

# *A nonstationary ENSO-NAO relationship due to AMO modulation*

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1 **A Nonstationary ENSO-NAO relationship due to AMO modulation**

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## Abstract

19

20 Many previous studies have demonstrated a high uncertainty in the relationship  
21 between the El Niño-Southern Oscillation (ENSO) and North Atlantic Oscillation  
22 (NAO). In the present work, decadal modulation by the Atlantic Multidecadal  
23 Oscillation (AMO) is investigated as a possible cause of the nonstationary  
24 ENSO-NAO relationship based on observed and reanalysis data. It is found that the  
25 negative ENSO-NAO correlation in late winter is significant only when ENSO and  
26 the AMO are in-phase (AMO+/El Niño and AMO-/La Niña). However, no significant  
27 ENSO-driven atmospheric anomalies can be observed over the North Atlantic when  
28 ENSO and the AMO are out-of-phase (AMO-/El Niño and AMO+/La Niña). Further  
29 analysis indicates that the sea surface temperature anomaly (SSTA) in the tropical  
30 North Atlantic (TNA) plays an essential role in this modulating effect. Due to broadly  
31 analogous TNA SSTA responses to both ENSO and the AMO during late winter, a  
32 warm SSTA in the TNA is evident when El Niño occurs during a positive AMO phase,  
33 resulting in a significantly weakened NAO, and vice versa when La Niña occurs  
34 during a negative AMO phase. In contrast, neither the TNA SSTA nor the NAO show  
35 a prominent change under out-of-phase combinations of ENSO and AMO. The AMO  
36 modulation and associated effect of the TNA SSTA are shown to be well reproduced  
37 by historical simulations of the HadCM3 coupled model and further verified by forced  
38 experiments using an atmospheric circulation model. These offer hope that similar  
39 models will be able to make predictions for the NAO when appropriately initialized.

## 40 **1. Introduction**

41 As the dominant low-frequency atmospheric circulation variability in the  
42 extratropical Northern Hemisphere, the North Atlantic Oscillation (NAO) has  
43 extensive and pronounced climate impacts around the globe (e.g., Hurrell 1995, 2003;  
44 Cattiaux et al. 2010; Cohen et al. 2012; Li et al. 2013, 2018). The NAO explains  
45 much of the observed temperature variability over Eurasia and North America (e.g.,  
46 Hurrell 1996; Wang et al. 2010), and its decadal variation also plays a role in the  
47 recent decline of Arctic sea ice concentration (Deser and Teng 2008). To date, more  
48 and more attention has been paid to understanding NAO variability and its drivers in  
49 order to improve seasonal-to-interannual prediction of the NAO itself and associated  
50 impacts (e.g., Johansson 2007; Dunstone et al. 2016; Smith et al. 2016). On  
51 interannual timescales, possible influences of the El Niño-Southern Oscillation  
52 (ENSO) on the NAO have been extensively studied, since ENSO is one of the largest  
53 modes of interannual variability in the coupled Earth system.

54 ENSO exerts its influence on the global climate mostly through so-called  
55 atmospheric bridge mechanisms (e.g., Klein et al. 1999; Alexander et al. 2002; Lau  
56 and Nath 2003; Graf and Zanchettin 2012; Zhang et al. 2015). While the climate  
57 responses to ENSO in the North Pacific and North America regions are well  
58 understood (e.g., Hoskins and Karoly 1981; Wallace and Gutzler 1981; Infanti and  
59 Kirtman 2016), the physical linkages between ENSO and climate variability over the  
60 North Atlantic-European sector are still unclear. Early studies argued that signals of  
61 ENSO are almost absent in North Atlantic/European climate variability (e.g.,

62 Ropelewski and Halpert 1987; Halpert and Ropelewski 1992). This viewpoint is  
63 challenged by subsequent studies, which demonstrated an ENSO signal in Europe  
64 albeit with large inter-event diversity (e.g., Fraedrich 1994; Gouirand and Moron  
65 2003; Brönnimann et al. 2007a,b), possibly due to the existence of two types of  
66 ENSO (Graf and Zanchettin 2012; Zhang et al. 2015, 2018) and prominent  
67 sub-seasonal variations (e.g., Fraedrich and Muller 1992; Moron and Gouirand 2003;  
68 Geng et al. 2017). Various observational and modeling studies have demonstrated that  
69 El Niño events usually coincide with a negative NAO-like atmospheric anomaly  
70 pattern during the late winter season, with a colder and drier than normal climate over  
71 Northern Europe (e.g., Mathieu et al. 2004; Brönnimann et al. 2007a,b). The  
72 responses to La Niña events are approximately opposite in sign to those of El Niño.  
73 Nevertheless, the dynamical mechanisms addressing how ENSO-related tropical sea  
74 surface temperature (SST) anomalies (SSTA) influence the NAO variability are still  
75 under debate. It has been proposed that the atmosphere over the North Pacific may  
76 serve as a bridge linking ENSO-associated diabatic heating in the tropical Pacific with  
77 atmospheric circulation anomalies over the North Atlantic (e.g., Wu and Hsieh 2004;  
78 Graf and Zanchettin 2012; Zhang et al. 2015, 2018). ENSO-forced synoptic eddies  
79 over the eastern Pacific and North America could modulate the meridional  
80 propagation of synoptic wave packets over the North Atlantic, and then favor the  
81 occurrence of different NAO phases (e.g., Li and Lau 2012a, b; Drouard et al. 2015).  
82 The stratosphere might also act as a mediator to connect the signal between the  
83 Pacific and Atlantic basins (e.g., Castanheira and Graf 2003; Ineson and Scaife 2009;

84 Bell et al. 2009). As an additional pathway, some previous studies reported that the  
85 delayed tropical Atlantic SSTA following the ENSO peak could also affect the North  
86 Atlantic atmospheric circulation (e.g., Watanabe and Kimoto 1999; Robertson et al.  
87 2000; Peng et al. 2005; Li et al. 2007; Davini et al. 2015).

88 Many studies show that the NAO also displays prominent decadal variability,  
89 which may be associated with the underlying low-frequency SST forcing. In particular,  
90 the linkage between the NAO and the Atlantic multidecadal oscillation (AMO; Peings  
91 and Magnusdottir 2014; Omrani et al. 2014) has been widely explored, since the  
92 AMO has been recognized as an important driver of Northern Hemisphere climate  
93 variability (e.g., Kerr 2000; Enfield et al. 2001; Zhang et al. 2007; Sun et al. 2011;  
94 Sun et al. 2015). A warm AMO phase usually accompanies occurrence of more  
95 frequent negative NAO and thus more cold days over Europe and North America (e.g.,  
96 Ting et al. 2011, 2014; Kavvada et al. 2013; Peings and Magnusdottir 2014).  
97 Therefore, it is compelling to hypothesize that the AMO may in some way act to  
98 modulate the ENSO-NAO relationship.

99 Since any modulation of the ENSO-NAO relationship by the AMO has not been  
100 sufficiently elucidated in the aforementioned studies, in this study we investigate the  
101 influence of phase combinations of the ENSO and AMO on the NAO based on  
102 long-term observational datasets and model simulations. Our results will show that the  
103 AMO causes significant modulation of the ENSO-NAO relationship. Furthermore, the  
104 physical mechanisms behind this AMO modulation effect are also proposed. In the  
105 remainder of the paper, Section 2 introduces the observational datasets, model

106 simulations and methodologies. The modulation effect of the ENSO-NAO  
107 relationship by the AMO is illustrated in Section 3. In Section 4, we propose the  
108 possible mechanisms for modulation, and further use historical HadCM3 model  
109 simulations as well as atmospheric general circulation model (AGCM) experiments  
110 based on Geophysical Fluid Dynamics Laboratory (GFDL) global Atmospheric  
111 Model version 2.1 (AM2.1) to validate our hypotheses. Finally, a summary and  
112 discussion of the results are presented in Section 5.

113

## 114 **2. Datasets and Methods and experimental design**

### 115 **2.1 Datasets and Methods**

116 The monthly datasets used in this study include global SST derived from the  
117 National Oceanic and Atmospheric Administration (NOAA) Extended Reconstructed  
118 SST analysis, version 3 (ERSST v3b) from 1950 to 2016 (Smith et al. 2008).  
119 Atmospheric circulations are examined based on the National Environmental  
120 Prediction Center/National Center for the Atmospheric Research (NCEP/NCAR)  
121 monthly reanalysis data from 1950 to 2016 (Kalnay et al. 1996) and Twentieth  
122 Century Reanalysis (20CR) monthly data from 1900 to 2012 (Compo et al. 2010). To  
123 describe the NAO-associated atmospheric activity, the NAO index is defined as the  
124 difference in normalized zonal-averaged sea-level pressure (SLP) over the North  
125 Atlantic region (80°W-30°E) between 35°N and 65°N (Li et al. 2003). The AMO  
126 index is calculated as the area-averaged SSTA over the North Atlantic region  
127 (0°-60°N, 0°-80°W) (Trenberth and Shea 2006).



128 ENSO events usually reach their peak during boreal winter  
129 (December-January-February, DJF), and the relatively stable NAO response to ENSO  
130 is found mainly during the late winter (January-February-March, JFM) (e.g., Zhang et  
131 al. 2015, 2018). Thus, we use the DJF Niño3.4 index (SSTA averaged over 5°S-5°N  
132 and 120°-170°W) as a measure of ENSO events and the JFM NAO index to  
133 characterize NAO variability. The DJF AMO is also employed (other seasonal means  
134 computed for the AMO index such as JFM or DJFM do not change the conclusion).  
135 To better isolate the inherent decadal signal of the AMO, we extract its low-frequency  
136 variability by using a 10-year low-pass fast Fourier transform (FFT) filter (other  
137 filters for the AMO index such as 9-year and 11-year low-pass filter do not change the  
138 conclusion). The linear trends of all data were removed to avoid possible influences  
139 associated with global warming. A threshold of  $\pm 0.5$  standard deviations of the  
140 Niño3.4 index is used to define ENSO events. With this method we identify 20 El  
141 Niño and 24 La Niña winters (Table 1). The year in Table 1 corresponds to  
142 year(0)/year(1), which denotes the ENSO developing and decaying years, respectively.  
143 All statistical significance tests were performed based on the two-tailed Student's  
144 *t*-test.

145 We also analyze the Hadley Centre coupled model version 3 (HadCM3) output  
146 (1860-2005) to further verify the AMO modulation on the ENSO-NAO relationship.  
147 The model simulation, known as a “historical experiment”, was driven by prescribed  
148 historical climate forcing, which includes changing atmospheric composition, solar  
149 forcing, and land use (Taylor et al. 2012). We chose the HadCM3 simulation in this

150 study since the model has the capability to simulate both the AMO and ENSO (e.g.,  
151 Lu et al. 2006; Dong et al. 2006). Since HadCM3 is a coupled model, we do not  
152 expect the phases of AMO and ENSO within it to coincide with those in observations  
153 over the 20<sup>th</sup> century; any memory of the initial state will be lost within a few years of  
154 the start of the integration.

## 155 **2.2 Experimental design**

156 In order to examine the AMO-modulation effect on the ENSO-NAO relationship,  
157 we conducted several modeling experiments based on the GFDL AM2.1 (The GFDL  
158 Global Atmospheric Model Development Team 2004) with a horizontal resolution of  
159 2.5° longitude × 2° latitude. As a reference state, global climatological (monthly  
160 varying) SST was used to force the atmospheric model (CTRL). In addition, a group  
161 of sensitivity experiments (PAEL, NAEL, PALA and NALA) was designed (Table 2).  
162 To inspect the combined influence of the ENSO and AMO, in the PAEL experiment  
163 we added the composite SSTA for the AMO+/El Niño case on the monthly  
164 climatological SST from December to March in the tropical Pacific (30°S-30°N,  
165 120°E-90°W) and TNA (0-30°N, 80°W-0) region (Table 2). The other three  
166 experiments (PALA, NAEL, NALA) are the same as the PAEL experiment, except  
167 that the SSTA are the composites for AMO+/La Niña, AMO-/El Niño and AMO-/La  
168 Niña cases, respectively. Each experiment was integrated for 55 years and only the  
169 last 45 years of the integrations were used to avoid any influence of the initial  
170 conditions. The differences between each sensitivity experiment and CTRL ensemble  
171 means are regarded as the specific SSTA forcing effects.

172

### 173 **3. Observed modulation of the ENSO-NAO relationship by the AMO**

174 Figure 1 shows the time evolution of the DJF Niño3.4 and JMF NAO indices.  
175 Conspicuous interannual variability can be found in these two indices with a weak  
176 out-of-phase relationship between them ( $R=-0.15$ , nonsignificant at the 95%  
177 confidence level). Interestingly, the negative NAO phase usually corresponds to El  
178 Niño events during the positive AMO phase. Most La Niña events accompany the  
179 positive NAO phase during a negative AMO phase. In contrast, the NAO responses to  
180 El Niño events during the negative AMO phase and La Niña events during the  
181 positive AMO phase are inconsistent. It seems that the ENSO-NAO relationship is  
182 dependent upon the AMO phase.

183 We next categorize ENSO events into four types according to AMO phase: that is,  
184 El Niño events during a positive AMO phase (AMO+/El Niño) and El Niño events  
185 during a negative AMO phase (AMO-/El Niño), La Niña events during a positive  
186 AMO phase (AMO+/La Niña) and La Niña events during a negative AMO phase  
187 (AMO-/La Niña) (see Table 2). Figure 2 shows the composites of anomalous winter  
188 SLP and 850 hPa horizontal winds for these four cases over the North Atlantic region.  
189 Obviously negative and positive NAO-like atmospheric circulation patterns appear  
190 over the North Atlantic for the AMO+/El Niño and AMO-/La Niña composites  
191 respectively (Figure 2a and 2d), despite a slightly westward shift of the anomalous  
192 center relative to the conventional NAO pattern. Nonetheless, no obvious NAO-like  
193 atmospheric circulation anomalies can be observed over the North Atlantic for the

194 AMO-/El Niño and AMO+/La Niña composites (Figure 2b and 2c). It can be seen that  
195 the NAO response is significantly strengthened (weakened) when ENSO and AMO  
196 occur as in-phase (out-of-phase) combinations. This combined effect is further  
197 examined for the AMO and ENSO based on the NCEP/NCAR 20CR reanalysis data  
198 with its longer data period. Likewise, we can also observe significant (nonsignificant)  
199 NAO-like atmospheric circulation patterns during ENSO and AMO in-phase  
200 (out-of-phase) combinations (Figure 3).

201 The above analyses demonstrate that the AMO plays an important role in  
202 permitting or denying the ENSO-NAO relationship. In order to clarify possible  
203 mechanisms for different NAO responses to ENSO during different AMO phases, in  
204 Figure 4 we present the regression of atmospheric circulation anomalies with respect  
205 to both AMO and ENSO indices separately, to determine if there is any similarity. The  
206 atmospheric circulation pattern regressed against the AMO index resembles the  
207 typically negative NAO-like pattern (Figure 4a), consistent with previous studies (e.g.,  
208 Kavvada et al. 2013; Peings and Magnusdottir 2014). Meanwhile, the regressed SLP  
209 and wind anomalies against the Niño3.4 index also show similar negative NAO-like  
210 features over the North Atlantic. In comparison, the ENSO-related atmospheric  
211 anomalies north of 50°N are weaker and the negative NAO-like pattern cannot extend  
212 further east toward the land. The ENSO-related NAO anomalies are supported by  
213 experiments forced only by tropical Pacific SSTA of ENSO (Zhang et al. 2015, 2018).  
214 The broadly analogous atmospheric responses to ENSO and the AMO over the North  
215 Atlantic indicate a potential combined effect on NAO variability forced by ENSO and

216 the AMO. When ENSO and the AMO occur as in-phase combinations, the NAO-like  
217 atmospheric circulation pattern should be strong and prominent. However, if ENSO  
218 and the AMO occur as out-of-phase combinations (for example, La Niña during  
219 AMO+), the NAO responses are inconsistent, resulting from offsetting effects of each  
220 other.

221

#### 222 **4. Possible modulation mechanisms associated with the AMO**

223 We now turn to explore possible mechanisms responsible for the AMO  
224 modulation effect on the ENSO-NAO relationship. Figure 5 shows the composite  
225 anomalies of JFM SST and 1000 hPa horizontal winds for the aforementioned four  
226 cases. The SSTA during El Niño under both positive and negative AMO phases has  
227 similar patterns in the tropical Pacific, exhibiting a warming in the eastern Pacific and  
228 cooling in the surrounding regions with basically the same intensities and center  
229 positions (Figure 5a and c). Similar conditions are shown for La Niña events with  
230 positive and negative AMO phases (Figure 5b and d). Therefore, the AMO  
231 modulation effect on the ENSO pattern and intensity seems ignorable and is not  
232 considered in this study. However, Figure 5 displays very different SSTAs in the  
233 North Pacific and in the tropical and North Atlantic. Considering the high background  
234 SST, the tropical SSTA can easily excite local convection anomalies, which thus gives  
235 rise to extra-tropical atmospheric anomalies and further ocean anomalies via the  
236 “atmospheric bridge” mechanism (e.g., Alexander et al. 2002). In contrast, SSTA in  
237 the mid-latitudes is usually passive in air-sea interaction processes (Neelin et al. 1987).

238 So, the tropical North Atlantic may be the key region for AMO modulation of the  
239 ENSO-associated atmospheric anomalies. Here, we define the area-averaged SSTA in  
240 the tropical North Atlantic region ( $5^{\circ}\text{N}$ - $25^{\circ}\text{N}$ ,  $15^{\circ}\text{W}$ - $55^{\circ}\text{W}$ ) as the TNA index and in  
241 Figure 6 display the spatial pattern of the regressed SLP anomaly pattern onto this  
242 TNA index. We find a distinct north-south dipole of SLP anomalies with one center  
243 located near Iceland and the other of opposite sign spanning the central latitudes of  
244 the North Atlantic between  $20^{\circ}\text{N}$  and  $40^{\circ}\text{N}$ , resembling a negative NAO-like pattern.  
245 This result is consistent with many previous studies (e.g., Brönnimann, 2007a; Peng et  
246 al. 2002, 2005). A possible mechanism suggested by Li et al. (2007) is that the TNA  
247 SSTA could excite an anomalous heating over the tropical North Atlantic, which  
248 results in a Rossby wave train propagating northeastward. Then, this wave train leads  
249 to anomalous transient-eddy activity in the North Atlantic jet exit area. This  
250 anomalous transient-eddy forcing acts linearly on the time-mean flow with a  
251 NAO-like atmospheric pattern. Thus the relatively strong TNA SSTA that occurs  
252 during AMO+/El Niño and AMO-/La Niña combinations tends to favor strong NAO  
253 responses. However, the absence of TNA SSTA during AMO-/El Niño and AMO+/La  
254 Niña combinations leads to inconsistent responses of the NAO that are not robust.

255 The next scientific question that remains to be answered is how this different  
256 TNA SSTA is generated. Many previous studies have shown that the TNA SST tends  
257 to increase (decrease) after the peak of El Niño (La Niña) due to an atmospheric  
258 bridge between the tropical eastern Pacific and Atlantic Oceans (e.g., Enfield and  
259 Mayer 1997; Nicholson 1997; Wang 2002). Thus, the TNA SSTA is observed to lag

260 ENSO by several months. The regressed North Atlantic JFM SSTA against the DJF  
261 Niño3.4 index also confirmed this well-known ENSO-teleconnected effect (figure not  
262 shown). Simultaneously, the AMO is a basin-wide dominant SSTA mode in the North  
263 Atlantic on multidecadal time scales. Therefore, when the AMO and ENSO are in  
264 phase, these two SSTA act in superposition in TNA and thus the anomaly is robust  
265 with strong signal (Figure 7). For example, under the long-term warming background  
266 SST associated with the positive AMO phase, the El Niño-associated tropical Pacific  
267 SST warming further enhances the already warm TNA SSTA (Figure 5a). This strong  
268 TNA SSTA then leads to a prominent NAO response in the atmosphere. On the  
269 contrary, when AMO and ENSO are out of phase, these two opposite-sign responses  
270 in the TNA counteract each other, resulting in a nonsignificant TNA SSTA (Figure 8)  
271 and thus an unstable NAO response.

272 We further examine the effect of the AMO on the ENSO-NAO relationship based  
273 on HadCM3 historical simulations (146 years) derived from the Coupled Model  
274 Intercomparison Project phase 5 (CMIP5). These simulations provide more samples  
275 of the AMO in each phase, compared to the limited observational record. The model  
276 composites of simulated JFM SLP anomalies and TNA SSTA indices for the four  
277 different phase combinations of ENSO and AMO are shown in Figures 8 and 9,  
278 respectively. During the late winter of AMO+/El Niño combinations, the positive  
279 SSTA over the TNA region is robust (Figure 9), which corresponds to significant  
280 negative NAO responses (Figure 8a). The simulated responses during AMO-/La Niña  
281 combinations in late winter are generally the opposite (Figure 8d and 9). However,

282 neither the TNA SSTA nor the atmospheric circulation responses over the North  
283 Atlantic are obvious when the AMO and ENSO occur in out-of-phase combinations  
284 (Figure 8b-c and 9).

285 Finally, the combined influences of ENSO and the AMO on the NAO are  
286 confirmed by GFDL AM2.1 atmosphere-only experiments. Figure 10 shows the  
287 simulated anomalous SLP responses to the different SSTA combinations of the AMO  
288 and ENSO. It is found that obvious negative and positive NAO-like atmospheric  
289 circulation patterns appear over the North Atlantic for the PALA and NALA  
290 experiments, respectively (Figure 10a and 10d). Nevertheless, no obvious NAO-like  
291 atmospheric circulation anomalies can be simulated in the NAEL and PALA  
292 experiments (Figure 10b and 10c). These results also suggest a strong AMO  
293 modulation effect on the ENSO-NAO relationship via the TNA SSTA. The  
294 consistency between observations and model simulations increase our confidence in  
295 the aforementioned mechanism responsible for modulation of the ENSO-NAO  
296 relationship by the AMO.

297

## 298 **5. Summary and discussion**

299 A general physical link has been detected between ENSO and the NAO in late  
300 winter, but with a high uncertainty. In this study we demonstrate that the uncertainty  
301 of the ENSO-NAO relationship is partly due to decadal modulation by the AMO,  
302 which is therefore of importance for seasonal-to-interannual prediction of the NAO.  
303 To illustrate this AMO modulation, we categorize ENSO events into four groups



304 according to AMO phase: AMO+/El Niño, AMO-/El Niño, AMO+/La Niña and  
305 AMO-/La Niña. It is found that when the ENSO and AMO occur as in-phase  
306 combinations (i.e., AMO+/El Niño and AMO-/La Niña), then El Niño (La Niña)  
307 events frequently correspond to significantly negative (positive) NAO-like  
308 atmospheric anomalies; this gives a significant negative ENSO-NAO relationship in  
309 late winter. In contrast, there are no significant atmospheric anomalies over the North  
310 Atlantic when ENSO and the AMO occur as out-of-phase combinations (i.e.,  
311 AMO-/El Niño and AMO+/La Niña).

312 The tropical North Atlantic (TNA) SSTA is proposed to serve as an important  
313 medium for AMO modulation of the ENSO-NAO relationship, since it can excite  
314 remarkable NAO-like atmospheric circulation anomalies. The TNA SSTA responses  
315 to ENSO and the AMO are broadly analogous. When AMO and ENSO are in phase,  
316 their influences on the TNA SSTA occur in superposition and thus the strong TNA  
317 SSTA favors a significant NAO-associated atmospheric response. On the other hand,  
318 when AMO and ENSO are out of phase, the TNA SSTA responses counteract each  
319 other and thus the response is very weak. As a summary, a schematic (Figure 11) for  
320 the combined impacts on the NAO exerted by ENSO and the AMO is provided.

321 We have also checked possible effects of another prominent decadal mode in the  
322 North Pacific (i.e., the Pacific Decadal Oscillation or PDO). Almost no remarkable  
323 impacts of the PDO on the ENSO-NAO relation can be detected (not shown). In the  
324 present study, we thus emphasize the clear modulation of the ENSO-NAO  
325 relationship by the AMO. We acknowledge that many factors other than the TNA

326 SSTA, such as volcanic eruptions (Shindell et al. 2004; Driscoll et al. 2012), Arctic  
327 sea ice (Hilmel and Jung 2000; Seierstad and Bader 2009) and internal atmospheric  
328 variability (Kumar and Hoerling 1998), may also impact the NAO-related  
329 atmospheric circulation. In addition, ENSO itself exhibits a considerable degree of  
330 diversity in its SSTA pattern, which also complicates its connection with the NAO  
331 (e.g., Greatbatch et al. 2004; Graf and Zanchettin 2012; Zhang et al. 2015, 2018). All  
332 these factors may increase the uncertainty of the ENSO-NAO relationship.

333

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339

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551

552 **Table 1.** El Niño and La Niña events for the 1950-2016 period based on the Niño3.4

553 index.

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El Niño events	La Niña events
1953/54, 1957/58, 1963/64, 1965/66, 1968/69, 1969/70, 1972/73, 1976/77, 1977/78, 1982/83, 1986/87, 1987/88, 1991/92, 1994/95, 1997/98, 2002/03, 2004/05, 2006/07, 2009/10, 2015/16	1950/51, 1954/55, 1955/56, 1962/63, 1964/65, 1967/68, 1970/71, 1971/72, 1973/74, 1974/75, 1975/76, 1984/85, 1988/89, 1995/96, 1998/999, 1999/00, 2000/01, 2005/06, 2007/08, 2008/09, 2010/11, 2011/2012, 2012/2013, 2013/2014

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554

555 **Table 2.** List of the conducted SST perturbation experiment

Experiment	Description of the SST perturbation
PAEL	Composite SST anomalies for the AMO+/El Niño case are imposed on the monthly climatological SST from December to March in the tropical Pacific (30°S-30°N, 120°E-90°W) and the tropical North Atlantic (0-30°N, 80°W-0) regions.
NAEL	As in PAEL but the composite SST anomalies for the AMO-/El Niño case are imposed.
PALA	As in PAEL but the composite SST anomalies for the AMO+/La Niña case are imposed.
NALA	As in PAEL but the composite SST anomalies for the AMO-/La Niña case are imposed.

556

557 **Table 3.** Category of El Niño and La Niña events for the 1950-2016 period according  
 558 to AMO phase.

Categories	Years
AMO+/El Niño	1953/54, 1957/58, 1963/64, 1965/66, 1997/98, 2002/03, 2004/05, 2006/07, 2009/10, 2015/16
AMO-/El Niño	1968/69, 1969/70, 1972/73, 1976/77, 1977/78, 1982/83, 1986/87, 1987/88, 1991/92, 1994/95
AMO+/La Niña	1950/51, 1954/55, 1955/56, 1962/63, 1964/65, 1998/999, 1999/00, 2000/01, 2005/06, 2007/08, 2008/09, 2010/11, 2011/2012, 2012/2013, 2013/2014
AMO-/La Niña	1967/68, 1970/71, 1971/72, 1973/74, 1974/75, 1975/76, 1984/85, 1988/89, 1995/96

559

560 **Figure Captions**

561 Figure 1. Time evolution of JFM NAO (blue solid line), DJF Niño3.4 (red dashed line)  
562 and DJF AMO (bar) indices from 1950/51 to 2015/16 winter. Orange and green  
563 dots represent the El Niño and La Niña winters, respectively. The decadal  
564 component of AMO index is shown here, which is calculated using a 10-year  
565 low-pass filter.

566 Figure 2. Composites of anomalous SLP (contours in hPa, from -4.0 to 3.0 by 1.0) and  
567 850 hPa horizontal winds (vector in m/s) for (a) AMO+/El Niño; (b) AMO+/La  
568 Niña; (c) AMO-/El Niño; (d) AMO-/La Niña cases based on NCEP/NCAR  
569 reanalysis data. Shading represents those SLP anomalies above the 90%, 95%  
570 and 99% confidence levels, respectively. The wind anomalies are only displayed  
571 when the zonal or meridional wind anomalies are significant at the 90%  
572 confidence level.

573 Figure 3. As in Figure 2, but for composites based on 20CR data in the period of  
574 1900-2012 (contour in hPa, from -3.0 to 3.0 by 1.0).

575 Figure 4. Regressed anomalous patterns of SLP (contour in hPa, from -0.8 to 1.6 by  
576 0.4) and 850 hPa horizontal winds (vector in m/s) with respect to the (a) AMO  
577 and (b) Niño3.4 indices. Shading represents those SLP anomalies significant  
578 above the 90%, 95% and 99% confidence levels, respectively. The wind  
579 anomalies are shown only when the zonal or meridional wind anomalies are  
580 significant at the 90% confidence level.

581 Figure 5. Composites of anomalous SST (shading in °C) and 1000 hPa horizontal

582 wind (vector in m/s) for the (a) AMO+/El Niño, (b) AMO+/La Niña, (c)  
583 AMO-/El Niño, (d) AMO-/La Niña cases. Shading represents those SST  
584 anomalies significant above the 90%, 95% and 99% confidence levels,  
585 respectively. The wind anomalies are only displayed when the zonal or  
586 meridional wind anomalies are significant at the 90% confidence level. The  
587 yellow box (15°W-55°W, 5°N-25°N) is the domain used to define the tropical  
588 North Atlantic (TNA) index.

589 Figure 6. Regressed anomalous patterns of JFM SLP (contour in hPa, from -1.6 to 3.2  
590 by 0.8) with respect to the simultaneous TNA index. Shading represents those  
591 SLP anomalies significant above the 90%, 95% and 99% confidence levels,  
592 respectively. The yellow box is the domain used to define the tropical North  
593 Atlantic (TNA) index.

594 Figure 7. Composites of tropical North Atlantic (TNA) indices for AMO+/El Niño,  
595 AMO+/La Niña, AMO-/El Niño, and AMO-/La Niña cases. The error bars  
596 represent one standard deviation error estimates.

597 Figure 8. As in Figure 2, but for composites based on the HadCM3 historical  
598 simulations (contour in hPa, from -3.0 to 1.0 by 0.5).

599 Figure 9. As in Figure 7, but for composites based on the HadCM3 historical  
600 simulations.

601 Figure 10. AM2.1 simulated JFM anomalous SLP (contour in hPa, from -4.0 to 5.0 by  
602 1.0) responses to (a) PAEL, (b) PALA, (c) NAEL and (d) NALA SSTA forcings.  
603 Shading represents those SLP anomalies significant above the 90%, 95% and 99%

604 confidence levels, respectively.

605 Figure 11. Schematic for AMO modulation of the ENSO-NAO relationship. “+” and

606 “-“ indicates the positive and negative phase, respectively. For instance, ENSO+

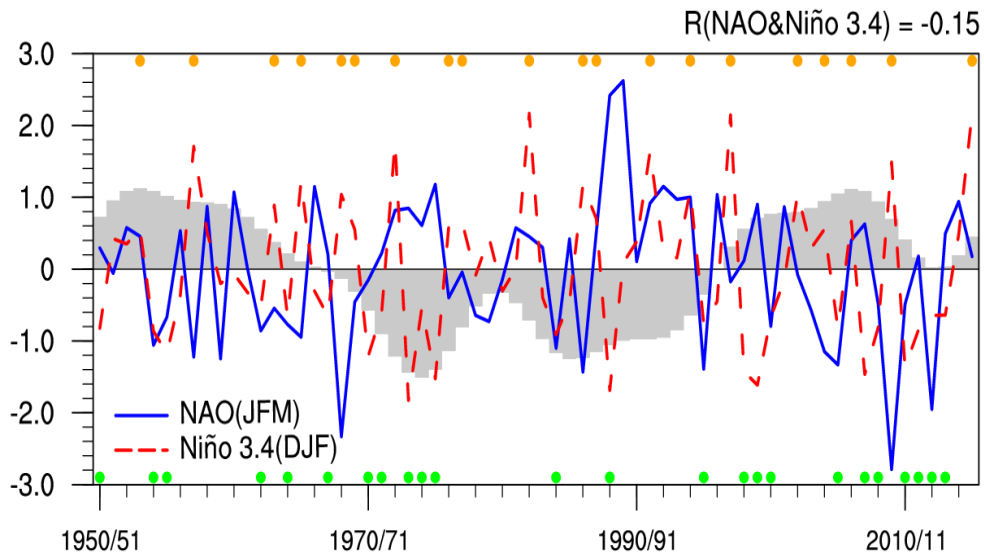
607 and ENSO- represent the positive ENSO phase (i.e., El Niño) and the negative

608 ENSO phase (i.e., La Niña).

609



610 **List of Figures**



611

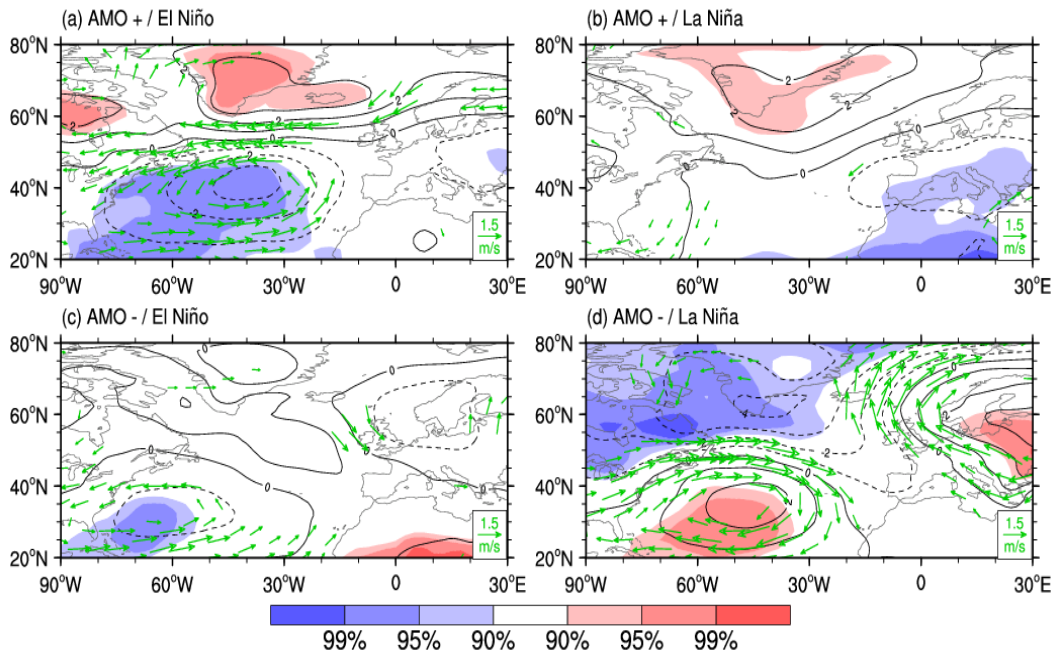
612 Figure 1. Time evolution of JFM NAO (blue solid line), DJF Niño3.4 (red dashed line)

613 and DJF AMO (bar) indices from 1950/51 to 2015/16 winter. Orange and green dots

614 represent the El Niño and La Niña winters, respectively. The decadal component of

615 AMO index is shown here, which is calculated using a 10-year low-pass filter.

616



617

618 Figure 2. Composites of anomalous SLP (contours in hPa, from -4.0 to 3.0 by 1.0) and

619 850 hPa horizontal winds (vector in m/s) for (a) AMO+/El Niño; (b) AMO+/La Niña;

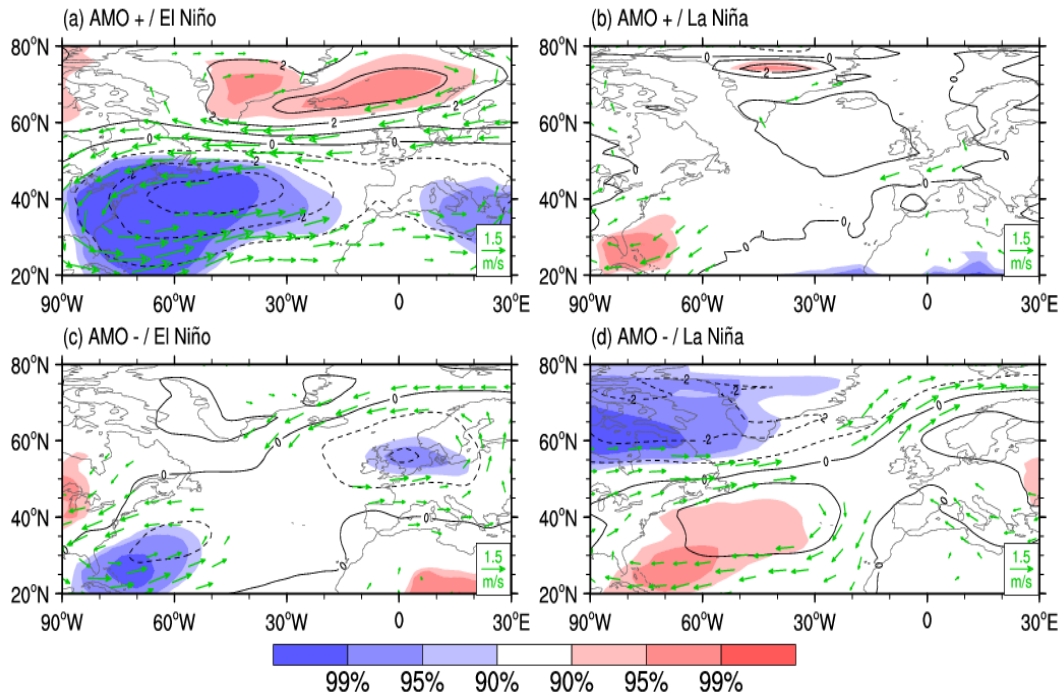
620 (c) AMO-/El Niño; (d) AMO-/La Niña cases based on NCEP/NCAR reanalysis data.

621 Shading represents those SLP anomalies significant above the 90%, 95% and 99%

622 confidence levels, respectively. The wind anomalies are only displayed when the

623 zonal or meridional wind anomalies are significant at the 90% confidence level.

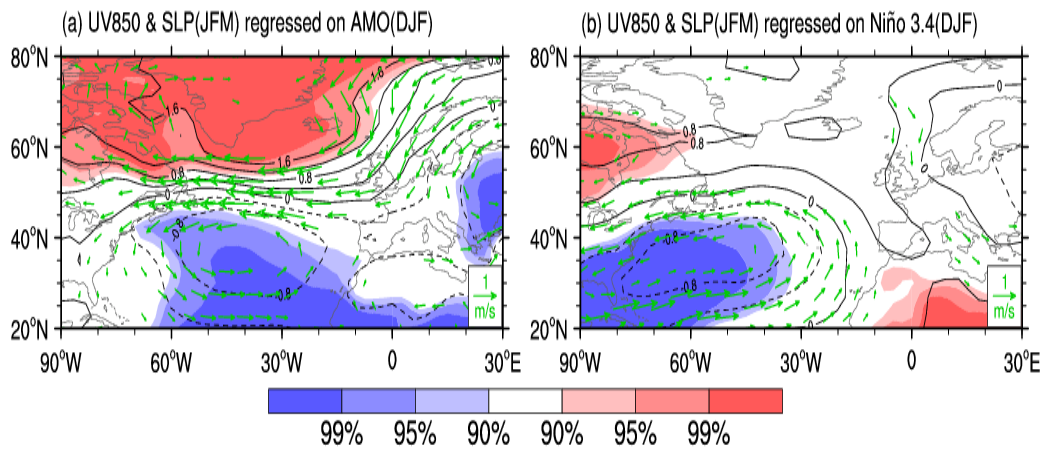
624



625

626 Figure 3. As in Figure 2, but for composites based on 20CR data in the period of  
 627 1900-2012 (contour in hPa, from -3.0 to 3.0 by 1.0).

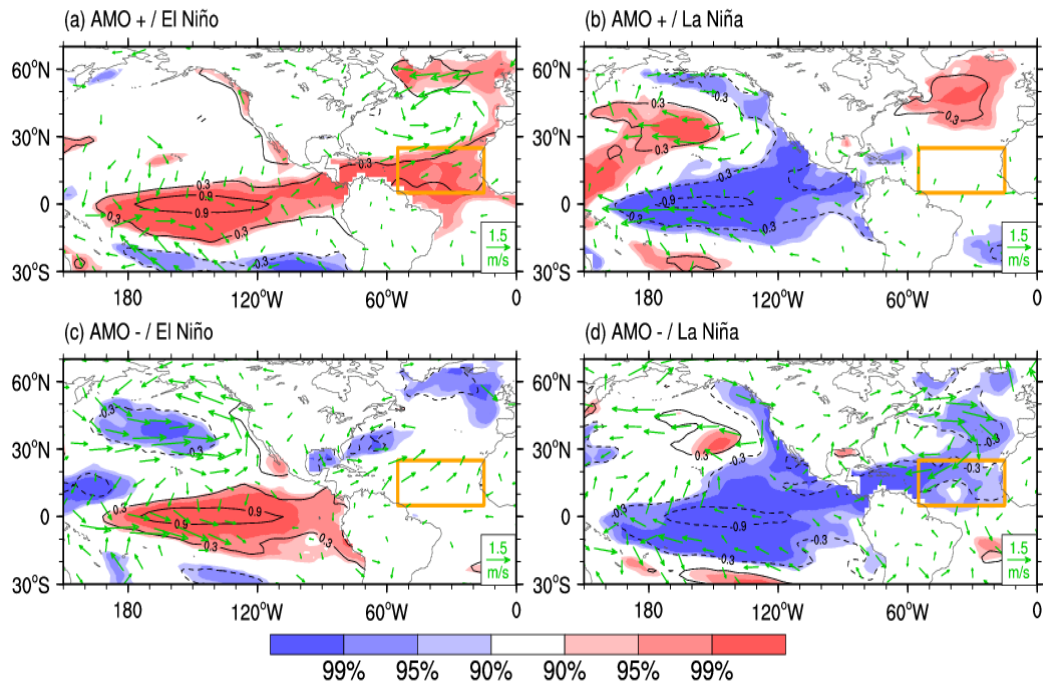
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629

630 Figure 4. Regressed anomalous patterns of SLP (contour in hPa, from -0.8 to 1.6 by  
 631 0.4) and 850 hPa horizontal winds (vector in m/s) with respect to the (a) AMO and (b)  
 632 Niño3.4 indices. Shading represents those SLP anomalies significant above the 90%,  
 633 95% and 99% confidence levels, respectively. The wind anomalies are shown only  
 634 when the zonal or meridional wind anomalies are significant at the 90% confidence  
 635 level.

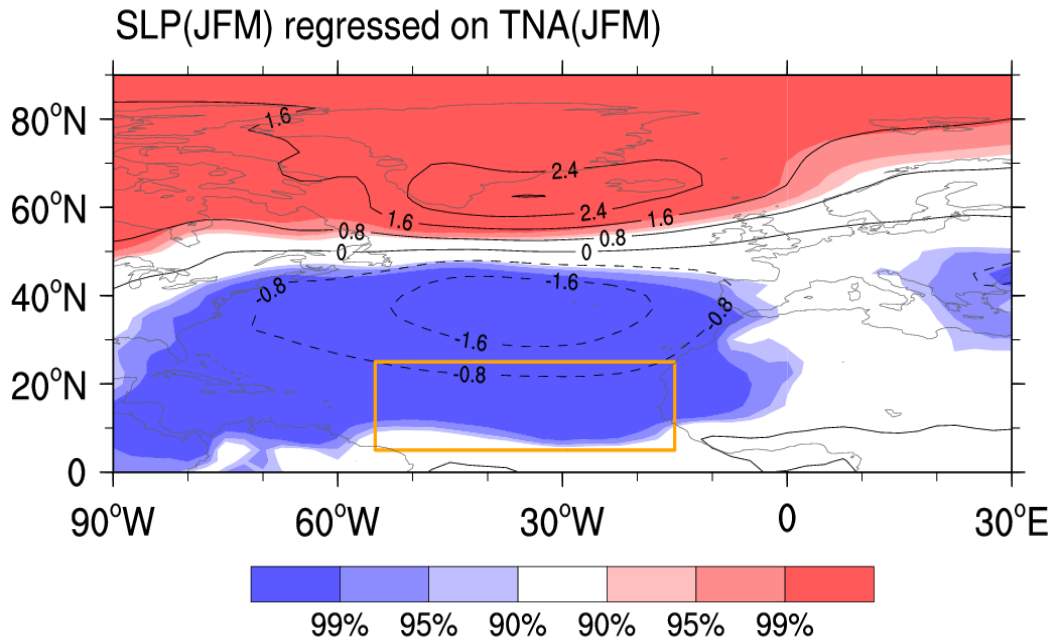
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637

638 Figure 5. Composites of anomalous SST (shading in °C) and 1000 hPa horizontal  
 639 wind (vector in m/s) for the (a) AMO+/El Niño, (b) AMO+/La Niña, (c) AMO-/El  
 640 Niño, (d) AMO-/La Niña cases. Shading represents those SST anomalies significant  
 641 above the 90%, 95% and 99% confidence levels, respectively. The wind anomalies are  
 642 only displayed when the zonal or meridional wind anomalies are significant at the 90%  
 643 confidence level. The yellow box (15°W-55°W, 5°N-25°N) is the domain used to  
 644 define the tropical North Atlantic (TNA) index.

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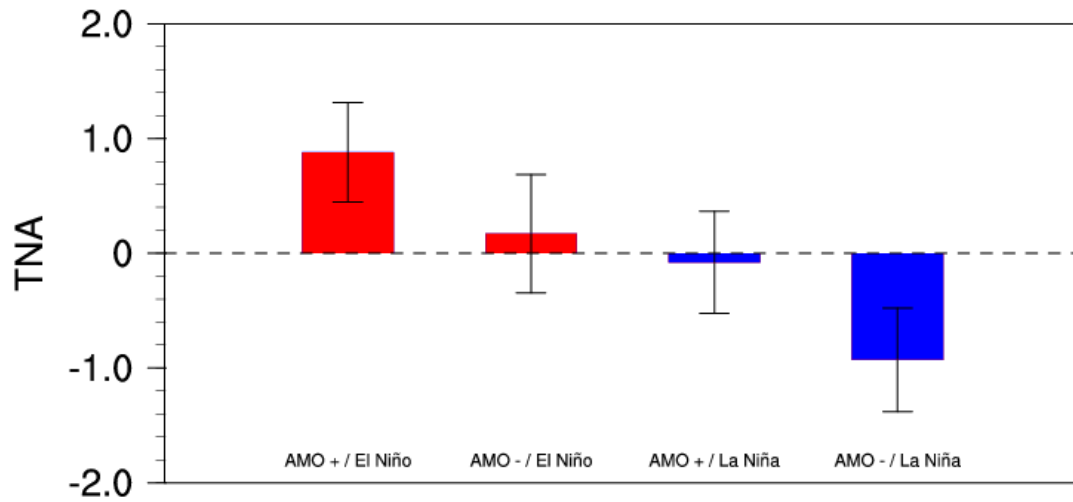


646

647 Figure 6. Regressed anomalous patterns of JFM SLP (contour in hPa, from -1.6 to 3.2  
 648 by 0.8) with respect to the simultaneous TNA index. Shading represents those SLP  
 649 anomalies significant above the 90%, 95% and 99% confidence levels, respectively.

650 The yellow box is the domain used to define the tropical North Atlantic (TNA) index.

651



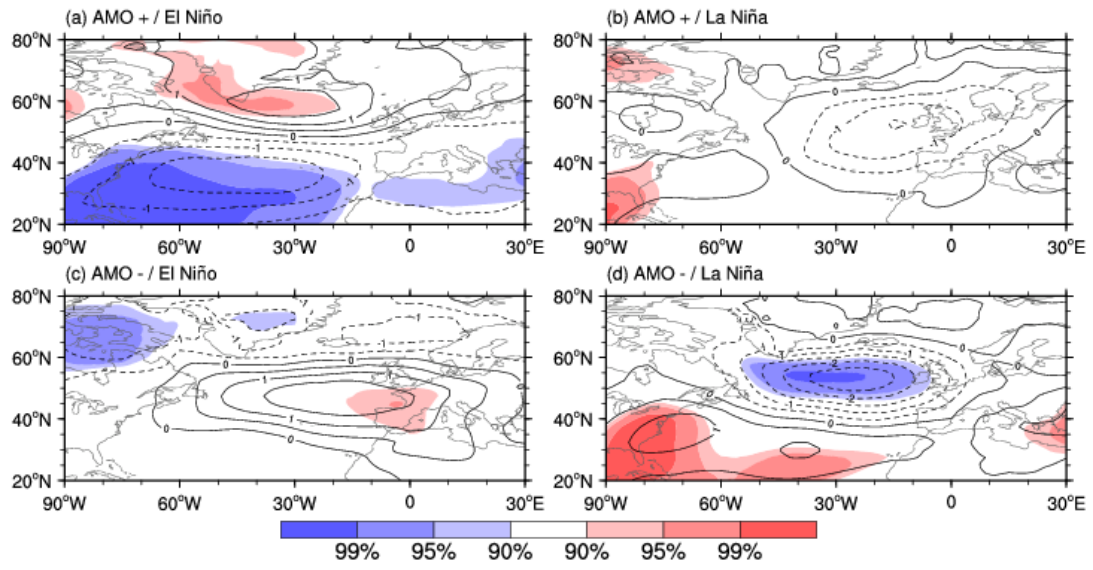
652

653 Figure 7. Composites of tropical North Atlantic (TNA) indices for the AMO+/El Niño,

654 AMO+/La Niña, AMO-/El Niño, AMO-/La Niña cases. The error bars represent one

655 standard deviation error estimates.

656



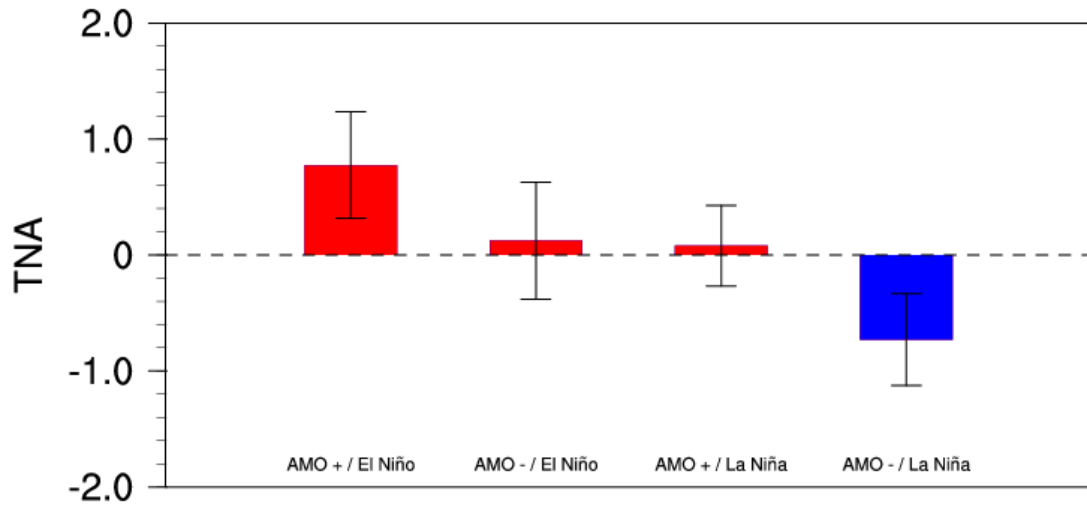
657

658 Figure 8. As in Figure 2, but for composites based on the HadCM3 historical

659 simulations (contour in hPa, from -3.0 to 1.0 by 0.5).

660



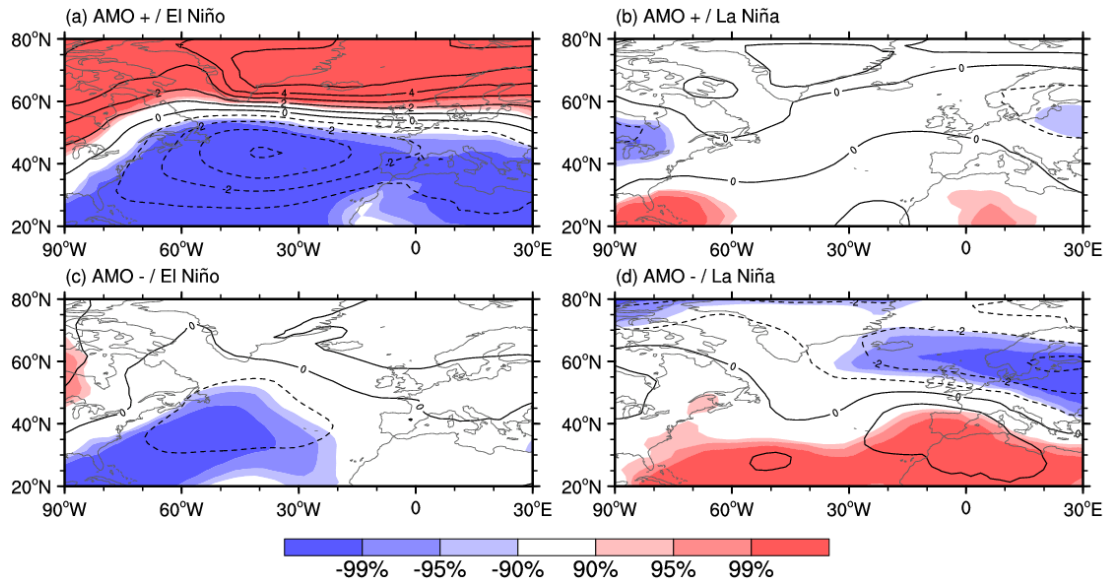


661

662 Figure 9. As in Figure 7, but for composites based on the HadCM3 historical

663 simulations.

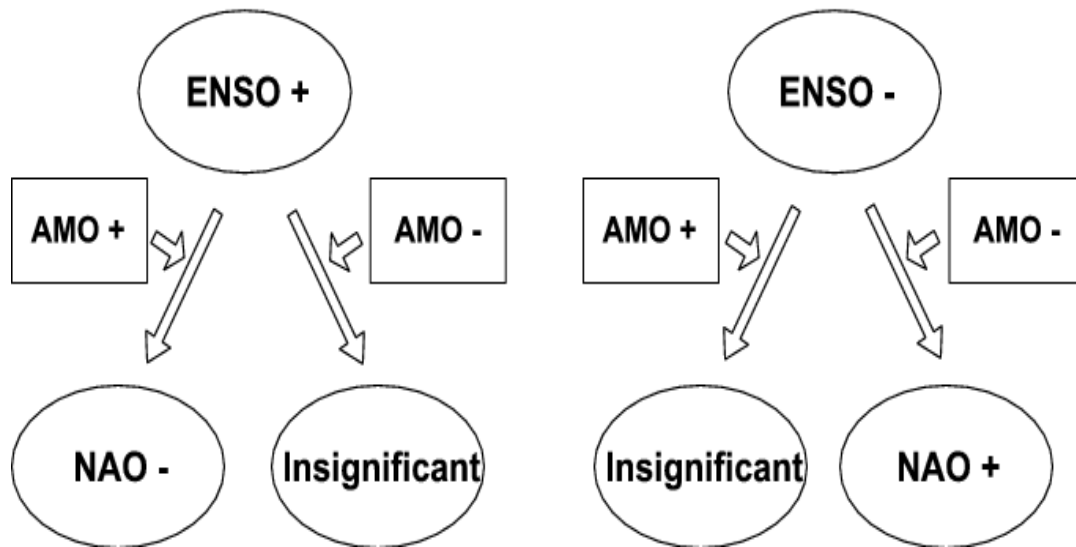
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665

666 Figure 10. AM2.1 simulated JFM SLP responses (contour in hPa, from -4.0 to 5.0 by  
 667 1.0) to (a) PAEL, (b) PALA, (c) NAEL and (d) NALA SSTA forcings. Shading  
 668 represents those SLP anomalies significant above the 90%, 95% and 99% confidence  
 669 levels, respectively.

670



671

672 Figure 11. Schematic for AMO modulation of the ENSO-NAO relationship. “+” and

673 “-“ indicates the positive and negative phase, respectively. For instance, ENSO+ and

674 ENSO- represent the positive ENSO phase (i.e., El Niño) and the negative ENSO

675 phase (i.e., La Niña).

676