must complete this form and submit it to the Doctoral Examinations Officer with the final, corrected, hard bound copies of your thesis and your whole document in electronic format on CD or DVD.

Please read the guidelines for completion of this form before filling it out.

Please fill in the form using CAPITAL LETTERS.

<table>
<thead>
<tr>
<th>Personal information</th>
</tr>
</thead>
<tbody>
<tr>
<td>Title (Mr/Mrs/Ma/Miss/Other) MS</td>
</tr>
<tr>
<td>Family name LISA SCHOPOLH</td>
</tr>
<tr>
<td>Other names</td>
</tr>
<tr>
<td>Degree PHD IN FINANCE</td>
</tr>
<tr>
<td>School HENLEY BUSINESS SCHOOL, ICMA CENTRE</td>
</tr>
<tr>
<td>Title of thesis ESSAYS ON INSTITUTIONAL INVESTMENT AND SOCIALLY RESPONSIBLE INVESTING</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Section 2 Restriction options</th>
</tr>
</thead>
<tbody>
<tr>
<td>Any restrictions on access must be countersigned by the Director of Postgraduate Studies overleaf.</td>
</tr>
<tr>
<td>I would like my thesis to be unavailable for consultation for the following number of years:</td>
</tr>
<tr>
<td>1 year</td>
</tr>
<tr>
<td>4 years</td>
</tr>
</tbody>
</table>

Please indicate the reason for your consultation restriction with a ✓ in the relevant box(es) below:

- The thesis includes material intended for future publication (e.g. as a series of articles).
- The thesis includes material that if disclosed would constitute an actionable breach of confidence.
- The thesis includes material that is the subject of a pending patent.
- The thesis includes material that if disclosed would be likely to prejudice the commercial interests of a person or an organisation (e.g. a trade secret).
- The thesis contains third party personal information; this information may include sensitive personal data (e.g. information relating to race, ethnic origins, political opinions, religious beliefs, health, trade unions, sexual life, offences and alleged offences).
- The thesis contains sensitive information that if disclosed would be likely to endanger the physical or mental health or safety of any individual. As this threat is so great, for the period of the restriction I would also like my thesis title omitted from the Library catalogue and institutional repository.

Other (Please provide details).

1 Restrictions of more than 5 years will only be considered for theses containing highly sensitive information. If your thesis requires a longer restriction period, please attach a detailed written explanation with this form.
Section 3  Online availability

Please indicate your preference with a ☑ in the relevant box below.

I give permission for my thesis to be made available online via the University's institutional repository and the British Library for consultation and preservation purposes:

Immediately ☑

After the restriction period selected in Section 2 has expired ☐

I do not give permission for the above thesis to be made available online*. Please give details below:

________________________________________________________________________
________________________________________________________________________
________________________________________________________________________

*Should only be selected if the thesis contains:
1 substantial third party copyright material that would compromise the thesis if removed; or
2 information that could endanger the personal safety and security of any person, including the author, or organisation if made available to the public; or
3 information that is confidential and would be likely to prejudice commercial interests if released.

See guidelines for more information.

Section 4  Declaration

I declare that:

I have the authority as the author of the thesis to make this agreement and to hereby give the University of Reading the right to make the thesis available in the way described above.

I have exercised reasonable care to ensure that the thesis is original, lawful and does not infringe any Intellectual Property Right.

I understand that the University of Reading does not hold any obligation to take legal action on my behalf, nor on the behalf of other rights holders, in the event of breach of intellectual property rights, or any other right, in the material deposited.

I understand that notwithstanding any declaration I have signed, the University may be obliged to disclose the contents of my thesis under the access to information regimes.

I have followed the guidance with regard to file naming of the electronic version of my thesis and have ensured my CD or DVD is clearly labelled.

I declare that the electronic copy of my thesis submitted on CD or DVD is the same as the hard bound copy which is the final approved version.

Author
Signature
Date 01/03/2012

University of Reading Supervisor
Signature
Print name ANDREAS NOEPNER
Date 01/03/2012

Director of Postgraduate Studies*
Signature
Print name
Date

* Only required if restriction options have been selected in Section 2.