

Structure and evolution of intense austral cut-off lows

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1	Structure and evolution of intense austral Cut-off Lows
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ABSTRACT

24 This study examines the three-dimensional structure and evolution of the 200 most 25 intense Cut-off Lows (COLs) in the Southern Hemisphere (SH). This is done using 26 feature tracking and cyclone-centred compositing based on the ERA-Interim reanalysis. 27 Composites confirm the existence of a well-defined tropospheric moist cold core co-28 located with warm dry air in the lower stratosphere. Such cores are surrounded by 29 regions of strong temperature gradients (frontal zones) which move downstream 30 throughout the life cycle. The stratospheric air intrusion into the troposphere is 31 identified in vertical cross-sections of potential vorticity and ozone, a process referred to 32 as tropopause folding. Precipitation occurs ahead of the COLs because of the low 33 (high)-level convergence (divergence) and strong upward motion. The maximum 34 precipitation is observed during decay, indicating a possible link between COLs and 35 surface cyclones. Composites conditioned on relative vorticity and precipitable water 36 suggest these variables may be related to precipitation. The COLs exhibit a westward 37 tilt during their early stages but they change to a barotropic state in the mature stage. Finally, the main characteristics of the COLs are summarized by categories which 38 39 discriminate different intensities, indicating there are differences in the structure of 40 COLs with consequences for precipitation. These efforts aim to provide new insights 41 into the development of COLs in the SH which could aid in identifying and forecasting 42 their various types and associated precipitation patterns.

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Keywords: Cut-off Lows; Composites, Structure; Life Cycle, Southern Hemisphere.

47 **1 Introduction**

48 Cut-off Low (COL) pressure systems are upper-tropospheric cold lows that form when a 49 mid-latitude upper-tropospheric trough deepens equatorward (Palmén 1949; Palmén and 50 Newton 1969). Typical COLs are short-lived and quasi-stationary systems with irregular 51 trajectories, thereby posing a great challenge for their prediction even with modern forecasting systems (Bozkurt et al. 2016; Muofhe et al. 2020). The statistics of COLs 52 53 such as their mean preferred locations are extensively documented in studies for the 54 Northern Hemisphere (NH, Price and Vaughan 1992; Nieto et al. 2005; 2008) and 55 Southern Hemisphere (SH; Fuenzalida et al. 2005; Reboita et al. 2010; Pinheiro et al. 56 2017). Other studies have investigated the key structural features of COLs (Palmén 57 1949; Hoskins et al. 1985; Qi et al. 1999; Garreaud and Fuenzalida 2007; Satyamurty 58 and Seluchi 2007; Godoy et al. 2011; Ndarana et al. 2020) but have focused on specific 59 regions or individual events, which may be subject to uncertainty due to the system 60 variability. Therefore, the average characteristics related to the structure and evolution 61 of COLs have had less attention, in particular in the SH.

62 There are many reasons why it is important to study COLs, one of which is related to 63 precipitation and its impact on human activities. For example, COLs are associated with 64 heavy rainfall events and severe flooding in southern Europe and northern Africa 65 (Knippertz and Martin 2005; Delgado et al. 2007; Llasat et al. 2007; Nieto et al. 2008). 66 In the Southern Hemisphere, COLs are found to account for about half of the total 67 precipitation in southeast Australia (Pook et al. 2006), producing more of the heavier rainfall and flood events in coastal areas (Risbey et al. 2009). They are often associated 68 69 with heavy precipitation on the Eastern Cape coast (South Africa; Singleton and Reason 70 2006) and anomalously high precipitation may occasionally occur in arid regions, as 71 observed in the Namib and Kalahari Deserts in southern Africa (Muller et al. 2008) and the Atacama Desert in South America (Bozkurt et al. 2016; Reboita and Veiga 2017).
Additionally, COLs play an important role in the stratosphere-troposphere exchange
(STE) (Price and Vaughan 1993), which can occasionally result in abrupt changes in the
ozone concentration at high elevation locations (Rondanelli et al. 2002).

76 Early studies have documented how COLs present well-defined and asymmetrical cloud 77 and precipitation structures where deep convective clouds are typically located on the 78 eastern edge of the vortex, contrasting with relatively dry air on the upstream side 79 (Griffiths et al. 1998; Singleton and Reason 2006; Satyamurty and Seluchi 2007). 80 However, other studies have shown that convective clouds can be seen to the west of the 81 vortex for COLs in the Mediterranean Region (Delgado et al. 2007; Nieto et al. 2008), 82 suggesting there may be regional differences regarding the cloud and precipitation 83 patterns or there are differences in how COLs are defined in each region and study. 84 Differences in precipitation are also evident with respect to the lifecycle stage. Although 85 Delgado et al. (2007) showed that the probability of heavy precipitation decreases 86 considerably after the peak intensity in the Mediterranean COLs, other studies have 87 demonstrated that most of the precipitation in COLs that affect South America occur 88 from the mature to the decay stages (Satyamurty and Seluchi 2007; Godoy et al. 2011; 89 Bozkurt et al. 2016).

Some of the characteristics of COLs that occur in the NH, but particularly in the Iberian Peninsula and Mediterranean regions, were identified and conceptualized by Nieto et al. (2005) and Llasat et al. (2007). The study of Nieto et al. (2005) proposed a conceptual model of COLs that involves four stages: the upper-level trough, tear-off, cut-off and final stage. These stages represent an idealized view of how key meteorological fields vary through the COL lifecycle. However, this structural model appears not to address some crucial aspects, such as the precipitation and how it varies at each stage. It is also 97 unknown if the Nieto's conceptual model is relevant for COLs in the SH. Hence, a more98 comprehensive analysis of these systems is needed.

99 The understanding of the structure and evolution of COLs is one of the keys to 100 understand their associated cloud and precipitation features. There have been several 101 studies focusing on mid-upper level COLs in both hemispheres, but the literature is 102 limited concerning the vertical structure of COLs and its relation with impacts such as 103 precipitation. Scherhag (1939) stated that COLs are strictly related to depressions at 104 high levels with no surface cyclone. Indeed, COLs are stronger in the upper troposphere 105 and weaken toward the surface, and it is not uncommon to find an anti-cyclonic 106 circulation at low levels (Kuo 1949). However, there are situations in which the vortex 107 deepens downward, leading to surface cyclogenesis (Gan and Rao 1996; Mikyfunatsu 108 2004). Thus it is plausible that the vertical depth (extent) is highly variable in COLs and 109 crucial to determining the cloud and precipitation properties, as earlier suggested by 110 Frank (1970) and demonstrated by the findings of Porcù et al. (2007). Porcù et al. 111 (2007) found that deep COLs in the Mediterranean Region are associated with high 112 rainfall rates affecting relatively large areas, while shallow COLs (defined as the 113 vortices confined to high levels) do not often produce precipitation.

114 There have been several regional case studies investigating the structure of COLs 115 (Palmén 1949; Hoskins et al. 1985; Price and Vaughan 1993; Satyamurty and Seluchi 116 2007; Godoy et al. 2011). The pioneering study of Palmén (1949) showed that COLs 117 have a quasi-barotropic structure with a symmetric circulation at high levels, where 118 maximum winds occur at about 200 hPa and intersect with the tropopause region. Other 119 studies have described the structural key features using observations derived from 120 reanalysis datasets (Mikyfunatsu 2004; Nieto et al. 2008; Ndarana et al. 2020) or 121 numerical model outputs (Garreaud and Fuenzalida 2007; Bozkurt et al. 2016). Several

122 studies have used Potential Vorticity (PV) as a tool to identify and analyse the 123 dynamical evolution of COLs and the tropopause folding. This process contributes to 124 the transfer of high-PV air from the polar stratospheric reservoir to the subtropical 125 troposphere. There are numerous case studies (Palmén 1949; Hoskins et al., 1985; Bell 126 and Bosart 1993) describing the thermal structural properties of COLs, showing the 127 presence of a well-defined cold core in the middle and upper troposphere with a 128 stratospheric warm core aloft. This characteristic contributes to increased atmospheric 129 instability, particularly if the system moves over warm moist surfaces (Kousky and Gan 130 1981; Nieto et al. 2005).

131 It is well-known that COLs are characterised by strong baroclinicity in the upper layers 132 of the troposphere, as documented in several studies (Palmén 1949; Shapiro 1970; 133 Hoskins 1971). An attempt to identify the baroclinic zones associated with the COLs 134 that occur in the Mediterranean region was carried out by Sabo (1992). The study of 135 Sabo (1992) used the thermal frontal parameter (TFP; Clarke and Renard 1966) and 136 found the existence of two baroclinic zones, one in front of the COL centre (i.e. east of 137 the geopotential minimum) which is connected with the frontal cloud band, and the 138 other behind the COL centre associated with a baroclinic boundary. More recently, 139 Nieto et al. (2005) used the TFP as a conceptual criterion for identifying COLs in the 140 NH (see step 3 of their methodology). According to these studies, the main baroclinic 141 zone is typically found downstream of the trough axis, where the TFP values are higher 142 than those located in the COL centre.

Most conceptual models and theories of COLs have essentially been developed through case studies (Palmén 1949; Hoskins et al. 1985; Nieto et al. 2005; Llasat et al. 2007), providing a valuable framework to understand the dynamical evolution of COLs. However, limited sample sizes may bias the conceptual models to specific cases. Hence, 147 basing a conceptual model on a larger sample size may provide improved insights into 148 COL behaviour. Since most Most conceptual models have been built on evidence-based 149 case studies for COLs in the NH, where their properties may differ from those 150 associated with SH COLs (because of the association with the zonal asymmetries in 151 topography, land-sea contrast, and seasonal variation of jet stream). and motivated 152 Motivated by the limited number of studies on the structure of COLs in the SH, and 153 hypothesizing that SH COLs may be different from NH COLs, it is therefore important 154 to identify the average properties presented by typical austral COLs that may be 155 considered as features in conceptual models of SH COLs.

The aim of this study is to provide a comprehensive analysis of the mean structure and evolution of the typical COLs observed in the SH. A compositing methodology is used to analyse the key features of COLs through horizontal and vertical composites of several fields relevant to the structure of COLs. Given the differences in the COL characteristics observed from case to case, the main questions to be addressed in this study are the following:

162 1) What is the typical structure and lifecycle of SH subtropical COLs?

163 2) How is the precipitation related to the magnitude of moisture and intensity of the164 COLs observed in the SH?

The focus of this paper is on the extreme austral COLs obtained as the 200 most intense systems (> 98th percentile) identified in reanalysis data during a 36-year period (1979-2014). This analysis goes beyond the scope of earlier studies, making results more statistically robust and providing a guiding framework for the development of conceptual models for COLs.

170 The methods used to track and create composite fields of COLs are described in Section 171 2. The spatial statistics for all COLs and the strongest COLs are presented in Section 3. 172 In Section 4 we describe the main structural features of COLs through horizontal and 173 vertical composite fields and provide further insights into the COL structure in the 174 Supplementary Material. Section 5 focuses on the composites of the life cycle of COLs. 175 Section 6 examines how precipitation varies with respect to the intensity of COLs and 176 their moisture content. Finally, two conceptual models are proposed in Section 7 to 177 explain the effect of the intensity on the vertical structure of COLs and their 178 precipitation, together with discussion of the results and concluding remarks.

179 2 Data and analysis methodologies

180 2.1 ERA-Interim Reanalysis

181 The main data used in this study comes from the European Centre for Medium-Range 182 Weather Forecast (ECMWF) ERA-Interim (ERAI) Reanalysis (Dee et al. 2011), which 183 is used to provide a detailed view of the structure and evolution of SH COLs. In the 184 absence of any truth to compare with, this reanalysis is in good agreement with other 185 contemporary reanalyses in respect of COL identification and distribution in the SH 186 (Pinheiro et al. 2020). ERAI has been produced by ECMWF using a spectral model 187 with TL255 horizontal resolution (~80 km-) and 60 vertical hybrid levels with the model 188 top at 0.1 hPa. A 4D-Var data assimilation scheme is used to assimilate the diverse 189 observations from satellite and terrestrial data. For the surface boundary conditions, the 190 Sea Surface Temperature (SST) and Sea Ice Concentration (SIC) are prescribed using 191 different SST and SIC products, as described in Dee et al. (2011). The ERAI data was 192 used for the period from 1979 to 2014.

193 **2.2 Tracking and compositing methodology**

194 The SH COLs are identified and tracked in two fields (6 hourly) independently as features with minima below -1.0 x 10⁻⁵ s⁻¹ for filtered 300-hPa relative vorticity (ξ_{300}) 195 and -50 geopotential meters (gpm) for filtered 300-hPa geopotential anomaly (Z'_{300}). 196 197 The Z'_{300} is obtained by removing the zonal mean from geopotential data (Z_{300}) in order to facilitate the identification (Pinheiro et al. 2019). The reason for the use of both ξ_{300} 198 199 and Z'_{300} is to give a generic view of the structure of the SH COLs. Before the 200 identification and tracking, the data are spectrally truncated to T42 for vorticity, as this 201 is a very noisy field, and T63 for geopotential which is a smoother field. The respective 202 minima are first identified at each time step on the grid and then refined using an 203 interpolation and minimization method (Hodges, 1995). The tracking is performed by 204 first initializing a set of tracks from the identified 'feature points' using a nearest 205 neighbour approach and then applying an optimization of a cost function for track 206 smoothness which is subject to adaptative constraints appropriate to the type of motion 207 for the COLs, as discussed in Pinheiro et al. (2019). A post tracking filtering with 208 respect to the 300-hPa horizontal wind components (U_{300}, V_{300}) is used to avoid open 209 troughs in the analysis. Four offset points located at 5° (geodesic distance) from the 210 tracked minima are sampled along the tracks in four directions relative to the centre, 211 which are 0° ($U_{300} > 0$), 90° ($V_{300} < 0$), 180° ($U_{300} < 0$), and 270° ($V_{300} > 0$) relative to 212 North. Given the different choice of methods derived from different variable 213 combinations, the method described above has been found effective for COL 214 identification (Pinheiro et al. 2019) as the mentioned scheme detects the largest number 215 of COLs generally seen in geopotential maps. Only the tracks that reach 40°S or have 216 their genesis north of 40°S and last at least 24h are included. To discard tropical 217 systems, tracks that occur north of 15°S are excluded.

218 A compositing methodology that has previously been applied to tropical and 219 extratropical cyclones (Bengtsson et al. 2009; Catto et al. 2010; Dacre et al. 2012; 220 Hawcroft et al. 2016) is applied here to obtain the composite structure and evolution of 221 COLs. The focus is on extreme COLs obtained from the 200 most intense systems that 222 can be found in both ξ_{300} and Z'_{300} . The identically same COLs are identified by 223 matching the tracks from the vorticity and geopotential that have a mean separation 224 distances less than four degrees and that overlap in time by at least 50% of their track points. For the composite procedure, each COL is centred on the time when the ξ_{300} and 225 226 $\frac{Z'_{2000}}{Z'_{2000}}$ -lowest minimuma are is found along each track. Then, single level fields (such as 227 precipitation) or multi-level fields (such as winds) are extracted on to a radial grid with 228 maximum radius of 15° centred on the COL centres (15 degree ~ 1500 km), which is 229 suitable for capturing the synoptic features of COLs. Since COLs move preferentially 230 eastward and we aim to examine the horizontal tilt, composites are not rotated to the 231 direction of propagation prior to compositing (Catto et al. 2010). This allows us to view 232 the COL horizontal tilt during its development referred to cardinal points relative to 233 North (0°). The vertical tilt is obtained as the (geodesic) angle between the ξ_{300} tracked 234 minima and the corresponding vorticity minima at a number of levels from 300 hPa 235 down to 1000 hPa, similar to that performed by Bengtsson et al. (2009) for extratropical 236 cyclones except the search is performed downwards.

237 **3 Spatial statistics for all and the strongest Cut-off Lows**

Before presenting the results for the structure and evolution of COLs, the hemispheric COL climatology is shown in Figure 1 as the track density computed using spherical kernel estimators (Hodges 1996) using all identified matched COLs (total number is 11,542 tracks) and for the 200 most intense COLs that match for a 36-year period. The climatological track density shows a distribution very similar to that obtained in earlier 243 studies of mid-upper level COLs (Fuenzalida et al. 2005; Reboita et al. 2010; Ndarana 244 and Waugh 2010; Favre et al. 2012; Pinheiro et al. 2017) where the highest values are 245 found in the vicinity of the continents. For the strongest COLs, in particular, the track 246 density maxima shift to more poleward latitudes, being located on the poleward side of 247 the main region of the track density. This is the case for the strongest COLs located in 248 the eastern Indian Ocean, southeast Australia, and southern Africa, where values exceed 249 0.3 units, coinciding with the location of large values of mean intensity of COLs (see 250 Fig. 5 of Pinheiro et al. 2017). The strongest COLs that are located at more equatorward 251 latitudes in the SH occur off the west coast of South America (values up to 0.4) where 252 such events frequently affect areas of northern Chile and southern Peru (Garreaud and 253 Fuenzalida 2007; Bozkurt et al. 2016; Reyers and Shao 2019). However, in the western 254 Pacific where the climatological track density is relatively large, the frequency for the 255 strongest COLs is quite small. In this sector, the high COL activity is partly associated 256 with the high-frequency of blocking (Trenberth and Mo 1985; Marques and Rao 2000) 257 which may differ from the structure of typical COLs, as described by Palmén (1949). 258 According to Hoskins et al. (1985), many COLs can develop in association with 259 blocking highs as part of the same phenomenon, which can be distinguished by the sign 260 of the isentropic PV anomaly.

261 4 Composites of Cut-off Low structure

The main synoptic-scale features of COLs that have been described in case studies and conceptual models are compared with the composites of the 200 most intense COLs from the ERAI reanalysis. The structure of COLs is described through different variables and pressure levels using the identical systems identified in both ξ_{300} and Z'_{300} . Although the analysis is based on the ξ_{300} and Z'_{300} COLs, composites are extracted from the time of maximum intensity in ξ_{300} for each COL (see Fig.1 for the <u>locations of the maximum intensity in each COL</u>), so the discussion will be in terms of
the relative vorticity. The upper-level features of SH COLs will be presented in Section
4.1, followed by an examination of the vertical features in Section 4.2.

271 **4.1 Upper-level features**

272 In this section the key upper-level features of the strongest COLs are examined at 273 maximum intensity (lowest minima) through different horizontal fields. The composite 274 of the zonal component of the 300-hPa wind speed (Fig. 2a) exhibits a north-south 275 asymmetry where the highest values occur to the north/northeast (wind speeds reaching 276 50 m/s) as a result of meridional divergence of vorticity advection (Ndarana et al. 2020), 277 contrasting with relatively low easterly winds to the south associated with the vortex 278 detachment. According to Ndarana et al. (2020), the vorticity advection convergence 279 decelerates the flow and facilitates the formation of a split jet structure. The meridional 280 wind component (Fig. 2b) demonstrates there is much more symmetry with respect to 281 the west-east direction with maximum values ranging from 40 to 50 m/s. The spatial 282 pattern of the absolute wind speed shows two maxima with a discrete westward tilt: the 283 stronger centre occurs in the top right-hand quadrant of the composite COL 284 (northeastern sector); the other centre is located to the west of the COL centre with a 285 smaller extent than the former. However, the wind speed near the tropopause varies 286 widely with the life cycle (see Fig. 5) due to the kinetic energy which is dispersed from 287 upstream by the ageostrophic flux (Gan and Piva 2013). A prominent feature of the 288 COLs is their high-PV anomaly (Fig. 2a-b) which stretches into a narrow streamer 289 equatorward and becomes an isolated PV contour. This process is associated with 290 tropopause folds and downward transport of ozone (see Fig. S2 in the Supplementary 291 Material).One of the main distinguishing features of COLs described in previous 292 conceptual models (Nieto et al. 2005; Llasat et al. 2007) is the cold air in the mid-upper

293 troposphere. Some studies described in the literature have shown that the cold air 294 associated with a COL is superimposed on warmer air in the lower stratosphere, 295 characterising a thermal dipole pattern (Palmén 1949; Griffiths et al. 1998; Campetella 296 and Possia 2007; Satyamurty and Seluchi 2007). To identify the approximate position of 297 the cold and warm cores within COLs, the zonal temperature anomaly was examined at 298 different pressure levels (not shown), this suggests that the cold (warm) anomalies cover 299 a deep layer in the troposphere (stratosphere). Figure 2c-d shows the anomalous 300 temperature and TFP (Clarke and Renard 1966) at 400 and 200 hPa which are the two 301 closest levels to the upper-level COLs that can ideally be identified as the cold and 302 warm regions, respectively. It is apparent that the horizontal location of the tropospheric 303 cold anomalies coincides fairly well with that of the stratospheric warm anomalies 304 above. The TFP is used here to identify the baroclinic zones within the COLs since it 305 takes into account the changes of temperature in the direction of the temperature 306 gradient. This exhibits the maxima in the top left-hand quadrant for the composites, 307 with cold and warm cores associated with correspondingly strong horizontal 308 temperature gradients. We found similar results using earlier lifecycle stages, whereas in 309 the decaying lifecycle stage the maximum TFP occurs on the downstream side of the 310 COL as shown in the supplementary material (Sections 2 and 5). This is in agreement 311 with the conceptual model of Keyser and Shapiro (1986), where the frontal zones 312 propagate downstream throughout the lifecycle of upper-level eddies. However, there 313 are significant differences from case to case which is smoothed out by the compositing. 314 The standard deviation of TFP (Figures S5 and S6 in the Supplementary Material) 315 shows significant spread which can be attributed to different development scenarios.

316 4.2 Vertical features

317 We now look at the vertical structure of the composite COLs at maximum intensity for 318 several fields through the West-East (W-E) and South-North (S-N) cross sections. The 319 15° spherical cap region is extracted for ten pressure levels from 1000 hPa up to 100 320 hPa using the track point at 300 hPa as the reference. Thus, the vertical tilt of COLs is 321 not taken into account for this specific analysis, but it will be examined later. Vertical 322 composites can be produced using either the vorticity or geopotential minimum at each 323 pressure level to extract the region centred on the minimum referred to each level, but 324 the results are not changed since tilt is minor in the mature stage. The vertical composites are exhibited within a 12° radius, which is where the synoptic-scale 325 326 processes dominate. Composites are also produced at a specified offset time relative to 327 the time of maximum intensity of COLs identified in both ξ_{300} and Z'_{300} , and are discussed later in Section 5. 328

329 Parameters such as wind speed, geopotential, relative vorticity and PV are conveniently 330 discussed together in order to highlight the typical dynamic structure of COLs. A nearly 331 zonally-symmetric circulation is seen in the middle and upper troposphere for the W-E 332 cross section (Fig. 3a) where the centres of maximum wind speed are located between 333 300 and 200 hPa and about 4°-6° west and east of the COL centre, with the eastern 334 centre a little stronger and higher than the western centre. The magnitude of the winds is 335 greatly reduced horizontally and vertically away from the maxima, indicating a region 336 of strong horizontal and vertical wind shear in the upper troposphere. However, the S-N 337 cross section (Fig. 3b) shows an asymmetric circulation with rather strong winds at 250 338 hPa in the northern part of the COL, associated with the westerly winds, which is 339 consistent with Fig. 2a. Similar results have been observed for a COL in North America 340 by the pioneering study of Palmén (1949), which attributed the asymmetry of the N-S 341 cross section to a lack of observations in the southern United States and Mexico. Further 342 studies such as Hsieh (1949) and Kelley and Mock (1982) confirmed the asymmetry 343 does exist with stronger winds on the equatorward side of the COL. The results of our 344 study are consistent with previous findings as the relatively weak winds appear on the 345 poleward side of the COL and occur due to the weakening of westerlies and reversal of 346 winds associated with the cut-off process.

347 The full resolution of relative vorticity and geopotential height anomaly (Figure 3a-b) 348 are examined to demonstrate the vertical structure of COLs in terms of intensity. The 349 maximum intensity occurs near the tropopause where the wind (shear) magnitude is 350 maximum, but the values gradually weaken toward the surface, though cyclonic features 351 are still found in the lower troposphere. It can be seen that the largest geopotential 352 anomalies (values lower than -250 gpm) coincide in location with the vorticity minimum $(-25.0 \times 10^{-5} \text{ s}^{-1})$ in the W-E cross section, but the geopotential minimum is 353 354 slightly offset from the vorticity minimum in the S-N cross section due to the shear 355 component effect in the upper-level flow (Bell and Keyser 1993). Similar results are 356 obtained if the compositing were performed referencing to the 500-hPa vorticity COLs 357 (not shown). This means the maximum intensity of COLs is expected to be at about 300 358 hPa even if the tracking were performed at a level other than 300 hPa. In general, our 359 results are consistent with previous studies on COLs in subtropical regions, indicating 360 that COLs have the strongest intensities at about the 300 hPa level (Quispe and Avalos 361 2006; Satyamurty and Seluchi 2007). This is different from other types of tropopause 362 vortices such as troppause tropical vortices (TTV) which have maximum intensities at 363 higher levels (Kousky and Gan 1981; Kelley and Mock 1982) or tropopause polar 364 vortices (TPV, Cavallo and Hakim 2010) which have maximum intensities at lower 365 levels.

366 Another typical aspect of COLs is the stratosphere-troposphere exchange as a 367 consequence of the tropopause folding, as indicated by the 2.0 PVU surface (1 PVU =10⁻⁶ m² s⁻¹ K kg⁻¹) in Figure 3a-b. As the tropopause is lowered, high-PV stratospheric 368 369 air is drawn down into the troposphere, leading to the formation of isolated stratospheric 370 air cut-off from its origin, the so-called "stratospheric reservoir". This process is often 371 associated with Rossby wave breaking (RWB) events, which in turn are strongly 372 connected to the PV deformation, as discussed in many studies (Hoskins et al. 1985; 373 Wernli and Sprenger 2007; Ndarana and Waugh 2010). The deepest intrusion of PV 374 occurs when the COLs reach their maximum intensity, particularly for the S-N cross section where an abrupt variation is observed on the equatorial side of the COL. For 375 376 very intense COLs, the tropopause can reach lower levels (e.g. 600 hPa) as seen in 377 Hoskins et al. (1985) and the Supplementary Material (Fig. S11a). Another consequence 378 of the tropopause folding is the penetration of stratospheric ozone into the troposphere, 379 as similarly observed in mid-latitude cyclones (Knowland et al. 2015). Composites 380 show a clear tongue of ozone rich-air near the COL centre, similar to the PV distribution 381 though the tropopause ozone fold in the COL centre is less pronounced compared to that 382 in the PV. The vertical profile of ozone in COLs is given in the Supplementary Material 383 (Section 2).

In Section 4.1, the TFP was used to identify the frontal zones within COLs which are located near the maximum temperature gradients. Here the vertical cross-section of the TFP (Fig. 3c-d) indicates that the baroclinic zones associated with COLs are not restricted to a narrow vertical band but they extend through a deep layer in the atmosphere. Frontal boundaries are clearly seen on the edges of the cold and warm cores in the W-E cross section where the narrow regions between the maximum and minimum TFP denote the largest temperature gradient. In the S-N cross section, a single well-defined maximum is found on the northern side of the COL which is consistentwith the observed TFP distribution shown in Figure 2c-d.

393 The thermal structure of COLs is also examined in terms of isentropes and isotherms 394 and is shown in Figure 4a-b. In the W-E cross section, the isentropes and isotherms 395 appear to be almost completely symmetric, but the S-N cross section exhibits a 396 discernible asymmetry. The tropospheric cold-core is apparent through the isotherms 397 folding in the COL centre where it is surrounded by warmer air, while the stratospheric 398 warm-core is marked by cooler temperatures in the vortex periphery, which is consistent 399 with Figure 2c-d. Contrary to the temperature profile, the potential temperature 400 increases with height, thus the cold core is depicted by a reversal of the lapse rate in the 401 lower troposphere. The thermal structure represented in the composites agrees fairly 402 well with previous studies (Palmén 1949; Griffiths et al. 1998; Campetella and Possia 403 2007; Satyamurty and Seluchi 2007) though the discontinuity of (potential) temperature 404 typically seen along the tropopause is smoothed out by the compositing process.

405 The relative humidity anomaly (Fig. 4a-b) exhibits a pattern similar to the temperature 406 anomaly but with opposite sign, where an anomalously dry stratospheric air is located 407 above the moist tropospheric air. This pattern increases the relative humidity gradient 408 across the tropopause which may be important to intensify the vortex circulation 409 through radiative cooling, as observed in TPVs (Cavallo and Hakim 2010). The W-E 410 cross section (Fig. 4a) shows that the moisture anomalies slope upward and eastward, 411 while the S-N cross section (Fig. 4b) indicates a downward intrusion of stratospheric 412 dry air into the northern flank of the COLs, which is coherent with the tropopause fold 413 indicated by the 2.0 PVU (Fig. 3b).

The analysis of the three-dimensional structure of COLs continues by assessing otherparameters such as the vertical velocity and divergence (Fig. 4c-d). Similar to the results

416 discussed above, the largest contrasts are seen in the W-E cross section (Fig. 4c) since 417 COLs move preferentially eastward as discussed before. This shows that the lower and 418 middle troposphere are dominated by convergence downstream and divergence 419 upstream of the storm centre, and the opposite holds at higher levels. As a consequence 420 of the convergence at low levels, the maximum uplift takes place on the downstream 421 side of COLs at 500-400 hPa (~5° from the vortex centre) so that the moist air is 422 transported to higher levels by the ascent. On the upstream side, a strong descending 423 branch prevails with vertical velocity values comparable to the ascent region. It is 424 apparent that the ascent and descent regions influence almost the entire troposphere, but 425 the maximum values occur at the level of non-divergence, which is approximately at 426 500 hPa for the descent and somewhat higher for the ascent.

427 Given the characteristics described above, cloud formation and precipitation are 428 expected to be present (absent) on the downstream (upstream) side of COLs. Also, the 429 western side of a COL is associated with descent and stratospheric intrusions as the 430 result of ageostrophic flow (Kentarchos et al. 1999). The results for the vertical 431 structure of COLs are in close agreement with earlier studies (Mikyfunatsu et al. 2004; 432 Knippertz and Martins 2005; Godoy et al. 2011), and the circulation features are even 433 comparable to those found in TPVs (Cavallo and Hakim 2010), but may differ in TTVs 434 which present cold air descending near the centre and warmer air rising at the periphery 435 (Kousky and Gan 1981).

436 **5 Composites of Cut-off Low lifecycle**

This section focuses on the life cycle of COLs by compositing particular fields offset from the time of maximum intensity. This allows us to verify what occurs before and after the time when COLs reach their maximum intensity, namely, time zero. Several composite fields based on the most intense COLs are produced for different stages of 441 the COL lifecycle (Figure 5) and discussed on the basis of the four stages described in 442 the conceptual model of Nieto et al. (2005; 2008) outlined below, supplemented by the 443 results from this study with the focus on the precipitation:

- 444 a. Upper-level trough (-48h): the initial stage starts from a cold, mid-latitude
 445 upper-tropospheric trough that deepens and tilts westward. This amplifying
 446 synoptic wave is associated with a band of precipitation orientated northwest447 southeast that looks like a cold-frontal structure. Most of precipitation does not
 448 exceed the rainfall rate of 2.0 mm/6h.
- b. Tear-off (-24h): as the trough deepens equatorward, the northern part of the
 wave detaches from the westerlies, leading to the formation of an isolated
 cyclonic vortex. Simultaneously, the band of precipitation gradually rotates
 cyclonically taking on a comma shape typical of extratropical cyclones.
 Maximum precipitation values increase to 2-3 mm/6h.
- 454 c. Cut-off and mature stages (0 and +24): the vortex becomes completely detached 455 from the westerlies as COLs reach their maximum intensity. The intensification 456 can be seen through the increased geopotential gradient and vertical motions. 457 The precipitation rapidly increases during this stage, reaching the peak about 458 24h after the maximum intensity (maximum values are greater than 6.0 mm/6h). 459 The increased precipitation is partly due to the strengthening of the ascent in 460 response to the upper-level divergence just ahead of the system. This occurs 461 when the divergence region superimposes the ascent region. Convective clouds 462 are likely to develop in the vortex centre when the system passes over warm 463 moist surfaces (Kousky and Gan 1981; Nieto et al. 2008) which leads to an 464 increase in surface-based instability.

d. Decay (+48h): the decaying stage is characterised by a horizontal eastward tilt
and a significant warming of the vortex core and surroundings before the vortex
dissipates through diabatic effects as suggested by previous studies (Hoskins et
al. 1985; Price and Vaughan 1993; Satyamurty and Seluchi 2007) or merges into
the large-scale upper-level flow (Simpson 1952; Ramage 1962; Nieto et al.
2008). The last stage is marked by a significant_considerable_decrease in
precipitation.

472 The life cycle of the SH COLs in terms of the geopotential height (Figure 5) is similar 473 to that described by Nieto et al. (2008) for the NH COLs, except the pressure level 474 shown in the schematic of Nieto et al. (2008) depicts the geopotential field at 200 hPa 475 (see their Fig. 4) while the analyses in our study refers to 300 hPa which is the level that 476 has been found where the maximum intensity of the SH COLs occur (see Fig. 3a-b). 477 During the initial stages of the lifecycle, the composite geopotential height shows an 478 elongated appearance with a westward tilt, while a more symmetric and barotropic 479 structure occurs in the final stages. It is interesting to note that the absolute Z_{300} 480 minimum associated with a cyclonic COL centre is found in the tear-off stage (~8850 gpm) which occurs 24h before the time of maximum cyclonic ξ_{300} . This means there 481 482 are differences in the COL lifecycle with respect to vorticity and geopotential, and this 483 has not been previously documented. Despite the difference between vorticity and 484 geopotential, the stages outlined in the Nieto's conceptual model and confirmed in this 485 study can be used as a guide for the different stages of the COL development regardless 486 of the region in which the COL occurs.

487 The precipitation composite (Figure 5a) exhibits an asymmetry and a large variation in 488 time where the peak values occur from mature to decay stages. This agrees with earlier 489 findings that examined the precipitation associated with COLs in South America 490 (Satyamurty and Seluchi 2007; Godoy et al. 2011; Bozkurt et al. 2016), but contradicts
491 the report of Delgado et al. (2007) who found the maximum precipitation in
492 Mediterranean COLs during the earlier stages, suggesting there may be regional
493 differences among COLs with respect to their properties and life cycle.

494 The evolution of the SH COLs shows that the cold core structure (Figure 5d) is largely 495 modified throughout the life cycle, since the greatest extent occurs between the upper-496 level and cut-off stages, but it reduces horizontally and vertically in the decay stage 497 (vertical fields are shown in the Supplementary Material). During the initial stages, the 498 largest warming occurs in the rearward region (ridge) due to the upper-level 499 convergence and descending air that are heated by adiabatic compression. However, 500 warm anomalies extend eastward in the final stages which agrees well with previous 501 studies in which cold cores are destroyed by diabatic heating (Hoskins et al. 1985; 502 Sakamoto and Takahashi 2005; Garreaud and Fuenzalida 2007). Since the aspects 503 described above are typical of the strongest COLs and assuming that numerical models 504 are capable to capture these aspects, models could be used in practice to predict the 505 COL evolution.

506 The study of the lifecycle of COLs continues by analysing the vertical tilt (Figure 6). 507 Tilt is determined for different stages before and after the time of maximum intensity in 508 ξ_{300} . The maximum vertical tilt is observed at the first step (60h before time zero) and 509 at low levels (900-700 hPa) when the distance between the 900 and 300 hPa centres is 510 slightly higher than 2°. The westward vertical tilt observed during the early stages is 511 typical of baroclinic systems, though this tilt is much less than that found in 512 extratropical cyclones (Bengtsson et al. 2009). The vertical tilt reduces as the system 513 approaches its maximum intensity, when the distances for vorticity centres between 514 lower and upper levels do not exceed 1.0°. Once COLs start decaying, their structure 515 becomes quasi-barotropic and even exhibit a reverse tilt (i.e. an eastward vertical tilt), 516 particularly at high levels. An important feature is the maximum tilt at lower levels 517 observed particularly in the early and late stages. Similar results were found by Randel 518 and Stanford (1985) for the life cycle of baroclinic waves at austral mid-latitudes.

519 **6** Relationship of the precipitation to environmental features

520 **6.1 Upper-level forcing and moisture content**

521 This section analyses how environmental aspects affect precipitation such as the 522 intensity of COLs and their moisture content. Figure 7 provides a quantitative analysis 523 of the cumulative precipitation measured along each identified COL with respect to 524 different intensity ranges, expressed in terms of statistical boxplots (or box and whisker 525 diagram). This is obtained by accumulating all values between the first and last track points, computed over a 5° spherical cap (about 500 km radius) centred on the ξ_{300} 526 527 minimum. The precipitation is not the instantaneous value, it is the precipitation 528 obtained from the forecast model accumulated over a 6-h period with 12 hour forecast 529 lead time (which prevents the influence of model spinup). On the basis of this result, it 530 is clear that the mean cumulative precipitation is affected by the COL intensity. The median does not change much with intensities less than -8×10^{-5} s⁻¹, but a 531 532 significant considerable increase is observed for the stronger COLs (intensities smaller than -8×10^{-5} s⁻¹) over those of smaller intensities (greater than -8×10^{-5} s⁻¹). The 533 534 increase is particularly marked for the 75th percentile, meaning that high rainfall 535 amounts are much more frequent in stronger COLs compared to weaker systems. 536 Extreme outliers are omitted from the analysis due to their large values, which are 537 expected when boxplots are applied to large datasets (Hofmann et al. 2017). Regardless 538 of the definition of 'outside value', a close inspection of the largest cumulative 539 precipitation events (> 100 mm per event) reveals that these occur for different intensity

540 types, suggesting that other factors, besides upper-level COL intensity, may be 541 important to trigger extreme precipitation, such as moisture and instability.

542 It is expected that the moisture available in the environment surrounding a COL is 543 important to produce precipitation. To <u>check_test</u> this hypothesis, we performed a 544 similar experiment to that of Field and Wood (2007) where they categorized lower 545 tropospheric cyclones into different groups according to their intensity (measured in 546 terms of surface wind speed) and moisture (measured in terms of column-integrated 547 water vapor). In the present study, composites of precipitation for the COLs are 548 produced conditioned on ξ_{300} (increasing from left to right) and precipitable water 549 (increasing from bottom to top) (Figure 8). Mean values of the nine composites were 550 calculated for the ξ_{300} COLs relative to the time of maximum intensity averaged within 551 5° spherical arc radius centred on the ξ_{300} minimum. As expected, the precipitation 552 increases simultaneously with both intensity and moisture. The composite with the 553 greatest intensities and moisture content has the largest area-average accumulated 554 precipitation over the 5° region (26.1 mm), which is the sum over the whole lifecycle of 555 all selected storms in the composite. However, for this composite the standard deviation 556 shows significant variation in precipitation among the COLs (see Figure S4 in the 557 Supplementary Material). In contrast, the lowest area-average accumulated precipitation 558 is observed for the composite with the smallest intensities and moisture content, which 559 corresponds to 13.8 mm. The wetter composites seem to be associated with the most 560 widespread precipitation, whereas the drier composites have precipitation occurring 561 over reduced areas. These results suggest that moisture is an important factor 562 controlling the precipitation area, though the intensity seems to influence the magnitude 563 of precipitation in the COLs which may be linked to the strength of the low-level 564 convergence. This hypothesis requires further investigation.

565 It can be seen that the composite with most precipitation (top right of Figure 8) does not have the deepest geopotential centre, but instead the three driest composites have a 566 567 deeper centre than the three composites with greatest moisture content. The reason for 568 weaker intensities observed in the COLs with high precipitation may be explained by 569 the modification of the dynamical structure of COLs due to diabatic latent heating 570 which induces an upper-level anticyclonic PV anomaly, as discussed in many studies 571 (Davies and Emanuel 1991; Reed et al. 1992; Stoelinga 1996; Ahmadi-Givi et al. 2004). 572 Composites produced relative to the time of maximum precipitation indicate similar 573 results, these are given in the Supplementary Material (Section 3).

574

6.2 Dependence on vertical structure

575 Some common characteristics that can be used to develop conceptual models of COLs 576 have been identified in this study, though there seems to be a significant variation 577 among the observed COLs suggesting the existence of different types of upper-level 578 cyclonic vortices differing in terms of their vertical structure and weather-related 579 impacts such as precipitation. Figure 9 summarises the differences in characteristics 580 between two different types of COLs: the first one (Fig. 9b) represents the most intense 581 COLs obtained from the composite of the 200 strongest COLs; the second type (Fig. 9a) 582 represents the average COLs obtained from all identified COLs which corresponds to 583 11,542 COLs. This shows how the intensity of COLs affects their structure. For the time 584 of maximum intensity, composites of the most intense COLs show a deep vertical 585 structure with well-defined cyclones reaching into the lower troposphere. The vertical 586 coupling induces strong upward vertical motion and enhanced low-level moisture 587 convergence, contributing to a large amount of precipitation. It has been suggested that 588 the coupling described above occurs when the low-level thermal advection has initiated 589 (Deveson et al. 2002) hinting to a possible synergistic interaction between upper and 590 lower level features, though there may be different ways for classifying cyclones (Evans 591 et al. 1994; Sinclair and Revell 2000; Catto 2016). The composites produced using all 592 identified COLs representing the average COLs, show a much shallower structure with 593 a well-defined vortex only at high levels. For this case, the perturbation gradually 594 weakens with decreasing height and is replaced by an anti-cyclonic circulation on the 595 western side at the surface. Reduced precipitation rates are observed for this type of 596 COL because of the weakened vertical motions and decoupling between upper and 597 lower level disturbances.

598 A track matching algorithm was applied to the categories described above in order to 599 determine the vertical depth of the COLs. This is done by searching for corresponding 600 vorticity minima at pressure levels lower than 300 hPa, starting by matching the 400-601 hPa vorticity tracks and successively for other levels down to the 1000-hPa level or the 602 lowest pressure level where the match is found, using the same criteria and thresholds. 603 This is done by applying a prescribed value for the mean separation distance between 604 tracks (chosen here to be 5 degrees geodesic) which overlaps in time for at least one per 605 cent of the track points. We found that only 19.4% of the average COLs have a 606 corresponding surface cyclone, but the percentage rises to 65% when the COLs are the 607 strongest systems. This confirms earlier findings that only a few COLs reach the surface 608 and indicates a possible relation between intensity of COLs and their vertical depth.

609 **7** D

7 Discussion and conclusions

This paper provides the first robust view of the structure, lifecycle and properties of SH COLs including how their precipitation depends on their structure. The present study has concentrated on the analysis of the 200 most intense COLs (> 98th percentile) located in the SH. This provides a better understanding of the behaviour of COLs and a 614 useful forecasting aid. Although there is no single concept of the structure and evolution 615 of COLs because of their diverse nature, some common characteristics can be identified 616 by the use of a compositing method that allows the identification of key features of the 617 most intense COLs. Some of the well-known features of conceptual models reported in 618 previous studies are found here and presented herein including: the horizontal and 619 vertical circulation structures, the cold and warm cores and their associated baroclinic 620 zones, the STE caused by tropopause folding, and the precipitation characteristics and 621 other related features.

622 The results reported in this study support earlier case studies of COLs that have found a 623 roughly symmetrical circulation at upper levels with maximum winds at about 300 hPa, 624 creating a region of strong horizontal and vertical wind shear. The contrast between the 625 cold air in the vortex centre and the relatively warm air at the periphery produces a 626 distinctly frontal structure on the borders of these systems. The sharpest temperature 627 gradients in COLs are found across the edges of the mid-upper tropospheric cold core 628 where the baroclinic zones normally propagate downstream, which agrees well with the 629 conceptual model described by Keyser and Shapiro (1986) for upper-level fronts. The 630 STE is a consequence of the tropopause deformation in COLs where large amounts of 631 stratospheric air are transported into the troposphere. Our results are consistent with 632 earlier reports indicating that the vertical distribution of PV and ozone are significantly 633 modified in strong COLs. In the mature stage, the vortex tropopause is lowered to 634 around 400-500 hPa, although deeper stratospheric intrusion events can sink the 635 tropopause down to 600-700 hPa, as reported in Hoskins et al. (1985) and observed by 636 individual cases in the present study (see the Supplementary Material, Section 5).

A key finding of this study, which has not been addressed in previous studies, is thatthere are clearly distinct differences in the vertical structure of the SH COLs which can

639 be demonstrated by means of two different types of COLs. The two models of COLs are 640 similar in terms of their spatial distribution of vertical motions and precipitation but 641 they differ with respect to their magnitude. The major difference between the two 642 groups lies in the contrast between a deep COL structure extending down to the surface 643 and a COL confined at mid-upper levels, suggesting that the differences observed in the vertical depth of the COLs are in part a consequence of the precipitation amount. In this 644 645 sense, inspection of synoptic weather charts and satellite images provide additional 646 evidence that not all COLs have the same structure and precipitation characteristics and 647 that regional perspectives depending on local topography and surface condition features 648 are important.

649 We have for practical reasons restricted the analysis to the COLs that affect subtropical 650 regions. However, similarities and differences between COLs and other tropopause 651 vortex types (including tropical and polar vortices) are not clearly defined. Some of the 652 structural properties of COLs that have been identified in this study can be found as 653 dominant features in TPVs (Cavallo and Hakim 2010), such as the vertical motions 654 where air rises (sinks) east (west) of the vortex centre, and the cold and warm anomalies 655 in the troposphere and stratosphere, respectively. These systems can be linked to RWB 656 events as both are isolated PV anomalies but COLs are located equatorward of the jet 657 stream while TPVs poleward of the jet.

In comparison to TTVs, the COLs studied here have properties comparable to those described in previous studies such as a lowered tropopause and a warm anomaly superimposed on a cold anomaly (Frank 1970; Kousky and Gan 1981; Mishra et al. 2001). However, the vertical motion in TTVs exhibits a more symmetrical pattern, with sinking air near the vortex centre and rising air at the periphery (Kousky and Gan 1981). Moreover, while Kousky and Gan (1981) observed that the deepest convective clouds

are seen in the direction of the movement which is often westward in tropical latitudes,
our results indicate that the largest precipitation occurs in the eastern side of the COLs.
Nevertheless, it might be interesting in future studies to contrast the vertical structure
and precipitation of all tropopause vortices, for example, using as identification field the
potential temperature on the 2.0 PVU surface.

669 It is also useful to point out how the characteristics of the SH COLs studied here (e.g. 670 precipitation) compare with those associated with NH COLs such as the systems 671 observed in the Iberian Peninsula and Mediterranean regions (Nieto et al. 2005; 2008; 672 Delgado et al. 2007; Porcù et al. 2007). The distribution of precipitation and cloud cover 673 (the last one not shown here) reproduces the main rainfall band located in the leading 674 edge of the COL (east flank) but does not demonstrate the secondary region in the rear 675 edge of the system (west flank), which in turn is associated with a descending branch. 676 We found that the peak precipitation occurs from the mature to decay stages, which is in 677 agreement with earlier studies on COLs that occurred in South America (Satyamurty 678 and Seluchi 2007; Godoy et al. 2011; Bozkurt et al. 2016). However, most of the 679 precipitation is found in earlier stages in NH COLs (Delgado et al. 2007; Nieto et al. 680 2008), and this may be due to regional differences or the way in which a COL is 681 defined. Differences are also seen by comparing COLs with extratropical cyclones 682 (Bengtsson et al. 2009) which produce more precipitation in their intensifying phase. 683 One possible explanation might be that deep COLs and surface cyclones are often part 684 of the same phenomenon in the development of an upper-level precursor prior to the 685 low-level cyclone, as discussed by Mikyfunatsu et al. (2004).

Although COLs are often referred to as cold pools due to their well-defined cold-core
structure, a general consensus on the location of the cold anomalies is still missing. One
particularly important factor in determining the vertical location of the cold core of

689 COLs may be the dynamical tropopause position which varies depending on factors 690 such as latitude, season and synoptic situation. Our results show that the tropopause 691 intersects in the COL centre inside the cold core (just above the coldest anomalies) 692 within a region of sharp temperature gradient, also called frontal or baroclinic zone. 693 However, there have been many studies on COLs using methods based on criteria that 694 search for cold cores at very high levels (for example, between 200 and 300 hPa) in 695 both the southern and northern hemispheres (Nieto et al. 2005; Gimeno et al. 2007; 696 Porcù et al. 2007; Reboita et al. 2010; Muñoz et al. 2019) which may result in 697 differences between studies, as recently discussed by Pinheiro et al. (2019). 698 Unfortunately the lack of studies focusing on the structure of COLs and the difference 699 between hemispheres does not allow us to determine whether the difference in 700 interpretation is a result of the regional peculiarities. There is thus a clear need for more 701 observational studies on COLs in both hemispheres to create a better conceptual 702 framework encompassing the variety of the structures presented in COLs and other cold 703 core vortex types.

704 To summarize our current understanding of COLs, a schematic depiction of the 705 structural features is presented in Fig. 10. This schematic is adapted from Llasat et al. 706 (2007), which is based on the typical properties of Mediterranean COLs, and despite the 707 subjective interpretation there is agreement on the vertical profile of COLs since both 708 schematics denote similar features. The most notable difference is the pressure level of 709 the warm anomalies which is considerably lower (~300 hPa) in Llasat et al. (2007) 710 compared to those of our composite COL. sThe schematic presented in Fig. 10 711 illustrates the dominant mechanisms and key properties of COLs such as their 712 circulation structures, tropopause folding, cold and warm cores and their associated 713 baroclinic zones. This schematic together with results shown in this study may serve as

a reference guide for the diagnosis of the structural and precipitation features in COLs,

715 helping meteorologists understand weather patterns and produce better forecasts.

716 Although we have not specifically addressed the issue of forecasting ability of 717 numerical weather prediction (NWP) models, this is a problem of great scientific 718 importance for understanding problems typically found in predicting COLs. Many 719 studies have demonstrated that diabatic effects play an important role in the 720 development of different vortex types, with radiative cooling and latent heating 721 contributing to strengthening and weakening, respectively (Hoskins et al. 1985; Katzfey 722 and Mcinnes 1996; Sakamoto and Takahashi 2005; Garreaud and Fuenzalida 2007; 723 Cavallo and Hakim 2010). It is therefore fundamental to explore the predictive skill of 724 forecast systems for COL activity, as has been done for extra-tropical and tropical 725 cyclones (Froude et al. 2007; Hodges and Klingaman 2019). Moreover, better 726 predictions require a detailed understanding of the processes that govern the 727 development of COLs, which is beyond the scope of this paper, but will be explored in 728 future research.

This work could also be extended to investigate the cloud properties, but the reanalyses are not well constrained in terms of clouds since the cloud cover is not assimilated into the reanalyses, but instead is predicted by a short range forecast using the same atmospheric model used by the ERAI reanalysis. The use of remote sensing data, such as the International Satellite Cloud Climatology Project data set (ISCCP), could be used to more precisely capture the cloud structure of COLs, as has been performed more generally for extratropical cyclones (Field and Wood 2007; Hawcroft et al. 2012; 2017).

Finally, despite the contribution of this study to our understanding of COLs, it is unclear
whether the results showed herein would be robust enough to represent the structure and
evolution of all COLs around the world given the different vortex types. The problem

739 concerning the variety of methods used to identify COLs (Pinheiro et al. 2019) raises 740 the question of whether the different criteria are due to the different conceptual models 741 as a result of the interpretation or differences in structure between the COLs in the 742 Northern and Southern Hemispheres. For this reason we believe that further studies are 743 needed to investigate a possible regional dependence of the COL structure and its 744 evolution as COLs may exhibit different characteristics when they occur in different 745 regions, so that it would be possible to better understand how COLs behave in certain 746 regions while also allowing us to adjust the identification methods to particular 747 geographical locations. Another problem of great scientific importance is how large-748 scale atmospheric modes of variability influence on COL related properties (such as 749 location, intensity and precipitation). This is crucial for understanding the climate and 750 its variation, and more specifically the effect of the large-scale modes of variability on 751 the seasonal and sub-seasonal prediction of COLs.

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1013 Figure/Captions



Figure 1 Seasonal track density of the Southern Hemisphere Cut-off Lows obtained from the 200 strongest (black lines) and average (shaded) Cut-off Lows. The contour interval is 0.1 unit for density ≤ 0.3 (solid line) and ≥ 0.4 (dotted line). Symbol plus (red colour) denotes the locations of the maximum intensity (with respect to the ξ_{300}) in

1019 <u>each track for the strongest COLs.</u> Analysis is performed using the Cut-off Lows that 1020 match between the ξ_{300} and Z'_{300} from ERAI reanalysis for a 36-yr period (1979-2014). 1021 Unit is number per season per unit area, the unit area is equivalent to a 5° spherical cap 1022 ($\cong 10^6 \text{ km}^2$).

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Figure 2 Horizontal composites for the 200 most intense Cut-off Lows that match between the ξ_{300} and Z'_{300} centred on the time and space relative to the ξ_{300} minimum. Fields are: a) zonal wind and b) meridional wind (black line) combined with wind speed (shaded) in m/s, and PV for the 2 PVU (orange line), all fields referred to 300 hPa; (c) and (d) are composites of temperature anomaly (shaded) in K and thermal frontal

1031parameter for contour intervals 0.4×10^{-10} K/(100 km)² at (c) 400 hPa and (d) 200 hPa.1032The distance from the centre of the COL composite to the edge is 15 degrees.1033



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Figure 3 Composite vertical cross-sections along the (a),(c) west-east and (b),(d) southnorth lines of the 200 most intense Cut-off Lows that match between the ξ_{300} and Z'_{300} centred on the time and space relative to the ξ_{300} minimum. Fields are (a),(b) wind speed (solid line) in m/s, geopotential height anomaly (shaded) for contour intervals 50 gpm, relative vorticity (dashed lines) in 10⁻⁵ s⁻¹ for contour intervals 3.0 × 10⁻⁵ s⁻¹; and PV for the 2 PVU (orange line); (c) (d) temperature anomaly (shaded) in K; and thermal

1041 frontal parameter (black lines) in for contour intervals $0.4 \times 10^{-10} \text{ K/(100 km)}^2$, where 1042 solid (dashed) contours indicate positive (negative) values.

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1046 **Figure 4** Composite vertical cross-sections along the (a),(c) west-east and (b),(d) south-1047 north lines of the 200 most intense Cut-off Lows that match between the ξ_{300} and Z'_{300} 1048 centred on the time and space relative to the ξ_{300} minimum. Fields are (a),(b) relative 1049 humidity anomaly (shaded) in percentage, air temperature (black line) for contour 1050 intervals 10 K, potential temperature (grey line) for contour intervals 5 K, and (c),(d)

1051 divergence (shaded) for contour intervals $0.8 \ 10^{-5} \ s^{-1}$ and vertical velocity (black line) in 1052 contour intervals 0.1 Pa s⁻¹, where solid (dashed) contours indicate positive (negative) 1053 values.



Figure 5 Life cycle composite of the Southern Hemisphere Cut-off Lows for the period between two days before and two days after the time of maximum intensity in ξ_{300} (day zero). Fields are: (a) 6-hourly accumulated precipitation in mm (shaded); (b) absolute wind speed in m/s (shaded); (c) divergence in 10⁻⁵ s⁻¹ (shaded) and vertical velocity for contour intervals 0.1 Pa s⁻¹ for positive (negative) values in orange (blue) colour; and (d) temperature anomaly in K (shaded). All fields are combined with the Z_{300} height for contour intervals 100 gpm (black contour).



Figure 6 Vertical tilt life cycle composite for the Southern Hemisphere Cut-off Lows. 1065 Composite is obtained using the ξ_{300} Cut-off Lows. The time steps are for 6-hour 1066 interval, shown up to 60h on either side of the ξ_{300} minimum (time zero). Tilts are in 1067 geodesic angle from the ξ_{300} minimum.



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1074 Figure 7 Boxplot illustrating the cumulative precipitation (mm) along the tracks of all 1075 identified Cut-off Lows with respect to intensity range. Intensity is measured in terms of the maximum ξ_{300} scale by -1.0 x 10⁻⁵ s⁻¹. The number of identified Cut-off Lows for 1076 1077 each intensity range is: 1,084 (<5.0); 3,738 (5.0-8.0); 5,360 (8-11); 4,856 (11.0-14.0); 1078 2,693 (14.0-17.0); 955 (>17.0). The top and bottom lines of the light gray box denote 1079 the 75th and 25th percentiles, respectively; the black line in the box centre represents 1080 the 50th percentile (median); the top and bottom whiskers indicate the upper and lower 1081 extremes, respectively.



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1083 Figure 8 Composites of Southern Hemisphere Cut-off Lows for precipitation as a 1084 function of intensity and moisture. Plots are precipitation in mm/6h (shaded) and Z_{300} in gpm (solid line). Composites are determined as a function of intensity (ξ_{300} , 1085 1086 increasing from left to right) and moisture (total column water, increasing from bottom 1087 to top). The two fields are calculated using the ξ_{300} Cut-off Lows for the time of ξ_{300} 1088 minimum. Precipitation is calculated using area average within 5° spherical arc radius 1089 centred on the ξ_{300} minimum. The categories are: [intensity]: 9.3-10.5, 10.5-12.0, 12.0-19.0 s⁻¹ (scaled by -1 x 10⁻⁵); and [moisture]: 27.6-30.8, 30.8-34.7, 34.7-40.0 kg/m². The 1090 1091 number of Cut-off Lows used in each nine composite and the corresponding area-

Figure 8 (continuation) average accumulated precipitation (mm) over the whole
lifecycle are, respectively: top left (126; 13.8), top centre (73; 15.6), top right (49; 20.1),
middle left (145; 15.0), middle centre (135; 18.7), middle right (91; 21.6), bottom left
(207; 18.7), bottom centre (182; 20.9), bottom right (169; 26.1).



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Figure 9 A three-dimensional schematic view of the a) average Cut-off Lows and the b) strongest Cut-off Lows. Fields are: [top]: 300-hPa geopotential height (black line) in gpm and precipitation (shaded) in mm/6h; [middle]: 500-hPa geopotential height (black line) in gpm and 500-hPa vertical velocity (shaded) in Pa s⁻¹; [bottom]: mean sea level pressure (black line) in hPa and 1000-500 hPa thickness (red dashed line) in gpm. Analysis performed using the Cut-off Lows that match between the ξ_{300} and Z'_{300-}





Figure 10 Schematic of typical structural features during the mature stage of a Cut-off Low (west-east cross-section). The thick black line represents the dynamical tropopause (2.0 PVU surface), gray dashed line indicates temperature, wide arrows indicate the vertical motions, the blue and red colour regions mean the cold and warm anomalies respectively with their associated baroclinic zones (in texture). The gray texture indicates the high-PV anomaly and maximum magnitude of relative vorticity. Adapted from Llasat et al. (2006).

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