

Projected near-term changes in three types of heat waves over China under RCP4.5

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1	Projected near-term changes in three types of heat waves over China
2	under RCP4.5
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28 Abstract

The future changes in three aspects of frequency, intensity, and duration of the 29 compound, daytime, and nighttime heat waves (HWs) over China during extended 30 summer (May–September) in a future period of the mid-21st century (FP; 2045-2055) 31 under RCP4.5 scenario relative to present day (PD; 1994-2011) are investigated by two 32 models, i.e. MetUM-GOML1 and MetUM-GOML2, comprising the atmospheric 33 34 components of two state-of-the-art climate models coupled to a multi-level mixed-layer ocean model. The results show that all three types of HWs over China will occur more 35 frequently with strengthened intensity and elongated duration in the mid-21st century. 36 The compound HWs will change most dramatically with the frequency in the FP being 37 4-5 times that in the PD, and the intensity and duration doubling those in the PD. The 38 changes of daytime and nighttime HWs are also remarkable, with the changes of 39 nighttime HWs larger than those of daytime HWs. The future changes of the three types 40 41 of HWs over China in two models are similar in terms of spatial patterns and area averaged quantities, indicating these projected changes of HWs over the China under 42 RCP4.5 scenario are robust. The further analyses suggest that projected future changes 43 of HWs over China are predominantly determined by the increase in seasonal mean 44 surface air temperatures with change in temperature variability playing a minor role. 45 The seasonal mean temperature increases are partly due to the increase in surface 46 downward longwave radiation and partly due to the increase in surface shortwave 47 radiation. The increased downward longwave radiation results from the enhanced 48 greenhouse effect and the increased water vapor in the atmosphere. The increased 49

surface shortwave radiation results from the decreased aerosol emissions via direct
aerosol-radiation interaction and indirect aerosol-cloud interaction over southeastern
and northeastern China and the decreased cloud cover related to reduced relative
humidity.

54 Key words: heat waves, heat wave types, future changes, China, coupled models.

55 **1. Introduction**

Heat waves are usually defined as abnormally hot weather lasting for several days 56 57 (Perkins and Alexander 2013; Perkins 2015). This distinct type of extreme temperature events has caused substantial damages to socioeconomics and human health (e.g. 58 Robine et al. 2008; Coumou and Rahmstorf 2012; Hatfield and Prueger 2015; Lesk et 59 al. 2016). In recent two decades, heat waves have occurred more frequently all over the 60 world (e.g., Meehl and Tebaldi 2004; Seneviratne et al. 2014). Without any exception, 61 several severe heat waves hit China recently in different regions and broke the regional 62 63 historical temperature records, such as the 2013 July-August heat wave in the lower reaches of Yangtze River Valley (Sun et al. 2014; Zhou et al. 2014b; Ma et al. 2017), 64 the 2015 summer heat wave in western China (Sun et al. 2016), and the 2017 July heat 65 wave in central eastern China (Chen et al. 2018; Sparrow et al. 2018). These 66 catastrophic events led to enormous economic loss and heat-related morbidity and 67 mortality in China (e.g., Tan et al. 2007; Sun et al. 2016; Ma et al. 2017). The increased 68 69 occurrence of heat waves largely results from the increased mean temperature (Argueso et al. 2016; Su and Dong 2019). As the increase in mean temperature will go on, how 70

the heat waves will change in the future under the global warming scenario is animportant issue for developing climate adaptation strategies.

A heat wave could take place on daytime, nighttime, or both. With different timing 73 of occurrence, the heat waves are related to different mechanisms and of different 74 impacts (Gershunov et al. 2009, Freychet et al. 2017). The heat waves in the day, usually 75 associated with dry conditions (Black et al. 2004; Gershunov et al. 2009; Wang et al. 76 2016), have a variety of disastrous impacts on infrastructures, ecosystem, and human 77 life (Wilbanks et al. 2012), while the heat waves at night, related to wet conditions 78 (Gershunov et al. 2009; Chen and Lu 2014), have relatively weak impact. However, 79 with the hot nights enhancing the damages of daytime high temperatures on human 80 health (Gosling et al 2009), the heat waves persisting throughout the day and night are 81 82 the most disastrous among these three types of heat waves (Karl and Knight 1997). Thus, recent studies applied a more precise classification of heat waves according to 83 the timing of their occurrence to get more insights, which divided the heat waves into 84 85 three types, i.e., daytime, nighttime and compound ones (e.g., Chen and Li 2017; Chen and Zhai 2017; Freychet et al. 2017; Su and Dong 2019). 86

In recent decades since the mid-20th century, the previously-defined heat waves, which only consider the daytime temperatures and involve the daytime heat waves and part of the compound heat waves, show increasing frequency and duration over China (Li et al. 2017; Luo and Lau 2017; Wang et al. 2017; You et al. 2017). Some recent studies, using the precisely defined daytime, nighttime, and compound heat waves over

most part of China, show significant increasing trends in frequency, intensity, and 92 duration (Chen and Zhai 2017; Freychet et al. 2017). Particularly, the increase of 93 frequency and intensity in compound and nighttime heat waves is much greater than 94 that in daytime ones (Chen and Li 2017; Chen and Zhai 2017; Su and Dong 2019). The 95 anthropogenic influences are highlighted to play crucial roles in the sharp increase in 96 occurrence of the heat waves over China (e.g., Wilcox et al. 2015; Freychet et al. 2018). 97 In more detail, Su and Dong (2019) reported that the increase in greenhouse gas 98 concentration is responsible for the decadal increase in frequency, intensity, and spatial 99 100 extent of the three types of heat waves over China across mid-1990s and the changes in anthropogenic aerosol emission lead to the increase in frequency and intensity of the 101 daytime heat waves over Northeastern China and decrease the frequency and intensity 102 103 of daytime HWs over Southeastern China.

Considering the anthropogenic influences, future changes in the heat waves and 104 temperature extremes over China are assessed under different scenarios. There would 105 be an increase in warm extremes and a decrease in cold extremes under a warming 106 climate (Zhou et al. 2014a; Yu et al. 2018). The frequency and duration of previously-107 defined heat waves over China would increase and the increase would become larger 108 when the mean temperature gets greater (Guo et al. 2017). However, only the future 109 changes in previously-defined heat waves are estimated and the assessment of future 110 changes in precisely defined compound, daytime, and nighttime heat waves is still 111 lacking. Meanwhile all above projections have used fully coupled general circulation 112 models (CGCMs). However, these CGCMs exhibit significant biases in simulated sea 113

surface temperature that challenge the reliability of climate projections (Wang et al.2014).

Therefore, the main aims of this work are to estimate the future changes of the 116 daytime, nighttime, and compound heat waves over China and to reveal the associated 117 physical processes by using two near-globally coupled models comprising the 118 atmospheric components of two state-of-the-art climate models coupled to a multi-level 119 mixed-layer ocean and having a much smaller bias in simulated sea surface temperature 120 (Hirons et al. 2015, Dong et al. 2017, Luo et al. 2018). The structure of this paper is 121 organized as follows: The model and experiments are described in Section 2. Model 122 simulated extended seasonal mean surface air temperatures and definition of HWs are 123 described in Section 3. The projected changes in the three types of heat waves are shown 124 in Section 4. The physical processes responsible for future changes in heat waves are 125 illustrated in Section 5. Conclusions are summarized in Section 6. 126

127 2. Models and experiments

128 **2.1 Models**

Two coupled models, MetUM-GOML1 and MetUM-GOML2 (Hirons et al. 2015), that comprise the atmospheric components of two state-of-the-art climate models coupled to a multi-level mixed-layer ocean model, are used in this study. The atmospheric component for MetUM-GOML1 is the Met Office Unified Model (MetUM) at the fixed scientific configuration Global Atmosphere 3.0 (GA3.0; Arribas et al. 2011; Walters et al. 2011). The atmospheric component for MetUM-GOML2 is

the MetUM GA6.0. The largest change in GA6.0 is that the "New Dynamics" 135 dynamical core is replaced with "ENDGame" (Walters et al. 2014). ENDGame 136 maintains the benefits of "New Dynamics", whilst improving its accuracy, stability and 137 scalability. The improved accuracy significantly reduces the model's implicit damping, 138 leading to a beneficial improvement to various modes of variability, such as the vertical 139 height of extra-tropical cyclones and the structure of frontal systems. The details about 140 GA6.0 are described in Walters et al. 2017. The resolution for these two atmospheric 141 models is 1.875° longitude by 1.25° latitude with 85 vertical layers. The models include 142 143 an interactive tropospheric aerosol scheme, which is able to simulate the direct, indirect and semi-direct effects of aerosols (Walters et al. 2011; Jones et al. 2011). The oceanic 144 components for MetUM-GOML1 and MetUM-GOML2 are the same, which is a Multi-145 146 Column K Profile Parameterization (MC-KPP) mixed-layer ocean model. The horizontal resolution of MC-KPP is the same as the MetUM where it is coupled. The 147 vertical resolution of MC-KPP is very high at the surface (1.2m) and near the surface 148 (2 m over the first 41.5 m), since the MC-KPP columns with 100 levels over a depth of 149 1000 m are defined using a stretch function. MC-KPP simulates only vertical mixing 150 and does not include ocean dynamics, so the corrections for temperature and salinity 151 based on the seasonally-varying 3-dimension temperature and salinity flux are 152 prescribed to represent the mean ocean advection and account for biases in atmospheric 153 surface heat and fresh water fluxes. The atmospheric and oceanic components are 154 coupled every three hours. The air-sea coupling is limited by the maximum extent of a 155 seasonally varying sea ice climatology (Hirons et al. 2015). These models are 156

computationally cheaper than models with a fully interactive ocean. More important,
they have a smaller bias in simulated sea surface temperature (Hirons et al., 2015, Dong
et al. 2017; Luo et al. 2018) in comparison with fully coupled models (e.g., Wang et al.
2014) whilst also retaining intra-seasonal variability and coupling between the
atmosphere and the ocean.

162 2.2 Experiments

The experiments performed in this study are summarized in Table 1. A 12-year 163 relaxation experiment (R0) for both MetUM-GOML1 and MetUM-GOML2 models 164 was firstly performed in which the MC-KPP profiles of temperature and salinity were 165 relaxed to a present day (PD, 1994-2011) ocean temperature and salinity climatology 166 derived from the Met Office ocean analysis (Smith and Murphy 2007). The relaxation 167 experiment used PD anthropogenic greenhouse gas (GHG) and anthropogenic aerosol 168 169 (AA) forcings (Lamarque et al. 2010; 2011). The daily mean seasonal cycle of ocean temperature and salinity corrections from the coupled relaxation experiment are then 170 imposed in free-running coupled experiments. Three other time-sliced experiments 171 using both models are performed by using different forcings, i.e. C-EP experiment 172 forced by the early period (EP, 1964-1981) mean GHG concentrations and AA 173 emissions, C-PD experiment forced by the PD (1994–2011) mean GHG concentrations 174 and AA emissions, C-FP experiment forced by future period (FP, 2045-2055) mean 175 GHG concentrations and AA emissions under the scenario of representative 176 concentration pathway (RCP) 4.5. The single RCP4.5 scenario is chosen for 177

projection because the radiative forcings in the four scenarios of RCP2.6, RCP4.5, 178 RCP6.0, and RCP8.5 do not differ significantly in the FP of the mid—21st century 179 180 (Moss et al., 2010). Relative to PD means, FP mean GHG concentrations increase (CO₂ by 30%, CH₄ by 4.5%, and N₂O by 10.4%) and the emission of sulfur dioxide, which 181 is one of the most important aerosol species, decreases over the Europe (by 74%), the 182 East Asia (by 79%), and the North America (by 81%) but increases over Indian sub-183 continent (Fig. 1). All experiments are run for 50 years and use the climatological PD 184 sea ice extent from the Met Office Hadley Center Sea Ice and Sea Surface Temperature 185 186 data set (HadISST; Rayner et al. 2003). The last 45 years of each experiment are used for analysis. 187

188 3. Model simulated extended summer seasonal mean surface air temperatures 189 and HWs

3.1 Model performance in simulating early period surface air temperatures

The climatological means of daily maximum temperature (Tmax) and daily 191 minimum temperature (Tmin) in the C-EP experiments of MetUM-GOML1 and 192 MetUM-GOML2 for the extended summer are compared with the observed ones during 193 EP (Fig. 2). The observational data used is the homogenized datasets of daily Tmax and 194 Tmin series at 753 stations in China (Li et al. 2016). The observed Tmax pattern shows 195 more or less uniform distributions over southeastern China with value higher than 28 °C 196 and apparent meridional gradient over northeastern China. Over western China, a low 197 value centre locates over the Tibetan Plateau and a high value centre is over 198

northwestern China with temperature above 28 °C (Fig. 2a). The observed 199 climatological Tmin exhibits great meridional gradient over eastern China with the 200 maximum higher than 22 °C in the southeast coast of China and minimum of 4-7 °C 201 over northeastern China. The spatial distribution of Tmin over western China shows a 202 minimum (less than 1 °C) over the Tibetan Plateau and a high value (more than 16 °C) 203 over northwestern China, being similar to the spatial pattern in Tmax (Fig. 2b). The 204 spatial patterns of climatological extended summer means of Tmax and Tmin and 205 regional magnitudes in the C-EP experiments of MetUM-GOML1 and MetUM-206 207 GOML2 agree well with the observed ones with pattern correlation coefficients of 0.85 and 0.86 for Tmax and 0.90 and 0.91 for Tmin (Fig. 2c-f). The two models reproduce 208 the observed Tmax distributions over the southeastern and northwestern part of China 209 with value above 28 °C, but slightly underestimate Tmax over the Tibetan Plateau and 210 northeastern China (Fig. 2c and e). The observed Tmin distributions are also well 211 simulated by the model, with some underestimation over the Tibetan Plateau (Fig. 2d). 212

213 **3.2 HWs definition**

Three types of HWs, namely compound, daytime, and nighttime HWs, are defined in this study in the same way as the former study on decadal changes across the mid-1990s over China (Su and Dong 2019). Considering the various climate types in China, the relative threshold, which is determined by local climate and varies at different places on different dates (Stefanon et al. 2012), is employed to define the heat waves over China in this study, as was used in some previous studies (e.g., Li et al. 2017; Chen and

Li 2017; Wang et al. 2017). The relative threshold on each model calendar day is 220 calculated as the daily 90th percentile of daily Tmax or Tmin based on 15-day samples 221 centered on that day during the last 45 years of C-EP experiment (i.e. total samples 222 15*45 = 675 days, Della-Marta et al. 2007). A compound HW, continuous hot weather 223 224 in day and night, is defined when both Tmax and Tmin are higher than the thresholds for at least three days. A daytime (nighttime) HW, continuous hot weather only in the 225 day (at night), is defined when only Tmax (Tmin) exceeds the thresholds for at least 226 three days. All these three types of HWs are independent of each other. 227

Three indicators, i.e. frequency, intensity, and duration, are used to measure the 228 HWs in a year. The frequency is represented by the accumulated occurrence of events 229 within a year. The intensity of each event is calculated by averaging the everyday 230 231 temperature exceedance above the threshold within an event. Particularly, the intensity of compound HWs is the sum of the averaged Tmax and Tmin exceedances. The 232 duration of each event is the number of days when an event endures. The intensity and 233 234 duration for a year is computed by averaging the intensity and duration of events occurring in that year. 235

3.3 Model performance in simulating early period HWs

The frequency, intensity, and duration of compound, daytime, and nighttime HWs in the C-EP experiment of MetUM-GOML1 and MetUM-GOML2 during the extended summer are compared with those in observations in the EP (Fig. S1-3). In observations, the frequency, intensity, and duration of compound HWs share similar spatial patterns,

with relatively large values over the southeastern China and the northern margin of 241 mainland China (Fig. S1a-c). The daytime HWs in the EP are of the largest frequency 242 243 and duration over the western China and of the greatest intensity over the northern margin of mainland China (Fig. S2a-c). The frequency and duration of nighttime HWs 244 are relatively high over the central China and the intensity of nighttime HWs are the 245 greatest over the northern margin of mainland China (Fig. S3a-c). The spatial patterns 246 of the frequency, intensity, and duration of all three types of HWs in observations are 247 well reproduced by these two models, despite the underestimation of the frequency and 248 249 duration of daytime HWs over the western China. The biases of the area averaged indices are examined by using the relative bias, which is defined as the absolute bias 250 (model simulated index minus observational one) divided by observational index. The 251 252 relative biases for various regions range from -12% to -43% in MetUM-GOML1 and -2% to -55% in MetUM-GOML2. According to Guo et al. (2017), which used 12 CMIP5 253 models to project the previously-defined HWs, the absolute value of the smallest 254 relative bias of the previously-defined HWs in all 12 CMIP5 models is 36.66%. 255 Comparing to their smallest relative bias, the smallest relative bias in MetUM-GOML1 256 and MetUM-GOML2 is much smaller, indicating a better ability of MetUM-GOML1 257 and MetUM-GOML2 in simulating the observed HWs. All the results above indicate 258 these two models' capability of capturing the characteristics of the HWs in observations 259 over China. 260

The good agreements in extended summer seasonal mean surface Tmax and Tmin between model simulations and observations in many aspects and the models' ability

of capturing the characteristics of the HWs over China suggest a fidelity of using these 263 models for projecting their future changes. In addition, C-EP and C-PD experiments 264 were used to investigate the decadal changes in the East Asian summer monsoon, 265 temperature extremes over China, and HWs over China across the mid-1990s (Chen 266 and Dong 2018; Su and Dong 2019; Tian et al. 2018). It is indicated by the previous 267 studies that the circulation and precipitation over East Asia in present day and their 268 decadal changes across the mid-1990s simulated by these two models are consistent 269 270 with those in observations.

Previous studies on the HWs over China demonstrated significant observed 271 decadal changes in frequency, intensity, and spatial pattern of the compound, daytime, 272 and nighttime HWs over China across the mid-1990s (Chen and Li 2017; Chen and 273 274 Zhai 2017; Su and Dong 2019). Su and Dong (2019) quantified that the frequency of compound HWs averaged over China in the PD almost triples that in the EP while both 275 intensity and spatial extent are nearly doubled. In addition, their analyses also indicated 276 277 that the changes of daytime and nighttime HWs are also significant in all three aspects, though not as dramatical as changes of the compound HWs. They further attributed the 278 observed decadal changes to the changes in anthropogenic forcings. In order to compare 279 the projected future changes in context of model simulated past decadal-multidecadal 280 changes, the relative thresholds for three types of HWs in this study are based on early 281 period C-EP simulations as described in above and used in Su and Dong (2019). The 282 future changes of the HWs over China are indicated by the differences between C-FP 283 and C-PD experiments. Statistical significance of the mean changes is assessed using a 284

two tailed Student's t-test.

286 4. Future changes of HWs over China

287 4.1 Spatial patterns of future changes

Fig. 3 shows the projected future changes of compound HWs over China. The 288 frequency, intensity and duration of compound HWs significantly increase all over 289 China, but with different spatial patterns. The increase in frequency of compound HWs 290 over the southern part of China is greater than that over the northern part, particularly 291 292 with greatest increase on the southwest fringe of mainland China, showing maximum 293 changes of more than 5.0 events per year (Fig. 3a and b). The intensity changes of compound HWs show much larger enhancement over the northern part of China than 294 295 southern part (Fig. 3c and d). The duration of compound HWs over China extends longer with the largest change (more than 3.0 days) over the southeastern China, the 296 western China, and the southern part of northeastern China (Fig. 3e and f). Interestingly, 297 298 the compound HWs over the northern China and the Tibetan Plateau show the greatest relative changes in all frequency, intensity, and duration with the largest ratios of these 299 300 three features in the FP to the PD (Fig. S4), but the frequency and duration of compound 301 HWs over the southern China are of much smaller relative changes though of the largest absolute changes (Fig. 3). The future changes of compound HWs in MetUM-GOML1 302 and MetUM-GOML2 are consistent with each other. However, there are some slight 303 differences between them. For instance, the increase in frequency over the northwestern 304 China and the enhancement of intensity over northern China in MetUM-GOML2 (Fig. 305

306 3b, d) are a little bit stronger than those in MetUM-GOML1 (Fig. 3a, c). The high value 307 centre of increase in duration over the southern part of China is located in the 308 southeastern part of China in MetUM-GOML1 (Fig. 3e) but in the central southern part 309 in MetUM-GOML2 (Fig. 3f).

Future changes of daytime HWs are shown in Fig. 4. All three features of daytime 310 HWs increase significantly over most part of China. The frequency of daytime HWs 311 increases the most over the northwestern part of China and uniformly over large part of 312 eastern China with value of 1.0-2.0 events per year (Fig. 4a and b). The intensity of 313 daytime HWs is enhanced more evenly than that of compound HWs with relatively 314 high value of more than 0.6 °C/day over the southern part of China (Fig. 4c and d). The 315 duration of daytime HWs are lengthened over most part of China, particularly with 316 317 changes of more than 2.0 days being over the northwestern part of China (Fig. 4e and f). The ratios of the frequency, intensity, and duration of daytime HWs in the FP to the 318 PD (Fig. S5) share the similar spatial patterns with the absolute changes of them (Fig. 319 4). The future changes of daytime HWs are almost the same in the two models with 320 very weak differences between them. 321

Future changes of nighttime HWs are illustrated in Fig. 5. Similar with the compound and daytime HWs, all three features of nighttime HWs increase significantly over China. The spatial distributions of the increase in frequency and intensity of nighttime HWs are more spatial-uniform comparing to those of the compound HWs. The increase in frequency of nighttime HWs over most part of China is similar in

magnitude, except the relatively large increase over the southern margin of mainland 327 China (more than 4.0 events per year; Fig. 5a and b). The changes in intensity of 328 nighttime HWs also show a more or less uniform increase over most part of China with 329 value of 0.3-0.6 °C/day, apart from the greater enhancement of intensity over the 330 northern margin of mainland China (Fig. 5c and d). The duration of nighttime HWs 331 extends longer relatively greatly over the Tibetan Plateau and the southeast coast of 332 China with the largest changes of more than 3.0 days over the Tibetan Plateau (Fig. 5e 333 and f). The pattern of relative changes of nighttime HWs (Fig. S6) are similar with those 334 335 of the absolute changes (Fig. 5), except that the frequency over the northeastern China and the intensity over the southern margin of mainland China show larger relative 336 changes but smaller absolute changes, comparing to other regions. The spatial patterns 337 of future changes of nighttime HWs in MetUM-GOML1 and MetUM-GOML2 are 338 quite similar, despite some differences in intensity of changes, such as larger increases 339 in frequency over the central northern China and stronger enhancement of intensity over 340 341 northern margin of mainland China in MetUM-GOML2 (Fig. 5b and d).

342 **4.2 Area averaged future changes**

Fig. 6 shows the area averaged future changes in frequency, intensity, and duration of three types of HWs over whole China and ratios of these three features in the FP projection to the PD in MetUM-GOML1 and MetUM-GOML2. The area averaged future changes and ratios of three types of HWs over China in MetUM-GOML1 in most aspects agree with those in MetUM-GOML2.

348	For compound HWs, the future changes in frequency and duration in two models
349	are similar, but the increase in intensity in MetUM-GOML1 is slightly less than that in
350	MetUM-GOML2. The area averaged future changes in frequency, intensity and
351	duration of compound HWs over the whole mainland China are 3.66 (3.38) events per
352	year, 1.45 (1.94) °C/day, and 2.53 (2.67) days in MetUM-GOML1 (MetUM-GOML2;
353	Fig. 6a, c, and e). Compared to the compound HWs in the PD, the frequency of
354	compound HWs increases remarkably in the FP, which is about 4-5 times the one in the
355	PD, and the intensity and duration in the FP more or less double the ones in the PD (Fig.
356	6b, d, and f).

For the daytime HWs, the changes in frequency, intensity, and duration over whole 357 China in MetUM-GOML1 are very close to those in MetUM-GOML2, with values of 358 359 1.38 events per year, 0.51 °C/day, and 0.61 days in MetUM-GOML1 relative to those ones of 1.82 events per year, 0.61 °C/day, and 0.96 days in MetUM-GOML2 (Fig. 6a, 360 c, and e). The future changes of daytime HWs are significant but weaker than those of 361 compound HWs. The frequency of daytime HWs in the FP increases by 60%-80% 362 relative to that in the PD, and the intensity of daytime HWs increases by around 40%, 363 and the duration of daytime HWs increases by 20%-30% (Fig. 6b, d, and f). 364

For the nighttime HWs, the changes in intensity over whole China are similar in two models, with value of 0.45 °C/day in MetUM-GOML1 compared to 0.58 °C/day in MetUM-GOML2 (Fig. 6c), while the changes in frequency and duration over whole China in MetUM-GOML1, with values of 2.50 events per year and 1.29 days, are about 3/4 of those in MetUM-GOML2, which are 3.19 events per year and 1.68 days (Fig. 6a
and e). The future changes in nighttime HWs are slightly larger than those of daytime
HWs, but weaker than those of compound HWs. The frequency of nighttime HWs in
the FP almost doubles that in the PD, and the intensity and duration of nighttime HWs
in the FP increase by about 50%-60% and 30%-50% respectively relative to those in
the PD (Fig. 6b, d, and f).

In order to get more clarity on what these projected future changes of three types 375 of HWs exactly mean in context of past changes, the future changes of HWs over China 376 are compared to the past decadal changes of HWs across the mid-1990s, which are 377 indicated by the differences between PD and EP in observations (Su and Dong 2019). 378 Nearly all the projected future changes of the three types of HWs are stronger than their 379 380 decadal changes across the mid-1990s. Specifically, the projected future changes relative to PD in frequency of compound HWs and all three aspects of daytime HWs 381 are 2-4 times the decadal changes across the mid-1990s in observations. The future 382 increase in duration of compound HWs and frequency and duration of nighttime HWs 383 are 20%-80% stronger than their decadal counterparts. These results suggest people 384 will encounter much fiercer changes of HWs over China in the near future than they 385 have experienced across the mid-1990s. 386

Summarizing the results above, all the compound, daytime, and nighttime HWs over China are projected to occur more frequently with strengthened intensity and elongated duration in the future. Quantitatively, the increases in all three aspects of compound HWs in the FP are the most remarkable with the frequency being 4-5 times that in the PD and the intensity and duration nearly doubling those in the PD. The increases in all three aspects of daytime and nighttime HWs are also considerable, with those of nighttime HWs larger than those of daytime HWs. The results of MetUM-GOML1 and MetUM-GOML2 are similar in terms of spatial patterns and area averaged quantities of the future changes in the three types of HWs over China, suggesting these projected changes are robust at least for the two models used in this study.

4.3 Contributions of changes in mean state and variability to future changes of HWs

Changes in HWs can arise from both a shift of the temperature distribution and 399 400 changes in temperature variability, such as a widening of the temperature distribution (Meehl and Tebaldi, 2004; Schar et al., 2004; Lau and Nath, 2014; Schoetter et al., 2015, 401 Argueso et al. 2016; Guirguis et al., 2018). These studies suggested that both factors 402 will contribute to heat wave changes in future and indicated an important role of 403 temperature variability in shaping future HWs over North America and Europe. 404 However, the role of shift in temperature distribution and change in temperature 405 variability on future HWs changes over China have not studied. So, the respective 406 contributions of them to the future changes of HWs over China projected in the two 407 models are assessed in this subsection. 408

Fig. 7 illustrates the future changes in extended summer mean surface air
temperatures. Tmax increases by more than 1.5 °C over most part of China, with three

high value centres of increase over the southern, northwestern, and northeastern China 411 (Fig. 7a and b). Tmin increases more or less uniformly over most part of China by more 412 than 1.5°C, but with relatively large increases over the northern margin of mainland 413 China and relatively small increases over the southern margin of mainland China (Fig. 414 415 7c and d). All these main features of changes in Tmax and Tmin are similar in both models despite some slight differences. The increase centre of Tmax over the southern 416 China locates in the southeastern China in MetUM-GOML1 but in the central southern 417 China in MetUM-GOML2 (Fig. 7a and b). The increase in Tmin in MetUM-GOML2 418 419 is greater than that in MetUM-GOML1 over the southern and northern margins of mainland China (Fig. 7c and d). What should be mentioned, the spatial patterns of future 420 changes of the three types of HWs are consistent with those of surface air temperatures. 421 422 For instance, the increase centres of intensity and duration of compound and daytime HWs over the southern China in the two models (Fig. 3c-f and 4c-f) corresponds well 423 with the increase centres of Tmax (Fig. 7a and b). The large increase in the intensity of 424 compound and nighttime HWs over the northern margins of mainland China (Fig. 3c-425 d and 5c-d) coheres to the great increase in Tmin over that region (Fig. 7c and d). 426

To obtain the mean temperature change induced and temperature variabilitychange induced future changes of HWs separately, the HWs in the future related to the mean temperature changes are obtained based on constructed daily surface air temperatures (Tmax and Tmin) by adding the climatological differences in extended summer mean surface air temperatures between the C-FP and C-PD experiments (Fig. 7) to daily surface air temperatures in the C-PD experiments. Then the temperature variability-change induced future changes of HWs are obtained by subtracting the mean
temperature change induced future changes of HWs from the total future changes of
HWs, which refer to the difference between the C-FP and C-PD experiments.

Fig. 8 shows the total, mean temperature change induced, and temperature 436 variability-change induced future changes of three types of HWs averaged over whole 437 mainland China in MetUM-GOML1 and MetUM-GOML2. The future changes of HWs 438 predominantly result from the mean temperature change, which accounts for more than 439 79% of the total future changes. The spatial patterns of various properties in three types 440 of HWs induced by the mean temperature change and temperature variability-change 441 are also examined (not shown). The results of spatial patterns are consistent with the 442 area averaged ones. The spatial patterns of the mean temperature change induced future 443 444 changes of HWs are quite similar with those of the total future changes (Fig. 3-5) and the temperature variability-change induced future changes of HWs are weak and only 445 significant over small sub-regions. 446

These results above indicate that the changes in mean temperature play a predominant role in shaping future changes of HWs over China in MetUM-GOML1 and MetUM-GOML2. These are in agreement with Argueso et al. (2016) who showed that seasonal mean temperature changes control future changes in heat waves in large areas across the globe by using CMIP5 simulations. The subtle differences in future changes of HWs in the two models also result from the slight differences in future changes of mean state. The physical processes responsible for the future changes in the 454 mean surface air temperatures and subsequently the future changes of the three types455 of HWs are discussed in next section.

456

5. Physical processes responsible for the simulated future changes of HWs

The spatial patterns of future extended-summer-mean changes of some key 457 variables in MetUM-GOML1 and MetUM-GOML2 are illustrated in Fig. 9 and 10. The 458 main features of changes of the physical variables in the two models are similar. The 459 most important features are increased surface downward longwave (LW) radiations 460 everywhere (Fig. 9a and b), partly induced by the increase in GHG concentrations via 461 the greenhouse effect and partly induced by the increase in water vapor in the 462 atmosphere (Fig. 10a and b). The relatively large increase of downward LW radiation 463 by about 7-9 W m⁻² over the eastern part of China is consistent with large increase of 464 water vapor in the atmosphere by more than 3 kg m^{-2} , where the moisture transport from 465 466 ocean to land is enhanced (not shown) due to strengthened East Asian Summer Monsoon (EASM) and more evaporation of the ocean under the warming climate. Both 467 the increased GHG concentrations and decreased AA emissions increase the land-sea 468 thermal contrast by warming land more than ocean and enhance the EASM (not shown). 469 This response of circulation is consistent with the previous studies (Lau and Kim 2017; 470 Lau et al. 2017). The relatively large increases in surface downward LW radiation over 471 the northern margin of China by 9-11 W m⁻² are mainly the direct impacts of increased 472 greenhouse effect (Fig. 9a and b) since the increase in water vapor in the atmosphere 473 here is relatively small than other regions (Fig. 10a and b) and land surface and 474

475 atmospheric feedbacks are weak due to the very dry underlying surface (Dong et al.476 2009).

477	The changes of net surface shortwave (SW) radiation indicate an increase over
478	most part of China (Fig. 9c and d). This increase is contributed to by the increase of
479	surface clear SW radiation over southeastern and northeastern China (Fig. 9e and f) and
480	the positive anomalies of shortwave cloud radiative effect (SW CRE) over most part of
481	China (Fig. 9g and h). The increase of surface clear SW radiation over southeastern and
482	northeastern China is induced by the reduction of total aerosol optical depth (AOD; Fig.
483	10c and d) through aerosol-radiation interaction, which is primarily due to the decrease
484	of sulfur dioxide emissions (Fig. 1).

The positive anomalies of SW CRE over most part of China (Fig. 9g and h) result 485 from both the decrease in cloud cover over most part of China (Fig. 10e and f) and the 486 change of cloud radiative property due to the reduction of aerosol emissions over 487 southeastern and northeastern China through cloud albedo effect of aerosol-cloud 488 interaction (Twomey 1977). From the perspective of change of cloud radiative property, 489 the decrease in aerosol emissions over southeastern and northeastern China leads to an 490 increase in the cloud droplet size (not shown) and a decrease in the cloud droplet 491 number concentration (not shown), resulting in the decrease in the reflectance of clouds 492 and the positive anomalies of SW CRE relative to the high aerosol emissions during 493 PD. From the perspective of decrease in cloud cover, different physical processes are 494 responsible for the decreases in cloud cover over different sub-regions. Over 495

northwestern China, the reduction of cloud cover is induced by decrease in relative 496 humidity (Fig. 10g and h), which under the global warming scenario is attributed to that 497 498 water vapor in the atmosphere over land is mainly controlled by transport from ocean and constrained by ocean warming and increases less than saturation specific humidity 499 following the Clausius-Clapeyron relationship because stronger warming over land 500 than over ocean (e.g., Dong et al. 2009; Boé and Terray 2014). Over southeastern China, 501 the cloud cover is decreased by cloud lifetime effect of aerosol-cloud interaction 502 (Albrecht 1989). Over northeastern China, the reduction of cloud cover is caused by 503 both decrease in relative humidity and cloud lifetime effect of aerosol-cloud interaction. 504

In summary, the surface air temperature during extended summer over China in 505 the mid-21st century is raised by the increase in surface downward LW radiation related 506 to the enhanced greenhouse effect and the increased water vapor in the atmosphere over 507 China, resulting from the enhanced EASM and increased evaporation from ocean, and 508 by the increase in surface SW radiation related to the decreased cloud cover over most 509 part of China and the decreased AOD southeastern and northeastern China. These 510 physical processes responsible for the future increases in surface air temperature over 511 China are almost the same in MetUM-GOML1 and MetGOML2. As a result, all three 512 aspects of compound, daytime, and nighttime HWs over China would increase in the 513 future. 514

515 The slight differences in the changes of seasonal mean surface air temperature 516 between MetUM-GOML1 and MetUM-GOML2 are induced by the differences in the

increase in surface SW radiation and the increase in surface downward LW radiation, 517 which eventually lead to the subtle differences in the future changes of three types of 518 HWs. The surface SW radiation increases more over the southeastern China in MetUM-519 GOML1 but over the central southern part of China in MetUM-GOML2 (Fig. 9b and 520 c), leading to different locations of maxmum Tmax change over that region (Fig. 7a 521 and b). The surface downward LW radiation increases more over the northern margin 522 of mainland China and central northern China in MetUM-GOML2 than in MetUM-523 GOML1 (Fig. 9a and b), resulting in large increase in Tmin over these regions. These 524 differences in surface SW radiation and downward LW radiation are attributed to the 525 slight differences in the atmospheric circulations between the two models, since the 526 atmospheric circulations determine the aerosol distribution, water vapor transport and 527 528 large-scale precipitation, which have direct or indirect effects on the energy budgets.

529 6. Conclusions

530 The future changes in the compound, daytime, and nighttime HWs over China during extended summer (May–September) in the mid-21st century (FP, 2045-2055) 531 under RCP4.5 scenario relative to present day (PD, 1994-2011) are investigated in the 532 aspects of frequency, intensity, and duration in this study. The numerical experiments 533 performed by two coupled models, comprising the atmospheric components of two 534 state-of-the-art climate models coupled to a multi-level mixed-layer ocean model and 535 having much smaller sea surface temperature biases than fully coupled general 536 circulation models, are used to evaluate the future changes in the HWs and to reveal the 537

physical processes associated with these changes. The principal results are concludedbelow.

The three types of HWs over China are projected to be of more frequent 540 occurrence with strengthened intensity and elongated duration under the scenario of 541 increased GHG concentrations and decreased aerosol emissions over Eurasian 542 continent. The change in compound HWs will be the most dramatic in all three aspects, 543 with the frequency in the FP being 4-5 times that in the PD, and the intensity and 544 duration nearly doubling those in the PD. The changes of daytime and nighttime HWs 545 are also remarkable, with the increase of nighttime HWs being larger than those of 546 daytime HWs. The projected future changes of HWs over China are predominantly 547 determined by the changes in seasonal mean surface air temperatures (Tmax and Tmin) 548 549 with changes in temperature variability playing a minor role in both models.

The surface air temperatures are raised by increased downward LW radiation and 550 increased surface SW radiation, which therefore increases the frequency, intensity, and 551 duration of all three types of HWs over China. The increase in surface downward LW 552 radiation is induced by the enhanced greenhouse effect and the increased water vapor 553 in the atmosphere, resulting from the enhanced EASM and increased ocean evaporation 554 in the warming climate. The increase in surface SW radiation is contributed to, partly 555 by the decreased cloud cover due to the reduced relative humidity in a warming world 556 and partly by the decreased aerosol emissions via direct aerosol-radiation interaction 557 and indirect aerosol-cloud interaction over southeastern and northeastern China. 558

The future changes of the three types of HWs over China in MetUM-GOML1 and MetUM-GOML2 are consistent in terms of spatial pattern and area averaged quantities. Although slight differences exist in these future changes between two models, the projected increase in frequency, intensity, and duration of the three types of HWs over China under RCP4.5 scenario is robust among the two models used in this study.

Projected future changes of the three types of HWs in the mid-21st century relative 564 to present day are stronger than their decadal changes across the mid-90s. Specifically, 565 the projected future changes relative to PD in frequency of compound HWs and all 566 three aspects of daytime HWs are 2-4 times of the decadal changes across the mid-567 1990s in observations. The future increase in duration of compound HWs and frequency 568 and duration of nighttime HWs are 20%-80% stronger than their decadal changes across 569 570 the mid-1990s. These results suggest people will encounter much fiercer changes of HWs over China in the future than they have experienced across the mid-1990s and 571 China would face a challenge to take adaptation measures to cope with the projected 572 frequency increase, intensity enhancement and duration lengthening of HWs. 573

The future changes of HWs over China in the mid-21st century are projected under RCP4.5 scenario in this paper. There are some other factors influencing the HWs on the other timescales. For example, the variations of western North Pacific Subtropical High, East Asian Jet Stream, and El Nino-Southern Oscillation have an impact on the interannual variability of the HWs over China (Wang et al., 2013; Wang et al., 2016; Luo and Lau, 2017; Luo and Lau, 2018). These factors should be taken into 580 consideration when forecasting the HWs on a shorter time scale.

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Abv.	Experiment	Ocean	Radiative forcing
R0	Relaxation run	Relaxation to "present day" (PD, 1994-2011) mean 3D ocean temperature and salinity to diagnose climatological temperature and salinity tendencies	PD greenhouse gases (GHGs) over 1994~2011 and anthropogenic aerosol (AA) emissions over 1994~2010 with AA after 2006 from RCP4.5 scenario (Lamarque et al. 2010, 2011)
C-EP	Early period (EP 1964~1981)	 Climatological temperature and salinity tendencies from relaxation run 	EP mean GHG and EP mean AA emissions
C-PD	Present day (PD 1994~2011)		PD mean GHG and PD mean AA emissions
C-FP	future period (FP, 2045-2055)		RCP4.5 emission scenario

Table 1. Summary of numerical experiments



Figure 1. Future changes in annual mean sulfur dioxide emissions (units: $g m^{-2} yr^{-1}$) during the

821 mid- 21^{st} century relative to the PD of 1994-2010.



Figure 2. Climatological means of extended-summer-mean (May–September) Tmax and Tmin
during the EP (1964-1981) in observations (a and b) and in the C-EP experiment of MetUMGOML1 (c and d) and MetUM-GOML2 (e and f). Units are in °C.



Compound HWs

(units: °C/day; c and d), and duration (units: days; e and f) of compound HWs in MetUM-GOML1
(left panels) and MetUM-GOML2 (right panels), masked by China boundary. The slashes highlight
the regions where the differences are statistically significant at the 90% confidence level based on

833 a two-tailed Student's *t*-test.

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(units: °C/day; c and d), and duration (units: days; e and f) of daytime HWs in MetUM-GOML1
(left panels) and MetUM-GOML2 (right panels), masked by China boundary. The slashes highlight
the regions where the differences are statistically significant at the 90% confidence level based on
a two-tailed Student's *t*-test.



Nighttime HWs

(units: °C/day; c and d), and duration (units: days; e and f) of nighttime HWs in MetUM-GOML1
(left panels) and MetUM-GOML2 (right panels), masked by China boundary. The slashes highlight
the regions where the differences are statistically significant at the 90% confidence level based on
a two-tailed Student's *t*-test.



Figure 6. Area averaged future changes of frequency (a), intensity (c), and duration (e) of compound,
daytime, and nighttime HWs over whole China and the ratios of these three features in the FP
projection to the PD (b, d, and f) in MetUM-GOML1 and MetUM-GOML2. Units for frequency,
intensity, and duration are events/year, °C/day, and days, respectively. The error bars indicate the
90% confidence intervals based on two-tailed Student's *t*-test.



Figure 7. Future changes in the extended-summer-mean (May–September) Tmax and Tmin relative

to PD simulations in MetUM-GOML1 (a and c) and MetUM-GOML2 (b and d). Units are in °C.
The slashes highlight the regions where the differences are statistically significant at the 90%
confidence level based on a two-tailed Student's *t*-test.



Figure 8. Area averaged total, mean temperature change induced, temperature variability-change

865 induced future changes in frequency (units: events/year; a and b), intensity (units: °C/day; c and d),

- and duration (units: days; e and f) of compound, daytime, and nighttime HWs over whole China in
- 867 MetUM-GOML1 (left panels) and MetUM-GOML2 (right panels).
- 868



radiation (a and b), net surface SW radiation (c and d), surface clear SW radiation (e and f), and
surface SW CRE (g and h) in MetUM-GOML1 (left panels) and MetUM-GOML2 (right panels).
Radiation with positive value meaning downward and in W m⁻². The black dots highlight regions
where the changes are statistically significant at the 90% confidence level based on a two-tailed
Student's *t*-test.



878 Figure 10. Spatial patterns of future extended-summer-mean changes in column-integrated water

vapor (a and b; units: kg m⁻²), total AOD at 0.55 um (c and d), total cloud cover (e and f; units: %),
and relative humidity at 700 hPa (g and h; units: %) in MetUM-GOML1 (left panels) and MetUM-GOML2 (right panels). The black dots highlight regions where the changes are statistically
significant at the 90% confidence level based on a two-tailed Student's *t*-test.