

# Poleward expansion of tropical cyclone latitudes in warming climates

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#### 24 Abstract

25 Tropical cyclones (TCs, aka hurricanes and typhoons) generally form at low latitudes with 26 access to the warm waters of the tropical oceans but far enough off the equator to allow 27 planetary rotation to cause aggregating convection to spin up into coherent vortices. Yet, current prognostic frameworks for TC latitudes make contradictory predictions for climate 28 29 change. Simulations of past warm climates, such as the Eocene and Pliocene, show TCs 30 forming and intensifying at higher latitudes than preindustrial conditions. Observations and 31 model projections for the twenty-first century indicate TCs may again migrate poleward in 32 response to anthropogenic greenhouse gas emissions, posing profound risks to the planet's 33 most populous regions. Previous studies largely neglected the complex processes occurring at 34 temporal and spatial scales of individual storms since these are poorly resolved in numerical 35 models. Here we review this mesoscale physics in the context of the responses to climate 36 warming of the Hadley circulation, jet streams and Intertropical Convergence Zone (ITCZ). 37 We conclude that twenty-first century TCs will likely occupy a broader range of latitudes 38 than over the last 3 million years as low latitude genesis will be supplemented with increasing 39 midlatitude TC favourability, although precise estimates for future migration remain beyond 40 current methodologies.

Tropical cyclones (TCs) start as  $O(10^4)$  km<sup>2</sup> clusters of individual thunderstorms weakly 41 42 rotating around a common axis. The transition into a TC involves increasing vorticity by two orders of magnitude to produce surface winds over 15 ms<sup>-1[1.]</sup>; although it may take weeks for 43 disaggregated convection to fully transform into a cyclone<sup>[2.]</sup>. Once formed, TCs generally 44 move poleward and westward before interacting with midlatitude westerlies and weather 45 46 systems, and in some cases transitioning into frontal systems (Fig. 1). Locally, the physics of evaporation, friction, convection, entrainment, and radiation determine the vortex 47 lifecycle<sup>[3.][4.]</sup>. Box 1 summarizes these core elements in TC formation, intensification, and 48 49 propagation - the TC lifecycle. How this local physics and the equator-to-pole TC distribution relate to one another has been discussed for at least a century<sup>[5.]</sup> and yet 50 fundamental disagreement about how TC latitudes depend on climate persists<sup>[6.]</sup>. In this 51 52 review we will synthesize recent advances and attempt to connect the heuristic view above 53 with a well-defined physical basis for TC latitudinal distribution.

54 One of the central outcomes of this review is to establish the fundamental roles that 55 convective mesoscale processes play in linking climatological TC occurrence to large-scale atmospheric dynamics in different climates. This emergent view is novel because the 56 lifecycle evolution of TCs, and its intrinsic mesoscale processes, have historically been 57 58 overlooked in TC climate studies. Instead, the focus has been on whether or not TCs would emerge from a given climatology of wind, temperature, and humidity<sup>[7.]</sup> (see section 59 'Tropical Cyclogenesis as a Dynamical Process'). This well-established framework is 60 61 underpinned by empirical 'genesis potential indices' (GPIs) - best-guesses at the functional forms and coefficients for controls on TC formation that are calibrated against the observed 62 TC distribution. Often GPIs are used in tandem with simple TC models either passively 63 64 propagating cyclones through environmental winds (see Box 1) and neglecting their two-way interactions with the atmospheric environment (known as 'statistical downscaling')<sup>[8.]</sup>. 65

66 These diagnostic methods are adopted in part because climate simulations with General Circulation Models (GCMs) struggle to resolve realistic TCs<sup>[9.]</sup>. A contrasting 67 approach nests higher resolution models within lower resolution ones (known as 'dynamical 68 69 downscaling'). Both dynamical and statistical downscaling approaches are used to enumerate how past, present, and future climates produce TCs (see section 'Past, Present, And Potential 70 71 Latitudinal Migrations'). The problem is that these contrasting approaches yield vastly differing interpretations of twenty-first century climate projections<sup>[10.][11.][12.][13.]</sup> which thus 72 establishes the necessity for prognostic understanding of the relationship between TCs and 73 climate<sup>[6.]</sup>. In this review, we conclude that a joint consideration of the convective mesoscale 74 75 processes occurring within TCs and the large-scale dynamics of the atmospheric Hadley 76 circulation, Intertropical Convergence Zone (ITCZ) and tropospheric jet streams enables a 77 new framework for understanding the relationship of TCs to climate (see section 'Linking 78 Mesoscale Physics to Large-Scale Climate Dynamics'). As discussed later, these links have 79 implications for reducing uncertainty in projections of twenty-first century TCs.

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#### 81 TROPICAL CYCLOGENESIS AS A DYNAMICAL PROCESS

As a core methodology in modern TC-climate studies, GPIs reproduce the broad-brush 82 characteristics of observed TC genesis, particularly at the basin averaged scale<sup>[3.]</sup>. GPIs do 83 84 significantly worse at reproducing characteristics of TC genesis simulated in GCM simulations<sup>[9.][14.]</sup>. We note that while appropriate GPI formulations should only use variables 85 86 explicitly relevant to TC physics, they often use free tropospheric relative humidity rather than water vapor saturation deficit (or free tropospheric dryness relative to the boundary 87 layer) as the moisture variable despite the established physical relevance of the latter but not 88 the former<sup>[15.]</sup>. While GPI variants perform equally well in reproducing TC genesis patterns 89 of current climate, they diverge in describing future changes<sup>[15.]</sup>. Moreover, the greenhouse 90

91 warming response of an individual state-of-the-art GPI, that used the physically consistent 92 moisture variable of saturation deficit, was shown to change sign when the empirical 93 coefficient for that variable was modified without degrading the fit to observations<sup>[11.]</sup>. More 94 troubling still, the statistical relationship between the time-mean environmental fields used to 95 calculate GPIs and TC distribution is not necessarily consistent between the observations and 96 models, and between models using different dynamical cores, resolutions, and physics<sup>[16.][17.]</sup>.

97 Behind GPIs is the assumption that climatological tropical cyclogenesis, 98 approximated as genesis potential, is a localised process. This assumption therefore abstracts 99 away the complex set of planetary, synoptic, and mesoscale processes that give rise to the 100 observational distributions against which GPIs are calibrated. The problem is that these 101 processes resulting in cyclogenesis and intensification occur over a wide range of overlapping spatial and temporal scales and can be dislocated from one another<sup>[16.][18.][19.][20.]</sup> 102 103 (see Extended Data Figs. 1 and 2 for examples). This issue likely gives rise to the 104 aforementioned apparent limitations of GPIs. Thus, to generate fundamental understanding of 105 physical controls over TC distribution with robust prognostic skill requires making explicit 106 the links between the synoptic and mesoscale processes inherent to TCs and the large-scale 107 dynamics within which they emerge, intensify, and dissipate.

108 It is remarkable that the relationship between convective mesoscale processes underpinning TCs and large-scale dynamical structures has been largely ignored<sup>[21,][22,]</sup> since 109 the first GPI was published in 1979<sup>[7.]</sup>. This is all the more striking because much observed 110 111 TC genesis is embedded within the belts of climatological convection that are very well studied: roughly 70% of first recorded TC positions occur within the ITCZ (inside the green 112 contour in Fig. 2a). Idealised simulations, historical evidence, and paleo-climatological 113 reconstructions<sup>[23,][24,][25,]</sup> all find strong relationships between ITCZ characteristics and TC 114 frequency. 115

116 Diversity in TC genesis mechanisms (Fig. 1) is part of this problem and presents a 117 challenge for deriving a simple prognostic framework for TC genesis and intensification. 118 While typically TC genesis occurs in non-baroclinic large-scale environments, 30% of genesis events do involve baroclinic influence<sup>[26.]</sup>. Globally, one in six TCs form via 'tropical 119 120 transition' whereby transient upper tropospheric disturbances trigger deep convection and low-level moisture convergence upon coinciding with lower tropospheric lows<sup>[27.]</sup> (Fig. 1b 121 and Extended Data Fig. 2). This process is possible over much lower sea surface 122 temperatures (SSTs, < 17°C) and at higher latitudes (>40 °N) than canonical TC genesis<sup>[28.]</sup>. 123 124 These upper tropospheric disturbances originate from anticyclonic wave breaking following 125 planetary wave amplification and thus have strong, established sensitivity to planetary warming<sup>[29.]</sup>. GPIs computed at higher frequency can capture some of these 'non-traditional' 126 genesis pathways, including polar lows and Mediterranean hurricanes<sup>[30.]</sup> (Extended Data Fig. 127 3), but these routes are poorly captured by GPIs computed from monthly mean variables as is 128 done nearly universally. Significantly, genesis pathways that are marginal in the present day 129 130 may have been non-marginal in the past and may become non-marginal again as climate warms<sup>[31.][32.][33.][34.]</sup>. 131

Most non-canonical genesis pathways occur on the poleward edge of the TC 132 133 distribution. On the equatorward side, convectively coupled equatorial waves (CCEWs), easterly waves (EW) and the Madden-Julian Oscillation (MJO) play a critical role in TC 134 genesis and intensification<sup>[4,]</sup>, which further complicates analysis of climates' TC 135 136 favourability. These synoptic and mesoscale convective phenomena can all trigger convective aggregation but also interact with each other <sup>[35.]</sup>. CCEWs are estimated to be involved in 137 ~85% of North Atlantic and western North Pacific TC genesis events<sup>[36,][37,]</sup>, enabling the 138 necessary convective organisation for genesis<sup>[38.]</sup>. However, this may simply determine the 139 location and timing of genesis, not overall TC frequency<sup>[39.]</sup>. Indirectly, CCEWs can 140

141 condition the atmosphere to either encourage or suppress TC genesis locally as their 142 convective anomalies modulate vorticity, temperature, moisture, and wind shear at a range of 143 scales. They also induce remote responses<sup>[40.][41.][42.]</sup> such as far-field suppression of TC 144 potential intensity (PI; see Box 1) via upper tropospheric temperature homogenisation 145 maintaining weak horizontal temperature gradients in the tropics (the WTG; see Box 1).

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#### 147 PAST, PRESENT, AND POTENTIAL LATITUDINAL MIGRATIONS

#### 148 (a.) Paleoclimate reconstructions and modelling

149 At geologic timescales, it is likely that the secular cooling throughout the Cenozoic (the past 66 million years) resulted in the contraction of latitudes with both high genesis 150 potential and PI towards the equator in both hemispheres (Fig. 3)<sup>[43.]</sup>. This would have been 151 coincident with contraction of the Hadley circulation and equatorward shifts in the 152 subtropical jet streams<sup>[43.]</sup>. During the Early Eocene climate optimum (53-51 million years 153 154 ago) - the warmest prolonged climate interval of the Cenozoic - paleo-proxies show atmospheric CO<sub>2</sub> concentrations around 1400 ppm (with a very large uncertainty range<sup>[44.]</sup>). 155 This may have resulted in the equator-to-pole temperature gradient being up to 10 °C flatter 156 than the modern era<sup>[45.][46.]</sup> and summertime surface continental temperatures in the Arctic 157 reaching ~23 °C<sup>[47.]</sup>. During the geologically brief Paleocene-Eocene Thermal Maximum 158 (PETM, ~55 mya), these differences were likely even more exaggerated<sup>[48.][49.]</sup>. 159

160 While the circulation dynamics associated with Eocene climate remain under 161 debate<sup>[45.][50.]</sup>, available reconstructions and climate models forced with Eocene continental 162 configuration and CO<sub>2</sub> concentrations suggest increased extratropical humidity, poleward jet 163 stream shifts, and Hadley circulation expansion relative to  $\text{present}^{[43.][47.][51.][52.][53.]}$ . This 164 implies a marked poleward expansion of areas favourable to TC formation and intensification 165 (Fig. 3; Extended Data Fig. 4). Eocene simulations show genesis potential centred around the

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subtropics (~25 degrees latitude) in both hemispheres in contrast to the modern era where it dominates the deep tropics (~10 degrees latitude)<sup>[34.][43.]</sup>(Fig. 3c). Moreover, a recent PETM simulation using a 25 km horizontal resolution atmospheric GCM shows very strong suppression of low latitude TCs, and both hemispheres' midlatitudes (30-60 degrees latitude) producing many TCs<sup>[34.]</sup> (Figure 3b). These results agree with cloud-system resolving simulations of an idealized Eocene-like climate<sup>[32.]</sup> (Fig. 5, yellow line).

Contemporary TC distributions were likely established sometime towards the end of 172 the warm Pliocene<sup>[43.][54.]</sup> (2.6-5.3 million years ago). For most of this period, proxy-based 173 reconstructions indicate atmospheric CO<sub>2</sub> concentrations 350-450 ppm<sup>[55.]</sup>, latitudinal SST 174 gradients up to 5°C degrees flatter than present<sup>[56.]</sup>, and surface westerlies weaker and 175 possibly more poleward<sup>[57.]</sup>. In addition, Pliocene climate may have featured an expanded 176 low-latitude warm pool, reduced equatorial and coastal upwelling, weakened Hadley 177 circulation, and TC activity enhanced and shifted poleward relative to present<sup>[54.][58.][59.][60.]</sup>. 178 179 During the late Pliocene, atmospheric CO<sub>2</sub> decline among other factors led to the 180 establishment of colder climate patterns culminating with the onset of northern hemisphere glaciation<sup>[61.]</sup>. This marked the start of a period, ending in the present century, when 181 latitudinal variations in TCs became more muted and were primarily controlled by orbitally 182 driven insolation changes and resultant glacial cycles, shorter millennial climate variability, 183 184 and varying aerosol emissions.

GCMs forced with continental ice sheet reconstructions and low atmospheric CO<sub>2</sub> concentrations (185 ppm) indicate that the planet's TC distribution during the Last Glacial Maximum (LGM, 21 k.y.a) was not significantly different from the present day albeit mean TC intensity was likely lower<sup>[62.][63.][64.]</sup>. These models however disagree over large-scale atmospheric circulation structure, specifically over whether the southern hemisphere subtropical jet was poleward or equatorward relative to modern and whether atmospheric 191 convection in western Pacific was stronger or weaker<sup>[65.][66.]</sup>. Since the LGM, multi-millennial 192 scale TC variability was likely dominated by the slow increase in the boreal summer equator-193 to-pole insolation gradient until ~10 kya and the subsequent decline associated with orbital 194 precession<sup>[67.]</sup>. The increased insolation gradient at the precession minima (~10 kya) may 195 have caused amplified tropical convection and strengthened midlatitude jets<sup>[68.][69.][70.][71.]</sup>. 196 This seems to correspond to suppressed genesis potential of the most equatorward TCs<sup>[67.]</sup>.

Orbitally driven variations were punctuated by millennial-scale abrupt climate 197 198 changes, including the cold Heinrich and the Younger Dryas events. The slowdown of Atlantic Meridional Overturning Circulation<sup>[72.]</sup> (AMOC) typically associated with those 199 events cooled the North Atlantic, suppressing PI<sup>[67.]</sup>. The increased meridional temperature 200 201 gradient in the northern hemisphere would have led to an intensification and equatorward shift of both the subtropical jet and Hadley cell<sup>[73.][74.]</sup>, presumably suppressing higher latitude 202 TC genesis and intensification<sup>[67.]</sup>. Changes in large-scale climate over the last 21 thousand 203 years (CO<sub>2</sub> ~180-280 ppm) were likely smaller in comparison to changes driven by 204 atmospheric CO<sub>2</sub> since the Eocene (CO<sub>2</sub> ~500-2000 ppm) and Pliocene (CO<sub>2</sub> ~300-500ppm): 205 in particular, mean ITCZ shifts since the LGM were probably less than 1 degree latitude<sup>[75.]</sup>. 206

207 Atmospheric aerosols provide an additional control on shorter timescales. They were suppressed during the "green Sahara" period centred around the mid-Holocene<sup>[76.][77.]</sup> (6 208 k.y.a.) but sporadically increased following volcanic eruptions<sup>[25.][78.]</sup>. Mineral dust has 209 hemispherically asymmetric impacts on temperature, and consequentially ITCZ location<sup>[79.]</sup> 210 and tropical cyclone latitudes<sup>[25.]</sup>. Further north, reduced dust increases SSTs, which results in 211 212 PI increases and poleward jet shifts expanding regions of tropical cyclone favourability<sup>[25.][76.][77.]</sup>. Moreover, North Atlantic TCs were probably shifted poleward 213 214 relative to present as Sahara greening caused a poleward displacement of easterly waves<sup>[76.][77.]</sup>. 215

216 Over the last two thousand years, model simulation based GPI and PI show no secular trends prior to the Industrial Revolution<sup>[78,][80,]</sup>. Integrated Atlantic paleo-tempestological 217 records (16 – 32 °N) however suggest persistent poleward migration of eastern tropical 218 Atlantic TCs over the last 450 years in concert with ITCZ poleward migration<sup>[81.]</sup>. Other 219 records show TC activity shifting from the Caribbean and Gulf of Mexico toward the 220 221 Bahamas and New England around A.D 1400, correlated with warm central tropical Atlantic 222 SSTs prior to this shift and a relatively warmer western North Atlantic afterwards<sup>[82.]</sup>. This would have been coincident with high basin-integrated TC activity in the Medieval Warm 223 Period (MWP; A.D. ~900 – 1450) followed by a lull during the Little Ice Age<sup>[83.]</sup> (LIA; A.D. 224 225 ~1450 – 1850). Paleo-reconstructions and historical evidence imply poleward western North Pacific TC and ITCZ shifts during the MWP and equatorward shifts during the LIA<sup>[24.][84.]</sup>. 226 227 The North Atlantic poleward and western North Pacific equatorward TC shifts across the 228 MWP-LIA transition occurred, presumably, with Pacific warm pool cooling, Pacific Walker 229 circulation weakening, East Asian summer monsoon weakening and a narrowing and southward shift in the ITCZ<sup>[84.][85.]</sup>. Finally, tree rings suggest a secular twentieth century TC 230 poleward migration in the western North Pacific (33-45 °N)<sup>[86.]</sup>. 231

TC activity may also fluctuate with changes in ENSO, Atlantic Multidecadal 232 233 Variability (AMV) and the Pacific Decadal Oscillation (PDO) over centennial and millennial timescales<sup>[83.][87.]</sup>. The MWP-LIA transition arguably marked an increase in ENSO amplitude, 234 a change from predominately negative to predominately positive AMV, and change from a 235 persistent negative PDO state to a muted PDO signal (ref. <sup>[88.]</sup> reviews these modes 236 237 throughout the Holocene). Most casual interpretations of TC latitudinal variability in the paleo-records over centennial to millennial timescales are understood via connections to the 238 ITCZ, often mediated by ENSO variability<sup>[24.][81.][89.]</sup>. Further, TC coupling to large-scale 239 stationary circulation features like the subtropical highs is also recognised<sup>[25.][81.][90.]</sup>. 240

241 We stress that reconstructions of TCs throughout Earth's history suffer large 242 uncertainties. Model biases and uncertainties in boundary conditions and radiative forcing 243 diminish the utility of climate simulations and their GPI estimates. There is even continued debate over how TCs are identified and tracked in these numerical simulations<sup>[13.]</sup>. 244 245 Conversely, proxy-based TC reconstructions only record local storm transits and are biased towards intense events near coastlines<sup>[91.]</sup>, while centennial variability in individual 246 247 paleorecords of intense TCs may be random and not reflective of large-scale climate dynamics<sup>[92.]</sup>. Thus, synthesising paleo-hurricanes records is important, yet complicated by 248 significant spatial under-sampling<sup>[93.]</sup>. 249

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# 251 (b.) Poleward TC migration in recent observations

Subtle but robust poleward trends of 53  $\pm$ 43 and 62  $\pm$ 48 km per decade<sup>[94.]</sup> in TC 252 seasonal-mean LMI latitudes are detectable in observations of the northern and southern 253 hemispheres respectively (1982 to 2012). While these estimates are largely drawn from 254 analyses of the IBTrACS archive, which aggregates multiple records, such poleward 255 migration is found across different datasets and also for genesis latitudes<sup>[94.][95.][96.]</sup>. The 256 magnitudes of these trends depend on the period and TC intensity considered<sup>[94.]</sup>. Life-time 257 258 maximum intensity is used since it does not rely on absolute intensity magnitudes which are inconsistently recorded and poorly represented in reanalysis<sup>[94.][97.]</sup>. Dynamical reanalysis 259 data reproduce TC LMI latitudes mostly within a few degrees of observations<sup>[97.]</sup>. However, 260 261 simulated TCs tend to persist too long into high latitudes where they expand radially and become better resolved thus achieving higher intensities there and distorting higher latitude 262 (>30 °) LMI estimates<sup>[97.]</sup>. Thus, satellite-based records provide the most reliable source for 263 264 trends in TC latitudes.

265 Over half the recently observed poleward migration is explained by inter-basin 266 frequency changes (Fig. 2d), with the North Atlantic (average LMI 2,800 km from the equator versus hemispheric mean of 2,150 km) and South Pacific (1,990 km vs 1,900 km) 267 increasingly producing more cyclones relative to other basins in the same hemisphere<sup>[94.][98.]</sup>. 268 Poleward migration is also not uniform over LMI latitude percentiles<sup>[96.]</sup> and one of the most 269 270 equatorward hurricanes on record occurred in 2016 (Hurricane Pali, ~2.3°N). These presentday trends appear to be associated with changes in both the ocean (SST patterns; Fig. 4a), 271 272 and atmospheric thermodynamics (PI; Fig. 4b), and dynamics (vertical shear, large-scale tropospheric winds)<sup>[94.][96.][99.]</sup>. Additionally, genesis potential has increased during this 273 274 period (Fig. 4e). Twentieth-century global-mean SST increases may have forced increases in storm radii in the western North Pacific TCs<sup>[100.]</sup>. These larger TCs tend to propagate further 275 poleward following increased beta drift (Box 1) and interaction with the subtropical highs 276 and tropical upper tropospheric troughs (TUTTs)<sup>[101.]</sup>. These poleward migration trends are 277 coincident with increase rates of observed extratropical transition<sup>[102.]</sup>. 278

279 In addition to observed gradual poleward migration, pronounced transient zonal and meridional TC migrations occur in response to ENSO, PDO and AMV cycles<sup>[103.]</sup>. During 280 281 negative PDO phases (warmer SSTs in the western and central subtropical Pacific), maximum PI latitudes extend poleward, encouraging higher latitude Pacific TC genesis<sup>[104.]</sup>. 282 283 Poleward migration of North Atlantic TCs associated with ENSO occurs following both 284 dynamic and thermodynamic suppression of low latitude TCs. During El Niño, Pacific 285 Walker circulation weakening intensifies upper-tropospheric westerlies over the North Atlantic amplifying vertical wind shear over the Caribbean and eastern Northern 286 Pacific<sup>[105.][106.]</sup>, while CCEWs originating in the Pacific push the tropical North Atlantic 287 atmosphere out of thermodynamic equilibrium with its underlying SSTs to suppress TC 288 genesis<sup>[41.]</sup>. 289

290 Over the past three to four decades the west-east equatorial SST gradient across the 291 tropical Pacific has strengthened, with the eastern equatorial Pacific getting colder while the western Pacific warmer, and the Walker circulation intensified<sup>[107.][108.][109.]</sup>. This trend 292 pattern may reflect a negative PDO phase with possible contributions from aerosol effects 293 and a thermostat-like response to greenhouse gas forcing<sup>[109.]</sup>. Transition to a positive AMV 294 circa A.D. 2000 <sup>[108.][110.]</sup> and greater inter-basin temperature contrasts<sup>[107.]</sup> are also invoked 295 to explain this strengthening of the Walker circulation. Regardless of the cause, the stronger 296 297 Pacific Walker circulation has intensified vertical wind shear over the equatorial central Pacific and the deep tropical North Atlantic, contributing to TC poleward migration<sup>[98.][110.]</sup>. 298

In contrast, by century's end, a relaxation of the SST gradient across the Pacific with pronounced eastern equatorial Pacific warming and corresponding weakening of the Walker circulation are projected in nearly all CMIP6 models<sup>[109.]</sup>. All else being equal, this would correspond to lower vertical wind shear over the equatorial central Pacific and equatorial North Atlantic, an equatorward ITCZ shift and thus encouraged low latitude TC formation and intensification.

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## 306 (c.) Simulated climate change

Idealised aquaplanet TC simulations (no continents, see ref.<sup>[23.]</sup> for a review) have 307 308 been conducted with atmospheric models and fixed SSTs or simplified 'slab-ocean' 309 representation of fixed oceanic heat transport (via the 'q-flux' abstraction). These simulations 310 generally neglect zonal climate asymmetries and the seasonal cycle and have other 311 limitations. For example, only models with dynamic oceanic heat transport can provide 312 strong negative feedback on ITCZ displacements. Consequentially, changes in ITCZ position 313 and strength under variable climatic forcing differ dramatically between aquaplanet and fully-314 coupled simulations – in the former the ITCZ can move poleward by 10-20 degrees latitude,

while in the latter ITCZ intensity changes but its shifts do not exceed  $\sim 1 \text{ degree}^{[111.]}$ . In addition, aquaplanet ITCZs are sensitive to model resolution and convective parameterisation<sup>[112.]</sup>.

318 Nevertheless, aquaplanet simulations with imposed atmospheric cross-equatorial heat transport variations suggest a TC genesis scaling of a 40 % increase in global TC frequency 319 per degree of latitude of poleward ITCZ shift<sup>[113.]</sup>. However, increases in TC genesis can 320 321 occur on warming aquaplanets even with an equatorward ITCZ shift as changes in the subtropical jet and reductions in midlatitude baroclinicity increase the likelihood of TC 322 323 genesis (Fig. 5). Aquaplanet TC activity appears to be correlated with atmospheric static stability but is only sensitive to vertical shear above a certain threshold value ( $\sim 5 \text{ m s}^{-1}$ ; <sup>[114.]</sup>). 324 325 The climatological relationship of TC latitudes with PI seems to be weak and nonmonotonic<sup>[31,][32,][114,]</sup>. Rather, poleward migrations reflect the aerial expansion of high (>70 326 m s<sup>-1</sup>) PI values. These poleward migrations follow tropical expansion, but not simply the 327 concurrent dilation of the Hadley circulation<sup>[33.]</sup>. 328

Full continent slab-ocean, statistical downscaling experiments with large CO<sub>2</sub> 329 increases (x8 and x32 preindustrial concentration) show LMI poleward migration (1.6°, 7.4° 330 respectively) and prolonged TC lifecycles<sup>[31.]</sup>. Overall maximum PI does not increase with 331 these hyper-exaggerated warmings (consistent with ref.<sup>[114.]</sup>). This statistical downscaling 332 method involves inserting  $O(10^6)$  artificial random weak vorticity anomalies (referred to as 333 334 TC 'seeds') over the global ocean in an effort to simulate realistic pre-TC disturbances which 335 - as we stress above - may come from a number of spatially and temporally variable processes, potentially poorly resolved in GCMs (Fig. 1 and Box 1). Most of these artificial 336 vorticity anomalies decay rapidly, but a few survive and are then passively advected by the 337 large-scale winds and beta drift. Computing the thermodynamics of these vortices yields the 338 339 corresponding TC lifecycle (i.e. the progression through genesis, intensification and 340 cyclolysis; this methodology does not represent extratropical transition). The results from this
341 approach depend on the number of "seeds" used and thus this method requires calibration to
342 reproduce realistic TC frequency<sup>[115.]</sup>.

Some coupled 2x and 4x CO<sub>2</sub> experiments with dynamically simulated TCs exhibit 343 small poleward shifts of several degrees of latitude in the northern hemisphere<sup>[116.]</sup>, but other 344 coupled 2xCO<sub>2</sub> experiments find zonal, but not poleward TC migration<sup>[16.]</sup>. Ref. <sup>[16.]</sup> find that 345 in these experiments the pre-TC synoptic and convective mesoscale disturbances, defined as 346 347 seasonal variance in 3-10 day bandpassed 850 hPa vorticity, are the principle atmospheric 348 driver of TC frequency responses in increasing CO<sub>2</sub> simulations. Such TC source 349 disturbances are found to be highly concentrated within climatological convection (the ITCZ 350 and Pacific Warm Pool) with potential links between their frequency and time-mean local tropospheric pressure velocity,  $\overline{\omega}$  <sup>[1.]</sup>. This result contrasts with statistical downscaling 351 352 methods that assume a constant number of TC "seeds" (the artificially inserted pre-TC source disturbances), but does not invalidate the random seeding approach as long as the "seeds" are 353 354 sufficiently weak and numerous.

355 Attribution work using coupled models finds that recent TC distribution changes are very likely anthropogenically forced<sup>[117.]</sup>. However, CMIP5 models and various regional 356 downscaling experiments project a range of 21<sup>st</sup> century TC migration scenarios from no 357 358 further migration, to poleward LMI migration of a few degrees (but less than the 5 degrees implied by continuation of the <sup>1</sup>/<sub>2</sub> degree per decade trend<sup>[94.]</sup>), and zonal migration of Pacific 359 TCs<sup>[118.][119.]</sup>. Early analysis of CMIP6 finds no consensus on 21<sup>st</sup> century distribution 360 changes in explicitly resolved TCs<sup>[13.]</sup> but statistical downscaling shows poleward migration 361 in the northern hemisphere, particularly in the North Atlantic<sup>[11.]</sup>. Climate models' 362 dynamically resolved TC activity is projected to be globally suppressed by greenhouse gases; 363 364 however, statistical downscaling predicts increases in line with monthly-mean GPI values

that reflect increasing PI and decreasing wind shear tempered against increasing mid tropospheric relative dryness<sup>[11.][12.][120.]</sup>.

Some broad patterns in end-of-century TC predictions have been established, although 367 368 the statistical reliability of these findings depends upon the selection of climate models studied. In the northern hemisphere, TC poleward shifts of a few degrees in the North 369 370 Atlantic and both sides of the North Pacific alongside the suppression of the most western of Pacific TCs is found in some but not all analyses<sup>[102.][121.][122.]</sup>. This is accompanied by a shift 371 in Pacific TC activity towards the central Pacific<sup>[123.]</sup> and increasing recurvature of western 372 North Pacific TC tracks<sup>[118.][124.]</sup>. Southern hemisphere projections show no clear deviation in 373 TC genesis latitudes between current and future climate<sup>[11.][119.]</sup>. However, statistical 374 375 downscaling of CMIP6 models shows a significant poleward expansion of TC activity both in the North Atlantic and South Indian oceans<sup>[11.]</sup>. All these projections of increased high 376 latitude TC activity under greenhouse warming are consistent with other projections of 377 378 increased rates and intensity of extratropical transition in the western North Pacific and North Atlantic<sup>[125.][126.][127.]</sup>. Additionally, TC translation speed is projected to decrease over the 21<sup>st</sup> 379 century, following poleward shifts in the midlatitude westerlies, which would increase 380 midlatitude TC track density<sup>[128.]</sup>. 381

While GCMs do reproduce modern TC *climatologies* reasonably well, strong errors persist particularly at the distribution edges<sup>[117.][118.][119.]</sup>. Models overestimate historical genesis rates in the central North Pacific and the southern hemisphere but underestimate eastern North Pacific and North Atlantic TCs<sup>[11.][13.][129.]</sup>. Although SST patterns are considered the principal cause behind spreads in projected TC climatologies<sup>[12.][16.]</sup>, this implicates a complex set of processes such as AMOC slowdown and radiative feedbacks. Moreover, inter-model spread in projected SSTs cannot account entirely for the lack of consensus and another likely factor is differences in models' representation of atmospheric
 deep convection<sup>[129.][130.]</sup>.

391

# 392 LINKING MESOSCALE PHYSICS TO LARGE-SCALE CLIMATE DYNAMICS

An emergent hypothesis explaining recent TC poleward migration relates it to observed 393 394 tropical expansion through the areal expansion of low vertical wind shear and high PI regions of the subtropics<sup>[94.]</sup>. This invokes changes in the latitudes of the descending branches of the 395 Hadley circulation and midlatitude jet streams. PI has increased in recent decades (Fig. 4b) 396 397 and model simulations indicate that changes in TC distribution track the aerial expansion of high PI values<sup>[78.][114.]</sup>. Such an expansion, which follows increasing modified Carnot 398 399 efficiency and lower TC outflow temperatures (Box 1), is present in the recent record (Fig. 400 4d,f). These results broadly agree with the Eocene and Pliocene model reconstructions 401 showing wider tropics during those epochs coincident with higher latitude TC activity. 402 However, assumptions of tropical expansion *causally driving* TC poleward migration require careful examination<sup>[33.]</sup>. As the limitations of GPIs and existing frameworks have shown, the 403 404 challenge lies in integrating the sensitivity of convective mesoscale processes to planetary temperature with the summertime mean circulation's broader sensitivities, including the jet 405 stream shifts, Hadley cell expansion and ITCZ changes<sup>[131,][132,][133,]</sup>, each driven by their own 406 distinct set of dynamics. 407

One major confounding aspect of process-based understanding of recent subtropical decrease in vertical wind shear and increase in  $PI^{[94,]}$  is the link – or lack thereof – between changes in the Hadley cells and the subtropical jet. A ~1/2° per decade poleward expansion of the Hadley cells, normally shorthand for 'the tropics', can be identified in several metrics and datasets<sup>[132,]</sup>. However, no robust trend is found for the subtropical jet<sup>[134,]</sup>, despite the expectation that they would covary because the subtropical jet enables strong vertical wind 414 shear and the Hadley cell terminates where the shear is maximal<sup>[135.]</sup>. The much weaker 415 poleward trend, if any, in the subtropical jet implies that different aspects of tropical 416 expansion are only partially coupled<sup>[136.]</sup>.

The latitude of the Hadley cell edge is however negatively correlated on interannual 417 timescales, at least in CMIP5 models, with the strength (not latitude) of the subtropical 418 jet<sup>[137.]</sup>. Furthermore, the coherent midlatitude jet stream in the time-mean zonal-mean 419 420 circulation represents the superposition of two distinct, yet dynamically connected features: 421 the subtropical jet and the eddy-driven jet at higher latitudes. The Hadley cell edge is shown to be positively correlated with the latitude of the eddy-driven jet<sup>[138.]</sup>, but not of the 422 423 subtropical jet. While midlatitude baroclinicity associated with the eddy-driven jet is relatively weak during TC seasons, it still produces significant wind shear<sup>[139.]</sup>. How this 424 relationship between the Hadley cell edge, eddy-driven jet latitude and subtropical jet 425 strength affects the synoptic and mesoscale processes of baroclinically enabled TC 426 genesis<sup>[26.]</sup>, intensification and extratropical transition (Fig. 1b) remains a pressing open 427 428 research question. Indeed, this type of TC genesis becomes common in the midlatitudes in idealised models of warm climates<sup>[31,][32,][33,][34,]</sup>, in particular when the two jets split (Fig. 5; 429 Extended Data Fig. 5). In these simulations the summer subtropical jet shifts equatorward 430 431 while the eddy-driven jet shifts poleward.

A separate explanation for recent TC poleward migration invokes suppressed genesis in the deep tropics caused by increased dry static stability in the warming tropical atmosphere<sup>[99,]</sup> (Fig. 4a). However, the extent to which changes in time-mean static stability effect actual TC processes is unclear. We suggest that static stability is best viewed as a cofactor with PI since both are related to atmospheric lapse rates. In fact, concurrent observations exhibit long-term increases in higher-frequency CCEWs<sup>[140.]</sup> and increases in ITCZ precipitation (Extended Data Fig. 6), which contradicts the assertion that increased time-mean static stability implies less convective activity. Besides, SST increase in the deep tropics also leads to both greater mid-tropospheric 'saturation deficit' (or tropospheric dryness relative to the boundary layer), a well-established thermodynamic TC inhibitor<sup>[115.][141.]</sup>, and potentially also poleward shifts in the midlatitude westerlies<sup>[133.]</sup>, which would likely correspond to aerial expansion of favourable TC latitudes with high PI and lower vertical shear.

Another core aspect in discussions of TCs and climate change is ITCZ migrations and 445 dynamics, even though ITCZ responses to warming may depend on the metric considered 446 and have been muted during recent climate change<sup>[131.]</sup>. Despite large inter-model differences, 447 448 overall precipitation responses in CMIP6 models show a stronger, wider, and equatorward 449 ITCZ by the end of the twenty-first century (Fig. 6, Extended Data Fig. 7), which follows 450 changes in SST patterns and constraints from the Clausius-Clapeyron relation (the "wet gets 451 wetter" paradigm<sup>[142.]</sup>). However, unlike the precipitation-based metrics used here, circulation 452 measures computed for in the previous CMIP5 models showed only little ITCZ latitudinal changes, with muted narrowing and weakening<sup>[131.]</sup>. Reconciling these differences will be 453 critical to understanding changes in low latitude TCs in the 21<sup>st</sup> century. 454

Idealised simulations confirm that the further off-equator the ITCZ is, the more ambient vorticity is available for TC genesis<sup>[1,][143,]</sup>. The wider and stronger it is by precipitation measures, the higher free tropospheric specific humidity is likely to be<sup>[23,]</sup>. Lastly, experiments with the moist shallow water equations indicate that a more poleward ITCZ is more susceptible to barotropic instability<sup>[144,]</sup>. All these factors would enhance TC genesis, but how these idealized inferences of TC dynamics relate to more realistic ITCZs, and GCM biases, is not yet fully examined.

462 Changes at both the tropical-extratropical margin and within the ITCZ also raise 463 questions about the degree of independence between source disturbances for TCs and the 464 mature cyclones themselves. For example, suppressing easterly waves in the North Atlantic, 465 the primary source of pre-TC disturbances there, may alter the location and timing of genesis, 466 but not overall TC frequency<sup>[39.]</sup>. Moreover, the stronger the ITCZ is by circulation measures, 467 the more initial disturbances may be available for TC genesis<sup>[1.][16.]</sup>. However, the ITCZ is 468 strong and well defined in the central North Pacific where TC genesis is sparse (Fig. 2a), 469 confirming that the climatologies of source disturbances and TC development can be 470 dislocated.

471 Indeed, convective organisation is possible through multiple pathways such as CCEWs, 472 MJO, easterly waves, barotropic ITCZ breakdown, convective self-aggregation and, in the Indian Ocean, orographically induced vortices<sup>[2.][32.][145.][146.][147.]</sup> (Fig. 1b). Yet, investigating 473 474 the resultant pre-TC disturbances with contemporary climate GCMs is problematic since 475 models struggle to propagate convective disturbances realistically because of their mismatch 476 between surface wind convergence and precipitation patterns caused by convective parameterisations<sup>[148.]</sup>. As the realism of model simulations improves, it will become possible 477 478 to ask: What is the best way to identify these pre-TC convective disturbances? How strongly 479 are these disturbances linked to CCEWs? What are the climate sensitivities of these waves 480 and disturbances? How are they affected by changes in ITCZ latitude, width, and strength?

481 While the previous paragraphs discussed the potential links between convective 482 mesoscale processes and large-scale circulations, changes in the thermodynamic contribution 483 to TC genesis and intensification favourability are also critical. These are likely tied to SST 484 changes with greenhouse warming as PI increases in a pattern not dissimilar to tropical SST 485 changes (compare Fig. 4 a and b), which may be related to recent time-mean enthalpy flux increase (Extended Data Fig. 8) concomitant with SST warming (Fig. 4a)<sup>[110.]</sup>. At the same 486 487 time, since most atmospheric moisture is in the lower troposphere and its content grows 488 exponentially following the Clausius-Clapeyron scaling, the lower levels gain more water 489 vapor with warming than the free troposphere. The resultant increase in the relative dryness 490 of the free troposphere (with respect to the boundary layer) discourages genesis<sup>[115.]</sup>. This 491 effect could be the strongest thermodynamic control over TC formation in a warming 492 climate<sup>[11.]</sup>, but since moisture in the free troposphere is not controlled by the WTG, it can 493 vary across longitudes and depend on the large-scale tropical circulation (Box 1).

This entire discussion has been focused on how the synoptic and mesoscale processes critical to the TC lifecycle respond to climate change as manifested in the time-averaged planetary-scale fields. We have neglected consideration of feedbacks of TCs upon climate itself. Three particular effects are noteworthy: the effect of TCs in drying free troposphere<sup>[3,]</sup>, TCs' role in the upper ocean mixing and oceanic heat transport<sup>[3,][54,][149,][150,]</sup>, and low-cloud suppression by TCs<sup>[151,]</sup>. These effects are not well-handled by GCMs and may significantly affect their responses to radiative forcing, especially in paleo-context<sup>[54,][150,]</sup>.

501

#### 502 IMPLICATIONS FOR TWENTY-FIRST CENTURY WARMING

503 The contemporary distribution of TCs was likely established during the late Pliocene around 504 3 million years ago and has been slightly modulated since then by glacial cycles on orbital 505 timescales, abrupt climate changes on millennial timescales, gradual aerosol variations, and 506 volcanism on sub-decadal timescales. The warm Pliocene (atmospheric CO<sub>2</sub> ~400 ppm) and 507 warmer Eocene (~1000 ppm) epochs likely produced TCs at significantly higher latitudes 508 than the pre-industrial climate (~280 ppm). However, recent studies have been divided on whether twenty-first century levels of atmospheric  $CO_2 (400 - 1000 \text{ ppm})^{[152.]}$  will indeed 509 510 result in a continuation of the present poleward trend in TC activity, as implied by those 511 warm past climates.

512 Since at least the 1970s, the planet's TC distribution has unambiguously altered, 513 evidenced in a poleward migration in latitudes of peak TC intensity at a rate of ~1/2 degree 514 latitude per decade. This subtle but robust poleward shift has occurred during an expansion of 515 the tropics at approximately the same rate but during a period of stable latitudes of the ITCZ and subtropical jet. During the 21<sup>st</sup> century, responding to increasing atmospheric CO<sub>2</sub>, the 516 517 global ITCZ may become stronger but move closer to the equator (Fig. 6). The effects of this 518 change on low latitude TCs are ambiguous since a stronger ITCZ implies more convective 519 mesoscale disturbances that could form into TCs as well higher free tropospheric humidity to 520 fuel their intensification, but also implies less planetary vorticity available for them to acquire 521 rotation and axisymmetric structure. Furthermore, these longitudinally averaged changes are 522 dominated by the Pacific where the eastern equatorial Pacific warming pattern is expected to emerge during the 21<sup>st</sup> century. This will have the effect of drawing some of TC genesis away 523 524 from the western and eastern Pacific towards the central Pacific. In the North Atlantic, 525 changes in the favourability of baroclinic non-traditional TC genesis, vertical wind shear, and 526 ITCZ changes will be controlled by polar-amplified ocean warming (favourable to TC 527 poleward shift) possibly moderated by AMOC weakening (generally favourable to TC 528 equatorward shift).

Further tropical expansion is likely, primarily driven by amplified warming in the 529 tropical troposphere's upper levels, but will be constrained by the patterns of surface 530 531 warming. Crucially, new modelling of TCs at the changing tropical-extratropical margin 532 suggests that genesis and intensification between 30- and 40-degrees latitudes could 533 contribute significantly to the TC climatology of the twenty-first century. However, deep-534 tropical TCs will remain a critical feature of Earth's climate, most clearly following the anticipated equatorward ITCZ shift. Thus, we conclude that TCs will likely occupy a broader 535 536 range of latitudes by the end of the twenty-first century than during the pre-industrial period 537 following equatorward ITCZ shifts and continued increasing midlatitude favourability. We 538 propose that the bleeding-edge research questions critical for addressing uncertainties in 539 twenty-first century TCs are all centred around evaluating dynamical links between TC's 540 convective mesoscale processes and the better understood large-scale warming sensitivities 541 of the atmosphere and ocean. Potential feedbacks from TCs to climate is another broad 542 avenue for research.

543	FIGURES
544	[FIGURE 1]
545	Fig. 1   Tropical cyclogenesis in weather and climate. a Earth's atmosphere on 22/07/2017
546	from NASA EOSDIS <sup>[153.]</sup> . This day exhibits the most simultaneously existing tropical
547	cyclones (TCs) in the satellite record. Tropical Storm Roke (peak intensity 40 mph), Sonca
548	(40 mph), Kulap (45 mph) and Typhoon Noru (110 mph) are seen in the western subtropical
549	North Pacific. In the eastern North Pacific, Hurricane Fernanda (145 mph), Tropical Storm
550	Greg (60 mph), Hurricane Hilary (110 mph) and Hurricane Irwin (90 mph) at various
551	development stages. b Schematic of traditional and baroclinically-enabled tropical
552	cyclogenesis embedded into the large-scale flow and modulated by atmospheric dynamics
553	(see Extended Data Figs. 1 and 2 for examples). ITCZ is an acronym for Intertropical
554	Convergence Zone. Schematic style of the tropical mean circulation following ref <sup>[154.]</sup> .

## [FIGURE 2]

556 Fig. 2 | Planetary-scale atmospheric circulation, precipitation, and TC activity. a Seasonal mean precipitation and lower-tropospheric winds and first recorded positions of 557 disturbances that develop into tropical cyclones (TCs), b upper-tropospheric winds and TC 558 559 tracks, c normalised average zonal-mean track density 2000-2019 (red) and 1980-1999 (blue), and **d** track density linear trends (units: local TC passages per year). The 6.5 mm/day 560 contour in panel **a** corresponds to the  $90^{\text{th}}$  percentile seasonal-mean precipitation and marks 561 the region of tropical convection during the TC seasons. Underlying environmental fields 562 from ref.<sup>[155.]</sup> and TC data from ref.<sup>[156.]</sup>. Seasonal averages are computed for months of peak 563 564 TC activity: July, August, September and October in the northern hemisphere and January, 565 February, and March in the southern hemisphere (methods).

#### [FIGURE 3]

Fig. 3 | Changes in TC latitudinal distribution over geological timescales. a Modern TC 567 tracks as in Fig. 2b with blue curves corresponding to the period 1980-1999 and red to 2000-568 2019, **b** simulated PETM tracks<sup>[34.]</sup>, and **c** changes in simulated seasonal-mean genesis 569 570 potential relative to pre-industrial throughout the Cenozoic. In c, yellow dashes indicate shifts 571 in hemispheres' maximum genesis potential latitudes while green/blue columns mark their upper and lower bounds (defined as latitudes of 25% drop-offs on either side of maxima). 572 The data in **b** and **c** are based on GCM simulations<sup>[34,][43,][78,]</sup> and hold large uncertainties. 573 Red circles indicate observed satellite era poleward TC migration<sup>[94.]</sup>. Pre-industrial TC 574 575 lifetime maximum intensity latitudes are 18°N and 16°S. Given the wide range in twenty-first 576 century projections (see text), no future estimates are plotted.

#### [FIGURE 4]

578 Fig. 4 | Recent linear trends in key thermodynamic variables affecting tropical cyclones (TCs) and their genesis potential. a SST trends. b Trends in TC potential intensity (see Box 579 580 1) are controlled by the product of  $\mathbf{c}$  air-sea thermodynamic disequilibrium and  $\mathbf{d}$  modified 581 Carnot efficiency. Thermodynamic disequilibrium represents the main heat source for TCs. 582 Trends in the modified Carnot efficiency depend on a SST and f TC outflow temperature. 583 Carnot efficiency represents the maximum efficiency at which the atmosphere can use 584 available heat to maintain TC winds (Box 1). e Trends in genesis potential. See methods for 585 the calculation of these variables. As in Fig. 2, seasonal averages are computed for months of peak TC activity. Underlying environmental fields are from ERA5 data<sup>[155.]</sup>; trends are 586 587 computed for 1980-2019. Only values with p < 0.05 are plotted.

#### [FIGURE 5]

589 Fig. 5 | Large-scale circulations and TC latitudinal distributions under idealized climate warming scenarios in cloud-system-resolved aquaplanet simulations. This model is 590 591 forced by a three fixed sea surface temperature (SST) meridional profiles ranging from 592 contemporary climate (blue) to moderate midlatitude warming (purple) to exaggerated Eccene-like warming (yellow). The plot shows **b** 20 m/s zonal velocity contours marking the 593 jet streams,  $\mathbf{c} \pm 30 \times 10^9$  kg/s streamlines of the Hadley and Ferrel cells, and **d** mean updraft 594 595 strength measured as the time-mean of the zonal minima pressure velocity at 500hPa 596 multiplied by -1. Divergent influences lead to the northward shift of e TC life-time maximum 597 intensity (LMI) distribution (despite non-monotopic changes at low latitudes). Data from ref.<sup>[32.]</sup>, which also finds that GPI calculations underestimate the magnitude of midlatitude 598 599 genesis response to warming.

6	0	0
v	v	v

# [FIGURE 6]

601	Fig. 6   Changes in the northern hemisphere Intertropical Convergence Zone (ITCZ)
602	under different warming scenarios in CMIP6. a ITCZ intensity, b width, and c latitudinal
603	position. For higher tropical SSTs climate models predict a stronger and broader ITCZ
604	shifted toward the equator (Methods; see Extended Data Fig. 7. for changes in the southern
605	hemisphere). Data from refs <sup>[157.]</sup> [158.] [159.] [160.] [161.] [162.] [163.] [164.] [165.] [166.] [167.] [168.] [169.] [170.]
606	[171.] [172.] [173.]

#### 607 Box 1 | Elemental controls

608

#### 609 **Potential intensity**

Potential intensity (PI) theory<sup>[174.]</sup>, the only extant analytical framework for TC's 610 environmental dependences, states that TC strength is regulated by (1) the rate of oceanic 611 612 heat extraction - mainly through evaporation, (2) frictional dissipation at the ocean surface, 613 and (3) thermodynamic efficiency, also called Carnot efficiency (the normalised difference 614 between ocean surface and TC outflow temperatures), see Methods. The Carnot efficiency 615 concept from classical thermodynamics is modified in the context of TCs to incorporate 616 additional heating due to frictional energy dissipation. An upper bound on TC wind speeds is 617 inferred from this framework and computed in various ways from gridded climate data. PI 618 theory predicted three decades ago that anthropogenic warming would increase PI in warmer climates<sup>[175.]</sup>, increasing occurrence of intense TCs (as opposed to more TCs of all strengths). 619 This expectation has now been validated in recent observational data<sup>[176.]</sup>. 620

621

#### 622 Convective aggregations

When PI is high enough and an aggregation of high entropy air has occurred, the necessary 623 thermodynamic conditions for TC genesis are satisfied<sup>[4.]</sup>. High entropy, or alternatively high 624 625 moist static energy (MSE), follows warm and moist air columns that are established by 626 surface evaporation and sensible heat transfer, radiative fluxes, and horizontal advection. 627 These aggregations may originate from a wide variety of synoptic and mesoscale 628 disturbances with embedded convective systems: easterly waves, the barotropic breakdown of the ITCZ, convectively coupled equatorial waves, the Madden-Julian Oscillation (MJO), 629 or the remnants of baroclinic activity in the midlatitudes (Fig. 1b). Convective self-630 aggregation may be another potentially important mechanism<sup>[2.][177.]</sup>. 631

#### 632 Large-scale winds

633 Environmental winds advect the developing vortex, thereby *steering* it, while interfering with its structure and energetics. Vertical wind variations, or wind shear, are intrinsic to all 634 planetary atmospheres characterized by horizontal temperature gradients, leading to time-635 636 mean atmospheric features like low-level subtropical anticyclonic flows (Fig. 2a) and upper-637 level zonal jets (Fig. 1b; Fig. 2b). Vertical shear is the major dynamical inhibitor of TC 638 intensification acting against the formation of coherent deep columns of high entropy air 639 required for genesis. This shear dilutes entropy thereby weakening convective updrafts and 640 slowing the surface winds required for extracting heat from the ocean to fuel continued convection<sup>[178.]</sup>. 641

642

## 643 Planetary rotation

Two key scaling hypotheses exist for the dependence of TCs on the planet's rotation. Planetary rotation is manifest as the Coriolis parameter  $f (= 2\Omega sin\phi, \phi - \text{latitude}, \Omega - \text{rotation rate of the planet})$ , also called planetary vorticity. A first hypothesis predicts an f-scaling, i.e., all else ignored, TCs should become more frequent towards the poles<sup>[141.]</sup>. The Coriolis parameter is zero at the equator and increases with latitude, setting a meridional vorticity gradient (Fig. 1b). This gradient, the so-called  $\beta$ -effect, is also relevant:

$$\beta = \frac{df}{dy} = \frac{2\Omega}{a} \cos\phi.$$
 [1.]

650 Opposite to f,  $\beta$  is largest at the equator and zero at the poles. This gradient is what causes 651 TC to move poleward and westward (the process known as beta drift) by establishing 652 secondary "beta gyre" circulations<sup>[179.]</sup> on either side of the TC (Fig. 1b). Beta drift scales 653 with the square root of  $\beta^{[180.]}$ . Consequently, TC westward tracks rapidly curve poleward in 654 the tropics, but this effect diminishes at higher latitudes.  $\beta$  provides a non-climatological (i.e., 655 dependent on planetary size and rotation rate as opposed to mean climate) constraint on TC latitudes by limiting the size of cyclonic disturbances and hence reducing beta drift. <sup>[143.]</sup>. If
TC radii are to increase with climate warming, as has been hypothesised<sup>[100.]</sup>, then we would
expect stronger beta drift.

659

#### 660 Climatological Convection

661 The majority of TCs (~70%) are spun out directly from climatological convection (Fig. 2a). The large-scale structure of this convection, including the ITCZ, can be deduced by 662 combining two conceptual building blocks of tropical dynamics - convective quasi-663 equilibrium theory <sup>[181.]</sup> (CQE) and the weak temperature gradient approximation<sup>[182.]</sup> (WTG) 664 - into a single framework<sup>[183.]</sup>. This framework provides an explanation for the structure of 665 large-scale convective circulations resulting from time-mean spatial variations in MSE. CQE 666 667 abstracts that the upward flow of MSE into the sub-cloud boundary layer due to enthalpy 668 fluxes (latent and sensible heat transfer from the ocean surface) is balanced by a downward 669 transfer of low MSE air from the dry free troposphere through convective downdrafts and large-scale subsidence (Fig. 1b). Employing this balance, ref.<sup>[183.]</sup> provides the following 670 zeroth order diagnostic expression for controls on the strength of climatological convective 671 672 updrafts in the ITCZ and Pacific Warm Pool:

$$M_u \propto w + \frac{SEF}{\Delta MSE}$$
, [2.]

where  $M_u$  is the mass flux of the deep convective updrafts, w – the tropical average vertical velocity at the top of the boundary layer, SEF – the surface moist enthalpy fluxes, and  $\Delta MSE$ – the difference between the boundary layer MSE and free tropospheric MSE (per unit volume). The corresponding convective updrafts release local instabilities and transport MSEfrom the boundary layer into the free troposphere. Eq. [2.] implies that the horizonal distribution of updraft strength is constrained by horizonal variations in surface fluxes, boundary layer MSE and free tropospheric MSE. Since atmospheric moisture content

- 680 declines rapidly with altitude and the effect of latent heat flux dominates over sensible heat
- 681 flux, the spatial distribution of climatological convection largely reflects surface evaporative
- fluxes and lower-level tropospheric moisture<sup>[184.]</sup>.

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1323	Correspondence	and	requests	for	materials	should	be	addressed	to	JS
1324	(joshua.studholme	@yale.e	du).							

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1340	
1341	Author contributions JS conceived the study, wrote the drafts, produced the figures, and led
1342	the preparation of the manuscript with input from all the co-authors.
1343	
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1345	
1346	Data Availability Statement All data used in this study is freely and publicly available in
1347	perpetuity.
1348	
1349	Tropical cyclone observational record The tropical cyclone data for the contemporary period
1350	(Fig. 2 and Ext. Data Fig. 4) is plotted directly from the International Best Track Archive for
1351	Climate Stewardship <sup>[156.]</sup> (IBTrACS). These data are freely available at
1352	https://doi.org/10.25921/82ty-9e16. Version v04r00 was downloaded and the World
1353	Meteorological Organisation's homogenisation was used.
1354	
1355	Contemporary environment Fields, used in Figs. 2 and 4, and Extended Data Figs. 4, 6 and 8,
1356	were taken from the European Centre for Medium Range Weather Forecasting's (ECMWF's)

degree) as monthly means for the years 1979 to 2020. These raw data are freely and publicly

ERA5 reanalysis product<sup>[155.]</sup>. All data was downloaded at native horizonal resolution ( $\frac{1}{4} \times \frac{1}{4}$ 

- 1359 available for download at https://doi.org/10.24381/cds.6860a573.
- 1360

1361 *Cloud-resolving modelling* The idealised cloud-resolving modelling data is replotted from
1362 ref.<sup>[32.]</sup>. This data is freely available in the following Dryad repository:
1363 doi:10.5061/dryad.8pk0p2np2

- 1364
- Paleocene-Eocene Thermal Maximum modelling data These data, from ref. <sup>[34.]</sup>, are available
  at <a href="https://doi.org/10.1016/j.palaeo.2021.110421">https://doi.org/10.1016/j.palaeo.2021.110421</a>.
- 1367

CMIP6 Data for the ITCZ plotted in Fig. 6 and Ext. Data Fig. 7 was taken from 17 model 1368 1369 centres that contributed to Climate Model Intercomparison Project Phase 6 (CMIP6). These 1370 data are available from the Earth System Grid Federation. Our CMIP6 analysis relies on 1371 subsets of the total model ensemble (+50 models). We used data from the following models: ACCESS-CM2<sup>[157.]</sup>, ACCESS-ESM1-5<sup>[158.]</sup>, BCC-CSM2-MR<sup>[159.]</sup>, CAMS-CSM1-0<sup>[160.]</sup>, 1372 CESM2-WACCM<sup>[161.]</sup>, CIESM<sup>[162.]</sup>, CanESM5<sup>[163.]</sup>, EC-Earth3-Veg<sup>[164.]</sup>, GFDL-CM4<sup>[165.]</sup>, 1373 GFDL-ESM4<sup>[166.]</sup>, INM-CM4-8<sup>[167.]</sup>, INM-CM5-0<sup>[168.]</sup>, IPSL-CM6A-LR<sup>[169.]</sup>, MIROC6<sup>[170.]</sup>, 1374 MPI-ESM1-2-HR<sup>[171.]</sup>, MRI-ESM2-0<sup>[172.]</sup>, NorESM2-LM<sup>[173.]</sup>. 1375

1376

#### 1377 **METHODS**

1378 a. Tropical cyclone track density

Track density is computed from the IBTrACS archive as the annual count of TC track points within 4 degrees of each grid square (using a ¼ by ¼ degree grid to match the ECMWF environmental fields). The temporal resolution of the underlying track data is 3-hourly. Note that North Indian Ocean tracks are masked since TCs there began to be recorded in the dataset only in recent years.

1384

1385 b. Climate diagnostics

1386 Climate diagnostics shown in Figs. 4, 6 and Extended Data Fig. 7 are computed from ERA51387 data (detailed in Section S1.b directly above) using the following methods:

1388

1389 *Tropical cyclone potential intensity* – Potential intensity (PI, units ms<sup>-1</sup>) calculations were 1390 done for ERA5 data using Daniel Gilford's pyPI algorithm<sup>[185.]</sup>, an implementation of the 1391 Bister and Emanuel algorithm<sup>[186.]</sup>. This method is based on the following expression:

$$PI^{2} = \frac{C_{k}}{C_{D}} \times \frac{T_{s}}{T_{o}} \times (CAPE^{*} - CAPE)|_{RMW}, \quad [M1.]$$

1392 where  $C_k$  and  $C_D$  are the exchange coefficients for enthalpy and momentum,  $T_s$  and  $T_o$  are 1393 temperature at the sea surface and at the TC outflow branch in the upper troposphere, both in 1394 units of K. *CAPE*<sup>\*</sup> and *CAPE* are the convective available potential energies of the saturated 1395 air lifted from the ocean surface to the outflow level and of boundary layer air respectively, 1396 both evaluated at the radius of maximum winds (RMW). An alternative, more conceptually 1397 intuitive, expression for PI can be also used<sup>[187.]</sup>:

$$PI^{2} = \underbrace{\frac{C_{k}}{C_{D}}}_{Exchange \ coefficients} \times \underbrace{\frac{(T_{s} - T_{o})}{T_{o}}}_{Modified \ Carnot \ efficiency} \times \underbrace{\frac{(k_{s}^{*} - k)}{Air-sea \ thermodynamic}}_{disequilibrium}, \quad [M2.]$$

1398 where  $k_s^*$  is the saturation moist enthalpy of air right at the sea surface and k is the moist 1399 enthalpy of air in the boundary layer overlying the surface. Expression M1 is more accurate 1400 than M2 because the former better estimates the amount of energy available for 1401 convection<sup>[188.]</sup>. PI can be then decomposed into the terms in M2 while using expression M1 1402 to compute thermodynamic disequilibrium as a residual.

1403

1404 *Genesis potential* – The genesis potential (GP) is calculated using the form<sup>[189.]</sup>:

$$GP = |\eta|^3 \chi^{-\frac{4}{3}} \text{MAX}[PI - 35\text{ms}^{-1}), 0]^2 (V_{shear} + 25ms^{-1})^{-4}$$

1405 where  $\eta$  is absolute vorticity of the flow at 850 hPa, capped at the value of 5 x 10<sup>-5</sup>s<sup>-1</sup>, PI is 1406 expressed as a flow speed,  $V_{shear}$  is the magnitude of wind shear estimated as wind speed 1407 difference between 850 and 250 hPa (in m/s).  $\chi$  is the moist entropy deficit in the middle 1408 troposphere defined as

$$\chi = \frac{s_b - s_m}{s_s^* - s_b}$$

1409 where  $s_b$ ,  $s_m$  and  $s_s^*$  are the moist entropies of the boundary layer and middle troposphere, 1410 and the saturation moist entropy at the sea surface, respectively. Moist entropy *s* is defined as 1411

$$s = c_p lnT - R_d lnp + \frac{L_v q_v}{T} - R_v q lnH_v$$

where *T* and *p* are temperature (in K) and pressure,  $c_p$  is the specific heat capacity at constant pressure of air,  $L_v$  is the latent heat of vaporisation, *q* is the specific humidity,  $R_d$  and  $R_v$  are the gas constants for dry air and water vapour respectively, and *H* is the relative humidity.

1415

1416 *Moist Static Energy* is defined here as follows:

$$h = c_p T + g z + L_v q_v,$$

1417 where g is gravitational acceleration, z is the height above the surface.

1418

*ITCZ metrics* - Three metrics for the Intertropical Convergence Zone (ITCZ) were computed using CMIP6 data, all by standard methods (e.g. ref.<sup>[131.]</sup>), *intensity, latitude and width* as described below. All metrics are computed from hemispheric zonal-mean precipitation [units mm day<sup>-1</sup>] and are averaged over the last three decades of each CMIP6 experiment during TC seasons for the respective hemisphere – July to October in the northern hemisphere and January to March in the southern hemisphere. These metrics are plotted in Fig. 6 and Extended Data Fig. 7. against the global maximum in zonal-mean sea surface temperature
(SST, units °C) during the respective TC season.

1427

1428 *ITCZ intensity* - is defined as the maximum in zonal-mean seasonal-mean precipitation.

1429

*ITCZ latitude* - is defined as the latitude of the maximum in zonal-mean seasonal-meanprecipitation.

1432

1433 ITCZ width - is defined as the cartesian distance between latitudes of zonal-mean seasonal-

1434 mean precipitation crossing the 5 mm day<sup>-1</sup> threshold on either side of the ITCZ latitude.

1435

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# **EXTENDED DATA**

1454 [EXTENDED DATA FIGURE 1]

1455 Extended Data Fig. 1 | The development and intensification of Hurricane Goni (2020, 1456 peak intensity 195 mph). Poorly organised convection over the west Pacific warm pool 1457 aggregates over the course of three days between October  $22^{th}$  and  $24^{th}$  (**a b c**), and then 1458 acquires coherent rotation over the subsequent three days ( $25^{th}$  to  $27^{th}$ , **d e f**) while propagating 1459 westward away from its seeding region. Between Oct  $28^{th}$  and  $30^{th}$ , Goni developed into a 1460 fully-fledged TC (**g h i**) and made the strongest recorded landfall event by landfalling in the 1461 Philippines on Oct  $31^{st}$ . Data from ref <sup>[153.]</sup>.

## [EXTENDED DATA FIGURE 2]

1463 Extended Data Fig. 2 | The extratropical transition of Hurricane Paulette and the simultaneous tropical transition of Subtropical Storm Alpha. Hurricane Paulette, which 1464 originally developed out of an easterly wave on Sept 7<sup>th</sup>, reached its peak intensity on Sept 1465 14<sup>th</sup> (105 mph) and then underwent extratropical transition to become an extratropical 1466 cyclone on Sept 17<sup>th</sup>. It then moved south and underwent tropical transition to intensify as a 1467 1468 tropical cyclone on Sept 22. Subtropical Storm Alpha (peak intensity 50 mph) was the first 1469 ever tropical cyclone to make landfall in Portugal and the eastern-most genesis event in the 1470 North Atlantic record. At the same time as these events, a rare medicane tropical 1471 cyclogenesis event occurred forming Cyclone Ianos (peak intensity 75 mph) which made landfall in Greece, seen in Extended Data Figure 3. Data from ref.<sup>[153.]</sup>. 1472

### [EXTENDED DATA FIGURE 3]

Extended Data Fig. 3 | The North Atlantic on September 16<sup>th</sup>, 2020. Hurricane Sally 1474 (peak intensity 105 mph) can be seen making landfall over Alabama in the US, while 1475 1476 Hurricane Teddy (peak intensity 140 mph) was intensifying over the tropical North Atlantic 1477 and to its northeast, Tropical Storm Vicky is being weakened by strong environmental wind 1478 shear. Hurricane Paulette can be seen midway through its extratropical transition of the coast 1479 of Nova Scotia and the extratropical cutoff low that became Subtropical Storm Alpha can be 1480 seen off the coast of Portugal. In the Mediterranean, the rare Medicane Ianos can be seen 1481 south of Italy. Tropical Storms Wilfred and Beta later developed out of the organising 1482 convection visible off equatorial Africa and in the Gulf of Mexico respectively. Data from ref. <sup>[153.]</sup>. 1483

#### [EXTENDED DATA FIGURE 4]

1485 Extended Data Fig. 4 | Planetary-scale atmospheric circulation, precipitation, and TC activity during the simulated Paleocene-Eocene Thermal Maximum (PETM) and the 1486 1487 modern period. a, c First tracked positions and b, d TC tracks for PETM and modern 1488 climates. The green overlay in **b** and **d** show the 6.5 mm/day climatological TC season precipitation contours. PETM data is replotted from simulations in ref.<sup>[34.]</sup> and modern data is 1489 1490 from IBTrACs (methods). Red and blue dots are as in Fig. 2, blue for 1980-1999 and red for 1491 2000-2019. Note that the lysis definition marking the end of the tracks between the PETM 1492 tracking and modern data are not easily reconcilable. The suppression of the low latitude TCs 1493 in the PETM is related to the splitting of the summertime subtropical and eddy-driven jets 1494 (Extended Data Fig. 5 and Fig. 5).
1496

# [EXTENDED DATA FIGURE 5]

1497	Extended Data Fig. 5   Zonal-mean large-scale climate and north-south TC lifetime
1498	maximum intensity during the Paleocene-Eocene Thermal Maximum (PETM, CO2 1590
1499	<b>ppm</b> ). Replotted from the ~0.25-degree resolution atmospheric GCM simulations of ref. <sup>[34.]</sup> .
1500	Note the strong agreement on coincident jet split and TC activity in the midlatitude with the
1501	idealised cloud-system-resolving aquaplanet simulations of ref. [32.] shown in Fig. 5 of the
1502	main manuscript.

1503

## [EXTENDED DATA FIGURE 6]

1504 Extended Data Fig. 6 | Surface precipitation and tropospheric winds and recent linear 1505 trends from ERA5. a, b, c the 1980-2019 precipitation, and upper (300 hPa) and lower level (850 hPa) wind climatology for the tropical cyclone season (July through September for 1506 1507 the northern hemisphere, January through March for the southern hemisphere). **b** The linear 1508 trend for the same seasons over the same period. Only trends for which p values are < 0.05are plotted. The contour lines in **b**, **d**, and **f** are used to visualise the ITCZ (6.5 mm day<sup>-1</sup>), 1509 and the jet streams (5 m s<sup>-1</sup> in the lower troposphere in **d** and 20 m s<sup>-1</sup> in the upper 1510 troposphere in **f**). Data from  $ERA5^{[155.]}$ . 1511

#### 1512

## [EXTENDED DATA FIGURE 7]

1513 Extended Data Fig. 7 | As in Fig. 6 but for the southern hemisphere during TC season 1514 there: January-February-March. Note the wide range in projections for the atmosphere 1515 only ('amip') simulations in blue, highlighting the significance of atmosphere-ocean coupling 1516 for tropical climate. The largest contribution to this "southern ITCZ" comes from the South 1517 Pacific Convergence Zone (SPCZ). Also note that these results may be affected by the 1518 models' double-ITCZ problem, which exaggerates the magnitude of the tropical convection to the south of the equator. Data from refs <sup>[157.]</sup> <sup>[158.]</sup> <sup>[159.]</sup> <sup>[160.]</sup> <sup>[161.]</sup> <sup>[162.]</sup> <sup>[163.]</sup> <sup>[164.]</sup> <sup>[165.]</sup> <sup>[166.]</sup> <sup>[167.]</sup> 1519 [168.] [169.] [170.] [171.] [172.] [173.] 1520

# 1521 [EXTENDED DATA FIGURE 8]

- 1522 Extended Data Fig. 8 | Surface enthalpy fluxes and recent linear trends from ERA5
- 1523 (1980-2019). Plotted as in Extended Data Fig. 6. Climatology and trends are for the tropical
- 1524 cyclone season (July through September for the northern hemisphere, January through March
- 1525 for the southern hemisphere). Data from ERA5<sup>[155.]</sup>.

1526

ENDS





Weak zonal temperature gradient







d Track density linear trend, 1980-2019

b















