

On the effect of historical SST patterns on radiative feedback

Article

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48 Abstract

- 49 We investigate the dependence of radiative feedback on the pattern of sea-surface temperature
- 50 (SST) change in fourteen Atmospheric General Circulation Models (AGCMs) forced with observed
- 51 variations in SST and sea-ice over the historical record from 1871 to near-present. We find that over
- 52 1871-1980, the Earth warmed with feedbacks largely consistent and strongly correlated with long-
- 53 term climate sensitivity feedbacks (diagnosed from corresponding atmosphere-ocean GCM abrupt-
- 54 4xCO2 simulations). Post 1980 however, the Earth warmed with unusual trends in tropical Pacific
- 55 SSTs (enhanced warming in the west, cooling in the east) and cooling in the Southern Ocean that
- 56 drove climate feedback to be uncorrelated with and indicating much lower climate sensitivity than
- 57 that expected for long-term CO₂ increase. We show that these conclusions are not strongly
- 58 dependent on the AMIP II SST dataset used to force the AGCMs, though the magnitude of feedback
- 59 post 1980 is generally smaller in nine AGCMs forced with alternative HadISST1 SST boundary
- 60 conditions. We quantify a 'pattern effect' (defined as the difference between historical and long-
- 61 term CO₂ feedback) equal to 0.48 \pm 0.47 [5-95%] W m⁻² K⁻¹ for the time-period 1871-2010 when the
- AGCMs are forced with HadISST1 SSTs, or 0.70 \pm 0.47 [5-95%] W m⁻² K⁻¹ when forced with AMIP II
- 63 SSTs. Assessed changes in the Earth's historical energy budget agree with the AGCM feedback
- 64 estimates. Furthermore satellite observations of changes in top-of-atmosphere radiative fluxes since
- 65 1985 suggest that the pattern effect was particularly strong over recent decades but may be waning
- 66 post 2014.

67 1. Introduction

68 1.1. Background

- 69 A common starting point for quantifying the sensitivity of the Earth's climate to external
- perturbations is consideration of the global-mean energy budget, $N = F + \lambda T$, where N is the net
- 71 downward radiative flux at the top-of-atmosphere (TOA) (units W m⁻²), *F* the effective radiative
- forcing (ERF, units W m⁻²), λ the climate feedback parameter (units W m⁻² K⁻¹, a negative number in
- this paper, but the opposite convention is also used) and *T* the surface-air-temperature change
- 74 (units K) relative to an unperturbed steady state in which N=F=0. Applied to non-steady states, such
- 75 as the Earth's historical record (since the 1800s), λ is determined via either (i) differences (denoted
- by Δ) between two climate states (often present-day and pre-industrial) according to $\lambda = (\Delta N \Delta N)$
- ΔF)/ ΔT (e.g. Gregory et al., 2002; Otto et al., 2013; Sherwood et al., 2020), or (ii) regression in the
- 78 differential form $\lambda = d(N F)/dT$ if the timeseries of N, F and T are known (Gregory et al. 2004;
- 79 Gregory et al. 2020).
- 80 Until recently it was often assumed that λ was to a good approximation a constant property of the
- 81 climate system, such that feedbacks that applied over the historical record also applied to the
- 82 Earth's long-term response, as quantified by the canonical equilibrium climate sensitivity (ECS, units
- 83 K) to a forcing from a doubling of CO_2 (F_{2x}) over pre-industrial levels. Thus ECS was estimated directly
- 84 from historical changes in *N*, *T* and *F*, according to ECS = $-F_{2x}/\lambda = -F_{2x}\Delta T/(\Delta N \Delta F)$ (e.g. Gregory et
- 85 al, 2002; Otto et al., 2013, amongst many others).
- 86 However, it is now recognised that λ varies in time since a forcing is applied and with the strength
- and/or type of that forcing (e.g. Senior and Mitchell, 2000; Hansen et al., 2005; Andrews et al. 2012;
- 88 Armour et al., 2013; Geoffroy et al., 2013; Rose et al. 2014; Gregory et al. 2015; Andrews et al. 2015;
- 89 Marvel et al. 2016; Rugenstein et al. 2016; Richardson et al., 2019; Dong et al. 2020; Bloch-Johnson
- 90 et al., 2021; Rugenstein and Armour, 2021). Hence λ is an 'effective feedback parameter' that applies
- 91 only to the climate change over which it was calculated. More specifically, over the historical record
- 92 λ is thought to be more stabilizing (more negative, climate sensitivity smaller) than might operate in
- 93 the long-term future, and so λ estimated from historical climate change would understate ECS (e.g.
- 94 Gregory and Andrews, 2016; Zhou et al., 2016; Armour, 2017; Proistosescu & Huybers, 2017;
- 95 Andrews et al., 2018; Marvel et al., 2018; Silvers et al., 2018; Lewis and Curry, 2018; Gregory et al.
- 96 2020; Sherwood et al. 2020; Dong et al. 2021).
- 97 The reason for the underestimate of long-term ECS is that climate feedbacks setting λ , such as cloud
- 98 and lapse-rate changes, vary with the pattern of surface warming. Proxy reconstructions of past
- 99 equilibrium climates and atmosphere-ocean general circulation model (AOGCM) simulations of long-
- 100 term climate change show an 'ENSO-like' temperature pattern with strong temperature changes in
- 101 the eastern Pacific as well as the Southern Ocean, whereas observed historical warming shows more
- 102 pronounced warming in the western equatorial Pacific relative to the tropical mean and cooling in
- 103 the eastern Pacific and Southern Ocean over recent decades (e.g. Collins et al., 2013; Li et al., 2013;
- 104 Andrews et al., 2015; Gregory and Andrews, 2016; Zhou et al., 2016; Dong et al., 2019; Sherwood et
- al., 2020; Rugenstein et al. 2020; Olonscheck et al., 2020; Fueglistaler and Silvers, 2021; Watanabe et
- 106 al. 2021; Power et al. 2021; Tierney et al. 2019; 2020).
- 107 Thus, more-stabilizing feedbacks have occurred over the historical record because enhanced
- 108 warming in the western Pacific warm pool a region of deep ascent and convection results in a
- 109 stronger negative lapse-rate feedback widely across the tropics due to efficient warming of the free
- 110 troposphere, which in turn causes increased cloudiness (a negative cloud feedback) in the eastern

- 111 tropical Pacific due to remotely controlled increased lower tropospheric stability. In contrast, less-
- 112 stabilizing feedbacks are expected in the future as enhanced warming in the eastern Pacific –
- 113 characterised by descending air and marine low cloud decks which are capped under a temperature
- 114 inversion and form over the relatively cool sea-surface-temperatures (SSTs) results in a positive
- cloud feedback, without an accompanying negative lapse-rate feedback since the warming is
- 116 'trapped' in the boundary layer (e.g. Zhou et al., 2016, Andrews and Webb, 2018, Ceppi and Gregory,
- 117 2017; Dong et al. 2019).
- 118 The dependence of radiative feedback on the pattern of surface temperature change has been
- 119 termed a 'pattern effect' (Stevens et al., 2016), which distinguishes it from other feedback variations
- 120 that might occur for example as a function of the magnitude of ΔT (e.g. Block & Mauritsen, 2013;
- 121 Caballero and Huber, 2013; Bloch-Johnson et al., 2021). While the term 'pattern effect' could be
- 122 applied to any change in SST pattern and associated change in radiative feedback, here we will use it
- 123 to mean (unless explicitly stated) the pattern effect that arises due to the difference in warming
- 124 pattern between historical climate change and long-term ECS.
- 125 Armour (2017) and Andrews et al. (2018) proposed a method to account for the pattern effect in
- 126 estimates of ECS derived from historical climate changes via a modification of the energy budget
- 127 approach. Their method requires an estimate of the difference in feedback, $\Delta\lambda$, due to the pattern
- 128 effect that arises between historical climate change and long-term ECS, so that ECS=- $F_{2x}/(\lambda_{hist} + \Delta \lambda)$,
- 129 where λ_{hist} is the historical value. Since $\Delta\lambda$ is found to be positive, it increases the best estimate of
- 130 ECS and substantially lifts the upper uncertainty bound, but has only a small impact on the lower
- bound (Armour, 2017; Andrews et al., 2018; Sherwood et al. 2020).
- 132 One way of defining the pattern effect, $\Delta\lambda$, is to contrast λ_{hist} in an Atmospheric GCM (AGCM)
- simulation forced by observed historical SST and sea-ice variations (termed an *amip-piForcing*
- 134 simulation, see Section 2) with λ_{4xCO2} from 150 years of a coupled AOGCM *abrupt-4xCO2* simulation
- 135 with the same AGCM, so that $\Delta \lambda = \lambda_{4xCO2} \lambda_{hist}$ (Andrews et al. 2018). Hence our quantification of $\Delta \lambda$
- 136 not only depends on λ_{hist} but also on the (somewhat arbitrary) time frame and method used to
- 137 calculate λ_{4xCO2} . Ideally we would use the feedback parameter directly associated with ECS rather
- 138 than λ_{4xCO2} , but this is difficult to calculate in AOGCMs due to the millennial timescales required to
- equilibrate the deep ocean. Hence feedbacks calculated from 150 years of *abrupt-4xCO2* are often
- 140 used as a surrogate for long-term ECS feedbacks (Andrews et al., 2012). Technically this is still an
- 141 'effective feedback parameter' and associated 'effective climate sensitivity' (EffCS), rather than
- 142 definitive ECS, but in practice it is found to provide a suitable analogue for long-term feedbacks in
- climate projections (Grose et al., 2018) and ECS (Sherwood et al. 2020), hence the distinction
- between EffCS and ECS is not considered further (see Rugenstein et al. (2020) and Rugenstein and
- 145 Armour (2021) for further discussion).
- 146 We assume other impacts on λ , such as the nature of the forcing agent so called 'efficacies'
- 147 (Hansen et al., 2005; Marvel et al. 2016; Richardson et al., 2019) primarily occur due to forcing-
- 148 specific impacts on historical SST patterns that will be included in the historical record, rather than
- 149 any dependence on the actual forcing agent concentration in the atmosphere (which will be
- 150 excluded in our design, because forcing levels are fixed at pre-industrial levels in *amip-piForcing*)
- 151 (Haugstad et al., 2017). On the other hand, *abrupt-4xCO2* experiments contain larger warming than
- 152 the historical record, so any state dependence on *T* (e.g. Block & Mauritsen, 2013; Caballero and
- 153 Huber, 2013; Bloch-Johnson et al., 2021) might erroneously be diagnosed as a pattern effect using
- 154 our method. Bloch-Johnson et al. (2021) estimated that λ might vary with T by ~ +0.029 W m⁻² K⁻²
- 155 (multi-model-mean) in step CO₂ experiments relative to pre-industrial level temperature feedbacks,
- but with substantial uncertainty in the both the magnitude and in some cases even the sign of this

- 157 state dependence (model range -0.14 to 0.109 to W m⁻² K⁻²). While this may play some role in our
- 158 diagnosed $\Delta\lambda$, we assume it to be small since both Gregory and Andrews (2016) and Andrews and
- 159 Webb (2018) showed that the pattern effect is large in experiments with identical *T* but contrasting
- 160 historical and *abrupt-4xCO2* SST patterns.

161 The principal advantage of using *amip-piForcing* simulations in the calculation of the pattern effect is that λ_{hist} will be consistent with the SST patterns that occurred over the historical record. In contrast, 162 163 one could use AOGCM historical simulations for λ_{hist} , but when AOGCMs are free to simulate their 164 own historical SST patterns they struggle to reproduce the observed recent decadal trends in 165 tropical Pacific SST patterns (Gregory et al. 2020; Fueglistaler and Silvers, 2021; Watanabe et al. 166 2021; Dong et al., 2021) and the associated magnitude of λ_{hist} , thus underestimating the pattern 167 effect (Gregory et al., 2020; Dong et al. 2021). This AOGCM bias in the pattern effect has important 168 implications, which we return to in the Discussion, but our focus in this manuscript is on the 169 historical pattern effect as simulated by AGCMs given the observed SSTs, thus avoiding the issue of 170 AOGCM biases in historical SST patterns. Note that while our focus is on the atmospheric response 171 to a given SST pattern, causality can work in both directions. For example cloud feedback has been 172 shown to have an impact on the pattern of tropical Pacific SST changes in models (Chalmers et al.,

173 2022).

174 *amip-piForcing* simulations also show multi-decadal variations in λ_{hist} (Gregory and Andrews 2016;

- The al., 2016; Andrews et al., 2018; Fueglistaler and Silvers, 2021; Dong et al. 2021). In particular
- 176 λ_{hist} is generally most negative (pattern effect largest) over the most recent decades. This is because
- variations in atmospheric feedback are well explained by changes in SSTs in regions of tropical deep
- convection relative to the tropical-mean (Fueglistaler and Silvers, 2021) or global-mean (Dong et al.
 2019). Since the late 1970s, regions of deep convection have warmed by about +50% more than the
- 180 tropical-mean (Fueglistaler and Silvers, 2021), and the eastern Pacific has cooled despite
- 181 temperatures increasing globally (e.g. Hartmann et al. 2013; Power et al. 2021; and see our Figures 4
- and 9). Hence under this configuration of tropical Pacific SST change, we would expect negative
- 183 feedback from the mechanisms described above (e.g. Zhou et al., 2016, Andrews and Webb, 2018,
- 184 Ceppi and Gregory, 2017; Dong et al. 2019).
- 185 A limitation of the *amip-piForcing* experiment for quantifying λ_{hist} is that it may include a structural 186 dependence on the underlying SST patterns and sea-ice in the Atmospheric Model Intercomparison
- 187 Project (AMIP) II boundary condition data set (Gates et al., 1999; Hurrell et al., 2008; Taylor et al.,
- 188 2000) used to force the *amip-piForcing* simulations (Andrews et al., 2018; Lewis and Mauritsen,
- 189 2021; Zhou et al., 2021; Fueglistaler and Silvers, 2021). Different SST reconstructions have slightly
- 190 different patterns of SST change over the historical period, and λ_{hist} may be affected. Indeed Lewis
- and Mauritsen (2021) and Fueglistaler and Silvers (2021) showed that warming in the tropical
- 192 western Pacific relative to the tropical-mean is less pronounced in other SST datasets, and so we
- 193 might expect less negative feedbacks ($\Delta\lambda$ less positive) if the AGCMs were forced with non-AMIP II
- 194 datasets.
- 195 Consistent with this expectation, Andrews et al. (2018) noted that in one AGCM the magnitude of
- 196 λ_{hist} was reduced by ~ 0.2 W m⁻² K⁻¹ when the AMIP II SSTs were replaced by HadISST2.1 SSTs (sea-ice
- remaining unchanged) in an *amip-piForcing* simulation. Partly because of this, Sherwood et al.
- 198 (2020) and Forster et al. (2021) assessed the historical pattern effect to be smaller and more
- 199 uncertain ($\Delta\lambda = 0.5 \pm 0.5 \text{ W m}^{-2}$) than simply taking the *amip-piForcing* based model distribution
- 200 reported by Andrews et al. (2018) ($\Delta\lambda$ = 0.64 ± 0.40 W m⁻²). Subsequently, Lewis and Mauritsen
- 201 (2021) and Zhou et al. (2021) also found λ_{hist} to be less negative ($\Delta\lambda$ smaller) when using other SST
- 202 datasets than AMIP II used in *amip-piForcing* simulations discussed here.

203 1.2. Aims and motivating questions

- And rews et al. (2018) provides much of the published quantitative analysis on λ_{hist} to observed SST
- 205 patterns and $\Delta\lambda$, but only six AGCMs from only four different modelling centres were considered.
- 206 Hence, a first motivation of this manuscript is to revisit their numbers with a broader set of models
- 207 by utilizing the new *amip-piForcing* simulations from the Cloud Feedback Model Intercomparison
- 208 Project phase 3 (CFMIP, Webb et al. 2017) contribution to the Coupled Model Intercomparison
- 209 Project phase 6 (CMIP6, Eyring et al., 2016). The larger ensemble totalling 14 models when
- $210 \qquad \text{combined will provide a more robust quantification of the magnitude and spread of λ_{hist} and $\Delta \lambda$ to a Δ
- broader set of model physics and climate sensitivities (Zelinka et al. 2020; Meehl et al. 2020; Flynn
- and Mauritsen, 2020).
- 213 Secondly, the limited set of models in Andrews et al. (2018) prevented them from robustly exploring
- and quantifying the relationship between λ_{hist} and λ_{4xCO2} across models. In other words, it is not
- 215 known whether feedbacks acting over the historical record in AGCMs are correlated to feedbacks
- 216 acting on long-term ECS. For example is there a relationship between the two that could form the
- 217 basis of an emergent constraint? Do different parts of the historical record relate better to
- 218 feedbacks acting on long-term ECS than other parts, and why? As we will show, feedbacks over
- 219 different parts of the historical record have different relationships to λ_{4xCO2} , and this is important for
- 220 understanding what can and cannot be directly constrained from the historical record.
- 221 Thirdly, λ_{hist} and $\Delta\lambda$ have been shown to vary substantially on decadal timescales with λ_{hist} being most
- 222 negative (pattern effect largest) over recent decades since ~1980 (Gregory and Andrews 2016; Zhou
- et al., 2016; Andrews et al., 2018; Gregory et al. 2020; Dong et al. 2021). This is consistent with the
- findings of Fueglistaler and Silvers (2021), who identified ~1980 as the point in which the Earth
- begins to warm with a particular (even "*peculiar*") configuration of tropical Pacific SSTs where
- 226 *"regions of deep convection warm about +50% more than the tropical average"* driving large
- 227 negative cloud feedbacks. Hence we are motivated to separate λ_{hist} and $\Delta\lambda$ into a 'before' and 'after'
- 228 1980. This separation leads into our next motivating question.
- 229 Fourthly, are observations of recent decadal warming and TOA radiative fluxes since the 1980s in
- 230 $\,$ agreement with the strongly negative λ values simulated by the AGCMs? If so, what would such a
- 231 strongly stabilizing feedback parameter (and large pattern effect) in the presence of a substantial
- rate of observed global warming (~0.19 K dec⁻¹, Tokarska et al., 2020) imply for the efficiency of
- 233 ocean heat uptake and is there any relationship between them? Are any of these relationships
- affected by the most recent data in which Loeb et al. (2020; 2021) identified a marked change in the
 Earth's radiation budget associated with the 2015/2016 El Niño event and a change in sign in the
- Earth's radiation budget associated with the 2015/2016 El Niño event and a change in sign in the
 Pacific Decadal Oscillation (PDO) index. Such a shift in tropical Pacific SST patterns (a shift to
- 237 warming in the eastern Pacific) should favour more positive feedbacks (Loeb et al., 2020).
- Finally and fifthly, a limitation of the *amip-piForcing* approach, as discussed in Section 1.1, is that λ_{hist}
- and $\Delta\lambda$ derived from these experiments includes a structural dependence on the SST patterns and
- sea-ice in the AMIP II boundary condition data set used to force the AGCMs (Andrews et al., 2018;
- Lewis and Mauritsen, 2021; Zhou et al., 2021; Fueglistaler and Silvers, 2021). To investigate this
- further, we supplement the *amip-piForcing* simulations with sensitivity tests with nine AGCMs
- forced with historical HadISST1 (Rayner et al., 2003) SSTs as per Lewis and Mauritsen (2021).
- In summary, previous studies have shown that historical climate feedback (λ_{hist}) varies on decadal
- 245 timescales in *amip-piForcing* simulations and is larger in magnitude (climate sensitivity smaller) than
- that seen in long-term *abrupt-4xCO2* simulations associated with ECS, giving rise to a 'pattern

- 247 effect'. This is accentuated over recent decadal climate change. Here we make use of observations
- 248 of the Earth's energy budget from 1985 and a new suite of *amip-piForcing* simulations from
- 249 CFMIP3/CMIP6 (giving us a combined ensemble of 14 models), as well as targeted HadISST1 versus
- 250 AMIP II SST dataset sensitivity tests with nine AGCMs, to address the above questions.
- 251 The manuscript is organised as follows: Section 2 describes the model and observational data.
- 252 Section 3 presents the model results. Section 4 brings in the observational data. Section 5 presents a
- 253 summary, discussion and outlook.
- 254

255 2. Methods and Data

256 2.1 amip-piForcing

257 To provide estimates of λ_{hist} consistent with the observed variations in SST patterns we turn to

- 258 AGCMs forced with observed monthly variations in SSTs and sea-ice, while keeping all forcing agents
- such as greenhouse gases and aerosols etc. constant at pre-industrial levels. Since the radiative
- 260 forcing is constant (ΔF =dF=0) by construction, λ_{hist} can be diagnosed via λ_{hist} = dN/dT (or ΔN / ΔT if
- using finite differences between climate states) (Andrews, 2014; Gregory and Andrews, 2016, Zhou
- et al., 2016; Silvers et al., 2018; Andrews et al., 2018). Such an experimental design is now referred
- to as *amip-piForcing* (Gregory and Andrews, 2016). The experimental protocol builds on the
- Atmospheric Model Intercomparison Project (AMIP) design (Gates et al. 1999) that has long been
- used in climate modelling, but extends back to 1870 (rather than 1979 in AMIP) and forcing agents
 are kept at pre-industrial levels. As per AMIP, the underlying SST and sea-ice dataset used to force
- are kept at pre-industrial levels. As per AMIP, the underlying SST and sea-ice dataset used to force
 the AGCMs is the AMIP II boundary condition data set (Gates et al., 1999; Hurrell et al., 2008; Taylor
- 268 et al., 2000). A description of the *amip-piForcing* protocol for CFMIP3/CMIP6 is given in Webb et al.
- 269 (2017). When forced with observed monthly SSTs and sea-ice, AGCMs generally reproduce the
- 270 observed relationships between surface temperature patterns, cloudiness and radiative fluxes well
- (Allan et al., 2014; Loeb et al. 2020), lending some credibility to the radiative effects of their
- 272 simulated pattern effects to different SST patterns.
- 273 The *amip-piForcing* simulations used in this study are summarised in Table 1. They reflect a
- combination of new CFMIP3/CMIP6 simulations with the latest generation of models archived in the
- 275 CMIP6 database and those used in Andrews et al. (2018) with some updates (see below). The
- exception is MPI-ESM1-2-LR (Mauritsen et al., 2019); this is a CMIP6 generation model but its *amip*-
- *piForcing* simulation is not currently included in the CMIP6 database. Note that this model contains
- the ECHAM6.3 atmospheric model, so the results ought to be very similar to the older ECHAM6.3
- simulations used in Andrews et al. (2018) and Lewis and Mauritsen (2021), though the models are
- not identical owing to differences in atmospheric composition and land surface properties (see
 Mauritsen et al., 2019, regarding the transition from MPI-ESM1.1 to MPI-ESM1.2). Furthermore, the
- newer MPI-ESM1-2-LR simulations include a longer time-period than the ECHAM6.3 simulations
- 283 (Table 1).
- 284 The CFMIP3/CMIP6 *amip-piForcing* simulations begin in year 1870, but we discard the first year to
- be consistent with the earlier Andrews et al. (2018) ensemble which started in January 1871. The
- 286 CFMIP3/CMIP6 simulations end in Dec 2014, whereas the simulations in the original Andrews et al.
- 287 (2018) ensemble (largely) ended in Dec 2010. In part to address this, some of the Andrews et al.
- 288 (2018) simulations have been rerun, including CAM4, GFDL-AM3 and GFDL-AM4 simulations, which
- 289 now end in Dec 2014 or later (see Table 1). Another difference to Andrews et al. (2018) is that we
- 290 now have an *abrupt-4xCO2* AOGCM simulation with GFDL-AM4 which they did not consider, to

- 291 permit a quantification of the pattern effect in that model. In contrast, we exclude the Andrews et
- al. (2018) CAM5.3 simulation from our analysis since there is no *abrupt-4xCO2* AOGCM simulation to compare against.
- 294 The models used, time-periods covered and number of ensembles are detailed in Table 1. Where
- 295 ensembles exist, an ensemble-mean dT and dN is created before analysis. Note that it makes little
- 296 difference to λ if, alternatively, individual members are first analysed and then the results ensemble-
- 297 meaned (Gregory and Andrews, 2016; Lewis and Mauritsen, 2021). All models share a common
- 298 1871-2010 time-period and so the principal analysis is restricted to this time-period, but we consider
- the additional years to 2014 too. All data are global-annual-ensemble-means and expressed as
- anomalies relative to an 1871-1900 baseline and the timeseries data has been made available (see
- 301 Data Availability Section).
- 302 Unless otherwise stated all uncertainties in multi model ensemble-mean results represent a 5-95%
- 303 confidence interval, calculated as 1.645o across models assuming a gaussian distribution. We do not
- attempt to adjust our uncertainty for the number of independent models, *n*, used in the ensemble
- 305 (i.e. dividing by square root of *n*). Our approach is similar to a "statistical indistinguishable ensemble"
- approach (Annan and Hargraves, 2011; 2017) though likely overstates the uncertainty in the true
- 307 value if the ensemble shares characteristics of a "truth centred paradigm" (Sanderson and Knutti,
- 308 2012).

309 2.2 HadSST-piForcing

- 310 To test the sensitivity of the *amip-piForcing* results to the underlying SST dataset, we repeat the
- 311 *amip-piForcing* simulations with nine AGCMs (see Table 1) but replace the AMIP II boundary
- 312 condition SST dataset with HadISST1 (Rayner et al. 2003). All other aspects of the simulations,
- 313 including sea-ice, are identical to the *amip-piForcing* simulations. This is the same experimental
- design as Lewis and Mauritsen (2021), and we include their ECHAM6.3 simulations here (which again
- 315 ought to be similar to the MPI-ESM1-2-LR simulations). The simulations cover a common time-period
- 316 across models of 1871-2010, like in *amip-piForcing*, but some models are also extended further (see
- Table 1). We refer to these simulations as *hadSST-piForcing*, but note only the SSTs are from the
- HadISST1 dataset (hence 'hadSST' rather than 'hadISST'), the sea-ice remains as per *amip-piForcing*.
- 319 Like *amip-piForcing*, all data are global-annual-ensemble-means and expressed as anomalies relative
- to an 1871-1900 baseline, and the timeseries data has been made available (see Data Availability
- 321 Section).
- 322 Lewis and Mauritsen (2021) provide a summary of the source observational inputs used to construct
- 323 the AMIP II and HadISST1 SST datasets and how they differ. In addition, we note that AMIP II uses
- HadlSST1 SSTs (Rayner et al. 2003) prior to November 1981 and version 2 of the National Oceanic
- 325 and Atmospheric Administration (NOAA) weekly optimum interpolation (OI.v2) SST analysis
- 326 (Reynolds et al. 2002) thereafter. The merging procedure rebases the HadISST1 SSTs to avoid
- 327 discontinuities in the merged dataset (Hurrell et al. 2008). Hence AMIP II and HadISST1 might be
- 328 expected to be more similar before 1981, and diverge afterwards.
- 329 2.3 abrupt-4xCO2
- A corresponding *abrupt-4xCO2* simulation using each AGCM's coupled AOGCM is used to determine
- the model's long-term sensitivity metrics (F_{4x} , λ_{4xCO2} and ECS = -0.5* F_{4x} / λ_{4xCO2}) from regression of
- 332 global-annual-mean dN against dT over 150 years of the simulations (see Andrews et al., 2012). We
- also use λ_{4xCO2} diagnosed from years 1-20 and years 21-150 of the *abrupt-4xCO2* simulation following
- Andrews et al. (2015), which approximately separates the two principal timescales of the climate

- response: the mixed-layer and deep-ocean (see Geoffroy et al. 2013 and Andrews et al. 2015).
- 336 abrupt-4xCO2 data is available on the CMIP5 database (Taylor et al., 2012) for CCSM4, GFDL-CM3
- and HadGEM2-ES. All other abrupt-4xCO2 data is available on the CMIP6 database (Eyring et al.,
- 338 2016), except for HadCM3 and MPI-ESM1.1. For ECHAM6.3/MPI-ESM1.1, *abrupt-4xCO2* global-
- annual mean dN and dT timeseries data are provided by Andrews et al. (2018). HadAM3 data is
- taken from Andrews et al. (2018) and Andrews et al. (2015); while a mean of seven realizations, this
- 341 simulation is only 100 years long so the calculations are over years 1-100 for λ_{4xCO2} and years 1-20 or
- 342 21-100 for the separation of timescales in this model.
- 343 Note when aligning each AGCM to its AOGCM, sometimes the AGCM and AOGCM model names
- 344 differ in the literature. We indicate where this is applicable in Table 1. This does not apply to the
- 345 newer CFMIP3/CMIP6 simulations which publish their AGCM and AOGCM simulations under
- 346 consistent names.
- 347 2.4 Observations of recent decadal climate change
- 348 To understand Earth's recent decadal climate change since ~1985 we turn to its observed global-
- mean energy budget (i.e. d*T*, d*N* and d*F*). For d*T* we use the HadCRUT5 analysis dataset (Morice et al.
- 2021) (the current version is HadCRUT.5.0.1.0). This is an improvement on previous HadCRUT
- products and extends coverage in data sparse regions (see Morice et al. 2021). For dF we use the
- best estimate historical ERF timeseries produced by IPCC AR6 (Forster et al. 2021; Smith et al. 2021).
- 353 For d*N* we use various versions of the DEEP-C satellite based reconstruction of the Earth's radiation
- balance from 1985 to near-present. These are described in detail in Allan et al. (2014) and Liu et al.
- 355 (2015; 2017; 2020), but as we will use various versions of this product we give a brief overview here.
- 356 The DEEP-C dataset is derived by merging satellite observations of top-of-atmosphere radiative flux 357 timeseries from ERBE WFOV (Earth Radiation Budget Experiment Satellite wide field of view) and 358 ECMWF reanalysis (ERA-Interim/ERA5) since 1985 with CERES (Clouds and the Earth's Radiant Energy 359 System) satellite observed fluxes since March 2000. Hence prior to March 2000 it is largely informed 360 by ERBE WFOV and ERA reanalysis, then aligns with CERES from March 2000. AMIP and high 361 resolution AGCM simulations and reanalyses are used in the merging process to bridge the gaps 362 between products and avoid discontinuities in the timeseries, including a gap in the satellite record 363 during 1993 and 1999 (Allan et al. 2014). It is important to note that substantial uncertainty in 364 decadal changes in dN associated with the merging process affects the record and this is 365 conservatively estimated to be as high as 0.5 Wm⁻² for changes applying across the whole record (Liu et al. 2020). However, uncertainty in the CERES period since March 2000 is much smaller based on 366 367 assessment of instrument drift (Loeb et al. 2021). Various versions of the DEEP-C dataset exist which 368 parallel updates to the underlying products and update the merging process. We use the latest 369 version (DEEP-C v5, Liu and Allan 2022) for our principal analysis, which is based on CERES EBAF v4.1 370 and ERBS WFOV v3, alongside ERA5 reanalysis and AMIP6 simulations (Liu and Allan, 2022). To 371 illustrate structural uncertainties in our analysis we also use previous versions (v2, v3 and v4) of the
- 372 DEEP-C datasets. The availability of datasets is provided in the Data Availability Section.
- 373

374 3. *Historical feedback and pattern effect in amip-piForcing and hadSST-piForcing simulations*

- 375 Figure 1a shows the multi-model ensemble mean d*T* timeseries in the *amip-piForcing* and *hadSST*-
- 376 *piForcing* simulations, alongside an observed estimate from HadCRUT5 analysis dataset. The AGCM
- design reproduces the observed historical d*T* variability well (the correlation coefficient, *r*, between
- observed and both simulated d*T* timeseries is 0.97). However the AGCMs do not reproduce the

379 observed trends precisely, notably omitting some observed warming particularly in the most recent

- decades (Figure 1a). This is because the AGCM design omits a small component of warming
- associated with land surface temperature change (which is not prescribed in the models) that arises
- as a direct consequence of increases in greenhouse gases and other forcing agents independent of
- 383 SST change (this is often considered as part of the ERF rather than feedback) (see Andrews, 2014;
- 384 Gregory and Andrews, 2016; Andrews et al., 2018). This will be included in the observed record but
- not in the simulated d*T* because greenhouse gases and other forcing agents are kept constant at pre-
- industrial levels in *amip-piForcing* and *hadSST-piForcing*.
- 387 As d*T* increases, d*N* reduces (Figure 1b), i.e. the climate loses more heat to space as a consequence
- 388 of the climate response and feedbacks in the system. Figure 1c and 1d show the difference in the dT
- and dN timeseries between the *amip-piForcing* and *hadSST-piForcing* ensemble-mean response. For
- 390 most of the time the differences vary approximately about zero. However, larger differences are
- evident from 1981 onwards, when the dN response in *amip-piForcing* is substantially larger than that
- in *hadSST-piForcing* (Figure 1b and 1d), up to ~0.5 W m⁻² in some years (Figure 1d). This is consistent
- 393 with 1981 being the year in which the AMIPII boundary condition source dataset switches from
- HadISST1 to OI.v2 SST (see Section 3.2). This motivates us to separate the historical record into two
- time-periods either side of 1980, i.e. 1871-1980 and 1981-2010 (Section 3.2).
- However, we first consider feedback and the pattern effect that arises when calculated over the
- 397 historical record as a whole, rather than any time-period within. This is useful for informing studies
- that use the entire observed historical record to estimate ECS via energy budget constraints (e.g.
- Andrews et al., 2018; Sherwood et al. 2020; Forster et al. 2021). It also allows a direct comparison of
- 400 our results using a broad ensemble of models to the narrower range of model results reported by
- 401 Andrews et al. (2018) and Lewis and Mauritsen (2021).
- 402 3.1 Considering the historical record as a whole
- 403 Figures 1e and 1f show the $\lambda_{hist} = dN/dT$ relationship in the ensemble-mean *amip-piForcing* and 404 hadSST-piForcing simulation for 1871-2010. λ_{hist} is determined from ordinary least square linear 405 regression on global-annual-mean dN and dT timeseries data. λ_{hist} values for individual models are 406 given in Table 2 alongside their abrupt-4xCO2 sensitivity metrics. Across the fourteen model 407 ensemble of *amip-piForcing* simulations λ_{hist} = -1.65 ± 0.46 W m⁻² K⁻¹, slightly smaller in magnitude 408 but with similar spread to the Andrews et al. (2018) ensemble (they reported λ_{hist} = -1.74 ± 0.48 W m⁻ 409 2 K⁻¹). Like in Andrews et al. (2018), the spread in λ_{hist} is extremely similar to the spread in λ_{4xCO2} from 410 the coupled AOGCM *abrupt-4xCO*₂ ensemble (Table 2) (this is also true for the individual feedback 411 terms, see below). The pattern effect, $\Delta \lambda = \lambda_{4xCO2} - \lambda_{hist}$ between *amip-piForcing* and *abrupt-4xCO2* 412 (with λ_{4xCO2} from years 1-150 of abrupt-4xCO2) is $\Delta\lambda = 0.70 \pm 0.47$ W m⁻² K⁻¹ across the ensemble 413 (Table 3), which is slightly larger in magnitude but with more spread than that reported by Andrews
- 414 et al. (2018) (0.64 \pm 0.40 W m $^{-2}$ K $^{-1}$).
- 415 Tables 2 and 3 also present the equivalent λ_{hist} and $\Delta\lambda$ values when the AGCMs are forced with
- 416 HadISST1 SSTs instead (hadSST-piForcing) and Figure 2 shows the relationship to amip-piForcing. λ_{hist}
- 417 = -1.43 ± 0.41 W m⁻² K⁻¹ in *hadSST-piForcing* (Table 2), which is smaller in magnitude but with similar
- 418 spread to the *amip-piForcing* results above. Subsetting to the nine AGCMs with both simulations, λ_{hist}
- 419 is 0.28 ± 0.17 W m⁻² K⁻¹ smaller in magnitude in *hadSST-piForcing* but well correlated (*r*=0.93) with
- 420 *amip-piForcing* values (Figure 2a, red points). The regression slopes of the red line in Figures 2a
- 421 (slope = 0.84 ± 0.21) and 2b (slope = 0.84 ± 0.26) are statistically consistent with unity, implying
- 422 there is little AGCM dependence in the difference between λ_{hist} from *amip-piForcing* and *hadSST*-
- 423 *piForcing*. Hence, given the strong correlation and close approximation of being parallel to the one-

- 424 to-one line (Figure 2, red points), we suggest a simple offset given by the difference (0.28 ± 0.17 W
- 425 $m^{-2} K^{-1}$, Table 3) well approximates the relationship between λ_{hist} over 1871-2010 in *amip-piForcing* 426 and *hadSST-piForcing*.
- 427 Despite λ_{hist} being smaller in magnitude in *hadSST-piForcing*, $\Delta\lambda = 0.48 \pm 0.36$ W m⁻² K⁻¹ is still large
- 428 and positive across the *hadSST-piForcing* ensemble (Table 3). The smaller uncertainty than the *amip-*
- 429 *piForcing* pattern effect likely reflects the narrower diversity of model physics in the smaller *hadSST*-
- 430 *piForcing* ensemble, for example we do not have *hadSST-piForcing* experiments for the model
- 431 (MIROC6) with the smallest pattern effect in *amip-piForcing*. If we subset the *amip-piForcing*
- 432 ensemble to just those nine models with corresponding hadSST-piForcing experiments (Fig 2b, red
- 433 points), then the spread (as measured by 1.645 σ) across models in $\Delta\lambda$ reduces from 0.47 to 0.38,
- 434 which is similar to the spread found in *hadSST-piForcing*.
- 435 That a large pattern effect is present in the *hadSST-piForcing* simulation over the historical record is
- 436 not in contradiction with the results of Lewis and Mauritsen 2021 (LM2021), who reported a
- 437 *'negligible unforced historical pattern effect'* with ECHAM6.3 when forced with HadISST1 SSTs. This is
- 438 because LM2021 calculated their pattern effect by comparing λ from *hadSST-piForcing* to λ derived
- 439 from a coupled AOGCM historical simulation, or approximations of it from years 1-70 of *1%CO2* or
- 440 years 1-50 of *abrupt-4xCO2* simulations. This necessarily gives a smaller pattern effect because it
- 441 excludes many of the SST variations and patterns effects seen on longer timescales in CO₂ forced
- simulations (Senior and Mitchell, 2000; Gregory et al. 2004; Andrews et al. 2012; Armour et al.,
- 443 2013; Geoffroy et al., 2013; Andrews et al. 2015; Rugenstein et al. 2016). While this might be useful
- 444 for trying to quantify different mechanisms of the pattern effect (e.g. forced or unforced, see
- 445 Dessler, 2020), it is a quantity we are less interested in, as we want to know the λ of relevance to
- 446 long-term ECS and projections of the late 21st century. Therefore contrasting to λ_{4xCO2} from years 1-
- 447 150 is the most relevant metric (Sherwood et al., 2020), as we have done here.
- 448 Following Andrews et al. (2018) we decompose λ into its component longwave (LW) clear-sky,
- shortwave (SW) clear-sky and cloud radiative effect (CRE, equal to all-sky minus clear-sky fluxes)
- 450 terms in Figure 3. Deviations away from the one-to-one line indicate a difference in *amip-piForcing*
- and *abrupt-4xCO2* λ (i.e. the pattern effect). Tables of the individual model results are given in the
- 452 Supplementary Tables 1 3. It confirms the basic premise that historical LW clear-sky and cloud
- 453 feedbacks are more stabilizing than under *abrupt-4xCO*₂, consistent with the mechanistic and
- 454 process understanding that the pattern effect arises predominantly from a lapse-rate (which affects
- 455 LW clear-sky fluxes) and cloud feedback dependence on SST patterns (e.g. Zhou et al., 2016,
- 456 Andrews and Webb, 2018, Ceppi and Gregory, 2017; Dong et al. 2019). Figure 3 and Supplementary
- 457 Tables 1 3 show that the inter-model spread in feedback in both *amip-piForcing* and *abrupt-4xCO2*
- is dominated by cloud rather than clear-sky feedbacks. Figure 3 also suggests there is a small
- 459 compensation to the total pattern effect from SW clear-sky feedbacks, likely from sea-ice. That is,
- 460 AGCMs forced with AMIP II boundary condition sea-ice changes have a slightly more positive
- 461 feedback than found in their coupled *abrupt-4xCO2* simulations, though the difference is small
- 462 (Figure 3). Consequently, a simple attribution of the difference in total feedback between *amip*-
- *piForcing* and *abrupt-4xCO2* to an SST driven pattern effect (as we have done here) will slightly
 understate the actual effect, though the term is small and we neglect it from now on. We discuss
- 465 sea-ice uncertainties further below.
 - 466 MIROC6 is the only model in the *amip-piForcing* ensemble to have near zero pattern effect (Table 3
 - 467 and note the single black dot on the one-to-one line in Figure 3). The reason for this different
 - 468 behaviour remains unclear. One could speculate that there is a relationship between a model's
 - 469 climate sensitivity and its pattern effect, given that MIROC6 has the lowest ECS of all models

470 considered here (ECS=2.6K, Table 2). However, we note that there is little correlation between ECS 471 and $\Delta\lambda$ across models (*r*=0.4) and that several other models with low ECS have large $\Delta\lambda$.

472 Alternatively, it could be that MIROC6's atmospheric physics are largely insensitive to different SST

- 473 patterns and/or that its AOGCM *abrupt-4xCO2* warming pattern is more similar to the historical
- 474 record than other models. Both are potentially possible. For example, λ_{hist} for 1871-1980 and 1980-
- 2010 separately (next Section and Table 2) shows that MIROC6 does simulate a pattern effect, but
- 476 achieves a near zero pattern effect over the historical record as a whole by having a smaller (relative
- to other models) pattern effect over recent decades, offset by a negative pattern effect over the
 earlier period. In addition and in contrast to other models MIROC6 simulates a negative LW clear-
- 479 sky pattern effect (red dot below the one-to-one line, Figure 3) which offsets its positive cloud
- 480 feedback pattern effect.
- 481 The model with the largest pattern effect is CESM2 (Table 3). This occurs because of a particularly 482 large cloud feedback sensitivity to SST patterns (grey dot furthest from the one-to-one line, Figure 483 3). Zhu et al. (2022) argue that an issue in CESM2's cloud microphysics related to cloud ice number 484 leads to an unrealistically large cloud sensitivity to warming in this model. Whether this is 485 responsible for the model's large pattern effect is unclear. Mixed-phase clouds have not typically 486 been associated with the pattern effect, though might be of relevance to pattern effects over the 487 Southern Ocean (Dong et al. 2020; Bjordal et al. 2020). It would be interesting in future work to 488 identify the different cloud types associated with the pattern effect and conduct sensitivity 489 experiments with CESM2 to investigate which aspects of the cloud feedback change with different 490 cloud microphysics schemes.
- 491 Many of our *amip-piForcing* simulations (eleven models) continue to Dec 2014 (Table 1), and six
- 492 have corresponding *hadSST-piForcing* simulation, so we consider how this extended period affects
- 493 the overall assessment of the historical pattern effect. In the eleven *amip-piForcing* simulations, λ_{hist}
- 494 = -1.65 ± 0.48 W m⁻² K⁻¹ over 1871-2010, but this increases in magnitude so that λ_{hist} = -1.71 ± 0.51 W
- 495 m⁻² K⁻¹ if calculated over 1871-2014 (Supplementary Table 4). An increase occurs in every model and
- the magnitude of change across the ensemble is 0.07 ± 0.06 W m⁻² K⁻¹ (Supplementary Table 4). In
- the six corresponding *hadSST-piForcing* simulations, $\lambda_{hist} = -1.48 \pm 0.41$ W m⁻² K⁻¹ over 1871-2010, but
- this increases in magnitude so that $\lambda_{hist} = -1.53 \pm 0.39$ W m⁻² K⁻¹ if calculated over 1871-2014
- 499 (Supplementary Table 4). The magnitude of the increase $(0.05 \pm 0.05 \text{ W m}^{-2} \text{ K}^{-1})$ is thus slightly 500 smaller in this dataset (Supplementary Table 4).

501 While we have focused on the SST driven pattern effect, a remaining structural uncertainty in 502 assessing total feedback differences between λ_{4xCO2} and λ_{hist} relates to the sea-ice dataset used to 503 force the AGCMs. Andrews et al. (2018) provided a sensitivity test (see their Supplementary 504 Material) by repeating the *amip-piForcing* simulation in two AGCMs but forced with HadISST2.1 505 (Titchner and Rayner, 2014) SSTs and sea-ice. They found that the historical feedback parameter 506 increased by ~0.6 W m⁻² K⁻¹ when forced with HadISST2.1 compared to AMIP II, and attributed most 507 of this change to differences in the sea-ice datasets rather than SST. They noted that HadISST2.1 has 508 substantially more pre-industrial Antarctic sea-ice concentration (see Titchner and Rayner, 2014), 509 and so generated more sea-ice loss (more positive feedback) over the historical period (Andrews et 510 al. 2018), as well containing large discontinuities in the timeseries. The historical sea-ice trends and 511 associated feedbacks over the Southern Ocean in the HadISST2.1 dataset are difficult to reconcile 512 with those found in AOGCMs and our physical understanding of them (Schneider et al. 2018). We do 513 not pursue this further, but simply highlight that dataset assumptions made about pre-industrial sea-514 ice concentrations in Antarctica can have substantial impacts on diagnosed feedbacks in AGCMs and 515 remains an outstanding uncertainty in assessing total feedback differences. Fortunately, in amip-

- 516 *piForcing* the difference in SW clear-sky feedback (which will be strongly impacted on by sea-ice
- 517 feedbacks) is similar to that seen in λ_{4xCO2} (Figure 3) so this can be ignored if the focus is solely on SST
- 518 driven feedbacks in the atmosphere.
- 519 In summary, for warming since the 1800s (using either 1871-2010 or 1871-2014), both *amip*-
- 520 *piForcing* and *hadSST-piForcing* suggest a substantial pattern effect between radiative feedbacks
- 521 operating over historical climate change and long-term ECS.
- 522 3.2 Considering the historical record before and after 1980
- 523 We now return to the divergence in dN response between *amip-piForcing* and *hadSST-piForcing*
- 524 simulations around 1980 (Figure 1d). As well as the change in behaviour discussed above, 1980
- provides a convenient separation of historical feedbacks and the pattern effect for two other
 motivating reasons: (i) Fueglistaler and Silvers (2021) identify ~1980 as the point in which the Earth
- 527 begins to warm with a particular configuration of tropical Pacific SSTs where regions of deep
- 528 convection warm substantially more than the tropical mean, driving large negative cloud feedbacks
- 529 and consistent with a large pattern effect over this period (Gregory and Andrews 2016; Zhou et al.,
- 530 2016; Andrews et al., 2018; Gregory et al. 2020); and (ii) Fueglistaler and Silvers (2021) also identify
- 531 ~1980 as a useful approximation of when the satellite era was integrated into the global observing
- 532 system, and so developing an understanding of feedbacks and the pattern effect specifically from
- 533 1980 onwards will aid interpretation of our most comprehensive observations of climate change and
- bow they might relate to the future change (next Section).
- 535 Figure 4 compares the surface temperature pattern over the two time-periods 1871-1980 and 1981-
- 536 2010 in *amip-piForcing* and *hadSST-piForcing*. Differences between the two SST reconstructions are
- 537 extremely subtle. For the earlier 1871-1980 time period, warming is more uniform, in part because
- 538 of the longer time-period considered which will smooth out variability. Since 1981 there has been
- 539 western Pacific warming with cooling in the Southern Ocean and off equatorial eastern Pacific
- 540 (which are regions of marine low clouds), despite temperatures increasing in the global mean.
- 541 Hence, we might expect a small pattern effect prior to 1980 and a large pattern effect post 1980
- 542 (e.g. Gregory and Andrews, 2016; Zhou et al., 2016, Andrews and Webb, 2018, Ceppi and Gregory,
- 543 2017; Dong et al. 2019, Fueglistaler and Silvers 2021).
- 544 Figures 1g and 1h show the $\lambda_{hist} = dN/dT$ relationship in the ensemble-mean *amip-piForcing* and
- 545 hadSST-piForcing simulation for 1871-1980 (grey points) and 1981-2010 (blue points). Results for
- 546 individual models are given in Table 2. Figures 1g and 1h confirms the basic premise that λ_{hist}
- 547 strengthens in magnitude post 1980, consistent with the change in SST patterns (Figure 4).
- 548 For the earlier time-period, 1871-1980, λ_{hist} = -1.14 ± 0.33 W m⁻² K⁻¹ in *amip-piForcing* is similar to λ_{hist}
- 549 = -1.21 ± 0.38 W m⁻² K⁻¹ in *hadSST-piForcing* (Table 2) suggesting little sensitivity of the results to
- these two SST datasets over this time period. This is unsurprising given that the datasets are similar
- 551 (though not identical) prior to this period (Section 2.2 and Figure 4). For the nine AGCMs that
- performed both simulations Figure 2a shows the relationship between λ_{hist} in *amip-piForing* and
- 553 *hadSST-piForcing*. For all time-periods λ_{hist} in *amip-piForcing* and *hadSST-piForcing* is found to be well
- 554 correlated ($r \ge 0.87$, Figure 2a). For the earlier 1871-1980 results, the λ_{hist} values fall close to the one-
- to-one line (blue dots, Figure 2) and within the range of λ_{4xCO2} (grey shaded areas in Figure 2). This
- 556 suggests that for 1871-1980 λ_{hist} is broadly independent of the two SST datasets (consistent with
- their common basis) and that the pattern effect is small for this time period. Indeed, the 1871-1980
- pattern effect is small but positive ($\Delta\lambda = 0.19 \pm 0.35$ W m⁻² K⁻¹ in *amip-piForcing* and 0.26 ± 0.26 W m⁻²
- ² K⁻¹ in *hadSST-piForcing*, Table 3 and Figure 2b).

- 560 In contrast, for 1981 onwards (i.e. 1981-2010), λ_{hist} is generally far from the λ_{4xCO2} range (i.e. a large
- pattern effect) and away from the one-to-one line (i.e. a dependence on the SST dataset) (Figure 2a;
- 562 grey points). Indeed, λ_{hist} over 1981-2010 is substantially stronger in magnitude than over 1871-1980

563 $(\lambda_{hist} = -2.33 \pm 0.72 \text{ W m}^{-2} \text{ K}^{-1} \text{ in a mip-piForcing over 1981-2010, Table 2; Figure 2a) and the pattern$

effect is large ($\Delta\lambda$ = 1.38 ± 0.75 W m⁻² K⁻¹, Table 3; Figure 2b), although somewhat weaker in

565 magnitude in *hadSST-piForcing* ($\Delta\lambda = 1.24 \pm 0.88$ W m⁻² K⁻¹, Table 3; Figure 2b). For 1981-2010, λ_{hist} is

566 generally weaker in *hadSST-piForcing* (Table 2; Figure 3a) by 0.24 ± 0.46 W m⁻² K⁻¹ across the nine

567 AGCMs using both SST datasets.

568 These results are generally consistent with Fueglistaler and Silvers (2021) and Lewis and Mauritsen

- 569 (2021) who both point to the AMIP II SST dataset as having larger (relative) western tropical Pacific
- 570 warming than in other SST datasets, and hence from the process understanding we would expect a
- 571 more negative feedback (and larger pattern effect) in *amip-piForcing*, as found above. The one
- 572 exception is GFDL-AM4, which simulates a more negative λ_{hist} under HadISST1 SSTs than AMIP II
- 573 from 1981-2010, and so a larger pattern-effect over this period under HadISST1 SSTs (Tables 2 and 3
- and the single grey dots in Figures 2a and 2b which sit on the other side of the one-to-one line from
- the other models). The reasons for this remain unclear.
- 576 In summary we have shown that a division around 1980 usefully separates historical climate change
- 577 into two time-periods: (i) pre 1981 the Earth warmed over most of the historical record with an

averaged warming pattern that is relatively uniform, and feedbacks largely consistent with long-term

- 579 ECS feedbacks (i.e. a relatively small pattern effect), and (ii) post 1980 where the Earth warmed with
- 580 a particular configuration of strong SST gradients that drove feedbacks much more stabilizing than
- those seen in long-term ECS feedbacks (i.e. large pattern effect), albeit with a sensitivity of the
- 582 magnitude of this result to the SST dataset considered.
- 583 3.3 Relationships between historical and ECS feedbacks

584 We now consider whether feedbacks over the historical period in *amip-piForcing* are related to 585 λ_{4xCO2} . This is in contrast to the previous sections which only quantified their difference (i.e. the 586 pattern effect).

- 587 Firstly, we note that the spread in feedback across models over the earlier (1871-1980) time-period
- 588 in *amip-piForcing* is well correlated with the spread in feedback across models in *abrupt-4xCO2*
- 589 (*r*=0.69, Figure 5a). In contrast, feedbacks over the most recent decades (1981-2010) are only weakly
- 590 correlated with λ_{4xCO2} (r=0.27). Secondly, feedback over the full historical record (1871-2010) is only
- 591 weakly correlated with feedback from the 1871-1980 time-period (*r*=0.45, Figure 5b). In contrast,
- 592 1871-2010 feedback is strongly correlated with feedback over the most recent 1980-2010 decades
- 593 (*r*=0.91, Figure 5b). This strong correlation between 1981-2010 and the 1871-2010 feedback arises
- because the spread for 1871-2010 is dominated by the spread for 1981-2010.
- 595 Given that the feedbacks applying in 1871-1980 and in 1981-2010 are different, we infer that the SST
- 596 patterns over these two periods are driven by different mechanisms. Because the feedbacks of
- 597 1871-1980 are correlated with *abrupt-4xCO2*, the difference between the two periods could be
- 598 explained by CO₂ being the dominant influence in 1871-1980 SST patterns, while something else (e.g.
- 599 perhaps variability, aerosol, volcanism) dominates during 1981-2010. This is only a hypothesis,
- 600 because these experiments do not provide a way to attribute the observed SST changes to causes.
- 601 The result is that the spread in feedbacks over the full historical record are only weakly correlated
- 602 with λ_{4xCO2} (r=0.51, Figure 3), because of the strong pattern effect post 1980. Hence, we can say little
- about future λ_{4xCO2} directly from climate change post 1980 or even the full historical record without

adjusting for a pattern effect. In contrast, the feedbacks operating over the earlier 1871-1980 timeperiod are correlated with λ_{4xCO2} (*r*=0.69, Figure 5a).

That recent decadal feedbacks are the most unrepresentative of the long-term climate sensitivity is unfortunate, not just because it coincides with the advent of the satellite record and so is extremely well observed, but also because climate change since ~1980 ought to provide the best constraint on ECS (e.g. Jiménez-de-la-Cuesta and Mauritsen, 2019). This is because it offers a strong global

- 610 warming signal, which AOGCMs attribute to greenhouse gas increases, while avoiding the large
- 611 uncertainty associated with global-mean aerosol radiative forcing in energy budget estimates of ECS.
- However the role of aerosols should not be discounted entirely, since strong compensating regional
 changes may have impacted on SST patterns (e.g. Smith et al. 2015; Takahashi & Watanabe, 2016;
- 614 Moseid et al., 2020). In contrast, although feedbacks operating over the earlier 1871-1980 part of
- 615 the historical record are correlated with long-term CO₂ induced feedbacks, a reliable observational
- 616 constraint is harder because the climate change signal is smaller and the observations poorer. We
- 617 discuss this further in the Discussion section.
- 618 Up to now we have only considered a comparison of *amip-piForcing* feedbacks to a single definition

of *abrupt-4xCO2* feedbacks (i.e. feedbacks diagnosed over years 1-150 in *abrupt-4xCO2*). Here we

briefly consider separating λ_{4xCO2} into the two principal timescales of the *abrupt-4xCO2* response

following Andrews et al. (2015) by calculating λ_{4xCO2} over years 1-20 (a fast timescale) and 21-150 (a

slow timescale) (Table 2). The rationale is that 20 years is approximately the timescale required for

623 the mixed-layer to equilibrate in response to step forcing, and any subsequent climate response

- scaling with the slower deep-ocean timescale, as approximated by two-layer models (Held et al.,
- 625 2010; Geoffroy et al., 2013; Gregory et al., 2015).
- Figure 5c shows λ_{hist} from 1871-1980 is largely scattered about the one-to-one line with λ_{4xCO2} from years 1-20, suggesting little to no pattern effect between these two. This is potentially consistent with the historical record largely being the result of the faster timescale responses (Held et al. 2010; Proistosescu & Huybers, 2017). In contrast, post-1980 λ_{hist} is far from the one-to-one line (i.e. large pattern effect to years 1-20 of *abrupt-4xCO2*, Figure 5c) but is marginally correlated (*r*=0.53), suggesting recent decades do contain some information relevant to the feedback seen in the fast timescale response to CO₂. However, the longer-term feedbacks associated with the slow timescale
- response to CO₂ (years 21-150 of *abrupt-4xCO2*, Figure 5d) have no correlation with λ_{hist} post-1980
- 634 (*r*=-0.06, Figure 5d).
- 635
- 636 3.4 Decadal variability in feedbacks and the pattern effect
- 637

638 In this final section of GCM results we briefly comment how λ_{hist} and the pattern effect varies on 639 decadal timescales in the *amip-piForcing* and *hadSST-piForcing* simulations.

- 640 Following Gregory and Andrews (2016) we calculate $\lambda_{hist} = dN/dT$ over a moving 30 year window in 641 the *amip-piForcing* and *hadSST-piForcing* simulations (Figure 6a and b). For example λ_{hist} calculated
- 642 over the 30 year period 1925 to 1954 is presented at year 1939.5 in Figure 6. In Figures 6c-h the LW
- 643 and SW clear-sky and cloud radiative effect of the feedback are also shown. The correlation
- 644 coefficient between the *amip-piForcing* and *hadSST-piForcing* multi-model-mean λ_{hist} timeseries is
- 645 0.84, suggesting the broad features of the decadal λ_{hist} variations are robust to the SST datasets. In
- 646 particular λ_{hist} peaks (least negative, smallest pattern effect) around 1940 while generally being large
- 647 in magnitude (large pattern effect) over recent decades (see also Gregory and Andrews, 2016; Zhou
- 648 et al. 2016; Andrews et al. 2018; Gregory et al. 2020). The clear sky feedbacks (Figures 6c-f) are

- 649 largely stable, while the variation in λ_{hist} is almost entirely explained by variation in cloud feedback
- (Figures 6g-h), consistent with previous findings (e.g. Zhou et al. 2016; Andrews et al. 2018).
- In Section 5, we discuss further the reasons for the decadal variations in SST patterns and λ_{hist} , i.e.
- whether they are the result of spatiotemporal changes in forcings such as aerosols or volcanic
- 653 forcing or due to unforced variability.
- 654

655 4. Observed climate change

We next consider whether the radiative feedback and pattern effects simulated by the GCMs are consistent with observed variations in the Earth's energy budget. Gregory et al. (2020) asked a similar question for the post 1980 period and suggested they are (see their Figure 5c), but here we go a few steps further. Specifically, not only do we consider the post 1980 period, but also assess changes in the Earth's energy budget back to the 1800s. Furthermore we investigate the implications of a strongly negatively feedback parameter (large pattern effect) since 1985 on the observed rate of

662 global warming.

663 The observations also provide an opportunity to bring our λ_{hist} and pattern effect estimate up to date

with the most recently observed data (up to and including 2019), whereas our GCM analysis

665 generally finished in 2014. The observations post 2014 period are of particular interest given they

666 include the major El-Nino event of 2015/2016 that was associated with eastern-pacific warming and

667 marked changes in the observed radiation budget (Loeb et al. 2020; 2021). We expect these post

 $\begin{array}{ll} 668 & 2014 \mbox{ years to have an impact } \lambda_{\mbox{hist}} \mbox{ and the pattern effect, given the process understanding discussed} \\ 669 & \mbox{ previously (e.g. Zhou et al., 2016, Andrews and Webb, 2018, Ceppi and Gregory, 2017; Dong et al.} \\ \end{array}$

. 670 2019).

671 *4.1 Comparison of AGCM results to observed estimates*

672 We first validate the AGCM λ_{hist} estimates over recent decades. To do this we use a merged satellite

dataset (ERBE WFOV + CERES) (Allan et al. 2014) that provides an observational estimate of dN

variations from 1985 to 2019. For d*T* we use the HadCRUT5 analysis dataset (Morice et al. 2021). For

dF we use the IPCC AR6 (Forster et al. 2021; Smith et al., 2021) best estimate historical ERF changes.

- 676 These datasets are described in further detail in Section 2.4. We first consider the 30-year period
- 677 1985 to 2014, consistent with many of the AGCMs.
- Figure 7a and 7b show the dT, dN and dF timeseries over this period. The 1985-2014 'observed' - λ_{hist} 678 $= d(F - N)/dT \sim 2.0 \pm 0.7$ W m⁻² K⁻¹ relationship is shown in Figure 7d. Note the stated 5-95% 679 680 uncertainty is $\pm 1.645\sigma$ from the standard error of the linear fit, with no allowance for systematic 681 uncertainties. As discussed in Section 2.4, observed multi-decadal changes in dN are subject to a substantial uncertainty (up to 0.5 Wm⁻²) primarily related to the breaks in the record prior to 2000, 682 683 though are considerably smaller afterwards (Liu et al. 2020). Note also that years 1991-2 are 684 excluded from the calculation as these years are identified as being strongly impacted by the 685 volcanic forcing from the Pinatubo eruption (Figure 7b). Whilst λ_{hist} is robust to this (we get just the 686 same λ_{hist} ~ -2.0 ± 0.7 W m⁻² K⁻¹ if we include these years), including these years has an impact on the 687 ocean heat uptake efficiency estimate (see Section 4.3). The observed 1985-2014 λ_{hist} estimate is 688 shown on Figure 6a and 6b (red line) as an illustration in comparison to the AGCM decadal variations 689 in λ_{hist} . The observed λ_{hist} best estimate agrees exceptionally well with the AGCM multi-model mean, 690 and nearly all models are within the 5-95% uncertainty estimate as they approach the 1985-2014 691 value (Figure 6a and 6b).

692 A more rigorous comparison of individual AGCM results to the observed estimate is shown in Figure

- 8. Here the AGCM λ_{hist} estimates from *amip-piForcing* and *hadSST-piForcing* have been calculated in
- the same way as the observations, i.e. over 1985-2014 excluding 1991-2. The overlap between the
- 695 model and observed estimates points to broad consistency between the models and observations in
- 696 the recent decadal value of λ_{hist} (Figure 8). The large uncertainties (which are likely underestimated 697 since we have not accounted for structural errors) inhibit a more precise validation of individual
- 698 models against the observed estimate.

699 For the full the historical record we estimate λ_{hist} from IPCC AR6 assessed changes in *T*, *N* and *F*. 700 Forster et al. (2021) give these as $\Delta T = 1.03 \pm 0.20$ K, $\Delta N = 0.59 \pm 0.35$ W m⁻² and $\Delta F = 2.20$ [1.53 to 701 2.91] W m⁻² for the time-period 1850-1900 to 2006-2019. For simplicity we assume $\Delta F = 2.20 \pm 0.7$ W 702 m^{-2} , where we have approximated the uncertainty in ΔF as a Gaussian. Randomly sampling (with 703 replacement) from the Gaussian distributions in ΔN , ΔF and ΔT gives $\lambda_{\text{hist}} = (\Delta N - \Delta F)/\Delta T = -1.6 \pm 0.8$ 704 W m⁻² K⁻¹. This is again in agreement with the *amip-piForcing* ($\lambda_{hist} = -1.65 \pm 0.46$ W m⁻² K⁻¹, Table 2) 705 and hadSST-piForcing (λ_{hist} = -1.43 ± 0.41 W m⁻² K⁻¹, Table 2) 1871-2010 ensembles, though an exact 706 match is not expected given the slightly different time-periods and methods (e.g. finite differences 707 versus regression) used. Still, the agreement provides further confidence in the GCM's simulated 708 radiative response to observed SST and sea-ice variations over the historical record, and strengthens 709 the conclusion that λ_{hist} has become more negative over recent decades compared to the longer

- 710 1871-2010 time-period.
- Finally, IPCC AR6 assessed the long-term ECS relevant feedback parameter (analogous to our λ_{4xCO2})
- to be -1.16 \pm 0.65 W m⁻² K⁻¹ (Forster et al., 2021) by combining lines of evidence from observations,
- 713 theory, process models and GCMs on individual climate feedback processes. Combining this with our
- observed λ_{hist} estimates above gives an estimate of the pattern effect independently of our GCM
- ensemble. This gives an estimated pattern effect of $\sim 0.8 \pm 1.0$ W m⁻² K⁻¹ for 1985-2015 and $\sim 0.4 \pm 1.1$
- 716 W m^{-2} K⁻¹ for the full historical record (the 1850-1900 to 2006-2019 changes). While the uncertainties
- 717 are substantial, there is again agreement with our GCM results.

718 4.2 Recent observed trends and the efficiency of ocean heat uptake

- 719 We have seen that both models and observed variations in the Earth's energy budget agree on the
- 720 Earth having had strongly stabilizing feedbacks over recent decades relative to AOGCM feedbacks
- vunder long-term CO₂ forced climate change. Quantifying this in a different way, a feedback
- parameter of ~ -2.0 Wm⁻² K⁻¹ suggests an EffCS = F_{2x}/λ_{hist} as low as ~ 4.0/2.0 ~ 2.0 K operating over
- 1985-2014, assuming F_{2x} = 4.0 W m⁻² (Sherwood et al. 2020). From this it seems possible that the
- rate of global warming over this period (~0.19 K dec⁻¹, Tokarska et al., 2020) might have been larger
- had the Earth warmed over this period with a pattern of SST associated with more positive
- 726 feedbacks, as found in earlier parts of the historical record (Section 3). However, we also investigate
- the possibility that changes in ocean heat uptake efficiency may have compensated the changes in
- 728 feedbacks and low EffCS to maintain a higher warming rate over this period than would be expected
- 729 without this compensation.
- To do this we turn to the 'climate resistance' (ρ , units W m⁻² K⁻¹) "zero-layer" model of Gregory and
- Forster (2008) to analyse the ocean heat uptake efficiency (κ , units W m⁻² K⁻¹). This is expressed as
- 732 $dF = \rho dT$, where $\rho = \kappa \lambda$, and κ is defined as $\kappa = dN/dT$ and is found to be strongly related to the
- 733 thermal coupling constant (γ , units W m⁻² K⁻¹) between the upper and lower ocean in the two-layer
- model (Gregory et al. 2015; see their Figure 8). While initially proposed to describe scenarios with
- race steadily increasing forcing, it is also been applied to ~30 year timescales to usefully describe or
- 736 interpret the energy balance (Gregory and Forster, 2008; Watanabe et al., 2013). Despite being a

- 737 gross simplification of the climate system (we discuss potential limitations below), $dF = \rho dT$ is found
- to be an excellent approximation (*r*=0.86) over 1985 2014 (excluding the 1991-2 Pinatubo years,
- radius see below) in our data (Figure 7c). From this relationship we deduce $\rho = dF/dT \sim 2.4 \pm 0.5$ W m⁻² K⁻¹
- over 1985-2014 (Figure 7c) and similarly $\kappa = dN/dT \sim 0.4 \pm 0.8$ W m⁻² K⁻¹. In contrast, AOGCM
- simulations of steady increasing CO₂ generally have a larger ocean heat uptake efficiency (κ = 0.73 ±
- 742 0.18 W m⁻² K⁻¹ for years 61-80 of CMIP5 1%CO2 AOGCM simulations, Gregory et al., 2015).
- Another effect on surface temperature to consider is the possibility that the pattern of surface
 warming and/or atmospheric circulation may change the efficiency of global heat uptake (and vice
- 745 versa), thus not only is λ inconstant, but κ may also vary. Using passive ocean
- 746 uptake experiments wherein ocean circulation cannot change, Newsom et al. (2020) find that ocean
- 747 heat uptake efficiency can be expected to be smaller when warming is enhanced in the tropics
- 748 (where deep ocean ventilation is small) and larger when warming is enhanced in the high latitudes
- 749 (where deep ocean ventilation is large). With relatively small warming in the southern high latitudes,
- 750 this suggests that the surface/ocean-mixed layer might have been less efficient at fluxing heat into
- the deep ocean over the same period as the large pattern effect, potentially enhancing global
- surface warming and muting some of the impact of feedback changes. However, stronger trade
- vinds, as have been observed over 1981-2010, can also be expected to accelerate subtropical cells,
- enhancing ocean heat uptake efficiency and slowing global surface warming (England et al. 2014),
- an effect not accounted for in the passive ocean heat uptake experiments of Newsom et al. (2020).
- 756 Thus, variations in both radiative feedbacks and ocean heat uptake appear to be physically
- 757 linked through SST patterns and may even to some extent co-vary (Newsom et al. 2020).
- As our dN timeseries does not predate 1985 we cannot investigate whether κ has varied in a way that would counter changes in λ_{hist} prior to 1985. Instead, we go forward in time exploiting the
- 760 datasets up to and including 2019. This includes the major El-Nino event of 2015/2016 and marked
- 761 changes in the observed radiation budget (Loeb et al. 2020; 2021). Figure 9 illustrates the impact of
- 762 this event on the pattern of decadal surface warming. Over 1985-2014 there is marked cooling over
- 763 the eastern Pacific (Figure 9a) which is much reduced when the pattern is calculated over 1987-2016
- 764 (Figure 9b) to include the peak 2015-16 El-Nino years. The difference (Figure 9c) shows the warming
- 765 event of the 2015-16 El-Nino on the eastern Pacific, while cooling in the western Pacific, as well as a
- 766 slight reduction in Southern Ocean cooling. This is precisely the pattern of SST change we'd expect to
- 767 have an impact on λ .
- Table 4 shows the impact on 30-year derived ρ , λ and κ values moving forward in time from 2014, up
- to and including 1990-2019. Figure 7 (red crosses) shows these additional 5 years in comparison to
- the 1985-2014 ρ and λ relationships. Post 2014, λ reduces in magnitude (Table 4) and all the red
- 771 crosses fall below the 1985-2014 λ relationship in Figure 7d. λ is approximately 25% smaller in
- 772 magnitude over 1990-2019 compared to 1985-2014 (Table 4). This is consistent with process based
- arguments that a shift to eastern Pacific warming post 2014 ought to drive more positive feedbacks
- and consequently a reduction of the pattern effect over these years. It is also consistent with Loeb
- et al. (2020) who performed a similar analysis but over 2001-2014 compared to 2001-2017. They
- also showed that AGCMs were able to capture this change in radiative response. It would be useful
- for future analysis if *amip-piForcing* type simulations were extended to at least 2019 to capture the
- ⁷⁷⁸ largest change in λ (Table 4), and ideally right up to the most recent SST and sea-ice data available.
- In contrast to λ , ρ is relatively stable to these additional years (Table 4) and the 1985-2014 ρ
- relationship is found to be an excellent predictor for 2015-2019 (red crosses fall on or close to the
- 781 line, Figure 7c). A consequence of ρ being well approximated as constant but λ not, is that κ (equal to
- 782 $\rho + \lambda$) must compensate for the change in λ . Thus beyond 2014, the pattern effect declines but its

- 783 impact on surface temperature is buffered by a change in ocean heat uptake efficiency. This is
- 784 consistent with the original hypothesis that variations in SST patterns affect both heat loss to space
- 785 (radiative feedbacks) and the efficiency of heat uptake into the deep-ocean in a way that might co-
- 786 vary (Newsom et al., 2020). However, the extent of any anti-correlation is unclear, it may simply
- 787 apply to short term variability. It clearly does not apply to longer-term forced changes, given that
- 788 Gregory et al. (2015) found substantial variations in ρ , which would not occur if κ and λ were
- 789 strongly anti-correlated.
- 790 While the zero-layer model appears to work well on this short timescale (Figure 7c) we caution
- 791 against assuming all changes in ocean heat content are driven by global T, as assumed by the dN =
- 792 κdT relationship. This is because, especially on short timescales, other influences that do not
- 793 correlate with global T, such as wind-driven ocean circulation changes perhaps, will also alter ocean
- 794 heat content (England et al., 2014). In such a situation, it would be reasonable to write $N = \kappa T + U$
- 795 where U is an additional term to the heat balance, not related to global T. This implies $\kappa = N/T - U/T$,
- 796 and including this term in the forced heat balance, $N = F + \lambda T + U$, gives $\lambda = (N-F)/T - U/T$. Thus U/T
- 797 would perturb the estimate of κ (a positive number) and λ (a negative number) in opposite
- 798 directions, as we see in our data. Hence our results are potentially evidence for variation in ocean
- 799 heat content not driven by global T, but we cannot say exactly what it is – other than it does not
- 800 scale with global T.
- 801 We caution that structural errors could impact on our diagnosis. Specifically, both κ and λ are related
- 802 to dN and so any bias or error in the observed dN trend would bias κ and λ in opposite directions.
- 803 Moreover $\rho = dF/dT$ would be unaffected by any bias or error in dN, and so the anti-correlation would
- 804 compensate to leave $\rho = \kappa - \lambda$ unaffected. We illustrate this in Table 4, which shows these quantities
- 805 calculated over 1985-2014 using 5 available different versions of the DEEP-C dN datasets (see
- 806 Section 2.4). Differences in the results emerge (λ reduces in magnitude from ~-2.2 Wm⁻² K⁻¹ to ~ -2.0
- 807 Wm⁻² K⁻¹, with a compensating increase in κ) as the DEEP-C datasets transition from v3 to v4 (i.e. v2
- 808 and v3 give the same results, as do v4 and v5), highlighting the impact of potential structural errors
- 809 in these results. We do not purse the cause of the difference in the results, but it is likely due to
- 810 changes between v3 and v4 in how the DEEP-C method bridges the gap between satellite products in 811 the 1990s (a longer adjustment period and a different modelling ensemble is used) (Liu et al., 2020).
- 812
- However it is also important to note that the observational record since 2000, applying the CERES
- 813 dataset, is subject to much smaller structural uncertainty than the earlier record implying a greater
- 814 confidence in our analysis of the anomalous N variations post 2014.
- 815 4.3 Effect of the Pinatubo volcanic eruption
- 816 Finally, we comment on the effect of the Pinatubo volcanic eruption on these results. There is a large 817 negative spike in dF and dN around 1991 and 1992 (Figure 7b). While we found no impact of these 818 years on our estimate of 1985 – 2014 λ_{hist} , they have a strong impact on ρ and κ . Including these 819 years in the regression analysis, we find $\rho = dF/dT \sim 2.9 \pm 0.7$ W m⁻² K⁻¹ and $\kappa = dN/dT \sim 0.8 \pm 0.9$ W 820 $m^{-2} K^{-1}$, much larger than when these years are excluded from the analysis as above. This is 821 consistent with Gregory et al. (2015) who found the 'transient climate response parameter' (equal to 822 $1/\rho$, units K W⁻¹ m²) to explosive eruptions to be smaller (ρ larger) than that evaluated in AOGCMs 823 under steadily increasing CO₂, principally because the surface/mixed-layer readily gives up heat (κ 824 larger) in response to a short-lived forcing like an explosive volcanic eruption. Hence if the time-825 period under consideration contains large volcanic eruptions then the "zero-layer" model ($dF = \rho dT$) 826 is found to be a poor approximation (i.e. ρ not constant) over the entire time-period because it 827 neglects the importance of the upper-ocean heat capacity on short timescales (Gregory and Forster,

- 828 2008; Held et al. 2010; Gregory et al., 2016). This manifests itself as a sensitivity of ρ and κ to the 829 inclusion or exclusion of volcanic years, as we have found here.
- 830

831 **5. Summary, Discussion and Conclusions**

832 5.1 Historical feedbacks and the pattern effect

833 The dependence of radiative feedback on the pattern of SST change was investigated in fourteen 834 Atmospheric General Circulation Models (AGCMs) forced with observed variations in sea-surface-835 temperature (SST) and sea-ice over the historical record from 1871 to near-present (amip-piForcing 836 experiment). We found that the pattern effect identified in a previous model intercomparison 837 (Andrews et al, 2018) is largely robust to a wider set of new generation AGCMs with a broader range 838 of atmospheric physics and climate sensitivities. Our qualitative conclusions were not strongly 839 dependent on the AMIP II SST dataset used to force the AGCMs; indeed, the feedbacks in nine 840 AGCMs using SSTs from HadISST1 (hadSST-piForcing) were found to be strongly correlated with 841 feedbacks in *amip-piForcing*, though the magnitude of the pattern effect post 1980 was found to be 842 smaller under HadISST1 SSTs (see also Andrews et al., 2018; Lewis and Mauritsen, 2021; Zhou et al.,

- 843 2021; Fueglistaler and Silvers, 2021).
- 844 Separating the historical record at 1980, we found that over 1871-1980 the Earth warmed with a
- 845 relatively uniform warming pattern and feedbacks largely consistent and strongly correlated with
- 846 long-term *abrupt-4xCO2* feedbacks (i.e. with relatively small pattern effect Figures 2 and 5). In
- 847 contrast, post 1980 the Earth warmed with a strong tropical Pacific SST gradient (Figure 4) where
- 848 regions of deep convection warm substantially more than the tropical mean (Fueglistaler and Silvers,
- 849 2021). This drove large negative feedbacks and pattern effects in both our *amip-piForcing* and
- 850 *hadSST-piForcing* simulations, consistent with the physical understanding of how lapse-rate and
- 851 cloud feedbacks depend on tropical Pacific SST patterns (Zhou et al., 2016; Andrews and Webb,
- 852 2018; Ceppi and Gregory, 2017; Dong et al., 2019).
- As well as a large pattern effect, feedbacks post 1980 were found to be uncorrelated with long term CO₂ driven feedbacks (Figure 5). This is unfortunate, because the feedback inferred from this period
- 855 therefore does not constrain the CO₂ feedback or ECS. It is also surprising, because the period since
- ~1980 contains a well observed large global temperature response, which AOGCMs attribute to
 increasing greenhouse gases, and it avoids the aerosol forcing uncertainty issue which is small in
- increasing greenhouse gases, and it avoids the aerosol forcing uncertainty issue which is small in
 energy budget estimates of ECS over this period (at least in the global-mean; regional aerosol forcing
- could still impact on SST patterns and feedbacks) (Jiménez-de-la-Cuesta and Mauritsen, 2019).
- 860 Despite this, it turns out to be the worst period for inferring the Earth's long-term CO₂ climate
- 861 sensitivity from the observed global energy balance. Conversely, feedbacks acting earlier in the
- record (1871-1980) are representative of the long-term response (i.e. smaller pattern effect) and do
- 863 correlate with λ_{4xCO2} across models, yet this period has a smaller climate change signal and is not as
- 864 well observed, containing much larger uncertainties relative to the climate change signal (e.g. Otto
- et al., 2013), as well as a large forcing uncertainty. Hence the usefulness of this time-period is limited
- 866 for setting a constraint on λ_{hist} .
- 867 Considering the historical record as a whole is useful for informing studies that use the entire
- 868 observed record to estimate ECS via energy budget constraints (e.g. Sherwood et al. 2020). We
- 869 found that the pattern effect over 1871-2010 to be $\Delta\lambda = 0.70 \pm 0.47$ W m⁻² K⁻¹ in our *amip-piForcing*
- ensemble and Δλ = 0.48 ± 0.36 W m⁻² K⁻¹ in *hadSST-piForcing*, where the smaller uncertainty in
- 871 *hadSST-piForcing* likely reflects the narrower set of model physics in this smaller ensemble (for

872 example we do not have *hadSST-piForcing* experiments for the model (MIROC6) with the smallest

pattern effect in *amip-piForcing*). The question therefore arises as to which of these estimates ought

to be used for adjusting historical energy budget constraints on ECS for pattern effects.

875 Both Lewis and Mauritsen (2021) and Fueglistaler and Silvers (2021) showed that the AMIP II dataset 876 had the largest warm pool trends relative to the tropical-mean of all SST reconstructions they 877 considered. Hence one interpretation of our results is that the pattern effect in *amip-piForcing* might 878 usefully be regarded as an upper bound on the structural uncertainty of the experimental design to 879 observational uncertainty in SST reconstructions. A best estimate might place more weight on the 880 hadSST-piForcing pattern effects, which have warm pool trends (relative to the tropical-mean) closer 881 to the middle of the range of SST reconstructions (Fueglistaler and Silvers, 2021; Lewis and 882 Mauritsen, 2021). In that case, a best estimate of the historical pattern effect could be 0.48 ± 0.47 883 W m⁻² K⁻¹ for the time-period 1871-2010, which represents the pattern effect from hadSST-piForcing 884 but retaining the larger uncertainty from the (larger ensemble) *amip-piForcing* results. If calculated 885 over 1871-2014 the pattern effect increases by 0.05 \pm 0.05 W m⁻² K⁻¹ according to the hadSST-886 *piForcing* ensemble. This best estimate of the historical pattern effect is close to that used in 887 Sherwood et al. (2020), who assumed a value of 0.5 \pm 0.5 W m⁻² K⁻¹ (they were informed by Andrews et al. (2018) who used amip-piForcing but allowed for a potentially smaller pattern effect than that 888 889 study based on expert judgement). On the other hand, just because the AMIP II SST trends are at 890 one end of the range of SST reconstructions does not necessarily mean they are more erroneous. 891 Indeed, Zhou et al. (2021) showed that TOA radiative fluxes simulated by CAM5.3 correlated better 892 with CERES observations when forced with AMIP II SSTs rather than HadISST SSTs, suggesting the 893 results from amip-piForcing may be more reliable. In this case, the 1871-2010 pattern effect is 0.70 ± 894 $0.47 \text{ W} \text{ m}^{-2} \text{ K}^{-1}$. In the future, a model intercomparison of the pattern effect to a broader range of 895 SST reconstructions would be useful to address any outstanding structural uncertainty to SST

896 reconstructions.

897 To provide independent evidence for the historical pattern effect, we used IPCC AR6 assessed

changes in *T*, *N* and *F* between 1850-1900 to 2006-2019 (Forster et al. 2021) to estimate a historical feedback parameter of $\lambda_{hist} = (\Delta N - \Delta F)/\Delta T = -1.6 \pm 0.8$ W m⁻² K⁻¹. This was found to be in agreement with the *amip-piForcing* and *hadSST-piForcing* ensembles. IPCC AR6 also assessed the long-term ECS relevant feedback parameter (-1.16 ± 0.65 W m⁻² K⁻¹, Forster et al., 2021) from combining lines of evidence from observations, theory, process models and GCMs on individual climate feedback

- 903 processes. Contrasting this with the λ_{hist} estimate above gives an estimate of the pattern effect of 0.4
- 904 \pm 1.1 W m⁻² K⁻¹ for historical changes between 1850-1900 to 2006-2019. While the uncertainties are

substantial, this is in agreement with our GCM based estimate of the historical pattern effect.

906 5.2 Observed climate change since 1985 and ocean heat uptake efficiency

Satellite based reconstructions of the Earth's energy balance over 1985 to 2014 suggest a feedback parameter of ~-2.0 \pm 0.7 W m⁻² K⁻¹, in agreement with our *amip-piForcing* and *hadSST-piForcing* ensembles. Evidence is also emerging from satellite records in support of the physical processes and mechanisms of the pattern effect between surface temperature, atmospheric stability, cloudiness

- 911 and radiative fluxes over recent decades (e.g. Zhou et al., 2016; Ceppi and Gregory, 2017; Loeb et al.,
- 912 2020; Fueglistaler and Silvers, 2021; Ceppi and Fueglistaler, 2021).
- 913 Extending our analysis post 2014 included the major El-Nino event of 2015/2016 that was associated
- 914 with eastern-pacific warming and marked changes in the observed radiation budget (Loeb et al.
- 915 2020; 2021). Including these post 2014 years (up to and including 2019) reduced the magnitude of
- 916 the observed λ estimate by up to ~25%, consistent with eastern Pacific warming driving more

- 917 positive feedbacks (as also suggested in Loeb et al., 2020). This suggests the pattern effect that has
- 918 existed over recent decades may be waning if a shift from western to eastern Pacific warming is
- 919 maintained in the longer term, as might be expected from a change in the PDO index identified by
- 920 Loeb et al. (2021).
- 921 Given the substantial rate of global warming since 1985, what does the presence of a large pattern
- 922 effect imply for ocean heat uptake efficiency (κ)? We estimated $\kappa = dN/dT \sim 0.4 \pm 0.8$ W m⁻² K⁻¹ over
- 923 1985-2014, which is smaller (but not necessarily inconsistent) with AOGCM simulations of steady
- 924 increasing CO₂ (κ = 0.73 ± 0.18 W m⁻² K⁻¹ for years 61-80 of CMIP5 1%CO2 AOGCM simulations,
- 925 Gregory et al. 2015). It raises the possibility that the pattern of surface warming and/or atmospheric
- 926 circulation may also change the efficiency of global heat uptake, thus both λ and κ might vary and to
- 927 some extent be related (Newsom et al., 2020). If an anti-correlation existed, it could buffer the
- 928 impact of a large pattern-effect on transient climate change.
- 929 We found that despite the change in radiative feedback post 2014 when the eastern Pacific warmed,
- 930 the climate resistance $\rho = dF/dT = \kappa \lambda$ remained approximately constant, suggesting that κ and λ
- 931 co-varied. We showed that this result is potential evidence for a change in ocean heat content not
- 932 driven by global *T*. While this result is suggestive, the extent of this compensation and timescales it
- applies to remains unclear. It may simply apply to short term variability and clearly does not apply to
- 934 longer-term forced changes (e.g. Gregory et al., 2015). Future research investigating how ocean
- 935 uptake and atmospheric radiative feedbacks are linked through patterns of SST change would be
- 936 useful.

937 5.3 Outlook and Implications for AOGCMs

938 Our results raise important questions for studies that have used emergent relationships from
 939 AOGCMs to constrain ECS from recently observed decadal warming since ~1980 (e.g. Jiménez-de-la-

- 940 Cuesta and Mauritsen, 2019; Tokarska et al., 2020; Nijsse et al., 2020).
- 941 Firstly, how is it possible that AOGCMs produce an emergent relationship between their recent 942 decadal warming trends and their ECS, while our results suggest that recent decadal feedbacks 943 ought to be unrelated to ECS? One solution to this conundrum is provided by Fueglistaler and Silvers 944 (2021), who showed that AOGCMs typically do not simulate the recent configuration of tropical 945 Pacific SST patterns that gave rise to the recent pattern effect (though some models do have broad 946 agreements, e.g. Olonscheck et al. 2021, Watanabe et al. 2021). Instead, the pattern of warming in 947 AOGCMs (and thus feedbacks) over recent decades is more similar to that seen in their abrupt-948 4xCO2 simulations (Gregory et al., 2020; Dong et al. 2021). Hence AOGCMs are generally biased in 949 their simulation of the recent decadal feedbacks and the pattern effect, compared to their 950 equivalent AGCMs forced with observed SST variations, as shown in Gregory et al. (2020) and Dong
- 951 et al. (2021).
- 952 If AOGCMs are biased in their simulation of recent decadal feedbacks and the pattern effect, it
- 953 suggests they may be biased toward simulating recent decadal temperature trends that are too high;
- 954 in turn, this would bias emergent constraints that use them toward values of ECS that are too low.
- Alternatively, those models that do match the observed warming trend may do so via a
- 956 compensation of processes: too small a pattern effect balanced against too large a heat uptake into
- 957 the deep-ocean. Some evidence for the potential of this compensating behaviour is provided by
- 958 Hedemenn et al. (2017). Analysing the origins of decadal temperature variability in models, they
- 959 demonstrated an anti-correlation between the TOA radiative flux and deep-ocean (defined as below
- 100m) flux contributions to the model's surface layer and decadal temperature trends (see their

- 961 Figure 3). In other words, when the TOA radiative flux is in such a configuration to reduce its
- 962 contribution to the surface layer, then the surface/mixed-layer taps into the deep-ocean to
- 963 compensate for this loss, and vice versa. We speculate that such a configuration of TOA radiative flux
- 964 is potentially consistent with a large negative feedback, since in this configuration of atmospheric
- 965 feedbacks the surface efficiently radiates heat back to space. This again suggests a potential anti-
- 966 correlation between the ocean heat uptake efficiency and λ during unforced decadal variability
- 967 timescales as discussed previously.
- 968 Going forward, a critical question for future research is to understand what caused the particular
- 969 configuration of SST patterns over recent decades (e.g. strong warming in the western Pacific while970 cooling in the eastern Pacific and Southern Ocean, despite temperature increasing in the global-
- cooling in the eastern Pacific and Southern Ocean, despite temperature increasing in the global-mean; Figure 4 and 9), and how might this pattern evolve in the future. For example, various
- 972 hypotheses have been put forward:
- 973 1. It could represent a mode of unforced coupled atmosphere-ocean variability (e.g. 974 Xie et al., 2016; Watanabe et al. 2021), albeit an unusual one is that is rarely 975 simulated by AOGCMs (Fueglistaler and Silvers, 2021). In this scenario, we might 976 expect the pattern effect to reduce in the near-future as the configuration of 977 tropical SST patterns shift to more warming in the east than the west. There is some 978 evidence (Loeb et al. 2020; 2021) this has already begun to happen in the most 979 recent years, as we have also shown. We might therefore expect an acceleration of 980 warming trends, unless the additional heat at the surface from the reduced pattern 981 effect is tempered by compensating heat exchanges with the deep-ocean 982 (Hedemann et al. 2017).
- 983 2. Spatiotemporal variations in anthropogenic forcings such as aerosols (e.g., Smith et 984 al., 2015; Takahashi & Watanabe, 2016; Moseid et al., 2020; Heede and Fedorov, 985 2021) or explosive volcanic eruptions (Smith et al. 2015; Gregory et al. 2020) have 986 been implicated in driving tropical Pacific SST patterns. In these scenarios, the 987 pattern effect may decline with the reduction in aerosol emissions in the future, or 988 continue to have decadal variations associated with future volcanism. Whether 989 changes in deep-ocean fluxes will be accompanied with such forced changes in the 990 pattern effect is unclear.
- 9913. While not explaining the eastern Pacific cooling per se, a delayed warming in the992eastern Pacific relative to the west is an expected transient response to forcing due993to the upwelling of (as yet) unperturbed waters from below (Clement et al., 1993;994Held et al. 2010; Heede and Fedorov, 2021). The implication of this is that995eventually the eastern Pacific will warm, and hence we might expect the pattern996effect to reduce and the Earth to warm with stronger (positive) cloud feedbacks997(e.g. Dessler, 2020).
- 9984. In contrast, AOGCMs may overstate the expected warming in the eastern Pacific999(e.g. Seager et al., 2020). Under this scenario, we might expect the pattern effect to1000reduce after the eastern Pacific stops cooling, but the full pattern effect according1001to AOGCMs may never materialise if they incorrectly simulate a strong 'ENSO-like'1002pattern in their long-term response to CO2. However, a lack of eastern Pacific1003warming in the long-term seems unlikely according to paleoclimate records (Tierney1004et al. 2019; 2020).
- 10055.Teleconnections from either the Atlantic Ocean (McGregor et al. 2018) or Southern1006Ocean (Hwang et al. 2017) have potentially driven the tropical Pacific SST patterns.1007Under the scenario of an Atlantic influence, we might expect the pattern effect to

1008reduce as Atlantic SST trends evolve over the next few decades. Under the scenario1009of a Southern Ocean influence, we might expect the pattern effect to reduce as the1010Southern Ocean surface warms; this could take years to decades if the Southern1011Ocean temperature trends have been largely mediated by internal variability (e.g.,1012Zhang et al. 2019) but could take centuries or longer if Southern Ocean cooling1013continues due, for instance, to freshwater input from ongoing Antarctic ice shelf1014melt (e.g., Sadai et al. 2020).

1015 These are merely some of the proposed hypotheses, and not meant to be an exhaustive list. But 1016 whatever the reason, the fact that AOGCMs rarely simulate this pattern (e.g. Watanbe et al., 2021; 1017 Fueglistaler and Silvers, 2021; Dong et al., 2021) is a concern, suggesting either that their unforced 1018 decadal variability is deficient, or that their forced response is biased, and in either case there is a 1019 serious systematic error which affects all AOGCMs. Moreover, each of the above interpretations 1020 imply different futures, and therefore untangling them is critical for informing both near-term and 1021 long-term climate projections. This is time critical because satellite evidence suggests the Pacific SST 1022 pattern that has dominated recent decades is currently shifting (Loeb et al., 2020) and indeed the 1023 Earth's energy balance is rapidly changing with it (Loeb et al. 2021; Raghuraman et al., 2021). 1024 Predicting the near future therefore depends on maintaining the continuity of the satellite record

1025 and untangling the above mechanisms.

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- 1050

1051 Data Availability

- 1052 Global-annual-ensemble-mean dT and dN data from all *amip-piForcing*, *hadSST-piForcing* and
- 1053 *abrupt-4xCO2* simulations used in this study are provided at
- 1054 https://doi.org/10.5281/zenodo.6799004 (Andrews et al. 2022). Raw data from CMIP6 amip-
- 1055 *piForcing* simulations (indicated in Table 1) are available at <u>https://pcmdi.llnl.gov/CMIP6/</u> (Eyring et
- al., 2016). *abrupt-4xCO2* raw data for most models is available at CMIP5 (<u>https://esgf-</u>
- 1057 <u>node.llnl.gov/projects/cmip5/</u>) (Taylor et al., 2012) or CMIP6 (<u>https://pcmdi.llnl.gov/CMIP6/</u>) (Eyring
- 1058 et al., 2016). The HadCRUT5 analysis dataset is available at
- 1059 <u>https://www.metoffice.gov.uk/hadobs/hadcrut5/</u> (Morice et al., 2021). IPCC AR6 ERF timeseries is
- available at https://doi.org/10.5281/zenodo.5211358 (Smith et al., 2021). DEEP-C v5 dN radiative
- 1061 fluxes can be obtained from <u>https://doi.org/10.17864/1947.000347</u> (Lui and Allan, 2022) and
- 1062 previous versions described at http://www.met.reading.ac.uk/~sgs02rpa/research/DEEP-C/GRL/.
- 1063 The HadISST1 SSTs used to force the *hadSST-piForcing* simulations are available at
- 1064 <u>https://www.metoffice.gov.uk/hadobs/hadisst/</u> (Rayner et al. 2003).

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Table1: Summary of the Atmospheric General Circulation Model (AGM) simulations used in this study. amip-piForcing refers to an AGCM simulation
forced with time-varying observed monthly SSTs and sea-ice using the AMIP II boundary condition SST and sea-ice dataset, forcing agents such greenhouse
gases, aerosol emission etc. are kept at pre-industrial levels. hadSST-piForcing is identical in all aspects except SSTs are taken from the HadISST1 database
(sea-ice remains the same as amip-piForcing). The ensemble size and time-periods covered for each experiment and AGCM is indicated. amip-piForcing
simulations included in the CFMIP3 (Webb et al. 2017) contribution to CMIP6 are indicated by a y/n. The corresponding name of each AGCMs parent
AOGCM is indicated. Global-annual-ensemble-mean dT and dN timeseries data are available for all amip-piForcing and hadSST-piForcing AGCM simulations
(see Data Availability Statement).

AGCM	Corresponding	Model description		amip-piFor	cing	hadSST-piForcing			
	AOGCM name		CMIP6? (y/n)	Ensemble size	Time-period covered	Ensemble size	Time-period covered		
CAM4	CCSM4	Neale et al. (2013)	n	3	1870 – 2014	3	1870 – 2014		
CESM2	unchanged	Danabasoglu et al. (2020)	у	1	1870 – 2014	1	1870 - 2015		
CNRM-CM6-1	unchanged	Voldoire et al. (2019)	у	1	1870 – 2014	-	-		
CanESM5	unchanged	Swart et al. (2019)	y	3	1870 – 2014	-	-		
ECHAM6.3	MPI-ESM1.1	Mauritsen et al. (2019)	n	5	1871 – 2010	5	1871 – 2015		
GFDL-AM3	GFDL-CM3	Donner et al. (2011)	n	1	1870 – 2014	1	1870 – 2014		
GFDL-AM4	GFDL-CM4	Held et al. (2019)	n	1	1870 – 2016	1	1870 – 2016		
HadAM3	HadCM3	Pope et al. (2000)	n	4	1871 – 2012	4	1871 – 2012		
HadGEM2	HadGEM2-ES	Martin et al. (2011)	n	4	1871 – 2012	1	1871 – 2012		
HadGEM3-GC31-LL	unchanged	Williams et al. (2017)	у	1	1870 – 2014	1	1871 – 2016		
IPSL-CM6A-LR	unchanged	Boucher et al. (2020)	y	1	1870 – 2014	-	-		
MIROC6	unchanged	Tatebe et al. (2019)	y	1	1870 – 2014	-	-		
MRI-ESM2-0	unchanged	Yukimoto et al. (2019), Kawai et al. (2019)	y	1	1870 – 2014	-	-		
MPI-ESM1-2-LR	unchanged	Mauritsen et al. (2019)	n	3	1871 – 2017	3	1871 – 2017		

1415 Table 2: Feedback parameter in *amip-piForcing* and *hadSST-piForcing* simulations over various historical time-periods, as well as *abrupt-4xCO2*

1416 **sensitivity parameters.** λ values from *amip-piForcing* and *hadSST-piForcing* are calculated from OLS regression ($\lambda = dN/dT$) over the relevant time-periods 1417 using global-annual-mean timeseries data. F_{2xCO2} is calculated as $F_{4xCO2}/2$ and ECS=- F_{2x}/λ_{4xCO2} from 150 years of *abrupt-4xCO2* experiments (λ_{4xCO2} calculated

1418 over years 1-20 and 21-150 is also shown) (see Andrews et al., 2012; 2015).

			abrupt-4x	CO2		λ ₁₈₇₁₋₂₀₁	.₀ (W m⁻² K⁻¹)	λ ₁₈₇₁₋₁₉₈	₃₀ (W m⁻² K⁻¹)	λ ₁₉₈₁₋₂₀₁₀ (W m ⁻² K ⁻¹)	
	ECS (K)	<i>F</i> _{2x} (W m ⁻²)	λ _{4xCO2} (W m ⁻² K ⁻¹)	λ _{4xCO2_1-20} (W m ⁻² K ⁻¹)			AMIP HadISST1		AMIP HadISST1		HadISST1
CAM4	2.95	3.64	-1.23	-1.52	-0.94	-2.14	-1.77	-1.22	-1.45	-2.84	-2.70
CESM2	5.16	3.39	-0.66	-1.17	-0.49	-1.93	-1.49	-0.87	-0.95	-3.08	-2.92
CNRM-CM6-1	4.88	3.66	-0.75	-0.93	-0.87	-1.23	-	-1.10	-	-1.64	-
CanESM5	5.61	3.64	-0.65	-0.70	-0.59	-1.44	-	-0.93	-	-1.83	-
ECHAM6_3	3.01	4.10	-1.36	-1.47	-1.08	-1.92	-1.57	-1.43	-1.38	-2.69	-2.42
GFDL-AM3	3.99	2.97	-0.74	-1.13	-0.61	-1.44	-1.35	-0.72	-0.99	-1.90	-1.41
GFDL-AM4	3.84	3.32	-0.86	-1.54	-0.60	-1.84	-1.66	-1.33	-1.40	-2.57	-2.93
HadAM3	3.37	3.52	-1.04	-1.25	-0.75	-1.65	-1.44	-1.35	-1.40	-2.19	-1.86
HadGEM2	4.62	2.90	-0.63	-0.81	-0.33	-1.39	-1.04	-1.12	-1.08	-2.26	-1.54
HadGEM3-GC31-LL	5.54	3.49	-0.63	-0.81	-0.60	-1.28	-1.01	-0.95	-0.84	-1.87	-1.55
IPSL-CM6A-LR	4.56	3.41	-0.75	-0.98	-0.61	-1.59	-	-1.17	-	-2.50	-
MIROC6	2.58	3.72	-1.44	-1.61	-1.60	-1.42	-	-1.21	-	-1.87	-
MRI-ESM2-0	3.13	3.44	-1.10	-1.68	-0.78	-1.93	-	-1.23	-	-2.79	-
MPI-ESM1-2-LR	3.02	4.21	-1.39	-1.61	-1.34	-1.88	-1.58	-1.30	-1.45	-2.55	-2.42
MEAN	4.02	3.53	-0.95	-1.23	-0.80	-1.65	-1.43	-1.14	-1.21	-2.33	-2.19
1.645σ	1.64	0.57	0.49	0.54	0.55	0.46	0.41	0.33	0.38	0.72	0.95

- 1420 Table 3: The pattern effect ($\Delta \lambda = \lambda_{4xCO2} \lambda_{hist}$, with λ_{4xCO2} from years 1-150 of abrupt-4xCO2)
- 1421 between *abrupt-4xCO2* radiative feedback and radiative feedback calculated over different

historical periods (i.e. λ_{hist} from 1871-2010, and its separation into 1871-1980 and 1981-2010) in

1423 *amip-piForcing* and *hadSST-piForcing*, as well as their difference.

	1871 – 2010 (W m ⁻² K ⁻¹)			1	L871 – 1980 (W m ⁻² K ⁻¹)		1981 – 2010 (W m ⁻² K ⁻¹)			
	AMIP	HadSST	Diff	AMIP	HadSST	Diff	AMIP	HadSST	Diff	
CAM4	0.90	0.53	0.37	-0.01	0.22	-0.23	1.60	1.47	0.13	
CESM2	1.27	0.84	0.43	0.21	0.29	-0.08	2.43	2.26	0.17	
CNRM-CM6-1	0.48			0.35			0.89			
CanESM5	0.80			0.28			1.19			
ECHAM6_3	0.56	0.21	0.35	0.07	0.02	0.05	1.32	1.06	0.26	
GFDL-AM3	0.69	0.61	0.08	-0.03	0.24	-0.27	1.15	0.67	0.48	
GFDL-AM4	0.97	0.80	0.17	0.47	0.53	-0.06	1.70	2.07	-0.37	
HadAM3	0.61	0.40	0.21	0.31	0.35	-0.04	1.15	0.82	0.33	
HadGEM2	0.76	0.41	0.35	0.49	0.45	0.04	1.63	0.91	0.72	
HadGEM3-GC31-LL	0.65	0.38	0.27	0.32	0.21	0.11	1.24	0.92	0.32	
IPSL-CM6A-LR	0.84			0.43			1.76			
MIROC6	-0.02			-0.23			0.42			
MRI-ESM2-0	0.83			0.14			1.69			
MPI-ESM1-2-LR	0.49	0.19	0.30	-0.09	0.06	-0.15	1.16	1.03	0.13	
MEAN	0.70	0.48	0.28	0.19	0.26	-0.07	1.38	1.24	0.24	
1.645σ	0.47	0.36	0.17	0.35	0.26	0.20	0.75	0.88	0.46	

- 1425 Table 4: Comparison of the 1985-2014 climate resistance ($\rho = dF/dT$), feedback parameter (- $\lambda = -$
- 1426 d(N F)/dT and ocean heat uptake efficiency ($\kappa = dN/dT$) using different versions of the DEEP-C
- 1427 (Allan et al., 2014) satellite based reconstruction of dN (see Section 2.4). The lower half of the
- 1428 table shows how ρ , λ and κ estimates change as the 30 year moving window advances to 1990-
- 1429 **2019.** In all calculations HadCRUT5 analysis d*T* (Morice et al. 2021) and IPCC AR6 d*F* (Forster et al.,
- 1430 **2021; Smith et al., 2021) are used. Years 1991-2 are excluded from the calculation as these years**
- are identified as being strongly impacted by the volcanic forcing from the Pinatubo eruption
- 1432 (Section 4).

dN dataset version	Start year	End year	ρ (W m ⁻² K ⁻¹)	-λ (W m ⁻² K ⁻¹)	к (W m ⁻² К ⁻¹)
DEEP-C v2G			2.38	2.24	0.14
DEEP-C v3			2.38	2.24	0.14
DEEP-C v3G	1985	2014	2.38	2.24	0.14
DEEP-C v4			2.38	1.98	0.41
DEEP-C v5			2.38	1.98	0.41
DEEP-C v5	1986	2015	2.38	1.75	0.63
DEEP-C v5	1987	2016	2.25	1.55	0.70
DEEP-C v5	1988	2017	2.21	1.62	0.59
DEEP-C v5	1989	2018	2.23	1.66	0.57
DEEP-C v5	1990	2019	2.30	1.44	0.86



1434

Figure 1: Comparison of multi-model ensemble-annual-mean (a) dT and (b) dN in the amip-1435 1436 piForcing and hadSST-piForcing simulations. (c) and (d) shows the difference in dT and dN respectively, highlighting 1980 as a key year where the dN response diverges according to the SST 1437 dataset. In (a) the HadCRUT5 observed dT evolution is shown for comparison. (e) and (f) show the 1438 1439 relationship between global-annual-mean dT and dN in amip-piForcing and hadSST-piForcing 1440 respectively, where $\lambda = dN/dT$ is calculated from OLS regression on the global-annual-mean data 1441 points. The stated 5-95% uncertainty is ±1.645o from the standard error of the linear fit. (g) and 1442 (h) show the dT and dN relationship separated into two time-periods: years 1871-1980 (grey) and years 1981-2010 (blue). The multi-model ensemble-means are restricted to the nine AGCMs that 1443 1444 performed both simulations (see Table 1).





1446Figure 2: (a) Relationship between the feedback parameter, λ , in the *amip-piForcing* and *hadSST-*1447*piForcing* simulations over various historical time-periods. Each point is a single AGCM. The1448shaded grey region shows the range of λ_{4xCO2} from the AGCMs corresponding parent AOGCM1449*abrupt-4xCO2* simulation. The one-to-one line (dotted) is shown. (b) Relationship between the1450pattern effect, $\Delta\lambda = \lambda_{4xCO2} - \lambda_{hist}$, diagnosed from the *amip-piForcing* and *hadSST-piForcing*1451simulations over various historical time-periods.



1453Figure 3: Relationship across models (dots) between the feedback parameter in amip-piForcing1454(calculated over years 1871-2010) and abrupt-4xCO2 simulation (calculated over years 1-150). The1455net feedback parameter is decomposed into its longwave clear-sky, SW clear-sky and cloud1456radiative effect components.



1459periods 1870-1980 and 1981-2010 in (a) and (b) amip-piForcing and (c) and (d) hadSST-piForcing.1460Patterns are calculated from the slope of the linear regression of local temperature change against1461global-mean temperature change using annual-mean data points. Note that by definition the

1457 1458

1462global-means are unity. Data from HadGEM3-GC31-LL simulations have been used for this1463illustration.



1465Figure 5: Relationships between model simulated feedbacks in amip-piForcing over years 1871-14661980 (blue) or 1981-2010 (grey) and (a) λ_{4xCO2} from abrupt-4xCO2, (b) λ_{hist} over the entire historical1467record (1871-2010), (c) λ_{4xCO2} from abrupt-4xCO2 over years 1-20 and (d) years 21-150.





1469 Figure 6: Decadal variation in the feedback parameter λ from 1871 to 2010. Left column shows results from amip-piForcing and right column shows results from hadSST-piForcing. Each grey line 1470 1471 represents a single AGCM (see Table 1). Thick black is the ensemble-mean of the results. X-axis 1472 represents the centre of a 30 year moving window in which $\lambda = dN/dT$ is calculated from OLS 1473 regression on annual-mean data, i.e. λ at 1980.5 represents the feedback parameter over years 1966 to 1995. Shown in (a) and (b) is the net feedback parameter. Blue dots and lines represent 1474 1475 the corresponding λ_{4xCO2} values from AOGCM *abrupt-4xCO2* simulations (Table 2). Red shows an 1476 observational estimate and 5-95% uncertainty of $\lambda = d(N - F)/dT \sim -2.0 \pm 0.7$ W m⁻² K⁻¹ over years 1477 1985-2014 (see Section 4). (c) – (h) shows the corresponding LW clear-sky, SW clear-sky and cloud 1478 radiative effect (CRE) components of λ .





1480 Figure 7: Observational estimate of the Earth's 1985-2019 energy balance. All points are globalannual-means. (a) dT (HadCRUT5 analysis dataset; Morice et al., 2021), (b) dN (DEEP-C v5; Allan et 1481 al., 2014; Liu and Allan, 2022) and dF (IPCC AR6; Forster et al., 2021; Smith et al., 2021). (c) ρ = 1482 1483 dF/dT relationship and (d) $-\lambda_{hist}=-d(N-F)/dT$ relationship over years 1985-2014. Black dots are global-annual means over years 1985-2014 excluding years 1991-2 which are strongly influenced 1484 by the Pinatubo explosive volcanic eruption (see red line panel b). Red points in (c) and (d) are 1485 years 2015-2019. The stated 5-95% uncertainties are ±1.645 from the standard error of the linear 1486 1487 fit.



1489 Figure 8: Comparison of the 1985-2014 feedback parameter, $\lambda_{hist} = d(N - F)/dT$, in *amip-piForcing*

1490 and *hadSST-piForcing* simulations to an observed estimate based on DEEP-C V5 dN (Allan et al.,

14912014; Liu and Allan, 2022), HadCRUT5 analysis dT (Morice et al. 2021) and IPCC AR6 dF (Forster et1492al., 2021; Smith et al., 2021). The 5-95% uncertainty is simply 1.645σ from the standard error of

the linear fit, with no allowance for systematic uncertainties. Note also that years **1991-2** are

1494 excluded from the calculation as these years are identified as being strongly impacted by the

1495 volcanic forcing from the Pinatubo eruption (Figure 7b).



1497Figure 9: Pattern of near-surface temperature change (local dT per global-mean dT) for the time-1498periods (a) 1985-2014 and (b) 1987-2016, and (c) shows the difference (b minus a). Data is the1499HadCRUT5 analysis dataset (Morice et al. 2021). Patterns are calculated from the slope of the1500linear regression of local temperature change against global-mean temperature change using1501annual-mean data points. Note that by definition the global-means of panels (a) and (b) are unity.

On the effect of historical SST patterns on radiative feedback

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Supplementary Tables

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Table S2: Shortwave clear-sky feedback parameters in *amip-piForcing* and *hadSST-piForcing* simulations over various historical time-periods, as well as *abrupt-4xCO2* sensitivity parameters.

Table S3: Cloud radiative effect feedback parameters in *amip-piForcing* and *hadSST-piForcing* simulations over various historical time-periods, as well as *abrupt-4xCO2* sensitivity parameters.

Table S4: Growth of the historical feedback parameter, λ_{hist} , from 2010 to 2014 in *amip-piForcing* and *hadSST-piForcing*.

		abrupt-4xCO2			λ ₁₈₇₁₋₂₀₁₀ (W m ⁻² K ⁻¹)			$\lambda_{1871-1980}$ (W m ⁻² K ⁻¹)			λ ₁₉₈₁₋₂₀₁₀ (W m ⁻² K ⁻¹)		
	λ _{4xCO2} (W m ⁻² K ⁻¹)	$\lambda_{4xCO2_{1}-20}$ (W m ⁻² K ⁻¹)	λ _{4xCO2_21-150} (W m ⁻² K ⁻¹)	AMIP	HadISST1		AMIP	HadISST1		AMIP	HadISST1		
CAM4	-1.95	-1.99	-1.90	-2.10	-2.07		-1.99	-2.03		-2.19	-2.20		
CESM2	-1.81	-1.88	-1.74	-2.18	-2.07		-2.01	-1.95		-2.53	-2.26		
CNRM-CM6-1	-1.76	-1.81	-1.74	-2.13			-1.91			-2.23			
CanESM5	-1.84	-1.89	-1.81	-2.23			-2.17			-2.33			
ECHAM6_3	-1.74	-1.75	-1.68	-2.07	-2.03		-1.94	-1.93		-2.20	-2.19		
GFDL-AM3	-1.94	-2.03	-1.93	-2.18	-2.20		-1.88	-1.97		-2.34	-2.28		
GFDL-AM4	-1.81	-1.90	-1.78	-2.18	-2.14		-2.03	-2.07		-2.23	-2.32		
HadAM3	-1.79	-1.84	-1.71	-2.14	-2.08		-2.04	-2.02		-2.22	-2.16		
HadGEM2	-1.66	-1.81	-1.64	-2.10	-2.08		-1.96	-1.97		-2.16	-1.94		
HadGEM3-GC31-LL	-1.80	-1.88	-1.78	-2.27	-2.17		-2.08	-2.07		-2.28	-2.24		
IPSL-CM6A-LR	-1.55	-1.58	-1.54	-1.91			-1.81			-1.95			
MIROC6	-1.94	-1.99	-1.91	-1.83			-1.78			-2.15			
MRI-ESM2-0	-1.94	-2.04	-1.86	-2.23			-1.94			-2.47			
MPI-ESM1-2-LR	-1.78	-1.81	-1.78	-2.06	-2.00		-1.89	-1.91		-2.13	-2.16		
MEAN	-1.81	-1.87	-1.77	-2.12	-2.09		-1.96	-1.99		-2.24	-2.20		
1.645*sigma	0.18	0.19	0.17	0.19	0.10		0.17	0.09		0.23	0.17		

Table S1: Longwave clear-sky feedback parameters in *amip-piForcing* and *hadSST-piForcing* simulations over various historical time-periods, as well as *abrupt-4xCO2* sensitivity parameters.

	abrupt-4xCO2		λ ₁₈₇₁₋₂₀₁₀	λ ₁₈₇₁₋₂₀₁₀ (W m ⁻² K ⁻¹)			λ ₁₈₇₁₋₁₉₈₀ (W m ⁻² K ⁻¹)			λ ₁₉₈₁₋₂₀₁₀ (W m ⁻² K ⁻¹)		
	λ _{4xCO2} (W m ⁻² K ⁻¹)	λ _{4xCO2_1-20} (W m ⁻² K ⁻¹)	λ _{4xCO2_21-150} (W m ⁻² K ⁻¹)	AMIP	HadISST1		AMIP	HadISST1		AMIP	HadISST1	
CAM4	0.87	0.84	0.89	0.99	0.98		0.77	0.73		0.50	0.39	
CESM2	0.54	0.72	0.44	0.77	0.88		0.74	0.83		0.40	0.29	
CNRM-CM6-1	0.82	0.84	0.60	1.01			0.72			0.47		
CanESM5	0.78	0.82	0.74	0.87			0.75			0.58		
ECHAM6_3	0.66	0.67	0.69	0.88	0.90		0.61	0.63		0.42	0.41	
GFDL-AM3	0.69	0.65	0.67	0.77	0.76		0.65	0.64		0.63	0.43	
GFDL-AM4	0.77	0.79	0.67	0.74	0.75		0.59	0.58		0.26	0.36	
HadAM3	0.58	0.58	0.58	0.78	0.79		0.57	0.55		0.43	0.46	
HadGEM2	0.67	1.05	0.77	0.74	0.99		0.56	0.68		0.15	0.33	
HadGEM3-GC31-LL	0.66	0.74	0.56	0.82	0.90		0.70	0.75		0.33	0.48	
IPSL-CM6A-LR	0.80	0.78	0.81	0.95			0.72			0.46		
MIROC6	0.78	0.75	0.63	0.92			0.91			0.41		
MRI-ESM2-0	0.83	0.97	0.81	0.87			0.68			0.35		
MPI-ESM1-2-LR	0.63	0.52	0.61	0.90	0.91		0.63	0.63		0.39	0.33	
MEAN	0.72	0.76	0.68	0.86	0.87		0.69	0.67		0.41	0.39	
1.645*sigma	0.16	0.22	0.19	0.14	0.14		0.15	0.14		0.19	0.10	

Table S2: Shortwave clear-sky feedback parameters in *amip-piForcing* and *hadSST-piForcing* simulations over various historical time-periods, as well as *abrupt-4xCO2* sensitivity parameters.

	abrupt-4xCO2		λ ₁₈₇₁₋₂₀₁₀	$\lambda_{1871-2010}$ (W m ⁻² K ⁻¹)			$\lambda_{1871-1980}$ (W m ⁻² K ⁻¹)			λ ₁₉₈₁₋₂₀₁₀ (W m ⁻² K ⁻¹)		
	λ _{4xCO2} (W m ⁻² K ⁻¹)	λ _{4xCO2_1-20} (W m ⁻² K ⁻¹)	λ _{4xCO2_21-150} (W m ⁻² K ⁻¹)	AMIP	HadISST1		AMIP	HadISST1		ΑΜΙΡ	HadISST1	
CAM4	-0.15	-0.37	0.08	-1.02	-0.67		0.00	-0.15		-1.15	-0.89	
CESM2	0.62	-0.01	0.81	-0.52	-0.30		0.40	0.18		-0.96	-0.95	
CNRM-CM6-1	0.20	0.03	0.27	-0.10			0.10			0.12		
CanESM5	0.41	0.37	0.48	-0.08			0.49			-0.09		
ECHAM6_3	-0.27	-0.39	-0.08	-0.73	-0.45		-0.10	-0.08		-0.91	-0.64	
GFDL-AM3	0.51	0.25	0.65	-0.03	0.09		0.51	0.34		-0.18	0.43	
GFDL-AM4	0.18	-0.43	0.51	-0.39	-0.27		0.10	0.09		-0.60	-0.97	
HadAM3	0.16	0.01	0.38	-0.29	-0.15		0.11	0.07		-0.41	-0.16	
HadGEM2	0.36	-0.05	0.54	-0.04	0.05		0.28	0.21		-0.26	0.07	
HadGEM3-GC31-LL	0.51	0.33	0.61	0.17	0.26		0.43	0.48		0.08	0.21	
IPSL-CM6A-LR	0.01	-0.17	0.13	-0.64			-0.08			-1.01		
MIROC6	-0.29	-0.36	-0.32	-0.51			-0.34			-0.12		
MRI-ESM2-0	0.01	-0.60	0.27	-0.57			0.02			-0.68		
MPI-ESM1-2-LR	-0.24	-0.32	-0.17	-0.72	-0.49		-0.05	-0.17		-0.82	-0.59	
MEAN	0.14	-0.12	0.30	-0.39	-0.21		0.13	0.11		-0.50	-0.39	
1.645*sigma	0.49	0.48	0.53	0.54	0.47		0.40	0.34		0.68	0.83	

Table S3: Cloud radiative effect feedback parameters in *amip-piForcing* and *hadSST-piForcing* simulations over various historical time-periods, as well as *abrupt-4xCO2* sensitivity parameters.

	AMI	ν λ _{hist} (W m ⁻² K ⁻	¹)	HadSS	T λ _{hist} (W m ⁻² k	(⁻¹)
	1871-2010	1871-2014	change	1871-2010	1871-2014	change
CAM4	-2.14	-2.24	-0.10	-1.77	-1.81	-0.05
CESM2	-1.93	-2.09	-0.16	-1.49	-1.59	-0.10
CNRM-CM6-1	-1.23	-1.27	-0.04	-	-	-
CanESM5	-1.44	-1.48	-0.04	-	-	-
GFDL-AM3	-1.44	-1.48	-0.04	-1.35	-1.38	-0.03
GFDL-AM4	-1.84	-1.90	-0.07	-1.66	-1.68	-0.01
HadGEM3-GC31-LL	-1.28	-1.33	-0.04	-1.01	-1.09	-0.08
IPSL-CM6A-LR	-1.59	-1.65	-0.06	-	-	-
MIROC6	-1.42	-1.50	-0.08	-	-	-
MRI-ESM2-0	-1.93	-1.97	-0.05	-	-	-
MPI-ESM1-2-LR	-1.88	-1.92	-0.04	-1.58	-1.64	-0.06
MEAN	-1.65	-1.71	-0.07	-1.48	-1.53	-0.05
1.645*sigma	0.48	0.51	0.06	0.41 0.39		0.05

Table S4: Growth of the historical feedback parameter, λ_{hist} , from 2010 to 2014 in *amip-piForcing* and *hadSST-piForcing*. Shown is λ_{hist} calculated over 1871-2010 and 1871-2014, and their difference.