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Synthesis and solution properties of a temperature-responsive PNIPAM-\textit{b}-PDMS-\textit{b}-PNIPAM triblock copolymer.

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Abstract

In this paper, we report the synthesis and self-assembly of a novel thermoresponsive PNIPAM_{60}-\textit{b}-PDMS_{70}-\textit{b}-PNIPAM_{60} triblock copolymer in aqueous solution. The copolymer used a commercially available precursor modified with an atom-transfer radical
polymerisation (ATRP) initiator to produce an ABA triblock copolymer via ATRP. Small-angle neutron scattering (SANS) was used to shed light on the structures of nanoparticles formed in aqueous solutions of this copolymer at two temperatures, 25 and 40 °C. The PDMS block is very hydrophobic and PNIPAM is thermoresponsive. SANS data at 25 °C indicates that the solutions of PNIPAM-b-PDMS-b-PNIPAM copolymers form well defined aggregates with presumably core-shell structure below cloud point temperature. The scattering curves originating from nanoparticles formed at 40 °C in 100% D₂O or 100% H₂O were successfully fitted with the Beaucage model describing aggregates with hierarchical structure.

1. Introduction.

Copolymers that combine blocks with different properties and different sensitivities to external stimuli in one structure attract great attention in soft matter research due to their potential biomedical applications.¹,²,³ They also have application in the field of nanoarchitectronics.⁴ New chemistry approaches have been tried along with physico-chemical investigations of self-assembled structures including various types of micelles and vesicles, etc., formed by such copolymers. Small-angle X-ray and neutron scattering techniques can be used to take a “closer look” at the internal structures of nanoparticles. SAXS/SANS studies have been published on a variety of block copolymers such as the diblock copolymer poly(methoxy diethylene glycol acrylate)-block-polystyrene (PS),⁵ the diblock copolymer PS-PNIPAM⁶, deuterated polystyrene and poly(n-hexyl methacrylate) (PnHMA),⁷ poly(2-isopropyl-2-oxazoline)-b-poly(2-ethyl-2-oxazoline),⁸ C₁₈EO₁₀₀⁹, polyethylene oxide - poly(2-vinylpyridine)¹⁰, polyethylene oxide – PNIPAM,¹¹,¹² and PNIPAM – poly(n-butyl acrylate)¹³,¹⁴ and on triblock copolymers such as (LCP, poly(4-
cyanobiphenyl-4-oxyundecylacylate) 'A' endblock and a deuterated polystyrene 'B' midblock,\textsuperscript{15} PS-PMDEGA-PS,\textsuperscript{16,17} and PS-PNIPAM-PS\textsuperscript{18}. The most studied class of temperature-responsive polymers are poly(ethylene oxide-\textit{block}-propylene oxide-\textit{block}-ethylene oxide) PEO-PPO-PEO triblock copolymers known as Pluronics\textsuperscript{\textregistered}.\textsuperscript{7} Detailed SAXS/SANS studies of nanoparticle structures formed in aqueous solution have been published for a variety of commercially available Pluronics\textsuperscript{\textregistered} \textsuperscript{19} such as L44,\textsuperscript{20} L64,\textsuperscript{21} F127,\textsuperscript{22,23,24} P84,\textsuperscript{25} P85,\textsuperscript{26,27} P104,\textsuperscript{20} L62,\textsuperscript{28} L64,\textsuperscript{29} L81,\textsuperscript{16} F68,\textsuperscript{30} F87,\textsuperscript{16} and F88.\textsuperscript{16}

In our previous work, the internal structure of PNIPAM-\textit{b}-PEG-\textit{b}-PNIPAM nanoparticles formed in aqueous solutions was inspected by SANS upon increasing temperature.\textsuperscript{31} Copolymers with deuterated (d-PEG) and hydrogenated central blocks (h-PEG) were synthesized to perform contrast variation experiments. Contrast variation experiments using SANS showed that the PNIPAM-\textit{b}-PEG-\textit{b}-PNIPAM copolymers below the cloud point existed as single polymer chains in a good solvent; a small portion of aggregates was also present in solution. In contrast, at higher temperatures, nanoparticles formed from PNIPAM-\textit{b}-PEG-\textit{b}-PNIPAM copolymers had a non-uniform structure with “frozen” areas interconnected by single chains in a Gaussian conformation. Such “frozen” areas were attributed to PNIPAM domains interconnected with central PEG blocks that are uniformly distributed inside of a nanoparticle.

In this article, we report a new copolymer with a central poly(dimethylsiloxane) (PDMS) block, which is considerably more hydrophobic than PEG. The substitution of hydrophobic PDMS for hydrophilic PEG may have several consequences. We can expect a significant shift of CPT to much lower values. Another shift that may be foreseen is a change in chain conformation. Unimolecular micelles or compacted macromolecular chains might occur in solution if PEG is substituted by PDMS.
The main goal of this paper was to investigate self-assembly behaviour of novel thermoresponsive triblock copolymer by dynamic light scattering and SANS and to compare this knowledge with that obtained about PNIPAM-b-PEG-b-PNIPAM in our previous study.

2. Materials and methods

2.1 Materials.

Poly(dimethylsiloxane), bis(hydroxyalkyl)-terminated (PDMS – dihydroxy) (5.2 kDa); α-bromoisobutyryl bromide (BIBB); tris(2-aminoethyl)amine (TREN); triethylamine; anhydrous tetrahydrofuran (THF); formic acid; formaldehyde; and N-isopropyl acrylamide (NIPAM) were purchased from Sigma-Aldrich (UK). Triethylamine was dried over 3 Å molecular sieves for 24 h prior to use. All other reagents were used without further purification.

2.2 Methods

2.2.1 Synthesis of the PDMS macroinitiator

PDMS – dihydroxy (1.1 mM, 3.0 mL) and triethylamine (0.15 mL) were added to a dry, sealed, round-bottom flask containing THF (5.0 mL), with stirring. The solution was degassed by nitrogen bubbling for 20 min and then cooled to 0 °C in an ice-salt bath. BIBB (1.1 mMol, 0.13 mL) was then added dropwise, and the reaction was allowed to proceed overnight. The mixture was then filtered to remove triethylamine salts and filtered. The retentate was then washed with THF (2 x 25 mL), and all THF fractions were dried in vacuo.
to yield the PDMS macroinitiator (98% yield). $^1$H NMR (400 MHz, CDCl₃, δ): 1.85 (s, CH₃), 0.00 (bs, Si-CH₃) ppm.

2.2.2. Synthesis of tris[2-(dimethylamino)ethyl]amine (ME₆TREN)

ME₆TREN was synthesised using an Eschweiler-Clarke method, as in a previous publication. Briefly, formic acid (50 mL) was added to formaldehyde (50 mL) followed by cooling to 0 °C. TREN (4.7 mL) was subsequently added to the reaction mixture over 30 min. The reaction was then slowly brought to reflux, and the reaction was allowed to proceed for 24 h. The mixture was then concentrated under vacuum, and sodium hydroxide solution (4 M, 100 mL) was added. The product was then extracted twice into dichloromethane (75 mL) and concentrated in vacuo to yield a yellow liquid, ME₆TREN (3.99 g, 54% yield). $^1$H NMR (400 MHz, CDCl₃, δ): 2.64 (s, 6H), 2.38 (s, 6H), 2.27 (s, 18 H) ppm. $^{13}$C NMR (100 MHz, CDCl₃, δ): 57 ((CH₃)₂NCH₂), 53 (((CH₃)₂NCH₂CH₂), 46 (CH₃) ppm.

2.2.3. Synthesis of PNIPAM-b-PDMS-b-PNIPAM

Copper(I) chloride (0.04 mMol, 4 mg) was added to a dried round-bottom flask and sealed in. The flask was then degassed with nitrogen for 15 min. NIPAM (5.3 mMol, 600 mg), ME₆TREN (0.04 mMol, 10.7 μL), and PDMS macroinitiator (0.04 mMol, 100 mg) were added to a separate dry flask, followed by THF (5 mL). The sealed THF solution was then degassed by bubbling with nitrogen for 20 min. Using a degassed syringe, the THF solution was transferred to the flask containing copper (I) chloride. The reaction was then allowed to proceed overnight at room temperature. The product was then passed through neutral alumina to remove copper from the reaction. The resulting solution was dried in vacuo and then dissolved in deionised water and extensively dialysed (3.5-5 kDa MWCO membrane, Visking) against water. Yield: 55 %. $^1$H NMR (400 MHz, CDCl₃, δ): 6.28 (bs, NH), 3.93 (bs,
CH PNIPAM), 3.66 (br, CH2 PEG), 2.45-1.20 (bm, CH2CH2 PNIPAM), 1.07 (bs, CH3 PNIPAM) ppm. Molecular weight of PNIPAM by NMR is 6.8 kDa (Figure S1).

The copolymer was synthesised with a central PDMS block of 5.2 kDa and terminal thermosensitive 6.8 kDa blocks of PNIPAM as determined by NMR (Figure 1, Table 1).

![Synthetic route to the PNIPAM-b-PDMS-b-PNIPAM triblock copolymers](image)

**Figure 1.** Synthetic route to the PNIPAM-b-PDMS-b-PNIPAM triblock copolymers

<table>
<thead>
<tr>
<th>Sample</th>
<th>Mn (kDa)</th>
<th>Mn of PDMS block (kDa)</th>
<th>Mn of PNIPAM block (kDa)</th>
<th>N of PNIPAM block</th>
<th>N of PDMS block</th>
</tr>
</thead>
<tbody>
<tr>
<td>MTC106</td>
<td>18.8</td>
<td>5.2</td>
<td>6.8</td>
<td>60</td>
<td>70</td>
</tr>
</tbody>
</table>

2.3. Dynamic light scattering
The particle hydrodynamic radius, $R_h$, and scattering intensity, $I_s$, were measured at a scattering angle of $\theta = 173^\circ$ using a ZetasizerNano ZS instrument, model ZEN3600 (Malvern Instruments, U.K.) with a He-Ne laser of wavelength 633 nm. Correlation functions $g^2(t)$
were analysed by a regularized inverse Laplace transformation, which provides distributions, $A(\tau)$, of relaxation times $\tau$ according to

$$g^2(t) = 1 + \beta \left\{ \int A(\tau) \exp(-t/\tau) d\tau \right\},$$

where $\beta$ is an instrumental factor. For the diffusion of nanoparticles in liquid, the hydrodynamic radius $R_H$ can be determined using the Stokes-Einstein equation

$$D = (\pi \eta) \frac{kT}{6\pi n R_H},$$

where $k$ is the Boltzmann constant, $n$ the refractive index, and $\eta$ the viscosity of the solvent.

DLS measurements were performed for solutions of PNIPAM-$b$-PDMS-$b$-PNIPAM filtered with a 0.45 PVDF filter into a dust free cuvette. Measurements were repeated three times, and standard deviations were calculated for all measured parameters. The derived scattered intensity $I_s$ was calculated from these experiments.

2.4. Small-angle neutron scattering (SANS)

SANS experiments were performed at instrument D11 at the Institut Laue-Langevin (ILL) in Grenoble, France. The incident neutrons had a wavelength $\lambda = 6.0$ Å with a spread of 9%. A $^3$He gas detector with an area of $96 \times 96$ cm$^2$ and a pixel size of $7.5 \times 7.5$ mm$^2$ was used. A $q$-range from 0.0022 to 0.38 Å$^{-1}$ was covered using three sample-to-detector distances: 1.2, 8, and 20 m. $q$ is the momentum transfer, $q = 4\pi \sin(\theta/2)/\lambda$, with $\theta$ being the scattering angle. Samples were mounted in quartz glass cells from Hellma Analytics with a neutron path of 1 mm. At the end of each run, the sample transmission was measured. Boron carbide was used for measurement of the dark current, and H$_2$O was used for the detector sensitivity and calibration of the intensity. The scattered intensity curves were azimuthally averaged and corrected for background scattering from the solvent-filled cell and parasitic scattering.
Scattering from D$_2$O was measured separately and subtracted from the solution scattering data.

2.4.1 SANS SLD calculations

To assess the scattering of newly synthesized PNIPAM-b-PDMS-b-PNIPAM copolymer the SLD values of each block were calculated. The PDMS block has an SLD value of 0.63·10$^9$ cm$^{-2}$, but PNIPAM has a one-order-of-magnitude-higher SLD value of 8.1·10$^9$ cm$^{-2}$. We expect that in 100% D$_2$O, both blocks will be visible, although scattering from PNIPAM block will dominate over the scattering from PDMS block.

2.4.2 The Beaucage fitting model

The SANS curves in D$_2$O were fitted by the Beaucage model: $^{33,34,35}$

$$I_{BC}(q) = G \exp \left( -\frac{q^2R_g^2}{3} \right) + B \exp \left( -\frac{q^2R_{sub}^2}{3} \right) \left( \frac{\text{erf}(qR_s/\sqrt{6})}{q} \right)^3 + G_s \exp \left( -\frac{q^2R_s^2}{3} \right) + B_s \left( \frac{\text{erf}(qR_s/\sqrt{6})}{q} \right)^3$$

where $G$ is the Guinier pre-factor of the larger structure, $B$ is a pre-factor specific to the type of power-law scattering, $G_s$ is the Guinier pre-factor of the smaller structure, $B_s$ is a pre-factor specific to the type of power-law scattering, $R_g$ is the size of large-scale structure, $R_{sub}$ is the surface-fractal cut-off radius of gyration, $R_s$ is the size of small subunits, $P$ is the scaling exponent of the power law assigned to the larger structure $R_g$, and $P_s$ is the scaling exponent of the power law assigned to the smaller structure $R_s$.

3. Results and discussions

3.1 Synthesis of PNIPAM-b-PDMS-b-PNIPAM
PNIPAM-b-PDMS-b-PNIPAM was successfully synthesised by ATRP from a PDMS macroinitiator, and the structure was confirmed by NMR (Figure S1, supporting information). THF seems to be a suitable solvent for ATRP from PDMS macroinitiators, which is also suitable for many water-soluble monomers. Whilst there are a number of studies which graft PNIPAM to PDMS surfaces to modulate cell-attachment,\textsuperscript{37,36} this is the first reported synthesis of this block copolymer, to our knowledge. Indeed, there exist few examples of any PDMS-based block copolymers synthesised by ATRP. Poly(N,N-dimethylacrylamide)-b-PDMS-b-poly(N,N-dimethylacrylamide) has been synthesised by Xu et al\textsuperscript{38} for islet encapsulation. PDMS-b-poly(2-(dimethylamino)ethyl methacrylate) is able to form micelles to deliver chemotherapy.\textsuperscript{39} Seo et al\textsuperscript{40} demonstrated that poly(2-methacryloyloxyethyl phosphorylcholine)-b-PDMS-b-poly(2-methacryloyloxyethyl phosphorylcholine) was able to modify PDMS surfaces. Finally, poly(glycidyl methacrylate)-b-PDMS-b-poly(glycidyl methacrylate) has been used to create nanocomposite paper.\textsuperscript{41}

3.2 Temperature behaviour of PNIPAM-b-PDMS-b-PNIPAM

To evaluate the temperature behaviour of PNIPAM-b-PDMS-b-PNIPAM, dynamic light scattering experiments (DLS) were conducted in aqueous solutions in H$_2$O. The cloud point value (CPT) was determined to be 30.0 ± 0.5 °C as the onset of a rapid increase in the derived scattered intensity $I_s$ (Figure 2a). This CPT value is somewhat lower that the CPT for pure PNIPAM (32 °C). This discrepancy is clearly due to the presence of the hydrophobic PDMS block in the copolymer structure. The ability to reduce CPT by introducing a hydrophobic moiety has been reported previously for a variety of copolymers.\textsuperscript{42-44} The incorporation of hydrophilic PEG as a central block creates an opposing trend – a CPT value that increases, as was previously observed for PNIPAM-b-PEG-b-PNIPAM copolymer.\textsuperscript{27} Calculated intensity weighted distribution functions of the hydrodynamic radius $R_h$ show a bimodal distribution at 25 °C and a monomodal distribution at 40 °C (Figure 2b, inset). The volume-weighted
A peculiar feature can be observed in Figure 2b. The value for $R_h$ of 10 nm is higher than we would expect for a molecularly dissolved polymer at low temperatures below CPT. It is not surprising, however, considering the strong hydrophobicity of the PDMS block. One can expect a preliminary self-organization of copolymers even below the CPT value. Larger structures with low polydispersity indices (<0.1) were observed by DLS at elevated temperatures, as could be expected due to the thermoresponsivity of PNIPAM (Figure S2, supporting information).
SANS experiments could shed light on nanoparticle structures below and above CPT.

3.2. SANS experiments for PNIPAM-b-PDMS-b-PNIPAM

Figure 3 shows the SANS curves obtained for PNIPAM-b-PDMS-b-PNIPAM at two temperatures, 25 °C and 40 °C. As one can see, there is a strong variation in the SANS curves with both temperature.

Figure 3. SANS data for the PNIPAM-b-PDMS-b-PNIPAM copolymer at two temperatures, 25 °C and 40 °C in D₂O. The red and blue lines are the fits by the Beaucage model.

Several features should be noted for the SANS curves at 25 and 40 °C for the PNIPAM-b-PDMS-b-PNIPAM system in D₂O (Figure 3). The curve shows $q^{-3.9}$ behaviour at 40 °C in a middle $q$ range at 0.017-0.033 Å⁻¹. The most spectacular modification is witnessed at middle $q$ range for 25 °C. A scaling exponent value decreases to the value of -2.5. Such finding can imply that the structure of aggregates that exist in solution below CPT is different from the aggregates above CPT. The scaling exponent value close to -4 is known as Porod behaviour, indicating the presence of compact objects with sharp boundaries in solution. Such findings corroborate with the DLS data described above. At a high $q$ range at 0.065-0.3 Å⁻¹, the scattered intensity has more gradual behaviour, with scaling exponents -1.7 and -2.3, for 25 and 40 °C, respectively. Such $q$ dependence is usually attributed to macromolecular...
chain conformations with excluded volume effects. The upturn at the lowest $q$ visible for the SANS curve at 25 °C could be explained by the presence of fractal aggregates.

To summarize, two different types of structures were revealed by inspecting SANS curves – large objects with sharp boundaries and smaller entities with a coil conformation. To account for this complexity, the Beaucage model was applied. It describes fractal aggregates consisting of smaller particles and was successfully applied for the study of a variety of soft matter systems. From the fitting procedure, we can conclude that the sizes of whole mass fractal aggregates at 25 and 40 °C for PNIPAM-b-PDMS-b-PNIPAM are consistent with DLS data — 38 and 150 nm (Table 2). The discrepancy could be attributed to different sensitivities of the methods; DLS provides information on $R_0$, whereas SANS provides $R_g$. The subunit size $R_s$ also depends on temperature, at 0.9 vs 8.5 nm.

**Table 2.** Comparison table of fitting parameters for SANS curves of PNIPAM-b-PDMS-b-PNIPAM in 100% D$_2$O at 25 and 40 °C.

<table>
<thead>
<tr>
<th>Fitting parameter of Beaucage model</th>
<th>PNIPAM-b-PDMS-b-PNIPAM</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>T = 25 °C</td>
</tr>
<tr>
<td>G</td>
<td>5.4</td>
</tr>
<tr>
<td>B</td>
<td>1.3±0.3 e-5</td>
</tr>
<tr>
<td>G$_s$</td>
<td>6.2±0.3 e-3</td>
</tr>
<tr>
<td>B$_s$</td>
<td>9±2 e-4</td>
</tr>
<tr>
<td>$R_g$, nm</td>
<td>37.6±0.1</td>
</tr>
<tr>
<td>$R_{sub}$, nm</td>
<td>4.9±0.1</td>
</tr>
<tr>
<td>$R_s$, nm</td>
<td>0.9±0.1</td>
</tr>
<tr>
<td>$P$</td>
<td>2.7±0.1</td>
</tr>
<tr>
<td>$P_s$</td>
<td>1.6±0.2</td>
</tr>
<tr>
<td>$\chi^2$</td>
<td>188</td>
</tr>
</tbody>
</table>

In D$_2$O, the nanoparticle model for the PNIPAM-b-PDMS-b-PNIPAM copolymer can be described as follows: At 25 °C, a nanoparticle of overall radius of 38 nm, consisting of small 5.0 nm particles, which are arranged inside of a fractal with scaling exponent 2.7
(surface fractal). Inside the small particles, they behave as swollen macromolecular coils in good solvent; the scaling exponent is 1.6.

At 40 °C, nanoparticles are much larger; $R_g$ of 150 nm. They consist of smaller particles with 12.6 nm particles that are arranged inside of a fractal with a scaling exponent of 4.0 (surface fractal). Inside the small particles, they behave as an almost Gaussian polymer; the scaling exponent is 2.3.

CONCLUSION

Novel thermoresponsive copolymers PNIPAM-$b$-PDMS-$b$-PNIPAM were synthesised from commercially available precursors using ATRP. Using small-angle neutron scattering, we were able to investigate in detail the internal structure of nanoparticles formed from novel thermoresponsive PNIPAM-$b$-PDMS-$b$-PNIPAM triblock copolymer in aqueous solutions. In contrast with previously reported copolymers with more hydrophilic central blocks, both PNIPAM-$b$-PDMS-$b$-PNIPAM copolymers form well-defined aggregates at room temperature. The best results were obtained by application of the Beaucage model describing the nanoparticles formed at 40 °C as an aggregate with a two-level hierarchical structure.

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Conflict of interest

The authors declare that they have no conflict of interest.

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Sergey Filippov received a B.S., M.Sc. with honors and Ph.D. in polymer physics from the University of Saint-Petersburg, Russia. His Ph.D. thesis was devoted to polymeric liquid crystals. During his Ph.D. studies he spent one year in Oxford University in the group of Prof. Steve Elston. Between 1999 and 2001, he was employed as a postdoctoral researcher at Puerto Rico University where he used dynamic light scattering and broadband dielectric spectroscopy to study polymers and confined liquid crystals. In 2002 he re-joined the Saint-Petersburg State University as an assistant professor. His major field of expertise was dynamic light scattering in polymer solutions. In 2007 he joined the Institute of Macromolecular Chemistry in Prague, Czech Republic as a research scientist on the of Department of Supramolecular Polymer Systems, headed by Petr Štěpének. In 2014, he became a senior research scientist on the same Department. Dr. Filippov’s main research interests are dynamic light scattering, small-angle X-ray and neutron scattering, and isothermal titration calorimetry in application to self-assembling systems in soft matter.

Vitaliy Khutoryanskiy is Professor of Formulation Science (since August 2014), having previously been Associate Professor (Reader) in Pharmaceutical Materials (2010-2014) and Lecturer in Pharmaceutics (2005-2010) at Reading School of Pharmacy, University of Reading, UK. Prior to Reading, he worked as a Research Associate in the School of Pharmacy and Pharmaceutical Sciences, University of Manchester, UK (2004-2005), as Research Fellow in the Department of Pharmaceutical Sciences, University of Strathclyde, UK (2002-2005) and as a Lecturer in Polymer Chemistry, Kazakh National University, Kazakhstan (2000-2002). He received his PhD in Polymer Chemistry in 2000 from Kazakh National Technical University, Kazakhstan. Prof Khutoryanskiy has researched broadly in the area of materials for pharmaceutical and biomedical applications, with a particular emphasis on drug delivery, mucoadhesive materials, hydrogels, and stimuli-responsive polymers. He was the recipient of the 2012 McBain Medal (Society of Chemical Industry and Royal Society of Chemistry (RSC)) for his imaginative use of colloid, polymer and interface science in the development of novel biomedical materials. He has published over 120 original research articles, 14 reviews in peer reviewed journals, and edited 2 books.