

Latent provisions for building information modeling (BIM) contracts: a social network analysis approach

Article

Accepted Version

Fan, S.-L., Chong, H.-Y., Liao, P.-C. and Lee, C.-Y. ORCID: https://orcid.org/0000-0001-6222-2061 (2019) Latent provisions for building information modeling (BIM) contracts: a social network analysis approach. KSCE Journal of Civil Engineering, 23 (4). pp. 1427-1435. ISSN 1976-3808 doi: 10.1007/s12205-019-0064-8 Available at https://centaur.reading.ac.uk/87575/

It is advisable to refer to the publisher's version if you intend to cite from the work. See <u>Guidance on citing</u>. Published version at: https://link.springer.com/article/10.1007%2Fs12205-019-0064-8 To link to this article DOI: http://dx.doi.org/10.1007/s12205-019-0064-8

Publisher: Korean Society of Civil Engineers

All outputs in CentAUR are protected by Intellectual Property Rights law, including copyright law. Copyright and IPR is retained by the creators or other copyright holders. Terms and conditions for use of this material are defined in the <u>End User Agreement</u>.

www.reading.ac.uk/centaur



CentAUR

Central Archive at the University of Reading

Reading's research outputs online

1	Latent Provisions for Building Information Modeling (BIM) Contracts: A
2	Social Network Analysis Approach
3	
4	Su-Ling Fan****, Heap-Yih Chong**, Pin-Chao Liao*+, Cen-Ying Lee***
5	
6	Abstract
7	
8	The effective adoption and use of Building Information Modeling (BIM) require appropriate
9	contract design to fairly allocate the contracting parties' rights and responsibilities. Several
10	standards for BIM protocols and contracts have been developed for the industry. However, the
11	awareness and the use of these are rather limited, leading to unclear provisions in BIM
12	contracts. Therefore, the research aims to identify the influential legal aspects that serve as the
13	latent contract provisions in BIM contracts. A questionnaire survey was conducted to survey
14	experts and active BIM users in construction projects. The data were analyzed using social
15	network analysis (SNA) by assuming interdependent relationships among various the legal
16	aspects in BIM contacts. The key legal aspects associated with BIM contracts pertain to the
17	roles and responsibilities of the project participants. The results also reveal that data security is

^{****} Director of Research Development Center of Construction Law, Director of Taiwan-Malaysia Project Management and Digital

Technology Centre; Associate Professor of Dept. of Civil Engineering, Tamkang University, No. 151, Ying-Chuan Road, Tamsui, Taipei County, Taiwan (E-mail: fansuling@hotmail.com)

^{**} Senior Lecturer, School of Built Environment, Curtin University, Perth, Australia (E-mail: heap-yih.chong@curtin.edu.au)

^{*} Associate Professor, Department of Construction Management, Tsinghua University, Beijing, China (Corresponding Author, Email: pinchao@tsinghua.edu.cn)

^{***} Lecturer, School of Built Environment, University of Reading Malaysia, Iskandar Puteri, Johor, Malaysia (E-mail: celine.lee@reading.edu.my); Ph.D. Candidate, School of Built Environment, Curtin University, Perth, Australia (cenying.lee@postgrad.curtin.edu.au)

the center of all latent legal aspects in the contracts. The study provides significant new insightsinto clarifying the required contract provisions in BIM contracts.

20

21 Keywords: BIM, legal aspects, contract provisions, contract administration

22

23 1. Introduction

24 Building information modeling (BIM) has been widely accepted in the architecture, engineering, construction, and operation (AECO) industry. However, most construction 25 26 professionals are still unaware of the legal implications arising from BIM adoption. (Lowe and Muncey, 2009; Chew and Riley, 2013). Although several BIM protocols and contracts have 27 been developed such as Joint Contracts Tribunal Public Sector Supplement (JCT, 2011), 28 29 Document E203 TM -2013 – BIM and Digital Data Exhibit (AIA, 2013), ConsensusDocs 301—Building Information Modeling addendum (ConsensusDocs, 2013), AEC BIM Protocol 30 (AEC, 2012), CIC BIM Protocol (CIC, 2013) and Complex Construction Contracts (CPC, 31 32 2013), the actual use of the protocols remains low (Al-Shammari, 2014). Previous related works mainly focused on the identification of potential BIM's legal risks (Hsu et al., 2015), 33 legal implications in BIM implementation (Olatunji, 2011; Arensman and Ozbek, 2012; Eadie 34 et al., 2015), adverse legal consequences in BIM contracts (Joyce and Houghton, 2014; Ussing 35 et al, 2016), BIM's contractual arrangements (Kuiper and Holzer, 2013) intellectual property 36 37 rights for BIM's copyright and ownership (Fan 2013) and a preliminary contractual framework for BIM-enabled projects (Chong et al., 2017). These studies showed that research into BIM 38 contracts and the related legal aspects are still at a preliminary stage of development. It is vital 39 to extend the previous research and make clear the important legal aspects which must be 40 considered when devising BIM contracts. 41

The aims of this research is to identify the influential legal aspects that serve as the latent 42 contract provisions in BIM contracts. A questionnaire survey method was adopted to collect 43 the empirical data from BIM active users and experts in Taiwan due to the popularity of BIM 44 in that area (Chien et al., 2014). Subsequently, the data were analyzed using social network 45 analysis (SNA). SNA is an effective tool for investigating complex networks that involve the 46 interdependence of actors in social structures and non-social structure analysis (Lee et al., 47 48 2018). This method was adopted to identify the important legal aspects by assuming the interdependency relationships and flows among the legal aspects (nodes). The study would 49 50 offer insightful references to practitioners on the important legal aspects to be used as contract provisions when designing BIM contracts. 51 52 53 2. Legal Aspects and Contract Provisions BIM is an emerging technology in the building sector. However, the management of BIM 54 practice is rather challenging and unstructured. It triggers numerous legal issues throughout the 55 56 project lifecycle. An effective contract administration is one of the keys to regulating the new BIM practice via the written contract provisions. The contract provisions are effectively used 57 to govern the legal issues and enforce necessary procedures required in BIM-enabled projects. 58 Hence, it should identify and clarify the important legal aspects of BIM practices. Following a 59 thorough literature review, the related legal aspects can be classified into three main categories, 60 61 namely, (a) contract structure and policy, (b) contractual relationships and obligations, and (c) BIM model and security. 62 63 64 65

66 2.1 Contract structure and policy

BIM's contract structure and policy are used to govern the digitalized and collaborative 67 attributes. The existing BIM contract protocols provide new perspectives in governing project 68 stakeholders; but there are still unclear policies to accommodate the changed project 69 requirements (Redmond et. al., 2010). A different legal framework is required to clarify the 70 procurement and contracting methodologies (Kuiper and Holzer, 2013). A popular legal 71 framework has been initiated and promoted in the industry for BIM enabled-projects, which is 72 73 called Integrated Project Delivery (IPD) (BuildingSMART-Australasia, 2012). However, IPD is not the only procurement that suits the BIM practice as different working cultures and the 74 75 maturity of BIM use should be taken into account when determining an appropriate framework (Chong et al. 2016). Furthermore, IPD contracts are generally prepared in an ad hoc and 76 complicated manner, which might not be generalized for all types of projects (Smith, 2014). 77 This might be the reason for this procurement system or legal framework being unpopular in 78 BIM-enabled projects. Consequently, certain legal aspects need to be considered to cope with 79 this situation. 80

81

82 2.2 Contractual relationships and obligations

The development of a BIM model is a joint effort by several parties. In a common 83 practice, a BIM execution plan will explain the details of the necessary checklist and standards 84 85 for the project implementation. Unfortunately, this document generally does not form part of the contract (Hardin and McCool, 2015). The unclear roles and responsibilities give rise to 86 legal liabilities (McAdam, 2010), including pure economic loss (Simonian and Korman, 2010). 87 Hence, the contractual relationships need to be clarified especially for the key stakeholders 88 89 (including the BIM manager), which will help to regulate the required responsibilities or functions in the BIM Execution Plan (Lowe and Muncey, 2009). This situation could then 90

91 trigger another legal question on the need for additional insurance coverage throughout the
92 development of BIM model (Enegbuma and Ali, 2011).

Besides, the standard of care needs to make clear for the project stakeholders when the liabilities and obligations have been regulated in the contract, (Hsieh et al., 2012). The common doctrines, namely, privity of contract and the *Spearin* doctrine can be referred and used to govern the stakeholders' duties. For example, a designer may not be able to claim the lack of privity of contract for his or her defense, especially under a collaborative system (Simoniam and Korman, 2010). As for the *Spearin* doctrine, it can be used by contractors as a legal defense to an employer's claim of nonconforming works (Barthet, 2010).

100

101 2.3 BIM model and security

102 One of the keys to BIM success is its digitalized data. The BIM information is digitalized and parameterized, such that the information can be easily extracted and reused either in whole 103 or in part (Fan, 2014). Therefore, it raises a new problem about how the business knowledge 104 can be protected. The security and privacy issues should not be ignored (Mahamadu et al., 105 2013). A common quick-response code (QR-Code) has been successfully integrated with BIM 106 for optimizing the BIM model's information flow (Lorenzo et al., 2014). It can be used to 107 prevent any infringements or copyrights issues related to the drawings and documents. 108 Furthermore, a data-exchange plan is required to avoid transferring any unnecessary or 109 110 incorrect information from the BIM model (Greenwood et al., 2010). The data-exchange plan should also address common interoperability issues; even though the Industry Foundation 111 Classes (IFC) data modeling format has been developed as an open and neutral data format for 112 the data exchange for BIM models (Steel et al., 2012). 113

Apart from that, a third party may incur an infringement claim from the model. It is advised that to make clear the intellectual property rights at the outset of the model development. The available BIM contract protocols such as ConsensusDOCS 301 BIM
Addendum and AIA Document E202 envisage that each party should own his/her rights as per
the personal contribution. It also needs to comply with local statutory law or regulations in
relation to data privacy and security (Fan, 2014). Therefore, all digital data should be well-kept
and controlled. In addition, indemnity should be provided to protect the client's interests in the
BIM model.

122

123 3. Research Methodology

124 None of the previous studies has considered the interdependent relationships among the key legal aspects of BIM. Most of the SNA-related studies, particularly in construction 125 research, were qualitatively defined the strength of nodes (e.g. risks, stakeholders, etc.). This 126 127 study used SNA to identify latent contract provisions based on the interdependent relationships measured by the covariance of expert opinions on each legal aspect. The steps of analyzing 128 data are as follows: (a) identification of contract provisions; (b) development of association 129 matrix, and (c) visualizations of association network. Consequently, a structured questionnaire 130 survey method was selected to obtain the primary data 131

132

133

3.1 Identification of legal aspects

We relied on the existing measurement scales of the key legal aspects for the questionnaire design, for which the legal aspects have been validated in prior research (Chong et al., 2017). The questionnaire was organized into two sections, namely, Section A which was used to investigate the background of the respondents, and Section B which was used to examine the levels of agreement on the identified thirty-four legal aspects (A1 to A34) and the appropriateness of the legal aspects of BIM contracts. The measurement items A1, A2, A3, A4, A15, and A16 were excluded in the questions pertaining to the appropriateness of the legal aspects of BIM contracts as these were the legal issues associated with BIM contracts. The
five-point Likert scale, ranging from 1 (representing a zero of the trait; e.g. strongly disagree)
to 5 (representing a perfectly positive assessment of the trait; e.g., strongly agree) was
conducted by representing the points in weighting with values of -2, -1, 0, 1, and 2 respectively
in the analysis. Table I lists the measurement items of the legal aspects (Chong et al., 2017).

- 146
- 147

Table I Key legal aspects for BIM-enabled projects

Code	Legal Aspects
Aspect #1	Contract Structure and Policy
A1	A specific standard form of contract is necessary to include the extent of
	all works and requirements of BIM; or
A2	Scope and requirements of BIM are sufficiently covered using an
	addendum.
A3	Scope and requirements of BIM should not be mandated with legal
	consequences; or
A4	The contract document should include digital data and information.
A5	In case of any discrepancies, two-dimensional (2D) drawings shall
	prevail over three-dimensional (3D) drawings; or
A6	In case of any discrepancies, three-dimensional (3D) drawings with
	more details of the BIM model shall prevail over two-dimensional (2D)
	drawings;
A7	Cost/payment of BIM should be charged based on a pre-determined
	proportion of the overall project cost; or
A8	Cost/payment of BIM should be charged based on the types of
	development, models, and functions required for the project; or

A9	Cost/payment of BIM should be charged based on the progress paym				
	on the work done; or				
A10	Cost/payment of BIM should be charged based on the models'				
	completion and its functions required in the project.				
A11	The standards/guidelines should be applied and followed throughout				
	BIM model development.				
A12	A collaborative project delivery approach is required in BIM-enabled				
	projects, such as IPD, partnering, etc.				
A13	The cost of developing the model, penalty, and rewards involved, if any,				
	should be clarified earlier.				
Aspect #2	Contractual Relationships and Obligations				
A14	A new role of BIM Manager should be engaged in the project.				
A15	The responsibilities and scopes of works of all parties involved should				
	be specified in the contract.				
A16	The contract should stipulate the BIM's goals and quality audit for				
	different stages of BIM model development.				
A17	The contractual relationship among the owner, designers, and				
	contractors should be clearly specified and linked to the project.				
A18	The design team should not be responsible for negligence on the part of				
	the design team. Such loss/damage should be recovered by the injured				
	party or third party.				
A19	Any disclaimer clause is prohibited from excluding the design				
	responsibilities for developing the BIM model.				

- A20 The *Spearin* doctrine should be applied and upheld. The contractor should not be liable for the loss or damage because of insufficient information that he received or followed.
- A21 The designers should be responsible for the negligence towards the third party irrespective of Privity of Contract.
- A22 The contractor cannot make a claim from the design errors made by the designers which include pure economic loss.
- A23 Standard of care should be applied and upheld by all parties who develop or use the BIM Model.
- A24 Additional insurance is necessary to cover all risks and liabilities involved with BIM models, software, and hardware.

Aspect #3 BIM Model and Security

- A25 A QR-Code should be used to prevent copyright infringement issues on the drawings and documents.
- A26 To prevent issues of interoperability, a BIM model should be developed before the project development stages, and a construction-ready BIM model should be created before the construction stage.
- A27 The designers who create the model own the copyright of the BIM model.
- A28 The authorized user can use, access and reproduce the model if permission has been sought from the copyright owner.
- A29 Each party owns all the rights to its own contribution if the model is designed and contributed to by a team.
- A30 The digital data and information should be protected with security for its usage and data integrity.

- A31 Certain constraints should be imposed to hinder data loss and protect privacy.
- A32 The data providers (designers or contractors) should be liable for the data included in the model.
- A33 The party who hosts the model should include the use and access, recordkeeping, warranty and preservation of the model for the agreed duration.
- A34 The owner should be indemnified because of data errors or technical issues arising from the use of BIM tools and software in the project.

148

Subsequently, Taiwan was selected for the case study due to the popularity of BIM use
in that country. The questionnaire was administered with convenience sampling through
Taiwanese local governments. The respondents were carefully filtered and selected based on
their actual experience or knowledge of BIM.

- 153
- 154 3.2 Development of association matrix

155 Any relationships between a pair of legal aspects should be pre-defined. Agenda-setting theory is referred, which is the ability of the news media to influence the salience of topics on 156 the public agenda (McCombs and Reynolds, 2002). By referring to that theory, Guo et al. 157 (2012) proposed the network agenda setting model (NASM), they asserted that information on 158 the news or various kinds of media deliver a set of provisions or attributes and make them 159 160 salient in the public's mind. This model was adopted in research areas of business communication (Meijer and Kleinnijenhuis, 2006), interpersonal communication (Vu and 161 Gehrau, 2010), advertising (Buzan and Buzan, 1996), and crime (Lowry et al., 2003). Since 162 NASM used co-existence as the indicator of interconnections among various provisions, 163

similarly, we used the covariance of evaluation on various legal aspects to be the level of their
interdependencies. We assumed the covariance among the responses to the legal aspects as the
input of SNA.

We utilized the absolute value of the Pearson product-moment correlation coefficient (PPMCC) derived from the responses as the indicator of the levels of interdependency among any pairs of legal aspects. This mimics the network-like structure regarding the associations of BIM related legal aspects in the minds of a group of people. The PPMCC (ρ_{v_i, v_j}) illustrates the linear dependence between two variables v_i and v_j as shown by Eq. (1):

172
$$\rho_{v_i, v_j} = \frac{cov(v_i, v_j)}{\sigma_{v_i} \sigma_{v_j}}$$
(1)

173

174 where cov represents the covariance and σ_{v_i} stands for the deviation in v_i .

According to the responses, we regard the larger the $\left|\rho_{v_{i},v_{j}}\right|$ as the stronger the

176 interconnections between the pair of variables v_i and v_j .

Significant statistical relationships among two legal aspects may exist, however, the 177 generic associations among content of the clauses may not reflect by covariance among 178 responses. Therefore, a focus group consisting of five corporate and project managers was used 179 to discuss whether the relationships and strength are either counterintuitive to practices. All of 180 them have had more than 10 years of experience in BIM-enabled projects. The research 181 background was first introduced at the beginning of the focus group meeting and a question-182 and-answer session was held to clarify the understanding of each pair of relationships. The 183 statistically significant relationships of the dyads (pairs of legal aspects) were then further 184 screened according to the following questions: 1) should any legal aspects of the dyad be a 185 prerequisite or supplementary condition? 2) do the correlations among legal aspects reflect 186

actual practices? The above-mentioned questions were fully addressed by the focus group 187 based on a consensus decision-making process. 188

- 189
- 3.3 Visualization of association network 190
- 3.3.1 Network index 191

Density: Density (G) stands for the density value of network G, as given by Eq. 2. Here, 192 193 K is the existing related pairs and N is the number of total variable items. The network density ranges from 0 to 1. A high density means that variable pairs are consistently coherent in the 194 195 minds of the respondents.

196

197

Density (G) = K/(N(N-1))(2)

198

Cohesion: Cohesion (G) refers to the condensed value of network G, as given by Eq. 3. 199 AdjM is the adjacency matrix of network G. Z represents the average shortest-path between 200 201 points. AdjM2 is the number of connecting lines while Z is in the network. N is the total number 202 of variable items. As the cohesion increases, so too does the complexity of the variable relationship. 203

204

205

- Cohesion (G) = $(\sum AdjMz) / (N(N-1))$ (3)
- 206
- 3.3.2 Point/line index 207

Degree Centrality: This refers to the number of edges directly attached to a node. It is 208 209 used to analyze the importance of a node from its leadership and influence positions within a network (Doloi, 2012). Nevertheless, degree centrality may not necessarily be a proxy for a 210 node's leadership position (Solis et al., 2013). Hence, other measures must be used to determine 211

the importance and the saliency of the legal aspects. Degree centrality is expressed as in Eq.(4):

$$C_D(p_i) = \sum_{k=1}^N a(p_i, p_k)$$
(4)

215

216 where,
$$a(p_i, p_k) = 1$$
, if there is a direct tie between p_i and p_k dan $i \neq k$.

217

Betweenness Centrality: This shows the effect of a given point/line between two points or lines. A node with a high betweenness centrality value has some control over the network as other nodes depend on that node to connect to each other (Chowdhury et al., 2011). The betweenness centrality of the ith variable, v_i , is expressed by Eq. (5).

222
$$g(v_i) = \sum_{v_i \neq v_j \neq v_k} \frac{\sigma_{v_j v_k}(v_i)}{\sigma_{v_j v_k}}$$
(5)

223 where $\sigma_{v_j v_k}$ is the total of the shortest path from variable v_j to variable v_k and $\sigma_{v_j v_k}(v_i)$ 224 represents the number of that path through v_i . This measures the gatekeeper role of v_i .

Brokerage considers the variable partitions. Using Gould & Fernandez's brokerage, one can measure every triad and role of each variable in that triad for a specific partition vector. In a contractual network, the partitions are categorized in various categories. These categories are identified by measuring the number of times of each variable is numbered in the brokerage relationships such as coordinator, gatekeeper, representative, itinerant, liaison.

Coordinator: If a variable v_i is correlated with another two variables v_j and v_k in the same partition, then add one coordinator score to variable v_i . If either one of the v_j and v_k is associated with v_i , add one gatekeeper or representative score to v_i . In both v_j and v_k are in the same partition but different from v_i , and both are associated with v_i , then add 1 itinerant score to v_i . Lastly, if v_j , v_k , and v_i are in different partitions then add one liaison score to v_i .

Eigenvector Centrality: This is an extension of degree centrality and is proportional to 235 the sum of the centralities of a node's neighbors (Estrada and Rodríguez-Velázquez, 2005). It 236 assigns relative scores to all the nodes in the network based on the legal aspects that 237 connections to high-scoring nodes contribute more to the score of the node in question than 238 equal connections to low-scoring nodes. Eigenvector centrality is also used to identify the 239 importance of a practice by determining the feasibility of the said practice because of other 240 241 practices (Pishdad-Bozorgi et al., 2016) and the key trades (Wambeke et al., 2014). In procurement networks, the actor with the highest eigenvector centrality score is considered the 242 243 most important member affecting the main pattern of the distances of all actors (Chowdhury et al., 2011). Hence, eigenvector centrality is also considered as an important measure to identify 244 the influence of a legal aspect of the network. For a given graph, G := (V,E) with |V| number 245 of vertices let A=(av,t) be the adjacent matrix, i.e. av,t = 1 if vertex v is linked to vertex t, and 246 av,t = 0 otherwise. The relative centrality score of vertex v can be defined by Eq. (6). 247

$$x_v = \frac{1}{\lambda} \sum_{t \in M(v)} x_t = \frac{1}{\lambda} \sum_{t \in G} a_{v,t} x_t$$
(6)

249 where M (v) is a set of neighbors of v and λ is a constant.

The degrees of the measures can help identify variables/nodes/contract provisions which have a higher immediate impact on others. Interrelationships among these variables with higher values of density cohesion, degree centrality, betweenness centrality, brokerage, and eigenvector centrality should be managed (reviewed or revised) with higher attention.

254

255 4. Results and Analysis

Thirty-six valid questionnaires were returned and used for the data analysis. This sample size is sufficient when applying the central limit theorem based on its means value that approaches the normal distribution. Table II shows that most of the respondents were aged from within 41 to 60 (56%); some were below 40 (36%), and few were above 60 (8%). Their occupations included architects (33%), consultants (28%), contractors (22%), educators (17%), developers (3%), and government employers (3%). Most of the respondents had attained a post-graduate level of education (61%) and had more than ten years working experience in the construction industry (67%).

264

Table II Demographic information of subjects

Age	Subjects in the	Occupation	Subjects in the
	sample (%)		sample (%)
Below 30	5.5	Architects	33
31 to 40	30.5	Consultants	28
41 to 50	36	Contractors	22
51 to 60	20	Developers	3
Above 61	8	Educators	17
		Government	3
		or	
		government-	
		owned	
		corporation	
		employers	

265

Subsequently, SNA was used to analyze the questionnaire data. Table III lists the evenly

267 distributed variables across the legal aspects.

268

269

Table III: Results of network analysis

Legal Aspects	Number of
	Variables
Contract Structure and Policy	13
Contractual Relationships and Obligations	11
BIM Model and Security	10

270

272 4.1 Network structure

Fig. 1 illustrates the interdependent network. The relationships were measured by 273 PPMCC (p < 0.05). The size of the nodes represents the degree centrality, while the shape and 274 color indicate the type of legal variables (red circle = structure and policy, blue square = 275 relationship and obligations, and black triangle = model, and security). The thickness of the 276 edges represents the level of strengths interlinked two legal aspects. As shown in Table IV, the 277 278 density of the risk network equals 0.47; SD = 0.1 and therefore this network is regarded as being very dense. If the density is between 0 and 0.25, the network is regarded as having a low 279 280 density (Wellman, 1976). Network centralization accounted for only 13.03%. It shows that there is low centralization among the legal aspects with greater centrifugal forces and smaller 281 centripetal forces. On average, these variables are connected by 2.19 walks. This means that 282 any two legal aspects can only be connected through two or more legal aspects. Table IV lists 283 the interdependent network metrics. 284

285







Fig.1: Association network visualized with degree centrality

Table IV	Summary	of Networ	k Metrics
----------	---------	-----------	-----------

Network Metrics	Value
Density	0.47
Cohesion	0.54
Centralization	13.03%
Steps	2.19 walks

290

From the dimensions of the network structure, the density value represents an average 291 level of possible relationships in the network. This shows the possibility of some provisions 292 interrelating with each other. The network has a cohesion value of 0.54, which is larger than 293 the density value. There are strong direct interrelationships (indicated by the thickness of the 294 295 ties) among the legal aspects in relation to BIM model and security. These legal aspects include security of digital data usage and its integrity should be protected (A30), restrictions should be 296 297 imposed to reduce the loss of data and its privacy (A31), data providers should be responsible 298 for any data provided by them and which is included in the BIM model (A32), and the host of 299 the model should be responsible to use, access, maintain, warrant, and retain the model for the agreed duration (A33). For contractual relationships and obligations, the robust links are found 300 301 among these three legal aspects such as, roles and scope of works for parties involved (A15) and goals of BIM and its quality checks in various stages of development (A16) should be 302 303 defined in the contract. To prevent interoperability issues in the post-construction stage, the BIM model should be developed ahead of all the development stages, particularly before the 304 construction stage (A26). The strong interrelationships among the above legal aspects indicate 305 306 that they are dependent on each other. The design of BIM contracts would not be complete without linking these legal aspects. 307

309 4.2 Degree Centrality

Figure 1 also shows that A30, A32, A31, A17, A14, A4, and A23 have the greatest degree 310 centrality, whereby these variables are assumed to be linked with most of the other legal 311 aspects. Based on the dimensions of the individual legal aspects, the degree centrality measures 312 the legal aspects that have many ties to other aspects. In terms of contract structure, the aspect 313 which has a high degree centrality include BIM data should be included as part of the contract 314 315 (A4). For contractual responsibilities, a new BIM manager (A14), and the definition and the interrelationship among project participants involved in BIM are also had a high degree 316 317 centrality. Additionally, the parties who use or contribute to the BIM model, and who should also apply the standard of care when handling the model (A23), is another influential legal 318 aspect. For the BIM model and security, the impactful aspects include the security of digital 319 320 data usage and the protection of integrity (A30), certain control mechanisms should be adopted to mitigate the loss of data and privacy (A31) and data providers should be responsible for the 321 data provided by them in the BIM model (A32). Although the centrality degree measure 322 captures the number of "interactions," it does not, however, capture the capability of their 323 "neighbors." Hence, other measures are necessary to identify the dependency and the impacts 324 of legal aspects on others. 325

326

327 4.3

Betweenness Centrality

Betweenness centrality describes the legal aspects that are important to the carrying of information between variables. By comparing with Fig.2 and Table IV, A14, A21, and A25 have a high betweenness centrality, indicating they should be considered as carrying the most critical information among all the legal aspects. Although A25 does not have high degree centrality, it has high betweenness centrality. It plays an important role in information dependency. Legal aspects with a high betweenness centrality are regarded as being influential

within the association network as once they are removed from the network (broker and 334 coordinator), they will disrupt connections between other legal aspects because they lie on the 335 largest number of paths taken by messages. In terms of contract structure and policy, digital 336 data should form part of the contract document (A4), the development of guidelines should 337 follow the BIM model development (A11), and the cost of model development such as penalty 338 and rewards should be clarified in the contract (A13). For contractual relationships and 339 340 obligations, the significant legal aspects which are a new role of BIM manager should be appointed (A14), and the relationships between the project participants should be defined 341 342 (A17). When devising the contracts, the issues pertaining to the designers should be responsible for the third party's negligence regardless of the privity of contracts (A21). The absence of this 343 legal aspect will reduce the confidence level of using BIM and develop ambiguity among 344 contracting parties regarding the responsibilities involved. The legal aspects of the BIM model 345 and security have a lower betweenness centrality value relative to the two legal aspects but 346 they are still considered important as in the absence of these aspects as they will de-facilitate 347 the smooth implementation of BIM. These aspects, including the QR-code, should be used to 348 prevent infringements (A25), while the designers own the copyright model (A27), the security 349 of digital data should be protected (A27), and the data providers should be responsible for the 350 data provided to them in the BIM model (A32). 351



Fig. 2 Association network visualized with betweenness centrality

Table V All of the most critical links are related to the highlighted nodes

Rank	Node	Bet. Centrality	Link	Bet. Centrality
1	A21	0.15	A21-A25	40.78
2	A25	0.11	A22-A25	33.00
3	A14	0.11	A11-A21	32.21
4	A4	0.11	A25-A27	26.53
5	A17	0.09	A9-A13	23.99
6	A11	0.06	A17-A29	23.97
7	A13	0.05	A10-A11	21.78
8	A30	0.04	A8-A25	21.24
9	A32	0.04	A14-A34	20.84

10	A27	0.04	A19-A21	20.41	

356

357 4.4 Eigenvector Centrality

Eigenvector centrality is used to determine the most influential legal clauses in terms 358 of their power by considering the power of their neighbors. The most central actors can be 359 determined (i.e. those which are the least far removed from the others) in terms of the "global" 360 or "overall" structure of the network. In Fig. 3, the A30, A31, A32, A17, and A23 variables 361 have a high eigenvector centrality, indicating that these legal aspects are more peripheral. They 362 also connect to most of the aspects, which have a higher degree centrality. These aspects 363 include the protection of the security of digital data (A30), the implementation of certain 364 restrictions to reduce data loss (A31), and data providers being responsible for incorporating 365 the data into the BIM model (A32). 366

367



368

369



371 5. Discussion and conclusions

The present study successfully utilized SNA to identify those influential legal aspects 372 373 which will be used or modified as contract provisions in BIM contracts. The association network is developed and observed in terms of its structure as well as the status of each legal 374 aspect. From a network perspective, the relationships among the three different legal aspects 375 are rather dense and cohesive. The variables affecting data security have a higher degree of 376 377 centrality, betweenness centrality, and eigenvector centrality. For instance, data should be protected (A30) and data providers should be liable for the inserted data (A32). In addition, 378 379 the relationships among various stakeholders, their responsibilities, and punitive measures should be considered accordingly. For example, a BIM manager's role and the protection of 380 intellectual property are critical "hinges," which interconnect various legal aspects. 381

In addition, some legal issues and requirements should be further considered when 382 drafting BIM contracts. For instance, copyright issues are critical to maintaining the confidence 383 of the designers, while maintaining the high-quality data entered as part of the process 384 (Manderson et al., 2015), including confidential information about trade secrets and intellectual 385 property allocation in a collaborative environment (Azhar, Khalfan, and Masqsood, 2012; 386 Olsen and Taylor, 2010; Porwal and Hewage, 2013). Nevertheless, we found that this legal 387 aspect remains critical in terms of the "hinges," which should be considered to protect data 388 security. In other words, this study casts light on how these legal aspects interconnect with 389 390 each other. Given that BIM-enabled projects may evolve and impose a legal liability on construction professionals, professional liability should be considered as a supporting 391 mechanism that enables the operability of a contract (Khosrowshahi and Arayici, 2012; Olsen 392 393 and Taylor, 2010; Rezgui et al., 2013). In the present study, the A34 variable (namely, indemnity being required to protect the client's interests in the event of any errors or technical 394 issues caused by tools or software used in the project) addresses this topic, however, it does 395

396 not seem "critical" to the development of the contract. The reader should interpret this result 397 carefully. Although the research has identified the "centrality" of legal aspects, those legal 398 aspects that are non-central are not necessarily unimportant. Instead, these non-central legal 399 aspects can serve as mechanisms that support the design of central legal aspects.

In conclusion, the present study has revealed insightful implications into significant legal 400 aspects or contract provisions that need to be included in BIM contracts. These contribute to 401 402 innovative contracts through the realization of the current strict and rigid contractual governance from conventional transaction cost economics theory. New adjustments to the 403 404 contract functions can be considered, in which the coordination and contingency adaptability should be incorporated into the latent contract provisions, which will enhance the collaboration 405 and relationships of the contracting parties in BIM-enabled projects. Consequently, this 406 407 contracting approach can drive and improve the overall project performance. However, certain limitations must be considered. The application of legal doctrines such as the *Spearin* doctrine 408 may not apply in Commonwealth countries. The research findings were based on Taiwanese 409 legal formations. Hence, certain adjustments are required to enable application in countries 410 with legal doctrines that differ from that in Taiwan. Moreover, different procurement strategies 411 such as collaboration project delivery methods shall be distinguished from conventional 412 procurement methods like design-bid-build and design-and-build when designing BIM 413 contracts. 414

415

416 Acknowledgments

This research was supported by the National Natural Science Foundation of China (No.
51878382 and No. 51578317) as well as the Taiwan-Malaysia Project Management and Digital
Technology Centre and Research Development Center of Construction Law, Tamkang
University.

421 **References**

- AEC (2012). *AEC (UK) BIM PROTOCOL*. AEC (UK) CAD & BIM Standards Site, UK.
 Available at: https://aecuk.files.wordpress.com/2012/09/aecukbimprotocol-v2-0.pdf
 [3rd January 2018]
- 425 AIA (2013). AIA Document E203TM-2013. The American Institute of Architects, USA.
- 426 Available at: http://www.aia.org/aiaucmp/groups/aia/documents/pdf/aiab099084.pdf
 427 [3rd January 2018]
- Al-Shammari, M.A. (2014). "An appraisal of the protocol that was published by the
 construction industry council (CIC) to facilitate the use of building information
 modelling (BIM) on projects." *Procs 30th Annual ARCOM Conference*, Association of
 Researchers in Construction Management, pp.623-632.
- Arensman, D. B. and Ozbek, M. E. (2012). "Building information modeling and potential legal
 issues." *International Journal of Construction Education and Research*, Vol. 8, No. 2, pp.
 146-156, DOI: 10.1080/15578771.2011.617808
- Barthet, A. (2010). A Contractor's Defense: The Spearin Doctrine. Available at:
 http://www.thelienzone.com/a-contractors-defense-the-spearin-doctrine/ [3rd January
 2018]
- BuildingSMART-Australasia 2012. *Strategy: A strategy for the focused adoption of building information modelling and related digital technologies and processes for the Australian built environment sector*. National Building Information Modelling Initiative. Vol.1
- 441 Buzan, T. and Buzan, B. (1996). *The Mind Map Book: How to Use Radiant Thinking to*442 *Maximize Your Brain's Untapped Potential*. Plume, USA.
- 443 CIC (2013). CIC BIM Protocol. Construction Industry Council, United Kingdom. Available
- 444 at: http://cic.org.uk/download.php?f=the-bim-protocol.pdf [3rd January 2018]

- Chew, A., and Riley, M. (2013). "What is Going On with BIM? The Way to 6D." *The International Construction Law Review*, pp.253-265.
- Chong HY, Lopez R, Wang J, Wang X and Zhao Z. A. (2016). "Comparative Analysis on the
 Adoption and Use of BIM in Road Infrastructure Projects." *Journal of Management in Engineering*. DOI: 10.1061/(ASCE)ME.1943-5479.0000460.
- 450 Chong, H. Y., Fan, S. L., Sutrisna, M., Hsieh, S. H. and Tsai, C. M. (2017). "Preliminary
- 451 Contractual Framework for BIM-Enabled Projects." Journal of Construction Engineering
 452 and Management, 143(7), pp. 1-8.
- 453 Chowdhury, A.N., Chen, P.H. and Tiong, R.L. (2011). "Analysing the structure of public-
- 454 private partnership projects using network theory." *Construction Management and*455 *Economics*, Vol. 29, No.3, pp.247-260.
- Chien, K. F., Wu, Z. H. and Huang, S. C. 2014. "Identifying and assessing critical risk factors
 for BIM projects: Empirical study." *Automation in Construction*, Vol. 45, pp. 1-15.
- 458 ConsensusDocs (2013). ConsensusDocs 301: Building Information Modelling (BIM)
 459 Addendum. ConsensusDocs, US.
- 460 CPC (2013). Contract for Complex Projects. The Chartered Institute of Building, United
 461 Kingdom. Available at: http://www.ciob.org/media-centre/news/world%e2%80%99s-
- 462 first-time-management-contract-complex-projects-published-ciob [3rd January 2018].
- 463 Doloi, H. (2012). "Assessing stakeholders' influence on social performance of infrastructure
 464 projects." *Facilities*, Vol. 30, No.11/12, pp.531-550.
- Eadie, R., McLernon, T. and Patton, A. (2015). "An investigation into the legal issues relating
 to Building Information Modelling (BIM)." *Proceedings of RICS COBRA AUBEA 2015.*
- 467 Enegbuma, W. I. and Ali, K. N. (2011). "A Preliminary Study on Building Information
 468 Modeling (BIM) Implementation in Malaysia." *3rd International Postgraduate*469 *Conference on Infrastructure and Environment (IPCIE2011), Hong Kong.*

- 470 Estrada, E. and Rodriguez-Velazquez, J.A. (2005). "Subgraph centrality in complex networks."
 471 *Phys. Review*, Vol.71, No. 5, 056103.
- 472 Fan, S.L. (2014). "Intellectual Property Rights in Building Information Modelling application
- 473 in Taiwan." Journal of Construction Engineering Management, Vol. 43, No. 3. DOI:
- 474 10.1061/(ASCE)CO.1943-7862.0000808
- Greenwood, D., Lewis, S. and Lockley, S., (2010). "Contractual Issues in the Total Use of
 Building Information Modelling." *Proceeding: W113 Special Track 18th CIB World Building Congress May 2010 Salford*, UK, pp. 363-371.
- 478 Guo, L., Vu, H. T. and McCombs, M. (2012).
 479 "An Expanded Perspective on Agenda-Setting Effects. Exploring the third level of
 480 agenda setting." *Revista de Comunicación*, Vol.11, pp.51-68.
- 481 Hardin, B. and McCool, D. 2015. *BIM and construction management: proven tools, methods,*482 *and workflows*. John Wiley & Sons.
- 483 Hsieh, T.Y., Yeh, F. and Hsu, K.M. (2012). "Legal Risks Incurred under the Application of BIM
- 484 in Taiwan." 14th International Conference on Computing in Civil and Building
 485 Engineering, Mscow, Russia.
- 486 Hsu, K. M., Hsieh, T. Y. and Chen, J. H. 2015. Legal risks incurred under the application of
- BIM in Taiwan. Proceedings of the Institution of Civil Engineers-Forensic
 Engineering, Vol. 168, No. 3, pp.127-133.
- 489 JCT (2011). Public Sector Supplement: Fair Payment, Transparency and Building Information
- 490 Modelling. Available at: http://corporate.jctltd.co.uk/wp-content/uploads/2016/03/JCT-
- 491 Public-Sector-Supplement-Dec20111.pdf □ 3rd January 2018□
- 492 Joyce, R. and Houghton, D. 2014. Briefing: Building Information Modelling and the Law.
- 493 Proceedings of the Institution of Civil Engineers Management, Procurement and Law,
- 494 Vol.167, No. 3, pp.114–116.

- Kuiper, I. and Holzer, D. (2013). "Rethinking the contractual context for Building Information
 Modelling (BIM) in the Australian built environment industry." *Australasian Journal of Construction Economics and Building*, Vol 13, No. 4, pp.1-17.
- Lee, C. Y., Chong, H. Y., Liao, P. C. and Wang, X. (2018). Critical Review of Social Network
 Analysis Applications in Complex Project Management. *Journal of Management in Engineering*, Vol. 34, No. 2, 04017061.
- Lorenzo, T. M., Benedetta, B., Manuele, C. and Davide, T. 2014. "BIM and QR-code. A
 synergic application in construction site management." *Procedia Engineering*, Vol.85, pp.
 520-528.
- Lowe, R. H. and Muncey, J. M. (2009). "The ConsensusDOCS 301 BIM Addendum."
 Construction Lawyer, Vol.29, No.1, pp.1-9.
- Lowry, D.T., Nio, T.C.J. and Leitner, D.W., 2003. "Setting the public fear agenda: A
 longitudinal analysis of network TV crime reporting, public perceptions of crime, and
 FBI crime statistics." *Journal of Communication*, Vol. 53, No. 1, pp.61-73.
- 509 Mahamadu, A.M., Mahdjoubi, L. and Booth, C. (2013). "Challenges to BIM-cloud integration:
- 510 Implication of security issues on secure collaboration." *IEEE International Conference*
- 511 *on Cloud Computing Technology and Science*, pp.209-214.
- McAdam, B. (2010). "Building information modelling: the UK legal context." *International Journal of Law in the Built Environment*, Vol.2, No. 3, pp. 246 259.
- 514 McCombs, M. and Reynolds, A (2002). News Influence on Our Pictures of the World. In J.
- 515 Bryant, & D. Zillmann (Eds.), *Media Effects: Advances in Theory and Research* (2nd ed.,
- 516 pp. 1-18). Mahwah: LEA.
- Meijer, M. M. and Kleinnijenhuis, J. (2006). "Issue news and corporate reputation: Applying
 the theories of agenda setting and issue ownership in the field of business
 communication." *Journal of Communication*, Vol. 56, No. 3, pp.543-559.

- 520 Olatunji, O.A. (2011). A Preliminary Review on the Legal Implications of BIM and Model
 521 Ownership. *Journal of Information Technology in Construction*. Vol. 16, pp. 687-696.
- Pishdad-Bozorgi, P., Austin, R.B. and de la Garza, J.M. (2016). "Network Analysis of FlashTrack Practices." *Journal of Management in Engineering*, p.04016024.
- Redmond, A., Hore, A. V. and West, R. (2010). "Developing a cloud integrated life cycle
 costing analysis model through BIM." *CIB W78 2011: Computer Knowledge Building, Sophia Antipolis France.*
- Simonian, L. and Korman, T., (2010). "Legal Considerations in the United States Associated
 with Building Information Modeling." *The Construction, Building and Real Estate Research Conference of the Royal Institution of Chartered Surveyors, RICS COBRA2010.*
- Smith, P. (2014). "BIM Implementation-Global Strategies." *Creative Construction Conference, CC2014. Procedia Engineering.* Vol. 85, pp. 482-492.
- Solis, F., Sinfield, J.V. and Abraham, D.M. (2013). "Hybrid approach to the study of interorganization high performance teams." *Journal of Construction Engineering and Management*, Vol.139, No. 4, pp.379-392.
- Steel, J., Drogemuller, R. and Toth, B. (2012). "Model interoperability in building information
 modelling." *Software & Systems Modeling*, Vol.11, No.1, pp.99-109.
- Ussing, L. F., Svidt, K. and Wandahl, S. (2016). "Legal Aspects Connected to the Use of BIM
 in the Danish Building Sector." *Construction Research Congress 2016, ASCE*, pp. 528539 537.
- Vu, H. N. N. and Gehrau, V. (2010). "Agenda diffusion: An integrated model of agenda setting
 and interpersonal communication." *Journalism & Mass Communication Quarterly*, Vol.
 87, No. 1, pp.100-116.
- Wambeke, B.W., Liu, M. and Hsiang, S.M. (2014). "Task variation and the social network of
 construction trades." *Journal of Management in Engineering*, Vol. 30, No.4, 05014008.