

*Uncertainty in the response of sudden stratospheric warmings and stratosphere-troposphere coupling to quadrupled CO<sub>2</sub> concentrations in CMIP6 models*

Article

Accepted Version

Ayarzagüena, B., Charlton-Perez, A. J. ORCID: <https://orcid.org/0000-0001-8179-6220>, Butler, A. H., Hitchcock, P., Simpson, I. R., Polvani, L. M., Butchart, N., Gerber, E. P., Gray, L., Hassler, B., Lin, P., Lott, F., Manzini, E., Mizuta, R., Orbe, C., Osprey, S., Saint-Martin, D., Sigmund, M., Taguchi, M., Volodin, E. M. and Watanabe, S. (2020) Uncertainty in the response of sudden stratospheric warmings and stratosphere-troposphere coupling to quadrupled CO<sub>2</sub> concentrations in CMIP6 models. *Journal of Geophysical Research: Atmospheres*, 125 (6). e2019JD032345. ISSN 2169-897X doi: 10.1029/2019JD032345 Available at <https://centaur.reading.ac.uk/89428/>

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To link to this article DOI: <http://dx.doi.org/10.1029/2019JD032345>

Publisher: American Geophysical Union

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1       **Uncertainty in the response of sudden stratospheric warmings and stratosphere-**  
2       **troposphere coupling to quadrupled CO<sub>2</sub> concentrations in CMIP6 models**  
3

4       **B. Ayarzagüena<sup>1</sup>, A. J. Charlton-Perez<sup>2</sup>, A. H. Butler<sup>3</sup>, P. Hitchcock<sup>4</sup>, I. R. Simpson<sup>5</sup>, L. M.**  
5       **Polvani<sup>6</sup>, N. Butchart<sup>7</sup>, E. P. Gerber<sup>8</sup>, L. Gray<sup>9</sup>, B. Hassler<sup>10</sup>, P. Lin<sup>11</sup>, F. Lott<sup>12</sup>, E.**  
6       **Manzini<sup>13</sup>, R. Mizuta<sup>14</sup>, C. Orbe<sup>15</sup>, S. Osprey<sup>9</sup>, D. Saint-Martin<sup>16</sup>, M. Sigmond<sup>17</sup>, M.**  
7       **Taguchi<sup>18</sup>, E. M. Volodin<sup>19</sup>, S. Watanabe<sup>20</sup>**

8       <sup>1</sup> Departamento de Física de la Tierra y Astrofísica, Universidad Complutense de Madrid, Spain

9       <sup>2</sup> Department of Meteorology, Univ. of Reading, UK

10       <sup>3</sup> Cooperative Institute for Environmental Sciences (CIRES)/National Oceanic and Atmospheric  
11       Administration (NOAA) Chemical Sciences Division, USA

12       <sup>4</sup> Department of Earth and Atmospheric Sciences, Cornell University, USA

13       <sup>5</sup> Climate and Global Dynamics Laboratory, National Center for Atmospheric Research, USA

14       <sup>6</sup> Columbia University, USA

15       <sup>7</sup> Met Office Hadley Centre, Exeter, UK.

16       <sup>8</sup> Courant Institute of Mathematical Sciences, New York University, USA

17       <sup>9</sup> NCAS-Climate, Department of Physics, University of Oxford, UK

18       <sup>10</sup> Deutsches Zentrum für Luft-und Raumfahrt (DLR), Oberpfaffenhofen, Germany

19       <sup>11</sup> Atmospheric and Oceanic Sciences Program, Princeton University, USA,

20       <sup>12</sup> Laboratoire de Météorologie Dynamique, Ecole Normale Supérieure, France

21       <sup>13</sup> Max-Planck-Institut für Meteorologie, Germany

22       <sup>14</sup> Meteorological Research Institute, Japan

23       <sup>15</sup> NASA Goddard Institute for Space Studies, USA

24       <sup>16</sup> Centre National de Recherches Météorologiques (CNRM), Université de Toulouse, Météo-  
25       France, CNRS, Toulouse, France

26       <sup>17</sup> Canadian Centre for Climate Modelling and Analysis Environment and Climate Change,  
27       Canada

28       <sup>18</sup> Department of Earth Science, Aichi University of Education, Kariya, Japan

29       <sup>19</sup> Marchuk Institute of Numerical Mathematics, Russia

30       <sup>20</sup> Japan Agency for Marine-Earth Science and Technology (JAMSTEC), Japan

31  
32  
33       Corresponding author: Blanca Ayarzagüena ([bayarzag@ucm.es](mailto:bayarzag@ucm.es))

34 **Key Points:**

- 35       • The tropospheric signal of Sudden Stratospheric Warming (SSWs) in the North Atlantic  
36       does not change under 4xCO<sub>2</sub> forcing.
- 37       • There is high uncertainty in changes of SSW frequency under 4xCO<sub>2</sub> forcing; single  
38       models show the rate to be significantly halved or doubled.
- 39       • The boreal polar vortex will form earlier and disappear later under increased CO<sub>2</sub>,  
40       extending the season of stratosphere-troposphere coupling.

41

42

43

## 44 **Abstract**

45 Major sudden stratospheric warmings (SSWs), vortex formation and final breakdown  
46 dates are key highlight points of the stratospheric polar vortex. These phenomena are relevant for  
47 stratosphere-troposphere coupling, which explains the interest in understanding their future  
48 changes. However, up to now, there is not a clear consensus on which projected changes to the  
49 polar vortex are robust, particularly in the Northern Hemisphere, possibly due to short data  
50 record or relatively moderate CO<sub>2</sub> forcing. The new simulations performed under the Coupled  
51 Model Intercomparison Project, Phase 6, together with the long daily data requirements of the  
52 DynVarMIP project in preindustrial and quadrupled CO<sub>2</sub> (4xCO<sub>2</sub>) forcing simulations provide a  
53 new opportunity to revisit this topic by overcoming the limitations mentioned above.

54 In this study, we analyze this new model output to document the change, if any, in the  
55 frequency of SSWs under 4xCO<sub>2</sub> forcing. Our analysis reveals a large disagreement across the  
56 models as to the sign of this change, even though most models show a statistically significant  
57 change. As for the near-surface response to SSWs, the models, however, are in good agreement  
58 as to this signal over the North Atlantic: there is no indication of a change under 4xCO<sub>2</sub> forcing.  
59 Over the Pacific, however, the change is more uncertain, with some indication that there will be  
60 a larger mean response. Finally, the models show robust changes to the seasonal cycle in the  
61 stratosphere. Specifically, we find a longer duration of the stratospheric polar vortex, and thus a  
62 longer season of stratosphere-troposphere coupling.

## 63 **1 Introduction**

64 The stratospheric polar vortex is a strong wintertime circumpolar cyclonic circulation that  
65 isolates the polar air masses from air in the lower latitudes [Andrews et al. 1987]. The  
66 stratospheric polar vortex forms in Autumn as solar heating vanishes at the pole, establishing  
67 strong meridional temperature gradients. The vortex intensifies during winter and then decays in  
68 spring as sunlight returns to high latitudes. The springtime breakdown of the vortex, when the  
69 zonal winds revert to easterlies, is also known as the stratospheric final warming (SFW).

70 Interest in the polar vortex has increased in the last decades for two different reasons.  
71 First, the magnitude of the Antarctic ozone hole is dependent on the state of the polar vortex, as a  
72 strong polar vortex is associated with colder temperatures (crucial for heterogeneous ozone  
73 chemistry) and reduced mixing with ozone-rich mid-latitude air [Schoeberl and Hartmann,  
74 1991]. Secondly, polar stratospheric variability is known to affect not only the stratosphere but  
75 also the troposphere, typically projecting onto Annular Mode patterns [e.g.: Baldwin and  
76 Dunkerton, 2001; Kidston et al., 2015]. Polar stratospheric variability peaks in the winter  
77 hemisphere when the polar vortex is present, as a major source of stratospheric variability is  
78 upward propagating, planetary-scale Rossby waves from the troposphere below [Charney and  
79 Drazin, 1961]. Under linear theory, the vertical propagation of Rossby waves is limited to  
80 regions with westerly winds [Andrews et al. 1987]. Furthermore, because wave activity is greater  
81 in the Northern Hemisphere (NH) than in the Southern Hemisphere (SH) so is the polar  
82 stratospheric variability. In the SH, stratospheric variability, and thus the coupling to the  
83 troposphere, is mainly associated with SFW [Black and McDaniel 2007]. In the NH apart from  
84 SFWs [Black et al. 2006; Ayarzagüena and Serrano, 2009; Hardiman et al., 2011], this coupling  
85 is primarily associated with polar vortex extremes, in particular, major sudden stratospheric  
86 warmings (SSWs). SSWs happen in midwinter and consist in a reversal of wintertime polar  
87 stratospheric circulation with a subsequent recovery of the polar vortex after the event. The

88 tropospheric signal of SSWs can persist for up to two months after the occurrence of each event  
89 [Charlton and Polvani, 2007]. Although the exact mechanism for this downward influence is still  
90 unclear, different hypothesis have been presented in the literature such as wave reflection,  
91 downward control or responses to stratospheric redistributions of potential vorticity among  
92 others [Song and Robinson, 2004 and references herein]. In most of these theories the role of the  
93 circulation anomalies of the lower stratosphere was found to be extremely important to define the  
94 impact on the troposphere. Indeed, recently Hitchcock et al. [2013] defined a subset of SSWs,  
95 called Polar-night Jet Oscillation events (PJOs), which are characterized by a very persistent  
96 warm polar lower stratosphere and whose signal in the troposphere is particularly strong and  
97 persistent too.

98 The importance of polar vortex variability for both atmospheric dynamics and ozone  
99 chemistry has spurred considerable efforts in identifying if and how the stratospheric polar  
100 vortex might respond to increasing greenhouse gases (GHGs). While several studies have been  
101 devoted to this question, there is not consensus at this time on which projected changes to the  
102 polar vortex are robust. Here, and throughout the paper, we use the word robust to mean a strong  
103 agreement across many models as to the size and amplitude of the changes to the stratospheric  
104 polar vortex under increased GHG. To offer a trivial example: a two-model ensemble in which  
105 one model predicted a halving of SSW frequency and the other model predicted a doubling of  
106 SSW frequency would not represent a robust prediction of future changes, although both these  
107 changes might be statistically significant in each model. On the contrary, if one model predicted  
108 a significant increase of SSW frequency by a factor of 2.5 and the other by a factor of 2, we  
109 would regard this as a robust prediction.

110 Early studies using simple models demonstrated polar stratospheric cooling under  
111 increased GHG forcing [Manabe and Wetherland, 1967; Fels et al. 1980]. Global atmospheric  
112 modeling work in the 1990s (with prescribed changes in sea surface temperatures) projected a  
113 boreal polar warming in winter, but no consensus on the changes in the number of SSWs [Rind  
114 et al. 1990; Mahfouf et al 1994; Rind et al. 1998; Butchart et al 2000]. Moreover, after decades  
115 of improvement in modeling the stratosphere, a clear consensus about future changes to the polar  
116 vortex is still missing. For instance, one can find in the literature a number of single-model  
117 studies that report a significant increase in the frequency of SSWs in the future [Charlton-Perez  
118 et al., 2008; Bell et al., 2010], while other studies report a non-statistically significant increase  
119 [e.g. Mitchell et al., 2012a; Ayarzagüena et al. 2013], and others no significant change in SSW  
120 frequency at all [McLandress and Shepherd, 2009; Scaife et al., 2012; Karpechko and Manzini,  
121 2012]. Multi-model intercomparisons of Chemistry Climate Model Validation (CCMVal) and  
122 Coupled Model Intercomparison Project 5 (CMIP5) models have reported large discrepancies in  
123 the sign of change among models [Mitchell et al. 2012b; Kim et al., 2017].

124 Recently, Ayarzagüena et al. [2018] revisited this topic, trying to overcome some of the  
125 issues suggested in the literature as potential reasons for this disagreement, such as the use of one  
126 single model in the analysis or the dependence of results on the SSW identification criterion.  
127 They analyzed 12 different models participating in the Chemistry Climate Model Initiative  
128 (CCMI) and applied several different (absolute and relative) criteria for the identification of  
129 SSWs. The outcome was again a lack of a significant change in SSWs frequency in the future,  
130 although most of the models predicted a slight increase in the frequency of these, regardless of  
131 the SSW identification algorithm. One might argue, however, that the limited data record  
132 available (40 years in each period of study), and the relatively moderate GHG forcing used in the

133 central CCM1 scenario (Representative Concentration Pathway 6.0, RCP6.0), might be  
134 insufficient to detect significant changes in SSWs in those simulations.

135 The new CMIP6 model generation together with the special data requirements of the  
136 DynVarMIP project [Gerber and Manzini, 2016] provide a new opportunity to revisit the  
137 question of the effects of increasing CO<sub>2</sub> on the interannual variability of the stratospheric polar  
138 vortex. The very long daily data record at stratospheric levels of the Diagnostic, Evaluation and  
139 Characterization of Klima (DECK) experiments allows us, for the first time, to try to isolate  
140 forced changes in stratospheric variability in a larger ensemble of high-top models than possible  
141 previously [Eyring et al. 2016]. Specifically, one of these DECK simulations consists of a very  
142 high CO<sub>2</sub> forcing (abrupt4xCO<sub>2</sub>) enabling the exploration of changes in the vortex variability  
143 under an extreme future scenario. Furthermore, the daily output of the 1pctCO<sub>2</sub> simulation with a  
144 gradual increase of CO<sub>2</sub> allows us to investigate the time of emergence of SSW changes.

145 The goal of this study is to analyze the potential changes in the interannual variability of  
146 the polar vortex due to increasing CO<sub>2</sub> concentrations, as simulated by CMIP6 models. Apart  
147 from the mentioned new possibilities opened up by the availability of CMIP6 data, we have also  
148 examined other characteristics that are relevant for the stratosphere-troposphere coupling such as  
149 the seasonal cycle of the polar vortex, i.e. formation and final breakdown, in both hemispheres,  
150 as well as changes in stratosphere-troposphere coupling during SSWs, given the importance of  
151 these aspects for tropospheric impacts and predictability. However, we do not aim here to fully  
152 diagnose stratospheric variability in the CMIP6 models, nor to explain in detail why models  
153 differ in their estimates of the sensitivity of the stratospheric polar vortex to CO<sub>2</sub> forcing.  
154 Instead, we simply aim to provide a timely, quantitative estimate of how stratospheric variability  
155 might change under CO<sub>2</sub> forcing since this information is of critical importance to the upcoming  
156 Intergovernmental Panel on Climate Change (IPCC) AR6 report, and for future work on the  
157 stratosphere in CMIP6 models.

158

## 159 **2 Data and methodology**

### 160 2.1 Data

161 In this study we analyze the daily output of DECK simulations by 12 CMIP6 models  
162 participating in the DynVarMIP initiative (Table 1). All the models are coupled to an ocean and  
163 sea ice model, and most (8 out of 12) are “high-top” models, defined by having a model top at or  
164 above 0.1hPa as in Domeisen et al. [2019]. A priori, we expect the high-top models to have more  
165 realistic polar stratospheric variability and, consequently, to better simulate SSWs, and their  
166 frequency and surface impacts, than low-top models [Charlton-Pérez et al. 2013]. For the CMIP6  
167 ensemble, there is a much larger number of models that have a high model top than in the  
168 previous CMIP5 ensemble. In order to make sure our model sample is unbiased, only a single  
169 member of each model ensemble is analyzed here; details are shown in Table 1.

170 We focus on four DECK experiments [Eyring et al., 2016], each of them used for  
171 different purposes. The historical run is employed for model validation: we compare the  
172 simulated SSW frequency, intensity and seasonality to the values obtained from the JRA-55  
173 reanalysis [Kobayashi et al., 2015]. In fact, we have specifically restricted the analysis period to  
174 1958-2014 to perform a rigorous quantitative comparison with JRA-55. This reanalysis shows a  
175 very good performance in representing SSW [Ayarzagüena et al. 2019] and is the most modern

176 reanalyses of the three that extend longer than the satellite era and assimilate more than surface  
177 data (ERA-40, NCEP/NCAR reanalysis and JRA-55).

178 The pre-industrial Control (piControl) experiment is used for two purposes. Since it  
179 contains a very long data record (more than 450 years for most of the models, Table 1), it is used  
180 to characterize both the baseline estimates of SSW frequency and intensity, and to characterize  
181 internal atmospheric variability in SSW frequency and trends.

182 The abrupt4xCO<sub>2</sub> and 1pctCO<sub>2</sub> runs are used to examine the impact of CO<sub>2</sub> forcing on  
183 SSW properties. Both simulations extend 150 years (except for the abrupt4xCO<sub>2</sub> in IPSL-  
184 CM6A-LR, which is 900 years long, and GISS-E2.2AP, which is 81 years long). All forcings in  
185 the abrupt4xCO<sub>2</sub> simulations are identical to those in the piControl run, except for the CO<sub>2</sub>  
186 concentrations, which are abruptly quadrupled from piControl levels, and then are held constant  
187 throughout the entire length of the simulation [Eyring et al. 2016]. The large and constant forcing  
188 in the abrupt4xCO<sub>2</sub> makes it possible to isolate robust changes, if any, to the size and nature of  
189 changes to SSW properties. In the 1pctCO<sub>2</sub> simulation, the CO<sub>2</sub> concentration starts at pre-  
190 industrial levels and is increased at the rate of 1% per year. This simulation is used to estimate  
191 the rate at which SSW frequency might change in the future [one aspect of the so-called  
192 ‘dynamical sensitivity’ of the stratosphere, Grise and Polvani 2016].

193 Anomalies are defined as the departure from the daily evolving annual cycle of each  
194 respective model. In the piControl run, the climatology is based on the whole period, while in the  
195 historical run only the 1979-2014 is considered for calculating the climatology. In the  
196 abrupt4xCO<sub>2</sub> runs, a trend is identified in some variables during the first 50 years following the  
197 switch-on of the forcing. To avoid this trend, the climatologies are computed after omitting the  
198 first 75 years except for IPSL-CM6A-LR where we omit the first 300 years but we keep the  
199 following 600 years. A similar omission of data is performed for the analysis of SFW or vortex  
200 formation dates. In contrast, the full abrupt4xCO<sub>2</sub> is considered when looking at SSW frequency  
201 as no trend is detectable in the occurrence of these phenomena.

## 202 2.2 Methods

203 There has recently been a considerable discussion in the literature as to which metrics  
204 best characterize the variability of the stratospheric polar vortex, in particular, extreme vortex  
205 weakening events [Butler et al. 2015; Butler and Gerber, 2018]. However, in a recent study,  
206 Ayarzagüena et al. [2018] found little dependence on the choice of metrics in terms of  
207 documenting future changes in SSWs. Thus, we here focus only on a few, widely-used and easily  
208 implementing metrics of stratospheric variability. Future work will likely be able to explore  
209 stratospheric variability in more detail, and possibly reveal subtleties in changes to stratospheric  
210 circulation not apparent in our initial analysis. Furthermore, focusing on commonly used  
211 diagnostics allows us to place our work in the context of previously published studies on changes  
212 in, for example, SSW frequency.

213 Several aspects of the stratospheric polar vortex (formation, final breakdown and  
214 variability) are analyzed using the zonal mean zonal wind at 60°N and 10hPa ( $u_{60N10hPa}$ ) for the  
215 NH, and 60°S and 10hPa for the SH.

- 216 • SSWs are identified following the criterion proposed in Charlton and Polvani [2007], which  
217 is based on the reversal in the sign of  $u_{60N10hPa}$  from November to March. Their criterion  
218 includes two additional restrictions: (1) winds must return to westerly for at least 20

219 consecutive days between events and (2) winds must return to westerly for at least 10  
 220 consecutive days before April 30 of each year. Recall that this definition only identifies so-  
 221 called “major” SSWs. Here we do not examine other aspects of polar vortex variability, such  
 222 as vortex intensification events, wave reflection events, or minor stratospheric warmings.

- 223 • SFWs is defined as the last date in the spring on which  $u_{60N10hPa}$  reverses and does not return  
 224 to westerly for more than 10 consecutive days [Butler and Gerber, 2018].
- 225 • The polar vortex formation date is identified as the first time that  $u_{60N10hPa}$  turns westerly after  
 226 1 July, in the NH, and stays westerly for at least 10 days.
- 227 • PJOs are identified by applying a slight variation of criteria established by Hitchcock et al.  
 228 [2013], as the original required finer vertical resolution than available. The new metric has  
 229 been validated in reanalysis to ensure that similar results are obtained in this case as to those  
 230 obtained by applying the original one (not shown). Here, the identification is based on two  
 231 time series  $PC_1 = T'(5 \text{ hPa}) - T'(100 \text{ hPa})$  and  $PC_2 = T'(50 \text{ hPa})$ , where  $T'$  indicates the  
 232 polar-cap averaged temperature anomaly (from climatology) at the specified pressure level.  
 233 These time series are transformed into polar coordinates  $r(t)$  and  $\phi(t)$ , and the central dates  
 234 of events are defined by when the phase  $\phi(t)$  passes counter-clockwise through  $3\pi/2$ , so  
 235 long as the amplitude  $r(t)$  is greater than  $2.5\sigma$ . Once a central date is defined, the starting date  
 236 of the event is defined by the most recent date prior to the central date when  $r(t)$  is below  
 237  $1.5\sigma$ , and similarly the ending date of the event is defined by the earliest date following the  
 238 central date when the  $r(t)$  is below  $1.5\sigma$ .

## 239 2.2 Statistical methods

240 Two methods to calculate the statistical significance of changes to the SSW frequency are  
 241 used: a parametric method based on an assumption that the SSW frequency can be estimated  
 242 using a Poisson point process, and a non-parametric bootstrapping technique based on  
 243 resampling the piControl run of each model. Trends in SSW frequency and the time of  
 244 emergence of these trends are estimated by fitting a Generalized Linear Model (GLM) to the  
 245 decadal SSW frequency estimates from each model. All three statistical methods are described in  
 246 detail in Appendix 1.

## 247 **3 Model simulation of SSWs during the Historical Period: Mean frequency and seasonal** 248 **distribution**

249 Prior to reporting changes in SSWs caused by increased  $\text{CO}_2$  concentrations, it is  
 250 important to document the models' ability to simulate SSW events during the period of overlap  
 251 with re-analysis data: we do so by analyzing the historical simulations. Figure 1a shows the  
 252 average frequency of SSWs during the period 1958-2014 in JRA-55 reanalysis (horizontal  
 253 dashed line) and the corresponding value for the CMIP6 models (bars; the numerical values are  
 254 given in Table S1). In agreement with prior studies [e.g., Charlton-Perez et al. 2013;  
 255 Ayarzagüena et al. 2018] we find a large spread across the models in the mean frequency of  
 256 SSW over that period. This spread is likely due, in part, to the large internal variability of the  
 257 polar wintertime stratosphere; even with an identical climate model the frequency of SSWs can  
 258 vary greatly across different realizations, as demonstrated by Polvani et al. [2017].

259 Mindful of this large internal variability, it appears that only four of the models are  
 260 significantly different from JRA-55, at the 95% confidence level. Three of these are the models

261 with the lowest model tops (CESM2, CanESM5 and GFDL-CM4) that simulate fewer SSW  
262 events than JRA-55 re-analysis. When comparing the seasonal distribution of SSW activity in  
263 these models with JRA-55 (Fig. 2) it is clear that for two of them (GFDL-CM4 and CESM2), the  
264 SSW activity is significantly shifted towards March, with few SSWs observed in December and  
265 January. This is another common bias in low-top models [Charlton-Perez et al., 2013], and more  
266 generally, in models with an overly strong polar vortex. It is also worth noting that the three low-  
267 top models mentioned above are the only ones lacking a simulated Quasi-Biennial Oscillation  
268 (QBO). The fourth model with an unrealistic SSW frequency (IPSL-CM6A-LR), in contrast,  
269 simulates a very high number of SSWs, on average one per year during the historical period  
270 (instead of one every other year). As detailed below, this model also stands out for its high  
271 frequency of warmings in the piControl run. While we retain these four models in our analysis,  
272 the simulated changes produced by these models should be treated with caution given these  
273 biases.

274 Finally, considering the surprising occurrence of an SSW in the SH in 2002 [Krüger et al.  
275 2005], we extended the analysis to that hemisphere. Not a single SSW event was identified in the  
276 SH over the historical period in the models analyzed here. One may be tempted to claim that the  
277 CMIP6 models are underestimating the stratospheric variability in the SH, as spontaneous SSWs  
278 in the absence of stationary waves have been reported in simple models [Kushner and Polvani,  
279 2005]. However, it remains to be demonstrated whether five or six decades of observations are  
280 sufficient to make that claim.

281

## 282 **4 Future changes in polar stratospheric variability**

### 283 4.1 Future changes in sudden stratospheric warmings

284 Figure 1b displays the mean frequency of SSWs in both the piControl and abrupt4xCO<sub>2</sub>  
285 simulations (numerical values in Table S2). As discussed in Section 2, all SSWs identified in the  
286 entire abrupt4xCO<sub>2</sub> simulation have been considered. We stress, however, that the main results  
287 presented below do not change significantly if only the second 75 years of each abrupt4xCO<sub>2</sub>  
288 simulation are used (not shown). Two different tests of the statistical significance of the changes  
289 are conducted, providing a consistent indication of the statistical significance of changes,  
290 although the precise p-values vary due to difference in the underlying assumptions.

291 Of the 12 models in our study, four models indicate a statistically significant *decrease* in  
292 SSW frequency, while four indicate a statistically significant *increase* in SSW frequency. Thus,  
293 no consensus in the sign of the change exists in the CMIP6 models, in agreement with the  
294 diversity of claims reported in the earlier literature. The lack of a robust change across the  
295 models is not due to a lack of sensitivity of SSW frequency to increasing CO<sub>2</sub>: in fact, 8 of the 12  
296 models indicate significant changes. Rather, the CMIP6 models suggest that there is a great deal  
297 of uncertainty in the sign of the change, which varies between a near doubling in the frequency  
298 of SSWs in some models, to a near halving in others. These divergent responses of the models  
299 may now be clearer in the CMIP6, where we can consider a stronger forcing (4xCO<sub>2</sub>) and have  
300 access to longer records of daily data, compared to previous studies.

301 We also note that the lack of consensus in the CMIP6 models agrees with the recent study  
302 of Ayarzagüena et al. [2018], who analyzed the chemistry climate model projections of the  
303 CCM1 models, which were forced with RCP6.0 scenario. While reporting a general tendency

304 towards an increased frequency of SSWs by the end of the current century, they also emphasized  
305 that most changes were *not* statistically significant.

306 We do not attempt to further analyze the causes of differences in the model responses  
307 here, other than to note that within our set of models, one of the models indicating a significant  
308 reduction of SSW frequency (CanESM5) and one of the models indicating a significant increase  
309 of SSW frequency (CESM2) have anomalously low SSW frequency and (in the case of CESM2)  
310 a biased seasonal distribution of SSW in the historical simulations (Fig. 2). Additionally, two  
311 models which show significant decreases in SSW frequency (HadGEM3-GC31-LL and IPSL-  
312 CM6A-LR) have the highest frequency of SSW events in the piControl and historical  
313 simulations. The IPSL-CM6A-LR has a significant bias in SSW frequency and presents some  
314 strong biases in the representation of QBO in the abrupt4xCO<sub>2</sub> simulation. Nevertheless, even if  
315 we did not consider the four models with biases in the representation of SSWs in the historical  
316 period (CanESM5, CESM2, IPSL-CM6A-LR and GFDL-CM4), the main conclusion on the  
317 uncertainty in the sign of SSW changes would remain the same.

318 We also briefly examined the relationship between the change in SSW frequency and  
319 possible predictors of the change, including the frequency of SSWs in the piControl and  
320 historical simulations and the Effective Climate Sensitivity (ECS, Gregory et al. [2004]) (Fig. 3).  
321 Recall that ECS gives a measure of the equilibrium change of the global surface temperature  
322 after a doubling of CO<sub>2</sub>. As can be seen from Fig. 3, models that have a larger frequency of  
323 SSWs in the piControl run and models that have a larger ECS seem to produce large reductions  
324 in SSW frequency under large CO<sub>2</sub> forcing. A notable outlier from the main relationship here is  
325 the GISS-E2.2AP model but note that shorter simulations are available for this model than for  
326 others in the ensemble which also means that the uncertainty on the estimate of the piControl  
327 SSW frequency for this model is large.

328 Excluding GISS-E2.2AP, the correlation between SSW frequency changes and ECS is -  
329 0.52 with a probability value of obtaining results at least as extreme as the computed correlation  
330 (p-value) of 0.12. However, with GISS-E2.2AP included in the ensemble, the correlation drops  
331 to -0.33 and is not significant. The correlation between piControl frequency and SSW frequency  
332 changes is -0.50 with a p-value of 0.10 with all models included. Further analysis of a larger  
333 ensemble would be required to determine the robustness of these relationships.

334 Although not addressed in the literature, a relationship between ECS and SSW frequency  
335 changes might be possible given some previous results connected to this topic. Shepherd and  
336 McLandress, [2011] and Grise and Polvani [2016] documented a link between the strengthening  
337 of the sub-tropical jet and stratospheric wave driving. Moreover, Li et al. [2007] have argued that  
338 the subtropical jet, and tropospheric state in general, might control the upward planetary wave  
339 propagation. In this sense, the meridional gradient of the upper tropospheric temperature in the  
340 piControl simulation (computed as in Harvey et al [2014]) was found to be linked to the SSW  
341 frequency changes under high CO<sub>2</sub> concentrations. The correlation between both variables is -  
342 0.61 (p-value 0.04). Thus, a model bias in the tropospheric state affects the stratospheric  
343 response to increasing CO<sub>2</sub>, probably due to its effects on wave propagation. In addition, an  
344 intriguing examination of the relationship between changes in the tropospheric state and SSW  
345 frequency is shown in the bottom row of panels of Fig. 3. Again, GISS-E2.2AP is an outlier in  
346 Fig. 3(c)-(f). Excluding, GISS-E2.2AP, there is a significant correlation between changes in  
347 SSWs and changes in the polar lower tropospheric temperature (-0.89, p-value < 0.01) and the  
348 lower tropospheric temperature gradient (0.79, p-value < 0.01). In contrast, correlations between

349 the upper tropospheric temperature changes and SSW frequency are generally smaller, with the  
350 highest correlation between the tropical upper tropospheric temperature change and SSW  
351 frequency change (-0.62, p-value 0.06). With GISS-E2.2AP included, the lower tropospheric  
352 correlations are reduced but have p-values smaller than 0.05, while the correlation with tropical  
353 upper tropospheric temperature does not (-0.49, p-value 0.12).

354 Of these three critical temperature parameters, temperatures in the upper tropical  
355 troposphere and polar lower troposphere are correlated with the ECS. As more dynamical  
356 diagnostics suitable for detailed examination of the wave generation and propagation in the  
357 models become available, it will be very interesting to try to understand the robustness and  
358 causes of these relationships. We also note the interesting recent result of Zelinka et al. (2020),  
359 that models with higher climate sensitivity in CMIP6 generally have reduced low cloud cover in  
360 mid-latitude and polar regions.

361 To further examine the changes in SSW frequency under  $4xCO_2$  forcing, we have  
362 analyzed the entire distribution of daily  $u_{60N10hPa}$  in December-January-February in the piControl  
363 and abrupt $4xCO_2$  simulations (Fig. 4). The four models with a significant decrease in SSWs  
364 frequency in Fig. 1b (HadGEM3-GC31-LL, CanESM5, IPSL-CM6A-LR, and INM-CM5-0) are  
365 also those that show the largest shift of the  $u_{60N10hPa}$  distribution towards stronger vortex speeds  
366 in the abrupt $4xCO_2$  experiment. Interestingly, the opposite does not always apply to models with  
367 a significant increase in SSWs. The models with the largest changes in SSW frequency,  
368 MIROC6 and CESM2-WACCM show small changes to either the median or standard deviation  
369 of the  $u_{60N10hPa}$  (Table S3). This would agree with the results of Taguchi [2017] who pointed out  
370 SSW frequency does not only correlate with vortex strength but also wave activity.

371 A similar analysis was repeated for the zonal-mean zonal wind at 10hPa averaged  
372 between  $70^\circ$  and  $80^\circ N$  (not shown). That latitude band was found by Manzini et al. [2014] to  
373 display significant future changes in wind in most models, unlike the  $60^\circ N$  latitude where no  
374 robust future changes were found in CMIP5 models because the opposed effects of subtropical  
375 jet and stratospheric polar vortex changes might combine at that latitude. However, in our case,  
376 the main conclusions remain the same. Those models that show a shift of the  $u_{60N10hPa}$   
377 distribution towards stronger vortex speeds under  $4xCO_2$  forcing also display a sharper peak of  
378 high values  $u$  at  $70-80^\circ N$  suggesting lower variability in that region, consistent with a stronger  
379 and larger vortex.

380 We have also examined potential changes in SSW seasonality. However, despite the  
381 already mentioned changes detected in SSW frequency in some models, the drastic increase in  
382  $CO_2$  concentrations does not appear to substantially affect the seasonal distribution of SSWs (not  
383 shown).

384 Finally, motivated by the recent occurrence of a minor but highly publicized SSW event  
385 in the SH in September 2019 [Hendon et al., 2019], together with the occurrence of a major SSW  
386 in September 2002, we also examined the CMIP6 models to determine the extent to which the  
387 likelihood of similar events might change under the extreme climate forcing in the abrupt $4xCO_2$   
388 runs. Only one of our twelve models (MRI-ESM2-0) simulates an SSW in both the piControl and  
389 the abrupt $4xCO_2$  simulations. Thus, these runs provide no evidence for the claim of possible  
390 trends in the frequency of SSWs in the SH that would be caused by increased  $CO_2$   
391 concentrations.

## 392 4.2 Trends in SSW frequency and time of emergence

393 For the model integrations which show a statistically significant increase or decrease in  
 394 SSW frequency between the piControl and the abrupt4xCO<sub>2</sub> runs, it is useful to consider when  
 395 and whether the trend in SSW frequency might be detected in a simulation with continuously  
 396 increasing CO<sub>2</sub> forcing. A useful way to frame climate trends is in terms of the time of  
 397 emergence of the signal from the unforced climate noise [Hawkins and Sutton, 2012]. This  
 398 question is examined by studying the occurrence of SSWs in the 1pctCO<sub>2</sub> runs, an idealized  
 399 scenario.

400 Trend estimates for each model are shown in the Fig. 5a (numerical values in Table S4).  
 401 Results reveal that there are six models (light gray bars) for which the null hypothesis of no trend  
 402 in SSW frequency can be rejected, but consistent with the results of the previous section, the sign  
 403 of this trend is not robust across models. While CanESM5 and HadGEM3-GC31-LL show a  
 404 significant decrease, CNRM-ESM2-1, CESM2-WACCM, GFDL-CM4 and MRI-ESM2-0 show  
 405 a significant increase. Recall that for the abrupt4xCO<sub>2</sub> runs (Fig. 1b), CNRM-ESM2-1 and  
 406 CESM2-WACCM also indicated a statistically significant increase in SSW frequency compared  
 407 to the piControl runs, while GFDL-CM4 and MRI-ESM2-0 did not (although they did indicate  
 408 an increased frequency). CanESM5 and HadGEM3-GC31-LL both showed a statistically  
 409 significant decrease.

410 One can also estimate a time of emergence of the trend by comparing the trend in the  
 411 1pctCO<sub>2</sub> runs with the natural variability in SSW frequency from the piControl run (see  
 412 Appendix for details in the procedure). For the models with a significant trend, the decade of  
 413 emergence is shown in Fig. 5b. There is a wide spread in the projected time of emergence for the  
 414 models with a significant trend, varying from the 5<sup>th</sup> decade to 14<sup>th</sup> decade. This result reflects  
 415 both the variation in the trend across the models and the spread in the estimated variability in  
 416 SSW frequency (the noise) in the piControl simulations. Since the time of CO<sub>2</sub> doubling occurs  
 417 between the 6<sup>th</sup> and 7<sup>th</sup> decade in the 1pctCO<sub>2</sub> run and approximately by 2060-70 in the RCP8.5  
 418 scenario [Meinshausen et al., 2017], these results indicate that the emergence of a detectable  
 419 change in SSW frequency is extremely unlikely prior to the end of the 21<sup>st</sup> century.

420

## 421 **5 Future changes in the seasonal cycle of the polar stratosphere**

422 Since, according to linear theory, the vertical propagation of stationary Rossby waves is  
 423 restricted to periods with westerly winds, stratospheric variability is largely confined to the  
 424 winter season [e.g., Charney and Drazin 1961]. When considering how stratospheric variability  
 425 might change in future climates it is therefore also important to consider the extent to which the  
 426 timing and length of the winter season in the stratosphere might also change.

427 Figure 6a and b show the distribution of dates of formation and final breakdown of the  
 428 boreal stratospheric polar vortex, respectively, in the piControl, historical and abrupt4xCO<sub>2</sub>  
 429 CMIP6 simulations. In these plots the first years of the abrupt4xCO<sub>2</sub> simulations (75 or 300  
 430 years) have been omitted similar to the procedure followed to calculate the climatology.  
 431 Nevertheless, conclusions do not change when considering the whole data record for  
 432 abrupt4xCO<sub>2</sub> runs.

433 First, let us consider the historical model simulations, and contrast them to the reanalysis.  
 434 Over the period 1958-2014, the polar vortex forms earlier in all models than it does in the

435 reanalysis, with the exception of IPSL-CM6A-LR. In contrast, the SFW date is well reproduced  
436 by models. The latter implies an improvement with respect to previous generations of climate  
437 models, such as those contributing to CCMVal and CMIP5, which simulated a delayed SFW  
438 [Butchart et al., 2011; Kelleher et al., 2019]. CMIP6 models are also good at simulating the  
439 different range of interannual variability in the dates of vortex formation and SFW, the latter  
440 being considerably larger than the former.

441 Second, we consider the changes caused by increased CO<sub>2</sub>, both for the formation and the  
442 final breakdown of the boreal polar vortex: these display robust changes across models. The  
443 polar vortex forms earlier and persists for longer in the abrupt4xCO<sub>2</sub> scenario than in the  
444 piControl runs (Fig. 6a and b). This signal is particularly clear, and is significant in most of the  
445 models in the case of the vortex formation. Although half of the models do not show a significant  
446 change, there is a clear consensus in the sign of the SFW change across these models.

447 Interestingly, the models with the largest delay of SFW in the abrupt4xCO<sub>2</sub> simulation  
448 (CanESM5, HadGEM3.GC31-LL and IPSL-CM6A-LR) are also those with the largest reduction  
449 in the frequency of SSWS. This indicates that the long persistence of the vortex is related to a  
450 stronger and colder vortex during the extended winter, rather than to the effect of SSWs on the  
451 SFWs timing suggested by Hu et al. [2014]. The year-round radiative effect of CO<sub>2</sub>, which is  
452 associated with a warming tropical upper troposphere and a cooling stratosphere, increases the  
453 upper-level meridional temperature gradient and leads to a longer-lived polar vortex. Indeed, a  
454 positive and significant correlation (~0.65) has been found between the degree of change in the  
455 duration of the polar vortex per winter and the warming of the tropical upper troposphere in  
456 models between piControl and abrupt4xCO<sub>2</sub> simulations. Why this influence occurs primarily in  
457 early fall and spring may be tied to the seasonality of the upper tropospheric warming [Harvey et  
458 al. 2014], and the dynamical driving of the polar vortex. Indeed, the wave activity is typically  
459 weaker during the transition season (particularly in Autumn) than in mid-winter [Kodera et al.,  
460 2003], and so, the radiative effect of increased CO<sub>2</sub> on the stratosphere dominates. In sum,  
461 models predict an increase of around 30 days of westerly winds in the abrupt4xCO<sub>2</sub> simulations,  
462 a substantial increase in the time of the year over which stratospheric variability is active and can  
463 couple with the troposphere.

464 A similar analysis has been performed for the SH. Because planetary wave activity is  
465 much weaker in the SH than in the NH [Andrews et al. 1987], radiative CO<sub>2</sub> forcing dominates  
466 the SH polar vortex response to increasing CO<sub>2</sub> concentrations and so, causes a robust  
467 strengthening. In many models the extreme CO<sub>2</sub> concentrations prevent the polar vortex from  
468 disappearing at all during austral summer, leading to perpetual westerly conditions in the  
469 stratosphere, so we do not show the results for the abrupt4xCO<sub>2</sub> simulation. The distribution of  
470 SFW dates for piControl and historical simulations are displayed in Figure 6c. Unlike in the NH,  
471 the distribution of SFWs in the SH already shifts towards a later date in the historical period with  
472 respect to the piControl conditions. Although the attribution of changes in the length of the  
473 winter season to CO<sub>2</sub> is complicated, ozone depletion in austral spring over the historical period  
474 might be responsible, based on previous literature [e.g. McLandress et al. 2010; Oberländer-  
475 Hayn et al. 2015].

476

## 477 **6 Future changes in the surface impact of SSW events**

### 478 6.1 Surface response to SSW events

479 In addition to changes in SSW frequency, amplitude and seasonality, it is also  
480 conceivable that the surface impact of SSW events might change as a consequence of increased  
481 CO<sub>2</sub>. While detailed quantitative description of the mechanism for coupling between SSW events  
482 and surface remains elusive, there is now a large body of evidence quantifying the amplitude and  
483 spatial structure of the surface pressure and temperature responses following SSW events [e.g.,  
484 Baldwin and Dunkerton, 2001; Polvani et al. 2017; Butler et al. 2017]. A number of studies point  
485 to the importance of eddy-jet feedbacks in determining this surface response [e.g.: Kushner and  
486 Polvani, 2004; Song and Robinson, 2004; Garfinkel et al. 2013;]. It is therefore plausible that  
487 together with changes in the position and variability of the extra-tropical jet caused by CO<sub>2</sub>  
488 increases, one might be able to detect changes in the surface response following SSW events.

489 To test this idea, we analyze first composite maps of anomalous surface temperature and  
490 sea-level pressure (SLP) for the period 15-60 days after SSWs in the piControl simulation (Fig.  
491 7). In nearly all models we obtain the typical SLP and surface temperature patterns following  
492 SSWs that are also detected in reanalysis (although CO<sub>2</sub> forcing is different), i.e., negative  
493 Northern Annular Mode pattern (particularly over the pole), and Eurasian cooling and  
494 Northeastern American warming. None of the models produce a positive SLP anomaly in the  
495 Pacific basin that can be found in the JRA55 composite though. Despite the relatively structural  
496 similarities across models, the amplitude of the response can vary by a factor of two or three  
497 between them. The amplitudes of SLP anomalies in five of the eleven models (CESM2-  
498 WACCM, GFDL-CM4, HadGEM3-GC31-LL, IPSL-CM6A-LR, and MIROC6) are too weak.  
499 Moreover, even the rest of the models that do a reasonable job of the polar cap SLP signal  
500 significantly underestimate the surface temperature response over the Labrador Sea and to the  
501 east of Greenland. This is consistent with Hitchcock and Simpson [2014] that argued the near-  
502 surface temperature response to SSW was underestimated in specific regions in CMIP5 models.  
503 The amplitude of the signal in the troposphere does not correlate with the SSW frequency. It is  
504 also not a problem of model biases in the simulation of SSWs mentioned in Section 3 either. The  
505 large SSW sample size from the piControl simulations means that the estimates of surface impact  
506 are very robust.

507 Secondly, we compare the SLP pattern after SSWs in the abrupt4xCO<sub>2</sub> and piControl  
508 simulations (Fig. 8, differences in SLP between both runs are shown in shading). The overall  
509 SSW signal in SLP appears unchanged between the piControl and abrupt4xCO<sub>2</sub> simulations,  
510 except in three models (CESM2, HadGEM3-GC31-LL and IPSL-CM6A-LR) that produce a  
511 significantly stronger Northern Annular Mode-like response. However, in the Pacific basin there  
512 are some indications about a potential more general change due to a higher CO<sub>2</sub> loading. Indeed,  
513 six of the ten models exhibit a statistically stronger negative SLP anomaly in that area under  
514 abrupt4xCO<sub>2</sub> forcing than in the piControl runs. This could be related to some changes in the  
515 tropospheric precursors of SSWs because these anomalies have been identified as the remainder  
516 of the deepening of the Aleutian low preceding SSWs in observations [Charlton and Polvani,  
517 2007; Ayarzagüena et al., 2019]. Nevertheless, more work is required to understand all the  
518 details.

519 Please note that when restricting the analysis to the years 75-150 in IPSL-CM6A-LR,  
520 similar results are found but with a reduction in the areas with statistical significance due to a  
521 lower number of events considered.

522

## 523 6.2 Polar-night Jet Oscillation events

524 In this subsection we focus on specific events (PJO events) that are closely related to  
525 SSWs and the stratosphere-troposphere coupling [Hitchcock et al. 2013]. As indicated in the  
526 Introduction section, their strong and persistent tropospheric response explains the interest in  
527 investigating possible changes in the occurrence of these events for increasing CO<sub>2</sub>  
528 concentrations.

529 First, examining the surface response to PJOs in the piControl experiment (Fig. S1)  
530 confirms that these events in models have a stronger signal in the troposphere than all SSWs too.  
531 In JRA-55 roughly half of all SSWs are associated with a PJO event (PJO SSW) (solid line in  
532 Fig. 9). Six models include the JRA-55 value of the ratio of PJO SSW events in their confidence  
533 interval in the piControl simulations (MRI-ESM2-0, UKESM1-0-LL, CanESM5, HadGEM3-  
534 GC31-LL, INM-CM5-0 and GFDL-CM4). The other models underestimate this fraction.  
535 However, we do not find a clear relationship between this fraction and the amplitude of SLP  
536 pattern following SSWs. For instance, HadGEM3-GC31-LL and GFDL-CM4 simulate a very  
537 weak SLP pattern (Fig. 7), but the ratio of PJO SSWs is close to observations or even larger.

538 In the future, similar to changes in SSW frequency, there is no robust response of PJO  
539 SSWs across models to increasing CO<sub>2</sub> (Fig. 9). Roughly half of the models show a decrease and  
540 half of them an increase in PJO SSW events between the piControl and abrupt4xCO<sub>2</sub>  
541 simulations. More interestingly, two of the three models with a stronger Northern Annular  
542 Mode-response to SSWs in the abrupt4xCO<sub>2</sub> run (IPSL-CM6A-LR and HadGEM3-GC31-LL)  
543 display an increase in this subset of SSWs too. The other one (CESM2) does not show a  
544 significant change in the fraction of SSWs that are PJOs. Nevertheless, given the low number of  
545 models, it is difficult to make a direct link between changes in the number of PJO SSWs and  
546 stronger SSW coupling to the surface under increased CO<sub>2</sub> loading.

547

## 548 7 Conclusions

549 SSWs are the primary dynamical event in the wintertime polar stratosphere and have  
550 clear impacts on the tropospheric circulation on sub-seasonal to seasonal timescales. This study  
551 takes advantage of the new sets of simulations available through the DynVarMIP sub-project of  
552 CMIP6 to revisit a number of questions about how SSW events and the stratospheric seasonal  
553 cycle might respond to quadrupled CO<sub>2</sub> concentrations. In comparison with previous rounds of  
554 CMIP and comparisons made as part of the CCMVal and CCMII projects, the new simulations  
555 provide significant advances in our ability to study SSWs. In particular, the long piControl runs  
556 and the availability of daily data of abrupt4xCO<sub>2</sub> simulations from a large number of high-top  
557 models is unprecedented.

558 From our analysis of the twelve models for which sufficient daily time resolution  
559 stratospheric data was available, these conclusions can be drawn about the impact of extreme  
560 CO<sub>2</sub> concentrations on SSW events:

- 561 • There is no consensus among models on the sign of changes in SSW frequency to  
562 increase in CO<sub>2</sub> forcing.
- 563 • It is, however, possible to say with confidence that many models predict that SSW  
564 frequency is sensitive to increase in CO<sub>2</sub> forcing.
- 565 • There is no change to the impact of SSW events in the N. Atlantic between the  
566 abrupt4xCO<sub>2</sub> and piControl simulations. In the N. Pacific, there is some indication that  
567 under large CO<sub>2</sub> forcing there will be a larger mean response to SSW events.
- 568 • With the exception of MRI-ESM-2-0, predicted trends in SSW frequency are small  
569 relative to natural variability (as characterized by the piControl simulations of each  
570 model). This is not to say that SSW changes are themselves small (three models predict  
571 frequency changes of more than a factor of two compared to piControl conditions) but  
572 more a reflection of the large, natural decadal variability in SSW occurrence. As such,  
573 changes in SSW frequency are unlikely to be observed until the end of the 21<sup>st</sup> century.
- 574 • Robust changes to the seasonal cycle in the stratosphere are predicted by all models. The  
575 stratospheric polar vortex is likely to form earlier and decay later in the future. This  
576 extends the season in which the stratosphere can actively couple to the troposphere and  
577 influence surface weather.
- 578 • There is no evidence of an increased likelihood of major SSWs in the SH in the future.

579 These results underscore the conclusions of a number of previous studies of SSW events  
580 and also motivate the need for more detailed understanding of the stratospheric momentum  
581 budget in models as advocated by, for example, Wu et al. [2019], which is now possible with the  
582 simulations available through DynVarMIP. Similarly, developing an understanding of how both  
583 model formulation and resolution and ECS might influence dynamical sensitivity in the  
584 stratosphere remains an important but unsolved challenge for the stratospheric dynamics  
585 community.

## 586 **Acknowledgments, Samples, and Data**

587 BA was supported by the Spanish Ministry of Science, Innovation and Universities  
588 through the JeDiS (RTI2018-096402-B-I00) project. This research is part of POLARCSIC  
589 activities. The work of LMP is funded, in part, by a grant from the US National Science  
590 Foundation to Columbia University. NB was supported by the Met Office Hadley Centre Climate  
591 Programme funded by BEIS and Defra. EPG acknowledges support from the NSF through award  
592 AGS-1852727. EM acknowledges support from the Blue-Action project, grant agreement No  
593 727852, European Union's Horizon 2020 research and innovation programme. CO thanks the  
594 support and resources provided by the NASA Modeling, Analysis and Prediction program and  
595 the NASA High-End Computing (HEC) Program through the NASA Center for Climate  
596 Simulation (NCCS) at Goddard Space Flight Center. SW was supported by the "Integrated  
597 Research Program for Advancing Climate Models (TOUGOU Program)" from the Ministry of  
598 Education, Culture, Sports, Science, and Technology (MEXT), Japan.

599 We acknowledge the World Climate Research Programme, which, through its Working  
600 Group on Coupled Modelling, coordinated and promoted CMIP6. We thank the climate  
601 modeling groups for producing and making available their model output, the Earth System Grid  
602 Federation (ESGF) for archiving the data and providing access, and the multiple funding

603 agencies who support CMIP6 and ESGF. The authors thank the WCRP SPARC for support of  
 604 the DynVar Activity, which spurred the formation of the DynVarMIP. CMIP6 data is allocated at  
 605 the ESGF archive on the following website: <https://esgf-node.llnl.gov/projects/cmip6/>. The  
 606 Japanese 55-year Reanalysis (JRA-55) project was carried out by the Japan Meteorological  
 607 Agency (JMA). JRA-55 data were accessed through NCAR- UCAR Research Data Archive  
 608 (<https://rda.ucar.edu>).

609

## 610 **Appendix 1: Statistical framework**

### 611 Statistical methodology for comparing SSW frequency

#### 612 *Parametric method*

613 To compare the frequency of SSW events in two models or between a model and  
 614 observations, it can be assumed that each data sample is a Poisson process with an annual rate  $\lambda_i$ .  
 615 The difference between the intensity of the two processes  $\Delta_\lambda$  is given in equation (1)

$$616 \quad \Delta_\lambda = \frac{(\lambda_0 - \lambda_1)}{\sqrt{\frac{\lambda_0}{N_0} + \frac{\lambda_1}{N_1}}} \quad (1)$$

617 This can be modeled with a normal distribution providing the frequency of observed events is  
 618 greater than 30 [Charlton et al. 2007]. This approach has been widely used in the literature.

619 An alternative approach that compares the ratio of the rate of the two Poisson processes  
 620 has been studied by Gu et al. [2008].

$$621 \quad H_0: \lambda_0/\lambda_1 = 1 \quad \text{against} \quad H_A: \lambda_0/\lambda_1 \neq 1 \quad (2)$$

622 Gu et al. [2008] suggest that a conservative test statistic with high power is the one  
 623 suggested by Huffman [1984] (here  $X_i$  is the number of SSWs in each dataset and  $\rho = t_0/t_1$  the  
 624 ratio of the length of observation of the two processes):

$$625 \quad W(X_0, X_1) = \frac{2[\sqrt{X_0+3/8} - \sqrt{\rho(X_1+3/8)}]}{\sqrt{1+\rho}} \quad (3)$$

626 The p-value for this statistic is estimated as in equation (4), where  $\Phi$  is the cumulative  
 627 distribution function of the standard normal and the observed value of the test statistic  
 628  $W(X_0, X_1) = w(x_0, x_1)$ :

$$629 \quad p = 1 - 2 * \Phi(w_j(x_0, x_1)) \quad (4)$$

630 This is the parametric test statistic used to compare SSW frequency. In addition to  
 631 calculating the p-value of any test statistic it is also useful, a priori, to estimate the statistical  
 632 power of any testing framework. Tests with high statistical power minimize the likelihood of  
 633 Type-II errors (i.e. that the null hypothesis is not rejected when it is, indeed, false). For the test  
 634 statistic described above, we estimated the statistical power for a comparison with observations  
 635 of 60 winters with an SSW frequency of 0.6. Assuming a p-value of 0.05, the statistical power of  
 636 the test is high (above 0.8) for model integrations of more than 100 winters (the null hypothesis  
 637 will be rejected with a probability above 0.8) which is the case for all comparisons in this study  
 638 apart from the comparison between the historical simulations and the JRA-55 re-analysis. In this  
 639 later case, the power of the test is low only for cases in which the observed and modelled SSW

640 frequency is very similar (i.e. for model SSW frequencies of 0.2 and 1 SSW per year, the power  
641 is greater than 0.8).

642

### 643 *Bootstrapping method*

644 As an alternative to the parametric test, we can also construct a bootstrapping test as  
645 outlined by Boos [2003]. We assume that there are two sets of independent samples of the  
646 number of SSW events in each season  $\{X_1, \dots, X_m\}$  and  $\{Y_1, \dots, Y_n\}$ . To determine the confidence  
647 interval for the difference of mean frequency of the two sets  $\mu_x - \mu_y$  two samples (of equal size  
648 to the original samples) are drawn from the pooled observation set  $\{X_1, \dots, X_m, Y_1, \dots, Y_n\}$ , with  
649 replacement. The p-value of the true observation is calculated as the number of bootstrap  
650 samples with an absolute difference greater than the true value. In all cases, 10,000 bootstrap  
651 sample are drawn.

652 This bootstrapping technique was also applied to determine the confidence intervals on  
653 the seasonal distribution of SSW frequency. We choose to perform the bootstrapping on  
654 individual winters over a block bootstrapping approach to increase the sample size available for  
655 models that have a limited length of piControl simulation available. We have, therefore, assumed  
656 that there is no autocorrelation from one winter to the next, but comparison with a block-  
657 bootstrapping approach for the models that have long piControl simulations produced similar  
658 uncertainty ranges (not shown), indicating that this assumption is reasonable. For Figure 2, the  
659 uncertainty range is derived from the piControl simulation. Since there are 57 years in the JRA55  
660 record, we resample 57 years from the piControl simulation, with replacement and recalculate  
661 the SSW distribution, normalized by the number of SSWs in that sample. This is repeated 1000  
662 times and the uncertainty range shows the 2.5th to 97.5th percentile range of these 1000 samples  
663 (95% confidence interval) i.e., this is the uncertainty range from the model with an equivalent  
664 number of years to that of the observations.

665

### 666 Trend in SSW frequency and Time of Emergence

667 Analogously to the method of Hawkins and Sutton [2012] the time of emergence of a  
668 ‘signal’ in the frequency of SSW events is estimated by comparing the size of the trend in SSW  
669 frequency in the 1pctCO2 simulations with the ‘noise’ determined from the piControl simulation  
670 of the same model.

671 To calculate the signal term in each integration, a Generalized Linear Model fit to the  
672 data with a logarithmic link function, implemented in R is used. Trend estimates for decadal  
673 SSW frequency in the 1pctCO2 simulations. Modification to the method following  
674 (<https://stats.idre.ucla.edu/r/dae/poisson-regression/>) to account for cases with mild violation of  
675 the Poisson distribution in the models is included. The resulting regression equation is of the  
676 form:

$$677 \quad F_{SSW}(t) = e^{\beta_0 + \beta_t t} \quad (5)$$

678 Trend terms are expressed as a fractional multiplier of the count per decade. Due to the low mean  
679 annual frequency of SSW events, the noise on annual mean frequency estimates is large,  
680 therefore when estimating trends in SSW frequency and time of emergence we consider the

681 decadal mean SSW frequency. This means that time of emergence calculations are limited to the  
682 decade of emergence.

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932  
933

934 **Table 1.** List of models included in the analysis indicating their resolution and the ensemble  
 935 members considered in simulations (rXiXpXfX: where r corresponds to realization, i to  
 936 initialization, p to physics and f to forcing). Effective climate sensitivity for CO<sub>2</sub> doubling is  
 937 taken from analysis by A. G. Pendergrass using Gregory et al. [2004] method  
 938 (<https://github.com/apendergrass/cmip6-ecs>) apart from the estimate for GISS-E2.2AP which  
 939 was provided by a reviewer. We use the term ‘Effective Climate Sensitivity’ here following the  
 940 discussion in and recommendation of Zelinka et al. (2020)

941

<b>Models</b>	<b>Model resolution</b>	<b>Ensemble members</b>	<b>Internally generated QBO</b>	<b>Nr. of years piControl run</b>	<b>Effective Climate Sensitivity / K</b>
CanESM5 [Swart et al. 2019a,b]	T63L49, top 1hPa	r1i1p2f1	No	450	5.59
CESM2 [Danabasoglu et al. 2019, 2020]	1°x1° L32, top 40km	r1i1p1f1	No	1200	5.12
CESM2-WACCM [Danabasoglu, 2019; Gettelman et al. 2019]	1°x1° L70, top 150km	r1i1p1f1	Yes	500	4.61
CNRM-ESM2-1 [Séférian, 2018; Séférian et al. 2019]	T1127L91, top 0.01hPa	r1i1p1f2	Yes	500	4.66
GFDL-CM4 [Guo et al. 2018; Held et al. 2019]	C96L33, top 1hPa	r1i1p1f1	No	140	3.84
GISS-E2.2AP [NASA-GISS et al. 2018]	2°x2.5°, top 0.002hPa	r1i1p1f1	Yes	81	2.1
HadGEM3-GC31-LL [Roberts, 2017; Williamson et al. 2018]	N261L85, top 85km	r1i1p1f3 except for piControl run: r1i1p1f1	Yes	500	5.41
INM-CM5-0 [Volodin et al. 2017]	2x1.5L73, top 0.2hPa	r1i1p1f1	Yes	154	2.1
IPSL-CM6A-LR [Boucher et al. 2018]	N96, top 80km	r1i1p1f1	Yes	1200	4.49
MIROC6 [Tatebe et al. 2018; 2019]	T85L81, top 0.004hPa	r1i1p1f1	Yes	800	2.54
MRI-ESM2-0 [Yukimoto et al. 2019a b]	TL159L80, top 0.01hPa	r1i1p1f1	Yes	200	3.30
UKESM1-0-LL [Tang et al., 2019; Kuhlbrodt et al. 2018]	N96L85, top 85 km	r1i1p1f2	Yes	1100	5.27

942

943 **Figures captions**

944

945 **Figure 1. (a)** Average annual SSW frequency in the historical simulations (1958-2014) of the 11  
 946 models. Black lines show 95% confidence estimates for the annual frequency. Dashed black line  
 947 corresponds to SSW frequency in the JRA-55 reanalysis, with its 95% confidence interval in the  
 948 light gray shading. **(b)** Same as (a) but for SSW occurrence in the piControl (light gray bars) and  
 949 abrupt4xCO<sub>2</sub> simulations (dark gray bars). Black lines show 95% confidence intervals for each  
 950 estimate. Bars are ordered by the size of the difference between the two simulations.

951

952 **Figure 2.** SSW frequency distribution in the historical simulation of each model (blue line) and  
 953 JRA-55 reanalysis period (orange dashed line). The distribution has smoothed by a kernel  
 954 smoother of a bandwidth of 10 days. Shading corresponds to 2.5th-97.5th percentile range of the  
 955 bootstrap samples i.e., the 95% confidence interval on the mean of the piControl simulation. (See  
 956 more details about the determination of this interval in Appendix).

957

958 **Figure 3:** Scatter plots of the change of SSW frequency between the piControl and abrupt4xCO<sub>2</sub>  
 959 simulations vs. (a) the frequency in the piControl simulations, (b) the frequency in the historical  
 960 simulations, (c) the ECS, (d) the change in tropical temperature at 250hPa, (e) the change in  
 961 polar temperature at 850hPa and (f) the difference in polar-tropical temperature difference at  
 962 850hPa. In (a) and (b) the grey dashed line shows the observed SSW frequency in the JRA-55 re-  
 963 analysis (0.64 SSW yr<sup>-1</sup>). The temperature regions in (d)-(f) are defined as in Harvey et al.  
 964 (2014).

965

966 **Figure 4.** Probability distribution of daily zonal mean zonal wind at 60°N and 10hPa (m/s) for  
 967 the piControl (blue) and abrupt4xCO<sub>2</sub> (orange) experiments. Dashed lines represent the median  
 968 value of the distribution in each integration.

969

970 **Figure 5. (a)** Estimated fractional change in SSW frequency by the seventh decade of the  
 971 1pctCO<sub>2</sub> simulations. Light gray shaded lines indicate that the trend of SSW frequency in the  
 972 model is significantly different from zero at a p-value of 0.05. Dashed line indicates trend equal  
 973 to 1, i.e. no trend in the SSWs frequency. **(b)** Decade of emergence of SSW frequency trend for  
 974 those models in which the trend term is significantly different from zero, calculated as described  
 975 in the main text.

976

977 **Figure 6.** Box plots showing the distribution of dates of **(a)** polar vortex formation and **(b)**  
 978 stratospheric final warming in the Northern Hemisphere for the piControl (blue), historical  
 979 (green) and abrupt-4xCO<sub>2</sub> (red) simulations for all models and JRA-55 reanalysis. **(c)** Same as  
 980 (b) but for the Southern Hemisphere and only in piControl and historical runs. The interquartile  
 981 range is represented by the size of the box and the inside line (black cross) corresponds to the  
 982 median (mean). Whiskers indicate the maximum and minimum points in the distribution that are  
 983 not outliers. Outliers (red crosses) are defined as points with values greater than 3/2 times the  
 984 interquartile range from the ends of the box.

985

986 **Figure 7.** Composite maps of anomalous SLP (contour interval 1hPa) and 2m temperature  
 987 (shading) for 15/60 days after SSWs in piControl simulation and JRA-55 reanalysis (bottom  
 988 left). Green stippling indicates stat. significant differences in SLP from JRA-55 reanalysis at the  
 989 95% confidence level. Numbers in titles indicate the number of events considered.

990

991 **Figure 8.** Abrupt4xCO<sub>2</sub>-minus-piControl composite maps of anomalous SLP (shading, hPa) for  
992 15/60 days after SSWs. Anomalous SLP after SSWs in piControl run is shown in contours  
993 (interval: 1hPa). Green stippling indicates stat. significant differences from piControl run at the  
994 95% confidence level. Numbers in titles indicate the number of events considered in the  
995 piControl simulation (piC) and abrupt4xCO<sub>2</sub> (4x).

996

997 **Figure 9.** Fraction (%) of SSWs that are also PJO events in piControl (solid bars) and  
998 abrupt4xCO<sub>2</sub> (open bar) runs. Horizontal black solid and dashed line correspond to the mean  
999 value and the 2.5<sup>th</sup>-97.5<sup>th</sup> percentile range in JRA-55 reanalysis, respectively. Error bars are  
1000 based on bootstrapping.

1001

Figure 1.

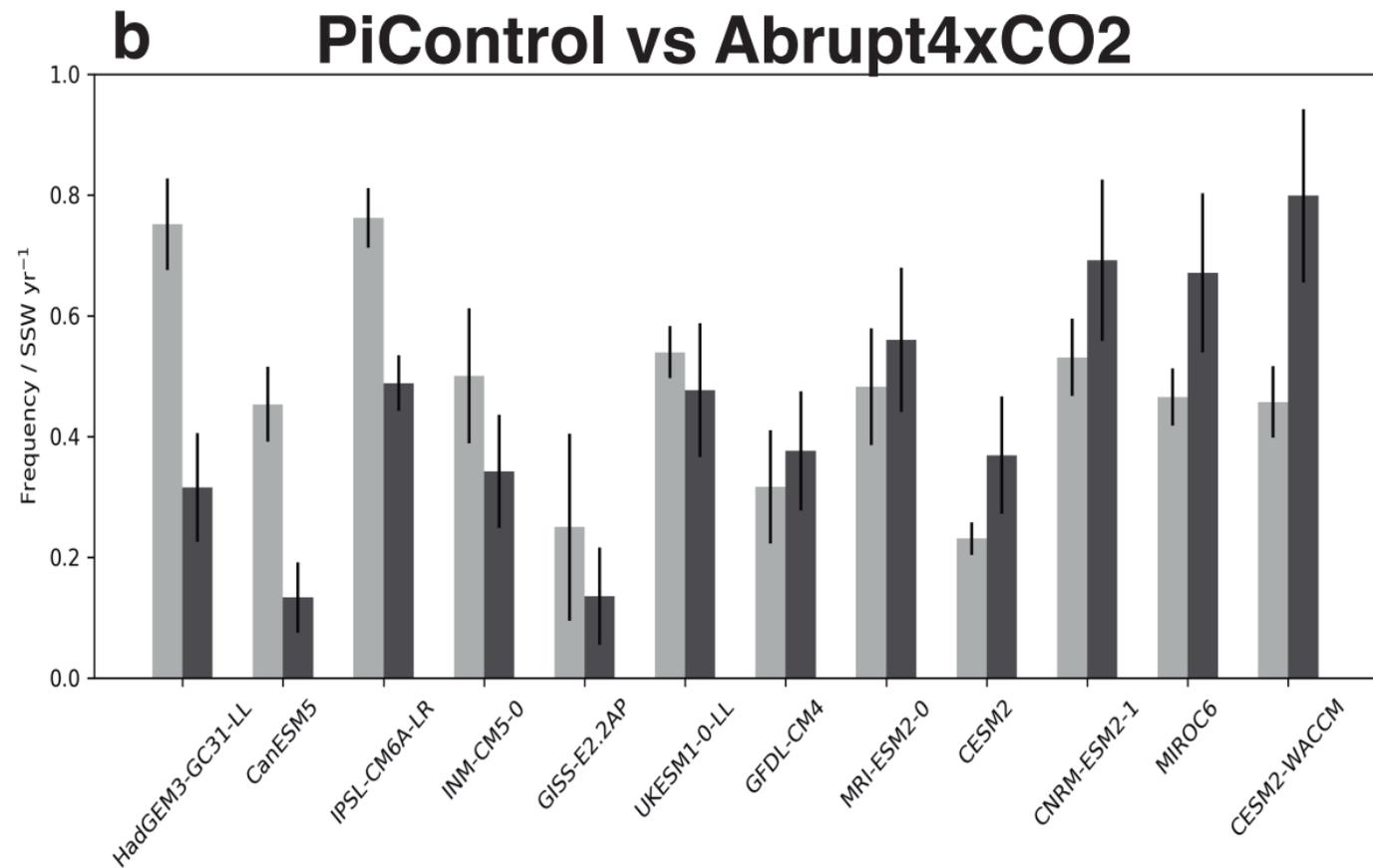
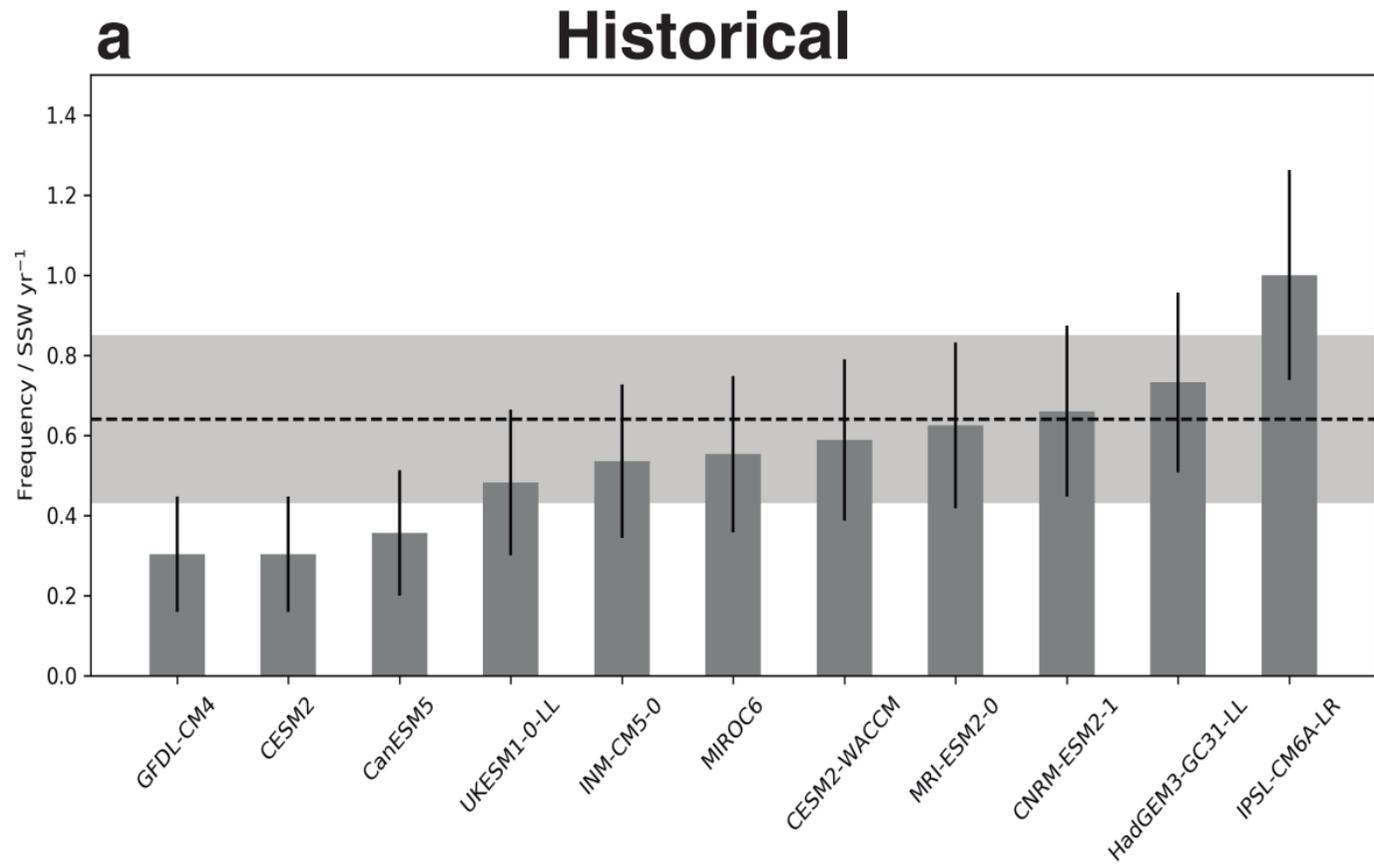


Figure 2.

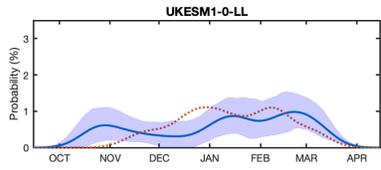
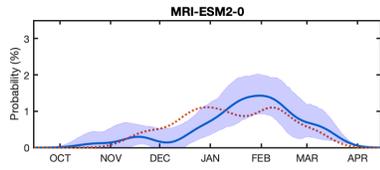
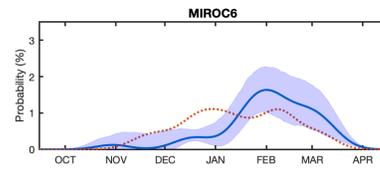
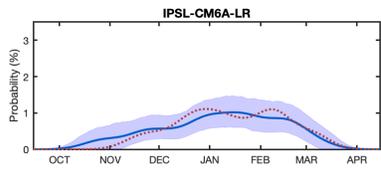
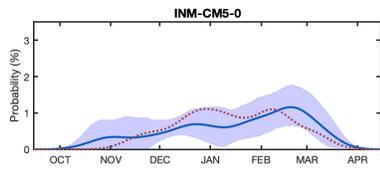
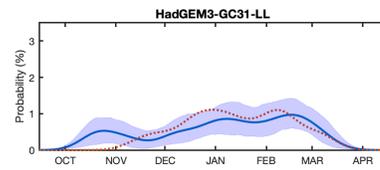
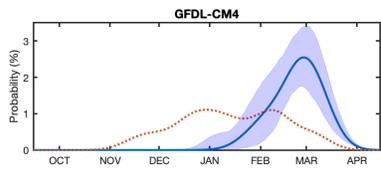
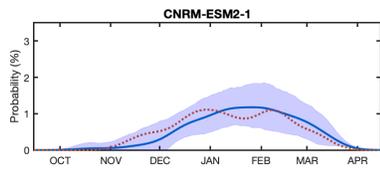
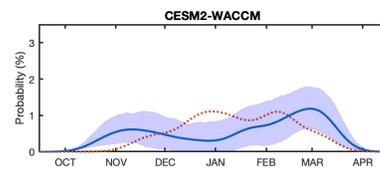
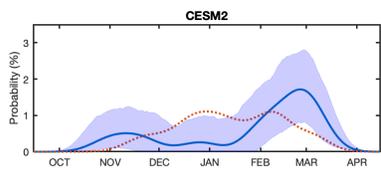
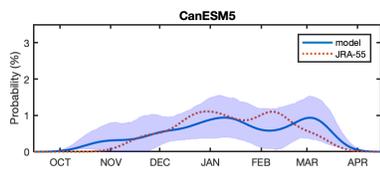
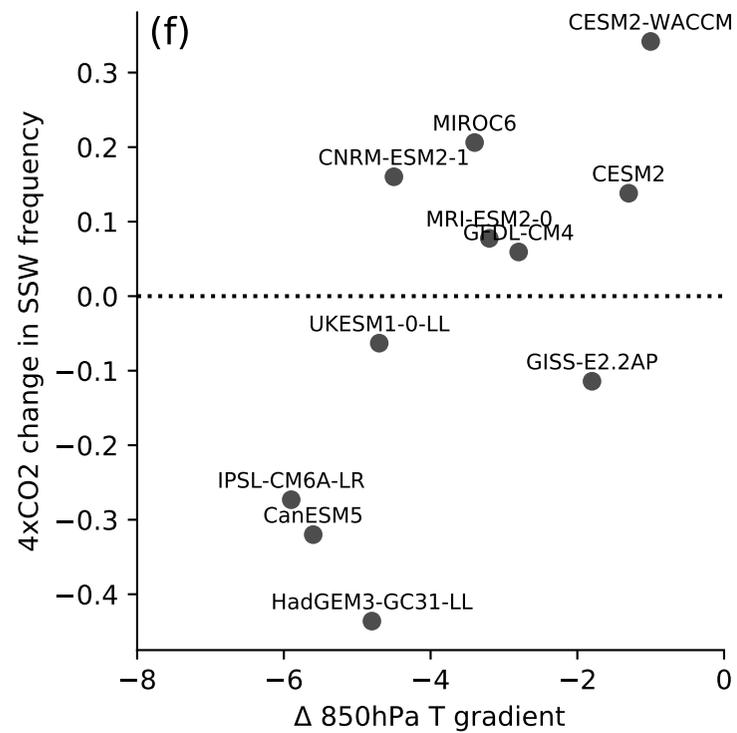
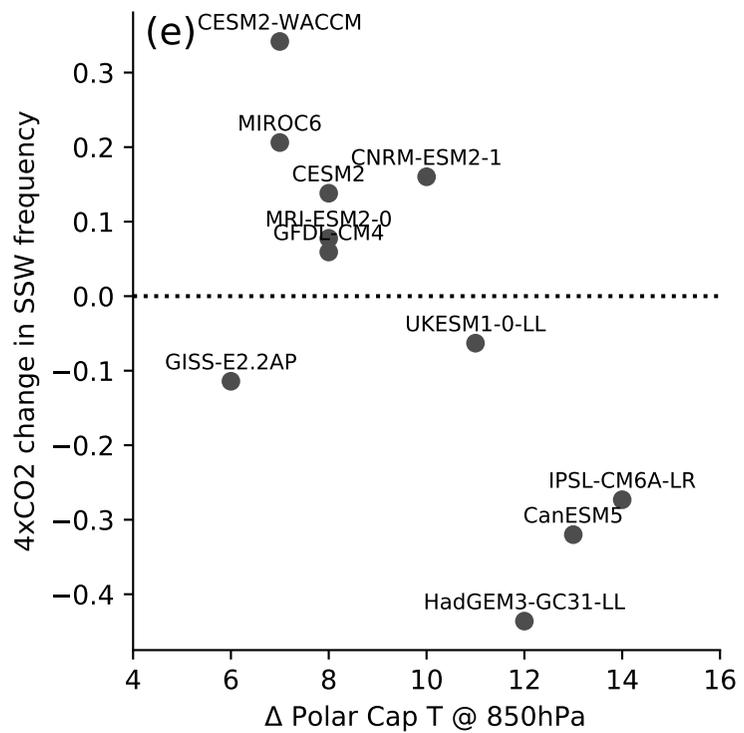
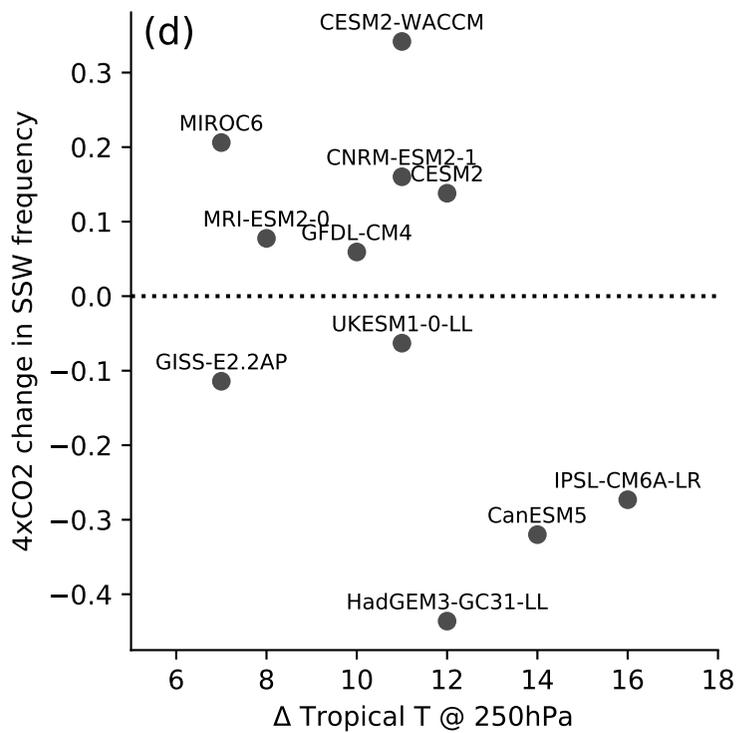
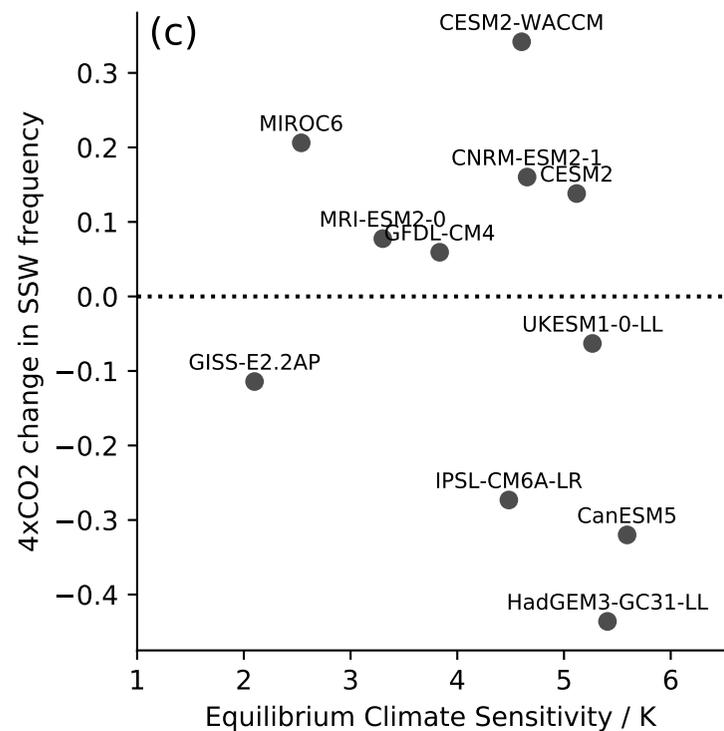
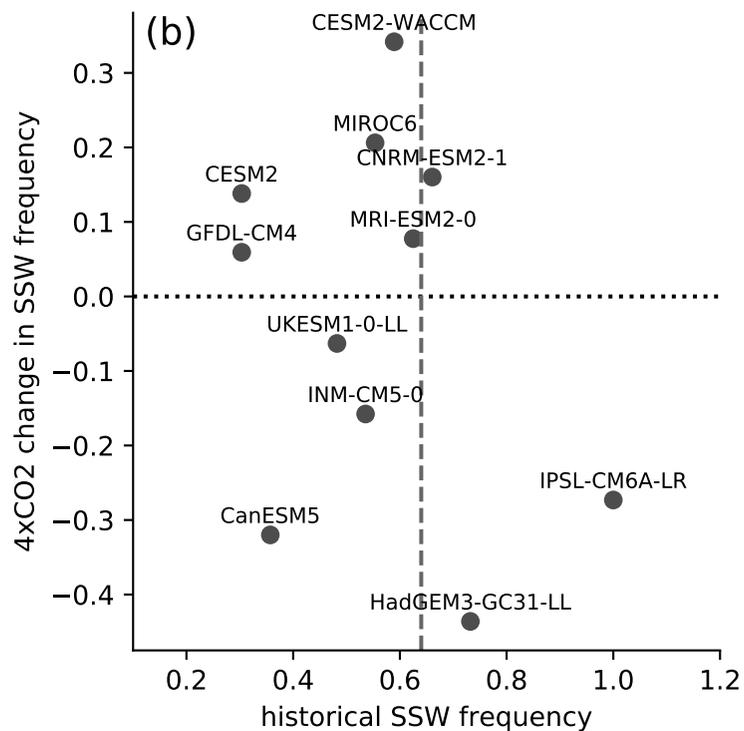
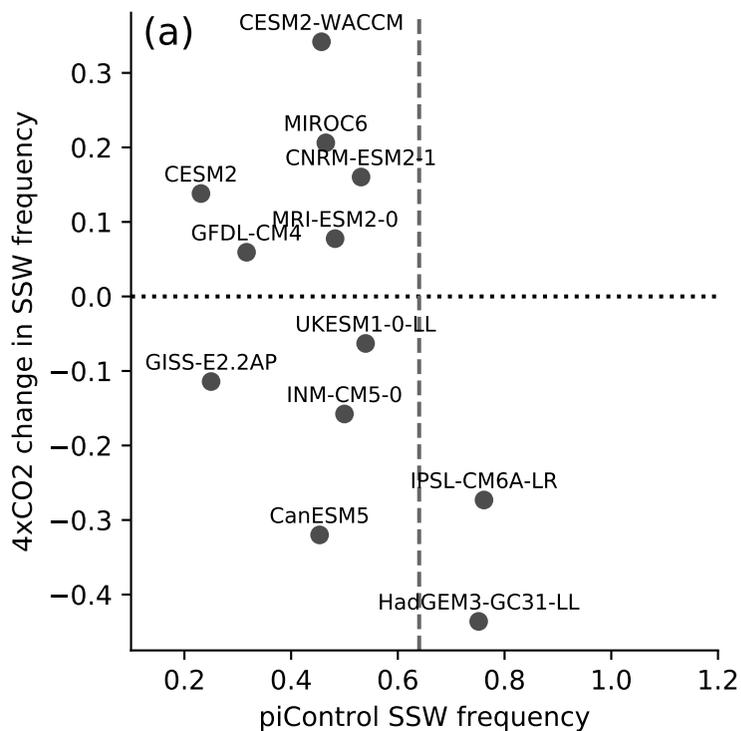


Figure 3.



**Figure 4.**

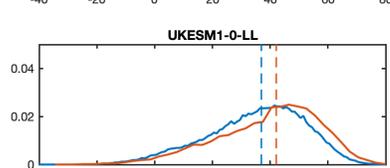
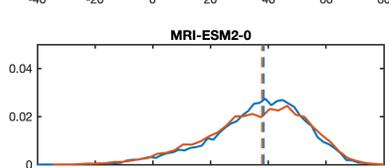
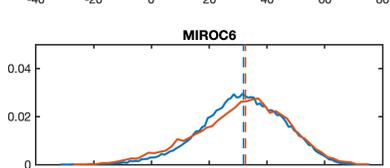
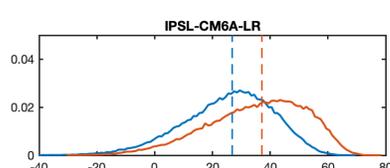
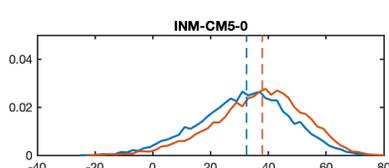
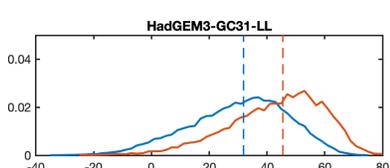
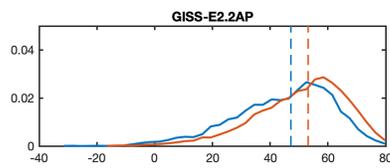
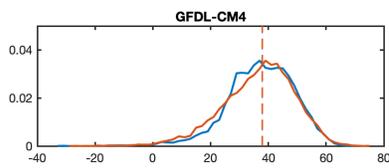
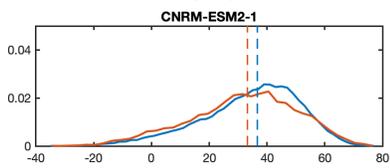
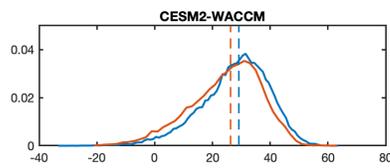
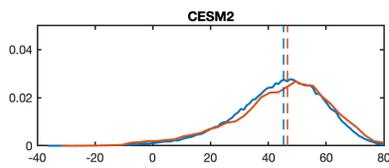
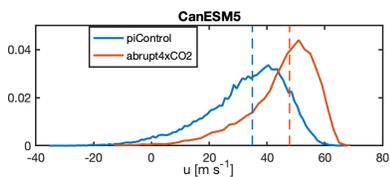
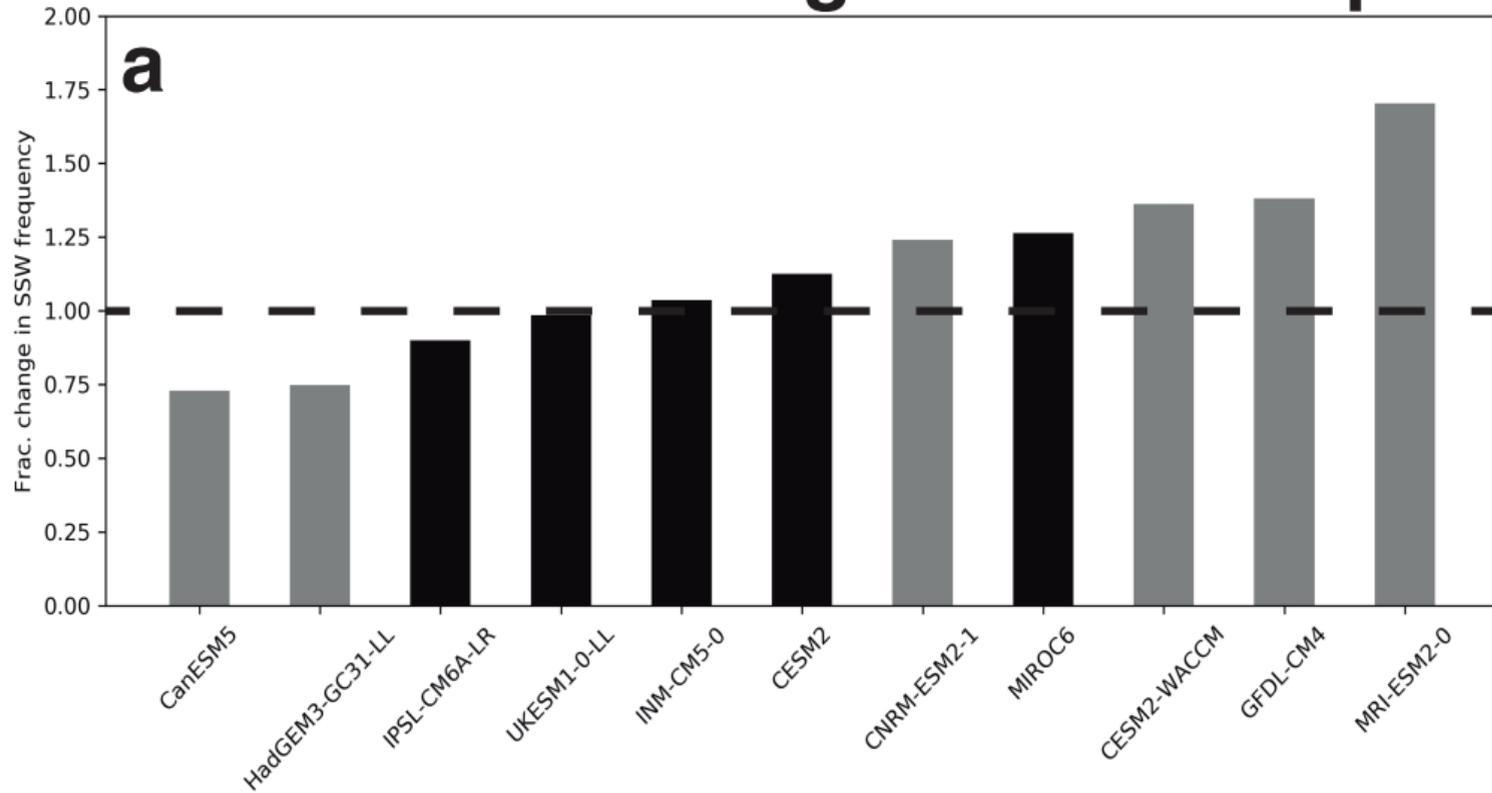


Figure 5.

## Fractional change in SSW freq.



## Decade of emergence of SSW freq.

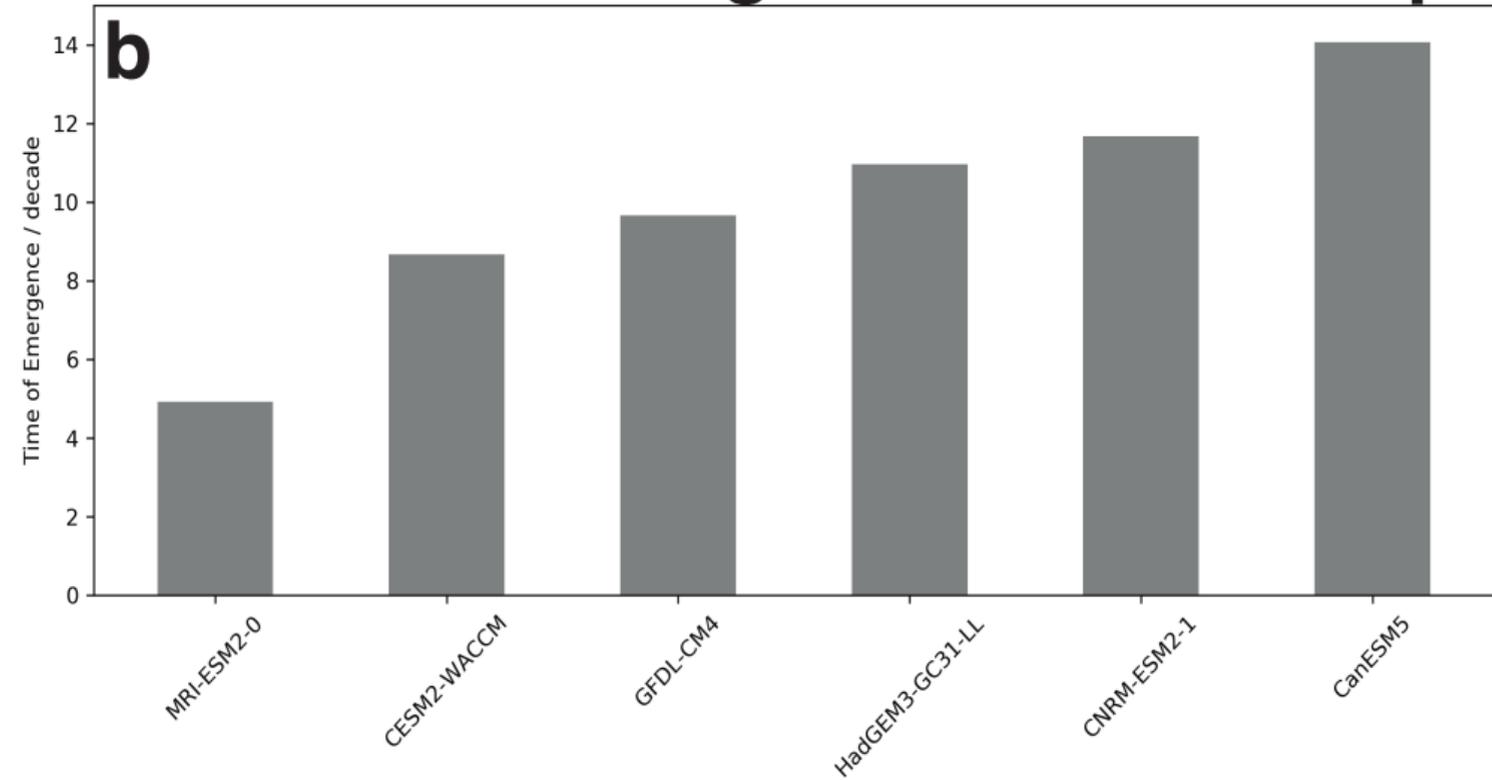
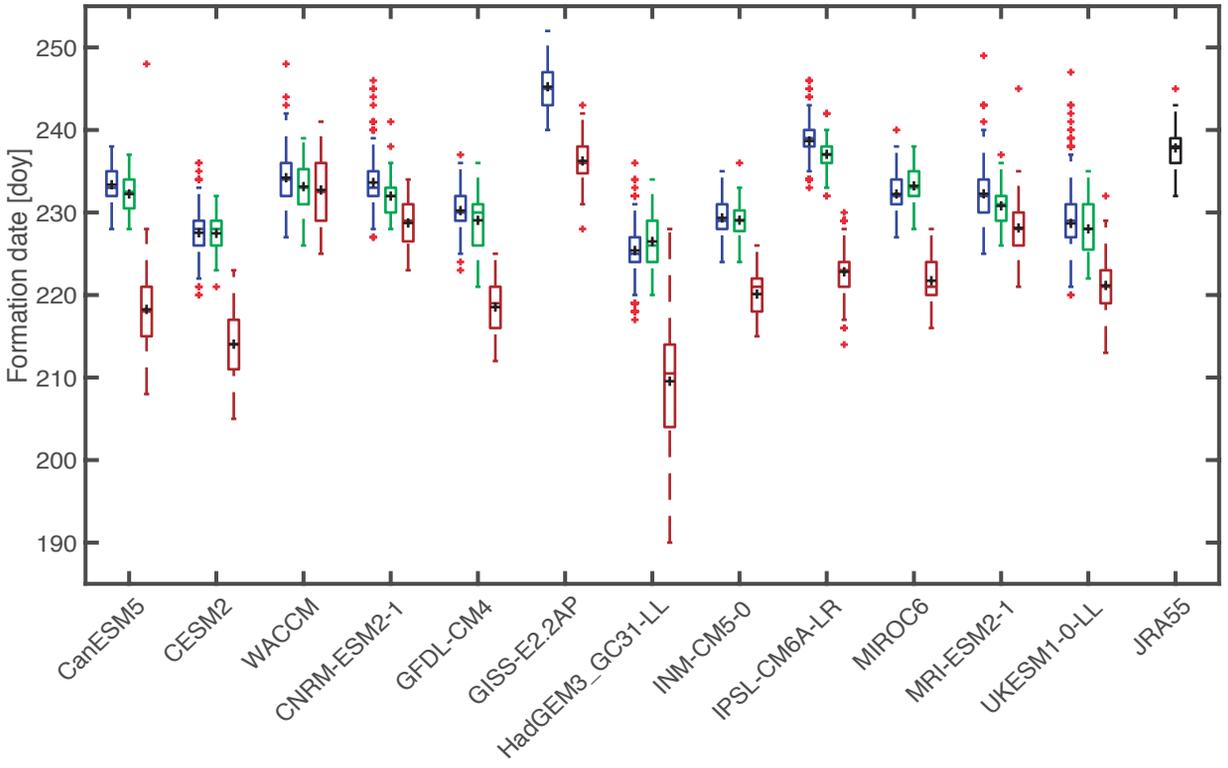
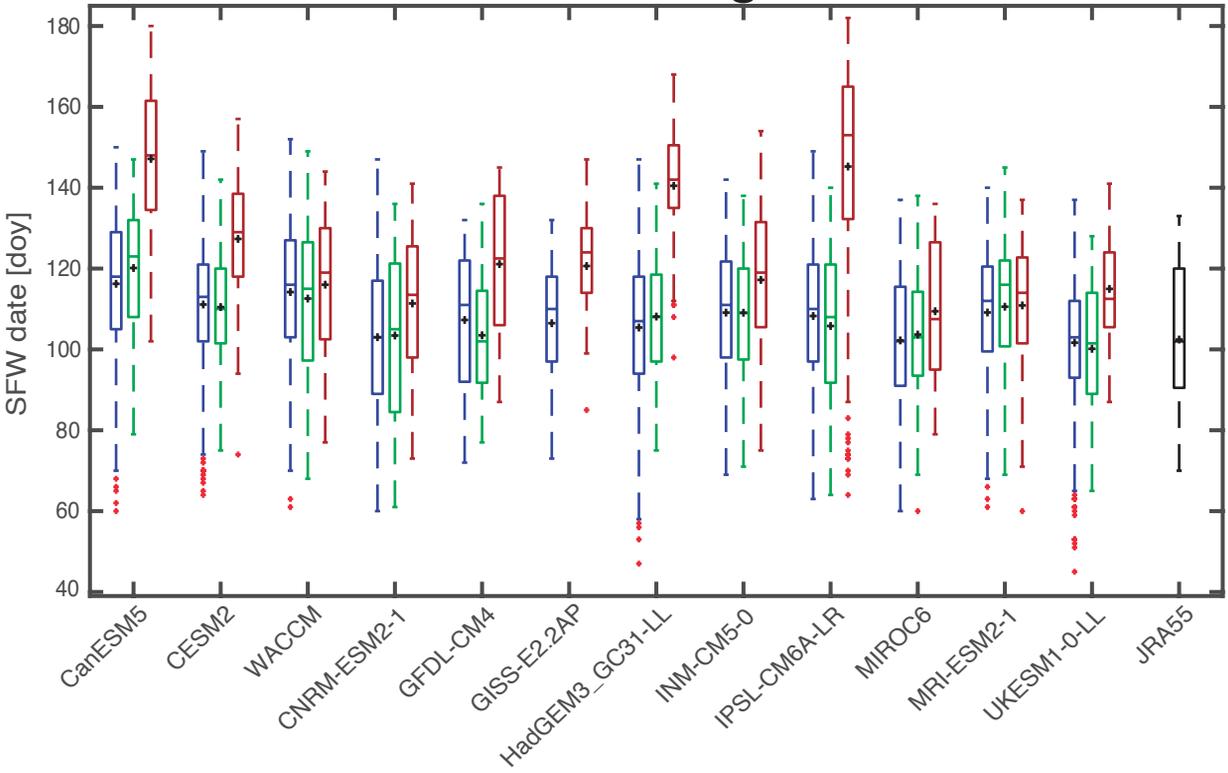


Figure 6.

### a Vortex formation NH



### b Final Warming NH



### c Final Warming SH

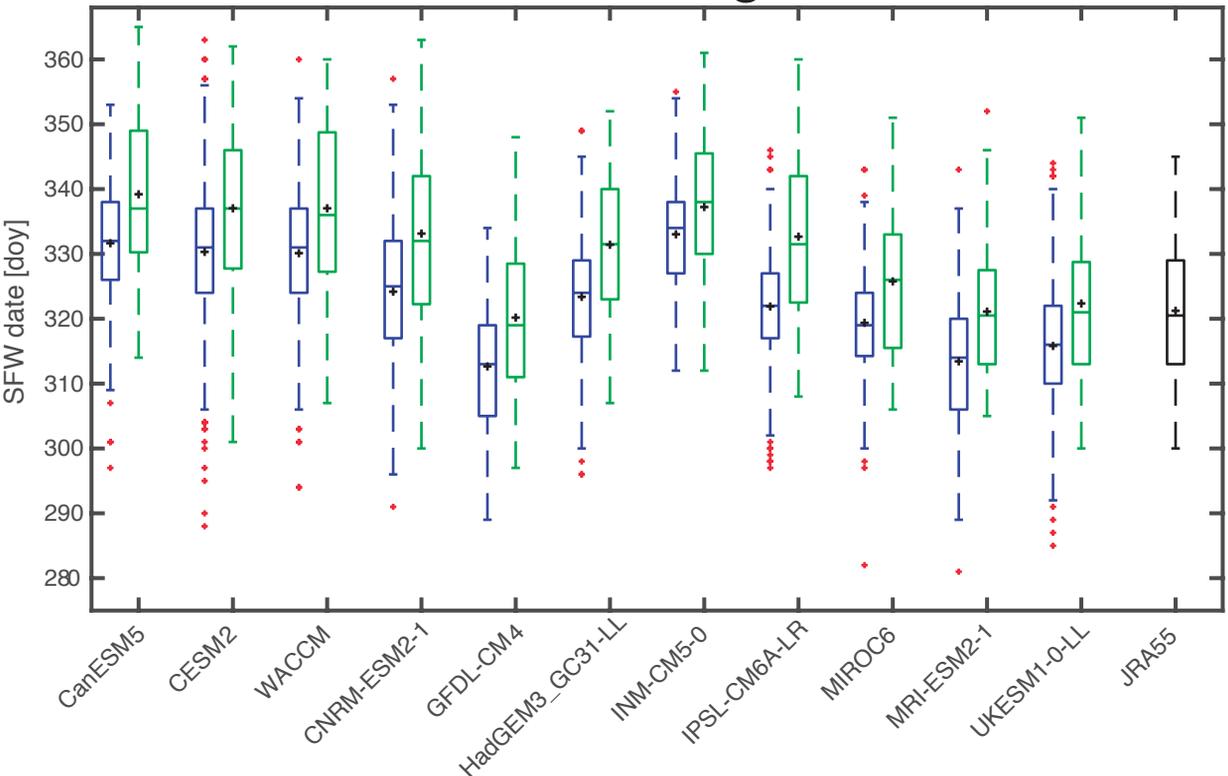
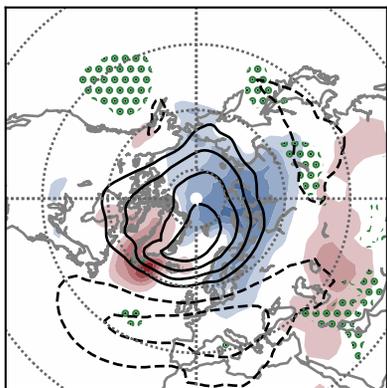
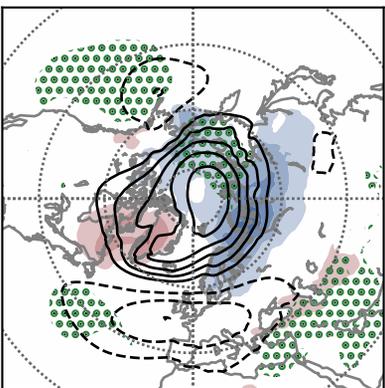


Figure 7.

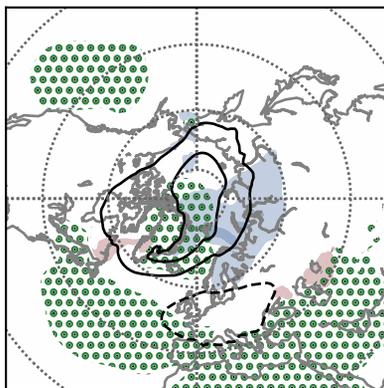
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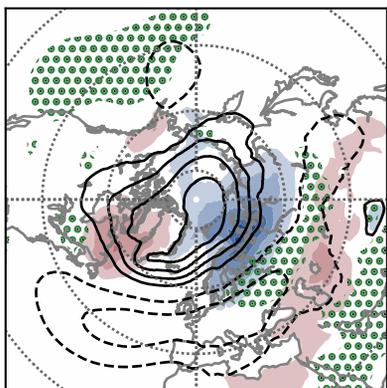
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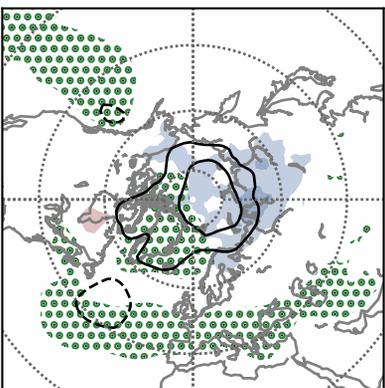
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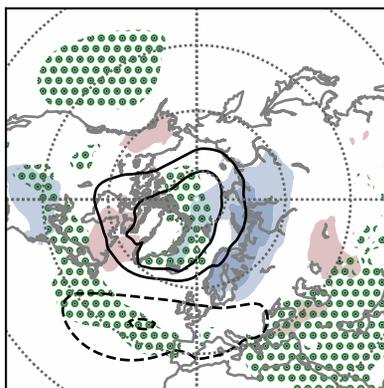
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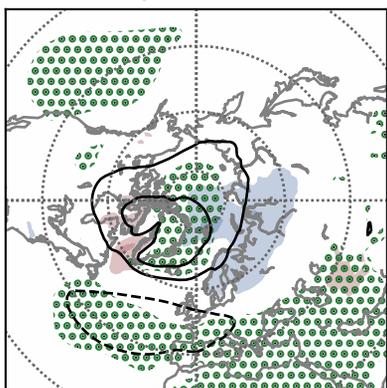
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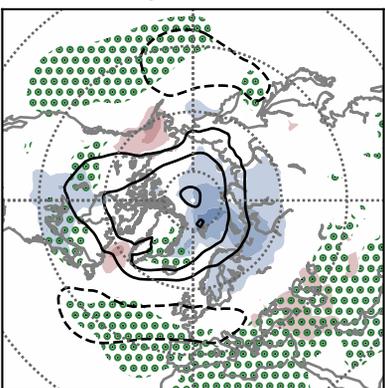
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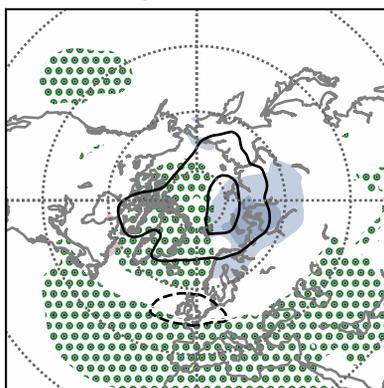
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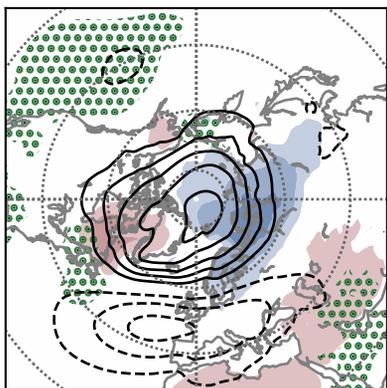
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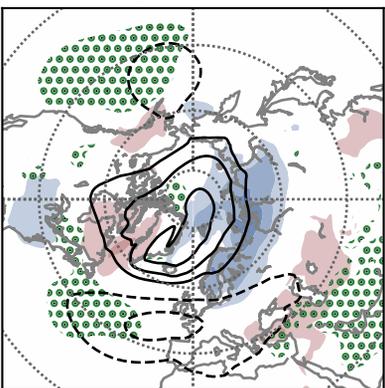
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MRI-ESM2-0  
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UKESM1-0-LL  
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JRA-55  
37

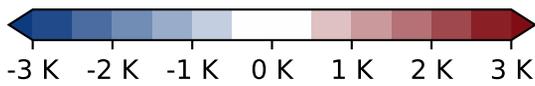
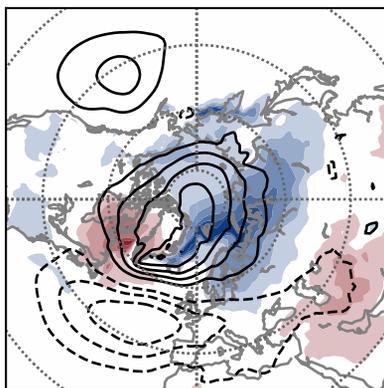
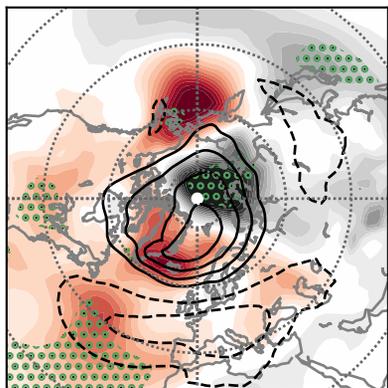
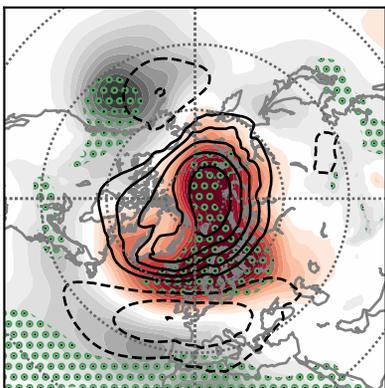


Figure 8.

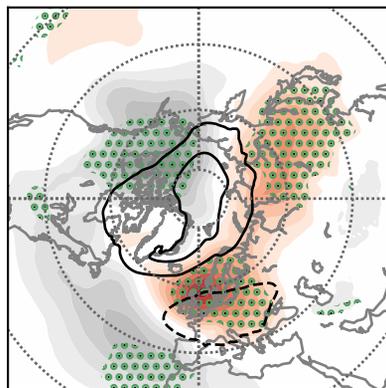
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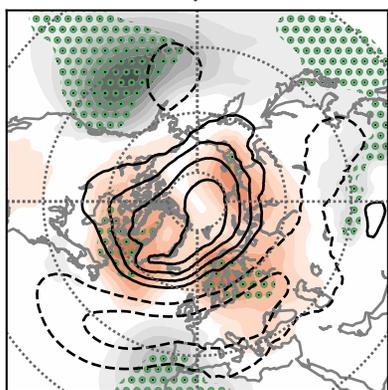
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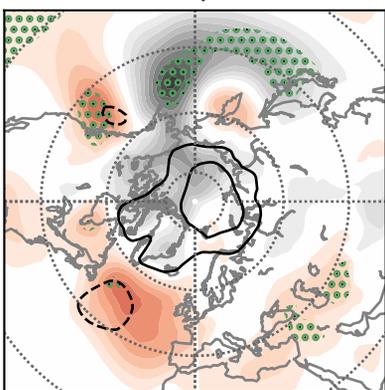
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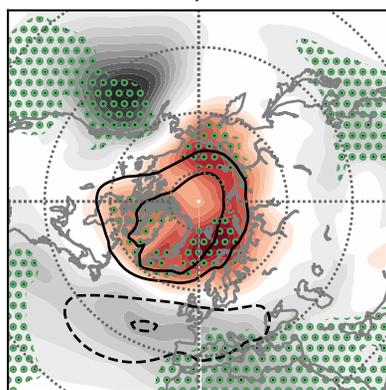
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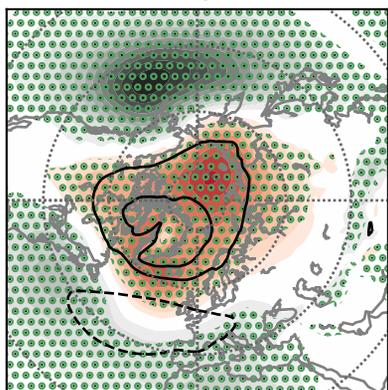
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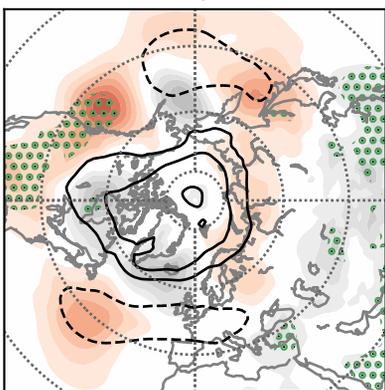
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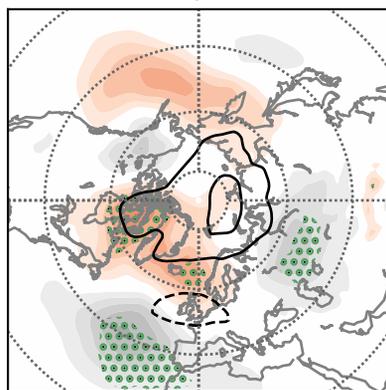
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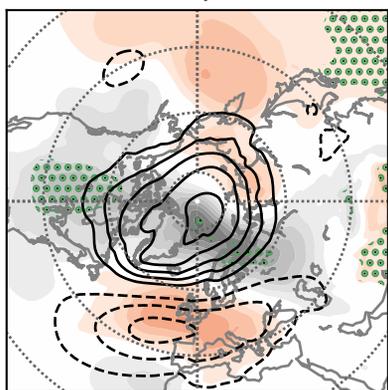
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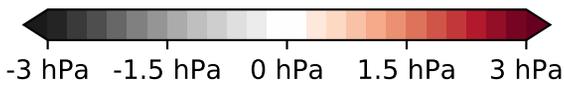
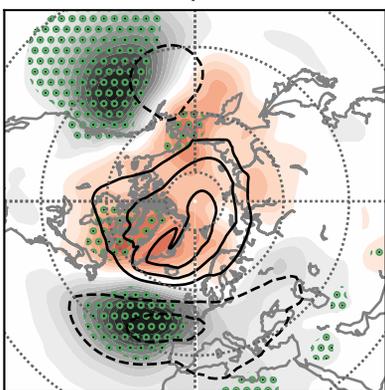


Figure 9.

% of SSWs that are PJOs

