Level of neutral buoyancy, deep convective outflow, and convective core: new perspectives based on 5 years of CloudSat data


It is advisable to refer to the publisher’s version if you intend to cite from the work.

To link to this article DOI: http://dx.doi.org/10.1002/2016JD025969

Publisher: American Geophysical Union
www.reading.ac.uk/centaur

CentAUR
Central Archive at the University of Reading
Reading’s research outputs online
Level of neutral buoyancy, deep convective outflow, and convective core: New perspectives based on 5 years of CloudSat data

Hannii Takahashi1,2, Zhengzhao Johnny Luo3, and Graeme L. Stephens1,4

1Joint Institute for Regional Earth System Science and Engineering, University of California, Los Angeles, California, USA, 2Jet Propulsion Laboratory, California Institute of Technology, Pasadena, California, USA, 3Department of Earth and Atmospheric Sciences and NOAA-CREST Institute, City University of New York, City College, New York, New York, USA, 4Department of Meteorology, University of Reading, Reading, UK

Abstract This paper is the follow on to a previous publication by the authors, which investigated the relationship between the level of neutral buoyancy (LNB) determined from the ambient sounding and the actual outflow levels using mainly CloudSat observations. The goal of the current study is to provide a more complete characterization of LNB, deep convective outflow, and convective core, and the relationship among them, as well as the dependence on environmental parameters and convective system size. A proxy is introduced to estimate convective entrainment, namely, the difference between the LNB (based on the ambient sounding) and the actual outflow height. The principal findings are as follows: (1) Deep convection over the Warm Pool has larger entrainment rates and smaller convective cores than the counterpart over the two tropical land regions (Africa and Amazonia), lending observational support to a long-standing assumption in convection models concerning the negative relationship between the two parameters. (2) The differences in internal vertical structure of convection between the two tropical land regions and the Warm Pool suggest that deep convection over the two tropical land regions contains more intense cores. (3) Deep convective outflow occurs at a higher level when the midtroposphere is more humid and the convective system size is smaller. The convective system size dependence is postulated to be related to convective lifecycle, highlighting the importance of cloud life stage information in interpretation of snapshot measurements by satellite. Finally, implications of the study to global modeling are discussed.

1. Introduction

Deep convection is a key mechanism by which near-surface air is transported to the upper troposphere and lower stratosphere (UT/LS) in a relatively short timeframe. As such, it affects the UT/LS heat budget and trace gas distribution (e.g., water vapor and ozone) in profound ways [Sherwood et al., 2003; Kuang and Bretherton, 2004, Danielsen, 1982, Kley et al., 2007; Luo et al., 2012, among others]. The vertical convective transport, which is usually confined to the narrow convective cores, will eventually transition to horizontal outflows in the upper troposphere, extending the influence of deep convection to a much larger area through development of widespread cirrus anvils that last significantly longer than the original convection. Cirrus spawned out from deep convection also plays a significant role in radiative heating of the atmosphere, which, in turn, affects the large-scale circulation and organization of convective systems through various forms of radiative-convective feedbacks [e.g., Stephens et al., 2008].

An important aspect of the deep convection-to-outflow transition is the altitude (or the range of altitudes) at which the outflow occurs. Theoretical studies have related deep convective outflow to the environment in which convection develops. From an energy balance consideration, Hartmann and Larson [2002] argued that tropical mean deep convective detrainment is largely controlled by the clear-sky longwave cooling profiles. At smaller scales, the deep convective outflow is traditionally determined by the ambient temperature and moisture profile, which can be conveniently summarized in a single parameter called the level of neutral buoyancy (LNB) or the equilibrium level (EL) [Wallace and Hobbs, 2006]. The LNB can be computed from the parcel theory by lifting a near-surface air parcel adiabatically to the upper troposphere where the air parcel starts to lose buoyancy.

A few observational studies have been undertaken to understand the relationship between deep convective outflow and LNB. Mullendore et al. [2009] used a case study from the Tropical Rainfall Measuring Mission...
Convective outflow and entrainment rate are connected to the macrophysical and microphysical characteristics of convective cores, a subject that is also investigated in this study. A deep convective core is a relatively well-protected area inside the convective system that has large vertical velocity on the order of 10 m/s. Strong deep convective cores occasionally overshoot the corresponding LNB because of inertia. The overshooting turrets may mix irreversibly with the UT/LS environment affecting the UT/LS heat and composition [e.g., Luo et al., 2008; Iwasaki et al., 2012]. In the past, overshooting convection was studied most often using satellite-borne infrared (IR) sensors [e.g., Gettelman et al., 2002; Ros sow and Pearl, 2007]. Later, TRMM precipitation radar (PR) was used to characterize the vertical structure of the overshooting cores [Liu and Zipser, 2005]. However, different views emerged concerning the global distribution of overshooting convection. For example, IR sees overshooting convection occurring most frequently over the tropical west Pacific region, whereas TRMM finds them predominantly over land. Liu et al. [2007] reconciled the difference by noting that IR instruments and PR are sensitive to different parts of the convective system. More recently, CloudSat data were employed to shed further light upon the overshooting deep convection [Chung et al., 2008; Luo et al., 2008; Iwasaki et al., 2010, 2012, 2015]. In comparison with TRMM PR which operates at 13.8 GHz with sensitivity at ~17 dBZ and is sensitive mostly to moderate and heavy precipitation, CloudSat carries a 94 GHz cloud profiling radar (CPR; 94 GHz) with sensitivity at ~30 dBZ. The CPR is sensitive to both cloud size and precipitation size particles, which ensures a more complete sampling of the overshooting convection. It is therefore of interest to use CloudSat to study deep convective cores, including the overshooting ones. In particular, we seek to connect findings about LNB, convective outflow, and entrainment rates to the characteristics of deep convective cores.

After a short description of data and methodology (section 2), we first examine the relationship between LNB and deep convective outflow (section 3). Focus is placed on revealing the regional variations of the relationship and exploring how these variations can inform us about the underlying convective processes. The internal vertical structure of deep convective cores and overshooting cores is also studied. A specific question we investigate is the following: how is the internal vertical structure of convective cores related to convective entrainment? Section 4 examines the dependence of deep convective outflow including regional variations.

2. Data and Methodology

Five years (2006–2011) of CloudSat data are analyzed in this study. CloudSat is a Sun-synchronous, polar-orbiting satellite, carrying a 94 GHz cloud profiling radar (CPR) that is sensitive to both cloud size and precipitation size particles. The footprint of CloudSat is around 1.7 km along track and 1.3 km across track, and the vertical resolution is 480 m (oversampled to 240 m). The equator crossing time of CloudSat is approximately 1:30 A.M. and 1:30 P.M. at local time. Two CloudSat products were used in this study: 2B-GEOPROF data which include both radar reflectivity and cloud mask and ECMWF-AUX data containing temperature and moisture profiles from the European Centre for Medium-Range Weather Forecast (ECMWF) operational analysis interpolated in space and time to the CloudSat track. An overview of the CloudSat mission is provided by Stephens et al. [2008]. Detailed information on CloudSat data can be found from the CloudSat Data Processing Center at http://cloudsat.cira.colostate.edu.

As in TL12, our analysis is based on anvil cloud objects selected from the 2B-GEOPROF product. The selection procedure is as follows. First, we define cloud objects in 2B-GEOPROF data as continuous areas enclosed by
LNB_sounding is estimated from the ambient temperature and moisture sounding primarily small [e.g., Wang et al., 2014]. For convective core and fresh anvils, the radar-lidar difference in cloud top identification becomes diminishingly small [e.g., Wang et al., 2014].

LNB_sounding is also schematically illustrated in Figure 1, together with three forms of LNB_observation. cloud mask value ≥20, similar to Riley and Mapes [2009] and Bacmeister and Stephens [2011]. Each cloud object so defined represents a vertical cross section of the three-dimensional cloud. Second, we search inside each cloud object for the presence of deep convective core (DCC), defined as a CPR profile having (1) continuous radar echo from cloud top (≥10 km) to within 2 km of the surface (i.e., we ensure that the target cloud object is rooted in the planetary boundary layer and reaches up to the upper troposphere) and (2) echo top height of 10 dBZ greater than 10 km. Requiring a large radar echo extending into the upper troposphere is meant to be in line with previous works that study the characteristics of deep convective cores [Luo et al., 2008, 2010]. Third, once a DCC is identified, we search on both sides of the cloud object for attached anvils. Cloud base ≥5 km is required for defining anvils, following the statistics of the anvil base height by Yuan and Houze [2010]. We further require that the horizontal extension of the cirrus anvil be greater than 20 km to insures that the anvil is well developed and that enough information is available for deriving parameters related to convective outflow. A total of 7320 anvil cloud objects are selected through this procedure based on 5 years of CloudSat 2B-GEOPROF data.

For each selected anvil cloud object, we defined three metrics to characterize the actual outflow level of deep convection, as schematically illustrated in Figure 1: (1) LNB_CTH is defined as the cloud top height (CTH) of the anvil and represents the highest detrainment level, (2) LNB_CBH is defined as the cloud base height (CBH) of the anvil and represents the lowest detrainment level, and (3) LNB_maxMass is the height of the maximum mass detrainment occurs (LNB_maxMass). These quantities were calculated over only the fresh anvil (the first 20 km of the anvil). The LNB sounding is calculated based on the parcel theory assuming pseudoadiabatic ascent, which corresponds to the level where moist static energy (MSE) is equal to the surface MSE. MSE = \( C_p T + g z + L e q (T, z, \text{ and } q \text{ are temperature, height, and specific humidity, respectively; } C_p \text{ is the specific heat of condensation).} \)

Figure 1. A schematic showing the highest detrainment level (LNB_CTH), the lowest detrainment level (LNB_CBH), and the level where maximum mass detrainment occurs (LNB_maxMass). The distances Z1, Z2, and Z3 are the distances between LNB_sounding and LNB_CTH, LNB_sounding and LNB_maxMass, and LNB_sounding and LNB_CBH, respectively.
3. Regional Variations

An important finding from the whole-tropics (30°S–30°N) survey by TL12 is that LNB_observation differs from LNB_sounding in a number of ways: (1) While LNB_sounding is a reasonable upper bound for actual convective development, it has little direct correlation with LNB_observation on a case-by-case basis. (2) The

Figure 2. Global distributions of (a) sample size of anvil cloud objects, together with (b) LNB_sounding, (c) LNB_CTH, (d) LNB_maxMass, and (e) LNB_CBH in 10° × 10° boxes over the whole tropics (30°S–30°N). Those grid boxes (10° × 10°) with less than 20 anvil cloud objects are left blank.
The maximum mass outflow level, LNB\_maxMass, is on average more than 3 km lower than LNB\_sounding. (3) If we separate the results based on land and ocean, land deep convection has consistently higher LNB\_observation than the ocean convection, although LNB\_sounding is similar between ocean and land. In this study, we take one step further to examine the regional variations of LNB\_sounding and LNB\_observation. With now 5 years of data and a total of 7320 suitable anvil cloud objects, we are able to subset the results based on different regions and ambient environments.

### 3.1. Relationship Between Level of Neutral Buoyancy and Deep Convective Outflow

Figure 2 shows the whole-tropics (30°S–30°N) distribution of LNB\_sounding and LNB\_observation. To ensure that enough statistics are collected, grid boxes (10° × 10°) with less than 20 deep convective cloud objects are left blank. To emphasize different convective regimes, we further select five climatologically distinctive regions, namely, tropical Africa (0°–35°E, 12°S–24°N), tropical Amazonia (280°–325°E, 15°S–10°N), the Warm Pool region (90°–170°E, 15°S–15°N), east Pacific Intertropical Convergence Zone (ITCZ, 180°–280°E, 0°–12°N), and Atlantic ITCZ (310°–345°E, 0°–12°N), as marked up in Figure 2b. Figure 3 shows the corresponding probability density functions (PDFs) for LNB\_sounding and three forms of LNB\_observation. A few interesting features emerging from Figures 2 and 3 deserve discussion.

**LNB\_sounding.** The rank of LNB\_sounding from the highest to the lowest are, respectively, the Warm Pool (14.9 km), tropical Amazonia (14.2 km), tropical Africa (14.5 km), the east Pacific ITCZ (13.8 km), and the Atlantic ITCZ (13.7 km). Here we use medians as the representative numbers for comparison (the same as for other comparisons below). These regional differences show that the finding from TL12, namely, LNB\_sounding being similar between land and ocean, is actually a result of the cancelation between higher LNB\_sounding values over the Warm Pool and lower values over the east Pacific and Atlantic Oceans. It is interesting to note that the two land regions (tropical Africa and tropical Amazonia) have double peaks in the LNB\_sounding PDF, one at 14.5 km and another at 12.5 km, while the three oceanic regions (the Warm Pool, the east Pacific, and the Atlantic ITCZ) have single-peaked PDFs. The double peaks over the two land regions can be largely explained by the diurnal cycle of near-surface temperature over land. Cooler surfaces in early morning lead to lower LNB\_sounding, and warmer surfaces in early afternoon cause higher LNB\_sounding.

Figure 3. Probability density functions (PDFs) for (a) LNB\_sounding, (b) LNB\_CTH, (c) LNB\_maxMass, and (d) LNB\_CBH over different regions.
LNB\textsubscript{observation}. While LNB\textsubscript{sounding} sets a rough upper bound for deep convection development, LNB\textsubscript{observation} (LNB\textsubscript{CTH}, LNB\textsubscript{maxMass}, and LNB\textsubscript{CBH}) captures actual convective outflow. In terms of LNB\textsubscript{CTH}, tropical Africa has the highest value (13.9 km), followed by Amazonia (13.7 km) and the Warm Pool (13.6 km). The east Pacific ITCZ (13.3 km) and Atlantic ITCZ (13.1 km) have lower LNB\textsubscript{CTH}. It is also of interest to compare LNB\textsubscript{maxMass} among the regions because LNB\textsubscript{maxMass} is most relevant to convective mass transport, as suggested by the ground-based case study by Mullendore et al. [2009]. The highest LNB\textsubscript{maxMass} is observed over tropical Africa (11.1 km) and Amazonia (11.3 km). The Warm Pool, east Pacific, and Atlantic ITCZ have lower LNB\textsubscript{maxMass} (10.9 km, 10.3 km, and 10.3 km, respectively). Therefore, the finding in TL12 that land deep convection has higher LNB\textsubscript{observation} than the oceanic counterpart is robust and holds among different regions.

Figure 4 shows the differences between LNB\textsubscript{sounding} and three forms of LNB\textsubscript{observation} (LNB\textsubscript{CTH}, LNB\textsubscript{maxMass}, and LNB\textsubscript{CBH}). These differences are illustrated as $\Delta Z_1$, $\Delta Z_2$, and $\Delta Z_3$, respectively, in Figure 1. According to the parcel theory, how much the actual outflow falls short of the LNB set by the ambient sounding can be interpreted as a measure of the magnitude of the entrainment effect: greater entrainment dilution leads to larger difference between LNB\textsubscript{sounding} and LNB\textsubscript{observation}. Following this interpretation, Figure 4 suggests that deep convective clouds over the Warm Pool tend to be more diluted than those over tropical Africa and Amazonia. It is noticed that the difference between LNB\textsubscript{sounding} and LNB\textsubscript{observation} over the east Pacific ITCZ is also small. It is not immediately clear why this is the case. However, we believe that the sample size could be a factor. Our samples of deep convection are far fewer over the east Pacific ITCZ than other regions where convection is prevalent. For example, the number of cases in the 10° × 10° boxes over the east Pacific ITCZ is only ~28, compared to ~60 over the Warm Pool (see Figure 2a). We will revisit this issue when a longer data record with more cases becomes available.

The difference between LNB\textsubscript{sounding} and LNB\textsubscript{observation} can be cast into a simple framework of a one-dimensional entraining plume model, which can calculate the corresponding bulk entrainment rate.
Following Luo et al. [2010] and TL12, the entraining plume model is expressed as 
\[ \frac{\partial MSE_p}{\partial \lambda} = \frac{\partial MSE_e}{\partial \lambda} \left( \frac{\partial MSE_e - \partial MSE_p}{C_0} \right) \], 
where 
\[ MSE = C_p T + g z + L v q \]
\( T, z, \) and \( q \) are temperature, height, and specific humidity, respectively; \( C_p \) is the specific heat of condensation. Subscripts \( p \) and \( e \) refer to properties of the in-cloud air parcel and of the environment, respectively. 

\( MSE_e \) is estimated from the ambient sounding data, which, in this study, is obtained from the collocated ECMWF analyses. \( MSE_p \) is assumed to be equal to \( MSE_e \) at the launching level near the surface or 925 hPa, whichever has higher MSE (following Liu and Zipser [2005]), and then the equation is integrated from the surface to the corresponding LNB_observation. \( \lambda \) is determined iteratively so as to satisfy the condition that the air parcel becomes neutrally buoyant at the height level of LNB_observation.

Figure 5 shows the calculated \( \lambda \) for \( LNB_{\text{maxMass}} \) for two regions with contrasting convective regimes: the Warm Pool and the two land regions (tropical Africa and Amazonia combined). The east Pacific and Atlantic ITCZ are not included here because of small sample size, but they will be revisited in the future when a larger sample becomes available. We use \( LNB_{\text{maxMass}} \) as the representative of \( LNB_{\text{observation}} \) in the calculation because it is most directly connected to convective mass transport. Figure 5 shows that the convective entrainment rates are smaller for the Warm Pool than the two land regions, consistent with the contrast in difference between LNB_sounding and LNB_observation, as shown in Figure 4. Expressing the difference between LNB_sounding and LNB_observation in terms of the bulk entrainment rate makes it more relevant to global modelers who treat convection in cumulus parameterizations that are formulated based on a similar entraining plume model.

Also shown in Figure 5 is the size of the deep convective core (DCC), as defined in section 2. Comparing the DCC size and bulk entrainment rate suggests a negative correlation between the two. The negative relationship has long been assumed in cloud and convection models [e.g., Simpson and Wiggert, 1969; Bacmeister and Stephens, 2011]. The explanation is that larger convective cores are better protected from the environment and thus are less diluted by entrainment (i.e., smaller entrainment rate). Although our results should be treated with caution, they seem to add observational evidence from global satellite observations to support this fundamental assumption.

### 3.2. Deep Convective Cores and Internal Vertical Structure

Despite severe attenuation by heavy precipitation, CloudSat CPR offers a unique view of the internal vertical structure of the convective cores and captures important information on convective intensity, as demonstrated in Luo et al. [2014]. A commonly used method for statistically characterizing radar reflectivity...
files is the so-called Contoured Frequency by Altitude Diagram [Yuter and Houze, 1995]. However, binning radar echoes by altitude can inadvertently mix up different temperatures. Since radar reflectivity depends on cloud water content (CWC), which is in turn a strong function of temperature (lower CWC is associated with lower temperature) [e.g., Fridlind et al., 2015], we introduce an alternative metric called the Contoured Frequency by Temperature Diagram (CFTD) that sorts radar profiles by temperature. In CFTDs, the probability density function of the radar reflectivity is normalized at each bin of temperature instead of altitude.

Figure 6 shows the CFTDs of deep convective cores observed over two contrasting convection regimes, one over ocean (the Warm Pool) and the other two over land (tropical Africa and Amazonia combined). As noted in section 3.1, there are some systematic differences in convection characteristics between these regions. For example, land convection is less diluted by entrainment and contains larger convective cores. Figure 6 shows that convective cores that form over land have more occurrences of larger radar echoes (reflectivities >10 dBZ) at higher altitudes as emphasized by dark solid ovals. This suggests that land convection has stronger cores that are capable of transporting larger particles to a higher level compared to the oceanic counterparts. Meanwhile, attenuation due to heavy precipitation (i.e., decreasing reflectivities below the melting layer) is also more severe over tropical land than Warm Pool convection (marked as dark dashed ovals), suggesting that land convection produces heavier rainfall. These contrasts in CFTD between land and oceanic deep convective cores are very similar to that between strong and weak convection (sorted by vertical velocity) as reported in Luo et al. [2014]. Hence, CloudSat CPR observations suggest that land convection generates more intense cores than the oceanic counterpart, consistent with direct measurements from cloud-penetrating aircraft [e.g., LeMone and Zipser, 1980; Lucas et al., 1994] and inference made from analyzing TRMM precipitation radar data [Liu and Zipser, 2005; Zipser et al., 2006].

Among the DCCs, we further separate those that overshoot the LNB_CTH from the nonovershooting cores. Figure 7 shows the corresponding CFTDs. By the same criteria as adopted for contrasting land and oceanic cores in Figure 6 (i.e., higher radar echo and more severe attenuation), it is concluded that the overshooting cores are generally more intense than the nonovershooting cores. This is perhaps not a surprise, but it adds confidence to our analysis to see a theoretically sound relationship bear out in satellite observations.

4. Dependence of Convective Outflow on Cloud System Size and Ambient Humidity

Finally, we examine how convective outflow is related to midtropospheric relative humidity and size of the convective systems. Midtropospheric relative humidity is known to be a key parameter controlling profiles is the so-called Contoured Frequency by Altitude Diagram [Yuter and Houze, 1995]. However, binning radar echoes by altitude can inadvertently mix up different temperatures. Since radar reflectivity depends on cloud water content (CWC), which is in turn a strong function of temperature (lower CWC is associated with lower temperature) [e.g., Fridlind et al., 2015], we introduce an alternative metric called the Contoured Frequency by Temperature Diagram (CFTD) that sorts radar profiles by temperature. In CFTDs, the probability density function of the radar reflectivity is normalized at each bin of temperature instead of altitude.

Figure 6 shows the CFTDs of deep convective cores observed over two contrasting convection regimes, one over ocean (the Warm Pool) and the other two over land (tropical Africa and Amazonia combined). As noted in section 3.1, there are some systematic differences in convection characteristics between these regions. For example, land convection is less diluted by entrainment and contains larger convective cores. Figure 6 shows that convective cores that form over land have more occurrences of larger radar echoes (reflectivities >10 dBZ) at higher altitudes as emphasized by dark solid ovals. This suggests that land convection has stronger cores that are capable of transporting larger particles to a higher level compared to the oceanic counterparts. Meanwhile, attenuation due to heavy precipitation (i.e., decreasing reflectivities below the melting layer) is also more severe over tropical land than Warm Pool convection (marked as dark dashed ovals), suggesting that land convection produces heavier rainfall. These contrasts in CFTD between land and oceanic deep convective cores are very similar to that between strong and weak convection (sorted by vertical velocity) as reported in Luo et al. [2014]. Hence, CloudSat CPR observations suggest that land convection generates more intense cores than the oceanic counterpart, consistent with direct measurements from cloud-penetrating aircraft [e.g., LeMone and Zipser, 1980; Lucas et al., 1994] and inference made from analyzing TRMM precipitation radar data [Liu and Zipser, 2005; Zipser et al., 2006].

Among the DCCs, we further separate those that overshoot the LNB_CTH from the nonovershooting cores. Figure 7 shows the corresponding CFTDs. By the same criteria as adopted for contrasting land and oceanic cores in Figure 6 (i.e., higher radar echo and more severe attenuation), it is concluded that the overshooting cores are generally more intense than the nonovershooting cores. This is perhaps not a surprise, but it adds confidence to our analysis to see a theoretically sound relationship bear out in satellite observations.
convective development [Grandpeix et al., 2004; Jensen and Del Genio, 2006]. We use the relative humidity (RH) averaged between 2.5 km and 4.5 km as the midtropospheric RH. Convective system size is related to the vertical extent of convection: larger systems usually grow taller, as shown in previous IR-based surveys [Machado and Rossow, 1993; Rossow and Pearl, 2007]. In this study, RH is estimated from the collocated ECMWF analyses, and convective system size is defined as the horizontal span of the whole anvil cloud objects as seen by CloudSat CPR. It should be noted that the cloud size investigated in this section refers to the size of the whole convective system and it may behave differently from the embedded DCCs. For example, TL12 found that oceanic deep convection has larger cloud system sizes but the embedded DCCs are smaller, compared to the land counterparts.

Figure 8 shows the average $LNB_{\text{maxMass}}$ as functions of convective system size ($x$ axis) and midtropospheric RH ($y$ axis). $LNB_{\text{maxMass}}$ is chosen to represent $LNB_{\text{observation}}$ partly because its signal is less noisy and partly because this level is most relevant to convective mass outflow and convective transport. We define 80 bins for system size from 10 to 1590 km with a bin width of 20 km and 10 bins for midtropospheric RH from 5 to 95% with a bin width of 10%. To ensure that enough statistics are collected, each bin with sample size less than 20 cases is left blank. The ranges of convective system size plotted in the figure are set to be 50–350 km over ocean and 50–180 km over land because land convective systems are usually significantly smaller than their oceanic counterparts. The correlation coefficient between the system size and midtropospheric RH is less than ~0.1 (0.095 for over ocean and 0.068 for over land), so these two variables are largely independent of each other. The directions of $LNB$ gradient (i.e., the increasing trend in $LNB$) are marked as arrows in the figure.

Figure 8 shows that $LNB_{\text{maxMass}}$ increases with midtropospheric RH but decreases with convective system size, except for larger convective systems (convective system size larger than 250 km over ocean and that larger than 100 km over land). The RH dependence of deep convective outflow is consistent with previous findings that a moister midtroposphere reduces the effect of entrainment dilution, which allows convection to develop deeper [Jensen and Del Genio, 2006]. For larger convective systems, the midtropospheric environment is usually very humid (e.g., the sample space is largely empty for size >150 km and RH <70%), which probably explains why there is little RH dependence. The association of higher $LNB_{\text{maxMass}}$ with smaller cloud system size is less straightforward to explain and may appear counterintuitive at first. However, closer examination suggests that one possibility is that variation in convective system size is largely related to convective life stage, which affects the determination of $LNB_{\text{maxMass}}$. As shown in Futyan and Del Genio [2007], the cloud system reaches the highest cloud top (the minimum cloud top temperature) during the growing stage and the cloud system reaches the maximum size during the mature stage. Therefore, the
early stages of deep convective systems are likely to be smaller and taller \cite{Takahashi and Luo, 2014}. At this stage, convective updrafts have reached their greatest height and have just started to outflow (the even earlier life stage where the cirrus anvil has not fully developed is not included in this study), leading them to have the highest $LNB_{\text{maxMass}}$. As the system ages, it grows in size due to continued outflow, but ice particles inside the cirrus anvil (outflow) have already settled to lower altitudes. Consequently, $LNB_{\text{maxMass}}$ as inferred from radar reflectivity profiles will likely have a lower value (compared to a younger outflow). Hence, the result in Figure 8 suggests that characteristics of convective outflow could be a function of convective life stage. An implication is that one should exercise extra care when interpreting snapshots from satellite measurements. Ideally, every satellite snapshot of a deep convective system should carry a tag indicating the corresponding timestamp in the whole convective lifecycle. Takahashi and Luo \cite{2014} made some initial effort to achieve this goal by combining CloudSat and geostationary satellite data. But unfortunately, almost no existing satellite-based cloud products have this feature (with the notable exception of ISCCP) \cite{Vant-Hull et al., 2016}, which limits the application in diagnostic analysis.

5. Summary and Conclusions

This study is a follow on to an earlier publication by Takahashi and Luo \cite{2012} and aims to provide a more complete characterization of LNB, deep convective outflow, and convective cores of tropical deep convection, and the relationship among them, including regional variations and connection to convective entrainment, internal vertical structure, and dependence on midtropospheric RH and cloud system size. The principal findings are as follows:

1. In terms of regional differences, the Warm Pool has the highest $LNB_{\text{sounding}}$, whereas tropical Africa has the highest $LNB_{\text{observation}}$. Both $LNB_{\text{sounding}}$ and $LNB_{\text{observation}}$ are the lowest over the east Pacific and Atlantic ITCZ.

2. The difference between $LNB_{\text{sounding}}$ and $LNB_{\text{observation}}$ can be interpreted as a measure of convective dilution. Using a simple entraining plume model, we show that convection over the Warm Pool has larger entrainment rate (thus convection is more diluted) than the two tropical land regions (Africa and Amazonia). Moreover, the deep convective cores (DCCs) are smaller over the Warm Pool than the two tropical land regions. These results lend observational evidence to a long-standing assumption in convection models concerning the negative correlation between DCC size and entrainment rate.

Figure 8. (a, c) Occurrence frequencies and (b, d) mean $LNB_{\text{maxMass}}$ as functions of convective system size (x axis) and midtropospheric RH (y axis) over tropical ocean (Figures 8a and 8b) and land (Figures 8c and 8d). Black arrows in the panels b and d represent the directions of the gradient of $LNB_{\text{maxMass}}$. 

Takahashi and Luo [2014]. At this stage, convective updrafts have reached their greatest height and have just started to outflow (the even earlier life stage where the cirrus anvil has not fully developed is not included in this study), leading them to have the highest $LNB_{\text{maxMass}}$. As the system ages, it grows in size due to continued outflow, but ice particles inside the cirrus anvil (outflow) have already settled to lower altitudes. Consequently, $LNB_{\text{maxMass}}$ as inferred from radar reflectivity profiles will likely have a lower value (compared to a younger outflow). Hence, the result in Figure 8 suggests that characteristics of convective outflow could be a function of convective life stage. An implication is that one should exercise extra care when interpreting snapshots from satellite measurements. Ideally, every satellite snapshot of a deep convective system should carry a tag indicating the corresponding timestamp in the whole convective lifecycle. Takahashi and Luo [2014] made some initial effort to achieve this goal by combining CloudSat and geostationary satellite data. But unfortunately, almost no existing satellite-based cloud products have this feature (with the notable exception of ISCCP) [Vant-Hull et al., 2016], which limits the application in diagnostic analysis.

5. Summary and Conclusions

This study is a follow on to an earlier publication by Takahashi and Luo [2012] and aims to provide a more complete characterization of LNB, deep convective outflow, and convective cores of tropical deep convection, and the relationship among them, including regional variations and connection to convective entrainment, internal vertical structure, and dependence on midtropospheric RH and cloud system size. The principal findings are as follows:

1. In terms of regional differences, the Warm Pool has the highest $LNB_{\text{sounding}}$, whereas tropical Africa has the highest $LNB_{\text{observation}}$. Both $LNB_{\text{sounding}}$ and $LNB_{\text{observation}}$ are the lowest over the east Pacific and Atlantic ITCZ.

2. The difference between $LNB_{\text{sounding}}$ and $LNB_{\text{observation}}$ can be interpreted as a measure of convective dilution. Using a simple entraining plume model, we show that convection over the Warm Pool has larger entrainment rate (thus convection is more diluted) than the two tropical land regions (Africa and Amazonia). Moreover, the deep convective cores (DCCs) are smaller over the Warm Pool than the two tropical land regions. These results lend observational evidence to a long-standing assumption in convection models concerning the negative correlation between DCC size and entrainment rate.
3. Comparisons in internal vertical structure of DCCs, including vertical extent of large radar echoes and near-surface attenuation by rain, suggest that the two tropical land regions contain more intense convective cores than the Warm Pool.

4. In general, higher LNB_maxMass (defined as level of maximum radar echo inside the fresh anvil) are found to be associated with a moister midtroposphere, because a moister environment reduces the effect of entrainment dilution. The trend is especially pronounced for smaller systems.

5. LNB_maxMass is higher when convective systems are smaller. We postulate that the observed size dependence has to do with convective life stage of our samples. Note that this result does not contradict prior studies based on IR sensors which generally show that large convective systems tend to have colder (higher) cloud tops, because IR-based cloud top and LNB_maxMass are different measures of convective outflow levels. However, LNB_maxMass is probably more representative of convective mass outflow, as suggested by ground-based Doppler radar observations (Mullendore et al., 2009).

In summary, our analysis of CloudSat observations has elucidated a number of important characteristics of tropical deep convection and gained insights into the inner workings of the convective processes. A particularly useful lesson for the global modeling community is the observed relationship among convective intensity, entrainment rate, convective core width, and outflow height. It is a challenge to correctly reproduce these relations in global climate models (GCMs). It is our hope that the observed relationships will contribute to evaluating GCM cumulus parameterization in ways that have not been possible.

Acknowledgments
The research started when the senior author was at CUNY Graduate Center and was completed at the Jet Propulsion Laboratory, California Institute of Technology, under a contract with the National Aeronautics and Space Administration (NASA). The study was partly supported by grant NNN13D455T. The authors would like to thank Jeyavinoth Jeyaratnam of CUNY for computational assistance and W.B. Rossow for insightful discussions. Detailed information on CloudSat data can be found from the CloudSat Data Processing Center at http://cloudsat.cira.colostate.edu.

References


