

The landscape model: a model for exploring trade-offs between agricultural production and the environment

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2 The Landscape Model: a model for exploring trade-offs
3 between agricultural production and the environment.

4

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10

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15

16 HIGHLIGHTS

- 17 • Understanding trade-offs between yield and environment is essential for SI
- 18 • The Landscape Model aids the understanding of crop-soil-water interactions
- 19 • Model validated against 50 years of data from two long-term experiments
- 20 • Model validated against spatially-explicit data from the North Wyke farm platform
- 21 • The model simulated wheat yield, grain N and grain P particularly well

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23

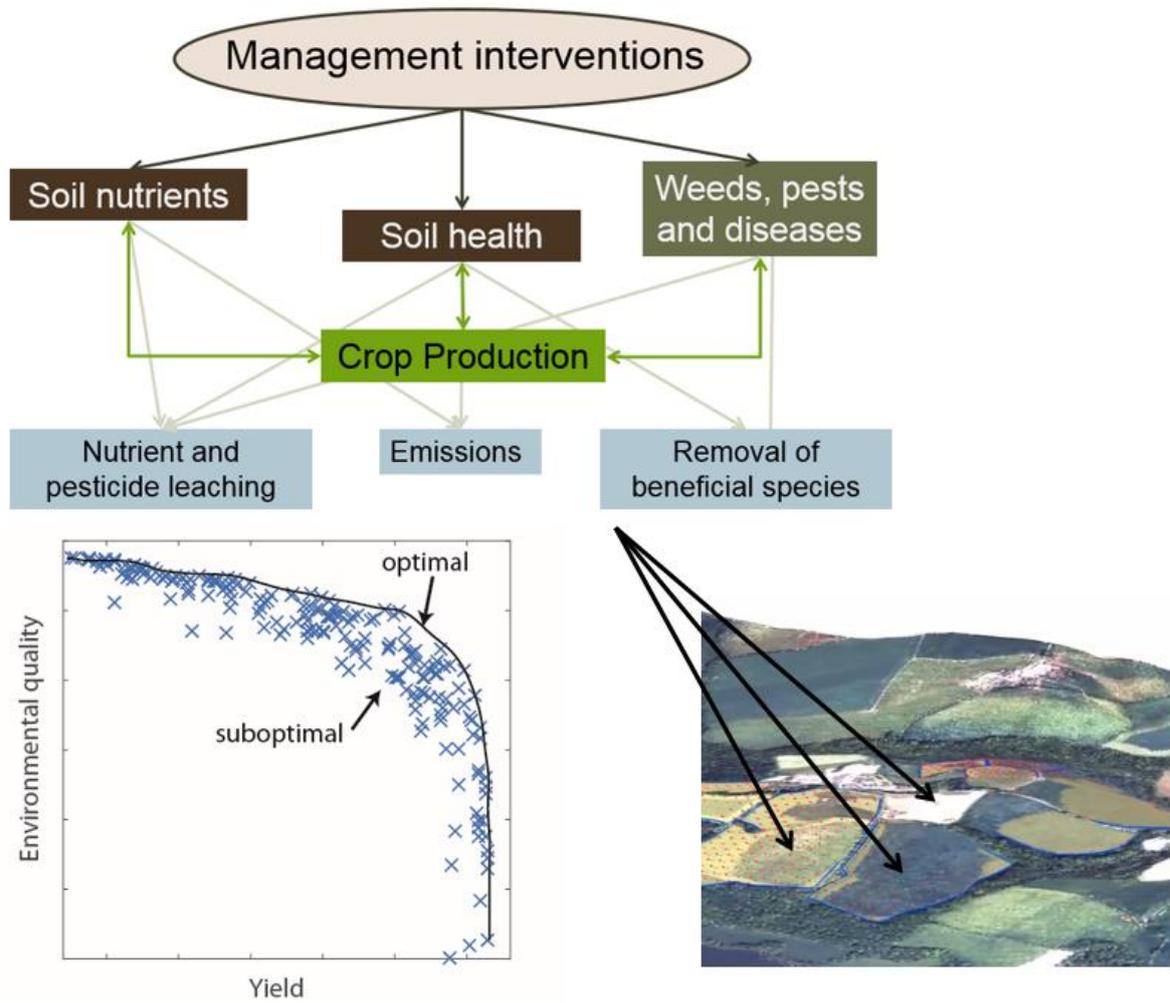
24 ABSTRACT

25 We describe a model framework that simulates spatial and temporal interactions in agricultural
26 landscapes and that can be used to explore trade-offs between production and environment so
27 helping to determine solutions to the problems of sustainable food production. Here we focus
28 on models of agricultural production, water movement and nutrient flow in a landscape. We
29 validate these models against data from two long-term experiments, (the first a continuous
30 wheat experiment and the other a permanent grass-land experiment) and an experiment where
31 water and nutrient flow are measured from isolated catchments. The model simulated wheat
32 yield (RMSE 20.3-28.6%), grain N (RMSE 21.3-42.5%) and P (RMSE 20.2-29% excluding
33 the nil N plots), and total soil organic carbon particularly well (RMSE 3.1 – 13.8%), the
34 simulations of water flow were also reasonable (RMSE 180.36 and 226.02). We illustrate the
35 use of our model framework to explore trade-offs between production and nutrient losses.

36

37

38



43 **1. Introduction**

44 Increasingly, agricultural production is being compelled to look not just at its
45 externalities such as the environmental pollution or depletion of natural resources but also at
46 the provision of wider ecosystem services such as biodiversity. Schemes to monitor or assess
47 land for all of these factors are prohibitively expensive and yet there is a need to analyse modern
48 agricultural systems for the purposes of policy, planning or management. Not surprisingly
49 therefore, computer simulation models have a role to play in filling the large gaps between
50 what we need to know and what is available from measurements.

51 Simulation models of agricultural systems abound, some focussing on specific aspects
52 such as soil organic matter dynamics (Coleman et al., 1997), crop growth (Semenov and
53 Stratonovitch, 2015), water movement (Addiscott and Whitmore, 1991), emissions (Rolston et
54 al., 1984), competing organisms (Andrew and Storkey, 2017), and some integrating to
55 agricultural management systems (Brisson et al., 2003; Keating et al., 2003). Others focus on
56 the natural systems, tracing biodiversity often quite specifically (Andam et al., 2008; Koh et
57 al., 2010). Some models, particularly agricultural ones, focus on field (Bell et al., 2012; Parton
58 et al., 1994) or farm scales (Del Prado et al., 2011). Biodiversity models often focus on larger
59 scales and water management models are naturally focussed on river basins or catchments
60 (Whitehead et al., 2014).

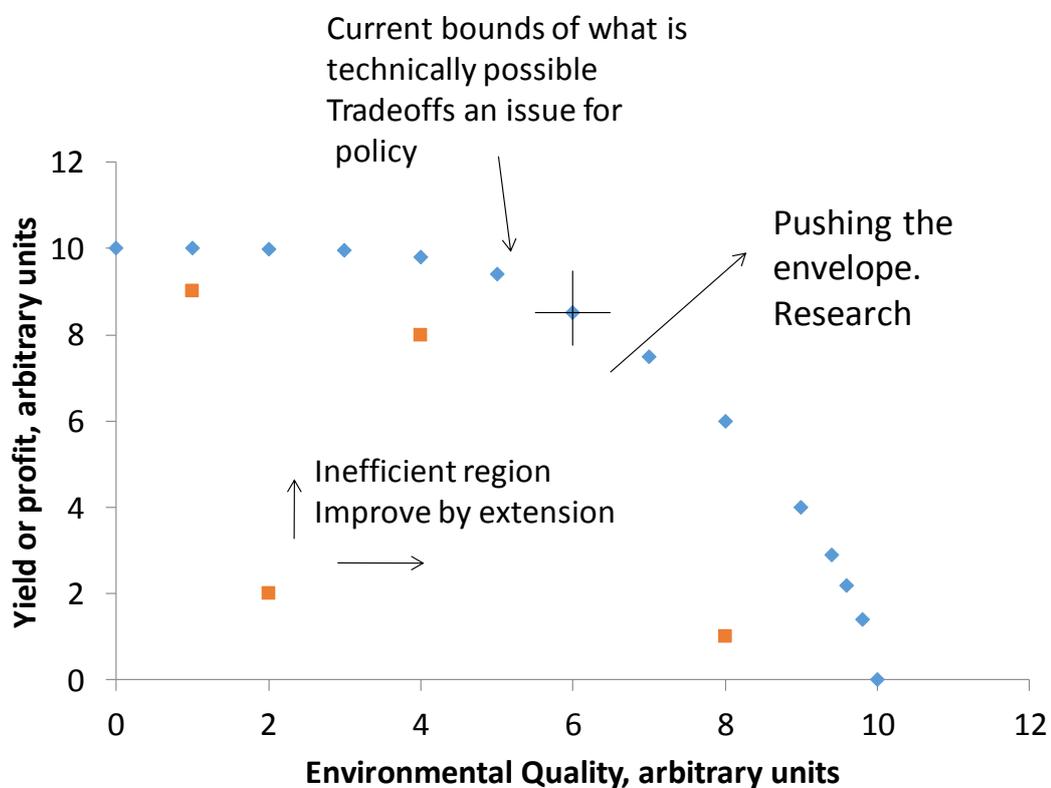
61 Many models simulate fields or regions, some simulate particular fluxes, say water
62 from land to rivers. It is rarer to find models that try to integrate several of the impacts of
63 farming in the landscape, and those that do adopt a relatively empirical, data-driven approach
64 (Jackson et al., 2013; Tilman et al., 2001) that makes it difficult to explore the interactions
65 between components of that landscape that might be better managed with a more holistic
66 overview. It is rarer still to find models that make explicit spatial and temporal linkage between

67 adjacent fields and integrate all aspects of the managed farm environment up to the catchment
68 level. Such a model would be useful to understand the spatial interactions and impact of the
69 natural (weeds, pest and diseases) as well as management (irrigation, fertilizer and application
70 of pesticides) events on an agricultural landscape. Our aim is to develop a spatially explicit
71 model that can simulate the essential processes of soil, water, crop growth and biodiversity for
72 agricultural landscapes in the UK. This model can then be used to understand the trade-off
73 between farm management practices on farm economy and the environment. The ability to
74 quantify such trade-offs is critical to our management of the landscape and underpins many
75 sustainability frameworks including the three pillars of sustainability (environmental,
76 economic and social), the UN Sustainable Development goals which includes several targets
77 that relate to agricultural landscapes (Gil et al., 2017), and water-energy-food nexus approaches
78 that aim to consider the use of all of these resources. While tradeoff models exist (e.g. see
79 Sharps et al., 2017) they usually operate at large scales, not accounting for the field or farm
80 scale at which land management decisions are often made. These models are often focussed on
81 land-use options within in GIS-based systems, operate on annual time-scales and can be
82 focussed on policy. Our approach, and ultimate aim, is to simulate interactions between the
83 multiple processes that take place in agricultural fields and the farmed landscape with a view
84 to uncovering strategies for development and improvement of agri-environmental systems,
85 beyond the current envelope (Fig 1). By working on a daily time-step we can simulate the
86 processes and inform the decisions that someone who manages land will have to take.

87 Here we report the first version of our model that integrates agricultural production,
88 water movement and nutrient flow in a landscape. The model combines aspects of several
89 published model [RothC (Coleman and Jenkinson, 2014), LINTUL (Wolf, 2012), SUCROS
90 (van Laar et al., 1997), and Century (Parton et al., 1994)], but also includes novel factors that
91 have been implemented to capture potential improvements in yield that result from

92 management actions. These include coupling the RothC model to include the dynamics of N
 93 and P and responses to changes in bulk-density that result from changes in soil organic matter.
 94 We evaluate the model against data on crop growth and nutrient uptake for cereals and for
 95 grass, and the integration in space of water and nutrients leaving agricultural fields. We then
 96 illustrate how our model can be used to explore trade-offs between production and environment
 97 with a scenario based on a wheat crop grown in conditions typical of arable England.

98



99

100 Fig1: Representation of an environmental-economic production possibility frontier.
 101 The blue diamonds are independent outcomes of management that optimises both yield and
 102 environmental quality at the same time. A decision along this line is a matter for policy. The
 103 orange squares within the envelope are inefficient in the sense that either production or
 104 environmental quality could be improved without impacting the other. This is the region for
 105 extension. Beyond the envelope is a zone where outcomes are currently infeasible and this is

106 the area which research addresses. An origin placed over any point (for example the cross
107 shown in the figure on the middle of the envelope), facilitates the definition of the envelope
108 algorithmically: if another point can be found in the first quadrant (North East) then the first
109 point is not on the envelope.

110

111 **2. Methodology**

112 Our intention was to build a model system capable of exploring the multiple interactions
113 between components of a simple landscape and to take into account both within and between
114 field movement of components such as water and nitrate. Nonetheless, because we wished to
115 build a system that can be used on a reasonably large landscape comprising many fields and
116 boundaries, we based our system on simple but adequate descriptions of the processes involved.
117 Here we report on interactions and differences between single or adjacent but joined fields and
118 focus our discussion on productivity and loss of water and nitrogen to water courses and the
119 atmosphere. To do so we describe an integrated model of crop, water and soil processes that
120 runs on a daily time step. We validate this using data from the Broadbalk and Park Grass long-
121 term experiments at Rothamsted Research, in Harpenden, SE England, and spatial interactions
122 are tested on data from the more recently established North Wyke Farm Platform, at
123 Rothamsted Research, near Okehampton, SW England (Orr et al., 2016).

124

125 *2.1 Spatial structure*

126 We impose a grid on the landscape where, dependant on size, each field is represented
127 by one or more grid cells. Soil properties are set in each cell and initial values are given for
128 bulk density, pH and soil water. Within each cell we model crop growth, the dynamics of soil
129 water, total soil organic carbon (TOC), changes in bulk density and nutrient flows on a daily

130 time step. In cases where fields are made up of several cells, water and nutrients can move
131 laterally between cells, as well as vertically through the soil profile. This model structure allows
132 us to explore both temporal and spatial interactions. Cell edges can be designated as ditches
133 (into which water and nutrients may flow), hedgerows or field margins.

134

135 *2.2 Soil water*

136 The soil water model uses a capacity based approach (Addiscott and Whitmore, 1991;
137 Van Ittersum et al., 2003; van Laar et al., 1997). The soil is divided into three layers. This
138 choice is a compromise between capturing the heterogeneity of the soil profile (which would
139 require multiple layers in the simulation) and minimising complexity to enable fast run-times
140 which are important when coupling models with optimisation algorithms over large spatial
141 scales. In our study each layer was initially set to 230mm. The capacity of each of the soil
142 layers is calculated with van Genuchten (1980) soil water release curves determined using the
143 HYPRES pedo-transfer functions (Wösten et al., 1999). These functions use texture, soil
144 organic matter and bulk density to derive the water release curves. For the topsoil, these release
145 curves are updated daily to take into account changes in bulk density, for example, when
146 farmyard manure (FYM) is added (see section 2.6).

147 Infiltrating water fills the soil layers to field capacity (-10 kPa), and starting from the
148 top layer, excess water drains to the layer below, with water draining from layer 3 becoming
149 drainage. In addition to percolation, water is lost by runoff and evaporation from the soil
150 surface, and transpiration by the growing crop. The water available for crop uptake at any time
151 is equal to the quantity of water stored above wilting point (-1500 kPa) in the rooted soil profile.
152 A detailed description of the soil water model can be found in van Laar et al. (1997), with our
153 modifications described in section 2.7. The change in water content in each layer is derived

154 from the balance between inputs from precipitation, and outputs from drainage, runoff,
155 evaporation and transpiration.

156 Working at the water catchment scale Bell et al. (2007) developed a simple algorithm
157 for estimating the total surface water leaving a sloping (i.e. not uniform in the vertical
158 dimension) region. The storage capacity (S) of high zones is reduced in relation to the
159 topographic gradient according to

$$160 \quad S = \left(1 - \frac{\bar{g}}{g_{\max}}\right) S_{\max} \quad 1$$

161 where S_{\max} is the maximum storage capacity, \bar{g} is the average gradient in the cell and g_{\max} is
162 the upper limit on the gradient. By adopting this strategy on a grid cell basis, we increase the
163 flow of water out of each cell compared to that if it were flat. Runoff moves from the highest
164 cell to the lowest by moving between cells with neighbouring boundaries. The proportion of
165 runoff allocated in each direction is determined by the relative magnitude of the downward
166 slopes. Dissolved substances such as nitrate, move in proportion to the water.

167

168 *2.3 Soil total organic carbon, nitrogen and phosphorus*

169 The soil total organic carbon (TOC) model is based on the Rothamsted carbon model,
170 RothC, (Coleman and Jenkinson, 2014). Soil total organic carbon is split into four active
171 compartments and a small amount of inert organic matter (IOM). The four active compartments
172 are Decomposable Plant Material (DPM), Resistant Plant Material (RPM), Microbial Biomass
173 (BIO) and Humified Organic Matter (HUM). Each compartment decomposes by a first-order
174 process with its own rate constant. The IOM compartment is resistant to decomposition.
175 Decomposition of each of the four active pools is modified by rate modifying factors for

176 temperature, moisture and plant retainment. Full details of the model can be found in (Coleman
177 and Jenkinson, 2014).

178 The dynamics of the soil organic nitrogen (SON) and phosphorus (SOP) are modelled
179 in a similar way to the TOC dynamics, both SON and SOP have the same pool structure as the
180 active TOC pools. To determine initial values for each TOC pool, the model is run to
181 equilibrium so that the modelled TOC matches the initial measured TOC. The initial values of
182 each of the SON and SOP pools are then determined using the TOC values, and the C:N and
183 C:P ratios of each pool. The $C:N_{\text{Bio}}$ and $C:N_{\text{Hum}}$ ratios are both fixed at 8.5 (Bradbury et al.,
184 1993), whereas $C:N_{\text{DPM}}$ and $C:N_{\text{RPM}}$ ratios vary over time depending on the carbon inputs to
185 soil from the crop or the addition of organic amendments. The $C:P_{\text{Bio}}$ and $C:P_{\text{Hum}}$ ratios are
186 fixed at 50.0 and 100.0 respectively, like nitrogen the $C:P_{\text{DPM}}$ and $C:P_{\text{RPM}}$ ratios vary over
187 time depending on the carbon inputs to the soil from the crop or the addition of organic
188 amendments.

189 The N in pool i that is mineralised or immobilized is given by

$$190 \quad M_i = \frac{\Delta_i}{\rho_i} - \frac{B_i}{\rho_{\text{Bio}}} - \frac{U_i}{\rho_{\text{Hum}}} \quad 2$$

191 where Δ_i is the change in pool i from day t to $t + 1$, B_i is the amount of pool i transformed
192 to biomass from day t to $t + 1$, U_i is the amount of pool i transformed to humus from day t to
193 $t + 1$, ρ_i is the C:N ratio for pool i , and ρ_{Bio} and ρ_{Hum} are the C:N ratios for the biomass and
194 humus pools respectively. The sum of M_i across the four pools gives the net mineralisation or
195 immobilisation, if the sum of M_i is negative immobilisation occurs and mineral N is removed
196 from the soil, if the sum of M_i is positive mineralisation occurs and mineral N is added as
197 NH_4^+ to the soil. If there is not enough soil mineral N (NO_3^- and NH_4^+) on a particular day, then

198 decomposition of TOC does not happen. If there is enough soil mineral N, then N is removed
199 from the NH_4^+ pool in preference to NO_3^- pool.

200 The P mineralisation or immobilization of each SOP pool is calculated in a similar way
201 to the mineralisation N, where in Equation (2), ρ_i is the C:P ratio for pool i , and ρ_{Bio} and ρ_{Hum}
202 are the C:P ratios for the biomass and humus pools respectively. When P is mineralised 80%
203 is added to the available P pool, and the remaining 20% is added to the non-available P pool.
204 For P 80% of mineralised P is added to or subtracted from the available P pool, similarly when
205 immobilization of P occurs 80% is taken from the available P pool, and the remaining 20% is
206 taken from the non-available P pool (see section 2.5).

207

208 2.4 Soil Mineral Nitrogen

209 In the model, soil mineral N consists of N in ammonium (NH_4^+) and nitrate (NO_3^-).
210 Inputs of N through atmospheric deposition (N_{AtDep}) were set to 35 kg N yr⁻¹ (Anon, 1998) for
211 the UK in 1966, decreasing linearly to 20 kg N yr⁻¹ in 2012 (pers. comm. Goulding). Like,
212 Sundial (Anon, 1998) it was distributed evenly throughout the year as nitrate. Nitrogen applied
213 as fertilizer enters the NH_4^+ or NO_3^- pools depending on the type of fertilizer applied. When
214 organic amendments are added, N enters the soil inorganic nitrogen pools by mineralisation
215 (see section 2.3).

216 Rainfall runoff mixes in the model with the water and minerals in the top 20 mm of the
217 soil profile. The amount of mineral nitrogen (NH_4^+ and NO_3^-) in runoff from the the top 20 mm
218 of soil (N_{Run}) is given by (Sharpley, 1985)

$$219 \quad N_{\text{Run}} = \frac{N_{\text{Surf}} W_{\text{Run}}}{W_{\text{Run}} + W_{\text{Surf}}} \quad 3$$

220 where the surface water (W_{Surf}) is given by difference in the volumetric water content at
 221 saturation and air dried, multiplied by 20 to give the water (mm) in the top 20 mm, W_{Run} is
 222 the water runoff (mm) and the surface N (N_{Surf}) is given by

$$223 \quad N_{\text{Surf}} = \frac{20}{\delta(1)} (N_{\text{NH}_4} + N_{\text{NO}_3}) \quad 4$$

224 where $\delta(1)$ is the depth of the first layer.

225 Any nitrate in the soil can potentially move down the soil profile with the water. The
 226 concentration of NO_3^- in layer l , ($\gamma_{\text{NO}_3}(l)$) is given by:

$$227 \quad \gamma_{\text{NO}_3}(l) = \frac{N_{\text{NO}_3}(l)}{W(l)} \quad 5$$

228 where $N_{\text{NO}_3}(l)$ is the NO_3^- (kg N ha^{-1}) in layer l , $l = 1 \dots 3$, and $W(l)$ is the water content of
 229 layer l .

230 The amount of NO_3^- (kg N d^{-1}) that moves down each layer l is given by

$$231 \quad F_{\text{NO}_3}(l) = \max(0, \min\{N_{\text{NO}_3}(l), \gamma_{\text{NO}_3}(l)F_W(l+1)\}) \quad 6$$

232 where $F_W(l)$ is the water that flows from layer l to layer $l+1$. The nitrate that moves down
 233 from layer 3, $F_{\text{NO}_3}(3)$, is N leached out of the profile.

234 Nitrification is an aerobic process whereby the NH_4^+ in the soil is oxidised to form NO_3^-
 235 and N_2O . Our models are based on Milne et al. (2005) and Parton et al. (2001). The rate of
 236 nitrification depends on the soil properties, such as water filled pore space $\theta/\theta_{\text{Sat}}$, soil
 237 temperature (T), soil moisture (M), and pH (S_{pH}). In the model the amount of N_2O (kg N ha^{-1}
 238 day^{-1}) produced from a given amount of NH_4^+ ($N_{\text{NH}_4}(l)$) in layer l is given by

$$239 \quad N_{\text{N}_2\text{O}}(l) = k_{\text{N}_2\text{O}} N_{\text{NH}_4}(l) S_{\text{pH}}(l) \left(1 - \frac{\theta}{\theta_{\text{Sat}}(l)}\right), \quad 7$$

240 where $k_{\text{N}_2\text{O}}$ is a constant that takes the value 0.0001. The amount of nitrate ($\text{kg N ha}^{-1} \text{day}^{-1}$)
 241 produced from soil NH_4^+ is given by

242
$$N_{\text{NO}_3}(l) = \max[(N_{\text{NH}_4}(l) - N_{\text{N}_2\text{O}}(l) - N_{\text{min}})(1 - e^{-k}) f(T(l))g(M(l)), 0]$$
 8

243 where N_{min} is the minimum amount of NH_4^+ that must be in the soil for nitrification to occur
 244 (we assume $N_{\text{min}} = 0.05$), k is a constant for nitrification which is set at 0.15, and $f(T)$ and
 245 $g(M(i))$ are functions that describe the effect respectively of temperature and moisture on
 246 nitrification, for details see Godwin and Allan Jones (1991).

247 Denitrification is an anaerobic process whereby the NO_3^- in the soil is reduced to nitrous
 248 oxide and nitrogen. The amounts of these gases produced depends on the soil conditions, most
 249 notably the nitrate in the soil (N_{NO_3} , kg ha^{-1}), the water filled pore space ($\theta/\theta_{\text{Sat}}$), soil
 250 temperature (T , $^\circ\text{C}$), soil organic carbon (c) and pH (S_{pH}) (Del Grosso et al., 2000; Milne et al.,
 251 2011; Nömmik, 1956). The effect of soil organic carbon on emissions is felt indirectly as a
 252 result of the temperature function $g(T)$. We assumed the following simple model to describe
 253 N_2O emissions ($\text{kg N ha}^{-1} \text{ day}^{-1}$)

254
$$\text{N}_2\text{O} = aN_{\text{NO}_3}f(\theta/\theta_{\text{Sat}})g(T)$$
 9

255 where a is a constant. We took the functional forms of $f(\theta/\theta_{\text{Sat}})$ and $g(T)$ from the literature
 256 and then fitted the model parameters to data from field experiments from around the UK where
 257 nitrate, soil temperature, water filled pore space, and N_2O emissions ($\text{kg N ha}^{-1} \text{ day}^{-1}$) were
 258 measured. Similar to other empirical or semi-empirical models, these parameter values can
 259 only be assumed to hold for the range of conditions for which they were fitted, and outside of
 260 this range further validation would be required. Nitrous oxide is linearly related to nitrate
 261 (N_{NO_3}) and we used the function defined by Lark and Milne (2016) to describe the effect of
 262 water-filled-pore space on N_2O emissions. That is

263
$$f(w) = \exp \left[-0.6151 \left(\log \left\{ \frac{\theta/\theta_{\text{Sat}}(l)}{1 - \theta/\theta_{\text{Sat}}(l)} \right\} - 1.19 \right)^2 \right]$$
 10

264 where $\theta/\theta_{\text{Sat}}(l)$ is the water filled pore space in each layer l . Data from Nömmik (1956)
 265 suggested that the relationship between temperature and N₂O emissions should follow a normal
 266 distribution with mean 23.65 and standard deviation 5.53. However, data from the Defra project
 267 AC0116 (<http://www.environmentdata.org/archive/ghgno:676>) which we used to relate
 268 average temperature to emissions, did not conform to the standard deviation given by Nömmik
 269 (1956). Therefore, we assumed the same mean but fitted the standard deviation to our field
 270 data. Our fitted model was

$$271 \quad N_2O = 0.000735N_{NO_3} \exp[-0.6151(\theta/\theta_{\text{Sat}}(l) - 1.19)^2] \exp[-0.00045(T - 23.65)^2] \quad 11$$

272 which we apply in only the top two layers of our model as there is not sufficient biological
 273 activity for denitrification to occur in the bottom soil layer.

274 When water filled pore space increases, the soil becomes more anaerobic and so the
 275 amount of N₂ produced increases. A similar relationship holds for temperature (Nömmik,
 276 1956). We used the following model and fitted the parameters so that our model gave
 277 proportions of N₂O to N₂ similar to those observed in Colbourn (1988)

$$278 \quad N_2 = \frac{0.0052 N_{NO_3}}{(1 + e^{-0.14975(T+4.0)})(1 + e^{-12.0(\theta/\theta_{\text{Sat}}(l)-0.62)})} \quad 12$$

279 The nitrogen taken up by the crop each day is taken from the nitrate pool with an upper limit
 280 of 6 kg N ha⁻¹ day⁻¹ (Semenov et al., 2007).

281

282 *2.5 Mineral Phosphorus*

283 In the model, mineral phosphorus is split into two pools: available P (which includes
 284 phosphorus in soil solution and loosely adsorbed to the clay surface) and non-available P.

285 Eighty percent of the fertilizer P enters the available P pool and the remaining 20% enters the
 286 non-available P pool (Wolf et al., 1987).

287 Similar to the N model, a proportion of the available P contained in the top 20 mm of
 288 soil can be lost through runoff.

$$289 \quad P_{\text{Run}} = \frac{P_{\text{Surf}} W_{\text{Run}}}{W_{\text{Run}} + W_{\text{Surf}}} \quad 13$$

290 where the surface P (P_{Surf}) is given by

$$291 \quad P_{\text{Surf}} = \frac{20}{\delta(1)} P_{\text{M}} \quad 14$$

292 where P_{M} is the mobile (dissolved and particulate) P which we assume to be 10% of P_{AV} . We
 293 set solution P to 1% of the available P (pers. comm. Paul Poulton). This can potentially be
 294 leached when water flows down the profile.

295 The soil organic P that is mineralised is added to the available P pool. Mineral P may
 296 also be immobilised, in which case it is taken from the available P pool first and then from the
 297 non-available P pool.

298 Available P (P_{AV}) is converted to non-available P (P_{NonAv}) by reversible processes
 299 which reduce its extractability. In the model, the P content for each soil layer (available and
 300 non-available P), which we define P_{Tot} , is calculated in mg kg^{-1} soil. The release to fixation
 301 variable, $V(l)$, for layer l is given by

$$302 \quad V(l) = \begin{cases} \frac{\alpha_b P_{\text{Tot}}(l) + \beta_b}{P_{\text{Tot}}(l)}, & P_{\text{Tot}}(l) > \frac{\beta_a - \beta_b}{\alpha_b - \alpha_a} \\ \frac{\alpha_a P_{\text{Tot}}(l) + \beta_a}{P_{\text{Tot}}(l)}, & P_{\text{Tot}}(l) \leq \frac{\beta_a - \beta_b}{\alpha_b - \alpha_a} \end{cases} \quad 15$$

303
 304 were α_b and β_b are the slope and intercept, of value 0.113 and -49.3 respectively, for the
 305 linear relationship between P_{AV} and P_{Tot} . For small values of P_{Tot} , an alternative set of

306 coefficients α_a and β_a , of value 0.0201 and -5.1 , are used (see supplementary Fig. 1). The
 307 ratio of release to fixation is given by

$$308 \quad R_{\text{RF}}(l) = \frac{V(l)}{1.0 - V(l)} \quad 16$$

309 The transfer of P from the non-available to the available pool $P_{\text{NA} \rightarrow \text{Av}}$, and the reverse transfer
 310 $P_{\text{Av} \rightarrow \text{NA}}$ in layer l on day $t + 1$ are given by

$$311 \quad P_{\text{NA} \rightarrow \text{Av}} = R_{\text{RF}}(l) P_{\text{Av}}(l; t) f_{\text{pH}}(S_{\text{pH}}(l)) \quad 17$$

$$312 \quad P_{\text{Av} \rightarrow \text{NA}} = \lambda P_{\text{NonAv}}(l; T) R_{\text{RF}}(l) f_{\text{pH}}(S_{\text{pH}}(l)), \quad 18$$

313 and so

$$314 \quad P_{\text{NonAv}}(l; t + 1) = P_{\text{NonAv}}(l; t) + P_{\text{Av} \rightarrow \text{NA}} - P_{\text{NA} \rightarrow \text{Av}} \quad 19$$

$$315 \quad P_{\text{Av}}(l; t + 1) = P_{\text{Av}}(l; t) - P_{\text{Av} \rightarrow \text{NA}} + P_{\text{NA} \rightarrow \text{Av}} \quad 20$$

316 The constant λ determines the rate of re-equilibration between P_{Av} and P_{NonAv} following the
 317 addition of mineral P, and is set to 0.01 giving a half-life of approximately 65 days. The values
 318 of coefficients, α , β and λ were established for a silty clay loam soil at Rothamsted. The rate
 319 modifying function f_{pH} linearly increases from 0.0 to 1.0 as pH increases from 0 to 7, and then
 320 linearly decreases back to zero as pH increases from 7 to 14. The P required by the crop is
 321 taken from the available P pool, up to a limit of $2 \text{ kg P ha}^{-1} \text{ day}^{-1}$.

322

323 *2.6 Bulk density*

324 To take into account changes in depth caused by changes in bulk density as a result of,
 325 for example, the addition of FYM, we used the Rawls (1983) nomogram to estimate bulk
 326 density in relation to sand, clay and organic carbon contents of soil. The depth of the topsoil is

327 modified to reflect the change in bulk density (changes in depth and bulk density only occur in
328 the top soil). Because of the changes in depth and bulk density in the top soil, we modify water
329 properties, such as the water content at saturation, field capacity, and wilting point, daily (see
330 section 2.2). Modelling bulk density dynamically in this way has been described previously by
331 Whitmore et al. (2011).

332

333 2.7 Crop model

334 Our crop model is a generic plant growth model, which uses a light use efficiency (LUE,
335 g dry matter MJ⁻¹) based approach to calculate the biomass production (Monteith, 1990;
336 Monteith and Moss, 1977). The rate of biomass (B_{crop}) produced each day is given by

$$337 \quad \frac{dB_{\text{crop}}}{dt} = Q \varepsilon W_{\text{rf}} N_{\text{NI}} P_{\text{NI}} \quad 21$$

338 where Q is the intercepted PAR (MJ PAR m⁻² surface area) which depends on the solar
339 radiation and canopy leaf area, ε is the crop specific LUE, which for grass, changes with
340 development stage see Schapendonk et al. (1998), W_{rf} is the transpiration reduction factor,
341 N_{NI} and P_{NI} are the nitrogen and phosphorus nutrition indices, which range from zero to one.
342 For grass, LUE is reduced for higher radiation levels (Schapendonk et al., 1998). In our model
343 LUE is reduced by a factor R_{LUE} which decreases from 1.0 to 0.33 when radiation increases
344 from 10 to 40 MJ m⁻² d⁻¹. Schapendonk et al. (1998) also modified LUE, by the temperature
345 factor T_{LUE} , which in this study increases linearly from 0.0 to 1 between 6.0 and 9.0 °C. The
346 biomass formed is partitioned between roots, stem, leaves and storage organs based on the
347 development stage (DVS) (Boons-Prins et al., 1993; Wolf, 2012).

348 The transpiration reduction factor (W_{rf}) is defined as the ratio of actual transpiration
349 (mm day⁻¹) to potential transpiration (mm day⁻¹) and is calculated

350
$$W_{rf} = \frac{\sum_{l=1}^3 A_{Tran}(l)}{P_{Tran}} \quad 22$$

351 where P_{Tran} is the daily potential transpiration which is calculated as in Lintel (Wolf, 2012).

352 The amount of the actual transpiration coming out of layer (l) is given by

353
$$A_{Tran}(l) = \frac{P_{Tran}(l) W_S(l)^2 F_{RL}(l)}{W_S(1) F_{RL}(1) + W_S(2) F_{RL}(2) + W_S(3) F_{RL}(3)}. \quad 23$$

354 Here F_{RL} is the fraction of root in each layer and W_S is the impact of water content on the
 355 water stress function. This follows the approach of Li et al. (2001). This impact of water content
 356 is based on the method described in Feddes et al. (1976) given by

357
$$W_S = \begin{cases} \frac{\theta_s - \theta}{\theta_s - \theta_a}, & \text{for } \theta > \theta_a \\ 1 & \text{for } \theta_a \geq \theta > \theta_d \\ \frac{\theta - \theta_w}{\theta_d - \theta_w}, & \text{for } \theta_d \geq \theta > \theta_w \end{cases} \quad 24$$

359 where θ is the volumetric water content, θ_s is the water content at saturation, θ_a is the water content
 360 at -5 kPa, θ_d is the water content at -40 kPa, and θ_w is the water content at wilting point (-1500
 361 kPa). Water stress affects grass less than arable crops (per comms J. Storkey). In simulations,
 362 when the soil is saturated grass does not suffer water stress. When the volumetric water content
 363 falls below $\theta_d = -40$ kPa the water stress factor W_S decreases linearly between θ_d and θ_w to 0.4.

364

365 The proportion of root (F_{RL}) in each layer l is given by

366
$$F_{RL}(l) = \frac{R_{Len}(l)}{R_{Len}(1) + R_{Len}(2) + R_{Len}(3)} \quad 25$$

367 where R_{Len} is the root length per unit area (mm mm^{-2}).

368 The root depth (d_{root}) increases by 12.0 mm per day to a maximum root depth which
 369 depends on the crop being modelled. The root length per unit area within each layer, calculated
 370 according to an adaptation of the method of Gerwitz and Page (1974), is given by

371
$$R_{\text{Len}}(l) = -\frac{R_0}{a (e^{-a z_2(l)} - e^{-a z_1(l)})} \quad 26$$

372 where R_0 is the root length density at the soil surface (mm mm^{-3}) the value of which is non-
 373 essential to the model as it cancels out in Equation (25), $z_1(l)$ and $z_2(l)$ are the upper and
 374 lower horizon depth (mm) of layer l , and a is given by

375
$$a = -\frac{\ln(1 - F_r)}{d_{\text{root}}} \quad 27$$

376 where F_r is the fraction (arbitrarily defined as 0.98) of the root length that is present above
 377 d_{root} .

378 The uptake of plant nutrient (N and P) is determined by the crop demand and the supply
 379 of these nutrients by soil. The total nutrient demand of the crop is the sum of the nutrient
 380 demand from its individual organs (i.e. roots, stems and leaves excluding storage organs, for
 381 which nutrient demand is met by translocation from the other organs). Nutrient demand of the
 382 individual organs is calculated as the difference between maximum and actual organ nutrient
 383 contents. The maximum nutrient content is defined as a function of canopy development stage.
 384 The total nutrient uptake of the crop takes place before anthesis. Sub-optimal nutrient
 385 availability in the soil leads to nutrient stress in the crop. A detailed description of crop nitrogen
 386 dynamics is reported by Shibu et al. (2010) and P dynamics follows N in a similar way.

387 Nitrogen stress in the plant growth model is expressed as nitrogen nutrition index (N_{NI})
 388 and is calculated by:

389
 390
$$N_{\text{NI}} = \max \left[0, \min \left(1, \frac{N_{\text{leaf}} + N_{\text{stem}} - N_{\text{Res}}(\Omega_{\text{leaf}} + \Omega_{\text{stem}})}{\Omega_{\text{leaf}} N_{\text{MaxPropleaf}} + \Omega_{\text{stem}} N_{\text{MaxPropstem}} - N_{\text{Res}}(\Omega_{\text{leaf}} + \Omega_{\text{stem}})} \right) \right] \quad 28$$

391 where N_{leaf} and N_{stem} are the N in the leaf and stem respectively, Ω_{leaf} and Ω_{stem} are the
 392 weights of the leaf and stem respectively, $N_{\text{MaxPropleaf}}$ and $N_{\text{MaxPropstem}}$ are the maximum
 393 proportion of N in the leaf and stem respectively. The residual N (N_{Res}) is the fraction of N

394 which is part of the cell structure and was fixed at 0.004 for wheat (Wolf, 2012) and 0.01 for
 395 grass (Bouman et al., 1996). For wheat, the maximum N in the leaf is given by:

$$396 \quad N_{\text{MaxPropleaf}} = 0.046 \exp(-1.7D) + 0.014 \quad 29$$

397 where D is the development stage of the crop which is calculated using thermal time modified
 398 by a vernalisation factor and the photosensitivity of the crop (see Wolf (2012), and references
 399 therein). For grass we set $N_{\text{MaxPropleaf}}$ to 0.0425. The maximum N in the stem is given by
 400 $N_{\text{MaxPropStem}} = 0.5 N_{\text{MaxPropLeaf}}$, (see Wolf (2012)).

401 The phosphorus nutrition index (P_{NI}) is calculated by:

$$402 \quad P_{\text{NI}} = \max \left[0, \min \left(1, \frac{P_{\text{leaf}} + P_{\text{stem}} - (\Omega_{\text{leaf}} P_{\text{ResLeaf}} + \Omega_{\text{stem}} P_{\text{ResStem}})}{\Omega_{\text{leaf}} P_{\text{MaxPropleaf}} + \Omega_{\text{stem}} P_{\text{MaxPropstem}} - N_{\text{Res}} (\Omega_{\text{leaf}} P_{\text{ResLeaf}} + \Omega_{\text{stem}} P_{\text{ResStem}})} \right) \right] 30$$

403 where P_{leaf} and P_{Stem} are the P in the leaf and stem respectively, and $P_{\text{MaxPropleaf}}$ and
 404 $P_{\text{MaxPropstem}}$ are the maximum proportion of P in the leaf and stem respectively. For wheat the
 405 residual P in the leaf is $P_{\text{ResLeaf}} = 0.0003$ and in the stem $P_{\text{ResStem}} = 0.00018$. For grass both
 406 P_{ResLeaf} and P_{ResStem} are set to 0.001 (Wolf et al., 1987). For wheat the maximum P in the
 407 leaf reduces with development stage. From development stages 0 to 0.7 it reduces linearly from
 408 0.0066 to 0.0036 and then from 0.0036 to 0.0009 from development stage 0.7 to 1, after which
 409 it holds the value of 0.0009. For grass the maximum P in the leaf is fixed at 0.0035 (Bouman
 410 et al., 1996).

411 Processes leading to the aboveground litter formation and carbon turnover below
 412 ground are similar for both crops and grass but their rates are different. We assume that 50%
 413 of the dead leaves become litter on a daily basis and the remainder is left on the stem. The rate
 414 at which the roots die is a function of growth stage. In case of crops, the root death happens
 415 towards the latter part of the growing season ($\text{DVS} > 1.5$) at a rate of 0.02 per day. In case of

416 grass, once the root system has been established (3-6 months after sowing, DVS=0.01), root
417 death becomes continuous at a rate of 0.01 per day. The root exudates are considered to be a
418 part of root death, so are not modelled separately. The leaf death rate is a function of heat
419 stress, nitrogen stress and shading as described in Schapendonk et al. (1998). All C, N, and P
420 from dead roots and litter is returned to the soil.

421 The grass model differs somewhat from the crop model as grass has indeterminate
422 growth and is not allowed to flower (so always has a DVS always < 1.0) as it can be cut or
423 grazed in the model (unlike the crop which completes its life cycle in a given growing season).
424 Grass is a perennial crop that grows for one or more seasons before being reseeded. Cut grass
425 and grazed grass is removed from the modelled system. The amount removed is such that the
426 remaining biomass cannot fall below 50 g m⁻². Livestock deposit nutrients into the system as
427 manure. When animals are on the field, we set the deposition of C and N for each animal type
428 based on data from (Cottrill and Smith, 2007), for each beef animal this was 4.03 kg C of
429 manure per day containing 0.22 kg N, for each dairy cow this was 6.45 kg C per day containing
430 0.35 kg N, and for each sheep this was 0.45 kg C per day as fresh deposit, containing 0.02 kg
431 N per day. These rates are multiplied by the stocking rate to give the rate of deposit per hectare.

432

433 *2.8 Data requirements*

434 For each layer of the soil, the model requires initial values for soil depth, clay, silt,
435 TOC, bulk density, available P, non available P, soil NH₄, soil NO₃, soil pH. Initial values for
436 elevation and latitude are also needed. The model runs with a daily time-step and so for each
437 simulated day weather data (minimum and maximum temperature, rainfall, radiation, vapour
438 pressure and windspeed) are needed. For each season and where relevant to the crop, sowing
439 dates, fertilizer application timing, type and dose and dates when the grass is cut are required.

440

441 2.9 Case studies

442 To test our model, we used data from two long-term agricultural experiments and one
443 more recent grass-livestock experiment. These were: The Broadbalk wheat experiment, and
444 the Park Grass permanent grassland experiment at Rothamsted Research, Hertfordshire, UK
445 (51.8° N, 0.37° W), and the more recent North Wyke farm platform at Rothamsted Research,
446 near Okehampton, UK (50.77° N, 3.92° W), which has spatially integrated data from livestock-
447 bearing grassland in a sloping terrain. We used a suite of statistical metrics (including the mean,
448 standard deviation, root mean square error, and sample correlation coefficient, r) to quantify
449 the performance of our model (see Smith et al., 1997).

450

451 2.9.1 Broadbalk

452 The Broadbalk wheat experiment has been running since 1843, and wheat has been
453 sown and harvested on all or part of the experiment every year since then. The original aim of
454 the experiment was to test the effects of various combinations of inorganic fertilizers and
455 organic manures on the yield of winter wheat. The experiment was divided into different strips
456 given a range of fertilizer applications, which extended the whole length of the field. In 1926
457 the experiment was divided into five Sections, crossing the fertilizer treatments at right angles,
458 where each section was bare fallowed one year in five to control weeds. In 1968 the experiment
459 was further divided into 10 Sections, so that the yield of wheat grown continuously could be
460 compared with that grown in rotation after a two-year break. The plots receive management
461 consistent with standard practice for the time. The soil is clay loam to silty clay loam,
462 predominately Batcombe series (Avery and Catt, 1995), FAO classification: Chromic Luvisol
463 (or Alisol), U.S. Soil Taxonomy: Aquic (or Typic) Paleudalf. The site is thought to have been

464 in arable cropping for many centuries before the start of the experiment. Further details are
 465 available from <http://www.era.rothamsted.ac.uk/Broadbalk>

466 The plots from the continuous wheat sections (Section 1 and 9), selected for this study,
 467 receive a range of fertilizer and FYM applications (see Table 1). Wheat has been grown every
 468 year on these Sections, since 1966. Modern, short-strawed high yielding varieties were
 469 introduced in the 1967–1968 season and it is from this date that we test the model. Most of the
 470 data are available from the electronic Rothamsted Archive (eRA
 471 <http://www.era.rothamsted.ac.uk>). Periodic measurements of TOC were made on all plots
 472 (Watts et al., 2006; Pers. comm. P. Poulton for later data), measurements of volumetric water
 473 content on plot 8 in 2007 (Pers. Comm, C. Watts) and measurements and estimates of N
 474 leaching were made between 1990 and 1998 (Goulding et al., 2000). Grain N was measured
 475 1968-2012, and grain P from 1968-2011 (except 1976-1985), Section 1 only.

476 Table 1. The fertilizer and manure treatments applied annually to the Broadbalk experiment
 477 plots used in the simulations.

Plot	Treatments				
	up to 1967	1968 - 1984	1985 - 2000	2001 - 2004	2005 - 2012
3	Nil	Nil	Nil	Nil	Nil
5	P K Na Mg	P K Na Mg	P K Mg	K Mg	K Mg
6	48N P K Na Mg	48N P K Na Mg	48N P K Mg	48N K Mg	48N K Mg
7	96N P K Na Mg	96N P K Na Mg	96N P K Mg	96N K Mg	96N K Mg
8	144N P K Na Mg	144N P K Na Mg	144N P K Mg	144N K Mg	144N K Mg
9	48N* P K Na Mg	192N P K Na Mg	192N P K Mg	192N K Mg	192N K Mg
15	96N P K Na Mg	192N P K Na Mg	240N P K Mg	240N K Mg	240N K Mg
16	96N* P K Na Mg	96N P K Na Mg	288N P K Mg	288N K Mg	288N K Mg

2.1	FYM since 1885	FYM 96N	FYM 96N	FYM 96N	FYM 144N
2.2	FYM	FYM	FYM	FYM	FYM

478 The values of N are in kg N ha⁻¹, applied as ammonium sulphate 1843-1967, as calcium
479 ammonium nitrate between 1968-1985, and as ammonium nitrate thereafter. Treatments with
480 * were applied as sodium nitrate. Farmyard manure (FYM) was applied at 35 t ha⁻¹ fresh
481 weight, and contains approximately 250kg N ha⁻¹. Other elements were applied at 35 kg P
482 ha⁻¹, 90 kg K ha⁻¹, 16 kg Na ha⁻¹ until 1973 and 12 kg Mg ha⁻¹ respectively. P has not been
483 applied since 2001, due to high levels of plant available P in the soil. For more details see
484 <http://www.era.rothamsted.ac.uk/Broadbalk>

485

486 We ran the model to simulate the plots listed in Table 1 using weather data from the
487 Rothamsted meteorological station from 1966 to 2012. Comparisons were made between
488 measured and simulated values of crop yield, content of N and P in the grain, TOC, volumetric
489 water content and nitrate leaching.

490

491 2.9.2 *Park Grass*

492 The Park Grass experiment is the oldest experiment on permanent grassland in the
493 world. Started by Lawes and Gilbert in 1856, its original purpose was to investigate ways of
494 improving the yield of hay by the application of inorganic fertilizers and organic manure.
495 Within 3 years it became clear that these treatments were having a dramatic effect on the
496 species composition of what had been a uniform sward. The continuing effects of the original
497 treatments on species diversity and on soil function, together with later tests of liming and
498 interactions with atmospheric inputs and climate change (Storkey et al., 2015), has meant that
499 Park Grass has become increasingly important to ecologists, environmentalists and soil

500 scientists. The soil is silty clay loam, predominately Hook series, with areas more typical of
501 the Batcombe series (Avery and Catt, 1995), FAO Classification: Chromic Luvisol (or Alisol),
502 U.S. Soil Taxonomy: Aquic (or Typic) Paleudalf. The site is known to have been in permanent
503 pasture for at least 100 years before the start of the experiment. For further details see
504 <http://www.era.rothamsted.ac.uk/Park>

505 The plots are cut in mid-June, and made into hay. A second cut is usually taken in the
506 autumn, except in a few years, when there was insufficient herbage to sample. Since 1960,
507 yields have been estimated from strips cut with a forage harvester. The remainder of the plot is
508 still mown and made into hay, continuing earlier management. For the second cut, the whole
509 of each plot is cut with a forage harvester. The experiment is never cultivated, and the site was
510 in permanent grassland for at least 100 years before the experiment began. Further details are
511 available from <http://www.era.rothamsted.ac.uk/Park>

512 Here we simulated two plots, Plot 3a and 14/2a, with contrasting fertilizer treatments.
513 Plot 3a has received no inorganic fertilizer or manure since 1856. Plot 14/2a has received 96
514 kg N ha⁻¹ in the spring, and 35 kg P in the autumn each year since 1858, plus K, Na and Mg.
515 In 1965 the plots were divided into four subplots, given different amounts of chalk to maintain
516 soil at pHs of 7, 6 and 5 (sub-plots a, b and c, respectively). The fourth sub plot (d) receives no
517 chalk. We have selected sub-plot 'a' for this simulation, with a pH of 7. We use yield data from
518 1966-2012, with two cuts each year except in 2003, when no second cut was taken, with
519 weather data from the Rothamsted meteorological station.

520 We chose Plot 14/2a over the other N fertilizer plots because N is applied as sodium
521 nitrate, whereas in most other plots N is applied as ammonium sulphate, which has an
522 acidifying effect on the soil and so a dramatic effect on species composition and the
523 decomposition of soil organic matter (see <http://www.era.rothamsted.ac.uk/Park>).

524

525 2.9.3 *The North Wyke Farm Platform*

526 The North Wyke Farm Platform, near Okehampton, SW England was established as a UK
527 National Capability for collaborative research, training and knowledge exchange in agro-
528 environmental sciences related to beef and sheep production in lowland grasslands (Orr et al.,
529 2016). The soils on the farm platform are predominately Halstow, (Pelo-stagnogley soils,
530 Avery, 1980), FAO Classification: Stagni-vertic cambisol, U.S. Soil Taxonomy: Typic
531 haplaquept. For more details see Harrod and Hogan (2008). A system based on permanent
532 pasture was implemented on three 21-ha farmlets to obtain baseline data on hydrology, nutrient
533 cycling and productivity for 2 years. Since then, two of the farmlets have been modified by
534 either (i) planned reseeded with grasses that have been bred for enhanced sugar content or
535 deep-rooting traits or (ii) sowing grass and legume mixtures to reduce nitrogen fertilizer inputs.
536 The third farmlet continued under permanent pasture. The quantities of nutrients that enter,
537 cycle within and leave the farmlets are recorded using sensor technologies alongside more
538 traditional field study methods. Here we simulated the water and nutrient flows from October
539 2012 to 25th December 2013 from catchment 4 (Golden Rove) and catchment 5 (Orchard
540 Dean), two of the un-modified permanent grassland catchments, that had contrasting
541 topologies. The North Wyke data that we used for this study are available from
542 <http://www.rothamsted.ac.uk/farmplatform>).

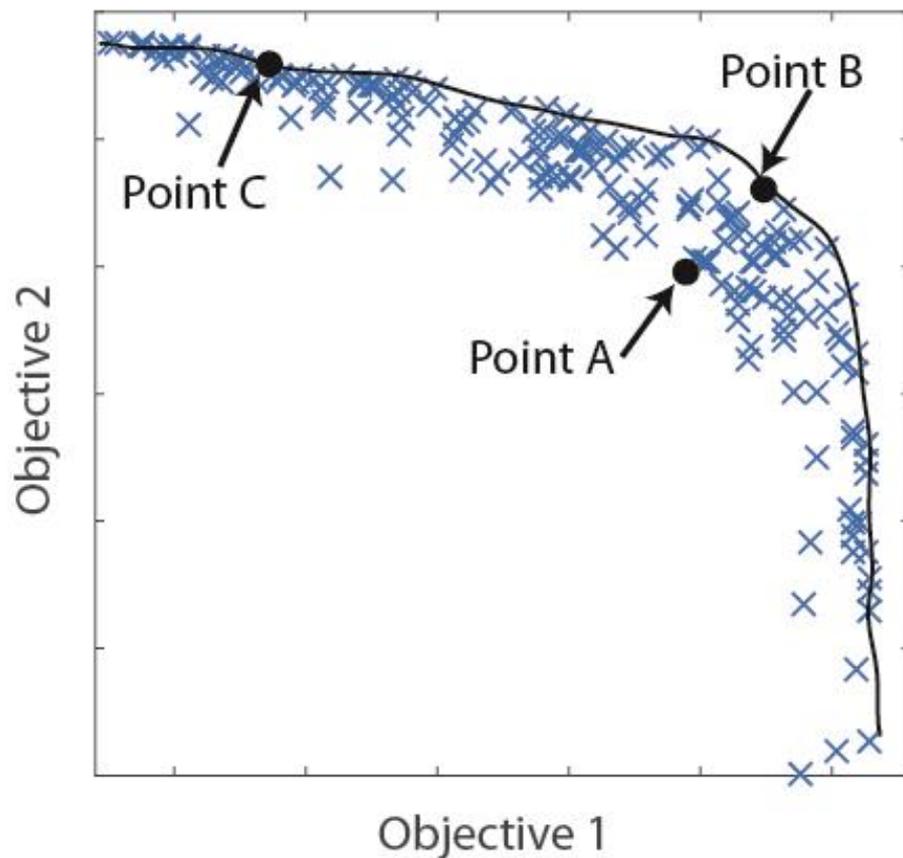
543

544 2.10 *Trade offs*

545 We coupled the simulation model with an optimisation algorithm to determine Pareto
546 optimal fronts between multiple objectives defined in terms of outputs from the model. The
547 optimised Pareto fronts describe the trade-offs between objective variables such as yield and

548 nitrate leaching. To illustrate how these can be identified, we used the fertiliser application
549 time and amount as two management variables that the optimisation algorithm could vary in
550 order to affect three objectives: the yield of a wheat crop, nitrate leaching and N₂O emissions.
551 Simulations used the soil properties and weather data from plot 9 of the Broadbalk experiment
552 for the years 1968–1978. For this period the mean measured yield was 5.4 t ha⁻¹ at 85% dry
553 matter.

554 Initially the algorithm, which combines non-dominated sorting (Deb et al., 2002) with
555 differential evolution (Storn and Price, 1997), randomly selects a number of possible
556 management variables, implements these management options in the simulation model and
557 records the effect on each of the multiple objectives. Non-dominated sorting then identifies the
558 management options that result in the ‘best’ objectives, i.e. those that are non-dominated. A
559 point is said to be dominated by another if it is worse for every single objective. For example,
560 if we aim to maximise two objectives, point A (Fig. 2) is dominated by point B because the
561 value of both objectives is greater at B than A. Points B and C, however, are both non-
562 dominated with respect to one another because whilst objective 1 is higher for B, objective 2
563 is higher for C. The non-dominated sorting algorithm performs a series of pairwise
564 comparisons in order to identify all of the management options that lead to non-dominated sets
565 of objectives. The differential evolution algorithm then combines aspects of the management
566 options that led to non-dominated objectives to identify new management options that could
567 potentially perform even better. The process is iterated in directions that the differential
568 evolution algorithm suggests will be an improvement, , until the results converge and produce
569 a similar Pareto front with each iteration.



570

571 Fig.2 Example of how a Pareto front is identified from a number of points simulated by the
 572 model with the aim to improve multiple objectives (1 & 2) simultaneously. Point B is selected
 573 over point A because B scores better for both objectives. It can be seen that neither of points B
 574 or C dominates the other, because point B does better at objective 1 whilst point C improves
 575 on objective 2. Consequently, both are retained. The Pareto front (line) can be identified by
 576 connecting together all of the non-dominated points.

577

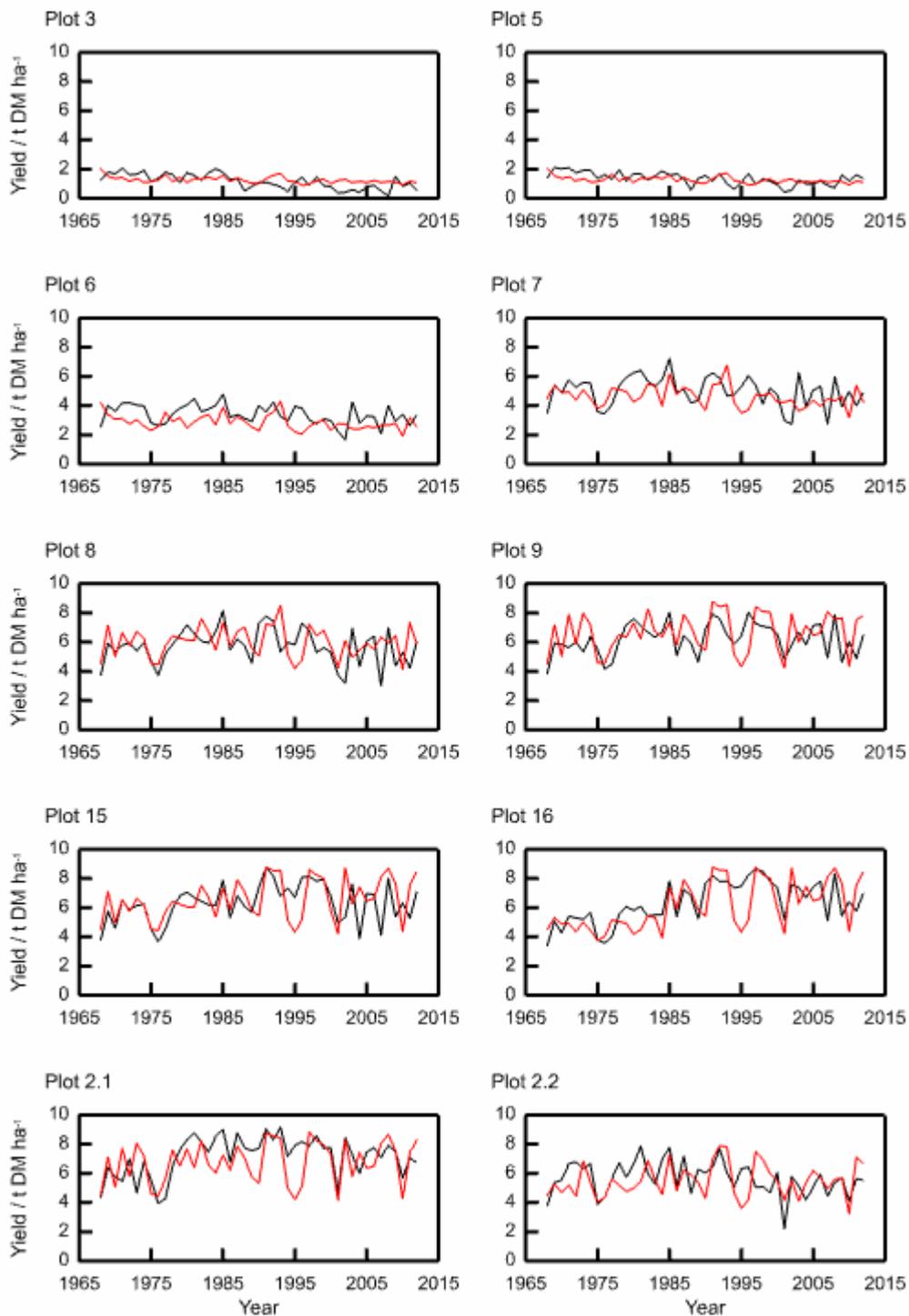
578 3. Results

579 3.1 Broadbalk

580 The simulated and measured grain yields for the plots listed in Table 1 are shown in
 581 Fig. 3. The model captures the differences between the plots well and this is quantified by the

582 overall correlation between modelled and measured (Pearson correlation, $r = 0.86$). The plot
583 means for the modelled and measured yields are similar, as are the variances, although the
584 variance for the modelled yield in plots with little fertilizer N applied are smaller than the
585 observed (Table 2). The model reflects the year-to-year fluctuations in yield, although notably
586 under-predicts the 1995 yield from the plots with larger N applications (9, 15, 16, 2.1 and 2.2).

587

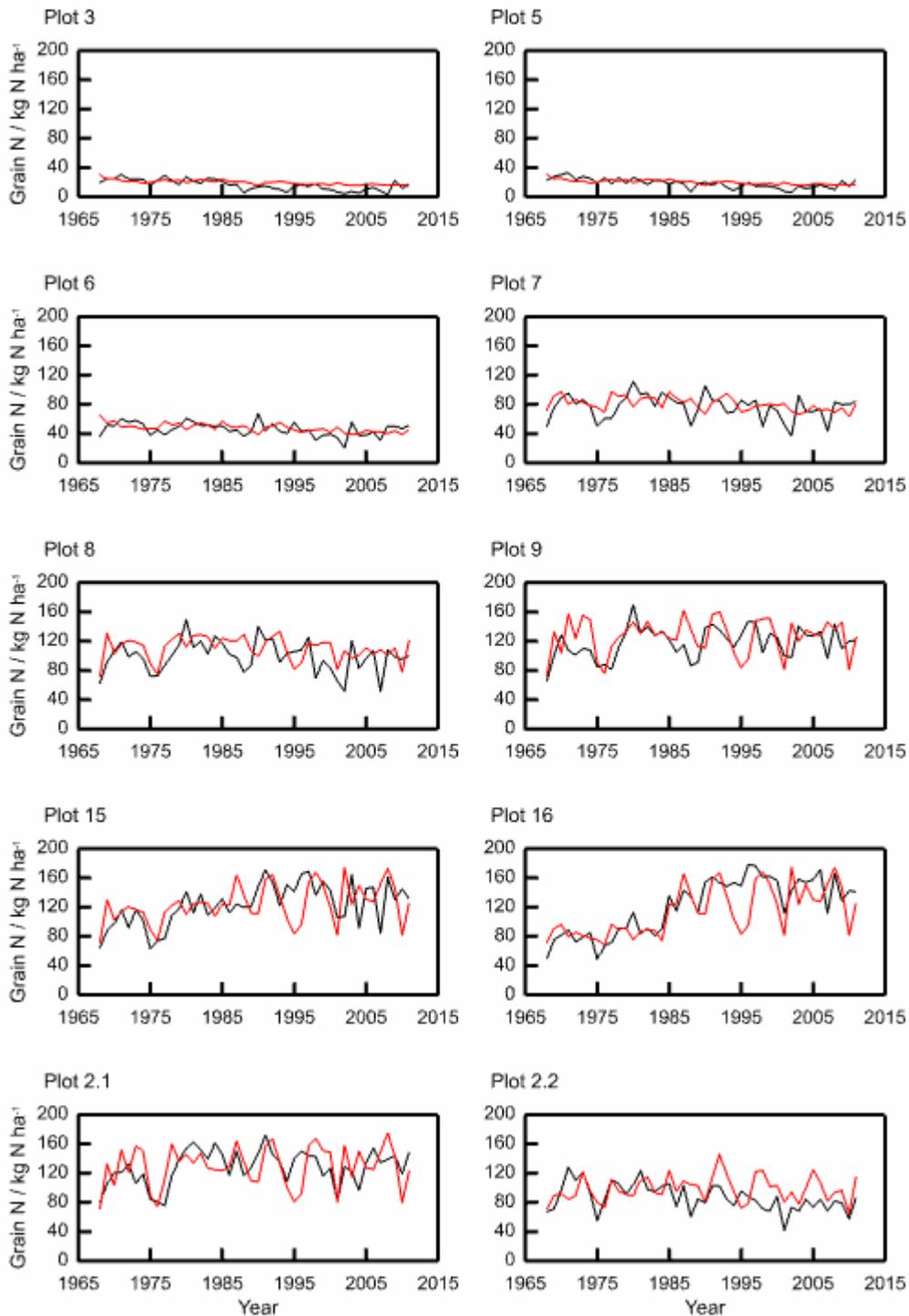


588

589 Fig. 3 Measured (black) and modelled (red) grain yields for ten plots from the Broadbalk
 590 long-term wheat experiment, 1968-2012, continuous wheat (sections 1 and 9). The measured
 591 values were averaged over Sections 1 and 9 (see 2.9.1).

592

593 The model replicates the plot-to-plot and year-to-year variation in grain N, grain P and
594 TOC (see Figs 4, 3 and 6, and Tables 3, 4 and 5), although we note that year-to-year variation
595 in TOC is minimal. The correlations across all plots between modelled and measured grain N,
596 grain P, and TOC are 0.88, 0.84 and 0.99 respectively. The model reproduces the pattern in the
597 variation of volumetric water content for plot 8, following one of the observed realisations
598 closely (Fig. 7). Note that measurements with such probes are sometimes biased towards drier
599 measurements because instrument range is short and if contact is lost between the access tube
600 and soil then the soil can appear drier than it actually is.

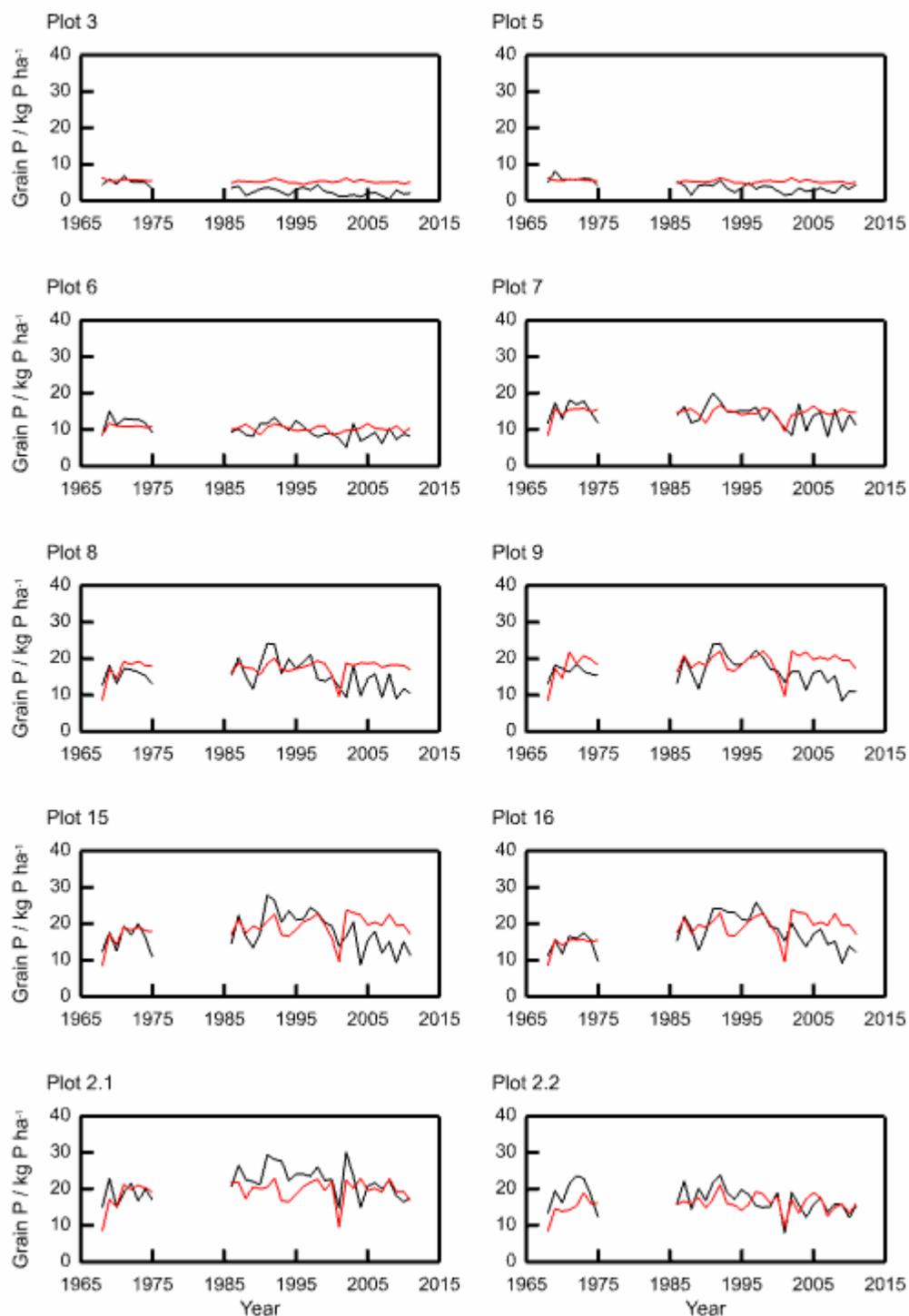


601

602 Fig. 4: Measured (black) and modelled (red) grain N content for ten plots from the Broadbalk
 603 long-term wheat experiment, 1968-2012, continuous wheat. The measured values were from
 604 Section 1 only (see 2.9.1).

605

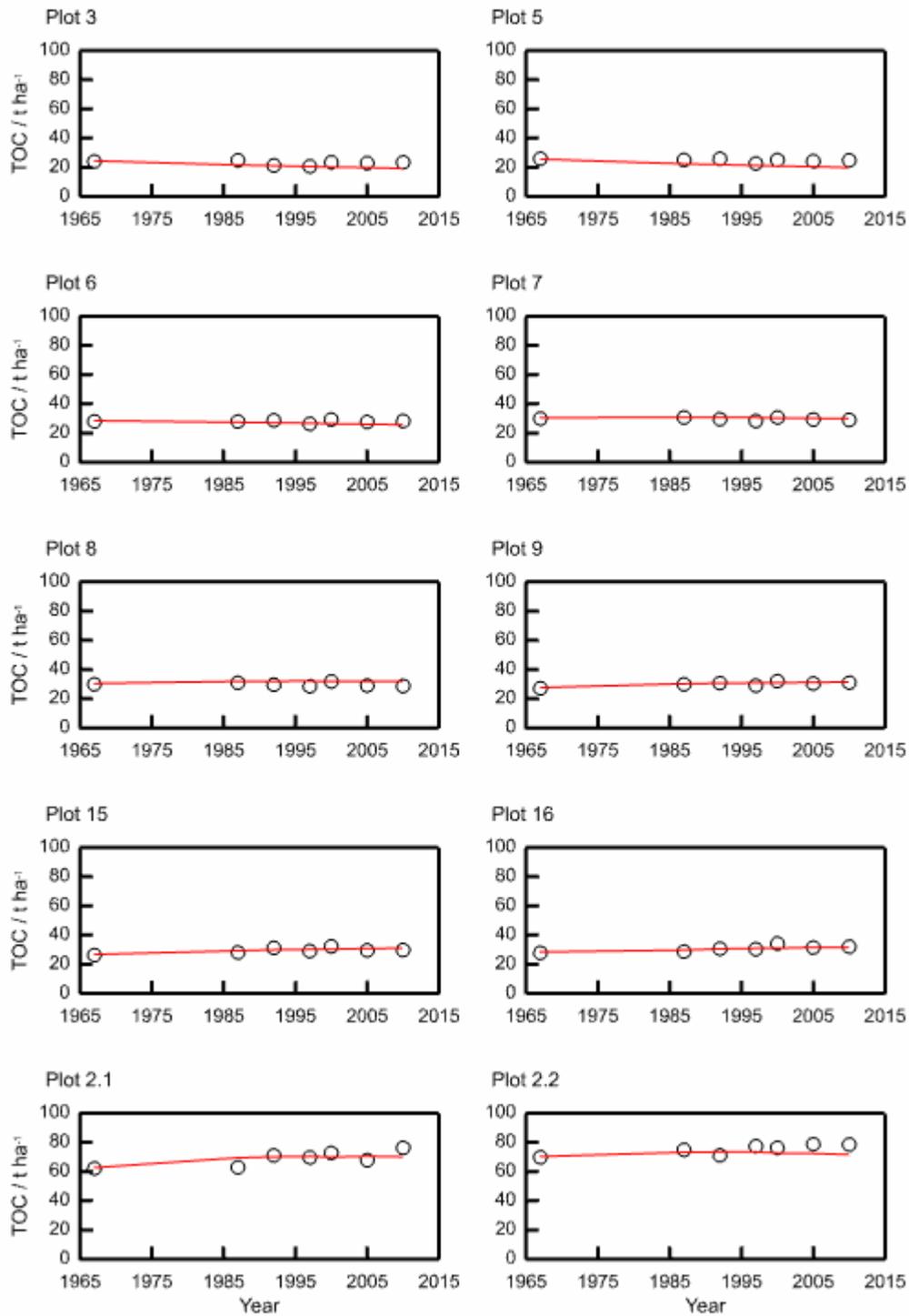
606



607

608 Fig. 5: Measured (black) and modelled (red) grain P content for ten plots from the Broadbalk
 609 long-term wheat experiment (1968–1975 and 1986–2011), continuous wheat. The measured
 610 values were from Section 1 only (see 2.9.1)

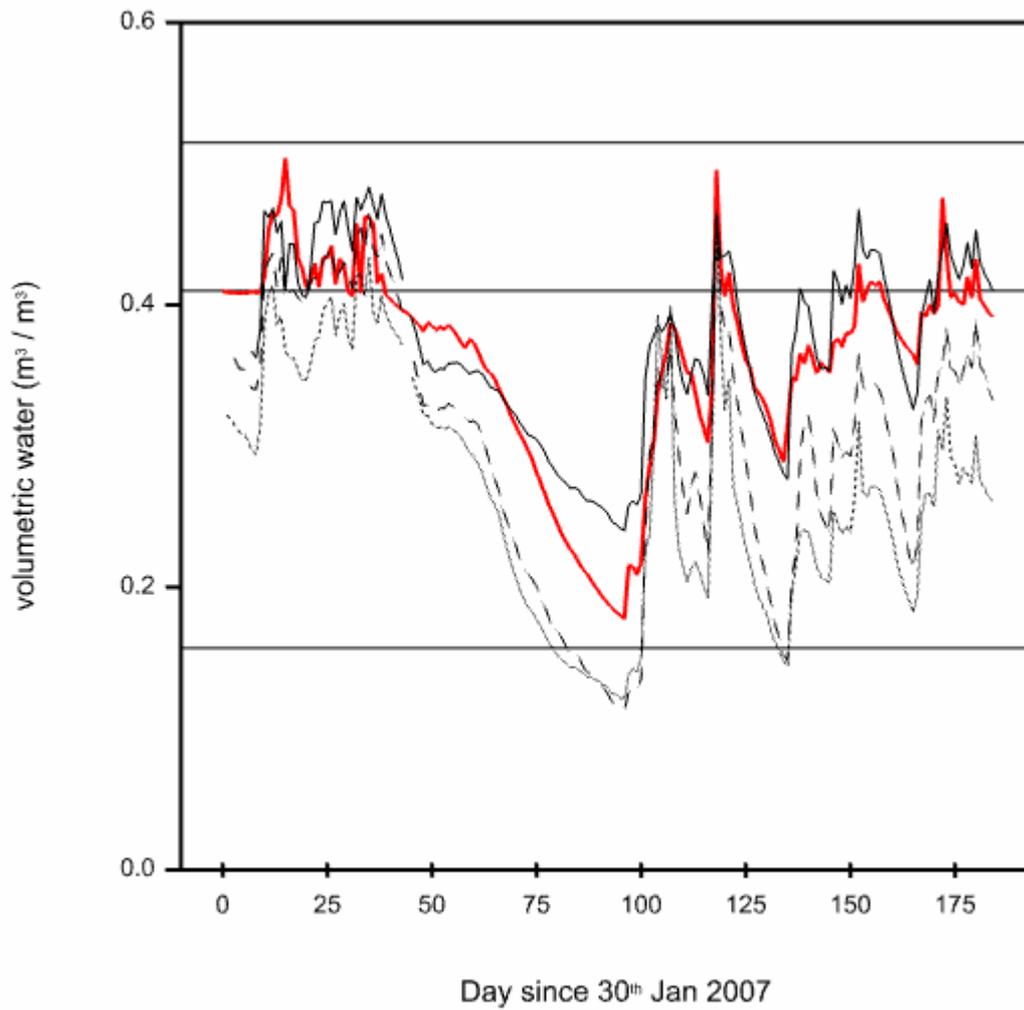
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612

613 Fig. 6: Measured (black circles) and modelled (red) soil total organic carbon (TOC) for ten
 614 plots from the Broadbalk long-term wheat experiment. The measured values were averaged
 615 over Sections 1 and 9.

616



617

618 Fig. 7: Measured for three replicates (black) and modelled (red) volumetric water content in
619 soil from plot 8 of the Broadbalk experiment.

620

621

622 Table 2 Summary statistics for measured and simulated grain yields (at 85% dry matter),
 623 19682012 for the Broadbalk wheat experiment. The measured values for yield in each year
 624 were averaged over Sections 1 and 9 (see 2.9.1).

Plot no.	Measured		Simulated		RMSE (%)	Correlation
	Mean t ha ⁻¹	Standard deviation/ t ha ⁻¹	Mean t ha ⁻¹	Standard deviation/ t ha ⁻¹		
3	1.16	0.5	1.26	0.22	42.56	0.28
5	1.37	0.42	1.27	0.22	33.41	0.16
6	3.4	0.67	2.86	0.51	28.61	0.07
7	4.99	1.02	4.62	0.7	24.03	0.16
8	5.71	1.18	6	1.01	24.27	0.25
9	6.23	1.06	6.66	1.32	23.01	0.36
15	6.32	1.28	6.58	1.37	23.29	0.4
16	6.34	1.41	6.12	1.64	20.88	0.64
2.1	7.16	1.35	6.75	1.41	20.28	0.49
2.2	5.67	1.12	5.49	1.13	22.84	0.35

625

626

627 Table 3 Summary statistics for measured and simulated grain N content, 1968–2012, for the
 628 Broadbalk wheat experiment. The measured values for grain N were from Section 1 only (see
 629 2.9.1).

630

Plot no.	Measured		Simulated		RMSE (%)	Correlation
	Mean kg N ha ⁻¹	Standard deviation/ kg N ha ⁻¹	Mean kg N ha ⁻¹	Standard deviation/ kg N ha ⁻¹		
3	16.33	7.23	19.76	3.1	42.54	0.57
5	18.48	6.36	20.07	3.15	31.58	0.47
6	46	9.12	47.69	5.79	23.42	0.03
7	76.69	16.21	80.44	9.03	23.58	0.11
8	99.03	21.31	110.25	15.84	25.44	0.29
9	117.91	20.91	126.27	23.92	23.32	0.32
15	122.99	28.03	124.05	25.96	25.17	0.35
16	121.34	37.12	113.62	32.82	22.98	0.71
2.1	128.08	23.56	129.28	27.52	21.27	0.44
2.2	86.83	18.58	98.09	17.27	27.04	0.34

631

632

633 Table 4 Summary statistics for measured and simulated P in the grain, 1968–1975 and 1986–
 634 2011 for the Broadbalk wheat experiment. The measured values for grain P were from
 635 Section 1 only (see 2.9.1).

636

Plot no.	Measured		Simulated		RMSE (%)	Correlation
	Mean kg P ha ⁻¹	Standard deviation/ kg P ha ⁻¹	Mean kg P ha ⁻¹	Standard deviation/ kg P ha ⁻¹		
3	3.04	1.49	5.36	0.43	89.47	0.29
5	4.05	1.47	5.4	0.43	48.33	0.26
6	9.89	2.23	10.35	0.86	22.5	0.26
7	14.11	2.89	14.58	1.66	20.73	0.29
8	15.38	3.85	17.31	2.38	29.01	0.23
9	16.49	3.59	18.64	3.06	27.8	0.26
15	17.47	4.73	18.77	3.27	28.34	0.33
16	17.33	4.3	18.42	3.57	25.47	0.42
2.1	21.49	4.09	19.36	3.26	20.42	0.47
2.2	17.14	3.57	15.8	2.5	20.24	0.49

637

638

639 Table 5 Summary statistics for measured and simulated total soil organic carbon (TOC),
 640 when measured between 1967–2012 Broadbalk wheat experiment. The measured values for
 641 TOC were averaged over Sections 1 and 9 (see 2.9.1).

642

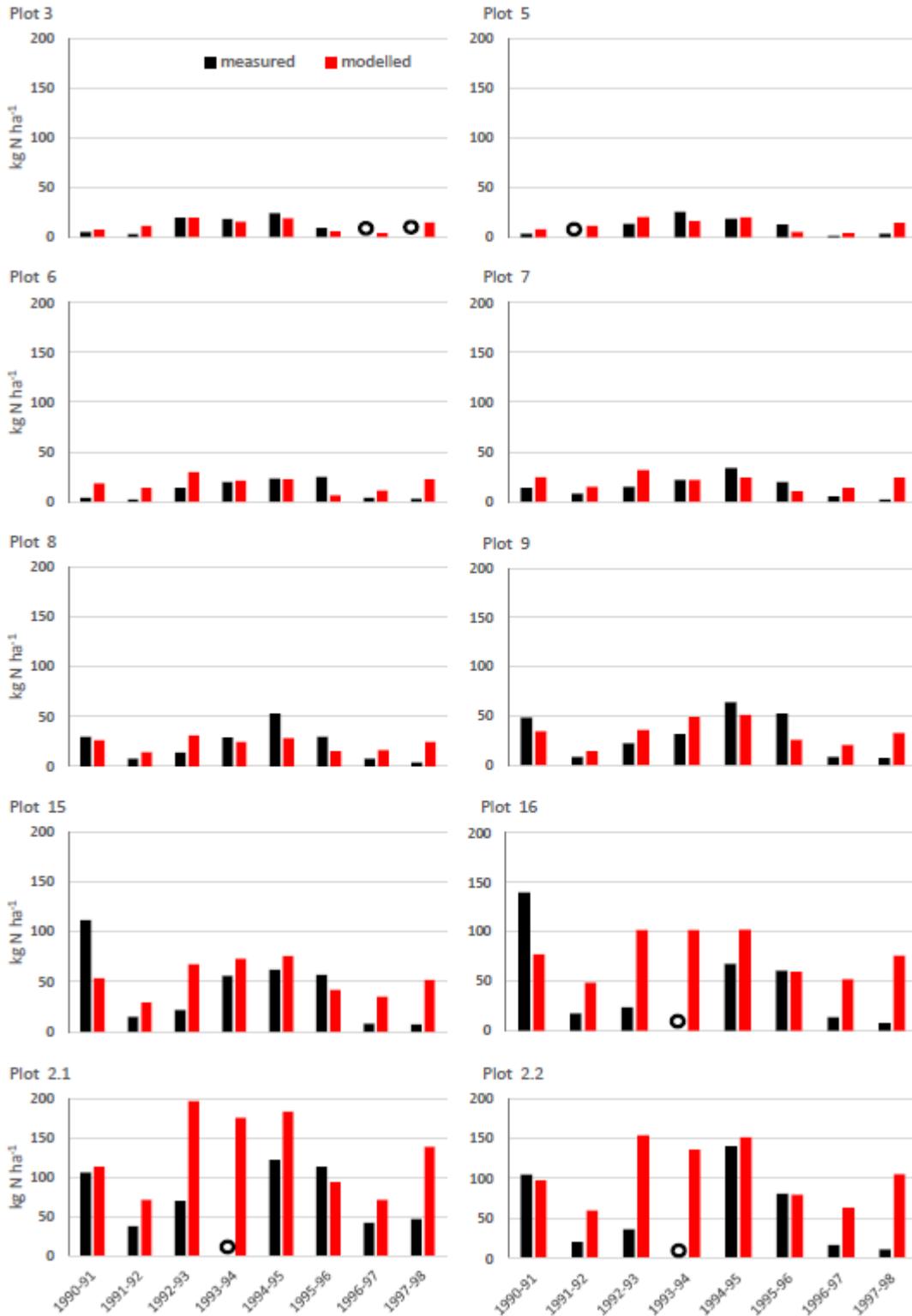
	Measured		Simulated			
Plot no.	Mean t C ha ⁻¹	Standard deviation/ t C ha ⁻¹	Mean t C ha ⁻¹	Standard deviation/ t C ha ⁻¹	RMSE (%)	Correlation
3	22.95	1.37	21.01	1.6	11.51	0.28
5	24.84	1.05	21.78	1.76	13.83	0.47
6	27.96	0.88	26.82	0.84	6.07	-0.08
7	29.57	0.83	30.39	0.3	3.88	0.24
8	29.73	1.12	31.69	0.53	7.88	-0.1
9	29.97	1.47	30.36	1.18	3.11	0.82
15	29.45	1.84	29.74	1.33	4.42	0.72
16	30.75	1.96	30.55	1.06	4.3	0.78
2.1	68.9	4.76	68.97	2.61	5.46	0.62
2.2	75.18	3.27	72.36	1.06	5.61	0.28

643

644

645 The measured (Goulding et al., 2000) and modelled N leached for each plots are shown
646 in Fig. 8. The model predictions match the N leached from the mineral fertilized plots
647 reasonably well, although the model consistently overestimates N leached from plots receiving
648 the most N (plots 15 and 16 and the FYM plots 2.1 and 2.2) and in the driest years (1991/2,
649 1996/7 and 1997/8). The variances for measured leaching are larger than the modelled for all
650 but plot 2.1 (Table 6). Note that measurements were not determined for every plot in every
651 year.

652



653

654 Fig. 8: Estimated and modelled N leached from study plots on the Broadbalk wheat experiment

655 1990–1998. Measurements are from Section 9 only. The black open circle indicates that no

656 measurement was taken.

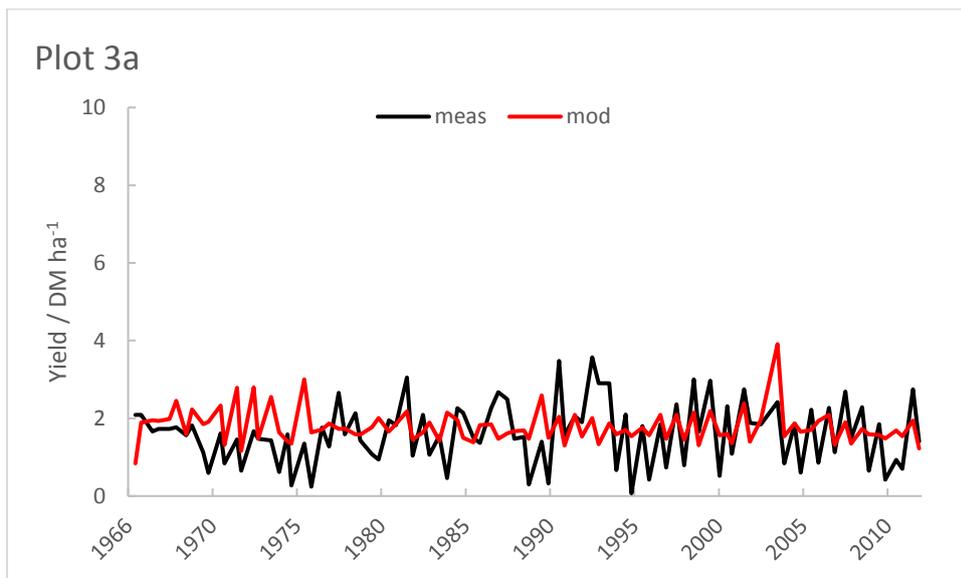
657 Table 6 Summary statistics for measured and simulated nitrate leached ($\text{kg N ha}^{-1} \text{ y}^{-1}$) between
 658 1990 and 1998, Broadbalk wheat experiment. Measurements are from Section 9 only.

Plot no.	Measured		Simulated		RMSE (%)	Correlation
	Mean $\text{kg N ha}^{-1} \text{ y}^{-1}$	Standard deviation/ $\text{kg N ha}^{-1} \text{ y}^{-1}$	Mean $\text{kg N ha}^{-1} \text{ y}^{-1}$	Standard deviation/ $\text{kg N ha}^{-1} \text{ y}^{-1}$		
3	13.00	8.51	11.94	5.99	33.29	0.85
5	11.71	8.92	12.86	6.21	58.56	0.60
6	11.88	9.76	18.24	7.38	111.01	-0.02
7	15.00	10.38	20.68	7.01	81.13	0.17
8	22.00	16.55	22.73	6.47	65.70	0.36
9	30.00	22.44	32.74	12.83	57.82	0.58
15	42.38	33.31	53.57	17.51	79.79	0.36
16	47.57	47.02	77.51	22.69	107.32	0.23
2.1	76.86	36.19	130.33	50.46	85.84	0.38
2.2	59.00	50.10	105.98	37.58	103.82	0.45

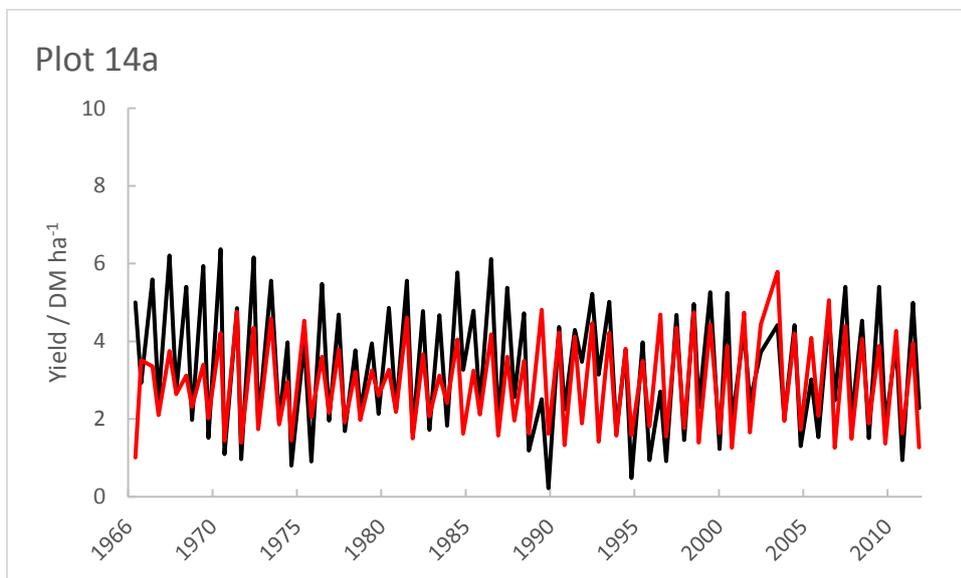
659

660 3.2 Park Grass

661 The model captures the differences between the plots and between the first and second cuts
662 well (Figure 9 and Table 7). The first cut, usually taken in June, is normally higher than the
663 second cut which is usually taken in November).



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667

668 Figure 9 Simulated (red) and measured (black) yields for plots 3a and 14/2a Park Grass
669 permanent grassland experiment, showing both cuts each year.

670 Table 7 Summary statistics for measured and simulated yield 1966-2012, Park Grass
 671 experiment, (47 years, n = 93).

	Measured		Simulated			
Plot no.	Mean t ha ⁻¹	Standard deviation/ t ha ⁻¹	Mean t ha ⁻¹	Standard deviation/ t ha ⁻¹	RMSE (%)	Correlation
3a	1.61	0.78	1.79	0.43	49.91	0.28
14/2a	3.32	1.67	2.91	1.24	34.35	0.77

672

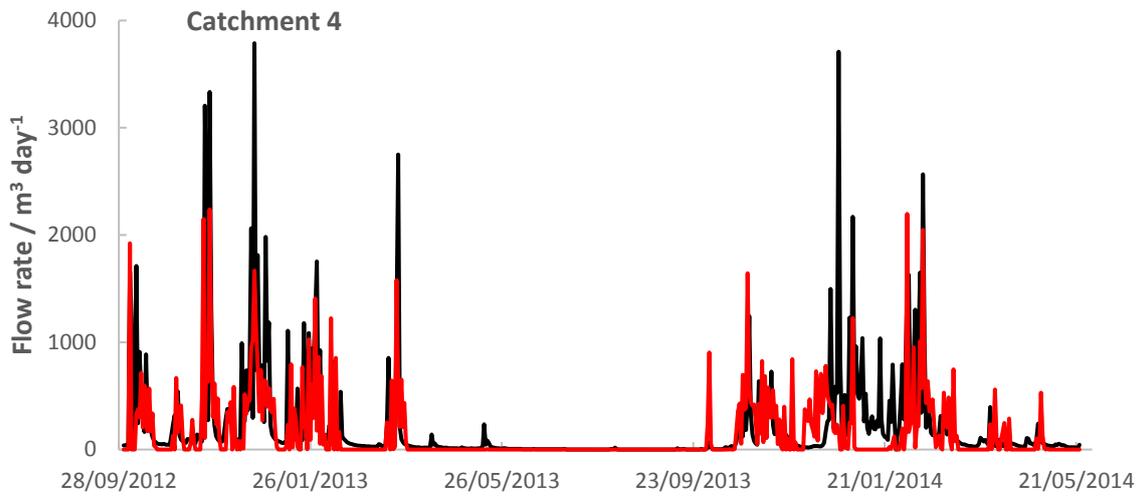
673

674 3.2 North Wyke Farm Platform

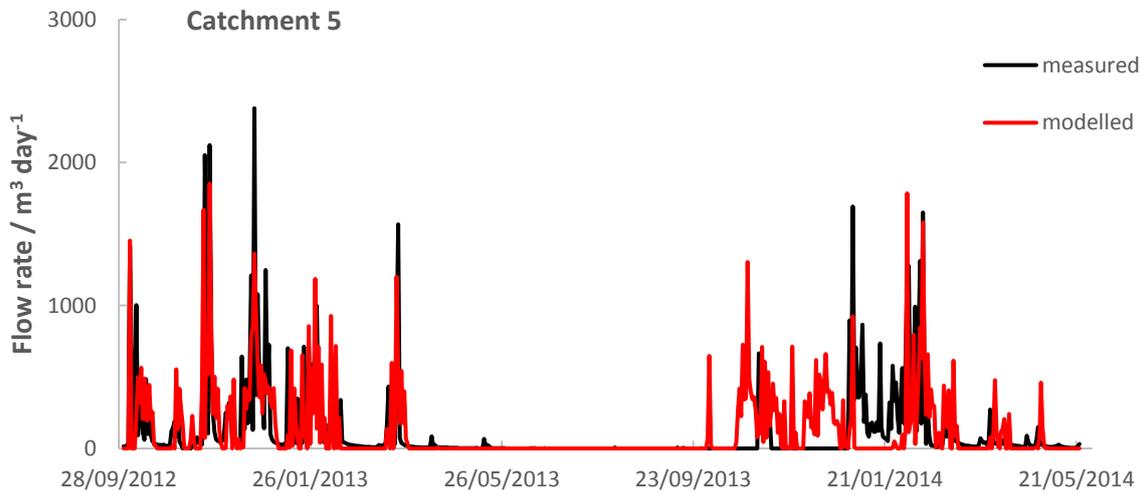
675 The simulation of water flow rates (m³ day⁻¹) for catchments 4 and 5 reflect those measured
 676 (Fig. 10 and Table 8). This is quantified by the correlations between modelled and measured
 677 (Pearson correlation, $r = 0.57$ and $r = 0.55$ respectively). The modelled water flow rate and
 678 variation are slightly smaller than the measured in each case.

679

680



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682

683 Fig. 10 Simulated (red) and measured (black) flow rates ($\text{m}^3 \text{day}^{-1}$) for catchment 4 and 5 of
684 the North Wyke Farm Platform

685

686

687 Table 8 Summary statistics for measured and simulated flow and nitrate (kg N per catchment per day) in the drains, North Wyke Farm Platform

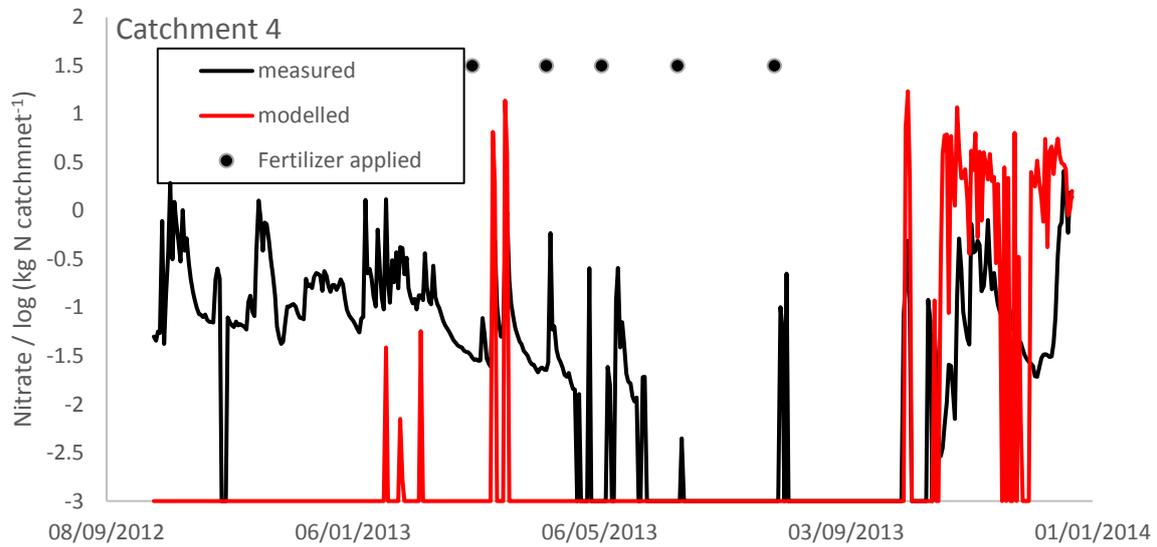
688 Catchments 4 and 5.

	Flow (m ³ day ⁻¹)						Nitrate (kg N catchment ⁻¹ day ⁻¹)					
	Measured		Simulated		RMSE (%)	Correlation	Measured		Simulated		RMSE (%)	Correlation
Catchment	Mean	Std dev	Mean	Std dev			Mean	Std dev	Mean	Std dev		
4	213.60	457.01	147.83	315.90	180.36	0.57	0.13	0.27	0.47	1.64	1287.69	0.21
5	114.10	281.82	122.88	258.19	226.02	0.55	0.13	0.29	0.01	0.08	248.45	-0.01

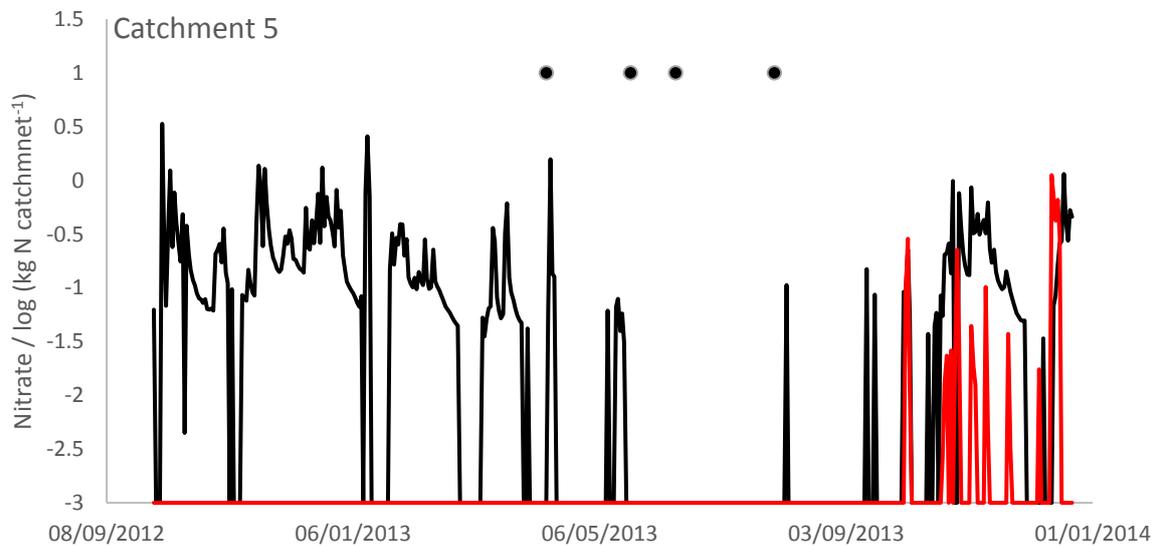
689

690

691 The simulation of nitrate in the drainage water over estimates nitrate for catchment 4
692 and under estimates it for catchment 5, but the peaks of nitrate after May 2013 broadly
693 correspond to that which was measured (see Fig. 11 and Table 8).



694



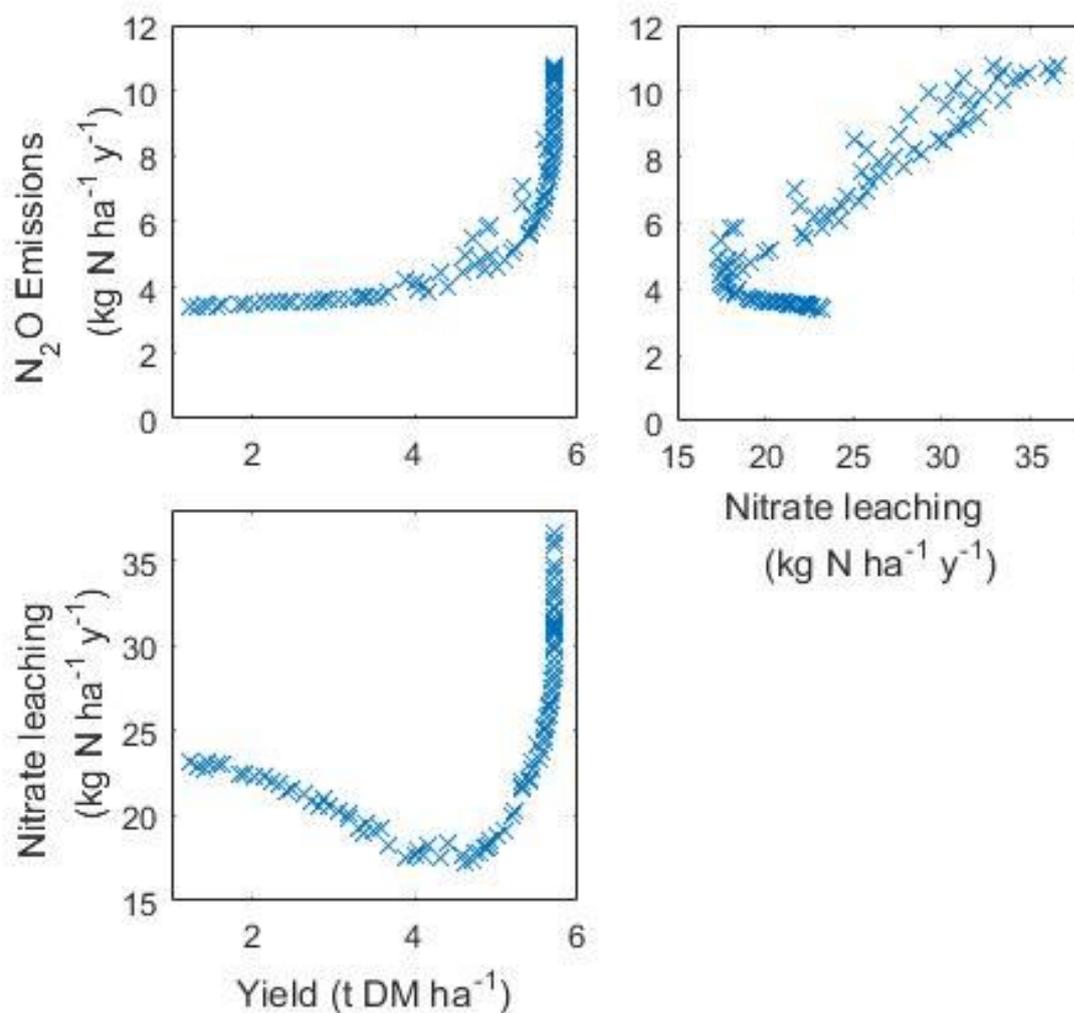
695

696 Fig. 11 Simulated (red line) and measured (black line) log nitrate (kg N /catchment)
697 catchment 4 and 5 of the North Wyke Farm Platform. The black discs show when nitrogen
698 fertilizer was applied. For details see <http://www.rothamsted.ac.uk/farmplatform>.

699

700 3.3 Trade offs

701 By allowing an optimisation algorithm to vary the timing and amount of a single fertilizer
702 application, we identified the trade-offs between yield, nitrate leaching and N₂O emissions for
703 an illustrative example (Fig. 12). The results show that as the yield increases (due to changes
704 in fertilizer application) the lowest possible N₂O emissions that could be achieved
705 simultaneously increases non-linearly. The range of fertilizer N applied to achieve these Pareto
706 optimal objectives was 0 – 210 kg N ha⁻¹ y⁻¹. The N₂O emissions reduce as a result of applying
707 less fertilizer later in the growing season. As yield approaches its maximum, both the N₂O
708 emissions and the nitrate leaching increase substantially with increasing amounts of fertilizer
709 for an increasingly marginal improvement in yield. Nitrate leaching and N₂O emissions are
710 synergistic throughout most of the range, however a trade-off appears as the emissions reach
711 their minimum value, as this also results in an increase in leaching. This illustrates how an
712 optimisation approach (e.g. minimising N₂O) could have unintended consequences for another
713 process (nitrate leaching), if both objectives are not considered simultaneously. The
714 optimisation algorithm does not identify a single fertilisation strategy, but highlights
715 nonlinearities thus identifying where a small reduction in one objective could have a large
716 benefit to another. Here, for example, the simulation indicates that the fertilizer application
717 conditions which correspond to a moderate yield, reduce the nitrate that is available to leach
718 from the soil substantially compared to those required for the most yield.



719

720

721 Fig. 12 Illustrative example of use of the model to identify trade-offs between multiple
 722 objectives such as maximising yield, minimising nitrate leaching and minimising N_2O
 723 emissions. As maximising or minimising any one of these objectives affects the others, the
 724 optimisation identifies points on a multi-dimensional frontier with Pareto optimality. On this
 725 frontier no objective can be improved upon without a detrimental effect on at least one of the
 726 other objectives. This frontier therefore represents the best trade-offs that can be achieved.

727

728

729 4. Discussion

730 We have built and used a model framework to simulate spatial and temporal interactions
731 in agricultural landscapes. The framework allows us to explore trade-offs between production
732 and environmental outcomes to determine strategies that could contribute to sustainable food
733 production. It is important that the models reflect the important mechanisms that relate to
734 production and the environment. It is also essential that the models are parsimonious and run
735 quickly so that a large range of scenarios can be tested, perhaps in conjunction with an
736 optimisation algorithm. Our simulations are within 25% of all the observations across multiple
737 years and plots and this is good evidence that the model is robust and that we can use it with
738 confidence to explore trade-offs relevant to farm and environmental management.

739 Simulation of wheat yields from the Broadbalk experiment and grass yields from the
740 Park Grass experiment reproduced both the differences between plots caused by the various
741 fertilizer rates ($\rho > 0.78$) and the observed year-to-year variation (RMSE ranging between
742 20.3 and 28.6% for the mineral N and FYM plots on Broadbalk and 34.3% for Park Grass,
743 correlations were up to 0.77). According to the RMSEs, the model performed less well for the
744 plots that received no fertilizer (plots 3 and 5 on Broadbalk and plot 3a on Park Grass) where
745 the RMSEs were 42.6, 33.4% and 49.9% respectively. The larger values for the RMSE on the
746 lower-yield plots to some extent result from the form of this statistic which is scaled by the
747 reciprocal of the mean observation (i.e. the sum of the squared difference for the lower-yielding
748 plots are scaled by larger values than the higheryielding plots). Over the 46 years that we
749 simulated Broadbalk, the model tended to under predict yield between 1994 and 1996 for plots
750 with higher rates of N fertilizer applied (plots 8, 9, 15, 16, 21, 22) (Fig. 3). This is likely to be
751 a result of excessive water stress when there was no N limitation. It was drier than normal in
752 the three months before harvest in 1994, 1995 and 1996, this led to higher water stress during
753 those months, and so a reduction in dry matter production.

754 The predictions of the variation in grain N for the Broadbalk plots were also good, with
755 the RMSE ranging from 21.3 to 42.5% (Fig. 4, Table 3), and again illustrated the differences
756 between plots receiving different rates of fertilizer N. For P uptake by the crop, the model
757 performed well for most plots with RMSE between 20.2 – 29.0% for all plots except 3 and 5
758 which had RMSE of 89.5% and 48.3% respectively (Fig. 5 and Table 4). In the experiment
759 applications of P stopped in 2001 due to large amounts of plant-available P in the soil, and the
760 P measured in the grain declines noticeably in plots with larger applications of fertilizer but
761 this is not exhibited in the model. However, this does not affect the measured grain yields (Fig
762 3). The variations in simulated yield, grain N and P are approximately 50% smaller than the
763 observed for plots 3 and 5 (for other plots the variation is proportionally more similar). This
764 suggests that the nitrogen stress function maybe over-damping the simulated response to
765 variation in the weather.

766 The modelled total soil organic carbon for the Broadbalk plots fits the measured data
767 well with the RMSE ranging from 3.1 to 13.8% (Fig. 6 and Table 5).

768 The model simulations of N leached from the Broadbalk plots were compared with
769 estimates of leaching from (Goulding et al., 2000), based on nitrate concentrations in drainage
770 and soil water and calculations of drain flow. The measured concentrations of nitrate in soil
771 water were subject to the usual large spatial variation with typical CVs of 50–90%. The
772 simulations reflected the differences in leaching between the different amounts of N, although
773 they tended to overestimate N leached at the largest N rates and in the driest years (Fig. 8 and
774 Table 6). IPCC guidelines (IPCC, 1997;Del Grosso et al., 2005) assume that 30% of applied N
775 is leached or runs off into groundwater or surface waters and this accords with our simulations
776 of Broadbalk where approximately 31.7% of N applied is lost through leaching.

777 The simulation of water flow from the two North Wyke Catchments matches the pattern
778 in the variation of water flow but the average water flow over the simulated periods was larger
779 than that simulated, as was the variation. This suggests that our model system is buffering the
780 water through-put in the catchment and that too much is being taken by the crop or evaporating
781 from the system. The simulations of nitrate in drainage water on the North Wyke plots appeared
782 to be poorer than the simulations of N losses for Broadbalk. Although the timing of peaks in
783 nitrate towards the end of the simulation were determined well, little nitrate was simulated in
784 the first part of the simulated time period. This was because there was very little nitrate left in
785 the model soil profiles at the beginning of the simulated run, and during the summer period
786 (May 2013 – September 2013) there was very little simulated discharge (see Fig. 10). An
787 addition of nitrate on 5th March 2013 to catchment 4 increased the nitrate levels in the soil and
788 a peak in nitrate followed. Further additions of nitrate fertilizer kept the soil nitrate in this
789 simulation at a larger concentration than that in the catchment 5 simulation, which despite
790 having similar levels of nitrate applied, retained less nitrate in the soil. The difference in the
791 simulated soil nitrate between the two catchments manifests as differences in the nitrate in the
792 drainage water in the autumn and winter of 2013 where the nitrate leached was greater for
793 catchment 4 than for catchment 5. The simulated nitrate in the drainage water is larger than
794 that measured for catchment 4 yet smaller for catchment 5. This suggests problems with the
795 modelled uptake of nitrate by the grass and retention in the soil in this case, but we have no
796 explanation for the counter-intuitive discrepancy between the measurements on the two plots.
797 Quantifying the fate of nitrate is notoriously difficult (Senapati et al., 2016). Recently
798 calculated field level budgets of N from the North Wyke platform show unaccounted for losses
799 of between 30 and 60 kg N ha⁻¹ (Misselbrook pers. comm.). This highlights the need for more
800 research on the processes that control N transformations from micro-scale to field scale, and
801 larger-scales. Facilities such as the North Wyke farm platform are ideally placed to support

802 this kinds of research. Models such as the one described here can help to identify the parts of
803 the processes where understanding is incomplete and so can help to inform the design of
804 experiments as well as benefit from any new understanding obtained.

805 Others have explored trade-offs using empirical data. For example Phalan et al. (2011)
806 compared the effects of land sparing and land sharing on crop yields and the densities of tree
807 and bird species across the UK, while Lamb et al. (2016) explored the need to cut greenhouse
808 gas emissions, while increasing agricultural yields to meet the rapidly rising food demand
809 through land sparing. Eory et al. (2013) examined the trade-offs and synergies between
810 greenhouse gas mitigation measures and other environmental pollutants. The limitation of such
811 empirical studies is that there is a lack of data and so it is often not possible to consider more
812 than two factors at a time. Whilst models should always be used with caution, they do allow us
813 to consider multiple interactions under a large range of management strategies. Used
814 appropriately, models such as the one we present here should allow sound conclusions to be
815 drawn on the relative impact of management strategies and might highlight unintended
816 consequences of certain actions. Whilst the complexity of agricultural systems across the
817 landscape could warrant a complex model, a simpler model that runs more quickly but still
818 captures the key processes can be coupled more easily to an optimisation algorithm. This then
819 provides the opportunity to identify the form of the synergies and trade-offs between multiple
820 objectives at a broad and often neglected scale. Here, for example, we observe that objectives
821 that are largely synergistic such as nitrate leaching and N₂O emissions still exhibit a trade-off
822 as the N₂O emissions approach the minimum. The non-linearity in the leaching and emissions
823 as yield increases is also clear, indicating a strong trade-off.

824 In order to generate frontiers such as the ones we did here (Fig. 12) an optimisation
825 algorithm must be chosen and a set of management options that the optimisation algorithm can
826 manipulate identified. Within an agricultural landscape, management options are numerous.

827 For example, even considering only fertiliser applications, the timing, amount and type of
828 multiple applications could all be included in the set of management options to be optimised.
829 This set of options will constrain the frontier, thus care must be taken to identify a reasonable
830 range of options, whilst keeping the number of variables that the algorithm can manipulate to
831 a minimum. Even so, the set of options is likely to represent a complex optimisation problem,
832 involving multiple control variables, with the risk that the algorithm may be trapped in local
833 minima. The optimisation algorithm must be chosen and implemented to minimise this risk. In
834 this case we chose to use non-dominated sorting combined with differential evolution. Whilst
835 the non-dominated sorting allowed us to consider multiple-objectives, which is critical to our
836 aim of generating trade-off curves, the differential evolution combines a genetic algorithm and
837 a gradient based search to allow a complex control space to be explored efficiently.

838 Our framework includes models of crop growth, the dynamics of soil conditions and
839 water and nutrient flows in order to quantify the trade-offs between agricultural production and
840 environmental factors. It could be expanded to include volatilisation and biological N fixation
841 (which should improve the simulation for certain grass and crop types). Our framework is
842 distinct from alternative models of the agricultural landscape because it simulates multiple
843 functions simultaneously and distinct from other models of ecosystem services (e.g. Sharps et
844 al., 2017) because it focuses on scaling up the effect of field and farm scale management
845 practices to landscape scale. Additional environmental factors are also relevant to the
846 agricultural landscape and to include these the model could be expanded to include weeds,
847 pests and diseases and aspects of biodiversity. For each new component there will be feedbacks
848 into existing models that alter the dynamics of yield accumulation and soil nutrient status. For
849 example, weed population dynamics will depend on the crop and the soil conditions, but in turn
850 weeds will have a competitive effect on the crop, primarily for light, that will affect both yield
851 and to some extent soil nutrient status (Kropff and van Laar, 1993). Our model framework is

852 spatially explicit and simulates interactions between cells, in particular it describes the lateral
853 flows of nutrients and water from cell to cell based on relative elevation and slope of model
854 cell. The movement of insect pests, for example, is somewhat different as choice of destination
855 are influenced by host plant distribution and the dispersal characteristics of the species in
856 question. It will be straightforward to include these dispersal mechanisms within the landscape
857 framework, see Milne et al. (2015).

858

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