

# The role of dietary nitrate and the oral microbiome on blood pressure and vascular tone

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17 Running title: Dietary nitrate, oral bacteria and vascular tone

#### 18 Abstract:

19 There is increasing evidence for the health benefits of dietary nitrates including lowering blood 20 pressure and enhancing cardiovascular health. Although commensal oral bacteria play an important 21 role in converting dietary nitrate to nitrite, very little is known about the potential role of these 22 bacteria in blood pressure regulation and maintenance of vascular tone. The main purpose of this review is to present the current evidence on the involvement of the oral microbiome in mediating 23 24 the beneficial effects of dietary nitrate on vascular function and to identify sources of inter and 25 intra-individual differences in bacterial composition. A systematic approach was used to identify 26 the relevant articles published on PubMed and Web of Science in English from January 1950 until 27 September 2019 examining the effects of dietary nitrate on oral microbiome composition and 28 association with blood pressure and vascular tone. To date, only a limited number of studies have 29 been conducted, with n=9 in humans and n=3 in animals focusing mainly on blood pressure. In 30 general, elimination of oral bacteria with use of a chlorhexidine based antiseptic mouthwash 31 reduced the conversion of nitrate to nitrite and was accompanied in some studies by an increase in 32 blood pressure in normotensive subjects. In conclusion, our findings suggest that oral bacteria may 33 play an important role in mediating the beneficial effects of nitrate-rich foods on blood pressure. 34 Further human intervention studies assessing the potential effects of dietary nitrate on oral bacteria 35 composition and relationship to real time measures of vascular function are needed, particularly in individuals with hypertension and those at risk of developing cardiovascular diseases. 36

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#### 40 Key words:

41 Nitrate, nitrite, nitric oxide, oral microbiome, blood pressure, mouthwash,

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#### 44 Introduction

Cardiovascular diseases (CVDs), including coronary heart disease and stroke, are one of the leading 45 causes of death globally. In 2017, the World Health Organization (WHO) reported that 18 million 46 people had died from CVDs worldwide which represents 31% of deaths<sup>1</sup>. Abnormally raised blood 47 48 pressure, defined as greater than 140 (systolic)/90 (diastolic) mmHg, is an independent risk factor 49 for CVDs and this silent killer is associated with a three-fold higher risk of having a stroke or developing heart failure<sup>2,3</sup>. High blood pressure affects more than 1 in 4 adults in England, around 50 51 12.5 million people. However, the prevalence of hypertension appears to differ between sexes, with 31% reported amongst men and 26% amongst women<sup>4</sup>. Dysfunction of the endothelium, which 52 53 controls vascular tone and strongly associated with hypertension, is now recognised as an early, but potentially reversible, step in the development of CVDs<sup>5</sup>. 54

55 The control of vascular function is known to be influenced by dietary factors, with nitraterich vegetables considered an important modulator<sup>6,7,8</sup>. This has been demonstrated in many 56 observational and cohort studies which have shown consumption of nitrate and nitrite-rich foods to 57 significantly improve cardiovascular health<sup>9</sup> such as lowering blood pressure<sup>10</sup> in both healthy<sup>11</sup> and 58 hypertensive individuals<sup>12</sup>, reducing endothelial dysfunction<sup>13,14,15,16,17</sup> and inflammation<sup>18</sup>, 59 protection from ischemia reperfusion injury<sup>19</sup>, and improved exercise performance in patients with 60 heart failure<sup>20</sup>. A prospective cohort study has also concluded that an increased adherence to a diet 61 high in nitrate is accompanied by a significant reduction in the risk of suffering both cardiovascular 62 complications and death due to any cause<sup>21</sup>. Clinically, nitrate supplementation or use of nitrate as a 63 64 medication to increase the bioavailability of nitrite and nitric oxide (NO) can reduce blood pressure<sup>22</sup>. The interest in using dietary nitrates as a treatment for lowering blood pressure is 65 growing but mechanisms underlying the effects are unclear which limits their current application as 66 a dietary treatment for hypertension<sup>22</sup>. Furthermore, there is some evidence to suggest that high 67 dietary nitrate intakes are associated with negative effects on health, which has led to the 68 69 development of the Acceptable Daily Intake (ADI) for nitrate of 3.7 mg/kg body weight/day and for nitrite of 0.07 mg/kg body weight/day<sup>23</sup>. The ADI for nitrate is based on the risk of 1000

methaemoglobinaemia commonly known as blue baby syndrome, which can occur following high nitrate intake in some babies, and can be fatal <sup>24</sup>. In addition, some epidemiological studies have reported an association between dietary nitrite intake and colorectal cancer. However, the weight of evidence only supports a significant relationship between cancer and red and processed meat<sup>25</sup>, with little known about vegetables and drinking water. The nitrate and nitrite within processed meat may be a contributing factor in the association with cancer, although this needs further confirmation.

77 Humans are naturally colonised by an array of microorganisms, such as commensal or 78 symbiotic communities, whose metabolic activity is important for host physiology and health. 79 Commensal oral bacteria and those residing in the gastrointestinal (GI) tract play an important role in converting dietary nitrate to nitrite and the potent vasodilator NO<sup>26,27,28,29,30,31</sup>. Up to 85% of 80 ingested nitrate is reduced to nitrite by the nitrate-reducing bacteria in the oral cavity<sup>32</sup> raising the 81 salivary nitrite concentration to 1000 times that of plasma<sup>28</sup>. A cohort study conducted in 281 82 83 volunteers found that the high abundance of nitrate reducing bacteria was associated with blood pressure in normotensive individuals, although this association was not found in those with 84 hypertension<sup>33</sup>. To date, very little is known about the role of these oral bacteria in the control of 85 86 vascular function, and the variation in composition that exists between individuals. The aim of this 87 review is to present the current evidence on the potential role of dietary nitrate and the oral 88 microbiome on vascular function including blood pressure and vascular tone. Important 89 determinants of the number and composition of the oral bacteria will also be described. However, 90 the impact of dietary nitrate interventions on vascular function only will not be specifically 91 addressed in this instance due to the large number of review articles which already exist in this research area<sup>13,14,15,16,17</sup>. Before presentation of the methodology and results of the literature 92 93 review, we provide a general overview of dietary nitrate sources, the pathways for the conversion of 94 dietary nitrate and nitrite to NO, location and type of nitrate-reducing bacteria in the oral cavity and 95 their potential role in regulating vascular tone.

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#### 97 Nitrate, nitrite and nitric oxide sources and nitric oxide pathway

98 NO, the most effective form of nitrate, was first recognised in 1998 as an important signalling molecule in the cardiovascular system<sup>34</sup>. NO plays a significant role in virtually all organs in the 99 body, and higher circulating concentrations are associated with a lower CVD risk<sup>35</sup>. In additional to 100 101 the dietary (exogenous) sources of nitrate and nitrite which leads to the production of nitrite, and 102 subsequently NO, via the oral bacteria, the body can also derive NO endogenously (figure 1). The 103 endogenous pathway can occur in a number of different tissues in the body using three forms of NO 104 synthase (NOS) enzyme, neuronal (nNOS), endothelial (eNOS) and inducible NOS (iNOS). eNOS 105 was initially discovered in endothelial cells and is important in modulating vascular tone and 106 upholding endothelial integrity. However, eNOS can also be expressed in various tissues and requires the presence of oxygen, calcium and calmodulin to be activated<sup>36</sup>. Within the endothelium, 107 108 L-arginine undergoes a 5-electron oxygen dependent oxidation to produce NO and L-citrulline, 109 catalysed by the synthase enzymes. Five cofactors required by the NOS enzymes are flavin adenine 110 dinucleotide (FAD), flavin mononucleotide (FMN), tetrahydrobiopterin (BH4), reduced nicotinamide-adenine dinucleotide phosphate (NADPH) and heme iron<sup>28</sup>. Once produced in the 111 112 endothelial cell, NO rapidly diffuses to the underlying smooth muscle layer where it mediates blood 113 vessel vasodilation. Any NO remaining in the circulation is rapidly converted to nitrate by 114 oxyhaemoglobin or superoxide before it enters the enterosalivary pathway. Therefore, the NO produced has a relatively short half-life in the order of seconds to minutes<sup>37</sup>. 115

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#### 117 Nitrate metabolism, enterosalivary circulation and gastrointestinal tract

High levels of inorganic nitrate are found in vegetables (such as beetroot and spinach) as well as drinking water, and these dietary sources accounts for 80% of the daily intake. In contrast, the intake of dietary nitrite is very low, being approximately 100 times lower<sup>38</sup> than that of nitrate <sup>39</sup>. Although the process of re-circulation of nitrates in the body has been known since 1970s, the

122 importance of the oral nitrate-reducing bacteria in the enterosalivary circulation has only recently been recognised<sup>27</sup> (Figure 2). The key role these bacteria play in nitrate reduction was supported by 123 a previous human study in which a significant correlation was found between high abundance of 124 oral nitrate-reducing bacteria and nitrite level in saliva<sup>40</sup>. Nitrate secretion from the salivary glands 125 leads to a 10 fold rise in salivary nitrate levels<sup>41</sup> and this nitrate enriched saliva appears to be a 126 supportive environment for the growth of the oral bacteria particularly the nitrate-reducing bacteria 127 on the tongue $^{42}$ . These bacteria are mostly facultative anaerobes which use nitrate as an alternative 128 electron acceptor for their respiration<sup>43</sup>. A symbiotic relationship therefore exists between the oral 129 commensal bacteria in which they receive nitrate from the host for their own respiration and in 130 return produce nitrites required by the host<sup>42</sup>. This relationship is particularly important for nitrite 131 132 bioavailability since humans are unable to complete this process independent of the nitrate-reducing 133 bacteria, with 80% of nitrates swallowed and present in the stomach produced by the oral commensals<sup>44</sup>. Once in the stomach, contact with the gastric acidity leads to the protonation of 134 nitrites to form nitrous acid (HNO<sub>2</sub>), which then decomposes into not only NO but also several 135 other nitrogen oxides<sup>45</sup> which have localised benefits on maintaining the gastric mucosa laver<sup>46</sup> and 136 enhancing mucosal blood flow<sup>45</sup> which increases the thickness of the mucosal laver<sup>47</sup>. This process 137 138 is referred to as non-enzymatic conversion which does not require bacteria. However, the presence of Helicobacter pylori can contribute to a more acidic environment within the stomach and increase 139 non-enzymatic conversion<sup>48</sup>. Residual nitrates and nitrites are then absorbed in the small intestine 140 with the half-life of circulating nitrate in the blood stream of around 5-6 hours<sup>49</sup>. In contrast, plasma 141 142 nitrite concentrations start to increase within 15 minutes of nitrate ingestion and reach a peak level in 2 hours<sup>50</sup>. A large portion, approximately 70-75% of the plasma nitrate, is excreted in the urine 143 whereas the remaining 25% is stored in the salivary gland and then recycled in the enterosalivary 144 pathway<sup>51</sup>. 145

146 The role of the nitrate-reducing bacteria can persist past the oral cavity as most of these 147 bacteria move into the stomach with both swallowed food and saliva. Limited studies have

148 investigated the existence of these bacteria in the stomach and have confirmed that the gastric 149 acidity is not a germ-free environment<sup>52</sup>. Although the gastric pH is below 5, some bacteria species can tolerate the stomach acidity, with a culture based study reporting *Clostridium* spp, 150 *Veillonella* spp and *Lactobacillus* spp as the most predominant gastric species<sup>53</sup>, with 151 152 *Veillonella* spp identified as the most abundant nitrate reducing bacteria<sup>43</sup>. There are many factors that can influence gastric acidity such as inflammation and long-term use of proton pump inhibitors. 153 154 The pH level has been found to have a positive impact on nitrate and nitrite concentration in the 155 gastric juice. In a study conducted in 99 patents with dyspepsia, results showed that when the pH level of gastric mucosal surface increased there was a comparable increase in both nitrate and nitrite 156 157 concentrations. Findings from another study conducted in participants with achlorhydria, in which 158 gastric pH ranged from 6-8, reported three genera of nitrate reducing bacteria: Streptococci and *Neisseriae* to be responsible for the nitrite accumulation in the gastric secretions<sup>54</sup>. 159

160 The small intestine and colon contain many different species of bacteria including both facultative and obligate anaerobes which are involved in the bioconversion of nitrite to NO, 161 although they are not necessarily the same as the nitrate reducing bacteria found in the oral cavity<sup>55</sup>. 162 163 A study conducted in germ-free and normal rats has shown that NO can be produced by the bacteria resident in the small intestine of normal rats, but not in germ free rats<sup>56</sup>. Furthermore, two studies 164 have identified Lactobacilli, Bifidobacteria<sup>56</sup>, Escherichia coli and Shigella as the predominant 165 nitrate reducing bacteria in the large intestine<sup>57</sup>. However, an *in-vitro* study which used pure strains 166 of gut bacteria incubated in agar media with nitrate then nitrite, found that in the presence of nitrite, 167 168 both Bifidobacterial and Lactobacilli generated large amounts of NO, up to 5000 parts per billion (ppb), but only approximately 35 ppb of nitrate<sup>58</sup>. Interestingly, Sobko et al reported that the NO 169 formed was being utilised by *Escherichia coli* and *Staphylococcus aureus*<sup>46</sup>. These authors 170 171 speculated that these gut bacteria may consume NO in order to help adapt to their environment in this *in vitro* experiment. Therefore, it appears that the presence of NO and other nitrate metabolites 172 in the large intestine may be dependent on the relevant abundance of these bacteria species and their 173

174 production and utilisation of NO <sup>59</sup>. Localised effects of the NO could include altering blood flow 175 which could potentially increase the uptake of nitrate and nitrite in the proximal small intestine 176 where the majority are absorbed<sup>44</sup>. However, the NO level in the GI tract could also be influenced 177 by other factors such as pH level, inflammation, oxygen tension and the level of dietary nitrate 178 intake of an individual. Further studies are need to determine the direct effects of nitrate and nitrite 179 on gut bacteria composition and nitrate metabolism.

180

#### 181 Bacterial nitrate reduction in the oral cavity, composition and location

182 A continuous flow of saliva, specialized mucosal surfaces and teeth in the human oral cavity 183 provide a unique microbial habitat for bacteria. Most of these bacteria are found on the dorsum (surface) of the tongue and around the teeth where a wash of 1 ml of saliva can contain up to  $10^7$  – 184  $10^8$  microorganisms<sup>44</sup>. However, only 700 species have currently been identified<sup>44</sup>. The majority of 185 186 these bacteria shelter in the gingival crevices between teeth which represents a conducive anaerobic 187 environment. Here, the gingival crevicular fluid bathes the bacteria within a nutritionally rich medium supporting their proliferation<sup>60</sup>. In contrast, the smoother surfaces of teeth have much 188 189 lower levels of bacteria due to the forces that act on these areas during eating and drinking. 190 However, the nitrate-reducing bacteria are found predominately on the rear dorsum of tongue, with 191 a higher proportion of gram-negative bacteria found within the papillae of the tongue compared to 192 the surface. Some studies have identified the genus and species of these bacteria that can produce nitrate reductases and nitrite reductases that aid in the production of nitric oxides. These include: 193 194 Veillonella atypical Veillonella dispar, Actinomyces eslumdii, A. odontolyticus, Staphylococcus 195 epidermids, Neisseria flarescens, Haemophilus, Porphyromonas, Rothia mucilaginosa, Rothia dentocarisa, Prevotella and Leptotrichia<sup>42,43</sup>. The two major groups of oral nitrate-reducing 196 197 bacteria are the strict anaerobes such as Veillonella atypica and Veillonella dispar and the facultative anaerobes such as Actinomyces odontolyticus and Rothia mucilaginosa<sup>42</sup>. Facultative 198 199 anaerobes are mostly prevalent on the surface of the tongue, with a study stratifying participants

200 according to oral nitrate reduction capacity observing a higher abundance of *Streptococcus*,

201 Granulicatella, Prevotella, Neisseria, and Haemophilus on the posterior surface of the tongue

202 compared to *Actinomyces*<sup>43</sup>. Interestingly, although lower in prevalence, *Actinomyces* have been

203 reported to be more efficient reducers of dietary nitrates under anaerobic conditions.

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#### 205 Mechanisms by which bacteria may convert nitrate to nitrite

206 The three mechanisms through which nitrates are converted to nitrites and other components by 207 bacteria are denitrification, assimilation and dissimilation. The first process, denitrification, occurs in the oral cavity under aerobic conditions<sup>61</sup> and is also called the respiratory nitrate reduction 208 209 process. During microbial respiration, oxygen is replaced by nitrogen oxides as terminal electron acceptors and ultimately reduces nitrate to nitrous oxide or free nitrogen<sup>62</sup>. Most of the bacteria 210 211 which have genes for respiratory nitrate reductases (*nirS* and *nirK*) prefer aerobic conditions<sup>63</sup> such 212 as Rothia spp and Neisseriae spp. However, some denitrification species of bacteria also reside in anaerobic conditions<sup>44</sup> such as *Veillonella*. The specialised surface of the tongue dorsum therefore 213 represents a microaerophilic environment which allows denitrification to occur under both aerobic 214 215 and anaerobic conditions. In the oral cavity, nitrite  $(NO_2)$  is initially formed from salivary nitrate (NO<sub>3</sub>) by some oral bacteria such as Actinomyces<sup>43</sup> that are considered to possess the nitrate 216 217 reductase enzyme (nar) and further converts nitrite to NO through either enzymatic (nir) or non-218 enzymatic denitrification. The latter process is a well-established step in the gastric environment of 219 the stomach. NO is then converted to nitrous oxide  $(N_2O)$  by nitric oxide reductase (nor) and finally 220 to nitrogen  $(N_2)$  by nitrous oxide reductase (*nos*). The nitrogen oxides and enzymes that participate 221 in the process of denitrification are as follows:

222

223 
$$\operatorname{NO_3} \xrightarrow{nar} \operatorname{NO_2} \xrightarrow{nir} \operatorname{NO} \xrightarrow{nor} \operatorname{N_2O} \xrightarrow{nos} \operatorname{N_2}$$

224

In the second pathway known as dissimilation, nitrate is reduced to ammonia (NH<sub>4</sub>+) by

226 periplasmic nitrate reductase (nap), with the intermediate product being nitrite<sup>64</sup>. This two-step

227 process is strictly anaerobic and occurs in the human gut by the facultative anaerobes $^{55}$ .

228

229 NO<sub>3</sub> 
$$\xrightarrow{nap}$$
 NO<sub>2</sub>  $\xrightarrow{nrf}$  NH<sub>4+</sub>

230

Assimilation, which occurs predominantly in plants, water and soil<sup>65</sup>, is the third pathway. Similar 231 232 to denitrification, the conversion of nitrate to ammonia occurs but during this pathway, the enzyme cytoplasmic nitrate reductase (nas) is used<sup>65</sup>. In this biosynthetic anabolic pathway, nitrite is further 233 reduced to ammonia, which can then undergo ammonium assimilation by incorporating the amino 234 acid glutamine<sup>44</sup>. The assimilation and dissimilation processes are therefore important in the 235 utilization of nitrates. Nitrifving bacteria (including Nitrobacter, Nitrococcus and Nitrosomonas)<sup>66</sup> 236 237 are responsible for the dissimilation and ammonification of nitrates and oxidises ammonium salts and nitrites to nitrates in a process called nitrification. It has been hypothesised that this process 238 might happen in the gut, but to date, this has not been described<sup>67</sup>. 239

240 In humans, nitrate reduction seems to occur either directly, such as in assimilatory nitrate reduction, or during a series of reactions during respiratory nitrate reduction. Notably, the latter 241 process needs more than one enzyme for further reduction which is mediated by the bacterial 242 communities<sup>44</sup>. This suggests that nitrate reducing capacity of nitrate-reducing bacteria is related to 243 244 the bacterial species, cellular location of enzymes and environmental conditions such as oxygen level. Therefore, dissimulation would occur more in the gut and denitrification in the oral cavity<sup>67</sup>. 245 246 Although the role of oral bacteria in mediating the beneficial effect of nitrate on vascular function is poorly understood, this review aims to address this knowledge gap by focussing on studies that used 247 248 antibacterial mouthwash and toothpaste to determine the importance of the presence of oral 249 microbiome on blood pressure and vascular tone.

#### 251 Methods

A systematic approach was used to identify the relevant human and animal studies which investigated 252 the role of dietary nitrate and the oral microbiome on blood pressure. PubMed and Web of Science 253 254 were used for the literature search which included all relevant articles published in English from 255 January 1950 until September 2019. There were three stages in the selection process. The combinations of the key terms used in the search strategy were as follows: ("Nitrate" OR "Nitrite" 256 OR "Nitric Oxide") AND ("Oral Bacteria" OR "Oral Microbiom" OR "Nitrate-Reducing Bacteria") 257 258 AND ("Blood Pressure" OR "Hypertension" OR "Cardiovascular" OR "Vascular Function") AND ("Mouth Wash" OR "Antiseptic" OR "Antibacterial"). The titles and abstracts of the identified papers 259 260 were screened by one member of the review team (HA) who identified potentially relevant papers. 261 This review was restricted to animal studies and human studies which used antibacterial mouthwash 262 or toothpaste to determine the effects on oral nitrate reduction on blood pressure and vascular tone. 263 Only published peer-reviewed literature was considered and 'grey' literature such as dissertations, conference proceedings, reports, letters to editors and other non-peer-reviewed research, was 264 265 excluded. After duplicates were removed, the abstract and full papers were screened for eligibility. 266 In addition, a hand-search of the bibliographies of the articles found from the electronic database searches was also conducted. An overview of the literature search is shown in Figure 3. 267

The quality of the included human RCTs and animal studies were assessed for the risk of bias using the Cochrane risk of bias tool<sup>68</sup> for human studies and SYRCLE's tool<sup>69</sup> for animal studies.

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#### 272 **Results and Discussion**

The systematic search identified 160 publications. Of these, 11 relevant publications were included, with 9 describing studies conducted in humans and 3 in animals. The risk of bias assessment summaries for each study are presented in Supplementary Tables 1 and 2, respectively. Animal studies will be discussed before studies including human participants. This will be followed by 277 discussion of the non-modifiable and modifiable factors affecting intra-individual variability in

278 number and composition of oral bacteria, with potential mechanisms of action.

279

#### 280 Animal studies

281 Of the 14 animal studies which have investigated the effect of nitrate on blood pressure, only 3 282 studies have determined whether oral bacteria are important in mediating the improvements in 283 blood pressure and endothelial function (Table 2). Formation of bioactive NO takes place within the 284 gastric environment of the stomach as a result of the enterosalivary circulation of nitrate, as well as systemically in the blood vessels. In 2009, Petersson and his colleagues<sup>70</sup> reported daily mouthwash 285 286 treatment for 7 days in rats to attenuate both the gastroprotection provided by NO and the diastolic 287 blood pressure lowering effect of sodium nitrate. A similar pattern was also evident for the mean arterial pressure in the rats treated with mouthwash and nitrate, but the lack of an effect in the rats 288 289 treated with mouthwash and nitrite suggested that oral bacteria play an important role in the 290 metabolism of nitrate to NO and mediated vasodilation. Furthermore, these rats also had reduced 291 oral bacteria suggesting that nitrite could bypass the reduction step by the oral bacteria and was 292 being reduced in the circulation or within endothelial cells to NO, or via effects on the formation of the intermediate nitrosothiols<sup>70</sup>. However, dietary nitrite intake is generally lower than that of 293 294 nitrate, and the half-life in plasma shorter (seconds versus hours) which suggests that even if nitrite 295 directly stimulates NO signalling, the quantity and kinetics of nitrite versus nitrate indicates that the critical aspect of this mechanism is the reduction of nitrate. Therefore, the role that dietary nitrite 296 297 plays in blood pressure lowering may be more limited relative to nitrate.

In agreement, Hyde et al<sup>29</sup> also reported a significant reduction in diastolic blood pressure and increase in plasma nitrite concentrations following the addition of sodium nitrate to drinking water in male Wistar rats. However, in this study, mouthwash treatment was unable to diminish the blood pressure lowering effects of the nitrate supplementation. The authors speculated that the direct application of the chlorhexidine-based mouthwash (Vedco, St. Joseph, MO) to the tongue 303 surface using a swab might not have enabled sufficient time for the mouthwash to exert its full extent on the bacteria relative to mouthspray<sup>29</sup>. A novel aspect of this longer-term supplementation 304 study was the focus on the changes in the microbiota composition on the rat tongue in response to 305 306 the treatments. Compared with baseline, there was a greater relative abundance of nitrate reducing 307 bacteria (Haemophilus spp and Steptococcus spp) after 6 days of sodium nitrate consumption, and of these Haemophilus parainfluenzae has also been identified as 1 of 14 species contributing to 308 309 nitrate reduction in the oral cavity of healthy adults. Co-supplementation of mouthwash with nitrate 310 was found to increase the diversity of the oral bacteria present relative to nitrate intake only, with 311 increases found in the low abundance taxa such as Enterobacteriaceae, Corynebacterium, and 312 Morganella. Therefore, the use of mouthwash appeared to disturb the oral microbiome by reducing 313 the abundance of the normally dominant taxa but not completely to impact nitrate reduction. These findings suggest that the lower abundance taxa which were evident after mouthwash treatment may 314 315 be functionally important in the bioactivation of dietary nitrate. However, the authors did caution 316 against translating these findings on the oral bacteria composition to humans since the oral human 317 microbiome has been shown to be more diverse and of a differing composition compared with the rat<sup>29</sup>. 318

319 The impact of mouthwash on chronic changes in blood pressure in response to nitrate or nitrite supplementation was further examined by Pinheiro et al<sup>71</sup> in both control and hypertensive 320 321 rats. After 4 weeks, significant reductions in mean arterial pressure and systolic blood pressure were evident in both the nitrate and nitrite groups, with concordant increases found in circulating plasma 322 323 nitrate and nitrite levels. Interestingly, co-supplementation with mouthwash attenuated the rise in 324 plasma nitrite levels by 25-30% in both groups but was only found to blunt the blood pressure 325 lowering effect of nitrate, with little impact found on blood pressure in the mouthwash and nitrite group. In agreement with Petersson et  $al^{70}$ , these findings suggested that anti-hypertensive effects of 326 nitrite were potentially occurring via non-enzymatic reactions within the gastric environment after 327 swallowing this ion independently of the enterosalivary pathway and potentially via non-enzymatic 328

329 reactions within the gastric environment after swallowing this anion. Analysis of the endogenously 330 produced vasodilatory compound S-nitrosothiol and levels of vascular nitrosylation revealed 331 mouthwash to reduce nitrosylation responses to nitrate only, leading the authors to speculate that S-332 nitrosylation was an important mediator of the blood pressure lowering effects of both nitrate and nitrite<sup>70,71</sup>. Studies have also reported that the foods consumed with dietary nitrites, such as 333 conjugated fatty acids, are also a target of nitrating species in the stomach leading to the formation 334 335 of nitro-fatty acids (such as nitro-conjugated linoleic acid). These electrophiles have been shown to 336 have anti-hypertensive effects independent of S-nitrosothiols suggesting that they may also play a role in mediating the effects of nitrate and nitrite on blood pressure <sup>72</sup>. Antiseptic mouthwash was 337 338 proposed to attenuate the beneficial effects of dietary nitrate intake on blood pressure by reducing 339 the amount of nitrite formation by the oral bacteria and therefore reaching the stomach, inhibiting 340 gastric formation of S-nitrosothiols. However, the positive benefits on blood pressure of raised S-341 nitrosothiols was only found in the antihypertensive rats, supporting previous observations in both 342 animals and humans that raised blood pressures often show a greater sensitivity to the anti-343 hypertensive effects of medication and/or dietary modification.

Studies performed in animals may provide useful insights into the mechanisms underlying the effects of oral bacteria in the bioactivation of nitrate. However, findings in rats and mice need to be interpreted with caution due to differences in physiology and dependence on nitrate as a source of NO between organisms. In contrast to humans, rats and mice do not recirculate nitrate in saliva<sup>73</sup> and so salivary nitrate concentrations never exceed those levels found in plasma<sup>74</sup> and they also have other nitrate reducing mechanisms that may work in tandem with nitrate reduction by the oral bacteria to control nitrite and NO level<sup>73</sup>.

351

#### 352 Human Studies

The publications describing the human studies were divided into those which examined 1) the association between oral bacteria with nitrate/nitrite levels and/or blood pressure (n=5; Table 2) and 355 2) the combined effects of nitrate ingestion and oral bacteria on nitrate/nitrite levels and/or blood pressure (n=4; Table 3). The role of the oral bacteria in mediating systemic nitrite production after 356 357 nitrate intake has been primarily investigated with the use of an antiseptic mouthwash to remove the 358 bacteria prior to the measurement of the outcomes of interest. The type of mouthwash has been 359 shown to be important, with the strong antibacterial chlorhexidine-based mouthwash (Corsodyl) found to be more effective at reducing Veillonella dispar (nitrate reducing bacteria) in the oral 360 cavity than Listerine (mixture of essential oils), Isodine and Cepacol (antibacterial) in healthy 361 adults<sup>75</sup>. In support of these findings, gargling with 10 ml of chlorohexidine mouthwash (Corsodyl) 362 363 twice for 1 min was also found to reduce the bacterial count of nitrate reducing bacteria by 364 approximately 80% and virtually abolish the oral nitrate reducing capacity compared with no mouthwash in healthy subjects<sup>27</sup>. Although nitrate accumulated in saliva after ingestion of sodium 365 366 nitrate in both studies, a significant reduction in the conversion of salivary nitrate to nitrite after 367 mouthwash was associated with 30% lower plasma nitrate concentrations at 3 h post-ingestion, compared with no prior use of mouthwash. In contrast, a randomised cross-over study found an 368 369 antibacterial toothpaste to have no effect on salivary or plasma nitrate concentrations in 16 women 370 after consuming 400 mg of nitrate before brushing their teeth with antibacterial toothpaste (0.3% triclosan) or toothpaste containing no antibacterial agent<sup>76</sup>. The lack of an effect observed with the 371 372 antibacterial toothpaste may reflect either the lower prevalence of the nitrate reducing bacteria on 373 the surface of the teeth, relative to the tongue, or the less efficient removal of the bacteria sheltering within the gingival crevices between the teeth compared with mouthwash. 374

Four studies have determined the impact of mouthwash on changes in oral nitrate reducing capacity and blood pressure (Table 2). Compared with no mouthwash, Kapil et al<sup>41</sup> reported that using 0.2% chlorhexidine twice daily for 7 days significantly increased systolic and diastolic blood pressure measured using 3 different techniques (clinic, ambulatory and home measurements) by approximately 3 and 2 mmHg respectively in 19 healthy normotensive subjects. Interestingly, the effects of mouthwash treatment on blood pressure was evident after only a single use of the 381 chlorhexidine mouthwash and was maintained for the following 6 days. The rise in blood pressure 382 was significantly correlated with the significant reduction in plasma nitrite levels, with only a trend 383 for a relationship with the salivary nitrite, highlighting the potential importance of the oral nitrate-384 reducing bacteria in blood pressure modulation.

385 In 15 subjects treated with anti-hypertensive medication, the attenuation found in oral nitrate reducing capacity after daily use of chlorhexidine mouthwash for 3 days was associated with an 386 387 increase in systolic blood pressure of 2.3 mmHg, but only a trend for a decrease in plasma nitrite concentrations compared with the control (tap water)<sup>77</sup>. The lack of a significant effect on the 388 plasma nitrite response relative to Kapil et al<sup>41</sup> was thought to be due to the study visit being 389 390 performed 12 h after prior use of the mouthwash treatment or related to the age or medication use of 391 the hypertensive participants. In order to determine the mechanism underlying the effects of dietary nitrate intake on blood pressure, plasma cGMP, a mediator of NO-dependent smooth muscle 392 393 relaxation in the endothelium and a good marker of NO production, can be measured. Although 394 increases in plasma nitrite and cGMP after dietary nitrate intake have been previously associated 395 with blood pressure lowering, no effects were evident on cGMP concentrations after 3 days of using 396 mouthwash. This may be related to the lack of a nitrate challenge on the study visit (which provides 397 an important source of NO under hypoxic conditions) but could also suggest that dietary nitrate may 398 impact on vascular tone via direct effects on smooth muscle function.

In contrast to these two studies, Tribble et al <sup>78</sup>reported use of chlorhexidine mouthwash 399 400 twice daily for 7 days to be associated with a highly variable effect on clinic systolic blood pressure 401 (an increase of at least 5 mmHg found in n=9 subjects whereas a decrease was observed in n=4) in 402 an orally healthy cohort. Post-hoc data analysis revealed the inclusion of tongue cleaning as part of 403 the daily dental hygiene routine to play a significant role in the responses observed both on blood 404 pressure and the diversity of the oral bacteria at baseline and during the study. Specifically, regular 405 tongue cleaning was associated with a greater ability to reduce nitrite to NO whereas the lack of 406 tongue cleaning resulted in an oral microbiome composition which favoured conversion of nitrite to

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ammonia and not NO. The authors speculated the use of chlorhexidine mouthwash was having a
chemo-stimulatory effect on the oral bacteria, with the temporary loss of bacterial numbers
proposed to stimulate a rapid population recovery and increase in bacterial nitrate reductase activity.
However, these effects may also reflect a protective upregulation of the nitrate, nitrite and NO
regulating mechanisms in the microbiota suddenly detached from their biofilms during tongue
cleaning and warrants further investigation.

413 In a cross-over study, treatment with chlorhexidine (0.2%) for 3 days was shown to have no 414 effect on clinic or 24 h ambulatory blood pressure in 17 young females compared with a placebo mouthwash<sup>79</sup>. Although a reduction in salivary nitrite and oral nitrate reducing capacity was found 415 416 after the antibacterial mouthwash, comparable changes were not evident in either the plasma or 417 urine samples collected. The lack of effects observed relative to other studies may reflect the short 418 intervention time with the mouthwash treatments or inclusion of female participants only. Based on 419 a previous study conducted by the same research group in athletes, they speculated that cross-talk may exist between the enterosalivary nitrate-nitrite-NO pathway and eNOS, with a greater intake of 420 421 dietary nitrate associated with a lower eNOS activity. However, whether a reduction in nitrate-422 nitrite-NO with antibacterial mouthwash leads to an upregulation in eNOS is yet to be established.

423 In the studies presented in Table 3, measures of blood pressure have been related to salivary 424 and plasma nitrate/nitrite levels following nitrate intake and use of mouthwash. In agreement with previous findings, Woessner et al <sup>30</sup> found antibacterial mouthwashes to attenuate postprandial 425 salivary and plasma nitrite concentrations following dietary nitrate intake (concentrated beetroot 426 427 juice) compared with the weaker antiseptic mouthwash and control. Although changes in clinic 428 systolic blood pressure 0-3 h after the treatments were not related to plasma/salivary nitrite or 429 nitrate levels, systolic blood pressure at 4 h was 2-5 mmHg higher after Chlorhexidine and Cepacol 430 mouthwashes compared with control and Listerine mouthwash. These findings potentially suggest 431 an important role of the nitrate-nitrite-NO enterosalivary pathway, but should be interpreted with 432 caution due to the small sample size, inclusion of male subjects only and the short duration of the

study visit relative to the expected peak in plasma nitrite concentrations (approximately 3 h).
Furthermore, these findings may have been influenced by the large inter-individual variability
observed in blood pressure responses following the mouthwash treatments.

In the study of McDonagh and co-workers <sup>80</sup>, consumption of 2 x 70 ml shots of 436 437 concentrated beetroot juice and daily use of strong or weak antibacterial mouthwash for 6 days were 438 found to have limited effects on baseline blood pressure and salivary and plasma nitrate/nitrite 439 levels compared with the control (water). However, differences were evident 2-4 h after drinking 440 the beetroot juice, with the rise in plasma nitrite found to be attenuated after use of the strong and 441 weak mouthwash for 6 days. These changes were associated with a reduced oral nitrate reducing 442 capacity after the strong mouthwash, with lower nitrite levels compared with both the weak and 443 placebo mouthwashes. Although changes in resting measures of blood pressure (supine and seated) 444 and pulse wave analysis (arterial stiffness) after the juice were not influenced by the strength of the 445 mouthwash used, differences were evident in blood pressure during low-intensity activity on the 446 treadmill. In particular, there was a greater increase in systolic blood pressure and mean arterial 447 pressure after rinsing with the strong (Chlorhexidine) compared with the control (water) 448 mouthwash. The lack of effect on arterial stiffness even in the presence of lower salivary and 449 plasma nitrite levels after the strong mouthwash indicates that either the availability of NO was not 450 altered sufficiently over the 4 h acute test period in these young active participants or that their 451 higher physical active level may have masked any effects of the mouthwash on the vascular function measures. However, this is one of the only studies to incorporate a measure of blood vessel 452 453 elasticity to determine the role of oral bacteria in mediating the beneficial effects of beetroot juice 454 on vascular function, and so further studies are needed in which to compare these findings and 455 determine the underlying mechanisms.

456 As highlighted in the human studies, oral bacteria composition appears to vary between 457 individuals, with both non-modifiable (e.g. age, sex, genetics and tongue physiology) and 458 modifiable (e.g. diet, health conditions, life style and dental hygiene routine) factors considered to

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459 impact on the abundance and prevalence of nitrate reducing bacteria in the oral cavity. These factors 460 are important to consider during interpretation of the study findings and for informing the design of 461 future studies exploring the role of oral nitrate reducing bacteria on the regulation of vascular 462 function. The following section summarises the main factors identified from the human studies.

463

#### 464 Intra-individual variability in number and composition of oral bacteria

#### 465 Non-modifiable factors

466 Geographical location and culture have all been suggested to impact on oral bacteria composition. 467 Findings from a study including participants from Northern and Southern Europe, reported a higher 468 abundance of Rothia and unclassified Gemellaceae in Finnish populations compare to Spanish 469 while Lactococcus, Fusobacterium and Porphyromonas genus were significantly higher in Spanish compare to Finnish groups<sup>81</sup>. Comparing findings of this study with another study which 470 471 investigated the differences in oral bacteria between people living in Africa, Alaska and Germany showed that oral bacteria composition is highly variable between countries<sup>82</sup>. These differences may 472 represent the sex and age distributions of these different populations, genetic make-up and habitual 473 food preferences<sup>83,82</sup>. 474

475 Moreover, the dorsal surface of the tongue plays a major role in nitrate reduction and 476 represents a highly papillated surface area. The papillary structure of the human tongue is unique in 477 nature and supports a higher bacterial density than the mucosal surface, accumulating oral debris 478 and anaerobic bacteria on the rear of tongue<sup>42</sup>. There are three kinds of papillae on the tongue: 479 fungiform, circumvallate and foliate papillae. The fungiform papillae have a mushroom shape and 480 are found predominately on the dorsal surface of the tongue covering up to two-thirds of the surface. Their shape supports a higher bacterial density<sup>84</sup>. However, the shape and number of 481 482 papillae varies between individuals which has been related to differences in oral bacteria 483 composition. Studies have shown that a number of factors can affect the papillary number on the

484 tongue including ageing (with lower number of papillae observed in those individuals over 60
 485 years), genetic make-up, ethnicity<sup>81</sup>, demographics and environment<sup>84</sup>.

Within the oral cavity, the presence of teeth increases the bacterial density compared to 486 487 those with permanent tooth loss since the gingival crevices between teeth represent a greater surface area and environment for bacterial growth<sup>85</sup>. Other important factors considered to impact on the 488 489 variety of nitrate reduction bacteria present in the oral cavity are ageing and sex. However, in a recent human study conducted in n=9 participants < 22 years and n=9 > 70 years, a similar salivary 490 491 microbiome at baseline and after placebo beetroot juice was found in both groups. Comparable 492 changes in bacterial composition (increases in Rothia and Neisseria) were also evident in both age groups in response to consuming 70 ml of beetroot juice ( $\approx 6.2$  mmol nitrate) daily for 10 days<sup>86</sup> 493 suggesting that age was not an important modulator of the oral bacteria composition in this study. 494 495 Few studies have determined differences in oral bacteria composition between men and women. In order to address this knowledge gap, Kapil and colleagues<sup>87</sup> examined the impact of sex on nitrate 496 reducing bacteria abundance in 13 male and 13 females age 18-45 years. Oral bacteria samples were 497 498 collected before and after nitrate supplementation and all samples were analyzed by 16S rRNA 499 sequencing. Significant sex dependent effects on oral nitrate reducing bacteria composition were 500 not found in this study. However, sub-group analysis indicated females to have a non-significant tendency for a higher activity of nitrate reducing bacteria than  $men^{87,74}$  but these findings need to be 501 502 confirmed in a suitably powered study.

503

#### 504 Modifiable factors

Several modifiable factors have been reported to influence and change the oral nitrate reducing bacteria composition, with dietary nitrate intake considered to be one of the most important factors<sup>27,88</sup>. In a recent cross-over study conducted in 18 volunteers assigned to receive a nitrate supplement or a placebo for 10 days, an increase in the abundance of some nitrate reducing bacteria, particularly *Rothia and Neisseria* was linked with the ability of an individual to reduce the nitrate

supplement. However, changes were not observed with the *Prevotella and Veillonella* species<sup>86</sup>. 510 511 Interestingly, these results corroborate findings from another study which reported the reduction in Prevotella and Veillonella species in the oral cavity of elderly adults following dietary nitrate intake 512 to be associated with a lower mortality risk in this population<sup>88</sup>. Furthermore, the increased 513 514 prevalence of *Rothia* and *Neisseria* species relative to the *Prevotella* and *Veillonella* species was linked to higher NO bioavailability in both saliva and plasma<sup>86</sup>. These findings imply that the oral 515 bacteria community is responsive to changes in the level of dietary nitrate intake<sup>89</sup>. However, the 516 517 authors also reported that individuals with a higher abundance of Campylobacter concisus and Prevotella melaninogenica in their oral cavity at baseline may not be as responsive to dietary nitrate 518 intake than those with a lower proportion of these bacteria<sup>86</sup>. This might reflect the fact that both 519 520 Campylobacter. concisus and Prevotella. melaninogenica are predominately nitrite, but not nitrate, 521 reducers in the oral cavity. Therefore, dietary nitrate availability may affect the growth and 522 composition of particular groups of oral bacteria which can be related to improved cardiovascular health<sup>89</sup>. Of particular note, drinking beetroot juice rich in dietary nitrate can increase the oral cavity 523 pH from 7.0 to 7.5 which is close to the optimal pH of 8 required for nitrate reductase activity<sup>90</sup>. 524 525 Therefore, the effect of pH is also important in terms of the proliferation and inhibition of different populations within the oral bacterial community<sup>86</sup>. 526

527 In a similar fashion, some health conditions have also been reported to influence the oral 528 bacterial composition, with a lower density of nitrate reducing bacteria and a different bacterial 529 composition found in people with raised blood pressure (hypertensives) than normotensive subjects<sup>31</sup>. A recent novel study has provided further evidence on the relationship between 530 531 differences in oral bacteria composition with hypertension in postmenopausal women (n=446). This study analysed oral bacterial samples by using 16S RNA sequencing and found that the abundance 532 533 of Prevotella oral species 317 and Streptococcus oralis were significantly lower in women with elevated blood pressure compared with those with normal blood pressure<sup>91</sup>. Furthermore, the 534 differences in the oral bacteria communities between groups also seemed to be associated with the 535

severity and progression of the hypertension <sup>33</sup>. Conversely, a higher abundance of nitrate reducing 536 537 bacteria were observed in individuals who suffer from migraines (a vascular driven process 538 associated with changes in NO). Interestingly, the dominant nitrate reducing bacteria in these 539 individuals were Pseudomonas and Streptococcus which are not common in subjects who did not 540 suffer with migraines. Oligotyping (the technique for differentiation between closely related microbial taxa)<sup>92</sup> was performed for both genera to investigate the strain-level differences across the 541 bacterial population. *Pseudomonas* decompose to 2 oligotypes (different strains of the same 542 543 species) and has differential abundance patterns with significantly higher abundance in oligotype 2 in those suffering from migraines compared with non-sufferers<sup>93</sup>. These results suggest that the type 544 545 of these oral bacteria may be more prevalent in people with migraines. However, more work is needed to find the link and the mechanism to explain how these bacteria adapt genetically to their 546 host environment. 547

548 Therefore, there may be an optimum number and composition of nitrate reducing bacteria 549 which has beneficial effects, and a greater level may have a negative impact on conditions 550 associated with blood vessel dilation such as migraine. However, it should be acknowledged that 551 nitrate reduction and metabolism cannot be attributed to single bacterial species as they are unlikely 552 to express all of the enzymes required to decompose nitrate simultaneously. More likely, these individual nitrate reducing bacteria are considered to work in synergy with other members of the 553 microbial community. This has been demonstrated by Hyde et al, <sup>43</sup> who found that mixed colonies 554 of high and low nitrate reducers showed a greater capacity for nitrate reduction than mixes of either 555 556 multiple high reducers or individual nitrate reducing bacteria. This highlights the complexity of the 557 oral microbiome and the impact on dietary nitrate metabolism.

558 Cardiometabolic diseases including obesity, the metabolic syndrome and type II diabetes are 559 major contributors to global CVD disease burden. Whilst some studies have reported plasma 560 nitrate/nitrite levels to be negatively associated with waist circumference <sup>94</sup>, obesity <sup>95</sup> and blood 561 pressure, others have observed positive associations between plasma nitrite and BMI, fasting blood

glucose <sup>96</sup>, systolic blood pressure and the fasting lipid profile. In support of these findings, Akram 562 et al (2018)<sup>97</sup>, found plasma nitrite levels to be higher in individuals with both obesity and the 563 metabolic syndrome followed by those with obesity alone, with the lowest levels in those with 564 565 normal weight. Whether high plasma nitrite levels play a role in the worsening of the 566 cardiometabolic risk markers is a public health issue since higher dietary nitrate intakes may also 567 cause higher levels of plasma NOx (sum of nitrate and nitrite levels). Furthermore, these data are 568 associations, do not indicate whether cardiometabolic risk markers change in response to varying 569 nitrate/nitrite intakes and do not prove cause and effect. Interestingly, a review of the evidence 570 suggests the contrary, with dietary nitrate supplementation found to reverse or improve some of the 571 features of the metabolic syndrome and be protective against the development of CVD<sup>98</sup>. Although 572 these beneficial effects may be related to improvements in NO metabolic pathways and glucose 573 control, we cannot discount that favourable changes in the gut microbiota in response to dietary 574 nitrate intake may also represent an important mechanism since dysbiosis (a term to describe microbial imbalance) is a common feature of the cardiometabolic diseases. However, very few 575 576 studies have determined the impact of dietary nitrate supplementation on the gut microbiota in 577 humans, with a very short-term study with nitrate-rich fruit and vegetable juice suggesting a 578 reduction in the Firmicutes to Bacteroides ratio after 3 days which was related to higher plasma nitrate/nitrite levels <sup>99</sup>. Furthermore, a one-year intervention with the Mediterranean diet, rich in 579 580 vegetables, was associated with increased abundance of specific taxa that were inversely associated with inflammatory markers <sup>100</sup>. More studies are needed to address this research gap which also 581 582 include analysis of the oral microbiome to determine whether increases in the abundance of nitrate 583 reducing bacteria are related to improvements in cardiovascular health.

Oral hygiene habits, including daily use of an antibacterial mouthwash or tongue scraper have been found to not only reduce acute bacterial infection, but also numbers of bacteria present<sup>30</sup>. On the other hand, poor oral hygiene contributes to dysbiosis by accumulating a plaque biofilm which contains large number of microbes including nitrate reducing bacteria <sup>101</sup>. This can cause 588 dental infections and gingivitis by increasing pathogenic bacteria such as (porphyromonas 589 gingivalis)<sup>102</sup>. Studies have shown that patients with periodontal disease to have higher levels of salivary nitrite which may be partly derived from the reduction of nitrates by the oral bacteria. Since 590 591 nitrite has been shown to have an antimicrobial effect against gastrointestinal and oral pathogens, it 592 has been speculated that the salivary glands may respond to the periodontal infection by enhancing the secretion of nitrate and production of nitrite by the nitrate reducing bacteria as a host defence 593 mechanism<sup>103</sup>. This is thought to reduce the prevalence of the acidogenic bacteria which contribute 594 to the development of dental caries. In agreement, Doel et al <sup>42</sup> has reported a significant reduction 595 in dental carries in study participants with high salivary nitrate concentration. Epidemiological 596 597 studies have reported an association between periodontal disease with CVD. Although the cause 598 and effect relationship has not been proven, studies have suggested that inflammation caused by the 599 oral infection may contribute to the development and progression of the atherosclerotic plaque. 600 Interestingly, periodontal pathogens have been identified in the atherosclerotic plaque suggesting a 601 direct role in CVD. However, to date, periodontal disease has not been considered to be a CVD risk marker<sup>104</sup>. Lifestyle habits such as smoking can also influence oral bacteria composition<sup>74</sup>. In a 602 603 study conducted in 9 non-smokers aged 20-45 y and n=5 healthy active smokers (>20 cigarettes per 604 week) aged 30-60 years, nitrate reduction activity was found to be over 80% lower in smokers compared to non-smokers<sup>74</sup>. However, the low numbers of individuals within each group may have 605 606 influenced the results observed.

As previously mentioned, dietary nitrates have been shown to interact with other food components such as lipids<sup>72</sup>, with similar reports for polyphenols<sup>105</sup>, alcohol<sup>106</sup> and proteins <sup>25</sup>. In particular, foods and beverages rich in polyphenols including apple, tea and orange juice have been shown to lead to a 3 fold increase in NO production in the stomach<sup>107</sup> and reduce endogenous Nnitrosamine formation. Along with polyphenols, the content of ethanol in red wine can also interact with nitrite forming ethyl nitrite which works as a nitrosation agent and may mediate NO effects.

- 613 These interactions with other dietary components may therefore play a role in modulating the
- 614 circulating NO levels and bioavailability of the nitrate and nitrite contained within foods.

615 In summary, a systematic approach was used to identify the studies that have determined the 616 impact of oral bacteria on blood pressure in response to nitrate intake, from dietary sources or 617 supplements. However, only a very limited number of human (n=2) and animal (n=3) studies have 618 addressed this research question, with the remaining studies examining the importance of the oral 619 bacteria on the nitrate reducing capacity on circulating nitrite concentrations and blood pressure. Based on our observations from these studies, there is accumulating evidence to suggest that 620 621 absence of nitrate-reducing oral bacteria was associated with increasing blood pressure even when 622 accompanied by a high nitrate intake. However, some of the studies failed to see any effects, which 623 may be due to type of mouthwash used in the human studies or the method of application of the mouthwash in the animal study<sup>29</sup>. Sex, hypertension, and tongue cleaning were all found to be 624 625 important potential determinants of the variability in the responses between participants. Of these, the dental hygiene practice of tongue cleaning, which is recommended by the American Dental 626 627 Association, appeared to promote oral microbiota diversity and be associated with a greater ability to recover the tongue microbiome after mouthwash use. Potential mechanisms to explain the blood 628 629 pressure lowering effects of dietary nitrates included increases in plasma nitrite, S-nitrosothiols, 630 nitro-fatty acids and vascular nitrosylation and cross-talk between the enterosalivary nitrate-nitrite-631 NO pathways and eNOS activity in the endothelial cells. However, the limited number of studies 632 performed make it difficult to draw any firm conclusions from this literature review.

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#### 634 Conclusions

With the increasing prevalence of non-communicable diseases there is an urgent need for further
studies to investigate the role of the oral bacteria on cardiovascular health in response to dietary
nitrate intake, and to determine the underlying mechanisms. With vascular function now recognised
as an important prognostic marker for future CVD risk, studies incorporating real time measures of

639 vascular reactivity and tone are required. Furthermore, the use of rigorous methods to determine changes in the abundance and composition of the oral bacteria in response to intake of dietary 640 nitrate would help to identify important nitrate-reducing bacteria related to changes in vascular 641 642 function and determine whether these bacterial groups are also evident in the gut microbiome, a proposed modulator of chronic disease risk. Diets containing nitrate-rich foods may contain other 643 644 bioactive components which could also contribute to CVD risk reduction, including fibres, 645 vitamins, minerals and flavonoids. Such diets may offer a number of advantages over nitrate/nitrite 646 supplemental use, not only due to the availability of other bioactive components, but also because 647 of reports of vascular adaptation and risk of marked acute hypotension after supplemental nitrate use, not found with nitrate-rich diets<sup>108</sup>. With hypertension a major risk factor for CVD, more 648 studies are needed to determine whether diets higher in nitrate-rich foods can be recommended for 649 650 blood pressure lowering and disease prevention in healthy individuals and those at greater CVD 651 risk.

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and J.A.L. provided feedback and guidance on previous drafts of the review and J.A.L. was

657 responsible for final content. The authors have no conflicts of interest to declare.

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# Tables

Table1: Commonly reported nitrate reducing bacteria species found in the oral cavity

Bacteria species	Condition	Change in abundance in response to nitrate intake	Location in the oral cavity
Veillonella dispar <sup>42,43</sup>	Anaerobic	$\uparrow$	Tongue
Actinomyces odontolyticus <sup>42,43</sup>	Facultative anaerobic	$\uparrow$	Tongue
Prevotella salivae <sup>42 ×43</sup>	Anaerobic	$\uparrow$	Tongue
Rothia mucilaginosa <sup>42</sup> ' <sup>14</sup>	Aerobic	$\uparrow\uparrow$	Tongue
Neisseria flavescens <sup>43</sup> ,14	Aerobic	$\uparrow \uparrow$	Tongue

Reference	Animals	Study design and duration	Intervention	Measurement	Outcome measures
Petersson 2009 <sup>70</sup>	n= 4-7 Male Sprague Dawley rats each	Parallel groups with 7 day treatment periods:	Water supplemented with 10 mM NaNO <sub>3</sub> or 1 mM	Plasma	$\Delta$ NO <sub>2</sub> $\downarrow$ after mouthwash + NaNO <sub>3</sub> vs control p<0.05.
	group (190-360 g, B and K, Sollentwia,	<ol> <li>No treatment (control).</li> <li>NaNO<sub>3</sub> only</li> </ol>	NaNO <sub>2</sub>	HR	NS
	Sverge).	<ul><li>3) Mouthwash</li><li>4) Mouthwash + NaNO<sub>3</sub></li></ul>	Mouthwash groups: Chlorhexidine mouthwash	SBP	NS
		or NaNO <sub>2</sub>	spray (0.3 ml), 2X daily.	DBP	↓after NaNO <sub>3</sub> . DBP lowering absent in mouthwash treated rats
				MAP	↓ after NaNO <sub>3</sub> and mouthwash + NaNO <sub>2</sub> vs mouthwash only. MAP lowering absent in mouthwash + NaNO <sub>3</sub> rats
				Oral bacteria	↓ viable bacteria on tongue after mouthwash
Hyde 2014 <sup>29</sup>	n= 8 Male Wistar rats 7 weeks old	<ul><li>19 day sequential intervention:</li><li>0-5 control (water)</li></ul>	NaNO <sub>3</sub> (1 g/L) in drinking water	SBP	NS
		6-12 NaNO <sub>3</sub> , 13-19 NaNO <sub>3</sub> + mouthwash Blood collected at day 1, 5, 6,	Mouthwash regime: 0.3 ml of chlorohexidine applied 2X daily to tongue dorsal	DBP	↓ after NaNO <sub>3</sub> and mouthwash + NaNO <sub>3</sub> vs control
		12, 13 & 19. BP (telemetry) and tongue swab every day	surface (days 13-19)	Plasma NOx	NS

## Table 2: Animal studies investigating the importance of oral nitrate reducing bacteria on blood pressure in response to nitrate intake.

Pinheiro	n = 10, Male Wistar	6 weeks – 2 weeks baseline	15 mg NaNO <sub>2</sub> /kg or 140	Plasma	$\Delta \text{ NO}_2 \downarrow 2530\%$ after
2016 <sup>71</sup>	rats each group (190-	followed by 4 weeks treatment	mg NaNO <sub>3</sub> /kg (gavage)		mouthwash vs NaNO <sub>2</sub> and
	210 g)				NaNO <sub>3</sub> groups ( $P < 0.05$ )
		Experiment 1	Mouthwash groups: Daily		$\Delta \text{ NO}_3 \downarrow 45\%$ after
	2 kidney, 1 clip	Vehicle	mouth clean with		mouthwash vs NaNO <sub>2</sub> group
	(2K1C) hypertensive	NaNO <sub>2</sub>	Chlorhexidine (0.12%)		(P < 0.05)
	group.	Mouthwash	soaked swab.	BP	↓ SBP (40 mmHg) and MAP
		$Mouthwash + NaNO_2$			with NaNO <sub>2</sub> and NaNO <sub>3</sub> (P=
	Sham operated control				0.01).
	group	Experiment 2			Mouthwash blunted MAP
		Vehicle			and SBP lowering effect of
		NaNO <sub>3</sub>			$NaNO_3$ (p <0.05) but not
		Mouthwash			NaNO <sub>2</sub>
		$Mouthwash + NaNO_3$			
				Oral bacteria	↓CFU 50-70% with
		6 h after last treatment, blood			mouthwash
		and tongue swab collected.			

Abbreviations: DBP: Diastolic Blood Pressure, HR: Heart Rate, MAP: Mean Arterial Pressure, NS: Not Significant, NO<sub>2</sub>: Nitrite Concentration, SBP: Systolic Blood Pressure, NO<sub>3</sub>: Nitrite Concentration, CFU: Colony Forming Unit (number of viable bacteria)

## Table 3: Human studies determining the effects of oral bacteria on salivary and plasma nitrite concentrations, and/or blood pressure in

response to nitrate intake.

Reference	Subject characteristics	Study design and duration	Nitrate dose	Type of mouthwash	Measurement	Significant outcomes
ACUTE ST	UDIES					L
Mitsui et al., 2017 <sup>75</sup>	n=12 (6M/6F) Normotensive, Age 19-44 y Non-smoking,	Acute, RCT, CO 4 visits 10 h in duration with 1 wk washout. Saliva and oral bacteria collected 0, 1 and 10 h.	100 g lettuce (110 mg NO <sub>3</sub> ) with breakfast. Lunch at 5 h.	<ol> <li>Water (control)</li> <li>Listerine (antiseptic)</li> <li>Isodine (povidone- iodine, 0.35%)</li> <li>Chlorhexidine 0.0025%</li> </ol>	Saliva Oral bacteria	Relative to baseline: $\uparrow$ NO <sub>3</sub> and NO <sub>2</sub> after each treatment (P < 0.05) $\downarrow$ nitrate reducing
				Treatment for 3 min prior to nitrate ingestion		bacterium <i>V. Dispar</i> at 1 and 5 h after Chlorhexidine
Govoni et al 2008 <sup>27</sup>	n=7 Normotensive Age 24-51y	Acute, RCT, CO 2 visits of 3 h in duration.	10 mg/kg NaNO <sub>3</sub> in 100 ml water	Mouthwash vs no mouthwash	Saliva	↑NO <sub>3</sub> on both visits ↓ NO <sub>2</sub> vs no mouthwash
	BMI 23 kg/m <sup>2</sup> Non-smoking	Blood and saliva samples collected before and for 3 h after nitrate intake. Oral bacteria collected in n=4		Corsodyl (Chlorhexidine) gargled twice for 1 min, 15 min before nitrate ingestion.	Plasma	NO <sub>3</sub> $\downarrow$ 29 nM and NO <sub>2</sub> $\downarrow$ 250 nM at 3 h vs no mouthwash
		after mouthwash only.			Oral bacteria	↓ bacteria count and (80%) and nitrate reducing capacity after mouthwash.

Woessner	n=12 (M)	Acute, RCT, CO	140 ml of	1) Water (control)	SBP	↓ Listerine and
et al 2016 <sup>30</sup>	Normotensive	4 visits, 4 h in duration with	concentrated	2) Listerine (antiseptic)		control vs Cepacol
	$\overline{x}$ age 36 y and	1 wk washout.	beetroot juice	3) Cepacol		and Chlorhexidine
	BMI 24 kg/m <sup>2</sup>		(8.4 mmol	(antibacterial)		$(P \le 0.05)$
		BP, blood and saliva	nitrate)	4) Chlorhexidine		
	Non-smoking	collected before and for 4 h		(0.12%)	DBP	NS
		after juice consumption				
				Treatment 15 min after	Saliva	↑ NO <sub>3</sub> all treatments
				beetroot juice for 60s.		$\uparrow$ NO <sub>2</sub> control vs all
						mouthwashes and $\downarrow$
						NO <sub>2</sub> Chlorhexidine
						and Cepacol vs
						antiseptic (P ≤0.05)
					Plasma	↑ NO <sub>3</sub> all
						treatments
						$\downarrow NO_2$
						Chlorhexidine vs all
						treatments and
						Cepacol vs control
						(P ≤0.05)

Bondonno	N=16 F	Acute, RCT, CO	0, 100, 200,	1) Antibacterial	Saliva	$\uparrow$ NO <sub>3</sub> all treatments
et al 2012 <sup>76</sup>	Normotensive	5 visits of 3 h in duration.	400 mg NaNO3	toothpaste (0.3%		
	$\overline{x}$ age 52±11y	1 wk washout.	in water	triclosan)		
	(F)	Blood and saliva samples		2) Toothpaste	Plasma	$\uparrow$ NO <sub>3</sub> all treatments
	Non-smokers,	collected before and for 3 h		without		
		after nitrate intake		antimicrobial		
				agent (control)		
ACUTE WI	THIN CHRONIC					
McDonagh	n=12 (6M/6F)	Acute within chronic, RCT,	70 ml of	1) Strong - Corsodyl		Relative to baseline
et al 2016 <sup>80</sup>	Normotensive	double blind	beetroot juice	(Chlorhexidine)		(0 h):
	$\overline{x}$ age 22±2y (F)	6 visits over 8 weeks	(6.2mmol	2) Weak - Vademecum	SBP	Resting - NS
	and 24±2 y (M).	Each treatment 6 days, with	nitrate) twice a	med (non-chlorhexidine-		After 10 min
	Non-smokers,	acute visits (4 h) on days 0	day	containing antibacterial		exercise, ↑ 3 mmHg
		and 6.		mouthwash)		after strong
				3) Deionised water (con)		mouthwash vs
		Acute visits: Rinse with		3X daily 15 mins before		control (P = $0.07$ ) 4
		mouthwash 15 min before		beetroot juice and meals,		h after beetroot
		ingesting 2 x 70 ml beetroot		for 6 days	חחח	juice
		juice. Measurements at 0, 2			DBP	Resting and during
		and 4 h. BP and PWA measured at rest and during			MAP	exercise – NS Resting - NS
		10 min of treadmill walking.			MAL	After 10 min
		Saliva and plasma samples				exercise, ↑ after
		collected.				strong mouthwash
						vs control (P<0.05)
						at 4 h.
						During exercise $\uparrow$
					HR	after strong vs
						control and weak
						(P<0.05).
					PWA	NS

		Plasma	↑NO <sub>3</sub> all treatments
			$\Delta \text{ NO2} \downarrow \text{ after}$
			strong vs other
			treatments at 2 and
			4 h, and weak vs
			control (P<0.05) at
			2 h
		Saliva	$\Delta \operatorname{NO3} \uparrow \operatorname{and} \Delta$
			NO2 ↓ after strong
			vs weak and control
			(P<0.05) at 4 h.

Abbreviations: DBP: Diastolic Blood Pressure, HR: Heart Rate, MAP: Mean Arterial Pressure, RCT: Randomized Controlled Trial, NS: Not

Significant, PWA: Pulse Wave Analysis, SBP: Systolic Blood Pressure, CO: Cross Over.

Reference	Subject characteristics	Study design and duration	Oral nitrate reducing capacity	Mouthwash regime	Measurement	Significant outcome between treatment
Tribble et	n=26	Sequential	Mouth rinse	Chlorhexidine (0.12%)	SBP	In response to mouthwash,
al., 2019 78	(16F/10M)	4 visits over 14 days:	with 1 mM	2 x daily for 30 sec		↑ 5mmHg (n=9) and $\downarrow$
	Normotensive	days 1 (baseline), 7 (post	NaNO <sub>3</sub> for 2		DBP	(n=4)
	Age 22-71 y	mouth wash), 10	min			NS
		(recovery) and 14			Oral bacteria	
		(recovery)				$\downarrow$ Species diversity and
						abundance with
		Clinic BP and oral				mouthwash for 7 days. $\uparrow$
		bacteria at each visit. n=6			Oral nitrate	bacterial metabolic activity
		oral nitrate reducing			reducing	at day 14.
		capacity for 8 h after 30 s			capacity	$\downarrow$ NO <sub>3</sub> :NO <sub>2</sub> ratio for 6-8 h
		mouthwash				after mouthwash.

 Table 4: Chronic human studies investigating the involvement of oral bacteria in the blood pressure lowering effect of nitrate.

Sunqvist et	n=17 (F)	RCT, CO, double blind	Mouth rinse	Chlorhexidine (0.2%) or	BP	No difference in ABP or
al 2016 <sup>79</sup>	Normotensive	Each treatment 3 days	with 10 mM	placebo mouthwash		clinic BP
	$\overline{x}$ age 23 y	with a 28 day washout	NaNO <sub>3</sub> for 5	3 x daily after meals for	Saliva	$\uparrow$ NO <sub>3</sub> and $\downarrow$ NO <sub>2</sub> after
	BMI = 22	4 visits (days 3 and 4 of	min	60s.		mouthwash ( $P \le 0.01$ )
	kg/m <sup>2</sup>	each treatment)			Plasma	No change in NO <sub>3</sub> and
	Non-smoking.					NO <sub>2</sub> with mouthwash vs
		24 h ABP and urine			Urine	placebo
		sample.				excretion of NO <sub>3</sub> with
		Clinic BP, saliva and			Oral nitrate	mouthwash vs placebo
		plasma samples and oral			reducing	↓NO <sub>2</sub> after mouthwash (8
		nitrate reducing capacity			capacity	μM) vs placebo (234
						μM)(P<0.001)
Bondonno	n=15 (8M/7F)	RCT, CO	Ratio of NO <sub>2</sub>	Chlorhexidine or tap	SBP	↑ 2.3 mmHg after
et al 2015 77	Hypertensives	Each treatment 3 days	and NO <sub>3</sub>	water		mouthwash vs water (P=
	taking	with a 10-12 day washout.	measured in	(control)		0.01)
	medication		saliva.		DBP	NS

	BP 120-	Visits at day 0 and 3 of		2x daily with 20 ml for	Saliva	↑ NO3 and ↓NO2 after
	159/100 mmHg.	each treatment.		30 sec after brushing		mouthwash vs control (P=
	Age 53-69 y			teeth		0.001)
	and	Saliva sample, oral nitrate			Plasma	$\downarrow$ NO2 after mouthwash vs
	BMI 20-35	reducing capacity and				control (P= 0.09). NO <sub>3</sub> -
	kg/m <sup>2</sup> .	plasma sample. BP			Oral nitrate	NS
	Non-smokers	measured at home.			reducing	↓ nitrate reductase ratio
					capacity	after mouthwash
Kapil et al	n=19,	Sequential	Mouth rinse	Chlorhexidine (0.2%)		Relative to baseline, use of
2013 41	Normotensive,	2 visits (0 and 14 days).	after holding	2x daily days 8-14 only.		mouthwash
	Age 18-45y,		3 doses of		Clinic SBP	↑ 3.5mmHg (P = 0.003)
	BMI 18-40	At each visit, clinic BP,	KNO <sub>3</sub> (0, 0.8		Clinic DBP	↑ 2.2mmHg (P = 0.038)
	kg/m <sup>2</sup> ,	blood, urine and saliva	and 80 µmol)		A-SBP	↑2.4 mmHg (P= 0.017)
	Non-smokers,	samples and oral nitrate	in the mouth		A-DBP	↑2.2 mmHg (P= 0.014)
		reduction capacity.	for 5 min.		Home SBP	↑2.9 mmHg (P< 0.001)
	No self-				Home DBP	↑2.0 mmHg (P< 0.001)
	reported use of				HR	NS

mouthwash or	Fitted with ABP unit for		Saliva	$\uparrow NO_3$ and $\downarrow NO_2$ 90% (P<
antibiotic	24 h and BP measured at			0.001)
	home.		Plasma	$NO_3$ and $\downarrow NO_2$ 25% (P=
				0.001)
			Urine	$\uparrow NO_3$ and $\downarrow NO_2$
			Oral nitrate	At baseline, NO <sub>2</sub> in mouth
			reducing	rinse dose dependent
			capacity	(0<0.8<80 µmol KNO <sub>3</sub> )
				After mouthwash, $\downarrow 90\%$
				NO2 in mouth rinse for 0.8
				and 80 $\mu$ mol KNO <sub>3</sub> .

Abbreviations: DBP: Diastolic Blood Pressure, HR: Heart Rate, MAP: Mean Arterial Pressure, RCT: Randomized Controlled Trial, NS: Not

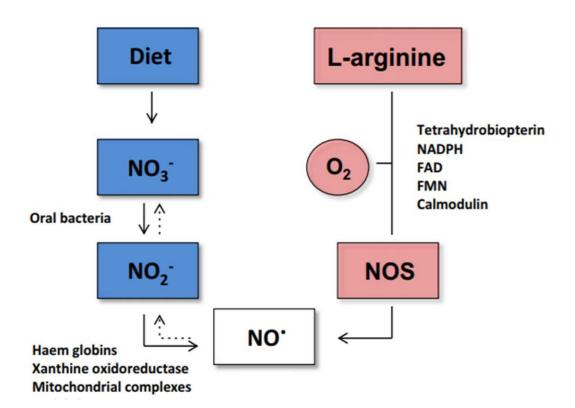
Significant, PWA: Pulse Wave Analysis, SBP: Systolic Blood Pressure, CO: Cross Over, ABP: Arterial Blood Pressure

#### FIGURE LEGENDS

Figure 1: Diagram of the endogenous generation of nitric oxide (NO) by NO synthase (NOS) (right panel highlighted in pink), and exogenous generation of NO from the diet (left panel highlighted in blue)<sup>28</sup>. In biological fluids, NO is oxidized to nitrite (NO<sub>2</sub>) and nitrate (NO<sub>3</sub>) (dashed arrows).

Figure 2: Overview of the nitrate enterosalivary circulation and nitrate metabolism in humans. Ingested inorganic nitrate is converted to nitrite in the oral cavity by nitrate reducing bacteria with reduction to NO and nitrogen oxides occurring within the acidic environment of the stomach. Remining nitrate and other nitrate components are then rapidly absorbed into the bloodstream via the small intestine. A large proportion of nitrate is then excreted by the kidneys into the urine, with up to 25% being recycled by the salivary glands and then concentrated in saliva.

Figure 3: Flow of information through the different phases of the literature review



## FIGURE 2

