

Can houseplants improve indoor air quality by removing CO2 and increasing relative humidity?

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Abbreviations:

RH:	Relative humidity (%)
DLI:	Daily light integral (mol m ⁻² d ⁻¹)
SMC:	Substrate moisture content (m ³ m ⁻³)
LCP:	Light compensation point (μmol m ⁻² s ⁻¹)
ET:	Evapo-transpiration (g)
PPM:	Uptake or emission of CO ₂ by potted plant microcosm
LA:	Leaf area (m ²)
ETLA:	Evapo-transpiration per unit leaf area (g cm ⁻²)

1 Abstract

2 High indoor CO₂ concentrations and low relative humidity (RH) create an array of well-documented human health

3 issues. Therefore, assessing houseplants' potential as a low-cost approach to CO₂ removal and increasing RH is

4 important.

5 We investigated how environmental factors such as 'dry' (< 0.20 m³ m⁻³) or 'wet' (> 0.30 m³ m⁻³) growing substrates,

and indoor light levels ('low' 10 μ mol m⁻² s⁻¹, 'high' 50 μ mol m⁻² s⁻¹ and 'very high' 300 μ mol m⁻² s⁻¹), influence the

7 plants' net CO₂ assimilation ('A') and water-vapour loss. Seven common houseplant taxa – representing a variety of

8 leaf types, metabolisms and sizes – were studied for their ability to assimilate CO₂ across a range of indoor light

9 levels. Additionally, to assess the plants' potential contribution to RH increase, the plants' evapo-transpiration (ET)
10 was measured.

11 At typical 'low' indoor light levels 'A' rates were generally low (< 3.9 mg hr⁻¹). Differences between 'dry' and 'wet'

12 plants at typical indoor light levels were negligible in terms of room-level impact. Light compensation points (i.e.

13 light levels at which plants have positive 'A') were in the typical indoor light range (1-50 μ mol m⁻² s⁻¹) only for two

14 studied *Spathiphyllum wallisii* cultivars and *Hedera helix;* these plants would thus provide the best CO₂ removal

15 indoors. Additionally, increasing indoor light levels to 300 μmol m⁻² s⁻¹ would, in most species, significantly increase

16 their potential to assimilate CO₂. Species which assimilated the most CO₂ also contributed most to increasing RH.

17

18 Key words: Dracaena; drought; Hedera; indoor light; indoor air quality; Spathiphyllum

20 Introduction

21 Indoor CO₂ concentrations are primarily dependent on the occupancy level and outdoor air supply rate (Zhang et al.,

- 22 2017). Humans produce and exhale CO₂; therefore, a greater occupancy coupled with lower ventilation rates –
- intended to reduce energy consumption gives rise to higher and often harmful CO₂ concentrations indoors (Satish
- *et al.*, 2012). Additionally, even when ventilation by ambient air is employed, the problems may be exacerbated in
- the future: ambient CO₂ concentrations increased by 40% over the last century, to 400 ppm with a rise to 670 ppm
 expected by 2100 (Hersoug *et al.*, 2012).
- 27 The American Society of Heating, Refrigerating and Air-conditioning Engineers (ASHRAE) recommends a maximum 28 indoor CO₂ concentration of 1000 ppm (Torpy et al., 2017). Concentrations indoors (e.g. in fully occupied offices or 29 meeting rooms) often reach 2000 to 2500 ppm but can rise as high as 5000 ppm (Zhang et al., 2017). Although 30 discrepancies in the maximum safe exposure concentration are commonplace in literature, prior research suggests 31 typical indoor CO₂ concentrations will continue to present unwanted health issues (Zhang *et al.*, 2017). These include 32 mucus membrane symptoms (i.e. sore/dry throat, dry eyes and sneezing) and respiratory problems (i.e. tight chest, wheezing/coughing and shortness of breath) (Seppanen et al., 1999; Erdmann and Apte, 2004). Elevated CO₂ can 33 34 also reduce the cognitive performance of students in schools, while long-term, regular exposure has been linked to increased absenteeism, weight gain and obesity (Hersoug et al., 2012; Satish et al., 2012; Gaihre et al., 2014; 35
- 36 Nieuwenhuis *et al.*, 2014; Vehvilainen *et al.*, 2016; Zhang *et al.*, 2017).

37 An additional challenge in indoor environments is low relative humidity (RH). An RH below 30% has been shown to 38 cause eye irritation and skin dryness, with an RH below 10% causing dryness of the nasal mucus membrane. Low RH 39 can also increase the likelihood of influenza transmission, enhance indoor ozone concentration and produce static 40 electricity (Arundel et al., 1986; Berglund, 1998; Sunwoo et al., 2006; Lowen et al., 2007; Abusharha and Pearce, 41 2013; Zhang and Yoshino, 2010). However, high RH (> 60%) too can cause issues by encouraging fungal/mould 42 growth and contributing to the deterioration of building materials (Berglund, 1998; Bin, 2002; Zhang and Yoshino, 2010; Frankel et al., 2012). The majority of adverse health effects concerning RH can be avoided by maintaining 43 44 indoor levels between 40 and 60% (Arundel et al., 1986).

- Various techniques are used in the built environment to control and regulate CO₂ levels. They include highly
 engineered approaches to ventilation (Hesaraki *et al.*, 2015; Mateus and da Graca, 2017) as well as low-tech
 approaches which can include the use of plants (Raji *et al.*, 2015; Charoenkit and Yiemwattana, 2016). A number of
 studies investigate a houseplants' potential to sequester CO₂ from indoor environments (Oh *et al.*, 2011; Pennisi and
 van lersel, 2012; Torpy *et al.*, 2014). Studies vary in scale and focus from those focusing on individual plants in
 experimental chambers, to room scale studies *in situ*.
- 51 A range of studies investigated houseplants' ability to sequester CO₂ in home, school, and office environments.
- 52 Various combinations of houseplants were found to generally reduce room CO₂ concentrations and increase RH;
- 53 however, studies rarely specify exact plant numbers and plant types. Plant species commonly used include Dracaena

54 *deremensis, Dracaena marginata, Ficus benjamina, Hedera helix,* and *Spathiphyllum clevelandii* (Raza et al., 1991;

Lohr and PearsonMims, 1996; Jeong et al., 2008; Lim et al., 2009; Oh et al., 2011; Pegas et al., 2012).

56 Light levels and substrate moisture are the key factors influencing gas exchange between the plant and the 57 environment, with 'low' light and 'dry' substrate both reducing houseplants' ability to sequester CO₂ and contribute 58 to RH increases indoors via transpiration (Lawlor and Cornic, 2002; Flexas et al., 2006; Torpy et al., 2017). In indoor 59 environments light levels are typically at least 100-fold lower compared to outdoors (on a clear summer day for 60 example) and are maintained in the range of approximately $1 - 50 \mu$ mol m⁻² s⁻¹ (Thimijan and Heins, 1983; Boyce and 61 Raynham, 2009; Lai et al., 2009; Hawkins, 2011). Research suggests however, that having higher indoor light levels (approximately $30 - 50 \mu$ mol m⁻² s⁻¹) would greatly increase occupant comfort (Lai *et al.*, 2009; Huang *et al.*, 2012). 62 63 As previously proposed, indoor light is the most limiting factor for CO₂ assimilation (Pennisi and van Iersel, 2012).

The positive contribution of plants to the reduction of CO₂ levels and RH increases indoors are based on the premise
 that plants function optimally and are sequestering CO₂/releasing water vapour at their maximum capacity.

66 However, the main challenges for maintaining plant function in the indoor environment are 'low' indoor light levels and issues arising from plants' (miss) management, most frequently plants' being under or over watered without the 67 68 correct nutrients (RHS, 2017). A few studies addressed these questions in part by investigating a wide range of light 69 levels and their effect on CO₂ assimilation (Pennisi and van Iersel, 2012; Torpy et al., 2014). However, no study to our 70 knowledge investigated the effect of differing substrate moisture content (SMC) - namely investigating the effect of 71 'wet' (> 0.30 m³ m⁻³) and 'dry' (< 0.20 m³ m⁻³) SMC conditions. Additionally, previous studies have not specifically 72 focused on plants' cultivar-level differences; this may be of interest as for many houseplant species there are a range 73 of cultivars available, which may potentially offer augmented service compared to straight species if they are larger 74 in size or more physiologically active.

Pennisi and van Iersel (2012) investigated the CO₂ assimilation of 17 houseplant species in both a simulated
controlled environment utilising light levels of 10, 20 and 30 µmol m⁻²s⁻¹ and a public office building in Atlanta (USA).
In the public office, the amount of CO₂ assimilated by plants varied depending on plant size. In the controlled
environment, most species exhibited positive carbon assimilation over a 10-week period. The study found that in
both environments larger, woody plants (such as *Ficus benjamina*) assimilated more CO₂ than herbaceous species.

Torpy *et al.* (2014) investigated the CO₂ assimilation of eight common indoor plant species by producing light
response curves and light compensation points (LCPs) using an infra-red gas analyser. The results indicated that at
least some CO₂ sequestration could be expected from the studied species under current indoor lighting systems and
plants could be effectively utilised in the built environment to sequester CO₂ given a moderate increase in the
targeted lighting levels.

Our research aims to improve the understanding of which taxa (i.e. plant species and cultivars) as well as which light
and substrate moisture conditions are best placed to regulate indoor CO₂ and RH. Specifically, the aims of the study
were to determine:

- 88 1. The impact of drying substrate on CO₂ removal capacity by different taxa
- 2. The impact of light levels on net CO₂ assimilation of taxa (i.e. to test the potential to improve the
 performance by supplementing indoor light levels)
- 91 3. The evapo-transpiration (ET) rates of each taxon and their potential contribution to increasing indoor RH.

92 2 Material and Methods

93 2.1 Plant material

Five common houseplant species, including two cultivars, were selected for the study to represent a range of leaf 94 types (succulent and herbaceous), metabolisms and plant sizes (Table 1). Selected plants were 2-years old at the 95 time of purchase in July 2016 from the RHS plant centre (Wisley, Surrey, UK), ranging between 10cm - 60cm in 96 97 height, depending on the taxon. Within the species, plant height and stature were uniform (data not shown). Plants were maintained in Sylvamix growing medium (6:2:2 sylvafibre: growbark pine: coir; Melcourt, Tetbury, 98 Gloucestershire, UK) in 3 L containers, with a slow release fertiliser feed (Osmocote, Marysville, OH, USA) at ambient 99 temperatures and 'low' light levels in an indoor office environment within the Crops Laboratory in the Glasshouse 100 101 Complex of the School of Agriculture, Policy and Development, at the University of Reading (UK).

Table 1: Characteristics of the houseplant taxa (i.e. plant species and cultivars) chosen for experiments. Leaf area (n

103 = 2) and plant height (n = 5) are means ± SEM. Species' Latin name is given in italic and cultivar, where applicable,

104 follows.

Species/cultivars	Family	Metabolism	Leaf area (cm ²)	Plant height (cm)
Dracaena fragrans 'Lemon Lime'	Asparagaceae	C3	1742 ± 91	51 ± 1
Dracaena fragrans 'Golden Coast'	Asparagaceae	C3	1438 ± 10	60 ± 1
<i>Guzmania</i> ' Indian Night'	Bromeliaceae	C3/CAM	1230 ± 6	32 ± 1
Hedera helix	Araliaceae	C3	1509 ± 243	9 ± 0
<i>Spathiphyllum wallisii</i> 'Bellini'	Araceae	C3	1766 ± 189	35 ± 1
Spathiphyllum wallisii 'Verdi'	Araceae	C3	5451 ± 1104	36 ± 1
Zamioculcas zamiifolia	Araceae	CAM	1388 ± 88	57 ± 1

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106 **2.2** Net leaf-level CO₂ assimilation at 'low' and 'high' indoor light levels under 'dry' and 'wet' conditions

107 Experiments were conducted on five plants per taxon. Measurements of the net CO_2 assimilation rate (µmol m⁻² s⁻¹)

108 were made using a LCPro infrared gas analyser (ADC Bioscientific, Hoddesdon, Hertfordshire, UK) on three young,

- 109 fully expanded leaves per plant (with consistent leaf selection i.e. third fully expanded leaf from the plant tip) under
- office conditions (16.6 21.8 °C, RH > 35%) at 'low' and 'high' indoor light levels (Hawkins, 2011; Huang *et al.*, 2012).
- 111 'Low' 10 μ mol m⁻² s⁻¹ lighting was achieved in the usual lighting conditions of the room (eight fluorescent lights,
- 112 Osram, Munich, Germany lighting a floor area of 20 m²). To achieve 'high' 50 μmol m⁻² s⁻¹ during measurements, the
- photosynthetic photon flux density (i.e. light level, μ mol m⁻² s⁻¹) was supplemented at the leaf by an external halogen

- source (50 W, 12 V). Each light increment was administered for seven minutes and the net CO_2 assimilation rate
- recorded at the end of the seven-minute period.
- 116 Substrate moisture content (SMC) based on volume of water per volume of substrate was measured daily for each
- 117 plant, in two locations per container using a SM300 capacitance-type probe connected to a HH2 Moisture Meter
- 118 (Delta-T Devices, Cambridge, Cambridgeshire, UK; 0–100% range and an accuracy of ± 2.5%). At the start of the
- experiment, substrate moisture was at the container capacity (SMC > 30%, 0.3 m³ m⁻³) and plants were thus
- 120 considered optimally watered (Vaz Monteiro et al., 2016). Measurements were also made on 'dry' plants (SMC <
- 121 20%, 0.2 m³ m⁻³). Measurements were made over approximately one month.

122 **2.2.1** Calculation of the respiration of the potted-plant microcosm

- To ensure that CO₂ removal by the aboveground parts of the plant (i.e. leaves and stem) was not cancelled out by respiration of the potted-plant microcosm (PPM) (i.e. substrate and non-photosynthetic plant parts) the PPM was investigated for CO₂ contributions at both 'high' and 'low' light and under 'wet' and 'dry' SMC conditions (n = 3). The PPM respiration values were then subtracted from all the leaf CO₂ assimilation values made, to obtain the overall contribution of the plant and substrate.
- 128 Measurements of the PPM respiration were made utilising a 150 L (45 x 45 x 75 cm, 0.15 m³) Perspex chamber (The plastic people, Leeds, West Yorkshire, UK) sealed with Swagelok's (Swagelok, Bristol, South Gloucestershire, UK). 129 Enclosed inside the Perspex chamber was a HOBO MX1102 CO₂ logger (Onset Computer Corporation, Bourne, MA, 130 U.S.A), a 12 V DC brushless fan (RS Components, Corby, Northants, UK), and a calibrated (20 - 90 % RH, 0 - 40 °C) 131 Tinytag RH/temperature logger (Gemini data loggers, Chichester, West Sussex, UK). The external RH/temperature 132 133 surrounding the chamber was also monitored with another, identical Tinytag logger. Inside the chamber 'low' light levels were achieved as described in Section 2.2; 'high' levels were generated by two LED lights (V-TAC Europe Ltd, 134 Sofia, Bulgaria) and measured with a calibrated light sensor (Skye instruments, Llandrindod Wells, Wales, UK). Bare 135 substrate was prepared for the experiment as explained in Section 2.2. Experiments were undertaken for 2 hr, with 136 the chamber analysed for leakage prior, during and after experimentation; leakage was found to be < 2% of the 137 138 starting concentration over a 2-hr test period. Measurements were made over approximately one week.
- Data obtained in Section 2.2 was normalised by leaf area by multiplying CO_2 assimilation (mg m⁻² hr⁻¹) with leaf area (m²), providing CO_2 assimilation in mg hr⁻¹ for each taxon. Data were also corrected for PPM respiration and leakage by calculation of an average conversion value (mg hr⁻¹) for both 'wet' and 'dry' SMC conditions.

142 **2.3** Generating light response curves

- 143 To generate light response curves, measurements of the net photosynthetic rate (μmol m⁻² s⁻¹) were made as
- 144 explained in Section 2.2 on four plants per taxon. Environmental conditions within the leaf cuvette were:
- temperature controlled at 25 °C, ambient CO₂ concentration (~400-450 ppm) and an ambient RH of 35-45%. Plants
- were prepared for the experiment as explained in Section 2.2, achieving a SMC > $0.30 \text{ m}^3 \text{ m}^{-3}$ and were considered

- optimally watered on the commencement of each experiment (Vaz Monteiro *et al.*, 2016). SMC was maintained at
 this level for the duration of the experiment.
- 149 To generate the light response curve the light was supplemented in the following set increments: 0, 50, 300, 1200
- μ mol m⁻² s⁻¹ as described in Section 2.2. An increment of 0 μ mol m⁻² s⁻¹ was chosen to investigate each species CO₂
- assimilation in the dark; 50 μ mol m⁻² s⁻¹ the highest indoor light level; 300 μ mol m⁻² s⁻¹ was chosen to represent the
- 152 highest feasible light level which could be engineered (with supplementary artificial lighting) in an indoor
- 153 environment; 1200 μmol m⁻² s⁻¹ (a sunny day in a UK climate) was chosen to present information on a plant's
- 154 maximal capacity for net CO₂ assimilation. Measurements were made over approximately one week.
- 155 The light response curves were based on an equation proposed by Prioul and Chartier (1977) and were produced
- using the model by Lobo *et al.* (2013). LCPs (which represent the minimum light level required for CO₂ assimilation to
- 157 occur) (Torpy et al., 2014) were calculated with the same model (Lobo et al., 2013) for all taxa apart from Guzmania
- 158 'Indian night', which was omitted due to very low assimilation rates and therefore, unreproducible results.





- 159
- Figure 1: Images of the experimental setup for leaf CO₂ assimilation measurements, equipment pictured includes
 infra-red gas analyser, leaf cuvette and external halogen source.

162 **2.4 Plants' water use/evapo-transpiration (ET) experiments**

Water use/ET of the plant taxa were inferred by consecutive plant/pot weight measurements using a precision 163 balance (CBK 32, Adam Equipment, Milton Keynes, Buckinghamshire, UK) under indoor office conditions (RH > 35%). 164 Plants were prepared for the experiment as explained in Section 2.2, starting the experiment with SMC at full water-165 holding capacity and were not watered for the duration of the experiment. Measurements were made at 0 h and 166 then every 24 hr over a three-week period on a whole 'plant – substrate system' (i.e. potted plant, with uncovered 167 substrate) enabling the calculation of the water loss at each time-point. We were interested in total potential RH 168 contribution of the plant along with substrate, mimicking a real-life scenario of an indoor plant. Each plant was 169 removed from the experiment when its SMC dropped < 20% (0.2 m³ m⁻³). Destructive measurements of LA were 170

made using a LA meter (Delta-T Devices, Cambridge, Cambridgeshire, UK) on two plants per taxon, at the end of the 171 experiment. While we appreciate that measuring the leaf area at the end of the experiment may lead to under/over-172 173 estimating assimilation measured earlier in the experiment, we were limited by the number of experimental plants we could destructively harvest. Given that this approach was applied to all taxa, that the leaf areas were assessed 174 within two months of the assimilation experiments, and that plants did not increase in size significantly over this 175 period (as evidenced by height measurements which we made at the start and the end of the experiment), we 176 believe that the risk of the error is small and evenly spread. SMC was measured daily as explained in Section 2.2. 177 Water use/ET per unit leaf area (ETLA, expressed in g cm⁻²) was calculated by dividing the ET (i.e. water loss) from a 178

179 plant in a 24-hr period by the mean leaf area.

180 2.5 Statistical analysis

Experimental data (gas exchange parameters and water loss/ET) were analysed using GENSTAT (16th Edition, VSN International, Hemel Hempstead, Hertfordshire, UK). An analysis of variance (ANOVA) was performed to compare means for each measured parameter between different taxa and/or over time. Values were presented as means with associated Tukey's 95% confidence intervals for multiple comparisons. Data on plants' water loss were log-

transformed and Tukey's 95% confidence intervals were used to compare between taxa in the text (Section 3.3).

186 3 Results

187 **3.1** Net leaf-level CO₂ assimilation at 'low' and 'high' indoor light levels under 'dry' and 'wet' conditions

At 'low' indoor light 'dry' *Spathiphyllum wallisii* 'Verdi' was statistically significantly respiring the most (-87.6 mg hr⁻¹, p < 0.001), and was therefore the only taxon to measure significant differences between 'dry' and 'wet' substrate. In 'dry' substrate statistically significant differences in CO₂ assimilation were measured between the cultivars of *Spathiphyllum wallisii* 'Bellini' and 'Verdi' (-19.6 and -60.7 mg h⁻¹, respectively; p < 0.001). In 'wet' substrate, there were no significant differences in CO₂ between any studied taxa (Table 2).

193

At 'high' indoor light only *Spathiphyllum wallisii* 'Verdi' measured statistically significant differences between 'dry' and 'wet' substrate (-60.7 and 60.0 mg hr⁻¹, respectively; p < 0.001; Table 2). No statistically significant differences in CO₂ assimilation were measured between cultivars under the same SMC conditions; significant differences were measured with *Spathiphyllum wallisii cvs* 'Bellini' and 'Verdi' between 'dry' (-19.6 and -60.7 mg h⁻¹, respectively) and 'wet' (11.7 and 60.0 mg h⁻¹, respectively) SMC conditions (p < 0.001, Table 2).

Table 2: Net leaf-level CO₂ assimilation of each species at 'low' and 'high' indoor light (< 10 and 50 μmol m⁻² s⁻¹) in
'wet' (> 0.30 m³ m⁻³) and 'dry' (< 0.20 m³ m⁻³) conditions. Data are a mean of five plants of each species, three young,
fully expanded leaves per plant (n=15). Data are adjusted to account for PPM respiration and chamber leakage and is
normalised by leaf area (Table 1). Different letters next to means correspond to statistically significant differences
between means based on Tukey's 95% confidence intervals. (–) values signify respiration (i.e. the release of CO₂).

'Low' Light (< 10 μmol m ⁻² s ⁻¹)	Net CO ₂ assimilation (mg hr ⁻¹)
Таха	'Wet' (> 0.30 m ³ m ⁻³)	'Dry' (< 0.20 m ³ m ⁻³)
Dracaena fragrans 'Lemon Lime'	-17.4 ^b	-35.7 ^b
Dracaena fragrans 'Golden Coast'	-28.4 ^b	-25.3 ^b
Guzmania ' Indian Night'	-14.3 ^b	-23.8 ^b
Hedera helix	-9.5 ^b	-27.3 ^b
Spathiphyllum wallisii 'Bellini'	-14.8 ^b	-22.7 ^b
Spathiphyllum wallisii 'Verdi'	3.9 ^b	-87.6ª
Zamioculcas zamiifolia	-17.5 ^b	-23.9 ^b
'High' Light (50 µmol m ⁻² s ⁻¹)	Net CO ₂ assimilation (mg hr ⁻¹)
Таха	'Wet' (> 0.30 m ³ m ⁻³)	'Dry' (< 0.20 m ³ m ⁻³)
Dracaena fragrans 'Lemon Lime'	-5.5 ^{abc}	-41.97 ^{ab}
Dracaena fragrans 'Golden Coast'	-21.8 ^{ab}	-24.0 ^{ab}
Guzmania ' Indian Night'	-11.5 ^{ab}	-19.6 ^{ab}
Hedera helix	-6.6 ^{abc}	9.4 ^{bc}
Spathiphyllum wallisii 'Bellini'	11.7 ^{bc}	-19.6 ^{ab}
Spathiphyllum wallisii 'Verdi'	60.0 ^c	-60.7ª
Zamioculcas zamiifolia	-12.2 ^{ab}	-20.9 ^{ab}

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208 **3.2** Generating light response curves and light compensation points

209 Light compensation points (LCPs), which represent the minimum light level required for a positive net CO₂

assimilation to occur, were calculated for each species (Table 3). Of the studied species, Spathiphyllum wallisii 'Verdi'

and *Hedera helix* had the lowest LCPs of 20 and 31 µmol m⁻² s⁻¹ respectively. The highest LCP was recorded for

212 Dracaena fragrans 'Golden Coast' (96 μmol m⁻² s⁻¹), with both Dracaena fragrans 'Lemon Lime' and Zamioculcas

213 zamiifolia also having LCP values outside of the light level typically experienced in indoor environments (93 and 65

214 μ mol m⁻² s⁻¹ respectively, Table 3).

217 **Table 3:** Light compensation points (LCPs) for each of the studied species.

Таха	LCP (µmol m ⁻² s ⁻¹)		
Dracaena fragrans 'Lemon Lime'	92.9		
Dracaena fragrans 'Golden Coast'	95.6		
Guzmania 'Indian Night'	N. A		
Hedera helix	30.9		
Spathiphyllum wallisii 'Bellini'	31.9		
Spathiphyllum wallisii 'Verdi'	20.1		
Zamioculcas zamiifolia	64.7		

- 218
- At 0 μ mol m⁻² s⁻¹, *Hedera helix* was statistically significantly respiring the most (- 1.2 μ mol m⁻² s⁻¹, p < 0.001; Figure 2), no significant differences were measured in net assimilation between other studied taxa.
- At 300 μ mol m⁻² s⁻¹, all taxa were assimilating CO₂. Net assimilation was highest in *Hedera helix* (7.7 μ mol m⁻² s⁻¹) and was statistically significantly different to all other taxa (p < 0.001). *Spathiphyllum wallisii* 'Bellini' and *S. wallisii* 'Verdi' (2.4 and 2.4 μ mol m⁻² s⁻¹ respectively) measured a net assimilation that was statistically significantly higher than three other studied taxa (*Dracaena fragrans* 'Lemon Lime', *Dracaena fragrans* 'Golden Coast' and *Guzmania* 'Indian Night', p < 0.001; Figure 2). At this highest indoor photosynthetic photon flux density, there were no cultivar level differences within the same species in net assimilation.
- 227
- At 1200 μ mol m⁻² s⁻¹, all taxa were assimilating CO₂. Net assimilation was highest in *Hedera helix* (10.7 μ mol m⁻² s⁻¹)
- and was statistically significantly higher than all other taxa (p < 0.001). Spathiphyllum wallisii 'Bellini' (2.7 μ mol m⁻² s⁻
- ¹) measured a net assimilation that was statistically significantly higher than three other studied taxa (Dracaena
- 231 fragrans 'Lemon Lime', Dracaena fragrans 'Golden Coast' and Guzmania 'Indian Night', p < 0.001; Figure 2). Again, no
- net assimilation was statistically significantly different between cultivars of the same species.
- 233



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Figure 2: Net CO₂ assimilation across three light levels (0, 300, 1200 μmol m⁻² s⁻¹); data are a mean of four containers
 of each species and two young fully expended leaves per plant (n=8). Tukey's 95% confidence intervals are used for
 species comparison in text.

239 **3.3 Plants' water use/evapo-transpiration experiments**

In terms of ET per plant per day, when well-watered, the ET was statistically significantly higher for *Hedera helix*(70.5 g) and *Spathiphyllum wallisii* 'Verdi' (71.0 g) compared to all the other taxa (p < 0.001). ET per plant was also
statistically significantly different between the taxa *Guzmania* 'Indian Night' (28.0 g) and *Dracaena fragrans* 'Lemon
Lime' (44.3 g, p < 0.001); ET per plant at 24 hr was statistically significantly different between *Spathiphyllum wallisii*cultivars (p < 0.001; Figure 3A).

- In terms of ET per leaf area per day, when well-watered the ET was statistically significantly higher for *Hedera helix*(0.047 gcm⁻²) in comparison to other taxa (p < 0.001). ET per leaf area was statistically significantly lower for *Spathiphyllum wallisii* 'Verdi' (0.013 g cm⁻²), in comparison to the other taxa tested (p < 0.001) no ET per leaf area
 was statistically significantly different between any other taxa. The ET per leaf area was statistically significantly
 different between one pair of cultivars: *Spathiphyllum wallisii* 'Bellini' and *Spathiphyllum wallisii* 'Verdi' (0.02 g cm⁻²)
- 250 and 0.013 g cm⁻², respectively; p < 0.001; Figure 3B).
- At the time when SMC decreased to 20%, ET reduction ranged between 7% (*Spathiphyllum wallisii* 'Verdi') and 63%
- 252 (*Guzmania* 'Indian Night') (data not shown). The time taken for the SMC to decrease to < 20% ranged between 10
- 253 days (*Dracaena fragrans* 'Golden Coast' and *Spathiphyllum*) and 23 days (*Zamioculcas zamiifolia*) across studied taxa.
- 254



- 257 Figure 3: Water use per plant (A) and per leaf area (B) per day; data are a mean of four containers of each species
- (n=4). ANOVA was performed on the log transformed data only (data not shown) Tukey's 95% confidence intervals
 were generated in the analysis of the transformed data are used for species comparison in text.

262 4 Discussion

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The current work presents the first insight into leaf-level CO₂ assimilation - from plants in both 'dry' and 'wet'
 substrate – and potential RH increases for a range of common houseplant taxa (i.e. species and cultivars), differing in
 structure and physiology.

In this study we demonstrate that little potential is offered by the studied houseplants alone to reduce CO_2 concentrations in 'low' light indoor environments – with only three taxa's light compensation points falling within the typical indoor light level range (0 – 50 µmol m⁻² s⁻¹; Table 3). However, our findings demonstrate that although respiration was generally occurring in houseplants grown in 'dry' substrate, the net CO_2 exchange recorded was extremely low and thus likely to have little or no negative impact on the CO_2 levels at a room scale. Our results suggest that increasing light levels to a technically feasible 300 µmol m⁻² s⁻¹ (e.g. through use of supplementary lighting) would provide a significant increase in CO_2 assimilation in most of the studied taxa. The study also indicates

that the best performing taxa for CO₂ assimilation will also contribute the most to raising RH indoors.

each taxon. In home and office environments, each person contributes $30g (CO_2)/hour$ and $36g (CO_2)/hour$, 275 276 respectively (Persily and de Jonge, 2017) and these different values are consequences of the level of individual's activity in various environments. Using both these values, we calculated the number of plants required to remove 277 10% of a single person's CO₂ contribution at the 'very high' (300 μ mol m⁻² s⁻¹) indoor light level (Supplementary Table 278 1). The plant numbers range from 15 (for more active plants like Hedera and Spathiphyllum) to >100 for 279 280 physiologically less active plants. Estimates of the number of plants required to remove the CO₂ generated by human 281 contributions were also made by Pennisi and van Iersel (2012) and Torpy et al. (2014). However, widely different estimates of the CO₂ generated per person were used by each study – making direct comparisons difficult. 282

From the results of this study we estimated the mass (in grams) of CO₂ removed per hour, per plant and per m² of

283 In typical indoor environments with 'low' light levels, only one taxon, in 'wet' substrate conditions was assimilating CO₂ (Spathiphyllum wallisii 'Verdi') and would contribute to CO₂ concentration reduction (3.9 mg hr⁻¹, respectively; 284 Table 2). Additionally, only three taxa were found to possess light compensation points that fall within the range of 285 286 typical indoor light levels (i.e. Hedera helix and Spathiphyllum wallisii 'Verdi' and 'Bellini'). Both Hedera helix and Spathiphyllum wallisii would require an unrealistic number of plants to see any significant CO₂ concentration 287 reduction (data not shown); at typical 'low' indoor light levels, the study indicates that a plants' potential benefits 288 289 psychologically or in productivity terms (Thomsen et al., 2011; Raanaas et al., 2011; Nieuwenhuis et al., 2014) would be more important than their contribution to indoor CO₂ removal. Furthermore, as suggested in Torpy et al. (2014) 290 plants should not be expected to completely replace ventilation systems, but to act as a supplement in reducing the 291 292 energy load required.

In typical 'low' light indoor environments, when grown in 'dry' substrate, all studied taxa were respiring. The results
 also indicated that in the range of typically observed indoor light levels, six of the studied species (*Dracaena fragrans* cvs 'Lemon Lime' and 'Golden Coast', *Guzmania* 'Indian Night', *Hedera helix, Spathiphyllum wallisii* 'Bellini' and
 Zamioculcas zamiifolia) were respiring in both 'dry' and 'wet' SMC conditions (Table 2). The (miss) management and

- under watering of houseplants is anecdotally a common problem; therefore, determining if a 'dry' houseplant is
 releasing significant amounts of CO₂ into an indoor environment and detrimentally impacting health is important;
 our results however, suggest this is not the case. In 'dry' SMC conditions, in typical office light, *Spathiphyllum wallisii*'Verdi' was releasing the most CO₂ into the indoor environment out of all studied taxa at 0.0876 g hr⁻¹. In
 comparison, a single person, in an office environment would release 36 g/hour into the indoor environment (Persily
 and de Jonge, 2017). This confirms that in typical office light conditions even for plants growing in drying substrate
 the contribution of plants to room-level CO₂ is negligible.
- At a 'high' indoor light level (50 μmol m⁻² s⁻¹), a greater net CO₂ assimilation was generally measured for all taxa, but
 no statistically significant differences were found between cultivars of the same species in 'dry' or 'wet' conditions.
 Although measurements were only made under 'wet' SMC conditions, this trend for the lack of cultivar differences
 continued at higher light levels of 300 and 1200 μmol m⁻² s⁻¹ suggesting that cultivar level differences were not
 pronounced in this study.
- Our study suggests that for most studied taxa, light saturation occurs at around 300 µmol m⁻² s⁻¹ and further 309 increases beyond this show little difference in assimilation terms (Figure 2). As discussed in Torpy et al. (2014) 310 targeted indoor lighting could be used to maximise a houseplants CO₂ assimilation potential. Extensive research has 311 been undertaken into various light systems for plant cultivation and development on indoor living walls but not 312 specifically with potted houseplants or concerning CO₂ assimilation (Yeh and Chung, 2009; Egea et al., 2014). Our 313 314 findings support the notion that increased light levels maximise plant gas exchange and we suggest future research should investigate the suitability of testing targeted lighting installations in indoor environments. Light compensation 315 points calculated in our study are generally higher, but comparable with other indoor species previously tested 316 (Burton et al., 2007; Pennisi and van Iersel, 2012; Torpy et al., 2014; Torpy et al., 2017; Tan et al., 2017). 317
- Earlier attempts at estimating the CO₂ removal of houseplants (Pennisi and van Iersel, 2012) did not take into account ambient CO₂ concentrations or consider the effects of substrate moisture on CO₂ assimilation. A more robust study by Torpy *et al.* (2014) investigated several factors which could influence assimilation including different acclimatisation treatments, the respiration of the 'potted-plant microcosm', but again did not consider impact of substrate moisture conditions. Other studies did not specify the exact number or type of houseplant (Lim *et al.*, 2009; Pegas *et al.*, 2012) which contributed to any CO₂ concentration reduction or, only considered a single light level (Oh *et al.*, 2011).
- The results from the ET experiment indicate that the best performing species in CO₂ assimilation terms (*Hedera helix* and *Spathiphyllum wallisii* 'Verdi') both have the highest ET rates per plant. However, the comparative water use per area results show *Spathiphyllum wallisii* 'Verdi' having the lowest ET *per leaf area*; this species is therefore,
- inherently more water use efficient and only uses more water *per plant* due to its large size. We found a difference
- between the *Spathiphyllum wallisii* cultivar pair in terms of water use per plant and per area with no difference per
- plant or per area measured for the *Dracaena fragrans* pair. This confirms that our hypothesis that inherent
- 331 physiological differences can be measured in water use terms down to a cultivar level. The results also suggest that

certain species (i.e. *Spathiphyllum wallisii* 'Verdi') do not restrict their water loss under water stress conditions (SMC 20%). *Spathiphyllum wallisii* 'Verdi' would therefore, in a drying substrate, continue to contribute the most to RH increases. We suggest that future studies should evaluate the CO₂ assimilation ability of other more physiologically active, vigorous species (i.e. *Osmunda japonica, Selaginella tamariscina* and *Hemigraphis alternata*), which also performed well in pollutant sequestration experiments (Yang *et al.*, 2009; Kim *et al.*, 2010) under 'high' indoor light levels (300 µmol m⁻² s⁻¹).

From the results of the ET experiment we estimated the contribution of studied taxa to raising RH indoors. 338 339 Calculations of the amount of water vapour in the air were made through the equation: RH (%) = 100 * actual vapour density (g m⁻³) / saturation vapour density (g m⁻³) (using a saturation vapour density of 19.1 g m⁻³ at 22 °C) (Galindo 340 et al., 2005). A RH of 40 - 60% is considered optimal in terms of human health (Arundel et al., 1986), we therefore 341 342 calculated the number of plants - per taxon - required to raise RH from 40 to 60 % in a static 100 m³ office (Supplementary Table 2). Calculations assume that 100% of the water vapour 'lost' by taxa (Figure 3A) was released 343 344 into the surrounding environment. The results do not take into account the impact of ventilation, occupancy or the feedback effect of taxa (i.e. as RH increases plants release less water vapour into the indoor environment). These 345 346 calculations are intended to act as a guide on how the studied taxa could influence RH indoors. Our results indicate 347 that five Spathiphyllum wallisii 'Verdi' or Hedera helix plants growing in an unmulched (i.e. uncovered) growing 348 medium - over a 24-hr hour period - could raise the RH from 40 to 60% (Supplementary Table 2).

349 5 Conclusions

The results indicate that net CO₂ assimilation of all studied plants was generally 'low', with *Spathiphyllum* cultivars and *Hedera helix* removing most CO₂.

While CO₂ assimilation of plants in 'wet' substrate was higher than in 'dry' conditions, in practical terms however (*i.e.* 352 when considering the plant's potential to influence indoor CO₂ levels), net CO₂ assimilation differences between 'dry' 353 and 'wet' plants at 'high' and 'low' indoor light levels were negligible for the taxa studied. Light compensation points 354 355 were in the typical indoor light range for both Spathiphyllum wallsii 'Verdi' and Hedera helix, suggesting that these 356 plants would be best suited to provide most CO_2 removal in a typical indoor setting. Additionally, both these taxa, per plant, had the highest transpiration rates, suggesting the highest potential for influencing the RH. Finally, our 357 study indicates that increasing indoor light levels to 300 µmol m⁻² s⁻¹ would, in most taxa, have a significant impact 358 359 on the potential for houseplants to assimilate CO₂ and increase RH in indoor environments.

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473 Supplementary Information

Supplementary table 1: Net CO₂ assimilation (mg hr⁻¹) of each species and number of taxa required to remove 10 % of the CO₂ generated per person at 'very high' indoor light (300 µmol m⁻² s⁻¹) in 'wet' (> 0.30 m³ m⁻³) conditions. Data is taken from Figure 2 and adjusted to account for PPM respiration and chamber leakage and is normalised by leaf area (Table 1). Plant numbers for each taxon were calculated by dividing the 30 g (CO₂)/hour or 36 g (CO₂)/hour exhaled per person in home and office environments respectively (Persily and de Jonge, 2017) by the net CO₂

479 assimilation of each taxon (mg hr⁻¹).

'Very high' Light (300 µmol m ⁻² s ⁻¹)	mg hr ⁻¹	Number of plants	
Таха	'Wet' (> 0.30 m ³ m ⁻³)	Home	Office
Dracaena fragrans 'Lemon Lime'	10.9	275	330
Dracaena fragrans 'Golden Coast'	5.7	526	632
<i>Guzmania</i> 'Indian Night'	0.9	3333	4000
Hedera helix	172.3	17	21
<i>Spathiphyllum wallisii</i> 'Bellini'	55.0	55	65
Spathiphyllum wallisii 'Verdi'	194.9	15	18
Zamioculcas zamiifolia	11.5	261	313

Supplementary table 2: Number of plants required to raise the RH from 40 to 60% in a static 100 m³ office. Numbers of plants were generated from our data in Figure 3A at a temperature of 22 °C, where ventilation, occupancy and the feedback effect were not considered. Calculations of the amount of water vapour in the air were made through the equation: RH (%) = 100 * actual vapour density (g m⁻³) / saturation vapour density (g m⁻³) (using a saturation vapour density of 19.1 g m⁻³ at 22 °C) (Galindo *et al.*, 2005).

Species/cultivar	Number of Plants	
Dracaena fragrans 'Lemon Lime'	9	
Dracaena fragrans 'Golden Coast'	12	
<i>Guzmania</i> ' Indian Night'	14	
Hedera helix	5	
Spathiphyllum wallisii 'Bellini'	10	
Spathiphyllum wallisii 'Verdi'	5	
Zamioculcas zamiifolia	10	