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# **Evaluating Winter Precipitation over the Western Himalayas in a High-Resolution Indian Regional Reanalysis using multi-source climate datasets**

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## ABSTRACT

1  
2 Considerable uncertainties are associated with precipitation characteristics over the western  
3 Himalayan region (WHR). These are due to typically small-scale but high intensity storms caused  
4 by the complex topography which are und-er-resolved by a sparse gauge network. Additionally,  
5 both satellite and gauge precipitation measurements remain subject to systematic errors, typically  
6 resulting in underestimation over mountainous terrains. Reanalysis datasets provide prospective  
7 alternative but are limited by their resolution, which has so far been too coarse to properly resolve  
8 orographic precipitation. In this study, we evaluate and cross-compare Indian Monsoon Data  
9 Assimilation and Analysis (IMDAA), the first high-resolution (12 km) regional reanalysis over  
10 India, against various precipitation products during winter season over WHR. We demonstrate  
11 IMDAA's efficiency in representing winter precipitation characteristics at seasonal, diurnal,  
12 interannual scales, as well as heavy precipitation associated with western disturbances (WDs).  
13 IMDAA shows closer agreement to other reanalyses than to gauge-based and satellite products in  
14 error and bias analysis. Although depicting higher magnitudes, its fine resolution allows a much  
15 closer insight into localized spatial patterns and diurnal cycle, a key advantage over other datasets.  
16 Mean winter precipitation over WHR shows a significant decreasing trend in IMDAA, despite no  
17 significant trend in the frequency of WDs tracked in either IMDAA or ERA5. The study also  
18 exhibits the potential use of IMDAA for characterizing winter atmospheric dynamics, both for  
19 climatological studies and during WD activity such as localized valley winds. Overall, these  
20 findings highlight the potential utility for IMDAA in conducting monitoring and climate change  
21 impact assessment studies over the fragile western Himalayan ecosystem.

22 **Keywords:** Precipitation, Western Himalayas, IMDAA reanalysis, Western Disturbances

23

## 24 **1. Introduction**

25 North India receives one-third of its annual precipitation (Dimri *et al.*, 2016; Hunt *et al.*, 2018)  
26 during the winter season (December through March), in the form of snowfall and rainfall, primarily  
27 associated with synoptic-scale extra-tropical cyclonic systems, known as western disturbances  
28 (WDs) (Lang and Barros, 2004; Dimri and Mohanty, 2009; Yadav *et al.*, 2013; Dimri, 2013).  
29 These extra-tropical cyclonic storms typically originate over the Mediterranean region and travel  
30 eastward along the subtropical westerly jet (Dimri *et al.*, 2016, Hunt *et al.*, 2018). Moreover, winter  
31 WDs are further known to contribute to approximately 40-50% of the total annual precipitation  
32 over the western Himalayas (Madhura *et al.*, 2015; Cannon *et al.*, 2015; Krishnan *et al.*, 2019).  
33 Winter precipitation is an important source of irrigation for Rabi crops and plays a critical role in  
34 recharging the water resources in the northern plains as well as maintaining the snow cover of the  
35 western Himalayan glaciers, which feed major north Indian rivers (Yadav *et al.*, 2013; Dimri *et*  
36 *al.*, 2015). Accurate and precise measurement of precipitation is essential for studies involving  
37 monitoring and assessing climate change impacts (Hussain *et al.*, 2017). Most products, including  
38 gauge-based, satellite, and reanalysis datasets, often face difficulty estimating orographic  
39 precipitation over the Himalayas (Sun *et al.*, 2018), since the complex and steep orography of the  
40 Himalayas substantially modulates the spatio-temporal variability of regional precipitation on fine  
41 scales (Andermann *et al.*, 2011). Thus, reliable precipitation measurements for such complex and  
42 heterogeneous landscapes demand high-resolution datasets.

43 Conventionally, in-situ observational data – i.e. gauges – are considered to be one of the  
44 most reliable and accurate measurements for precipitation fields at a point scale (e.g. Wang *et al.*,  
45 2019). However, uncertainties resulting from measurement errors (e.g. Ye *et al.*, 2004), missing  
46 data, insufficient spatial and temporal coverage, etc. (Dahri *et al.*, 2021) offer significant

47 challenges for station-based data analysis. Furthermore, underestimation of precipitation is a key  
48 issue associated with rain gauges (e.g. Immerzeel *et al.*, 2015). This can be caused by instrument  
49 wetting, pre-measurement evaporation (WMO, 2008), and wind-driven under-catchment, which  
50 enhances up to 50 % during snowfall (Dahri *et al.*, 2018, Baudouin *et al.*, 2020). In addition, the  
51 network of in-situ observations over the WHR is quite sparse due to varying topography and land  
52 cover such as bare rocky surfaces where the possibility of natural hazards including rock falls,  
53 avalanches or glacial lake outburst floods create difficulties for gauge installation (e.g. Juen 2006;  
54 Carey 2010). Moreover, the spatial distribution of snow cover increases over the region during the  
55 winter season and data is missing when stations are buried under snow (e.g. Joshi and Ganju, 2010;  
56 Escher-Vetter *et al.*, 2012; Cullen and Conway, 2015; Choudhury *et al.* 2021). Moreover,  
57 conventional rain gauges struggle to measure snowfall accurately (Strangeways 2004). Such  
58 adverse conditions combined with large orographic variability make it difficult to set up and  
59 maintain a dense precipitation-gauge network. As a result, these gauges are generally placed at the  
60 foot of the mountains or in valleys, which are relatively drier than elevated regions (Singh and  
61 Kumar, 1997; Winiger *et al.* 2005; Dimri and Ganju 2007; Immerzeel *et al.*, 2015; Dahri *et al.*,  
62 2018), thus introducing additional uncertainties into gridded datasets, apart from the ones added  
63 due to interpolation. Overall, the discontinuity and insufficiency of available observational data  
64 reduces the representativeness of gridded observational precipitation products over the region and  
65 hinders the production of accurate precipitation estimates and subsequent climate change impact  
66 assessment studies over the area.

67 Various remotely sensed and reanalysis precipitation products have been used to  
68 compensate for these disadvantages. Often, however, these datasets differ considerably in their  
69 spatiotemporal resolution, making intercomparison challenging (Andermann *et al.*, 2011). Satellite

70 precipitation estimates are indirect and often associated with a large degree of variability (Sun *et*  
71 *al.*, 2018). Although precipitation retrieval techniques in satellite products have evolved a lot in  
72 recent decades (Maggioni *et al.*, 2016), the reliability and degree of precision for these datasets in  
73 mountainous regions are still questionable (e.g. Meng *et al.*, 2014; Xia *et al.*, 2015, Xu *et al.*, 2017).  
74 An underestimation of orographic precipitation is quite common in infrared (IR) retrievals, given  
75 their inability to capture light precipitation events. Detection of cold season orographic  
76 precipitation is also challenging for passive microwave retrievals (e.g. Derin and Yilmaz, 2014).  
77 In addition, satellite-based microwave retrievals of precipitation rates are inaccurate above snow  
78 cover (Derin *et al.*, 2016). Moreover, errors associated with sampling, geo-referencing, and applied  
79 algorithms lead to various uncertainties and affect the accurate estimation of precipitation at higher  
80 elevations (Hussain *et al.*, 2017). Besides, these products require rain-gauge calibration implying  
81 a dependence on the quality and density of station data (Baudouin *et al.* 2020), and thus associated  
82 discrepancy gets enhanced over orographic regimes like WHR, where station coverage is quite  
83 sparse. In addition, these gridded datasets are typically available only at relatively coarse  
84 resolutions, where the leeward and windward sides of mountain areas are generally embedded into  
85 a single gridbox, exacerbating the unrepresentative nature of and uncertainties associated with  
86 these measurements.

87 Reanalysis datasets provide prospective alternatives for estimating precipitation. These are  
88 produced by assimilating observations from a wide range of sources into numerical weather  
89 prediction models to generate atmospheric and surface fields. They provide significant advantages  
90 in terms of data consistency, homogeneity and coherency, which makes them suitable for  
91 atmospheric and climate research (Dee *et al.*, 2014). Globally, reanalysis datasets have been  
92 extensively used in precipitation studies owing to their homogeneous nature (Trenberth and

93 Guillemot, 1998; Bengtsson *et al.*, 2004; Bao and Zhang, 2013; Murakami 2014). However, the  
94 spatial resolution (more than tens of kilometres) of global reanalysis datasets is often inadequate  
95 for effectively capturing localized and regional precipitation distribution, specifically over  
96 complex topography, and thus relatively high-resolution regional reanalysis datasets are required  
97 to adequately represent regional hydroclimate (Wang *et al.*, 2019; Ashrit *et al.*, 2020).

98 High-resolution data is particularly important in regions with complex topography due to  
99 large spatial variability (Gampe *et al.*, 2017). Various studies have highlighted the enhancement  
100 of temporal and intensity-related variability associated with WDs over the Himalayan regions due  
101 to increased baroclinicity with adverse implications in terms of increased frequency and duration  
102 of extreme precipitation events (e.g. Madhura *et al.*, 2015; Midhuna *et al.*, 2020). Such events are  
103 often a result of supportive synoptic and mesoscale atmospheric conditions prevailing over the  
104 region and the interaction of other processes, including intraseasonal oscillations, local convective  
105 dynamics (Gouda *et al.*, 2018) and orographic forcing. The localized nature of these events hinders  
106 their accurate assessment in coarse resolution datasets. Therefore, high resolution datasets are  
107 crucial for precise understanding of extreme weather related hydrometeorological hazards such as  
108 landslides, avalanches and floods over complex topography.

109 Recently, the first high resolution (12 km) regional atmospheric reanalysis dataset, Indian  
110 Monsoon Data Assimilation and Analysis (IMDAA), focusing on the South Asian region, has been  
111 released (Rani *et al.*, 2021). This state-of-the-art reanalysis is generated by the National Centre for  
112 Medium Range Weather Forecasting (NCMRWF) in collaboration with the India Meteorological  
113 Department (IMD) and UK Met Office under the National Monsoon Mission project, Government  
114 of India. The reanalysis spans the modern meteorological satellite era (1979–present) and outputs  
115 atmospheric data at 63 vertical levels. This is now one of the highest resolution regional reanalyses



116 available over India, providing the important advantage of improved representation of orographic  
117 features. Ashrit *et al.* (2020) and Aggarwal *et al.* (2022) explored the efficiency of IMDAA in  
118 representing precipitation characteristics as well as atmospheric thermodynamics and circulation  
119 during the Indian summer monsoon, but wintertime precipitation characteristics are yet to be  
120 evaluated using IMDAA.

121         Some validation studies of different multi-source datasets have been carried out previously  
122 over the Himalayan region. Andermann *et al.* (2011) evaluated various precipitation datasets along  
123 the Himalayan front and reported a significant variation in performance among the evaluated  
124 datasets along the orography, however, the datasets show higher consistency, with respect to each  
125 other, along with the lower relief realms. Palazzi *et al.* (2013) showed that various category gridded  
126 precipitation datasets adequately captured the interannual variability of precipitation over the  
127 Hindukush-Karakoram region. Dahri *et al.* (2018) reported underestimation in the rain gauge  
128 measurements in the high-altitude Indus basin during the winter season and underpinned the  
129 necessity of bias adjustment to reduce errors. A cross-validation for different categories of  
130 precipitation datasets in the Indus River basin was performed by Baudouin *et al.* (2020), reporting  
131 a large difference in average precipitation between the rain gauge and the reanalyses, most likely  
132 resulting from opposite biases from both dataset types and not only from the reanalysis, as often  
133 suggested. While precipitation in ERA-Interim (ERA-I) is found to be well correlated with  
134 observational data across the Karakoram (Immerzeel *et al.*, 2015; Dahri *et al.*, 2016), Hussain *et*  
135 *al.* (2017) reported a poor spatial correlation for TRMM-3B42 with in-situ observations, however,  
136 an increase in the correlation was observed with decreasing temporal resolution from daily to  
137 monthly scale. Furthermore, it was found that the estimation of summer precipitation compared to  
138 winter precipitation in TRMM-3B42 was more accurate in the Hindu Kush-Karakoram Himalayan

139 region. The effect of elevation on the performance of gridded precipitation datasets has also been  
140 observed (Andermann *et al.*, 2011; Hussain *et al.*, 2017). A statistical performance evaluation  
141 study for different precipitation gridded datasets was conducted by Kanda *et al.* (2020), which  
142 highlighted the need for bias correction in different datasets over the WHR.

143 The present study focuses on evaluating winter precipitation variability using high  
144 resolution Indian reanalysis (IMDAA, 1979-2018) over diurnal, subseasonal, seasonal and  
145 interannual timescales across the WHR. In this study, we will cross-compare and validate the  
146 performance of IMDAA against a range of different precipitation datasets from various sources,  
147 including gridded observational data, satellite-based and reanalysis products. The results will then  
148 be contextualised by comparing the western Himalayan atmospheric dynamics in IMDAA and the  
149 fifth generation European Centre for Medium-Range Weather Forecasts (ECMWF) Reanalysis  
150 (ERA5) dataset (Hersbach *et al.*, 2018) during the winter monsoon, with an additional focus on  
151 the trend and variability of WDs.

## 152 **2. Data and Methods**

### 153 *a. Data*

154 Our study focuses on the validation of IMDAA using different categories of gridded precipitation  
155 datasets, discussed below, and summarized in Table 1, for the respective periods of their  
156 availability from 1979-2018 during the winter season (December to March) over the WHR (29°N-  
157 37.5°N and 72.5°E-80.5°E, see Figure 1a). The selection criteria for different categories of datasets  
158 in this evaluation study are the availability of long-term (~20 years) precipitation estimates, and at  
159 least daily temporal resolution, which is consistent with the availability period of IMDAA. The  
160 types of datasets evaluated in the present study include reanalysis products, satellite and gridded

161 observational datasets, as well as combinations thereof. We also use various atmospheric fields  
162 from IMDAA and ERA5 for the assessment of wintertime meteorological conditions.

### 163 **1) Gauge-based Datasets**

164 Three commonly used daily precipitation datasets generated through the interpolation of only rain-  
165 gauge measurements have been utilised in our study. IMD precipitation data (0300 UTC-0300  
166 UTC) provides daily gridded rainfall (Pai *et al.*, 2014) generated from a dense network of 6955  
167 rain gauge stations with varying periods of availability. Daily rainfall estimates are interpolated  
168 from gauges to a  $0.25^\circ \times 0.25^\circ$  grid, following Shepard (1968). However, the station network suffers  
169 from low spatial coverage of gauges over the western Himalayan belt, with almost non-existent  
170 stations over the rugged terrains of the upper Himalayan and Karakoram ranges. We selected  
171 another gauge-based daily precipitation product (0000 UTC-0000 UTC) provided by the Climate  
172 Prediction Centre (CPC) of the National Oceanic and Atmospheric Administration (NOAA) which  
173 is constructed from a global gauge-network of around 30,000 stations (Xie *et al.*, 2007; Chen *et*  
174 *al.*, 2008). However, limited rain-gauge stations have been considered from the WHR. Covering  
175 an extended period of over 50 years, the Asian Precipitation Highly-Resolved Observational Data  
176 Integration towards Evaluation of Water Resources (APHRODITE) dataset provides long-term  
177 daily precipitation data (0000 UTC-0000 UTC) generated from a dense network of in-situ rain  
178 gauges (5000–12000 stations), interpolated at a resolution of  $0.05^\circ$  with an orographic correction  
179 for precipitation, and further re-gridded to  $0.25^\circ \times 0.25^\circ$  resolution using area-weighted mean  
180 (Yatagai *et al.*, 2012). Our study combines APHRO\_V1101 (1951–2007) and its extended version  
181 APHRO-V1101EX\_R1 (2007–2015) to obtain long term precipitation records over the region,  
182 following previous literature (Ji *et al.*, 2020; Guan *et al.*, 2020; Lalande *et al.*, 2021; Liaqat *et al.*,  
183 2021; Phung *et al.*, 2021).

## 184 **2) Reanalysis Datasets**

185 Precipitation estimates in different reanalyses can vary from each other based on the assimilation  
186 scheme used, the underlying model (including parameterizations) and assimilated observations.  
187 The Indian Monsoon Data Assimilation and Analysis (IMDAA) is a high resolution (12km)  
188 regional atmospheric reanalysis over the South Asian region, developed by NCMRWF in  
189 collaboration with UK Met Office and IMD. IMDAA obtains lateral boundary conditions from  
190 ERAI and precipitation estimates are generated by the Unified atmospheric model and the four-  
191 dimensional variational (4D-Var) data assimilation technique, which assimilates various  
192 conventional and satellite observations from the ECMWF, NCMRWF and IMD archives,  
193 including surface observations (land and ocean), aircraft data, upper air observations from  
194 radiosondes and pilot balloons. However, no precipitation measurements are assimilated in  
195 IMDAA. For further information, the reader is referred to Rani *et al.* (2021). Our study utilizes  
196 IMDAA-generated precipitation at 0000 UTC. The dataset provides advantages in better  
197 representation of orographic features owing to its high resolution.

198 We also used a state-of-the-art global reanalysis dataset, ERA5 (0000 UTC-0000 UTC),  
199 developed by ECMWF with a new version of their NWP model (IFS Cycle 41r2; Hersbach *et al.*,  
200 2020). Using 4D-Var, ERA5 assimilates observations from the ECMWF data archive, National  
201 Centers for Environmental Prediction (NCEP), as well as other conventional datasets such as ISPD  
202 and ICOADS, satellite observations, and precipitation measurements. ERAI, an older ECMWF  
203 reanalysis product (Dee *et al.*, 2011) and predecessor of ERA5 has also been used in this study,  
204 but only for tracking WDs since it is used to provide lateral boundary conditions in IMDAA. In  
205 addition, NCEP's Climate Forecast System Reanalysis (CFSR, 0000 UTC-0000 UTC) is used,  
206 which provides precipitation estimates using a coupled atmosphere–ocean model comprising the

207 Global Forecast System and the Geophysical Fluid Dynamics Laboratory Modular Ocean Model  
208 (Saha *et al.*, 2010, 2014).

209 Another reanalysis used for validation is the Modern-Era Retrospective Analysis for  
210 Research and Applications version-2 (MERRA-2, 0000 UTC-0000 UTC), published by the  
211 National Aeronautics and Space Administration (NASA)'s Global Modeling and Assimilation  
212 Office. This reanalysis is generated using the Goddard Earth Observing System Model-5, which  
213 assimilates various land surface and satellite observations. The advanced data assimilation  
214 techniques used in MERRA-2 provide an advantage over topographic regions with sparse gauges,  
215 though an underestimation for winter seasonal precipitation has been reported (Hamal *et al.*, 2020).

### 216 **3) Satellite and Merged Datasets**

217 Our study also utilises five satellite datasets. The widely used Tropical Rainfall Measuring Mission  
218 (TRMM)-Multi-Satellite Precipitation Analysis (0300 UTC-0300 UTC), is developed through a  
219 collaboration between NASA and Japan's National Space Development Agency. It combines  
220 precipitation data from various satellite instruments (TRMM Microwave Imager, Precipitation  
221 Radar, Visible and IR Scanner, Special Sensor Microwave Imager), blended with geostationary IR  
222 data, with further calibration using monthly gauge data (Huffman *et al.*, 2007).

223 We also used the Precipitation Estimation from Remotely Sensed Information using  
224 Artificial Neural Networks-Climate Data Record (PERSIANN-CDR) developed by NOAA in  
225 collaboration with the Centre for Hydrometeorology and Remote Sensing, University of  
226 California, Irvine. The precipitation estimates (0000 UTC-0000 UTC) are generated by applying  
227 the PERSIANN algorithm on GridSat-B1 IR satellite data followed by a training of the artificial  
228 neural network using NCEP Stage IV hourly precipitation data and finally calibrating and  
229 adjustment of biases is done using the Global Precipitation Climatology Project (GPCP) monthly

230 precipitation dataset (GPCPv2.2). Daily precipitation records are also produced by GPCP Version  
231 1.3 (0000 UTC-0000 UTC), from the World Climate Research Programme, by merging estimates  
232 from IR, microwave, and sounder data of precipitation-related satellites and gauge-based analyses.

233 A merged high-resolution satellite product, Integrated MultisatellitE Retrievals (V3) for  
234 Global Precipitation Measurement (GPM), generates precipitation estimates (0000 UTC-0000  
235 UTC) by the day-1 IMERG algorithm, through intercalibrating, merging, and interpolating  
236 microwave and IR estimates of GPM satellite constellation with gauge-based observational data  
237 (Huffman *et al.*, 2015). The data is specifically useful over regions with a lack of ground-based  
238 precipitation-measuring instruments.

239 Lastly, we also use the Climate Hazards Group InfraRed Precipitation with Station data  
240 (CHIRPS). This is a merged, daily, land-only precipitation product (0000 UTC-0000 UTC) by the  
241 U.S. Geological Survey in association with Earth Resources Observation and Science Centre and  
242 is prepared by merging  $0.05^{\circ} \times 0.05^{\circ}$  and  $0.25^{\circ} \times 0.25^{\circ}$  resolution satellite IR cold cloud duration  
243 measurements with in-situ gauge observations.

## 244 *b. Methodology*

### 245 1) EVALUATION INDICES

246 Generally, precipitation datasets are utilised without any modifications or prior adjustments for  
247 analysing localized precipitation patterns, and it has been now established that the spatial  
248 resolution strongly affects dataset performance (Zandler *et al.*, 2019). Moreover, interpolation  
249 techniques can generate substantial variations and uncertainties in the computed statistical metrics,  
250 especially when precipitation is considered over a complex region. Therefore, we choose to include  
251 this information in our evaluation, since the focus is on whether there are advantages offered by  
252 the high resolution of IMDAA. We thus compare different datasets in their native resolutions

253 without any interpolation, similar to previous literature (e.g. Dinku *et al.*, 2008; Liu *et al.*, 2015;  
254 Beck *et al.*, 2017; Bayissa *et al.*, 2017; Zandler *et al.*, 2019). Different statistical metrics, including  
255 mean, standard deviation, coefficient of variation and pattern correlation coefficient (PCC), have  
256 been computed to understand how the representation of regional precipitation varies between  
257 datasets. All the metrics have been calculated for a common time period (2000–2015), using  
258 regionally averaged seasonal mean precipitation at the native spatial resolution of each product,  
259 except PCC, for which datasets have been re-gridded using bilinear interpolation to IMDAA’s  
260 resolution. We also investigate the total number of heavy precipitation events per year exceeding  
261 selected percentile (90<sup>th</sup>, 95<sup>th</sup> and 99<sup>th</sup>) thresholds in each dataset. The number of events has been  
262 counted by considering precipitation estimates from all grids between the period 2000-2015,  
263 therefore, the datasets have been re-gridded here to a common resolution of 0.25°, to avoid  
264 additional counts from higher resolution datasets.

265 To quantify IMDAA’s skill, we further computed different skill scores of the model  
266 agreement with the data, using area-averaged (though at original spatial resolution) daily time  
267 series of precipitation in different datasets for a common time period 2000-2015. The definitions  
268 for commonly known evaluation indices such as correlation coefficient, root mean square  
269 difference (RMSD), mean absolute difference (MAD) and BIAS have been summarized in the  
270 Appendix, except for less widely used metrics including index of agreement (IOA), adjusted R-  
271 squared, and pattern correlation coefficient (PCC), which are discussed below.

#### 272 (i) *Index of agreement*

273 Index of agreement (IOA) is widely used to measure how well model-produced estimates simulate  
274 observed data (Willmott 1981) and thus has been used here to quantify the similarity between  
275 IMDAA and other datasets (Gebregiorgis *et al.*, 2018). It is defined as:

276 
$$IOA = 1 - \frac{\sum_{i=1}^n (x_i - y_i)^2}{\sum_{i=1}^n (|y_i - \bar{x}| + |x_i - \bar{x}|)^2} ,$$

277 Where, n is the total number of observations (daily time series of precipitation),  $y_i$  are the values  
278 for target dataset (i.e., IMDAA) and,  $x_i$  and  $\bar{x}$  are the values and mean for the corresponding  
279 evaluation dataset, respectively.

280 *(ii) Adjusted R-squared*

281 Adjusted R-squared is a statistical measure to indicate the amount of variance in the dependent  
282 variable by the regression model in the population by replacing biased estimators with their  
283 unbiased counterparts (Karch 2020). It considers the number of variables in the predictor  
284 (IMDAA) and penalizes the supplementary explanatory variables by adjusting the degrees of  
285 freedom while estimating the error variance. It provides the statistical quantification of variability  
286 amounts associated with a dataset compared to a reference dataset with an adjustment for bias.  
287 Additional details have been provided in the Appendix.

288 *(iii) Pattern Correlation Coefficient (PCC)*

289 PCC is the Pearson product-moment coefficient of linear correlation between two variables with  
290 values at corresponding locations on two different maps (Anand *et al.*, 2018).

291 2) CLIMATOLOGY, VARIABILITY AND TRENDS

292 The spatial distribution of seasonal (DJFM) winter precipitation climatology and variability in all  
293 datasets, considering their respective native spatial resolution and temporal availability between  
294 1979–2018 over the study region has been investigated. Full available time periods for each dataset  
295 between 1979-2018 have been considered for the evaluation of the geographical distribution of  
296 precipitation estimates, since spatial patterns of precipitation tend to be less affected by the



297 averaging period. We further analysed spatial patterns of seasonal mean precipitation differences  
298 in IMDAA with respect to different datasets, after rescaling all datasets to the grid used in IMDAA.  
299 The spatial patterns on trends of seasonal mean precipitation are computed through seasonal mean  
300 precipitation series at each grid point for different precipitation products for a common period of  
301 35 years (1984–2018), at their native spatial resolutions, using the non-parametric Mann-Kendall  
302 test (Roxy *et al.*, 2015). The datasets with at least an available time period of 35 years have been  
303 selected for spatial trends considering that at least 30 years or more is a minimal period to provide  
304 considerable climatological trend information. To investigate the interannual variability of the  
305 seasonal mean precipitation, standardized precipitation anomalies over the WHR have been  
306 utilized to categorize excess and deficit precipitation years, considering the standardized anomalies  
307 exceeding  $\pm 0.5$ , respectively.

### 308 3) IDENTIFICATION OF WDs

309 The tracking of WDs over the study region was carried out using the WD tracking algorithm from  
310 Hunt *et al.* (2018). The algorithm involves the computation of mean relative vorticity in the 450–  
311 300 hPa layer followed by filtration of short-wavelength noise using the desired spectral truncation  
312 (T63 and T42) and further identification of positive-definite vorticity regions to determine the  
313 centroid locations for each candidate WD. The linkage of these centroids in time, with steering  
314 wind-biased distance constraints, allows the identification of potential WD tracks. Lastly, the  
315 database is refined by rejecting those tracks which do not pass-through north India ( $20^{\circ}\text{N}$ – $36.5^{\circ}\text{N}$ ,  
316  $60^{\circ}\text{E}$ – $80^{\circ}\text{E}$ ), last less than 48 hours, or dissipate at a point westward of their genesis. WDs were  
317 tracked in IMDAA (1980–2018) and ERA5 (1979–2018) at spectral truncations of T42 and T63  
318 and in ERAI (1979–2015) at T63. The interannual frequency of identified winter WDs was  
319 compared for IMDAA (T42 and T63), ERA5 (T42 and T63) and ERAI to analyse the interannual

320 variability of WDs at seasonal scale. ERAI is used as a lateral boundary condition in both IMDAA  
321 (Rani *et al.*, 2021) thus, ERAI tracks have also been considered to analyse the frequency of WDs.  
322 The T63 ERAI tracks are identical to those used in Hunt *et al.* (2018).

#### 323 4) WINTER ATMOSPHERIC DYNAMICS

324 In order to evaluate the performance of IMDAA in capturing the mean circulation features during  
325 the winter season, the climatology of various atmospheric variables such as upper-level winds (200  
326 hPa), outgoing longwave radiation (OLR), geopotential heights (200 hPa, 500 hPa, 850 hPa) and  
327 2-m air temperature have been compared with ERA5. Further, the fidelity of IMDAA in simulating  
328 localized dynamics associated with WD activity over the WHR has been examined through a case  
329 study for an intense WD which affected WHR during 16–19 February 2003 and caused widespread  
330 precipitation over the region.

### 331 3. Results and Discussion

#### 332 *a. Climatology and Variability*

333 The spatial distribution of multi-year seasonal mean precipitation in different gridded datasets over  
334 WHR is presented in Figure 1 and regionally averaged seasonal precipitation estimates, including  
335 mean and variability for a common temporal period (2000–2015) are provided in Table 2. Table 2  
336 also details the pattern correlations and the number of heavy precipitation events (exceeding the  
337 90th, 95th, and 99<sup>th</sup> percentiles) during the common period. Considerable heterogeneity for mean  
338 winter precipitation amounts and variability is evident among different categories of datasets  
339 (Table 2), with all reanalyses (IMDAA, ERA5, CFSR, MERRA-2) showing higher magnitudes  
340 than the satellite products (GPCP, TRMM, PERSIANN, CHIRPS, IMERG) and gauge-based  
341 datasets (IMD, CPC, APHRODITE). IMDAA, with a mean of 2.38 mm/day and standard deviation

342 of 0.74 mm/day (Table 2), shows a good agreement overall with the other reanalyses ERA5 and  
343 CFSR, albeit with a slightly higher magnitude. Among the reanalyses, MERRA-2 has the lowest  
344 mean and largest variability, which might be associated with the use of dry-biased CPC data for  
345 surface precipitation flux (Reichle *et al.*, 2017; Baudouin *et al.*, 2020). The realistic representation  
346 of the mean amounts of winter precipitation of IMDAA is evident through its observed similarity  
347 to the ERA5 reanalysis, which has been revealed to perform best with observations in the  
348 surrounding upper Indus Basin in previous studies (Baudouin *et al.*, 2020; Dahri *et al.*, 2021). It is  
349 evident that all reanalyses, including IMDAA, are wetter and exhibit large spatial variability  
350 compared to other types of datasets. Among the observational datasets, mean precipitation in  
351 IMDAA is closest to IMD, followed by APHRODITE (Table 2), whereas it shows largest  
352 differences compared to CPC. Insufficient observations and uncertainties arising from  
353 interpolation techniques generally lead to dry biases in observational datasets (e.g. Dahri *et al.*,  
354 2021). An overall general agreement within the satellite data category can be observed. However,  
355 IMDAA shows an overestimation compared to satellite products, the exception being IMERG with  
356 higher resolution. The precipitation amount and variability for IMERG is highest among satellite  
357 datasets, much closer to IMDAA. As satellite observations generally exhibit higher accuracy for  
358 convective precipitation over flat terrains (Ebert *et al.*, 2007; Baudouin *et al.*, 2020), their  
359 usefulness is limited over the elevated western Himalayan terrain that typically receives more  
360 stratiform precipitation (Fig. S2).

361         Wide discrepancies in the geographical seasonal mean precipitation patterns (Fig. 1) among  
362 the different categories of datasets can be seen, though with an agreement across the regions of the  
363 highest precipitation – the lower Himalayas and foothills. As indicated by the statistics (Table 2),  
364 IMDAA stands much closer to all reanalyses compared to other data categories in depicting

365 regional spatial precipitation patterns (Fig. 1), having lower spatial precipitation differences with  
366 IMDAA (Fig. 2). The IMD observational dataset shows a similar spatial distribution of  
367 precipitation magnitude in the lower Himalaya and the western Karakoram range, but considerable  
368 discrepancies are noticeable along the foothills and in eastern Ladakh (Fig. 1), where the IMD data  
369 set has a marked positive difference compared to all other data sets (Fig. 2). Since IMD includes  
370 almost no measurements over these locations (Kishore *et al.*, 2016), the precipitation estimates  
371 here are derived from extrapolation of higher values downslope, resulting in higher precipitation  
372 estimates. Relatively wetter patterns (Fig. 1) and a large positive difference are seen in IMDAA  
373 (Fig. 2) compared to APHRODITE and CPC, both of which rely on the WMO Global  
374 Telecommunication System, which covers few observations in WHR, generally collected from  
375 stations present over dry valley locations in the study region, thus, additionally suffering from  
376 under-catchment of solid precipitation at higher altitudes (Palazzi *et al.*, 2013; Dahri *et al.*, 2018).  
377 This makes the validation of IMDAA precipitation over this region using gauge-based datasets  
378 challenging. IMDAA exhibits a larger magnitude of precipitation than all the satellite datasets  
379 (Table 3, Fig. 2), with the smallest differences over the foothills in IMERG, which has a similar  
380 resolution to IMDAA. However, it can be noted from the previous studies (Dahri *et al.*, 2021;  
381 Baudouin *et al.*, 2020) that precipitation from satellite products exhibit drier biases over the region.  
382 Various uncertainties in terms of interpolation algorithms, calibration limitations due to poor  
383 coverage of rain-gauge measurements, and inability to accurately retrieve orographic precipitation  
384 have been denoted as causes for the same (Hussain *et al.*, 2017). However, differences in  
385 precipitation magnitude are mainly found over lower Himalayas and foothills in IMDAA with all  
386 datasets (Fig. 2). These regions receive maximum precipitation during winter, thus, such  
387 differences highlight discrepancies and limitations associated with available data over the region.

388 Higher pattern correlations (Table 2, Fig. 1) between IMDAA and all other datasets are observed,  
389 least being for GPM-IMERG, indicating an agreement on the spatial patterns of seasonal mean  
390 precipitation for IMDAA with other datasets, even though significant differences in magnitudes  
391 are present. Additionally, the spatial patterns of solid precipitation distributions in IMDAA are  
392 similar to independent MODIS-satellite snow cover fractions (Fig. S6).

393         Summing up, the gridded products provide inconsistent precipitation amounts over the  
394 region, but agree on the areas with the highest precipitation. Since different dataset categories are  
395 generated with different input data and dissimilar developmental methods, presence of such similar  
396 signals relates to the depiction of actual situation (Baudouin et al., 2020). Unlike satellite and  
397 gauge-based products, reanalysis products are developed with different data assimilation  
398 techniques and distinct atmospheric models (Ghodichore *et al.*, 2018) and are known to provide a  
399 better depiction of frontal system precipitation in the winter season, specifically over high  
400 elevations (Dahri et al., 2021; Beck et al., 2019), compared to other two product categories which  
401 generally underestimate precipitation in such cases. IMDAA performs well in simulating regions  
402 with precipitation maxima and allows a much closer look at the localized precipitation distribution  
403 over the region. However, reanalyses generally tend to produce higher precipitation magnitudes  
404 along with a depiction of larger variability, which in fact is highest in IMDAA, highlighting a key  
405 limitation of the current IMDAA reanalysis.

406         Adjusted- $R^2$  values (Table 3) are computed for area-weighted daily precipitation values  
407 during 2000-2015 and significance has been tested using Mann-Kendall test at a confidence level  
408 of 95%. These also underpin the observed discrepancies among different datasets over the study,  
409 with large variation for values observed over the panels of different datasets. Here, we carry out a  
410 cross-validation by examining the association between individual datasets by using each dataset

411 as a reference. Highest agreements are observed within the reanalyses; however, MERRA-2 shows  
412 lesser association supporting the negative differences observed earlier (Table 2). Observational  
413 datasets IMD and CPC also show good agreement with each other, however, APHRODITE  
414 diverges from the group and is also the dataset showing the least association with all other  
415 categories too. Satellite products are in good agreement with each other and generally with datasets  
416 from other categories too, except for MERRA-2 and APHRODITE; both of which also have lower  
417 fitness magnitudes with all other datasets. The reanalyses, IMDAA, ERA5 and CFSR, depict a  
418 significant relationship with all the datasets, specifically with each other and IMD. Considering  
419 that reanalyses and observations generally rely on different data sources and developmental  
420 methods, a significant relationship among these is a sign of quality of the datasets (Baudouin *et*  
421 *al.*, 2020), thus, implying the reliability of their precipitation estimates.

422         The total number of heavy precipitation events per winter season exceeding the 90<sup>th</sup>, 95<sup>th</sup>,  
423 and 99<sup>th</sup> percentiles (considering the wide range of discrepancies for precipitation amounts across  
424 different datasets) considering all grid locations for each dataset during the period 2000-2015 have  
425 also been evaluated (Table 2). For each dataset (re-gridded to common resolution of 0.25°), the  
426 precipitation values during the common period are collapsed into a single vector to which the  
427 percentile thresholds computed from area-averaged daily precipitation time series (2000-2015) are  
428 applied and totals counts exceeding the thresholds are selected. It is to be noted that since large  
429 uncertainties remain over the region regarding precipitation amounts, we use the percentile  
430 thresholds rather than actual values. Overall, the obtained counts indicate close agreement of  
431 IMDAA with all reanalyses. However, the number of events in MERRA-2 have lower counts  
432 compared to other reanalyses at all three thresholds. An overall agreement can be observed within  
433 the satellite category among TRMM, CHIRPS and PERSIANN-CDR, exceptions being IMERG

434 and GPCP (highest and lowest resolution data among satellite products, respectively), though  
435 slight overestimation in IMDAA with respect to all satellite datasets (except IMERG) can be  
436 observed. However, gauge-based datasets exhibit a sharp disagreement among each other and with  
437 respect to other data categories too for all percentiles, with APHRODITE showing a sharp  
438 overestimation as compared to all the evaluated datasets, including IMDAA.

439         The wintertime precipitation over the WHR comprises both snowfall and rainfall, with  
440 snowfall constituting almost 80% of the total observed precipitation (e.g. Krishnan *et al.*, 2019).  
441 Therefore, the differences between mean rainfall and snowfall in IMDAA and ERA5 have also  
442 been analyzed. Compared to ERA5, IMDAA shows higher amount of snowfall (~3 mm/day) over  
443 the Greater Himalayas and some regions of the Lower and Karakoram Himalayas (Fig. S1). The  
444 variation in rainfall between IMDAA and ERA5 shows larger variations in IMDAA over some  
445 regions of lower Himalayas. Along the foothills, the differences in rain and snow often have  
446 different signs, implying that local temperature differences play a role.

447         The analysis of interannual variability patterns of seasonal mean winter precipitation in  
448 different datasets has been used to identify pluvial and dry years (Fig. 3). IMDAA is able to  
449 reproduce the pluvial and deficit precipitation years, corresponding well with other datasets. All  
450 reanalyses as well as PERSIANN-CDR and IMD datasets depict an enhancement in the frequency  
451 of dry periods in recent years. IMDAA, along with other datasets, agrees that 2001 was one of the  
452 driest years. Decadal variability is evident in most of the analyzed datasets, which might be  
453 attributable to forcing by large scale tropical climate drivers such as Arctic Oscillation, North  
454 Atlantic Oscillation and Pacific Decadal Oscillation (e.g. Roy 2006). Overall, the interannual  
455 variability of wet and dry years in IMDAA is in good agreement with the other datasets,  
456 particularly IMD and ERA5.

457 *b. Trends*

458 Seasonal mean precipitation trends over the study region as a whole (Fig. 4i-k) reveals close  
459 agreement among reanalyses, depicting similar values of mean and decreasing trend – although  
460 IMDAA is the only one among them in which that trend is significant at the 95% confidence level  
461 (Mann-Kendall test). IMDAA agrees with the gauge-based dataset IMD, with a significant  
462 negative trend, but the trends for remaining gauge- and satellite-based datasets are not significant  
463 and disagree with each other even on their sign. The spatial patterns of these trends computed over  
464 a common period of 35 years (1984–2018) for eight datasets (Fig. 4a-h) corroborate the area-  
465 weighted trends in the region. Most of the datasets agree on a negative trend over WHR, which is  
466 strongest in IMDAA, IMD, and CFSR, but there is disagreement in terms of regional trends in  
467 both the CHIRPS and CPC datasets, which are both predominated by positive trends. It is worth  
468 noting that the observed negative trend for seasonal winter precipitation in most of the datasets  
469 well agrees with the station based decreasing trends by Shekhar *et al.* (2010, 2017) who found  
470 decreasing precipitation rates at different point stations over the region, confirming the reliability  
471 of IMDAA data for trend analysis studies. The trend is statistically significant along the foothills  
472 and lower Himalayan belt in IMDAA; mostly over western Jammu and Kashmir and western  
473 Ladakh in CFSR; and in IMD, over eastern Himachal Pradesh and eastern and central Ladakh.  
474 ERA5 agrees strongly with IMDAA on the spatial focus of negative trend, but without any  
475 statistical significance. In contrast, positive precipitation trends observed in CPC and CHIRPS  
476 show disagreement on where those trends are significant, and PERSIANN-CDR and MERRA-2  
477 have regions of both significant positive and negative precipitation trends but disagree with each  
478 other on the sign of those trends. A strong positive and statistically significant precipitation trend  
479 is visible in CPC over Himachal Pradesh and Uttarakhand, whereas CFSR shows a strong yet



480 statistically insignificant trend is observed over some regions of eastern Jammu and Kashmir. The  
481 decreasing spatial and temporal trends in the majority of the evaluated datasets support the earlier  
482 observed increasing frequency of dry periods in recent years. Earlier, Dimri and Dash (2012)  
483 observed a decreasing trend of winter precipitation over the WHR, and a decrease in the winter  
484 snowfall has also been reported by Shekhar *et al.* (2010). Overall, the diversity in winter  
485 precipitation trends among datasets is largely due to methodological constraints associated with  
486 respective dataset development.

### 487 *c. Seasonal cycle of Precipitation*

488 A fine representation of seasonal variability in any dataset holds key significance as the seasonal  
489 cycle of precipitation has important dynamical implications. Therefore, we also investigated the  
490 seasonal cycle of winter precipitation over the study region by comparing area-averaged daily  
491 climatology in all datasets for a common period of 2000-2015 for their individual spatial  
492 resolutions (Figure 5). All reanalyses, including IMDAA, are in close agreement with each other  
493 on the seasonal evolution of precipitation, with very little differences observed at daily  
494 climatological scales. However, although gauge-based observations show differences in  
495 magnitude, the representation of subseasonal variability is quite similar, with a notably stronger  
496 agreement between IMD and IMDAA, compared to other gauge products. IMDAA overestimates  
497 the magnitude of the seasonal cycle relative to all the satellite products, with least differences with  
498 respect to IMERG, however, they do agree with each other on the form of the seasonal cycle.

499 Summarising so far, IMDAA is in agreement with all other reanalysis datasets, particularly  
500 ERA5. All datasets agree that the heaviest precipitation falls in February and the weakest is in  
501 December. Furthermore, the results reveal that all reanalyses and the gauge-based IMD dataset  
502 produce similar patterns of regional daily precipitation variability.

503 *d. Skill Scores*

504 Various statistical skill scores (Table 4) have been computed for area-weighted daily time series  
505 of precipitation for statistical evaluation of IMDAA in comparison to other datasets. IMDAA  
506 shows a good correlation with all the datasets ( $r > 0.6$ ), with the highest correlations found among  
507 the reanalysis products ( $r > 0.9$ ), followed by gridded observational datasets IMD, CPC and satellite  
508 datasets ( $r > 0.7$ ). IMDAA again depicts the lowest correlation with APHRODITE among all  
509 datasets, in agreement with earlier computed statistics. Higher correlations among independent  
510 datasets with different data sources and generation methods, are indicators of better precipitation  
511 estimates in both the datasets (Baudouin *et al.*, 2020), thus good correlations obtained for IMDAA  
512 highlight the reliability of its area-mean precipitation estimates. Overall, IMDAA has IOA values  
513 closer to 1 with most datasets, with the highest values among reanalyses, supported by low RMSD,  
514 MAD and bias with respect to ERA5 and CFSR, but MERRA-2 again diverges from the group.  
515 IMDAA has an index of agreement greater than 89% with all reanalyses, around 71% with satellite  
516 products, and greater than 70% with gridded gauge-based datasets. IMDAA shows relatively larger  
517 positive differences (following Fig. 2) and higher error magnitudes with the gauge-based and  
518 satellite datasets. A strong agreement between IMDAA and IMD is evident through all indices,  
519 including highest correlation (0.90) and lowest RMSD, MAD and mean difference (bias). Indeed,  
520 IMDAA shows positive differences in comparison to all the datasets, supporting the earlier  
521 observed higher magnitudes of precipitation in IMDAA over other datasets (Fig. 2).

522 Fig. 6 shows the spatial maps of observed point-to-point correlation for daily precipitation  
523 climatology between IMDAA and each of the other datasets for 2000–2015 at each grid point,  
524 after regridding all datasets to a common spatial resolution of IMDAA. We see a high degree of  
525 point-to-point correlation for all reanalyses over the majority of the region, with slightly lower

526 correlation with MERRA-2 over the Himalayan foothills. IMDAA shows a comparatively weak  
527 pointwise correlation with many of the satellite datasets – TRMM, IMERG and GPCP; however,  
528 the pointwise correlation is better between with PERSIANN-CDR and CHIRPS over the upper  
529 Himalayan ranges. Among the observational products, IMDAA has a good correlation with IMD  
530 and CPC over most of the study region, although some divergence from the group is evident in  
531 APHRODITE, verifying the earlier obtained statistical metrics. It is promising that the region of  
532 greatest agreement, especially among the reanalyses, is along the western Himalayas – implying  
533 the existence of a common dynamical source of precipitation (e.g. WDs) in this region to which  
534 the reanalyses respond relatively robustly; although it is also possible that this agreement is due to  
535 common sources of biases between the reanalyses, such as the interaction between parameterised  
536 convection and the orography.

#### 537 *e. Diurnal cycle of Precipitation*

538 The diurnal cycle of different types of precipitation is one of the fundamental aspects of variability  
539 but it is often neglected in validation studies. For those datasets with hourly data, we can also  
540 compare their representation of the diurnal cycle of winter precipitation over the study region (Fig.  
541 7). Realistic representation of the diurnal cycle of precipitation in reanalyses is often challenging  
542 due to their reliance on convective parameterization, which typically causes precipitation to occur  
543 too early in the day (Dirmeyer *et al.*, 2012). Among the four reanalyses considered here, IMDAA  
544 performs best when compared with IMERG, capturing the early morning maximum along much  
545 of the western Himalayas and relatively consistent late afternoon peak over the plains south of the  
546 Himalaya, both of which are missed to at least some degree by the other reanalyses. IMDAA  
547 struggles to simulate the correct diurnal cycle over Tibetan Plateau, with the peak occurring near  
548 local midnight rather than the local noon seen in IMERG or mid-afternoon in the other reanalyses.

549 This is a region where much of the precipitation occurs in the form of stratiform from the  
550 underlying model (Fig. S2), and thus generated by the microphysics scheme. Given, however, that  
551 the peaks in both stratiform and convective precipitation (Fig. S3) appear mistimed here (at least  
552 compared with ERA5), this may be a result of IMDAA assimilating far fewer observations outside  
553 of India, rather than problems with the model physics. Analysis of precipitation contribution by  
554 convective and stratiform fractions reveal a dominance by stratiform precipitation over the  
555 Karakoram and Greater Himalayas in both IMDAA and ERA5, whereas the lower Himalayas  
556 experience a mixed proportion of both types of precipitation (Fig. S2). The Himalayan foothills  
557 and the plains observe more contribution through convective precipitation.

#### 558 *f. Western Disturbances*

559 WDs are upper-level synoptic-scale cyclonic perturbations in the subtropical jet and are the  
560 primary contributors of wintertime precipitation over the WHR. About 80% of observed winter  
561 precipitation here occurs during days when a WD is active (Midhuna *et al.*, 2020), with the  
562 remaining 20% typically being contributed by local scale convective systems. Given the general  
563 agreement (Fig. 4) among datasets, including IMDAA, that winter precipitation is declining, and  
564 that a large majority of winter precipitation occurs on WD days, we might ask whether the two are  
565 linked by a decline in WD frequency. To test this, WDs were tracked in both ERA5 and IMDAA  
566 datasets, using two values of spectral truncation (T42 and T63) to account for potential differences  
567 in feature size. The trends of tracked WD frequency in these four datasets, along with an additional  
568 set of T63 tracks computed using ERAI for Hunt *et al.* (2018), are shown in Figure 8(a). All  
569 datasets show a weak and insignificantly increasing trend of winter WDs in the recent decades. An  
570 agreement in the pattern of timeseries is observed for coarser grid resolution (T42), but with  
571 differences in respective magnitude. The comparatively finer resolution truncation (T63) shows a

572 similarity in patterns for most years but IMDAA generally has more interannual variability  
573 compared with ERA5. ERAI also has a similar interannual pattern, but with a reduced count  
574 compared to the higher resolution reanalyses. The weaker, insignificant increase for WD frequency  
575 indicates that seasonal occurrences of WDs has been almost constant during the last few decades,  
576 or that the effect of interannual variability in WD frequency is much higher than the long-term  
577 trends. Generally, the trend significance is measured against internal variability, the fact that the  
578 trend fails a significance test indicates that the linear trend is weak compared to interannual  
579 variability. Moreover, the standard deviation of the seasonal totals is clearly larger than the trend.  
580 However, significant decreasing trend of seasonal mean precipitation has been observed (Fig. 4).  
581 Similar results have been reported by Shekhar *et al.* (2010), concluding that seasonal (November-  
582 April) occurrences of WDs (1984/85-2007/08) have less effect on snowfall patterns over the  
583 western Himalayas, however, a decreasing trend for number of snowfall days was observed in their  
584 study. On the contrary, Cannon *et al.* (2015) reported an increase in WD occurrences in the region,  
585 while Madhura *et al.* (2015) highlighted an increase in the interannual variability of WD frequency  
586 in recent decades. Our results highlight the challenges that remain in determining recent trends in  
587 WD activity, a fact which is highlighted by disagreement between earlier studies.

588 Further, we carried out the analysis of WD-day precipitation composites (Fig. 8b-p) which  
589 show that IMDAA performs well in capturing spatial precipitation details when compared with  
590 ERA5, though the two reanalyses have higher magnitudes when compared with IMD. IMD shows  
591 slight variations in terms of precipitation amount and location, which could be a result of the sparse  
592 gauge density over the Himalayas. There is some variation across the season, with all three datasets  
593 agreeing that February sees the heaviest WD-day precipitation and December the lightest, in  
594 agreement with the results for the climatology discussed earlier.

595 WD-associated seasonal and monthly precipitation fractions have been plotted by dividing  
596 the total precipitation observed during WD-days by the total seasonal precipitation over the study  
597 region. The results reveal that 60–90% of wintertime precipitation over the Himalayan region can  
598 be attributed to WD activity (Fig. 9), in agreement with previous studies (e.g. Hunt *et al.*, 2019).  
599 IMDAA shows a strong agreement with IMD and ERA5, but the higher resolution of IMDAA  
600 provides the advantage of a more detailed look into the localized precipitation fractions over the  
601 domain, revealing elongated structures orientated northwest-southeast. These features are parallel  
602 to the orography and have maximum values on the southern side of local ridges, consistent with  
603 WDs bringing moisture flux from the southwest and orographic forcing from regional topography.  
604 The attributable fractions for rain and snow (Fig. S4) in IMDAA and ERA5 reveal the dominance  
605 of snowfall over the Greater and Karakoram Himalayas, whereas rainfall being the main observed  
606 form of precipitation during wintertime over the lower Himalayas and foothills. IMDAA is able to  
607 capture the localized variations in WD attributed precipitation percentages owing to its high  
608 resolution, whereas ERA5 shows a comparatively homogeneous pattern.

609 *g. Evaluation of dynamical and thermodynamic conditions*

610 As we have seen, winter precipitation over the WHR is primarily associated with WDs. As they  
611 are embedded in the large scale sub-tropical westerly jet (200 hPa), WD activity depends  
612 significantly on its position and intensity (Krishnan *et al.*, 2019). A comparison of winds at 200  
613 hPa for IMDAA with ERA5 shows that IMDAA is realistic in capturing the wintertime subtropical  
614 westerly jet (SWJ) over the region (Fig. 10g-i). However, IMDAA produces a weaker SWJ over  
615 WHR (Fig. 10i). An assessment of winter-mean climatological conditions of 2-m air temperature  
616 (Fig. 10a-c), OLR (Fig. 10d-f) and geopotential heights (Fig. S5) in IMDAA compared to ERA5  
617 has been carried out. IMDAA captures the spatial patterns of temperature and OLR over WHR

618 and shows potential in finely representing these dynamical features compared to ERA5. However,  
619 IMDAA shows warmer temperatures (statistically significant differences) over the lower  
620 Himalayas and foothills extending up to northeastern Himalayas and slightly colder temperatures  
621 over some western regions of Greater Himalayas, central Tibetan plateau and WHR compared to  
622 ERA5 (Fig. 10c). During winter, low OLR values are noticeable over WHR in both IMDAA (Fig.  
623 10d) and ERA5 (Fig. 10e). Generally, lower magnitudes of mean OLR are observed over the region  
624 during winter as compared to other seasons owing to the influence of convective activity and cloud  
625 formation, although climatological mean OLR is also lower here due to the higher underlying  
626 orography. IMDAA exhibits slightly higher OLR magnitudes than ERA5 along the south-eastern  
627 Ladakh region and over the Himalayan foothills, extending up to the northeastern Himalayas. The  
628 patterns of mean geopotential height (Fig. S5) at different pressure levels over the WHR during  
629 the winter season seem to be well represented in both IMDAA and ERA5 with slightly higher  
630 magnitudes in IMDAA over WHR. To sum up, IMDAA is capable of representing seasonal mean  
631 dynamical and large-scale circulation patterns during winter.

#### 632 *h. Case Study for western disturbance over WHR*

633 As one of the potential major advantages of the higher resolution of IMDAA is its ability to better  
634 capture local orographically-driven dynamics, we also explore the representation of such dynamics  
635 during the passage of WD over WHR. Here, this is accomplished through analysis of a case study  
636 for an intense WD that occurred during 16-19 February 2003, which affected WHR and caused  
637 widespread precipitation over the region. Overcast skies and enhanced cloud cover associated with  
638 deeper convective activity are key features observed during the passage of WD over WHR (e.g.  
639 Rao and Rao 1971), which is noticeable in satellite imagery from NASA EOSDIS (Fig. 11a-d).  
640 IMDAA is realistically representing the daily evolution of the total cloud cover associated with

641 the intense WD over WHR (Fig. 11e-h), further supported by abrupt negative OLR anomalies (Fig.  
642 11i) during the period indicating increased atmospheric convection. This convective activity plays  
643 an essential role in developing secondary circulations during the propagation of WDs and results  
644 in heavy localized precipitation over the WHR (e.g. Dimri *et al.*, 2016; Hunt *et al.*, 2019). Our  
645 results demonstrate the ability of high resolution IMDAA in representation of realistic evolution  
646 of the WD induced cloud cover patterns compared to remotely sensed observations.

647 Furthermore, the analysis of regional valley wind systems during the given period has been  
648 carried out to validate the representation of local scale circulation patterns in IMDAA compared  
649 to ERA5, which highlights the advantages offered by its high resolution. Figure 12 shows the  
650 spatial and temporal patterns of local scale 10-m wind patterns at two different valley sites (the  
651 Suru valley in Jammu and Kashmir and the Spiti Valley in Himachal Pradesh) before, during, and  
652 after the passage of WD over WHR. IMDAA (Fig 12b) shows high fidelity in capturing the local  
653 scale circulation features at the two valley sites, correctly capturing the maximum intensity of  
654 winds during the in contrast to the more spatially homogeneous ERA5 wind speed.

655 The temporal evolution of these valley winds during the passage of WD is shown for both  
656 valley sites in Fig. 12g-h, where an abrupt increase in magnitudes of valley wind speed is observed  
657 on 16 February at both locations. This variability is captured in IMDAA, but not in ERA5 where  
658 the wind speed is roughly constant during the passage of the WD. Additionally, the effect of the  
659 WD passing over the study region is dynamically characterized by an increase of the local  
660 minimum temperature (Fig. 12i-j) and a drop in the surface pressure (Fig. 12k-l), which  
661 corroborates previous studies (e.g. Rao and Rao, 1971; Singh *et al.*, 2019). Overall, it is clear that  
662 IMDAA shows high fidelity in representing local scale wind responses associated with WD  
663 activity over WHR, a key advantage offered by its high resolution.



664 **4. Summary and Conclusions**

665 This study evaluated winter precipitation and its variability and trends in the recently introduced  
666 high resolution reanalysis IMDAA using various gridded, satellite, and reanalysis datasets over  
667 the western Himalayan region between 1979–2018, as per their respective period of availability.  
668 Based on the findings, the following conclusions can be made:

- 669 1. IMDAA captures the spatial variability of winter precipitation over the western Himalayas  
670 well, on both seasonal and interannual scales, and has climatological precipitation statistics  
671 that are very similar to the other reanalyses considered. However, it shows higher  
672 precipitation amounts compared to other datasets along the lower Himalayas and foothills.
- 673 2. IMDAA agrees with the other reanalysis datasets in showing a slight decline in winter  
674 precipitation over the western Himalayas over recent decades, though it is the only  
675 reanalysis dataset in which that trend is significant, in accordance with trends reported in  
676 earlier studies in individual station data (Shekhar *et al.*, 2010, 2017). The IMD gauge-based  
677 precipitation dataset also has a significant decline over the same period, but no other gauge-  
678 based or satellite products have significant trends when averaged over the whole western  
679 Himalayan region.
- 680 3. Tracking of WDs carried out in both ERA5 and IMDAA reanalyses showed a weak  
681 insignificant increase in frequency over the study period (1979–2018). This agrees with  
682 previous reanalysis-based studies; however, several observation-based studies have  
683 reported a recent decline in WD frequency. Our results, thus, highlight the challenges that  
684 remain in determining recent trends in WD activity over the region, making it an important  
685 area of further work.

686 4. IMDAA shows potential in reproducing climatological winter circulation patterns and  
687 surface conditions. However, the validation of high-resolution features such as valley wind  
688 speeds and local dynamics during the passage of WDs over the region strongly emphasizes  
689 advantages offered by IMDAA's high resolution compared to ERA5. The dataset showed  
690 high potential in representing more localized ridge- and valley-scale features, offering a  
691 better characterization of regional dynamics.

692 In summary, we acknowledge that low density of gauges and complex orography leads to high  
693 discrepancies and uncertainties in the available data products over WHR. Overall, reanalyses  
694 – including IMDAA – suffer from precipitation overestimation likely owing to errors in the  
695 representation of parameterized convection. However, the findings in this study emphasize the  
696 benefits provided by the high-resolution model and output of IMDAA, including  
697 understanding the complex interplay between terrain and mountain meteorology over WHR,  
698 even with its higher precipitation magnitudes. The reanalysis shows high fidelity in simulating  
699 local-scale dynamics as well as large scale circulation features responsible for winter  
700 precipitation over the region. Such findings strongly underpin the capabilities of IMDAA in  
701 exploring the winter monsoon and its variability and in analysing the meteorological precursors  
702 of precipitation extremes. Overall, IMDAA, despite its amplified magnitudes, is useful for  
703 precipitation climatology, interannual variability and synoptic meteorology over WHR,  
704 however, is still unable to capture diurnal peak precipitation realistically over the Tibetan  
705 Plateau. Even with a resolution of  $0.12^\circ$  (~12 km), IMDAA still does not adequately resolve  
706 regional orography, and this is likely to continue to result in discrepancies in precipitation  
707 magnitude over the region.

708           Moreover, large uncertainties remain in understanding the spatio-temporal precipitation in  
709           the WHR due to limited observations and thus, it becomes hard to obtain benchmark  
710           precipitation trends, as well as verification of spatial precipitation amounts in IMDAA. Further  
711           work is needed to constrain historical trends in winter precipitation in this region and link those  
712           trends to changes in synoptic-scale activity, such as western disturbances. Finally, we note that  
713           high-resolution simulations cannot replace ground-based in-situ observations and the lack of  
714           gauge data over such terrains with high spatial variability adds challenges for accurate  
715           precipitation measurements, which can be tackled through increased coverage of in-situ  
716           stations over the region.

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730 **Data Availability Statement**

731 All the data used in this study is publicly available and accessible. IMDAA data is available on the  
732 RDS NCMRWF portal at <https://rds.ncmrwf.gov.in/datasets>. ECMWF fifth generation (ERA5)  
733 data can be accessed through [https://www.ecmwf.int/en/forecasts/datasets/reanalysis-](https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era5)  
734 [datasets/era5](https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era5). NCEP Climate Forecast System data is provided at <https://cfs.ncep.noaa.gov/cfsr>  
735 and MERRA-2 is available at <https://gmao.gsfc.nasa.gov/reanalysis/MERRA-2>. The gauge-based  
736 datasets can be accessed from  
737 [https://www.imdpune.gov.in/Clim\\_Pred\\_LRF\\_New/Grided\\_Data\\_Download.html](https://www.imdpune.gov.in/Clim_Pred_LRF_New/Grided_Data_Download.html) (IMD),  
738 <https://psl.noaa.gov/data/gridded/data.cpc.globalprecip.html> (CPC) and  
739 <http://aphrodite.st.hirosaki-u.ac.jp/products.html> (APHRODITE). GPCP satellite data is available  
740 at <https://www.ncei.noaa.gov/products/climate-data-records/precipitation-gpcp-daily> and  
741 TRMM-3B42 at <https://disc.gsfc.nasa.gov/datasets/>. The remaining datasets used in the study are  
742 publicly accessible at <https://www.chc.ucsb.edu/data> (CHIRPS),  
743 <https://gpm.nasa.gov/data/directory> (GPM-IMERG) and <https://chrsdata.eng.uci.edu/>  
744 (PERSIANN-CDR), respectively. WD days during DJFM over the study region are quantified  
745 based on Indian Daily Weather Reports (IDWR) issued by the IMD.

746

747 **APPENDIX**

748 *Evaluation indices*

749 The quantitative assessment of IMDAA in comparison to different datasets was carried out using  
750 a series of statistical indicators and skill scores for the daily time series of winter precipitation. The  
751 indices used for statistical evaluation of the performance of IMDAA with other datasets are

752 discussed below where  $n$  is the total number of observations (daily time series of precipitation),  $x_i$   
 753 depicts the value for respective evaluation dataset,  $y_i$  depicts the value for IMDAA dataset,  $\bar{x}$  is  
 754 the mean for evaluation dataset values and  $\bar{y}$  is the mean for IMDAA dataset values.

755 Pearson's correlation coefficient ( $r$ ) is a quantitative measure of strength of linear  
 756 agreement between two datasets which ranges from -1 to +1, with positive values indicating a  
 757 positive correlation and vice versa.

$$758 \quad r = \frac{\sum_{i=1}^n (x_i - \bar{x})(y_i - \bar{y})}{\sqrt{\sum_{i=1}^n (x_i - \bar{x})^2 \sum_{i=1}^n (y_i - \bar{y})^2}} \quad (1)$$

759  
 760 The Root Mean Square Difference (RMSD) is a measure of overall difference associated with  
 761 residuals in a predictor dataset in comparison to the validation dataset, whereas relative RMSD  
 762 (rRMSD) is a normalized variant of RMSD with respect to the mean of the validation dataset.  
 763 Lower values of RMSD and rRMSD indicate stronger association between datasets.

$$764 \quad RMSD = \sqrt{\frac{\sum_{i=1}^n (x_i - y_i)^2}{n}} \quad (2)$$

$$765 \quad RMSD_{rel} = \frac{RMSD}{\bar{x}} * 100 \quad (3)$$

766 Mean Absolute Difference (MAD) is a measure of the accuracy of a predictor dataset in terms of  
 767 average magnitude of errors present in the predictions in comparison to the validation dataset.  
 768 rMAD is a normalized variant of MAD.

$$769 \quad MAD = \frac{1}{n} \sum_{i=1}^n |x_i - y_i| \quad (4)$$

$$770 \quad rMAD = \frac{MAD}{\bar{x}} * 100 \quad (5)$$

771 BIAS is the quantitative measure to define the tendency of underestimation or overestimation in a  
772 dataset with respect to a validation dataset where the negative values indicate underestimation and  
773 vice versa.

$$774 \quad BIAS = \frac{1}{n} \sum_{i=1}^n (y_i - x_i) \quad (6)$$

$$775 \quad rBIAS = \frac{BIAS}{\underline{x}} * 100 \quad (7)$$

776 Adjusted R-squared is defined as:

$$777 \quad Adj. R^2 = 1 - (1 - R^2) \frac{n-1}{n-k-1}$$

778 where, k is the number of independent variables and n is the number of observations.

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