

Late Holocene mangrove dynamics of the Doce River delta, southeastern Brazil: implications for the understanding of mangrove resilience to sea-level changes and channel dynamics

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1 **Late Holocene mangrove dynamics of the Doce River delta, southeastern Brazil:**
2 **Implications for the understanding of mangrove resilience to sea-level changes and**
3 **channel dynamics**
4

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24 **ABSTRACT**

25 This work aims to understand mangrove resilience to changes in a wave-influenced delta
26 in southeastern Brazil during the late Holocene using an integrated analysis of
27 palynology, sedimentology, and geochemistry ($\delta^{13}\text{C}$, $\delta^{15}\text{N}$, C:N and C:S ratio), and
28 radiocarbon dating on two sediment cores. The data indicated three mangrove succession
29 phases: 1) an estuarine point bar/tidal flat occupied by a mixture of mangrove species
30 (~2660 - ~2050 cal yr BP); 2) a tidal flat dominated by *Laguncularia* mangroves (~2050
31 - ~900 cal yr BP); and 3) tidal flats with *Laguncularia* mangroves upstream and
32 establishment of *Rhizophora*/*Avicennia* mangrove at the river mouth (~900 cal yr BP until
33 present). The geochemical results suggest a dominance of C₃ terrestrial plants with a
34 mixture of C₄ plants and organic matter of marine/estuarine origin throughout the late
35 Holocene. *Laguncularia* and *Rhizophora* trees were established since ~2660 cal yr BP as
36 pioneers, followed thereafter by *Avicennia*. Currently, tidal flats upstream are occupied
37 by mangroves mainly represented by *Laguncularia*. *Rhizophora*/*Avicennia* mangroves
38 occur at the mouth of the river. The relative sea-level fall during the late Holocene, as
39 well as the channel dynamics, caused the development of tidal flats and mangrove
40 succession inland. The succession of *Rhizophora*, *Laguncularia*, and *Avicennia*, followed
41 by the permanence of only *Laguncularia*, is likely related to the resilience of each
42 mangrove genus to habitat disturbance (e.g., salinity and sediment grain size fractions)
43 caused by sea-level changes and channel dynamics. Our results show that mangroves may
44 be resilient to the effects of Atlantic sea-level fluctuations, but the floristic structure in
45 the past is different from that of today.

46
47 **Keywords:** Pollen; sea-level; stable isotopes; transitional sediments; wave-influenced.

48 **1. Introduction**

49 The global distribution of mangroves has changed throughout geological and human
50 history (Monacci et al., 2009). Studies along the Brazilian littoral zone using multi-proxy
51 data have indicated expansion and contraction of mangrove areas during the Holocene
52 (Amaral et al., 2006; Pessenda et al., 2008; Cohen et al., 2009; Smith et al., 2011; França
53 et al., 2012; Guimarães et al., 2012; França et al., 2013, 2016). This mangrove dynamic
54 is due to the combination of sea-level changes (Angulo et al., 2008), tectonic activities
55 (Rossetti et al., 2012), and variations in fluvial discharge related to climate changes (Bush
56 and Colinvaux, 1990; Bush et al., 2007). Mangroves are affected by complex interactions
57 between tidal flood frequency, sediment and nutrient supply, and porewater salinity of
58 intertidal flats (Hutchings and Saenger, 1987; Wolanski et al., 1990; Masselink and
59 Gehrels, 2015). Mangrove species differ in their responses to local variations in
60 environmental conditions (Tomlinson, 1986).

61 Regarding natural influences on deltaic systems, sea-level fluctuations have
62 affected coastal ecosystems, such as mangroves, along the Brazilian littoral (Lara and
63 Cohen, 2009; Cohen et al., 2012, 2015; Pessenda et al., 2012; França et al., 2014, 2016;
64 Fontes et al., 2017) during the Holocene. Coastal wetlands have the ability to maintain
65 stability with sea-level (Kirwan and Murray, 2007). Equilibrium models of coastal
66 wetlands consider several feedbacks that allow the coastal wetlands to keep their locations
67 relative to the tidal range (Cohen et al., 2005; McKee et al., 2007), where sediment
68 vertical accretion increases according to a depth of tidal flood (French and Stoddart, 1992;
69 Furukawa and Wolanski, 1996; Blasco et al., 1996; Cahoon et al., 1997; Krauss et al.,
70 2013), and availability of accommodation space (Boyd et al., 1981; Woodroffe, 2002; Job

71 et al., 2021), which allows the wetland to keep pace with relative sea level (Cahoon et al.,
72 2006).

73 Mangroves occur parallel to the coastline, with zonations characterized by species
74 dominating tidal flats more exposed to marine influence. Other species occupy higher
75 tidal flats as a response to the substrate physical-chemical characteristics (Snedaker,
76 1982; Hutchings and Saenger, 1987). For instance, several mangrove tree species reach
77 an ideal development at salinities between 5 and 25 ‰ (Burchett et al., 1989; Ball and
78 Pidsley, 1995; Suárez and Medina, 2005) and respond to coastal processes and sediment
79 deposition (França et al., 2012). *Rhizophora mangle* dominates on unstable tidal flats
80 exposed to direct tidal influence. By contrast, *Avicennia germinans* dominates the higher
81 tidal flats subjected to higher porewater salinities, and *Laguncularia racemosa* is
82 commonly found in less saline environments and sandy sediments (Hogarth, 2007).
83 Therefore, mangroves occur along environmental gradients characterized by salinity,
84 landforms, and sediment types, which reflect fluvio-marine dynamics (Thom, 1984;
85 Woodroffe, 1992).

86 The Doce River delta, southeastern Brazil, is considered one of the largest wave-
87 dominated deltas of Brazil (Suguio et al., 1980; Dominguez et al., 1981; Martin et al.,
88 1996). The delta progradation occurred during the last 5000 cal yr BP according to the
89 late Holocene marine regression. The delta development occurred by beach ridge
90 progradation according to a relative sea-level fall during the middle and late Holocene
91 (Angulo et al., 2006; Cohen et al., 2020; Toniolo et al., 2020). Thus, a relative sea-level
92 fall may cause a seaward mangrove migration due to changes in flow energy and tidal
93 inundation frequency (Cohen and Lara, 2003).

94

95 Mangroves expanded upstream of the Doce River delta, during the early-middle
96 Holocene, followed by contraction and eventual disappearance of this ecosystem during
97 the late Holocene (Buso Junior et al., 2013; França et al., 2013). The edges of the estuaries
98 and tidal creeks are occupied by species typical of mangrove forests (Bernini et al., 2006).
99 However, those works did not directly show the dominant plants along the Doce River
100 estuary during the late Holocene. Therefore, it remains unclear when the mangrove types
101 began to grow and the meaning of mangrove type succession. Mangroves may be resilient
102 to sea-level fluctuations, but few works provided evidence about the relationship between
103 the mangrove type succession and stressors factors, such as sea-level changes.

104 Then, how does each mangrove type respond to changes in depositional
105 environments, controlled by sea-level fluctuations and input of different sediment grain
106 sizes? It is a subject still open for discussion. Therefore, to contribute to the discussion
107 and understanding of the mangrove resilience to changes in a wave-influenced delta
108 (Southeastern Brazil) during the late Holocene, this paper presents the integration of
109 multi-proxy data with sedimentary facies, pollen, isotopes ($\delta^{13}\text{C}$, $\delta^{15}\text{N}$) and elemental
110 analysis.

111

112 **2. Modern settings**

113 *2.1. Study area and geological setting*

114 The study sites are located between 40° 04'– 39° 40' W and 18° 34'– 19° 48' S and are
115 adjacent to two river mouths, the Barra Seca River (sediment core MBN) and the São
116 Mateus River (sediment core LI-34; reviewed in this work; França et al., 2016), which
117 are each part of the Doce River Delta, State of Espírito Santo, southeastern Brazil (Fig.
118 1). The Holocene sedimentary dynamic in the study area is mainly controlled by relative

119 sea-level change (RSL), fluvial sediment supply, and longshore currents. The formation
120 of a barrier island/lagoonal system began at about 7000 cal yr BP (Suguio et al., 1982;
121 Martin et al., 1996, 2003).

122 The region is mainly represented by the Barreiras Formation formed by
123 continental and transitional deposits, with many broad valleys (Martin et al., 1996;
124 Rossetti et al., 2013). Four geomorphological units may be identified in the study area:
125 (1) a mountainous province with Precambrian rocks; (2) a tableland area, comprising the
126 Barreiras Formation, gently sloping toward the coast, composed of sandstones,
127 conglomerates and mudstones – attributed to Neogene marine transgressions
128 (Dominguez et al., 2009; Rossetti et al., 2013); (3) a coastal plain area, with fluvial,
129 transitional and shallow marine sediments, accumulated during RSL changes (Martin and
130 Suguio, 1992); and (4) an inner continental shelf area with surficial sediments composed
131 mainly by fine to coarse sands and mud sediments.

132

133 *2.2. Modern climate and oceanography*

134 The study area is located in a warm and humid tropical climate, with annual precipitation
135 ~1190 mm and temperature ranges between 20° and 26° C (Buso-Junior et al., 2013).
136 Precipitation occurs mainly during the summer (November – January), while the winter
137 is characterized by a dry season (May – September), regulated by the Intertropical
138 Convergence Zone (ITCZ) and the position of the South Atlantic Convergence Zone
139 (SACZ) (Carvalho et al., 2004). The study area is affected by the South Atlantic trade
140 winds belt (NE-E-SE) under the influence of a local high-pressure cell and the episodic
141 advance of the Atlantic Polar Front, causing SSE winds (Dominguez et al., 1992; Martin
142 et al., 1998).

143 The coastal plain of the Doce River has a maximum width of about 40 km and
144 length of about 150 km (Bittencourt et al., 2007). This region is influenced by the Atlantic
145 Ocean with microtidal semidiurnal, ranging below 2 m. The tidal water salinity is between
146 9 and 34‰. The Doce River has a maximum and minimum outflow of ~1900 and ~400
147 m³/s, while the São Mateus River has a discharge ~11 m³/s (Bernini et al., 2006).

148

149 2.3. Modern vegetation

150 Most of the study area is occupied by mangrove trees, ~5-10 m tall, represented by
151 *Rhizophora mangle*, *Laguncularia racemosa*, and *Avicennia germinans*. *Rhizophora* and
152 *Laguncularia* trees occur mainly along the channels, while *A. germinans* grows mainly
153 on higher tidal flats. Nowadays, mangrove forests are restricted to the northern sector of
154 the Doce River Delta (Bernini et al., 2006), including the mouths of Barra Seca and São
155 Mateus Rivers. The sandy coastal plain flora includes *Ipomoea pes-caprae*, *Hancornia*
156 *speciosa*, *Chrysobalanus icaco*, *Hirtella americana*, *Cereus fernambucensis* and palm
157 trees. It is also characterized by pioneering freshwater plants such as *Hypolytrum* sp.,
158 *Panicum* sp, and brackish water plants such as *Polygala cyparissias*, *Remiria maritima*,
159 *Typha* sp., *Cyperus* sp., *Montrichardia* sp., *Tapirira guianensis*, and *Symphonia*
160 *globulifera*. Herbaceous vegetation is also found at the coastal plain, represented by
161 Araceae, Cyperaceae, and Poaceae. Tropical rainforest, occupying higher ground further
162 inland, is predominantly characterized by the following families: Annonaceae, Fabaceae,
163 Myrtaceae, Sapotaceae, Bignoniaceae, Lauraceae, Hippocrateaceae, Euphorbiaceae, and
164 Apocynaceae (Peixoto and Gentry, 1990).

165

166 3. Materials and methods

167 *3.1. Fieldwork and sampling processing*

168 For the description of the geological characteristics, the SPRING 3.6.03 processing
169 system was used, which generated an image of composition RGB 543, elaborated from a
170 LANDSAT 7 image, obtained in July 2011 from the Brazilian National Research Institute
171 (INPE). Global Mapper 12 software was used to generate the topographic map, based on
172 SRTM-90 data (<http://srtm.usgs.gov/data/obtainingdata.html>).

173 The sediment cores MBN (4.95 m depth, S 18°58'31.3" / W 039°44'36.0" and
174 0.6 m elevation – Barra Nova estuary) and LI-34 (4 m depth, S 18°36'27.4" / W
175 39°44'40.4" and 1 m elevation – São Mateus estuary) were retrieved from a mangrove
176 muddy tidal flat (Fig. 1) using a Russian Peat sampler (USEPA, 1999). This area is a
177 southeastern wave-dominated coast and has micro-tidal influence (Dominguez et al.,
178 2009). Mean spring tidal range is 1.7 m in the area. The geographical positions of the
179 cores were determined by GPS (Reference Datum: SAD69).

180

181 *3.2. Radiocarbon dating*

182 Based on stratigraphic discontinuities, suggested by color, lithology, and texture, seven
183 bulk samples (10 g each) were chosen for radiocarbon analysis. The sediments were
184 verified and physically cleaned under a stereomicroscope. Samples were placed in 2%
185 HCl at 60°C for 4 hours to eliminate adsorbed carbonates. These samples were also rinsed
186 and dried at 50 °C, following procedures described in Pessenda et al. (2012). The
187 chronological context for the studied stratigraphic sequence was supplied by an
188 accelerator mass spectrometer (AMS) radiocarbon dating at the ¹⁴C Laboratory of
189 Fluminense Federal University (LACUFF) and at UGAMS (University of Georgia –
190 Center for Applied Isotope Studies). Radiocarbon ages were normalized to a $\delta^{13}\text{C}$ of -

191 25‰ VPDB and reported as calibrated years (cal yr BP) (2σ) using CALIB 7.1 (Stuiver
192 et al., 2018 - <http://calib.org> – accessed 2019-5-27). The dates (Table 1) are presented in
193 the text as the median of the range of calibrated ages based on IntCal13 (Reimer et al.,
194 2013).

195

196 *3.3. Facies description*

197 The cores were X-rayed to identify sedimentary structures and transported to the
198 Laboratory of Chemical Oceanography/UFPA. The sediment grain size distribution was
199 determined by laser diffraction using a Laser Particle Size SHIMADZU SALD 2101. The
200 sediment grain size was based on the Wentworth (1922) with sand (2-0.0625 μm), silt
201 (62.5-3.9 μm), and clay fractions (3.9-0.12 μm). Facies analysis involved description of
202 color (Munsell Color, 2009), lithology, texture, and structure (Harper, 1984; Walker,
203 1992). The sedimentary facies codes followed Miall (1978).

204

205 *3.4. Palynological analysis*

206 Sediment samples (1.0 cm^3) were taken at 10 cm intervals and processed by standard
207 pollen analytical techniques (Faegri and Iversen, 1989). This sampling interval along
208 cores with 4 – 5 m long sampled from tidal flats with sedimentation rates between 1 and
209 20 mm/yr is appropriate for a palaeoenvironmental reconstruction during the late
210 Holocene (Behling et al., 2004; Cohen et al., 2012; França et al., 2013). Pollen and spores
211 were categorized according to the reference collections of about 4,000 Brazilian flora taxa
212 (Salgado-Labouriau, 1973; Markgraf and D’Antoni, 1978; Roubik and Moreno, 1991;
213 Colinvaux et al., 1999) jointly with the reference collection of the Laboratory of Coastal
214 Dynamics – Federal University of Pará and ^{14}C Laboratory of the Center for Nuclear

215 Energy in Agriculture (CENA/USP). At least 300 terrestrial pollen grains were counted
216 for each sample. The total terrestrial pollen sum excludes fern spores and aquatic pollen.
217 Results were expressed as percentages of the total terrestrial pollen sum. The
218 classification pollen taxa were based on pollen source: mangroves, trees and shrubs,
219 palms, and herbs pollen. TILIA and TILIAGRAPH was used for calculations and
220 graphical plotting (Grimm, 1990). The cluster analysis of pollen taxa was developed by
221 CONISS (Grimm, 1987).

222

223 *3.5. Isotopic and chemical analysis*

224 Sediment samples (6-50 mg) were obtained along the cores at 10 cm intervals, and 2%
225 HCl was used to remove carbonate. It was washed with distilled water until the pH
226 reached 6, and dried at 50°C. The sediment samples were analysed for total organic
227 carbon, nitrogen, and sulfur at the Stable Isotopes Laboratory and at the Nutrient Cycling
228 Laboratory of the Center for Nuclear Energy in Agriculture (CENA/USP). Samples were
229 analyzed in an ANCA SL2020 mass spectrometer and Sulfur Analyzer SC 144DR-LECO,
230 respectively. The values are represented as a percentage of dry weight, with an analytical
231 precision of 0.09% (TOC) and 0.07% (TN), respectively. The standard for sulfur analysis
232 was 0.031% (dry soil), from 0.028 to 0.034% (mean values). The ^{13}C and ^{15}N results are
233 expressed as $\delta^{13}\text{C}$ and $\delta^{15}\text{N}$ with respect to VPDB standard and atmospheric air.
234 Analytical precision is $\pm 0.2\text{‰}$ (Pessenda et al., 2004). In order to describe and understand
235 the source of organic matter, the binary analyses between $\delta^{13}\text{C}$ vs. C:N (Meyers, 2003;
236 Wilson et al., 2005; Lamb et al., 2006) and $\delta^{15}\text{N}$ vs. $\delta^{13}\text{C}$ (Peterson and Howarth, 1987;
237 Fellerhoff et al., 2003) were used. Leaves of the vegetation units were sampled for
238 isotopic $\delta^{13}\text{C}$ determination.

239

240 **4. Results**

241 *4.1. Radiocarbon dating*

242 The data presented in Table 1 provide late Holocene chronological control since ~2660
243 cal yr BP (core MBN, 4.79-4.77 m depth). The ^{14}C dates revealed that studied sediments
244 were deposited according to a vertical accretion range between 0.45 and 20.07 mm/yr
245 typical for the studied coast (16 – 1 mm/yr) during the mid-late Holocene. The high
246 sedimentation rates have been attributed to a higher sediment accommodation space
247 during the mid-late Holocene, caused by the middle Holocene high sea-level stand
248 (Breithaupt et al., 2012, 2018; França et al., 2013, 2015, 2016; Lorente et al., 2014; Cohen
249 et al., 2020). In this work we have used the informal terms mid and late Holocene instead
250 of Middle or Late Holocene. The Quaternary literature has used variable informal usage
251 of ‘early’, ‘middle’ or ‘mid’, and ‘late’ with the beginning of the middle Holocene ranges
252 in age from 8 to 6 ka BP, while the end of the middle Holocene varies between 5 and 2.5
253 ka BP (e.g. Huguin and Restifo, 2012). Walker et al., (2012) proposed an Early–Middle
254 Holocene boundary at 8200 a BP and a Middle–Late Holocene boundary at 4200 a BP.
255 However, proposal for relative sea-level changes for the Brazilian coast have used an
256 informal scale for the Holocene (Angulo et al., 2006, 2016). Therefore, to discuss the
257 temporal correlations of the events identified in this work with the relative sea-level
258 changes proposed for the Brazilian coast, we decided to keep the Holocene informal scale
259 for this work.

260

261

262 *4.2. $\delta^{13}\text{C}$ values of modern vegetation*

263 Thirty-two species of the most abundant plants were collected at the study sites. The $\delta^{13}\text{C}$
264 values range between -30.10‰ and -11.48‰, indicating dominance of C_3 plants (Table
265 2). C_4 plants are restricted to the Poaceae family (*Paspalum* sp. and *Sporobolus*
266 *virginicus*). Some species were characterized as CAM (Ecophysiology of Crassulacean
267 Acid Metabolism), such as Cactaceae (*Cereus fernambucensis*) and Clusiaceae (*Clusia*
268 *nemorosa*).

269

270 4.3. Facies associations

271 The sediment cores were comprised of mostly greenish-gray or dark brown muddy and
272 sandy silts (Fig. 2 and Fig. 3). The cores are characterized by massive sand (facies Sm),
273 sand with cross-laminations (facies Sc), parallel lamination sand (facies Sp), lenticular
274 (facies Hl), wavy (facies Hw), flaser (facies Hf) heterolithic bedding, and parallel
275 laminated mud (facies Mp) with convolute lamination. Additionally, bioturbation
276 structures, characterized by benthic tubes, plant remains, shells, roots, and root marks are
277 present. Evaluation of sediment texture and structure and associated pollen and isotopic
278 data ($\delta^{13}\text{C}$ and $\delta^{15}\text{N}$), together with C:N and C:S values, revealed three facies associations
279 which represent a typical tidal flat setting, characterized by an estuarine point bar (facies
280 association A), tidal flat with mangrove/herbs vegetation (facies association B), and
281 estuarine channel (facies association C).

282

283 4.3.1. Facies association A (estuarine point bar)

284 The facies association A only occurs in core MBN between ~2660 and ~2215 cal yr BP
285 (Fig. 2), between 4.9 and 3.8 m depth. It mainly consists of lenticular heterolithic bedding
286 (facies Hl) with basal sand cross-lamination (facies Sc) and parallel sand lamination

287 (facies Sp). Close to the top of this facies association inclined wavy (Hw) and flaser (Hf)
288 heterolithic bedding with mud and organic matter deposition are present, which reflects
289 point-bar lateral accretion within a meandering creek (Thomas et al., 1987).

290 The pollen record mainly shows an increase of herbaceous pollen (Fig. 4),
291 between 2660 and 2215 cal yr BP (beginning of zone I), such as Poaceae (15-60%),
292 Cyperaceae (5-15%), Asteraceae (2-4%), *Borreria* (<2%), and Convolvulaceae (<2%).
293 Other ecological groups show a decreasing trend. Trees and shrubs are mainly
294 characterized by Fabaceae (2-24%), Euphorbiaceae (5-15%), Rubiaceae (5-10%),
295 Apocynaceae (3-7%), Moraceae (2-7%), *Mimosa* (2-5%), and *Alchornea* (~10%) pollen
296 grains close to the top of this facies association. Palm pollen ranges between 5% and 16%.
297 Mangrove pollen ranges between 5% and 16% abundance, mainly characterized by
298 *Rhizophora* (3-6%), *Laguncularia* (5-16%), and *Avicennia* (<5%).

299 The $\delta^{13}\text{C}$ and C:N values oscillate between -27‰ and -17‰ ($\bar{x} = -23\text{‰}$), and 12
300 and 45 ($\bar{x} = 27.5$) between 4.9 and 3.8 m depth, respectively (Fig. 2). $\delta^{15}\text{N}$ values range
301 between 2.8‰ and 9.3‰ ($\bar{x} = 6.2\text{‰}$) and the C:S ratio ranges between 0.38 and 5.18 (\bar{x}
302 = 2).

303

304 4.3.2. Facies association B (tidal flat with mangrove/herbs vegetation)

305 This association was identified in core MBN from 3.7 m depth to the surface (~2215 cal
306 yr BP; Fig. 2) and LI-34 from 3.7 m depth to the surface (~1340 cal yr BP; Fig. 3). The
307 facies association B is largely comprised of mud with fine and very fine sand, lenticular
308 heterolithic bedding (facies Hl), massive sand deposits (facies Sm), flaser bedding (facies
309 Sf), cross-lamination sand (facies Sc), and parallel laminated mud bedding (facies SMh).
310 This deposit also contains benthic tubes, shells fragments, root, and root marks.

311 The pollen assemblage of facies association B is mainly characterized by
312 herbaceous pollen, but trees, shrubs, palms, and mangrove pollen are also present (Fig. 4
313 and Fig. 5). The herbaceous pollen is mainly characterized by Poaceae (7-70%),
314 Cyperaceae (4-30%), Amaranthaceae (2-50%), *Borreria* (1-14%), Asteraceae (3-11%),
315 Malvaceae (1-5 %), and *Smilax* (1-6%). The most common tree and shrub taxa are:
316 *Alchornea* (5-50%), Fabaceae (2-50%), Rubiaceae (2-45%), Euphorbiaceae (5-20%),
317 Moraceae (2-15%), *Mimosa* (2-8%), Malpighiaceae (5-7%), Apocynaceae (2-6%),
318 *Cecropia* (2-6%), Myrtaceae (2-6%), and Anacardiaceae (2-5%), together with low
319 percentage abundance (<5%) of *Croton*, Meliaceae, and Sapindaceae. Aquatic taxa
320 consist of *Typha* (10%), and palms (Arecaceae) range from 2-55% abundance. Mangrove
321 pollen assemblages are characterized by *Avicennia*, *Laguncularia*, and *Rhizophora* in
322 core MBN (4-25%), and *Avicennia* and *Rhizophora* in core LI-34 (5-37%). In the core
323 MBN a mangrove intra-species variation with the disappearance of *Avicennia* and
324 *Rhizophora* occurred near 1.5 m, between ~2215 and ~2075 cal yr BP, while
325 *Laguncularia* pollen was present to the surface. The surface-core pollen assemblage had
326 low abundance of *Rhizophora* pollen (1-2%) (Fig. 4). In contrast, in core LI-34,
327 *Rhizophora* pollen is present in the record since at least 900 yr BP (2.0 m), and *Avicennia*
328 is present since 360 cal yr BP (1.5 m) (Fig. 5).

329 $\delta^{13}\text{C}$ values range between -31‰ and -11.5‰ (\bar{x} = -23.3‰), while $\delta^{15}\text{N}$ values
330 range between 1.3‰ and 14.5‰ (\bar{x} = 4.8‰). C:N ratios range between 5.96 and 45.5 (\bar{x}
331 = 20.8) and C:S ratios (MBN core) range between 0.02 and 3.65 (\bar{x} = 2.05).

332

333 *4.3.3. Facies association C (estuarine channel)*

334 The facies association C was only identified at the base of core LI-34 (~1340 cal yr BP)
335 (Fig. 3 and 5), between 4.0 and 3.7 m, which consists of a massive sand deposit (facies
336 Sm) with fine to medium-grained sediments and shell fragments.

337 Pollen analysis indicated the predominance of herbaceous pollen (Fig. 5), such
338 as Poaceae (44-56%), Cyperaceae (41-49%), Amaranthaceae (3-6%), Asteraceae, and
339 *Borreria* (~2%) (Fig. 5). The $\delta^{13}\text{C}$ values vary between -31 and -27‰. The $\delta^{15}\text{N}$ values
340 oscillate between 4 and 6‰. The TOC and nitrogen values are relatively low at the bottom
341 of the core (0.1-0.2% and ~0.01%, respectively). C:N ratios range between 12 and 15
342 (Fig. 3).

343

344 **5. Interpretation and discussion**

345 *5.1. Paleoenvironmental reconstruction*

346 The multi-proxy data reveal three environmental phases spanning the last ~2660 cal yr
347 BP, based on changes in RSL and sediment supply (Fig. 6). The first phase, between
348 ~2660 and ~2050 cal yr BP, is mainly marked by the presence of *Rhizophora*,
349 *Laguncularia*, and *Avicennia* on point bar deposits inland (Figs. 2 and 6). The second
350 phase, between ~2050 and ~900 cal yr BP, is marked by the disappearance of *Avicennia*
351 and *Rhizophora* from the MBN site. During the last ~900 cal yr BP, the third phase is
352 marked by extensive expansion of *Laguncularia* mangroves on tidal flats upriver and the
353 presence of *Rhizophora* mangroves giving way to *Avicennia* mangroves at the mouth of
354 the São Mateus River (core LI-34).

355

356 *5.1.1. Phase 1 (~2660 to ~2050 cal yr BP)*

357 This phase is marked by sand and mud massive sediments, followed by parallel laminated
358 mud/sand with bioturbation structures. It is indicative of both high and low energy flow.
359 These sedimentary features, together with the pollen and geochemical characteristics,
360 suggest the development of a point bar and presence of herbaceous plants and mangrove
361 trees, represented by *Avicennia*, *Laguncularia*, and *Rhizophora* since at least ~2660 cal
362 yr BP, behind the late Holocene beach ridges. During this phase there was a transition
363 from a point bar to a tidal flat. The end of this phase is marked by the disappearance of
364 *Avicennia* and *Rhizophora* mangrove taxa around 2075 cal yr BP, with only *Laguncularia*
365 mangrove trees remaining. The latter was likely caused by channel migration and a
366 decrease in sea-level, leading to an increase in erosion. Therefore, a common zonation
367 from the intertidal fringe to higher elevations landward indicates the following genus
368 mangrove gradient: *Rhizophora* > *Avicennia* > *Laguncularia* (Woodroffe, 1982).
369 Furthermore, the decrease in *Rhizophora* and *Avicennia* trees, leaving only *Laguncularia*
370 trees, which commonly occupy less saline environments (Hogarth, 2007), indicates a
371 reduction in salinity, probably due to a sea-level drop and/or to the wet climate. In
372 addition, *Laguncularia* trees have greater resilience to adverse conditions (Tomlinson,
373 1986; Gu et al., 2019; Cohen et al., 2020). We interpret this sequence of mangrove
374 dynamics to signify relative sea-level fall over the last 5500 cal yr BP, which led to an
375 increase in sediment supply (França et al., 2016). Locally, the establishment of mangroves
376 depends on the dynamics of channel migration. The relationship between $\delta^{13}\text{C}$ values,
377 ranging from -27 and -16‰, and C:N ratios (12-45), indicates that sedimentary organic
378 matter was sourced from mixing between C_3 terrestrial plants and marine dissolved
379 organic carbon-DOC (Fig. 7), suggesting estuarine zones between ~2660 and ~2050 cal
380 yr BP.

381

382 *5.1.2. Phase 2 (~2050 to ~900 cal yr BP)*

383 During this phase a mangrove succession developed, whereby the mangrove community
384 of preceding phase 1, containing a mix of *Avicennia*, *Rhizophora*, and *Laguncularia* trees,
385 was replaced by a monospecific mangrove community dominated by *Laguncularia* trees
386 at the MBN site. Tidal flats constitute favorable hydrodynamic conditions for mangrove
387 development due to muddy sedimentation, with low-energy waves and low current
388 velocity. During this phase, oscillations in wave energy and velocity produced cross-
389 lamination sand, inducing the migration of small sand ripples (Reineck and Singh, 1980).
390 Furthermore, the upward fineing sequence indicates a decrease in energy flow, favouring
391 the establishment of a tidal flat, with sedimentation rates around 20 mm/yr. These
392 hydrodynamic conditions favored mangrove development.

393 During this phase $\delta^{13}\text{C}$ values ranging between -28 and -22‰, together with C:N
394 ratios between 6 and 40, indicate that organic matter was sourced predominantly from C_3
395 plants ($\delta^{13}\text{C}$: -32‰ to -21‰, C:N >20; Deines, 1980) (Fig. 2, MBN core). An estuarine
396 environment is consistent with $\delta^{13}\text{C}$ values ranging from -32‰ to -26‰, and C:N ratios
397 from < 25 to >6, according to figure 7 (Lamb et al., 2006). The $\delta^{15}\text{N}$ values between 4
398 and 7.4‰ ($\bar{x} = 5.8\text{‰}$) suggest a mixture of terrestrial plants and aquatic organic matter
399 (Sukigara and Saino, 2005). The trends of rising carbon and nitrogen values signify
400 mangrove establishment.

401

402 *5.1.3. Phase 3 (900 cal yr BP until present)*

403 This phase is marked by the abundance of *Laguncularia* and *Rhizophora* trees occupying
404 upriver tidal flats at the MBN site, as well as the presence of a mangrove ecosystem

405 dominated by *Rhizophora* and *Avicennia*, and some *Laguncularia* (<3%), on tidal flats
406 near the mouth of the São Mateus River at the LI-34 site (Fig. 6). The sediments are
407 characterized by heterolithic bedding and massive sand, with plant remains and rootlets.
408 At the LI-34 site, near the São Mateus River mouth, this phase is marked by sand
409 deposition, typical of the mouth of an estuarine channel. Following a sea level decrease,
410 relative sea-level stabilized (Angulo et al., 2006). This favoured mangrove establishment,
411 characterized by *Rhizophora* and *Avicennia*, around 900 cal yr BP at the LI-34 site and
412 mangrove migration to the mouth of the São Mateus River.

413 According to the $\delta^{13}\text{C}$ values (around -28‰), and C:N ratios (16-27), tidal flats
414 close to the mouth of the Barra Seca and São Mateus River were characterized by C_3
415 terrestrial plants and a mixture of freshwater/estuarine dissolved organic carbon (DOC)
416 (Fig. 7), consistent with a marine influence and mangrove colonization.

417

418 5.2. Floristic changes to mangrove communities

419 Mangroves expanded upstream of the Doce River delta, southeastern Brazil, during the
420 early-middle Holocene, and were occupying topographically higher flats in the mid-
421 Holocene (França et al., 2015). However, the RSL fall combined with the higher sediment
422 fluvial discharge caused a coastal progradation over the last ~6350 cal yr (Buso Junior et
423 al., 2013; França et al., 2013, 2016; Lorente et al., 2014). According to our data,
424 mangroves migrated to lower tidal flats behind the current beach ridges between ~2660
425 and ~900 cal yr BP due to sea-level drop. The stabilization of relative sea-level during
426 the last 900 cal yr BP contributed to the development of tidal flats and mangrove
427 expansion, with a predominance of *Laguncularia* mangroves upstream and the
428 establishment of *Rhizophora/Avicennia* mangroves at the mouth of the rivers.

429 Mangrove species differ in their responses to local environmental conditions,
430 which directly affect their development (Tomlinson, 1986). These environmental
431 variations may drive either positive or negative feedback, depending on the taxon. For
432 example, salinity variations may impair the growth and reproduction of certain mangrove
433 species, such as *Avicennia* (Naidoo et al., 2011). On the other hand, an increase in salinity
434 can drive an increase in the production of chlorophyll-*a* and *b* in *Laguncularia racemosa*
435 (Sobrado, 2000), giving it greater resistance to adverse conditions and increasing its
436 colonization potential. It is important to emphasize that, unlike *Rhizophora*, both
437 *Laguncularia* and *Avicennia* are adapted to hypersalinity conditions. However, for
438 successful germination, *Laguncularia* can withstand longer periods of salinity than
439 *Avicennia* (Cavalcanti et al., 2007), which means that *Laguncularia* is relatively better
440 adapted for growth in the middle of the spatial-temporal salinity spectrum and,
441 accordingly, has higher plasticity (Tomlinson, 1986). Furthermore, the increase in flow
442 energy, evidenced by the deposition of sandy sediments, may also contribute to mangrove
443 community changes, leading to species turnover (França et al., 2012). For example,
444 *Laguncularia* is commonly found in more disturbed environments than those of
445 *Rhizophora* and *Avicennia* (Hogarth, 2007), and may withstand the impact caused by
446 disasters and/or human pollution, such as metal contamination at the Doce River estuary
447 after the dam collapse on 5th November 2015 (Fernandes et al., 2016). On the sand bars,
448 coastal dynamics caused high mangrove mortality by erosion or sand sedimentation on
449 mangrove muddy substrates, which have caused tree roots to suffer from anoxia (Gil-
450 Torres and Ulloa-Delgado, 2001). Mangroves dominated by *Rhizophora* retreated along
451 the northern Brazilian coastline due to landward sand migration that covered the mudflat
452 and asphyxiated vegetation (Cohen and Lara, 2003; França et al., 2012).

453 The ecophysiological characteristics of *Laguncularia racemosa* highlight its
454 important role as a pioneer species in mangrove succession; e.g. creating suitable
455 microclimatic conditions (raising humidity and reducing soil temperature) to facilitate
456 colonization by other mangrove tree species (Cavalcanti et al., 2007). Thus, mangroves
457 have demonstrated considerable resilience over timescales commensurate with shoreline
458 evolution, resisting natural disturbances, and human impacts (Alongi, 2008).

459 Our multi-proxy late Holocene records show that, by the end of the first phase
460 (2075 cal yr BP), populations of *Avicennia* and *Rhizophora* had decreased substantially,
461 with only *Laguncularia* remaining in the area near the Barra Seca River mouth. We argue
462 that these floristic changes were most likely caused by relative sea-level fall and channel
463 migrations. These processes triggered successive changes in the salinity and grain size of
464 the sedimentary environment, thus destabilizing the system. According to Kathiresan and
465 Thangam (1990), fluctuations in the environment salinity have a more relevant effect on
466 mangrove species than a constant hypersalinity. Once a mangrove forest is established,
467 successive changes in salinity can lead to the death of adult individuals as they have less
468 plasticity than seedlings. This effect was observed in situations where there were changes
469 in the flood dynamics caused by changes in the mouth of a river (Tognella et al., 2006).
470 Our sedimentary profiles show that our study area experienced high energy in several
471 periods due to the presence of laminations of sand from the base towards the top of the
472 core, suggesting signifying fluctuations in the environment salinity. These variations
473 would have driven the disappearance of the genera *Avicennia* and *Rhizophora* from the
474 region near the Barra Seca River mouth. In contrast, the pioneer tree *Laguncularia* would
475 have been resilient to these salinity variations. In the upper part of the MBN core (phase
476 3), no sandy deposition pulses were observed, thus indicating a possible stabilization in

477 the system, which together with the decrease in RSL and greater continental influence,
478 decreased the salinity of the environment and allowed the reappearance of individuals of
479 *Rhizophora*.

480

481 **6. Conclusions**

482 This paper's multi-proxy data reveal the mangrove response to the relative sea-level fall
483 and sedimentary dynamics of the Doce River Delta region of Espírito Santo state,
484 southeastern Brazil in the late Holocene. Our results show that the mangrove may be
485 resilient to Atlantic sea-level fluctuations, but that the previous floristic composition of
486 the mangrove vegetation differed from what is currently present. Therefore, this study
487 resolves a key research question of widespread interest, revealing the mangrove response
488 to the sediment dynamics and sea-level fluctuations. Thus, mangrove ecosystems have
489 existed in the current coastal zone since at least ~2660 cal yr BP, with a dynamic history
490 which comprises three phases: 1°) an estuarine point bar/tidal flat with mixed mangroves
491 (~2660 until ~2050 cal yr BP), 2°) a tidal flat with *Laguncularia* mangroves (~2050 until
492 ~900 cal yr BP), and 3°) tidal flats with *Laguncularia* mangroves upstream and
493 establishment of *Rhizophora/Avicennia* mangroves at the mouth of the rivers (~900 cal
494 yr BP until present). The geochemical results indicate a dominance of C₃ terrestrial plants
495 with some influence of C₄ plants and organic matter of marine/estuarine origin along the
496 studied cores. The pollen data show that the mangroves in this region have been present
497 since at least ~2660 cal yr BP. *Laguncularia* and *Rhizophora* trees were the initial
498 pioneers in mangrove development, followed by *Avicennia*. Currently, mangroves in the
499 MBN area (Barra Nova estuary) are dominated by *Laguncularia*. *Rhizophora/Avicennia*
500 mangroves occur at the mouth of the rivers. Therefore, our data reveal changes in the

501 mangrove ecosystem due to a gradual relative sea-level fall during the late Holocene and
502 the sedimentary dynamics which resulted in the development of tidal flats and a mangrove
503 succession. The succession from a mixed mangrove community (*Rhizophora*,
504 *Laguncularia*, and *Avicennia*) to a monospecific mangrove community comprised only
505 of *Laguncularia* is likely due to differing tolerances of these taxa to variations in salinity,
506 sediment deposition associated with changes in sea-level and channel dynamics, and
507 immediate impact caused by disasters and/or human pollution.

508

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519

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838 **FIGURES/TABLES CAPTIONS**

839 **Fig. 1.** Study area: a) Barreiras Formation, and Pleistocene and Holocene deposits under
840 the influence of the Doce River Delta; b) topographic profile showing the differences
841 between the topographic elevations of the Quaternary deposits, Barreiras Group, and
842 crystalline basement; c) LI-34 core location showing geomorphological features such as
843 beach ridges, herbaceous plain, and mangrove vegetation developed in the Holocene
844 (Google Earth); d) MBN core location, showing herbaceous plain and mangroves
845 developed in the Holocene (Google Earth); e) the contact between mangrove and
846 herbaceous vegetation in the São Mateus River mouth; f) the contact between mangrove
847 and herbaceous vegetation in the Barra Seca River mouth; g) mangrove vegetation
848 composed mainly by *Rhizophora* and *Laguncularia* in the MBN area.

849 **Fig. 2.** Summary of the MBN sediment core, showing sedimentary features, ¹⁴C dating,
850 pollen data, and geochemical results.

851 **Fig. 3.** Summary of the LI-34 sediment core, showing sedimentary features, ¹⁴C dating,
852 pollen data, and geochemical results.

853 **Fig. 4.** Pollen diagram record for core MBN. Ecological group abundance is shown in
854 percentage of total pollen sum. Phase boundaries are marked by the red dotted lines.

855 **Fig. 5.** Pollen diagram record for core LI-34. Ecological group abundance is shown in
856 percentage of total pollen sum. Zone boundaries are marked by black dotted lines.

857 **Fig. 6.** Model of paleoenvironmental changes in the late Holocene. Sea level fall was
858 expressed in the figure according to previous studies (Martin et al., 1980; Milne and
859 Bassett, 2005; Angulo et al., 2006).

860 **Fig. 7.** Diagrams for core MBN (a) and core LI-34 (b) illustrating the relationship between
861 $\delta^{13}\text{C}$ vs. C:N for the different coastal organic matter sources during the late Holocene in
862 southeastern Brazil. Interpretation is according to data presented by Meyers (2003) and
863 Lamb et al. (2006).

864

865 **Table 1.** Data on sediment samples selected for ¹⁴C dating and results from cores MBN
866 and LI-34 (Doce River Delta) with cody site, laboratory number, depth, material, ages
867 ¹⁴C yr BP conventional, calibrated, and median (using Calib 7.1; Stuiver, et al., 2018).

868 **Table 2.** Species from the Doce River Delta and their $\delta^{13}\text{C}$ value.

869