

Orthonasal and retronasal detection thresholds of 26 aroma compounds in a model alcohol-free beer: effect of threshold calculation method

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1 **Orthonasal and retronasal detection thresholds of 26 aroma**
2 **compounds in a model alcohol-free beer: Effect of threshold**
3 **calculation method**

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5 José A. PIORNOS¹, Alexia DELGADO¹, Rémi C.J. DE LA BURGADE¹, Lisa METHVEN¹, Dimitrios
6 P. BALAGIANNIS¹, Elisabeth KOUSSISSI^{2,†}, Eric BROUWER², Jane K. PARKER^{1,*}

7
8 *¹Department of Food and Nutritional Sciences, University of Reading, RG6 6AP, UK.*

9 *²Heineken Supply Chain BV, Global Innovation & Research, Burgemeester Smeetsweg, 1, 2382 PH*
10 *Zoeterwoude, The Netherlands.*

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19 *Corresponding author: E-mail address: j.k.parker@reading.ac.uk

20 †Current address: Department of Wine, Vine and Beverage Sciences, University of West Attica, Ag.

21 Spyridona Str., 12210 Athens, Greece

22 **Abstract**

23 Detection thresholds are used routinely to determine the odour-active compounds in foods. The
24 composition of a food matrix, such as hydrophobicity or solids content, has an impact on the release
25 of flavour compounds, and thus on thresholds. In the case of beer, thresholds determined in alcoholic
26 beer may not be the same for alcohol-free beer (AFB). Therefore, the aim of this study was to
27 determine detection thresholds for aroma compounds typically found in beer within a model AFB.
28 The model was designed to match the sugar concentration and pH of an AFB brewed by a cold
29 contact process. Thresholds were measured using a 3-AFC procedure and calculated using either Best
30 Estimate Threshold (BET) method or by logistic regression. Moreover, an algorithm for the removal
31 of false positives was applied to adjust the assessors' raw responses. Retronasal thresholds were
32 generally lower than orthonasal. Those calculated by BET were significantly higher ($p < 0.05$) than
33 those from logistic regression, and removal of false positives also produced significantly higher
34 thresholds than those from raw data. The use of logistic regression has the advantage of providing the
35 mathematical model describing the behaviour of the group. The results from this study can be used to
36 better understand the role of flavour compounds in AFB and the effect of the calculation method to
37 prevent under- or overestimated results.

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42 **Keywords:** alcohol-free beer, orthonasal threshold, retronasal threshold, best estimate threshold,
43 logistic regression, method comparison

44 **1. Introduction**

45 Detection thresholds are commonly used in flavour science as a measure of the potency of flavour
46 compounds. They are defined as the minimum concentration of a flavour compound at which its
47 presence can be detected in a food or beverage, but this concept has also been applied to other
48 research fields, such as air pollution (Leonardos, Kendall, & Barnard, 1969). Flavour compounds can
49 be ranked according to their odour activity by comparing their concentration in a food and their
50 detection threshold. Odour activity values are an important tool in flavour research and have been
51 used to identify key odorants in a wide variety of foods, including virgin olive oil (Guth & Grosch,
52 1993), rape honey (Ruisinger & Schieberle, 2012), and wheat beer (Langos, Granvogl, & Schieberle,
53 2013). It is also recognised that flavour compounds may contribute to the overall aroma of a food at
54 subthreshold concentrations due to synergistic effects with other odorants (Kishimoto, Noba, Yako,
55 Kobayashi, & Watanabe, 2018).

56 Aroma detection thresholds depend on many variables and are difficult to predict, if not impossible.
57 Apart from the natural differences in sensitivity of humans to different flavour compounds (Schranz,
58 Lorber, Klos, Kerschbaumer, & Buettner, 2017), other factors affect perception too. One source of
59 difference relates to the way that individuals are exposed to the odorant, either orthonasally or
60 retronasally. When sniffing a food, flavour molecules have to be released from the food matrix to the
61 air and then travel through the nasal cavity to reach the olfactory mucosa (Espinosa Díaz, 2004). This
62 corresponds to orthonasal perception of the odorant, whereas in the case of retronasal perception the
63 flavours are released in the mouth and cross the nasopharynx via the posterior nares before reaching
64 the nasal cavity and olfactory mucosa.

65 The release of the flavour compounds from the food matrix is the starting point for both orthonasal
66 and retronasal sensory experiences. Along with other factors, such as temperature, the composition of
67 the food matrix plays a key role in the release of volatiles compounds (Hansson, Andersson, &
68 Leufvén, 2001). For example, the orthonasal detection threshold for the sweaty, cheesy flavour
69 compound 3-methylbutanoic acid in water has been reported to be 490 µg/L (Czerny et al., 2008),
70 whereas in sunflower oil the reported threshold was only 22 µg/L (Reiners & Grosch, 1998). Other

71 food components, such as sugars or ethanol, also have a significant effect on the release of volatiles
72 from the food to the air phase. Perry and Hayes (2016) concluded that thresholds determined in one
73 food matrix should not be translated to a different food system. Such assumptions can lead to under-
74 or overestimation of the real potency of flavour chemicals in foods when comparing their
75 concentration with inappropriate threshold values.

76 Alcoholic and alcohol-free beers are a good example of two similar food matrices where different
77 composition may affect volatile release. Lager beers usually contain 5 % alcohol by volume (ABV)
78 and low remaining fermentable sugars, i.e. glucose, fructose, sucrose, maltose and maltotriose. There
79 are studies in the literature reporting detection thresholds of flavour compounds in Lager beers
80 (Meilgaard, 1975; Saison, De Schutter, Uyttenhove, Delvaux, & Delvaux, 2009). However, thresholds
81 determined in this alcohol-containing matrix may not be applicable to alcohol-free beers (AFB). In the
82 case of AFB, the absence of alcohol (below 0.05 % ABV), and the presence of non-fermented sugars
83 from wort in beers brewed by cold contact fermentation, are likely to make the release of flavour
84 compounds from this matrix different from alcoholic Lager beers.

85 The sensory method most commonly employed in determining thresholds is the three-alternative
86 forced choice (3-AFC) discrimination method. However, even where this sensory method is applied
87 consistently across studies, another source of variation in published threshold values is due to the
88 calculation method used. The most commonly used calculation method is Best Estimate Threshold
89 (BET) (Czerny et al., 2008; Plotto, Margaría, Goodner, & Baldwin, 2008; Plotto, Margaría, Goodner,
90 Goodrich, & Baldwin, 2004). According to ISO 13301:2002, this method consists of calculating the
91 geometrical mean of “the highest concentration missed and the next higher concentration”. This is
92 done for every assessor’s response and the average of the group is then calculated, this being the final
93 threshold value. This ISO standard discloses some of the disadvantages of this method, such as the
94 calculation of thresholds out of the range of concentrations assessed when an assessor’s threshold falls
95 above or below the range evaluated. Moreover, BET values do not give any further information about
96 the behaviour of the group for concentrations of the odorant other than the calculated threshold. In
97 recent years, authors have started using an alternative calculation approach by means of psychometric

98 sigmoid functions. These functions consider the probability of perceiving the presence of the flavour
99 compound (i.e. the probability of identifying the correct sample during the experiment) against
100 compound concentration. When using this approach, the threshold is often defined as the
101 concentration at which there is a 50 % probability of detecting the flavour compound (Lawless, 2010).
102 Several mathematical models have been used for this purpose, such as Weibull distribution, logistic
103 function (Hough, Methven, & Lawless, 2013) or the Hill equation, often used in biochemistry (Perry
104 & Hayes, 2016). By using this modelling approach, concentrations other than 50 % probability can be
105 easily calculated, and these may be useful in certain cases, for instance, to avoid detection of off-notes
106 in foods by very sensitive consumers (Lawless, 2010). By comparing thresholds calculated using BET
107 and fitting the data to the Hill equation, Perry and Hayes (2016) observed differences between both
108 methods, BET values being lower than detection thresholds (DTs) calculated from the Hill equation in
109 most of the experiments reported. The authors did not discuss the differences between both algorithms
110 that led to the different threshold values. Furthermore, false positives, i.e. correct answers given by
111 chance, could have an effect in the final threshold values. Hough et al., (2013) proposed a threshold
112 calculation method by logistic regression using different functions, which included the application of
113 an algorithm for the adjustment of false positives. The weight of these false positive responses was
114 not evaluated nor their impact on the threshold value. Certainly, the false positives are expected to
115 influence the final threshold values.

116 It is reasonable to consider that the release of flavour compounds from AFBs brewed by cold contact
117 fermentation is not comparable to water or Lager beer-like systems (usually 5 % ethanol in water).
118 Considering the impact of alcohol on flavour release, it was hypothesised that orthonasal and
119 retronasal DTs from the AFB would be different to those previously published in alcoholic beers.
120 Furthermore, the second hypothesis of this study was that the threshold calculation method had a
121 significant effect on the final value, as well as the presence of false positives. Hence, the aim of this
122 study was to determine orthonasal and retronasal detection thresholds in a model AFB of aroma
123 compounds typically found in beer. The effect of the calculation method (BET and logistic regression)
124 and the impact of false positives on the final threshold values were tested too.

125 **2. Materials and methods**

126 **2.1. Materials**

127 Carbonated water (Sparkling spring water, Aldi Stores Ltd., UK), sucrose (> 90 %, Silver Spoon,
128 UK), fructose (> 90 %, Tate & Lyle, UK), and glucose powder (> 90 %, Thornton & Ross Ltd., UK)
129 were purchased at a local store. C☆Sweet™ glucose syrup (composition in dry base: 5 % w/w
130 glucose, 75 % w/w maltose, 10 % w/w maltotriose, 10 % w/w unspecified components) was donated
131 by Cargill (Manchester, UK).

132 **2.2. Aroma compounds**

133 The following aroma compounds were purchased from Sigma-Aldrich (purity in parenthesis):
134 acetaldehyde (≥99 %), acetic acid (≥99.5 %), 2,3-butanedione (97 %), butanoic acid (≥99 %),
135 dimethyl sulfide (≥99 %), 5(or 2)-ethyl-4-hydroxy-2(or 5)-methyl-3(2*H*)-furanone (homofuraneol,
136 96 %), *Z*-4-heptenal (≥98 %), 3-hydroxy-4,5-dimethyl-2(5*H*)-furanone (sotolone, 10 % in propylene
137 glycol), 4-hydroxy-2,5-dimethyl-3(2*H*)-furanone (furaneol, ≥98 %), methional (≥97 %), 2'-
138 methoxyacetophenone (99 %), 2-methoxy-4-methylphenol (≥98 %), 2-methoxyphenol (≥99 %), 2-
139 methoxy-4-vinylphenol (≥98%), 2-methylbutanal (≥95 %), 3-methylbutanal (≥97 %), 3-
140 methylbutanoic acid (99 %), 3-methyl-1-butanol (≥98%), methylpropanal (≥98 %), 2-
141 methylthiophene (98 %), 2,3-pentanedione (≥96 %), phenylacetaldehyde (10 % in ethanol), 2-
142 phenylacetic acid (≥99 %), 2-phenylethanol (≥99 %), vanillin (≥97 %), 4-vinylphenol (10 % in
143 propylene glycol). All were food grade except 2'-methoxyacetophenone and 2-methylthiophene.

144 **2.3. Preparation of the model alcohol-free beer**

145 A model beer was prepared to match the sugar content of an alcohol-free beer brewed following a
146 standard cold contact fermentation procedure, bottling and pasteurisation carried out at Heineken's
147 pilot brewery (Zoeterwoude, The Netherlands). First, a five-fold concentrated solution of sugars was
148 prepared in tap water. Then, one part of the sugar solution was diluted into four parts of carbonated
149 water, reaching the final concentration of sugars: 7.2 g/L glucose, 2.1 g/L fructose, 0.6 g/L sucrose,
150 26.9 g/L maltose and 3.6 g/L maltotriose. In parallel, a stock solution of odorants was prepared in

151 absolute ethanol (Sigma-Aldrich, UK). Then, 400 μ L stock solutions containing the odorant (absolute
152 ethanol for blanks) were added to one litre of model beer. The final pH of the model was 4.50 and the
153 final ethanol content was 0.04 %.

154 **2.4. Sensory methodology**

155 For each compound, the aim was to collect threshold data from 24 trained and experienced sensory
156 assessors. To achieve this, allowing for absences, there was a pool of 33 assessors (8 men, 25 women,
157 ages 25 to 60). The assessors were recruited from the flavour and sensory groups of The University of
158 Reading, all of whom had experience in describing a wide range of aroma chemicals. Preliminary
159 sensory experiments were carried out in order to establish the range of concentrations for the
160 threshold experiments, as well as to familiarise the panellists with the aroma chemicals. For 7 out of
161 26 compounds for orthonasal assessment and 2 for retronasal assessment, only 12 assessors were
162 available. The experiments were designed following a three-alternative forced choice (3-AFC)
163 methodology (ISO13301:2002). Each sample (10 mL) was presented in a screw-capped 27-mL clear
164 glass vial (height 72 mm, internal diameter 23 mm) at a temperature between 9 and 14 °C. Six
165 concentrations of each compound were presented in ascending order, each being 3 times more
166 concentrated than the previous sample. Each concentration was presented along with two blank
167 samples per level. Within each set of three, the order of blanks and the sample was balanced and
168 randomised (AAB, ABA, or BAA) across the panellists, and all samples were coded with 3-digit
169 random numbers. During each one-hour sensory session, three compounds were presented to the
170 panel. After sniffing all the samples to assess orthonasal perception, the samples were presented for a
171 second time, in a random but balanced order, and the panellists were asked to taste them for retronasal
172 perception. The vials were presented uncapped to avoid interference with aroma from the headspace
173 when assessing the samples for retronasal perception. Compusense Cloud (Compusense Inc., Guelph,
174 ON, Canada) was used to guide panellists during the study as well as to collect responses. The
175 experiments were carried out in individual sensory booths (controlled temperature 18-20°C) at the
176 Sensory Science Centre of The University of Reading.

177 **2.5. Data analysis**

178 **2.5.1. Adjustment of assessors' responses by chance**

179 In order to remove false positives, i.e. positive responses given by chance, the methodology published
180 by Hough et al. (2013) was followed. Responses were classified into four different cases exemplified
181 in Table 1:

- 182 • Case 1: Negative response. If the panellist could not identify the sample containing the aroma
183 compounds, this remained as “no” in all cases.
- 184 • Case 2: “Yes before or next to no”. This applies to all positive responses before a negative
185 answer, and also those just after a negative response (i.e. those first in a row of correct
186 answers). In these cases, first, the proportion of discriminators (P_d) was calculated (Lawless,
187 2010) (Eq. 1):

188
$$P_d = \frac{P_{\text{corr}} - P_{\text{chance}}}{1 - P_{\text{chance}}} \quad \text{Eq. 1}$$

189 where P_{corr} is the proportion of correct answers at a concentration level and P_{chance} is the
190 probability of getting a correct answer by chance (in 3-AFC tests, this is 1/3). Then, the ratio
191 P_d/P_{corr} was calculated and compared with a random number X from 0.000 to 1.000 generated
192 using the function “RAND”. If $P_d/P_{\text{corr}} < X$, the original positive response was corrected and
193 replaced by a negative answer.

- 194 • Case 3: “Second yes after last no”. In this case, the same procedure as in case 2 was followed,
195 although the P_{chance} used in this case was 1/9. This was because this positive response is the
196 second in a row, so the chance of getting two correct answers is $(1/3) \times (1/3)$.
- 197 • Case 4: “Third and further yes after no”. The probability of choosing a third correct answer by
198 chance is $(1/3) \times (1/3) \times (1/3)$. This is below 5 %, so it was assumed that these were real
199 positives and consequently kept as positives.

200 The different steps and criteria were implemented into an Excel spreadsheet (Microsoft Office 365
201 ProPlus).

202 **2.5.2. Best estimated threshold (BET)**

203 BETs were calculated from raw and adjusted data according to the procedure reported in ISO
204 13301:2002. BETs for each assessor and compound were calculated as the geometric mean of the
205 highest concentration for a negative response and the next concentration. In the case where an
206 assessor's response was either negative or positive for all the concentrations presented, the BET was
207 calculated as the geometrical mean using the next concentration in the series (up or down,
208 respectively) which had not been tested.

209 **2.5.3. Logistic regression**

210 The raw and adjusted data were fitted to the logistic function (Eq. 2) using XLSTAT 2012:

$$211 \quad P_c(\ln C) = \frac{1}{1+e^{-(\alpha+\beta \ln C)}} \quad \text{Eq. 2}$$

212 Where P_c is the probability of a correct answer, α is the factor that sets the displacement of the curve
213 along the abscissa axis, and β is the steepness factor. The detection threshold was considered as the
214 concentration at which the probability of correct answer was 0.50.

215 **2.5.4. Statistical analysis**

216 Thresholds calculated by BET and logistic regression, from raw data and after removal of false
217 positives (adjusted data), were compared aiming to determine significant differences between these
218 four different methods. T-test for paired samples ($\alpha = 0.05$) was applied to the logarithms of the
219 threshold values grouped into methods, i.e. not distinguishing between orthonasal and retronasal
220 thresholds for this purpose.

221 **3. Results**

222 **3.1. Orthonasal and retronasal thresholds in a model AFB**

223 Table 2 shows the orthonasal detection thresholds for 26 aroma compounds in a model alcohol-free
224 beer, calculated by the four different methods. The overall range of values obtained for different
225 compounds was noticeably broad, from below 1 $\mu\text{g/L}$ to more than 100,000 $\mu\text{g/L}$. The highest
226 orthonasal DTs, (those over 1,000 $\mu\text{g/L}$, i.e. 1 ppm), were found for acetic acid (131,000-
227 391,000 $\mu\text{g/L}$), 2-methylthiophene (1,732-11,800 $\mu\text{g/L}$), and 2-phenylacetic acid (1,174-5,830 $\mu\text{g/L}$).

228 On the other hand, the lowest values (those below 1 µg/L, i.e. 1 ppb) were found for Z-4-heptenal
229 (0.0035-0.022 µg/L), methional (0.19-0.68 µg/L), and 3-methylbutanal (0.31-0.64 µg/L). A similar
230 scenario was observed for these compounds when assessed for retronasal perception. Table 3 shows
231 the results for retronasal detection thresholds for 20 aroma compounds. The compounds with the
232 highest retronasal detection thresholds were acetic acid (22,100-104,000 µg/L), 4-vinylphenol (90.0-
233 4,210 µg/L), and 2-phenylacetic acid (12.6-1,690 µg/L). As for orthonasal perception, methional
234 (0.040-1.78 µg/L) and 3-methylbutanal (0.22-0.74 µg/L) exhibited the lowest retronasal threshold
235 values. Orthonasal threshold values were higher than retronasal for most of the compounds evaluated.
236 The only exceptions were dimethyl sulfide and 3-methyl-1-butanol, for which retronasal detection
237 thresholds were higher than orthonasal. For other compounds (methional, 3-methylbutanal, and 4-
238 vinylphenol), the difference between orthonasal and retronasal thresholds was less apparent as it was
239 dependent on the method used to calculate the threshold.

240 **3.2. Comparison of calculation methods**

241 In this study, two different threshold calculation methods were used, as well as an algorithm for the
242 removal of false positives. As shown in Tables 2 and 3, both orthonasal and retronasal detection
243 thresholds were affected by the calculation method (BET or logistic regression) and the removal of
244 false positives (raw and adjusted data). Figure 1 shows the comparison plots for the different
245 calculation approaches, where orthonasal and retronasal thresholds from each method are plotted
246 against each other. Thresholds calculated from adjusted data were higher than those from raw data,
247 independently of the compound assessed, this increase being higher in the case of the logistic
248 regression than the BET. This can be observed when comparing the trendline equations (Figure 1a
249 and 1b), where, although the slopes were very close to one, the lines do not pass through zero and
250 there is a significant intercept. The interpretation of these trendline equations and the meaning of this
251 intercept is complicated by the fact that the thresholds are plotted on a log plot. The trendline
252 equations were expressed in the following terms: $\ln DT_1 = a \times \ln DT_2 + \ln (b)$ where $a \approx 1$ and the
253 intercept is $\ln (b)$. Using the standard rules of logarithms, $DT_1 = DT_2 \times b$, so b represents the constant

254 ratio between the methods. The intercept from the graph gives $\ln(b)$, so the constant ratio is the
255 exponential of the intercept, or $\exp(b)$.

256 In the case of the adjustment of false positives, the intercept in Figure 1a (+1.4698) was higher than in
257 Figure 1b (+0.3792). This means that the values from logistic regression and adjusted data were, on
258 average, 4.3 times (i.e. $\exp(+1.4698)$) higher than those from raw data, whereas this difference was
259 only 1.5 times ($\exp(+0.3792)$) in the case of BET. Differences were also found between BET and
260 logistic regression methodologies from the same sets of data (raw and adjusted data) (Figures 1c and
261 1d). In both cases, BET produced higher threshold values than logistic regression and the difference
262 was greater for raw data (intercept +1.5825, ratio 4.9) than adjusted data (intercept +0.4804, ratio 1.6).
263 In order to identify significant differences between methods, t-tests for paired samples were applied.
264 P-values from these tests showed significant differences ($p < 0.05$) between the results from BET and
265 logistic regression ($p = 1.4 \times 10^{-14}$ for BET raw vs. logistic regression raw; $p = 1.2 \times 10^{-9}$ for BET
266 adjusted vs. logistic regression adjusted), as well as for those calculated from raw and adjusted data
267 for both methods ($p = 7.2 \times 10^{-27}$ for BET raw vs. BET adjusted; $p = 7.1 \times 10^{-21}$ for logistic regression
268 raw vs. logistic regression adjusted). Surprisingly, thresholds from logistic regression from adjusted
269 data and standard BET from raw data were not significantly different ($p = 0.31$).

270 **3.3. Logistic regression for the calculation of thresholds**

271 Supplementary Tables A.1 and A.2 show the parameters that define the logistic models for the
272 probability of a correct answer (i.e. correct identification of the aroma compound) against the
273 logarithm of the concentration of the compound. The logistic model used here is defined by two
274 parameters: α sets the displacement along the x-axis, and β is the steepness factor. According to Eq. 2,
275 a lower value of α is translated in a higher value for the inflexion point of the sigmoidal curve,
276 whereas higher values of β give steeper curves. For both orthonasal and retronasal studies, the
277 adjustment of the data for the removal of false positives produced a decrease in the α parameter,
278 which resulted in a displacement of the curve towards the right and, thus, higher thresholds. The
279 steepness factor β was also affected by the adjustment of the data because the β -values from adjusted
280 data were higher than those from raw data. An exception to this trend was the orthonasal model for Z-

281 4-heptenal, for which the α -factor was higher after the removal of false positives. Despite this, the
282 orthonasal detection thresholds for these compounds were still higher because the effect of the α -
283 factor was compensated for by a higher β -factor.

284 The removal of false positives also affected the goodness of fit of the logistic model. The adjustment
285 of the data produced an increase of the pseudo- R^2 values in all cases, for both orthonasal and
286 retronasal models (Supplementary Tables A.1 and A.2). Furthermore, the confidence interval for the
287 thresholds calculated using this method were considerably narrower after the removal of false
288 positives (Figure 2). For example, the error bar for the retronasal detection threshold of vanillin was
289 reduced from three orders of magnitude to only one (Figure 2b). For a few compounds (2-
290 methylbutanal and 3-methyl-1-butanol for orthonasal, and methional, 2-methoxy-4-methylphenol, 2-
291 phenylacetic acid, and 4-vinylphenol for retronasal detection thresholds) confidence intervals could
292 not be calculated properly when using raw data because the calculation method could not converge to
293 a solution after 100 iterations. This issue was resolved after the removal of false positives, when
294 confidence intervals could be calculated in all cases.

295 **4. Discussion**

296 **4.1 Threshold calculation method**

297 Thresholds calculated by BET and logistic regression were found to be significantly different
298 ($p < 0.05$) for both orthonasal and retronasal data. Logistic regression generated lower threshold
299 values from both raw and adjusted data. Psychometric functions take into consideration all the
300 positive responses along the entire range of concentrations. On the other hand, BET only considers
301 positive answers that are not followed by negative answers. This makes logistic curves displaced
302 towards the left to lower concentrations, resulting in lower threshold values. Previous studies have
303 compared the standardised BET method with logistic regression. Perry and Hayes (2016) found that
304 thresholds from BET were lower than those calculated by using logistic regression. These results,
305 which may seem to be contradictory to those from the present study, might be explained by the fact
306 that these authors used an equation model that it is restricted from 33 % to 100 % probabilities on the

307 ordinate axis. In our study, we did not use a restricted model, as shown in Eq. 2, so the probability of
308 correct answer can vary from 0 % to 100 %. In our opinion, the use of an algorithm for the removal of
309 false positives already discards the correct answers given by chance, so the restriction at 33 % chance
310 should not be necessary anymore. When using restricted models, it is common to define the threshold
311 at 66.6 % chance as the middle point of the curve (between 33.3 % and 100 %). This might be another
312 reason why these authors obtained higher threshold values with logistic regression. Lawless (2010)
313 also used 66.6 % probability as the corrected 50 % detection level following a similar reasoning.

314 The effect of the removal or correction of false positives was also covered in the present study. As
315 shown above, threshold values increased significantly after the application of this algorithm. In
316 previous studies, differences between BET raw and logistic regression adjusted thresholds were
317 observed. Hough et al. (2013) reported that the BET method using raw data produced lower
318 thresholds than logistic regression from adjusted data. This was associated with the fact that in logistic
319 regression the adjustment of the responses pushed the threshold upwards, whereas this data treatment
320 was not applied when using BET. In our study, there was not a clear trend when comparing these two
321 sets of thresholds. Not all BET raw thresholds were lower than the corresponding logistic regression
322 adjusted threshold (Figure 1e) and on average the results from these methodologies were not
323 significantly different ($p = 0.31$). This demonstrated that logistic regression along with the removal of
324 false positives is a methodology comparable to the standardised BET, with the advantage of providing
325 further information such as the mathematical model describing the response of the group at different
326 concentrations of an aroma compound.

327 **4.2 Orthonasal thresholds**

328 In the literature, perception thresholds are available for different aroma compounds determined in a
329 variety of matrices, e.g. water (Czerny et al., 2008), air (Schranz et al., 2017), and beer (Meilgaard,
330 1975, 1982; Saison et al., 2009). In Figure 3, those found in the literature (diamonds) for water, 9.4 %
331 ethanol or beer are compared to those from the present study (horizontal bars) for both orthonasal
332 (Fig. 3a) and retronasal (Fig. 3b) perception. Full details of these threshold values from the literature

333 can be found in Appendix B. Before plotting them, all the thresholds units were converted into $\mu\text{g/L}$
334 for comparison.

335 The impact of ethanol on aroma release was demonstrated instrumentally by Perpète and Collin
336 (2000), who observed higher retention of 2-methylbutanal and 3-methylbutanal when increasing the
337 concentration of ethanol from 0 to 5 % in an aqueous solution. This was explained by the ‘cosolvent’
338 effect of ethanol in water, thus increasing the solubility of these aldehydes and reducing their partition
339 coefficients between the water/ethanol solution and the air (Tsachaki et al., 2008). However, Figure
340 3a shows that the literature detection thresholds which had been determined in 9.4 % ethanol fell
341 within the same range as those determined in water in 4 out of 5 cases.

342 The role of sugar on flavour release has been studied more extensively. Perpète and Collin (2000)
343 demonstrated that the presence of sugars in beer produced an increase in the release of 2- and 3-
344 methylbutanal, up to a maximum sugar concentration of 40 g/L. Tsitlakidou, Van Loey, Methven, &
345 Elmore (2019), and Hansson et al. (2001) also showed this salting-out effect of non-polar compounds
346 in soft drinks when sugar increased from ~40-150 g/L and 200-600 g/L, respectively. Bredie,
347 Mottram, & Birch (1994) also showed an increase in volatility with added glucose (200 g/L) for
348 hydrophobic compounds such as menthol and limonene in a maltodextrose solution, but no effect with
349 the more polar compounds (3-methylbutyl acetate and 2,3-butanedione). Banavara, Rabe, Krings, &
350 Berger (2002) modelled flavour release and predicted a salting-out effect for most compounds.
351 However, experimentally they reported that the effect was much less than predicted, and not
352 statistically significant for more polar compounds. These literature studies in accord with our data,
353 which cover a range of more polar compounds, rather than the terpenes and longer chain aldehydes
354 which showed the biggest salting-out effects in these literature studies. On average the more polar
355 compounds in our study (**6**: homofuraneol, **8**: sotolone, **9**: furaneol, **12**: 2-methoxy-4-methylphenol,
356 **14**: 2-methoxy-4-vinylphenol, **25**: vanillin, and **26**: 4-vinylphenol) showed no evidence of salting-out
357 and presented higher orthonasal thresholds than those from the literature (Fig. 3a). This may be due to
358 the interaction between the sugars and these more polar volatiles.

359 The effect of carbonation on flavour release has been studied, particularly in relation to champagne.
360 Pozo-Bayón, Santos, Martín-Álvarez, & Reineccius (2009) showed an increase in aroma release with
361 carbonation but stressed the importance of the physicochemical character of the volatiles, showing the
362 most hydrophobic, most volatile compounds were affected the most. Saint-Eve et al. (2009) looked at
363 the effect of adding 10 g/L sucrose on aroma release of carbonated beverages. Carbonation had by far
364 the bigger effect and increased volatile release, but added sucrose had no impact on aroma release in
365 the carbonated samples. Our results did not show a corresponding decrease in aroma threshold with
366 carbonation, but surface activity, bubble size and bubble frequency are important parameters which
367 we could not readily control.

368 **4.3 Retronasal thresholds**

369 Retronasal thresholds were much scarcer in the literature, most of them being comparable to our
370 results (Fig. 3b). In this study, retronasal thresholds for 2,3-butanedione (**3**), butanoic acid (**4**), 2-
371 methoxy-2-vinylphenol (**14**), 3-methyl-1-butanol (**18**) and 2-phenylacetic acid (**23**) were lower than
372 those from the literature, whereas furaneol (**9**) showed a higher threshold in the AFB model. Apart
373 from the matrix effect, the differences between thresholds from the literature and our results could be
374 due to the diversity of methodologies employed. This includes differences in calculation method
375 (BET, interpolation using probability vs. concentration graphs), number of panellists and sample
376 presentation (triangle, 3-AFC, duo-trio test, sets of samples presented in either ascending or
377 descending concentrations) (Guadagni, Buttery, & Okano, 1963; Langos et al., 2013; Rothe, Wölm,
378 Tunger, & Siebert, 1972). All too often, authors of threshold studies do not fully specify the details of
379 their studies, this making comparisons less valid. This was demonstrated in a comprehensive literature
380 search, and summarised in Appendix B, which shows thresholds in the literature and the main
381 characteristics of the sensory study.

382 Comparing the results for orthonasal and retronasal perception, retronasal DTs tended to be lower
383 than orthonasal for most of the compounds assessed, independently of the data treatment (Tables 2
384 and 3). The reason behind this does not seem to be very clear. Retronasal perception is a more
385 complex process which also involves changes in temperature of the foodstuff, dilution with saliva,

386 binding to mucous membranes in mouth and tongue, increase of air/food surface area and the mixing
387 effect of swallowing (Taylor & Roozen, 1996). Due to the higher complexity of the retronasal
388 pathway, Espinosa Díaz (2004) hypothesised a higher efficiency of the orthonasal pathway, thus
389 requiring lower concentrations of odorants for the same odour intensity as the retronasal pathway. On
390 the other hand, the opposite behaviour was observed by Voirol and Daget (1986) for vanillin and
391 citral, which was related to a higher concentration of these odorants in the vapor phase when put in
392 the mouth, as well as the influence of other non-chemical interactions. From the results of the current
393 study it appears that most of the compounds studied corresponded with the latter theory as their
394 retronasal thresholds were lower. For the compounds that were the exceptions to this, there is no clear
395 reason why they were all detected at lower levels orthonasally. Dimethyl sulfide is a highly volatile
396 compound and hence it is perhaps unsurprising that its orthonasal DT would be lower. However, this
397 was not the case for the other three less volatile compounds (homofuraneol, furaneol, and 3-methyl-1-
398 butanol). The relatively low log P values of these four compounds did not seem to be the reason
399 behind this behaviour either, since other compounds with similar log P values (methylpropanal), 2-
400 methoxyphenol and 2-methylbutanal) did not show the same effect.

401 **5. Conclusions**

402 Orthonasal and retronasal detection thresholds of 26 and 20 aroma compounds, respectively, are
403 reported in a model AFB for the first time. Four different methodologies for threshold calculation
404 were applied and compared, elucidating the role of the calculation procedure in the final threshold
405 value. Threshold values were found to be method-dependent (BET and logistic regression), as well as
406 affected by the presence of false positives or correct answers given by chance. Although BET is a
407 standard commonly used threshold calculation method, logistic regression is recommended for the
408 additional information extracted from the data. Additionally, data treatment for the removal of false
409 positives is strongly recommended in order to obtain a more realistic mathematical model.

410 The determination of perception thresholds in the correct matrix is crucial for estimating the potency
411 of flavour compounds in conditions closer to the real beverage. After a comprehensive literature
412 research, we have shown that for many of the compounds studied, our results in a model AFB were

413 comparable to those reported in water. However, a group of polar compounds (mainly furanones and
414 phenols) consistently showed higher orthonasal detection thresholds in the model AFB compared to
415 water (literature values). Comparison of threshold values from different studies may be very risky due
416 to the lack of consistency of the methods for threshold determination so it is strongly recommended
417 that the experimental setup, matrix in which the odorant was presented and threshold calculation
418 method are all extracted from the primary source wherever possible to ensure they are appropriate.
419 The results reported in the present study can be of great importance for the brewing industry when
420 studying the aroma composition of alcohol-free beers brewed by cold contact fermentation. The
421 market for alcohol-free beers is currently undergoing huge growth worldwide, and the determination
422 of perception thresholds is essential to understand the role of flavours compounds and their
423 contribution to the overall aroma.

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426 Compusense Inc. for provision of the sensory software under an academic consortium agreement as
427 well as all the panellists that participated in this study.

428 **Conflicts of interest**

429 The authors declare no conflicts of interest.

430

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522 **FIGURE CAPTIONS**

523 **Figure 1.** Comparison of methods. Natural logarithms of orthonasal and retronasal thresholds (in
524 $\mu\text{g/L}$) calculated by the different methodologies have been plotted, as well as the linear trend line
525 (red) and the line of equality (grey). BET raw: Best Estimate Threshold from raw data; BET adj: BET
526 from adjusted data (i.e. with false positives removed); LR raw: Logistic regression from raw data; LR
527 adj: Logistic regression with adjusted data.

528 **Figure 2.** Detection thresholds calculated by logistic regression showing confidence intervals ($\alpha = 95\%$)
529 for orthonasal (a) and retronasal (b) perceptions, *Confidence interval not available.

530 **Figure 3.** Comparison of orthonasal (a) and retronasal (b) detection thresholds determined in this study
531 and those found in the literature. Legend: Thresholds calculated by (—) BET from raw data, (—) BET
532 from adjusted data, (—) logistic regression from raw data, (—) logistic regression from adjusted data;
533 thresholds from the literature: (♦) in water and (♦) other matrices (9.4 % ethanol in Fig. 3a or beer in
534 Fig. 3b).

535

536 **Table 1.** Example of an assessor's response showing the different cases according to the algorithm for
537 the removal of false positives.

Concentration, $\mu\text{g/L}$	1	3	9	27	81	273
Assessor's response	no	yes	no	yes	yes	yes
Case	1	2	1	2	3	4

538

539 **Table 2.** Orthonasal detection thresholds for 26 aroma compounds in an alcohol-free beer model system, calculated by four different methods.

No.	Compound	Odour quality	Orthonasal detection threshold, µg/L				Threshold range in literature, µg/L
			Logistic regression		BET		
			Raw	Adjusted	Raw	Adjusted	
1	acetaldehyde*	fruity, solvent	14.5	45.8	37.5	49.3	11.7 ^a – 900 ^b
2	acetic acid	vinegar	131,000	355,000	297,000	391,000	100 ^c – 522,000 ^d
3	2,3-butanedione	caramel, raw meat, butter	1.25	5.19	4.28	6.18	1 ^e – 15 ^{f, g}
4	butanoic acid	cheese, sour, vomit	907	2,080	1,390	2,190	1 ^c – 4,752 ^d
5	dimethyl sulfide*	vegetables, garlic, savoury	13.4	48.4	47.2	89.5	0.24 ^h – 5 ^b
6	5-ethyl-4-hydroxy-2-methyl-3(2 <i>H</i>)-furanone (homofuraneol)	candy floss, caramel	35.3	102	83.2	131	1.15 ⁱ
7	Z-4-heptenal*	lamb fat, rancid oil, fish, rubber	0.0035	0.016	0.014	0.022	0.0087 ^e
8	3-hydroxy-4,5-dimethyl-2(5 <i>H</i>)-furanone (sotolone)*	curry, cooked sugar	8.68	28.3	22.9	27.5	0.3 ^{g, j} – 20 ⁱ
9	4-hydroxy-2,5-dimethyl-3(2 <i>H</i>)-furanone (furaneol)	candy floss, strawberry	49.4	148	87.3	158	1 ^c – 1,000 ^c
10	methional	boiled potato, metallic	0.19	0.47	0.47	0.68	0.2 ^{g, k, l} – 1.8 ^{e, j}
11	2'-methoxyacetophenone	plastic, chemical, petrol	688	2,260	2,880	3,300	
12	2-methoxy-4-methylphenol	smoky, bacon, vanilla	20.7	37.2	27.7	34.8	21 ^e
13	2-methoxyphenol	smoky, chemical	0.67	2.10	1.59	2.51	0.84 ^e – 3.39 ^a
14	2-methoxy-4-vinylphenol	cloves, medicinal, bacon	33.1	81.5	79.5	99.9	3 ^m – 100 ^j
15	2-methylbutanal	fruity, sweet	1.88	23.4	37.0	50.9	1.5 ^e – 5.6 ^d
16	3-methylbutanal	malty, cheese	0.31	0.61	0.47	0.64	0.15 ⁿ – 8 ^b
17	3-methylbutanoic acid*	cheese, fruity, sour	89.4	376	360	624	132 ^o – 2,754 ^d
18	3-methyl-1-butanol	banana, nail polish remover	23.3	89.0	96.5	127	203 ^{h, p} – 4,750 ^q
19	methylpropanal	nutty, chemical	1.01	4.32	3.44	5.69	0.49 ^e – 43.5 ^o
20	2-methylthiophene*	vegetable stock, onion, solvent	1,732	7,970	9,000	11,800	
21	2,3-pentanedione*	butter, caramel	3.06	12.9	13.7	18.0	30 ^f – 500,000 ^b
22	phenylacetaldehyde	rose, floral	1.63	5.42	4.38	6.04	4 ^{k, l} – 9 ^b
23	2-phenylacetic acid	floral	1,174	5,150	3,860	5,830	68 ^r – 6,100 ^e
24	2-phenylethanol	floral, rose, bread dough	569	1,880	1,580	3,000	140 ^e – 1,122 ^{a, h}

25	vanillin	vanilla, caramel	396	1,490	1,040	1,880	4.9 ^j – 53 ^{e, s}
26	4-vinylphenol	leather, chemical, plastic	665	2,980	2,540	4,020	10.4 ^a – 78 ^j

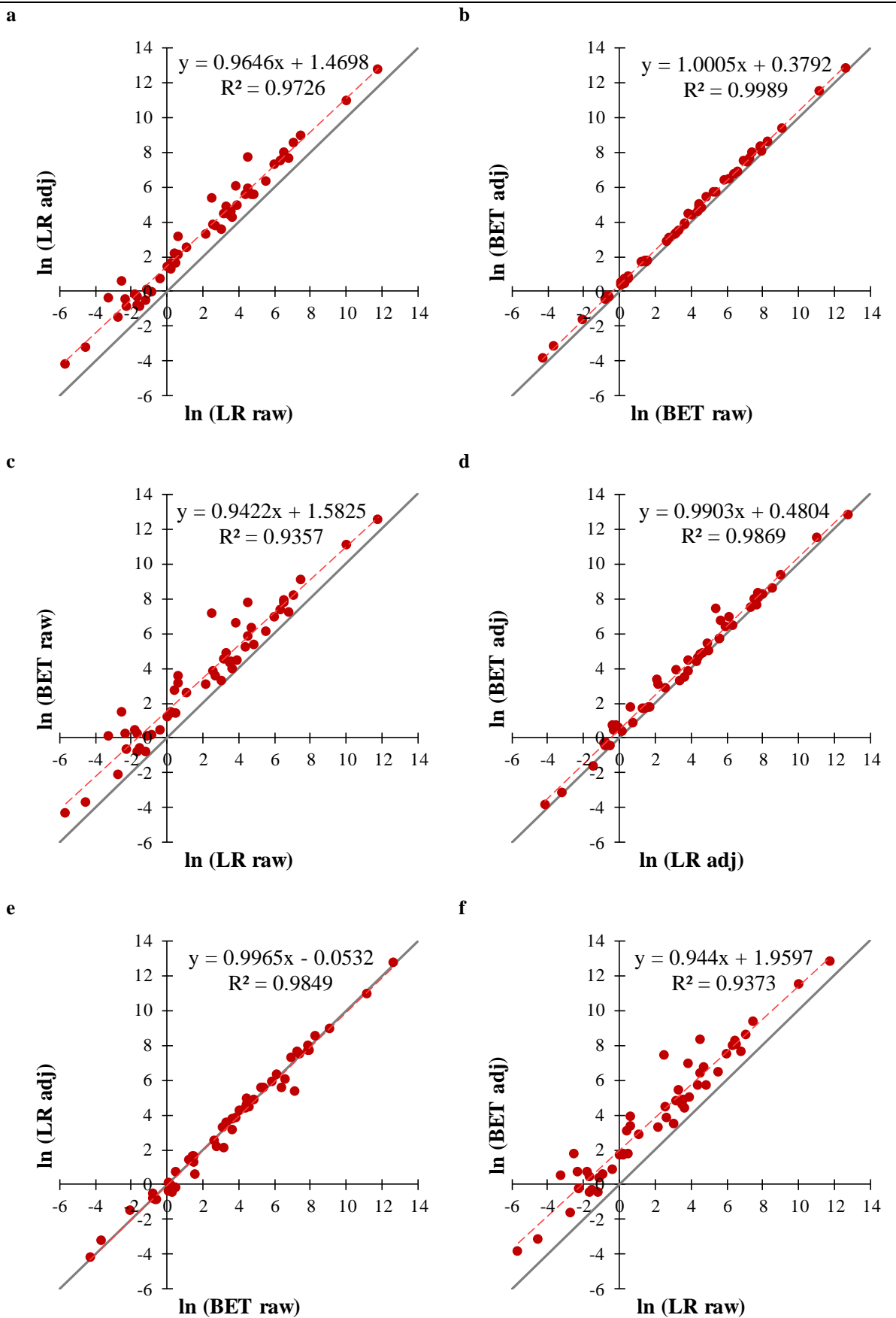
540 *Compounds assessed by 12 panellists, remaining compounds by 24 panellists. ^aButtery, Turnbaugh, & Ling (1988), ^bRothe et al. (1972), ^cLarsen & Poll,
541 (1992), ^dSchnabel, Belitz, & von Ranson (1988), ^eCzerny et al. (2008), ^fBlank, Sen, & Grosch (1991), ^gGuth & Grosch (1994), ^hButtery, Teranishi, Flath, &
542 Ling (1990), ⁱSemmelroch, Laskawy, Blank, & Grosch (1995), ^jLangos et al. (2013), ^kButtery, Seifert, Guadagni, & Ling (1971), ^lGuadagni, Buttery, &
543 Turnbaugh (1972), ^mButtery, Guadagni, Ling, Seifert, & Lipton (1976), ⁿGuadagni et al. (1963), ^oAmoore, Venstrom, & Davis (1968), ^pBaldwin, Scott,
544 Shewmaker, & Schuch (2000), ^qKarahadian, Josephson, & Lindsay (1985), ^rWagner, Granvogl, & Schieberle (2016), ^sSellami, Mall, & Schieberle (2018).
545 Full references in Appendix B.

546

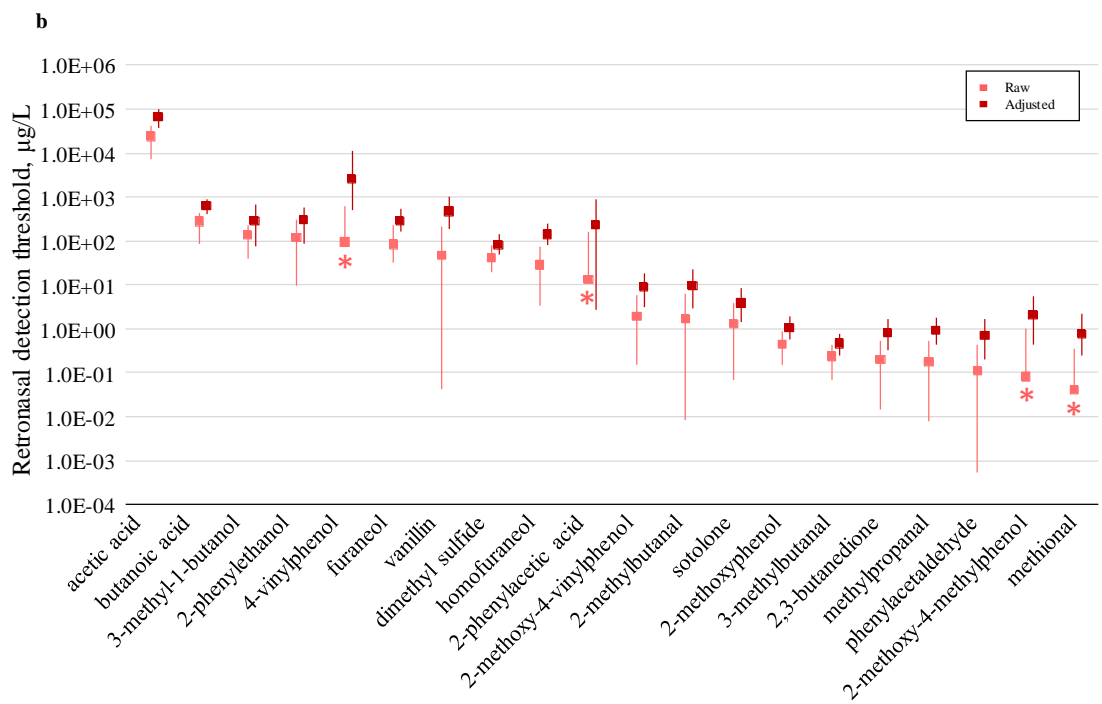
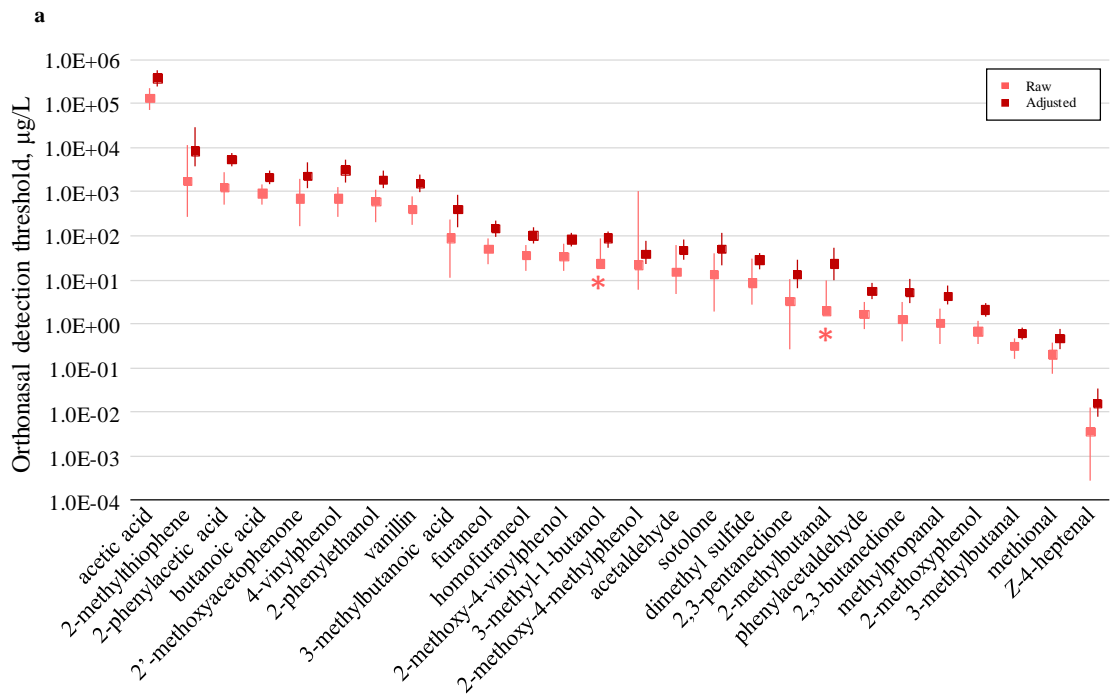
547 **Table 3.** Retronasal detection thresholds for 20 aroma compounds in an alcohol-free beer model system, calculated by four different methods.

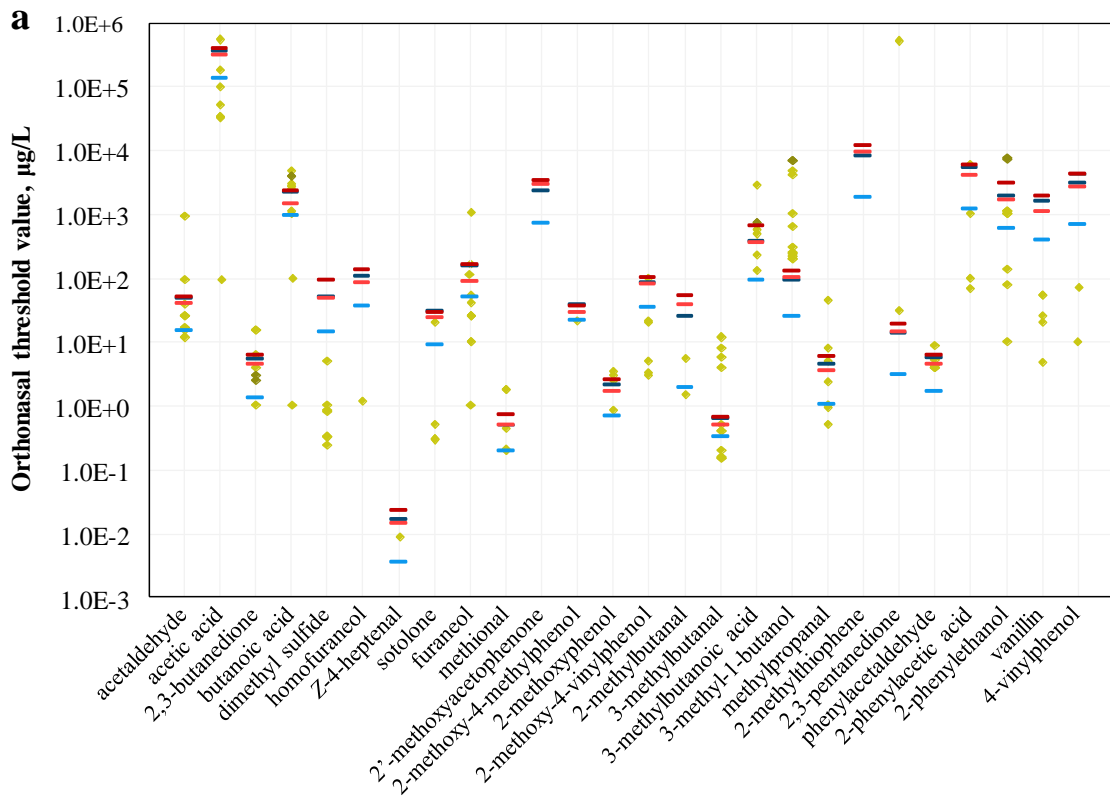
No.	Compound	Odour quality	Retronasal detection threshold, µg/L					
			Logistic regression		BET		Threshold range in literature, µg/L	
			Raw	Adjusted	Raw	Adjusted	In water	In beer
2	acetic acid	vinegar	22,100	60,000	68,600	104,000	54,000 ^a	175,000 ^h
3	2,3-butanedione	butter, dairy	0.19	0.74	1.30	1.64	0.2 ^b – 5 ^c	17 ⁱ – 150 ^h
4	butanoic acid	cheese	255	575	462	666	6,800 ^a	2,200 ^h
5	dimethyl sulfide*	sweet, vegetable, savoury	39.3	74.8	56.7	81.7		50 ^h
6	5-ethyl-4-hydroxy-2-methyl-3(2 <i>H</i>)-furanone (homofuraneol)	candy floss, caramel	27.9	134	131	238		
8	3-hydroxy-4,5-dimethyl-2(5 <i>H</i>)-furanone (sotolone)*	curry, molasses	1.24	3.59	4.41	5.80		
9	4-hydroxy-2,5-dimethyl-3(2 <i>H</i>)-furanone (furaneol)	candy floss, strawberry	81.5	270	190	300	30 ^d	
10	methional	boiled potato, metallic	0.040	0.73	1.12	1.78	0.04 ^{c, e}	4.2 ⁱ – 250 ^h
12	2-methoxy-4-methylphenol	smoky, bacon, vanilla	0.079	1.86	4.65	5.85		
13	2-methoxyphenol	vanilla, smoky	0.42	0.99	1.21	1.91	0.75 ^e	
14	2-methoxy-4-vinylphenol	cloves, medicinal, bacon	1.90	8.33	24.2	30.4		300 ^h
15	2-methylbutanal	fruity, sweet, cheesy	1.57	8.99	15.5	22.3	0.03 ^b – 40 ^f	45 ⁱ – 1,250 ^h
16	3-methylbutanal	nutty, cheesy	0.22	0.44	0.56	0.74	0.04 ^b – 60 ^f	600 ^h
18	3-methyl-1-butanol	banana, cheese, fermented	128	262	220	303	4,750 ^f	70,000 ^h
19	methylpropanal	chocolate	0.16	0.86	1.65	2.17	0.006 ^b – 180 ^f	1,000 ^h
22	phenylacetaldehyde	rose, floral, green	0.10	0.68	1.33	2.11	40 ^f	105 ⁱ – 1,600 ^h
23	2-phenylacetic acid	floral, metallic, musty	12.6	218	1,290	1,690		2,500 ^h
24	2-phenylethanol	floral, beer, rose	110	278	579	874	240 ^f – 750 ^g	40,000 ^j – 125,000 ^h
25	vanillin	vanilla	45.9	448	754	1,040		
26	4-vinylphenol	chemical, medicinal	90.0	2,340	2,540	4,210		

548 Compounds 1, 7, 11, 17, 20, and 21 in Table 2 were not assessed for retronasal perception. *Compounds assessed by 12 panellists; remaining compounds by
549 24 panellists. ^aPatton (1964), ^bRothe & Thomas (1962), ^cMilo & Grosch (1993), ^dPittet, Rittersbacher, & Muralidhara (1970), ^eCerny & Grosch (1993),
550 ^fSheldon, Lindsay, Libbey, & Morgan (1971), ^gOhloff (1978), ^hMeilgaard (1975), ⁱSaison et al. (2009), ^jEngan (1972). Full references in Appendix B.

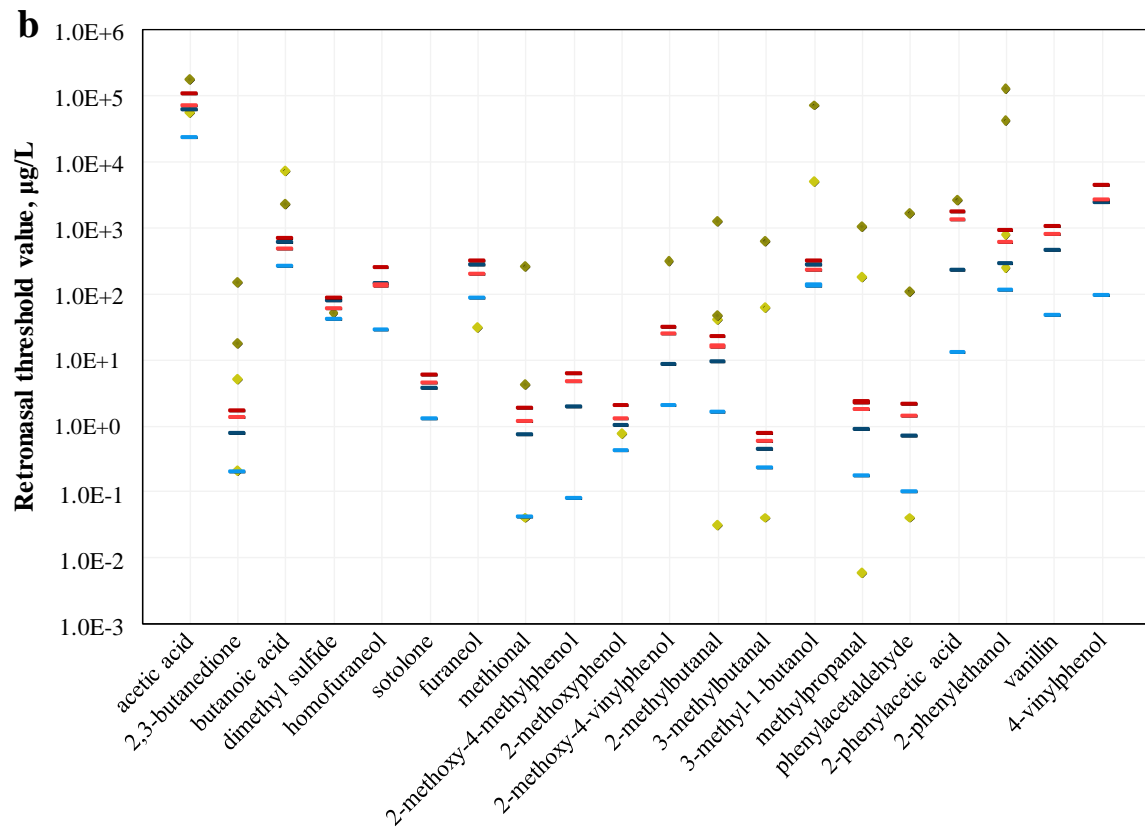


551 Figure 1





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