

STEEP: a remotely-sensed energy balance model for evapotranspiration estimation in seasonally dry tropical forests

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Accepted Version

Bezerra, U. A., Cunha, J., Valente, F., Nóbrega, R. L. B., Andrade, J. M., Moura, M. S.B., Verhoef, A. ORCID: https://orcid.org/0000-0002-9498-6696, Perez-Marin, A. M. and Galvão, C. O. (2023) STEEP: a remotely-sensed energy balance model for evapotranspiration estimation in seasonally dry tropical forests. Agricultural and Forest Meteorology, 333. 109408. ISSN 0168-1923 doi: 10.1016/j.agrformet.2023.109408 Available at https://centaur.reading.ac.uk/111295/

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To link to this article DOI: http://dx.doi.org/10.1016/j.agrformet.2023.109408

Publisher: Elsevier

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1	STEEP: a remotely-sensed energy balance model for evapotranspiration estimation in
2	seasonally dry tropical forests
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15	Highlights
16	• STEEP is a RS-based SEB model from a one-source bulk transfer equation for SDTF.
17	 STEEP includes improved representations of phenology and soil moisture for SDTF.
18	• STEEP is tested against eddy covariance data from the largest SDTF in South America.
19	• STEEP exhibits satisfactory metrics and outperforms SEBAL, MOD16, and PMLv2.
20	Abstract
21	Improvement of evapotranspiration (ET) estimates using remote sensing (RS) products based on
22	multispectral and thermal sensors has been a breakthrough in hydrological research. In large-scale
23	applications, methods that use the approach of RS-based surface energy balance (SEB) models
24	often rely on oversimplifications. The use of these models for Seasonally Dry Tropical Forests
25	(SDTF) has been challenging due to incompatibilities between the assumptions underlying those
26	models and the specificities of this environment, such as the highly contrasting phenological phases
27	or ET being mainly controlled by soil-water availability. We developed a RS-based SEB model from
28	a one-source bulk transfer equation, called Seasonal Tropical Ecosystem Energy Partitioning

29 (STEEP). Our model uses the plant area index to represent the woody structure of the plants in

30 calculating the moment roughness length. We included the parameter kB^{-1} and its correction using

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RS soil moisture in the calculation of the aerodynamic resistance for heat transfer.

32 Besides, λET caused by remaining water availability in endmembers pixels was guantified using the Priestley-Taylor equation. We implemented the algorithm on Google Earth Engine, using freely 33 34 available data. To evaluate our model, we used eddy covariance data from four sites in the Caatinga, 35 the largest SDTF in South America, in the Brazilian semiarid region. Our results show that STEEP 36 increased the accuracy of ET estimates without requiring any additional climatological information. This improvement is more pronounced during the dry season, which, in general, ET for these SDTF 37 38 is overestimated by traditional SEB models, such as the Surface Energy Balance Algorithms for Land 39 (SEBAL). The STEEP model had similar or superior behavior and performance statistics relative to 40 global ET products (MOD16 and PMLv2). This work contributes to an improved understanding of the 41 drivers and modulators of the energy and water balances at local and regional scales in SDTF.

42 Keywords: Sensible heat flux, Aerodynamic resistance for heat transfer, Surface energy balance,

43 Caatinga, Google Earth Engine

44

45 **1. Introduction**

46 Quantifying evapotranspiration (ET) is one of the largest research challenges in hydrology 47 because ET is driven by a complex combination of atmospheric, vegetation, edaphic, and terrain 48 characteristics (Wang et al., 2016; Bhattarai et al., 2017). The traditional techniques to quantify ET, e.g. Bowen ratio or eddy covariance system (EC), are limited to areas up to ~10 km² (Allen et al., 49 50 2011; Anapalli et al., 2016; Mcshane et al., 2017; Mallick et al., 2018; Chu et al., 2021). Over the 51 past decades, models based on satellite remote sensing (RS) data have been increasingly 52 developed and applied to estimate ET for multiple temporal and spatial scales (Anderson et al., 2011; 53 Chen and Liu, 2020). RS-based surface energy balance (SEB) models estimate ET in terms of energy per unit area (e.g. W/m²), i.e. by latent heat flux, λET , where λ is the latent heat of vaporization 54 of water (Shuttleworth, 2012; Barraza et al., 2017; Trebs et al., 2021). SEB models obtain λET by 55 56 subtracting the soil heat (G) and sensible heat (H) fluxes from the net radiation (R_n). Estimates of R_n obtained with RS data have been improving, and this flux can nowadays be estimated with 57 acceptable precision (Allen et al., 2011; Ferreira et al., 2020). The $G:R_n$ ratio can be predicted with 58 reasonable accuracy through the use of empirical relationships with soil, vegetation, and temperature 59 60 characteristics (Bastiaanssen, 1995; Murray and Verhoef, 2007; Allen et al., 2011; Danelichen et al.,

61 2014). Challenges in estimating λET as a residual of the energy balance are mostly associated with 62 the uncertainties in *H* (Gokmen et al., 2012; Paul et al., 2014; Mohan et al., 2020a, Mohan et al., 63 2020b; Costa-Filho et al., 2021). The bulk heat transfer calculation that is used to compute *H* involves 64 variables related to the temperature gradient and to the aerodynamic resistance for heat transfer 65 (*rah*). If any of these variables are poorly estimated, the performance of SEB models will be reduced 66 (Verhoef et al., 1997a, b; Su et al., 2001; Gokmen et al., 2012; Costa-Filho et al., 2021; Liu et al., 67 2021; Trebs et al., 2021).

68 The difference between the aerodynamic surface temperature and air temperature (dT)69 drives H. However, the lack of techniques to measure the aerodynamic surface temperature required 70 strategies to use the radiometric land surface temperature (LST) as an alternative. Bastiaanssen et 71 al. (1998), when creating the Surface Energy Balance Algorithms for Land (SEBAL), proposed that 72 dT can be estimated with a linear relationship on LST. This requires identifying areas with contrasting 73 extreme conditions in terms of cover and humidity, e.g., dry bare and well-watered soil surfaces. 74 commonly known as hot/dry and cold/wet endmembers, respectively. The sensible heat transfer 75 equation in conjunction with the surface energy balance in hot/dry and cold/wet endmembers allows 76 one to obtain the coefficients of the linear relationship between dT and LST. Bastiaanssen et al. 77 (1998) proposed the selection of endmembers by assuming that H in the cold/wet endmember and 78 λET in the hot/dry endmember are zero. However, these assumptions are not necessarily valid 79 (Singh and Irmak, 2011; Singh et al., 2012). The cold/wet endmember refers to an area with a well-80 irrigated crop surface having ground fully covered by vegetation, so it can be assumed that a non-81 negligible amount of sensible heat can still be generated by such a surface. Similarly, for the hot/dry 82 endmember, an area dominated by bare soil, there may be a λET resulting from antecedent rainfall events, hereafter referred to as remaining λET . Some studies have quantified H and λET in hot/dry 83 84 and cold/wet endmembers (Trezza, 2006; Allen et al., 2007; Singh and Irmak, 2011); they have 85 shown that this quantification produces a better approximation of daily ET.

Based on the Monin-Obukhov similarity theory, *rah* is defined as a function of the momentum (*z0m*) and heat (*z0h*) roughness lengths. Theoretically, the sum of the zero plane displacement height (*d0*) together with *z0h* defines the level of the effective source of sensible heat (Thom, 1972; Chehbouni et al., 1996; Gokmen et al., 2012) and, therefore, *z0h* constitutes one of the most crucial

90 parameters for the accurate calculation of H (Verhoef et al., 1997a; Su et al., 2001). However, as 91 z0h cannot be measured directly, it is commonly calculated via the dimensionless parameter kB^{-1} 92 formulated to express the excess resistance of heat transfer compared to momentum transfer (Owen 93 and Thomson, 1963). In RS-based SEB models, oversimplifications are present in the calculation of 94 rah, e.g. different land use types are represented by the same values for z0h (Bastiaanssen et al., 2005; Allen et al., 2007) and kB^{-1} (Bastiaanssen et al., 1998), or the values for the aerodynamic 95 96 parameters are kept constant in time and space. However, these parameters should not be 97 considered constant, nor set to zero, because this can lead to large inaccuracies in the estimates of 98 H (Verhoef et al., 1997a) and, consequently, of λET (Liu et al., 2007; Paul et al., 2014; Liu et al., 99 2021). Studies have shown that kB^{-1} typically ranges from 1 to 12, depending on the dominant 100 surface coverage (Kustas et al., 1989a; Troufleau et al., 1997; Verhoef et al., 1997a; Lhomme et al., 101 2000; Su et al., 2001). Studies confirm that if appropriate values of kB^{-1} are used, H can be accurately 102 estimated using LST via the bulk transfer method (Stewart et al., 1994; Su et al., 2001; Jia et al., 103 2003; Paul et al., 2013).

104 Another problem with RS-based SEB models is that these methods are imprecise when 105 applied to non-agricultural environments, such as forests, deserts, sparse savannahs or rangelands, 106 and riparian systems, because of the heterogeneous nature of the vegetation, terrain, soils, and 107 water availability in these environments. This causes the flux estimates obtained with the SEB 108 methods, and the underlying aerodynamic parameters, to be highly variable (Allen et al., 2011; 109 Gokmen et al., 2012; Barraza et al., 2017; Chen and Liu, 2020; Costa-Filho et al., 2021). This is 110 especially true in Seasonally Dry Tropical Forests (SDTF) regions, where there is a large spatio-111 temporal variation in vegetation density, in vegetation structural parameters such as canopy height, 112 crown shape and branching, and water availability. SDTF are an important tropical biome and one 113 of the most threatened ecoregions of the world (Moro et al., 2015; Pennington et al., 2018). SDTF 114 are broadly defined as forest formations in tropical regions characterised by marked seasonality in rainfall distribution, resulting in a prolonged dry season that usually lasts five or six months 115 (Pennington et al., 2009; Paloschi et al., 2020). The most extensive contiguous areas of SDTF are 116 117 in the neotropics, comprising more than 60% of the remaining global stands of this vegetation (Miles 118 et al., 2006; Queiroz et al., 2017). The physiognomies exhibited by SDTF are heterogeneous, with

119 vegetation ranging from tall forests with closed canopies to scrublands rich in succulents and thornbearing plants (Moro et al., 2015; Paloschi et al., 2020). SDTF foliage patterns are adapted to the 120 121 intense climate and water seasonality, which is highly dependent on interannual climate variability 122 (Alberton et al., 2017; Medeiros et al., 2022). The vegetation drops most leaves during the dry 123 season, and the first rainfall events trigger a rapid leaf growth in the wet season (Alberton et al., 2017; Paloschi et al., 2020; Medeiros et al., 2022). SDTF are being rapidly degraded (12% between 124 125 1980 and 2000), highlighting an urgent priority for their conservation (Moro et al., 2015; Maia et al., 126 2020). The risks faced by SDTF mainly stem from anthropogenic disturbance effects, which range 127 from local habitat loss to global climate change, leading to biodiversity loss and reductions in biomass 128 (Allen et al., 2017; Maia et al., 2020).

129 Application of SEB models to estimate evapotranspiration over SDTF has been challenging 130 due to the incompatibility between the existing assumptions of the models and the specificities of these forests. Precipitation seasonality is the primary phenological regulator of SDTF (Moro et al., 131 2016; Campos et al., 2019; Paloschi et al., 2020), and land-cover patterns show distinct intra- and 132 inter-annual spectral responses (Cunha et al., 2020; Andrade et al., 2021; Medeiros et al., 2022). 133 134 Therefore, biophysical remotely-sensed variables, such as Normalized Difference Vegetation Index 135 (NDVI) and surface albedo, which are usually used to select the endmembers, exhibit high spatial 136 and temporal variability in SDTF, which causes ET estimates from the SEB models to lack fidelity 137 (Silva et al., 2019). Selection of suitable roughness parameters such as zOm, dO, and kB^{-1} is 138 important for the correct quantification of the energy balance in SDTF. However, these parameters 139 are more challenging to obtain in SDTF than for evergreen forests, as in addition to vegetation height, 140 other characteristics such as plant density, above-ground plant structure and the strong seasonality of phenology (Alberton et al., 2017; Miranda et al., 2020; Paloschi et al., 2020) have a considerable 141 142 effect on the turbulent transfer in these forests. Another key issue is how to verify the results of SEB methods due to the scarcity, in many regions, of terrestrial observations and the uneven 143 spatiotemporal distribution of monitoring data. SEB models may not satisfactorily represent ET in 144 regions with sparse vegetation and high climatic seasonality, such as SDTF (Senkondo et al., 2019; 145 146 Laipelt et al., 2021; Melo et al., 2021). The main reason is that these methods have generally been 147 evaluated and/or parameterized using sites located in other ecosystems and climates in North

148 America, Europe, Australia, East Asia, and in agricultural regions that have characteristics guite 149 distinct from SDTF (Melo et al., 2021). Therefore, a better quantification of ET, especially in regions 150 with high climatic seasonality, will help to design better water management policies that will be able 151 to deal with the effects of climate variability, land use/cover and climate changes (Lima et al., 2021). 152 We hypothesise that a SEB model that improves or considers estimates of rah via z0m and kB^{-1} will improve H and ET for STDF. To test this assumption, we introduce a novel calibration-free 153 154 SEB model based upon a one-source bulk transfer equation, herein referred to as Seasonal Tropical 155 Ecosystem Energy Partitioning (STEEP). The STEEP model aims to improve H and ET estimates 156 for STDF by incorporating the woody structure of plants through the Plant Area Index (PAI), and soil 157 moisture obtained by remote sensing to help represent the seasonality of the aerodynamic and 158 surface variables that drive the energy fluxes. To obtain the coefficients of the linear relationship 159 between dT and LST its coefficients, we computed H by the surface energy balance, and the remaining λET through the principle of the Priestley-Taylor equation in the hot/dry and cold/wet 160 161 endmembers. STEEP is designed to take advantage of the extensive free database available on the Google Earth Engine (GEE) cloud computing environment. STEEP is herein evaluated at the field 162 163 scale against four flux towers in the Caatinga, the largest continuous SDTF in the Americas. 164 Additionally, the model was compared with SEBAL and two consolidated global ET products: MOD16 (Mu et al., 2011; Running et al., 2017) and PMLv2 (Zhang et al., 2019). 165

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167 **2. Methodology**

168 2.1 Study areas and respective data

169 The study concerns the Brazilian Caatinga, located between the Equator and the Tropic of 170 Capricorn (about 3 and 18° south), in the Brazilian semiarid region. It covers an area of about 171 850,000 km² (Silva et al., 2017a; Andrade et al., 2021; Brazil MMA, 2021). The climate in the 172 Caatinga is characterized by high air temperatures (around 26-30° C) and high potential 173 evapotranspiration (1.500-2.000 mm/year) coupled with low annual rainfall (300-800 mm/year, normally concentrated in 3-6 months) with high intra- and inter-annual variability in space and time, 174 175 and a long dry season which sometimes lasts up to 11 months in some areas of Caatinga (Moro et 176 al., 2016; Miranda et al., 2018; Paloschi et al., 2020). The Caatinga vegetation has at least thirteen

physiognomies ranging from woods to sparse thorny shrubs, morphologically adapted to resist water
stress and high air temperatures (Araújo et al., 2009; Silva et al., 2017a; Marques et al., 2020;
Miranda et al., 2020), and it has been identified as one of the most biodiverse SDTF regions globally
(Pennington et al., 2006; Santos et al., 2014; Koch et al., 2017). Still, the Caatinga and other SDTF
are among the least studied ecoregions compared to tropical forests and savannas (Santos et al.,
2012; Koch et al., 2017; Tomasella et al., 2018; Borges et al., 2020). Only 1% of the Brazilian
Caatinga area is legally protected (Koch et al., 2017).

184 We used data from four sites located in the Caatinga (Fig. 1 and Table 1). The surrounding 185 areas of each of our study sites — which exceeds these EC towers footprints — are homogeneously 186 covered by Caatinga vegetation (Fig. S1). Located on crystalline terrain (Fig. 1a), these Caatinga 187 sites have soils with highly variable properties, ranging from fertile (those with a clayey texture) to 188 poor (those soils that are sandier). However, most soils of the SDTF are typically shallow and stony (i.e. Entisols, Alfisols, and Ultisols; WRB, 2006), retaining water only for a short period between 189 190 rainfall events and after the rainy season (Moro et al., 2015; Queiroz et al., 2017). The wet and (dry) 191 seasons from the sites Petrolina (PTN) are concentrated in Jan-Apr (May-Dec: Souza et al., 2015): 192 Serra Negra do Norte (SNN) in Jan-May (June-Dec; Marques et al., 2020); Serra Talhada (SET) in 193 Nov-Apr (May-Oct; Silva et al., 2017b) and Campina Grande (CGR) in Mar-July (Aug-Feb; Oliveira 194 et al., 2021). The climate of the four observation sites is semi-arid, type BSh (Fig. 1b) according to 195 the Köppen climate classification (Alvares et al., 2013).

196 Eddy covariance data, covering several periods from 2011 to 2020 (Fig. 1c), were used to 197 evaluate the modelled ET and H. The four sites were instrumented with five flux towers equipped 198 with three-dimensional ultrasonic anemometers (CSAT3, Campbell Scientific Inc., Logan, UT, USA 199 in all the sites except CGR 2020) and open-path infrared gas analysers (LI-7500, LI-COR Inc., 200 Lincoln, NE, USA, in the PTN site, or EC150, Campbell Scientific Inc., Logan, UT, USA, in the SET, 201 SNN, and CGR 2014 sites). In the more recent experiment (CGR 2020), the flux tower was equipped 202 with an IRGASON (Campbell Scientific Inc., Logan, UT, USA) that integrates the two sensors in just 203 one instrument. ET data for the PTN, SNN, and SET sites have been previously described; they underwent standard procedures to ensure their quality and were published by Melo et al. (2021). 204 205 Observations at the CGR site were collected through two micrometeorological towers, located in a

206 dense Caatinga area within the Brazilian National Institute of Semiarid (INSA) experimental area, a 207 300 ha forest reserve with different stages of regeneration. The first tower (height of 7 m) was active 208 between the years of 2014 and 2017, as described in Oliveira et al. (2021). The second tower (height 209 of 15 m) is part of the Caatinga Observatory (OCA) and includes an EC system that has been 210 collecting data since 2020. The OCA is a laboratory maintained by the Federal University of Campina 211 Grande and INSA. H data for the PTN, SNN and CGR sites have been obtained from the respective 212 principal investigators, while data for the SET site have been obtained from the AmeriFlux network 213 (Antonino, 2019). For the retrieval of λET and H, LoggerNet software (Campbell Scientific, Inc., 214 Logan, UT, USA) was used in order to transform 10 Hz raw data into 30 min binaries. Afterwards, EdiRe software (Campbell Scientific Inc., Logan, UT, USA) was used to process the high-frequency 215 216 data, averaging every 30 min. The data from the EC flow towers in CGR have previously gone 217 through standard procedures to ensure their quality. Detailed information on data processing, quality 218 control, and post-processing can be found in Campos et al. (2019) and Cabral et al. (2020). The raw 219 data from the CGR flux tower were processed by Easy-flux data processing software (Campbell 220 Scientific Inc., Logan, UT, USA). In addition, data for any day with rainfall greater than 0.5 mm were 221 removed. The daily ET was calculated using the daily average λET .

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Sites	State of Brazil	Mean annual of rainfall (mm) ¹	Site average elevation (m)	Main tree species	Location (Lon;Lat)	Data availability	Wet / Dry Seasons	Main reference
Petrolina (PTN)	Pernambuco	428.6	395	Commiphora leptophloeos, Schinopsis brasiliensis, Mimosa tenuiflora, Cenostigma microphyllum, Sapium glandulosum	-40.3212; -9.0465	Jan–Dec 2011	Jan-Apr / May-Dec	Souza et al. (2015)
Serra Negra do Norte (SNN)	Rio Grande do Norte	629.5	205	Caesalpinia pyramidalis, Aspidosperma pyrifolium, Anadenanthera colubrina, Croton blanchetianus	-37.2514; -6.5783	Jan–Dec 2014	Jan-May / June- Dec	Marques et al. (2020)
Serra Talhada (SET)	Pernambuco	648	465	Mimosa hostilis, Mimosa verrucosa, Croton sonderianus, Anadenthera macrocarpa, Spondias tuberosa	-38.3842; -7.9682	Jan–Dec 2015	Nov-Apr / May-Oct	Silva et al. (2017b)
Campina Grande (CGR)	Paraíba	777	490	Croton blanchetianus, Mimosa ophthalmocentra, Poincianella pyramidalis, Allophylus quercifolius, Mimosa sp. ²	-35.9750; -7.2798	Jan–Dec 2014	Mar-July / Aug- Feb	Oliveira et al. (2021)
Campina Grande (CGR)	Paraíba	777	490	Croton blanchetianus, Mimosa ophthalmocentra, Poincianella pyramidalis, Allophylus quercifolius, Mimosa sp. ²	-35.9763; -7.2805	Jan–Dec 2020	Mar-July / Aug- Feb	This study

Table 1. List of EC-equipped flux tower observation sites in the study area.

- ¹ Rainfall Data Sources: Brazilian National Institute of Meteorology (INMET) and Pernambuco State Agency for Water and Climate (APAC).
- 230 ² Barbosa et al. (2020).





232 Fig. 1. Location of flux tower observation sites in Caatinga. a) Geographical overview of the 233 Caatinga (Moro et al., 2015), b) Köppen's climate classification map: Tropical zone with dry summer 234 (As), Tropical zone with dry winter (Aw), Dry zone semi-arid low latitude and altitude (Bsh), Humid 235 subtropical zone without dry season and with hot summer (Cfa), Humid subtropical zone with dry 236 winter and hot summer (Cwa), Humid subtropical zone with dry winter and temperate summer 237 (Cwb), Humid subtropical zone with dry winter and short and cool summer (Cwc), Humid 238 subtropical zone with dry summer and hot (Csa), according to Alvares et al. (2013) and c) Data 239 availability on the observation sites after procedures to ensure their quality.

240 2.2 The Seasonal Tropical Ecosystem Energy Partitioning (STEEP) model

241 SEB models have been applied in many parts of the world (Mohan et al., 2020a). The one-242 source SEB models that are most commonly found in the literature are SEBAL (Bastiaanssen et al., 243 1998), Surface Energy Balance System (SEBS; Su, 2002), Mapping EvapoTranspiration at high 244 Resolution with Internal Calibration (METRIC; Allen et al., 2007), and Operational Simplified Surface 245 Energy Balance (SSEBop; Senay et al., 2013). As in other SEB models, STEEP performs the energy 246 balance at the time of satellite overpass (instantaneous) to obtain λET as the surface energy balance residual. The computation of R_n and G, necessary to get λET , followed the procedures described in 247 248 Ferreira et al. (2020) and Bastiaanssen et al. (2002), respectively, but with input data from the 249 Moderate-Resolution Imaging Spectroradiometer (MODIS) sensor. H was calculated following the methods described in Table 2: using *rah* and *dT*, both traditionally applied in SEB models, but also 250 251 focusing on peculiarities of SDTF that have never been considered in other SEB models. In this 252 proposed version, rah was described according to Verhoef et al. (1997a) and Paul et al. (2013), 253 which requires, among other parameters/variables, the momentum roughness length (zOm), the zero plane displacement height (d0), the dimensionless parameter kB^{-1} , and the atmospheric stability 254 255 corrections (Paulson, 1970). z0m is influenced by a range of plant structural properties, e.g. 256 vegetation height, breadth and vegetation drag coefficients, and spacing (or density). z0m is 257 commonly computed as a function of Leaf Area Index (LAI; Verhoef et al., 1997b; Liu et al., 2021). 258 However, most SDTF plants spend a substantial part of the year without leaves; under these 259 conditions, *z0m* should be derived from information on dimensions of trunks, stems, and branches. 260 Since LAI is only related to leaf cover quantity and variability, it cannot represent the woody plant 261 structure without leaves (Miranda et al., 2020). Therefore, the Plant Area Index (PAI), which is the 262 total above-ground plant area, i.e. leaves and woody structures, was used to represent plant 263 structures in the computation of *z*0*m* and *d*0.

264 To incorporate the conditions of water variability in the forest system in the calculation of 265 sensible heat we applied the procedure described in Gokmen et al. (2012) that corrects the kB^{-1} 266 equation presented in Su et al. (2001), incorporating soil moisture obtained by remote sensing. The 267 canopy conductance profiles are the link between soil moisture and sensible/latent heat flux. The 268 source of sensible/latent heat moves vertically throughout the canopy as a function of plant water 269 stress (Gokmen et al., 2012; Bonan et al., 2021), which affects heat roughness length, and, therefore, 270 kB^{1} and rah. Thus, when there is a reduction in soil moisture, there is also a reduction in the value 271 of rah and, consequently, an increase of H and a decrease in λET . Furthermore, to calculate dT, we 272 used the linear relationship on LST, using the assumption of extreme contrast in terms of cover and 273 soil wetness (hot/dry and cold/wet endmembers) to determine the linear relationship coefficients. 274 However, in the hot/dry and cold/wet endmembers pixels, H was computed by the surface energy 275 balance (Allen et al., 2007), and the remaining λET was incorporated through the Priestley-Taylor 276 (1972) equation and plant physiological constraints following the approach in Singh and Irmak (2011) 277 and French et al. (2015). PAI and soil moisture time series used in our study can be seen in Fig. S2.

- 278 The references for the methods and equations adopted to formulate the STEEP model can be found
- in Table 2 and Appendix A, respectively. For illustration purposes, Table 2 also shows the references
- for the methods for one of the most widely used RS SEB models, the SEBAL model.
- 281 Table 2. References for the methods used in the STEEP and SEBAL models to obtain the sensible
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heat flux.

Variable/Parameter	STEEP	SEBAL
Aerodynamic resistance for heat transfer (<i>rah</i>)	Verhoef et al., 1997a; Paul et al., 2013	Bastiaanssen et al., 2002; Laipelt et al., 2021
Roughness length for momentum transfer (<i>z0m</i>)	Verhoef et al., 1997b; Paul et al., 2013, replacing LAI with PAI	Bastiaanssen et al., 2002; Laipelt et al., 2021
Zero plane displacement height (<i>d0</i>)	Verhoef et al., 1997b; Paul et al., 2013	-
Plant Area Index (PAI)	Miranda et al., 2020	-
Parameter kB ⁻¹	Su et al., 2001	uses <i>z0h</i> with constant value (0.1); Bastiaanssen et al., 2002
Correction of soil moisture by remote sensing in <i>kB</i> ⁻¹	Gokmen et al., 2012	-
Calculation of the <i>H</i> and the remaining <i>λET</i> in endmembers pixels	Allen et al., 2007; Singh and Irmak, 2011; French et al., 2015	Calculation of the <i>H</i> in the hot/dry endmember only; Bastiaanssen et al., 2002

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284 2.3 Algorithm implementation and processing

We implemented STEEP on the Google Earth Engine (GEE) cloud computing environment (Gorelick et al., 2017) using the Python API (version 3.6). Statistical analyses to evaluate the performance of the models were also conducted in Python and implemented in the Jupyter programming environment. The Python package geemap (Wu, 2020) enabled the integration of Python with the GEE environment, and the hydrostats package (Roberts et al., 2018) was used for the statistical evaluation of the performance of the models.

We designed the application of the model to take advantage of the data available on GEE (Table 3). The remote sensing datasets were derived from MODIS sensor products, the Shuttle Radar Topography Mission (SRTM; Farr et al., 2007), and the Global Forest Canopy Height product provided vegetation height (Potapov et al., 2021). The climate data necessary to run the model, i.e. wind speed, air temperature, relative humidity, shortwave radiation, and net thermal radiation at the surface, were sourced from the ERA5-Land reanalysis product (Muñoz Sabater, 2019). For data

297 regarding soil moisture, we used the Global Land Data Assimilation System (GLDAS) product

298 (Rodell et al., 2004). CHIRPS precipitation product (Funk et al., 2015) was used to estimate the daily

299 rainfall amount at the sites evaluated.

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Table 3. Description of the datasets available on the GEE platform used in the research.

Product	GEE ID	Bands/variables	Time coverage	Spatial resolution	Temporal resolution
MCD43A4.006	MODIS/006/ MCD43A4	B1–B7	Feb 2000– present	0.5 km	1 day
MOD09GA.006	MODIS/006/ MOD09GA	SolarZenith	Feb 2000– present	1 km	1 day
MOD11A1.006	MODIS/006/ MOD11A1	LST_Day_1km; Emis_31, Emis_32	Mar 2000– present	1 km	1 day
SRTM	USGS/SRT MGL1_003	Elevation	Feb 2000	0.03 km	-
ERA5-Land	ECMWF/ER A5_LAND/H OURLY	dewpoint_temperature_2m, temperature_2m, u_component_of_wind_10, v_component_of_wind_10m, surface_net_solar_radiation _hourly, surface_net_thermal_radiati on_hourly	Jan 1981– present	0.1°	1 h
GLDAS	NASA/GLDA S/V021/NOA H/G025/T3H	SoilMoi0_10cm_inst	Jan 2000– present	0.25°	3 h
Global Forest Canopy Height, 2019	users/potapo vpeter/GEDI _V27	-	Apr 2019	0.03 km	-
CHIRPS	UCSB- CHG/CHIRP S/DAILY	Precipitation	Jan 1981– present	0.05°	1 day
MOD16A2.006	MODIS/006/ MOD16A2	ET	Jan 2001– present	0.5 km	8 days
PML_V2	projects/pml _evapotrans piration/PML /OUTPUT/P ML_V2_8da y_v016	Es, Ec, Ei	Feb 2000– present	0.5 km	8 days

301

302 The presence of clouds or instrumental malfunctioning of orbital sensors can cause gaps in

303 data. To reduce the loss of information due to missing data, we chose to use the MODIS MCD43A4

304 reflectance product. By combining reflectance data from MODIS sensors aboard the AQUA and 305 TERRA satellites and modelling the anisotropic scattering characteristics using sixteen-day quality 306 observations, the MCD43A4 product represents the daily dynamics of the Earth's surface without 307 missing data (Schaaf and Wang, 2015). Daily surface reflectance data from the MCD43A4 product 308 were used to obtain the surface albedo and vegetation indices (NDVI and PAI) needed to run STEEP. 309 Thus, the surface albedo data and the vegetation indices show a low percentage of missing data. 310 To compose the LST time series, we used data from MOD11A1, and to fill its missing data, a filter 311 with the average value for a monthly window was applied. This procedure is similar to the method 312 proposed by Zhao et al. (2005) and it is also used by the MOD16 algorithm to generate the 313 continuous global ET (Mu et al., 2011).

314 Following the approach in comparable studies, STEEP algorithm processing was conducted 315 with automatic selection of endmembers pixels (Bhattarai et al., 2017; Silva et al., 2019; Laipelt et 316 al., 2021). Like Silva et al. (2019), we used the biophysical variables NDVI, surface albedo and LST 317 to automate selection of the endmembers, but we applied different criteria. For the hot/dry 318 endmember selection, the first step consisted of selecting those pixels whose surface albedo values 319 are between the 50 and 75% guantiles, and with NDVI values greater than 0.1 and less than the 320 15% quantile. After this first selection, a refinement is applied by selecting only those pixels from this 321 first set that have LST values between the 85 and 97% quantiles. Using the set of pixels that met 322 these criteria, the median values of R_n , G, LST and rah were calculated to establish a single value 323 for each variable and describe the characteristics of the hot pixel. We applied a similar procedure to 324 select the cold/wet endmember but with different limits (Table 4). The procedure for finding 325 endmembers was conducted daily. To execute the model and conduct the selection of endmembers, 326 we used an area of interest (AOI), also known as domain size. AOI was defined as a square area 327 with 1000-km sides within the Caatinga domain and centred on the tower coordinates of each site. Cheng et al. (2021), for example, applied the SEBAL using MODIS data in China and used an AOI 328 of 1200-km x 1200-km. 329

330

Table 4. Methodology used for the selection of endmembers pixels.

Endmembers

	Hot/dry pixel	Cold/wet pixel
Step 1	Q50% < surface albedo < Q75% and 0.10 < NDVI < Q15%	Q25% < surface albedo < Q50% and NDVI > Q97%
Step 2	of the pixels of the 1st Step, select pixels with Q85% < LST < Q97%	of the pixels of the 1st Step, select pixels with LST < Q20%
Step 3	Of the set of pixels that met the previous and <i>rah</i> were calculated to establish describe the character	s steps, the median values of <i>R_n</i> , <i>G</i> , LST a single value for each variable and ristics of endmembers
= quantile.		

332 2.4 Analysis of the algorithms' performance

331

We used SEBAL as a reference RS SEB model for comparison with STEEP. SEBAL is one 333 334 of the most applied SEB models since the algorithm uses a minimal number of in situ measurements 335 compared to similar models, e.g. METRIC and SSEBop, and is considered a suitable choice for 336 evapotranspiration estimates over cropped areas and in the context of water resource management 337 (Kayser et al., 2022). Applications with SEBAL have been conducted in the Caatinga as in the studies 338 of Teixeira et al. (2009), Santos et al. (2020), Costa et al. (2021), and Lima et al. (2021). 339 Implementations of the SEBAL algorithm are popular on several computing platforms, e.g. GRASS-340 Python (Lima et al., 2021); Google Earth Engine (Laipelt et al., 2021); Python (Mhawej et al., 2020), 341 following the formulations described in Bastiaanssen et al. (1998) and Bastiaanssen et al. (2002). 342 The SEBAL version implemented in this work followed those presented by Bastiaanssen et al. 343 (2002), Costa et al. (2021) and Laipelt et al. (2021). The remote sensing datasets and endmembers 344 pixels selection for SEBAL were the same as described in STEEP.

345 ET and H estimates from STEEP and SEBAL were evaluated against the eddy covariance 346 measurements of the corresponding tower. Here, the modelled values were extracted for the pixel 347 representing the EC tower for each observation site. The footprint fetches for PTN, SET, SNN is less 348 than 500 m (Silva et al., 2017b; Campos et al., 2019; Santos, et al., 2020). We assume a similar 349 footprint for CGR due to its similarity in terms of wind characteristics and terrain slope compared to 350 the other sites. Moreover, the surrounding areas of each of our study sites (Fig. S1) - which exceeds 351 these EC towers footprints - are homogeneously covered by Caatinga vegetation. We evaluated 352 daily ET values, and instantaneous hourly H values more specifically with the modelled/measured H 353 value at 11:00 am local time (GMT-3), considering this is the closest time to the satellite's overpass. 354 Additionally, the STEEP model was compared with two consolidated global ET products available 355 on GEE: MODIS Global Terrestrial Evapotranspiration A2 version 6 (MOD16; Mu et al., 2011; 356 Running et al., 2017) and Penman-Monteith-Leuning model version 2 global evaporation (PMLv2; 357 Zhang et al., 2019); both products have a pixel resolution of 500 m (Table 3). The algorithm used in 358 MOD16 is based on the Penman-Monteith equation and driven by MODIS remote sensing data with 359 Modern-Era Retrospective analysis for Research and Applications (MERRA; Mu et al., 2011). In 360 MOD16 ET is the sum of soil evaporation (Es), canopy transpiration (Tc) and wet-canopy evaporation 361 (Ec) and is provided as eight-day *cumulative* values. More details about MOD16 can be found in Mu et al. (2011) and Running et al. (2017). The global PMLv2 product involves a biophysical model 362 363 based on the Penman-Monteith-Leuning equation which also uses MODIS remote sensing data, but 364 with meteorological reanalysis data from GLDAS as model inputs. As in MOD16, ET in PMLv2 is 365 also the sum of Es. Tc and Ec but is provided as eight-day average values. To make MOD16 and PMLv2 values compatible, ET of PMLv2 was multiplied by eight. Details about PMLv2 can be found 366 367 in Gan et al. (2018) and Zhang et al. (2019). We accumulated the daily ET measured at the 368 observation sites, i.e. derived from EC data, and ET modelled with STEEP for the same eight-day 369 time periods to make them compatible with the temporal resolution of the MOD16 and PMLv2 370 datasets. The average of the measured daily values over each eight-day time period (even if there 371 were missing values within this period) was multiplied by eight to calculate the observed 8-day ET. 372 To match the time steps of STEEP and MOD16/PMLv2 ET values, the 8-day average of the 373 evaporative fraction (EF) was multiplied by the daily net radiation over those 8 days, assuming that 374 EF can be considered constant in each of these periods. Then the ET was summed over the 8-day 375 interval. Finally, we also compared the modelled ET (by STEEP and the two global products) with 376 the observed ET, only in the 8-day periods when no field-observed data was missing. However, with 377 this criterion the number of observations dropped dramatically.

The STEEP and SEBAL models and global ET products were evaluated with five performance metrics (Table 5). A combination of performance metrics is often used to assess the overall performance of models because a single metric provides only a projection of a certain aspect of the error characteristics (Chai and Draxler, 2014). Root mean square error (*RMSE*) is commonly used

382 to express the accuracy of the results with the advantage that it presents error values in the same 383 units of the variable analysed; optimal values are close to zero (Hallak and Pereira Filho, 2011). 384 Coefficient of determination (R²) represents the quality of the linear trend between observed and 385 simulated data and ranges from 0 to 1; high values indicate better model performance. Nash-386 Sutcliffe efficiency (NSE) indicates the accuracy of the model output compared to the average of the referred data (NSE = 1 is the optimal value; Nash and Sutcliffe, 1970). Concordance correlation 387 388 coefficient (ρc) is a measure that evaluates how well bivariate data falls on the 1:1 line. ρc measures 389 both precision and accuracy. It ranges from -1 to +1 similar to Pearson's correlation coefficient, with 390 perfect agreement at +1 (Lin, 1989; Liao and Lewis, 2000; Akoglu, 2018). Percentage bias (PBIAS) 391 measures the average relative difference between observed and estimated values, with an optimal 392 value of 0 (Gupta et al., 1999). Additionally, we evaluate STEEP's model structure by extracting 393 model's performance metrics after excluding it from its main implementations individually (Table 2) 394 and by two-by-two combinations of zOm, rah and $r\lambda ET$. We run the control version of the SEB model, 395 i.e. SEBAL in our case, while incorporating one or two improvements in the model and keeping the 396 remaining parts of the algorithm the same as the reference SEB model.

397

Table 5. Performance metrics used to evaluate ET and *H* in this study.

Performance	Equation	Range
metric	I I	(Perfect value)
Root mean	$\sum N (M = 0)^2$	
square error	$RMSE = \int \frac{\sum_{i=1}^{n} (M_i - O_i)^2}{N}$	[0, + ∞ [(0)
(RMSE)	\sqrt{N}	
Coefficient of	$\left[\sum_{i=1}^{N} (o_{i} \overline{o}) (M_{i} \overline{M}) \right]^{2}$	
determination	$R^{2} = \frac{[\Sigma_{i=1}(O_{i} - O)(M_{i} - M_{i})]}{\Sigma^{N} (O_{i} - \overline{O})^{2} \Sigma^{N} (M_{i} - \overline{M})^{2}}$	[0, 1] (1)
(<i>R</i> ²)	$\sum_{i=1}^{N} (O_i - O)^2 \cdot \sum_{i=1}^{N} (M_i - M)^2$	
Nash–Sutcliffe	$\sum_{i=1}^{N} (M_i - O_i)^2$	1~ 11(1)
efficiency (NSE)	$NSE = 1 - \frac{1}{\sum_{i=1}^{N} (O_i - \bar{O})^2}$]-∞, 1] (1)
Concordance	$2\Sigma^N (0, -\bar{0})(M, -\bar{M})$	
correlation	$\rho c = \frac{2 \sum_{i=1}^{N} (O_i - O_i) (M_i - M_i)}{\sum_{i=1}^{N} (O_i - O_i)^2 + \sum_{i=1}^{N} (M_i - M_i)^2 + (M_i - 1) (\overline{O}_i - \overline{M})^2}$	[-1, 1] (1)
coefficient (pc)	$\sum_{i=1}^{n} (O_i - O)^2 + \sum_{i=1}^{n} (M_i - M)^2 + (N - 1)(O - M)^2$	
Percentage bias	$\sum_{i=1}^{N} (M_i - O_i) \cdot 100$	
(PBIAS)	$PBIAS \equiv \frac{\sum_{i=1}^{N} O_i}{\sum_{i=1}^{N} O_i}$]-∞, +∞ [(U)
· · · · ·		

398 where: *N* sample size; *O* observed value; *M* modelled value; \overline{O} observed mean; \overline{M} modelled mean.

399 **3. Results and discussion**

400 3.1 Comparison of STEEP and SEBAL models results with observed (EC) values

401 The performance statistics of daily ET by STEEP and SEBAL in wet and dry seasons for the 402 evaluated sites are shown in Fig. 2. In general, STEEP exhibited a better performance than SEBAL. 403 Although the better statistical metrics of STEEP were in the dry season, in the wet season, they were 404 also superior compared to SEBAL. Specifically, in the dry season, STEEP exhibited a RMSE 405 between 0.6 and 1.06 mm/day, while SEBAL this was between 1.06 and 2.24 mm/day. The maximum 406 value of R² in STEEP was 0.62 (sites PTN and SNN), whereas SEBAL achieved only 0.33. The NSE 407 metric was the worst among the five analysed in SEBAL: values lower than -7.5 occurred in three of 408 the five sites. Although in STEEP, PTN and SNN sites NSE had values higher than 0 (0.55 and 0.25, 409 respectively) the other sites also had negative values, reaching up to -2.5. In terms of pc, values 410 ranged from 0.09 to 0.77 in STEEP and from -0.04 to 0.41 in SEBAL. It is also possible to see the 411 reduction that STEEP has brought to ET modelling in terms of *PBIAS* when compared to SEBAL.

412



414 Fig. 2. Results of the performance statistics of daily ET in wet and dry seasons for evaluated sites. Globally, without discriminating between wet and dry seasons, STEEP exhibited better 415 statistical performance than SEBAL at all the evaluated sites (Fig. 3). While STEEP exhibited a 416 417 RMSE between 0.75 and 0.94 mm/day, the RMSE for SEBAL was between 1.08 and 1.75 mm/day. 418 In terms of R^2 , the values were between 0.24 to 0.69 for STEEP, and were below 0.2 for SEBAL for 419 all sites except in SNN (0.55). Similarly, NSE and pc values were higher for STEEP compared to 420 SEBAL. For STEEP, all sites had NSE and pc values above -0.42 and 0.41, respectively, whereas 421 all sites except SNN had values below these limits for SEBAL. Both models overestimated ET 422 (PBIAS > 0), with the exception of the STEEP estimates for the PTN site. The highest overestimation 423 by the STEEP model was less than 60%, whereas in SEBAL it was greater than 140%.

424 SEBAL metrics concerning the modelled ET were similar to those found in other studies. 425 Laipelt et al. (2021) found R² ranging from 0.18 to 0.87 when applying SEBAL and comparing it with 426 data from ten EC towers located in different Brazilian biomes (Amazon, Cerrado, Pantanal, and 427 Pampa). Cheng et al. (2021) obtained R² of 0.53-0.77 and RMSE of 0.89-1.02 mm/day when comparing estimates from SEBAL and EC towers on different land covers in China. Costa et al. 428 429 (2021), when applying SEBAL in the Caatinga, found R² and NSE values of 0.57 and 0.36, 430 respectively. Santos et al. (2020) modelled ET with SEBAL at the SNN site for the 2014–2016 period 431 and obtained R² and RMSE values of 0.28 and 1.43 mm/day, respectively. For this site, we obtained 432 R² and RMSE of 0.55 and 1.08 mm/day, respectively, for the year 2014 using SEBAL.

433 STEEP exhibited a greater seasonal accuracy compared to SEBAL (Fig. 3), as evidenced by 434 the goodness-of-fit between simulated and observed values expressed by the NSE indicator. STEEP 435 estimates followed the same temporal evolution as the observed values. STEEP satisfactorily 436 captured both minimum and maximum ET values, including after rainfall events, this is particularly evident in Fig. 3a, where the two observed ET peaks in late 2011 - between DOY 300 and 360 -437 438 in the PTN site were captured nicely by STEEP. This improved performance can be explained 439 because soil moisture is incorporated in the STEEP algorithm. In semi-arid regions and particularly 440 in the SDTF, besides the availability of energy, evapotranspiration is highly dependent on the soilwater availability (Lima et al., 2012; Carvalho et al., 2018; Mutti et al., 2019; Paloschi et al., 2020). 441 442 In rainy months, low daily ET rates are often observed due to the reduced levels of incoming radiation 443 caused by high cloud cover (Mutti et al., 2019; Paloschi et al., 2020). Towards the end of the wet 444 period, when the available energy increases, the daily ET values also increase as a result of the high 445 soil water availability from previous precipitation events (Allen et al., 2011; Margues et al., 2020). In the transition period from the rainy to the dry season, the leaves do not fall immediately (see Table 446 447 1, main tree species). Instead, leaf-shedding depends on the environmental conditions in each 448 location, including the rainy season duration, and species composition (Lima and Rodal, 2010; Lima 449 et al., 2012; Miranda et al., 2020; Paloschi et al., 2020; Queiroz et al., 2020; Medeiros et al., 2022). 450 The remaining water available in the soil or previously accumulated in plant tissues is sufficient for 451 the Caatinga vegetation to maintain its leaves, for short periods, at levels similar to the rainy season 452 (Barbosa et al., 2006; Mutti et al., 2019). However, in the dry season, when soil moisture reaches its

453 lowest levels, the Caatinga vegetation enters a state of dormancy that is accompanied by leaf drop 454 and a drastic reduction of photosynthetic activity (and hence of transpiration) as a strategy to cope 455 with the lack of available soil moisture (Dombroski et al., 2011; Paloschi et al., 2020). This resilience 456 mechanism is typical of xerophytic and/or deciduous species such as those found in the Caatinga (Lima et al., 2012; Mutti et al., 2019; Paloschi et al., 2020), and explains the low rates of ET in the 457 dry season. In contrast, in SEBAL, which does not consider water availability, it was observed that 458 the daily ET followed the course of the daily net radiation throughout the year, especially in the dry 459 460 period of each of the experimental sites. This is in agreement with the results of Kayser et al. (2022), who pointed out that estimates with SEBAL can be seasonally accurate in locations where the main 461 462 driver of ET is the available energy. Our results highlight that SEB models such as SEBAL, which 463 are formulated to be mainly dependent on energy availability and do not consider soil and plant water 464 availability, may not satisfactorily represent ET in semi-arid vegetation such as that found in the SDTF (Gokmen et al., 2012; Paul et al., 2014; Melo et al., 2021). 465



Fig. 3. Observed and modelled daily evapotranspiration (ET, mm/day) for the different
experimental sites: a) and b) PTN 2011, c) and d) SNN 2014, e) and f) SET 2015, g) and h) CGR
2014, i) and j) CGR 2020. The black lines represent observed ET; the red crosses and points are
STEEP and SEBAL estimates, respectively; the blue bars represent CHIRPS daily rainfall; the gray
region represents daily net radiation from ERA5-land.

472 The core of the STEEP and SEBAL algorithms is based on finding λET as the residual of the 473 energy balance; however, they differ with regards to the approach used to calculate H. In the STEEP 474 model, the seasonal variation of *H* fitted the observed values of the instantaneous measurements at 475 11:00 am (local time) better than SEBAL, for all the sites (Fig. 4). Our results show that an improvement in H leads to a correspondent in ET estimates. This is contrary to the findings of Faivre 476 et al. (2017), who used the same formulation for kB^{-1} applied in our study, but included four different 477 478 methods to compute *z0m*. While STEEP estimates of *H* exhibited *pc* values over 0.5 for three of the 479 five sites, SEBAL Hestimates exhibited pc values below 0.5 for all sites. When wet and dry seasons 480 data are analysed separately (Fig. 5), the same trend is observed in the results: in general, the 481 STEEP model presents better statistical metrics than SEBAL.



484 Fig. 4. Observed and modelled instantaneous sensible heat flux (*H*, at 11:00 am, W/m²) for the 485 different experimental sites: a), b) and c) PTN 2011, d), e) and f) SNN 2014, g), h) and i) SET

488

2015, j), k) and l) CGR 2014, m), n) and o) CGR 2020. The blue line represents the observed

487 values; the red crosses and grey points correspond to the STEEP and SEBAL estimates,

respectively. The black line is the 1:1 line.



490 Fig. 5. Results of the performance statistics of instantaneous sensible heat flux (*H*, at 11:00 am,
 491 W/m²) in wet and dry seasons, for the evaluated sites.

492 Evaluation of the STEEP and SEBAL daily ET and instantaneous H for all experimental sites 493 (Fig. 6) indicates that both models lack a high performance for H estimates, although the use of 494 STEEP resulted in better statistical measures than when SEBAL was employed (Fig. 6b). This 495 substantiates previous findings (Gokmen et al., 2012; Paul et al., 2014; Trebs et al., 2021), that have 496 shown the tendency of underestimation (overestimation) of H (ET) at water-limited sites. It can be 497 seen that the overestimation of *H* by the STEEP model, compared to SEBAL, produced modelled 498 ET values that were closer to the EC measurements (see Fig. 3 and 4). We ascribe the poor 499 performance of H in the models relative to observed data to the continuous H oscillations throughout 500 the day (Campos et al., 2019; Lima et al., 2021). As we compare an instantaneous H estimate 501 (STEEP or SEBAL) to the 30-min H average measurement (EC), it is expected that modelled H 502 performs worse than daily ET for the same site and period. Furthermore, for sites with fewer 503 observations of H (SET 2015 and CGR 2020), especially in the dry season, the metrics showed that 504 STEEP did not perform as well, for each season, as other sites with more data available. Still, these 505 limited data were sufficient to show that STEEP outperformed SEBAL in estimating H.



507



We attribute the better performance of STEEP over SEBAL for the Brazilian Caatinga to at 512 513 least three reasons, shown in order of impact of model implementation on its performance (Fig. 7 514 and Table S1). First, by quantifying the remaining λET in the endmembers pixels through the 515 Priestley-Taylor equation, a more reliable estimate of H in the endmembers pixels can be obtained. 516 as was also evidenced by Singh and Irmak (2011). This process is critical for the subsequent 517 numerical calculation of H in SEB models that use dT, as its accuracy is closely related to quantifying 518 the energy balance at the hot and cold endmembers (Trezza, 2006; Allen et al., 2007; Singh and 519 Irmak, 2011; Singh et al., 2012). Secondly, roughness characteristics near the surface where the 520 heat fluxes originate are parameterised by z0m, which depends on several factors, such as wind 521 direction, height and type of the vegetation cover (Kustas et al., 1989b). Estimation of z0m only with 522 an exponential relationship, as a function of vegetation indices, may be an oversimplification (Kustas 523 et al., 1989a; Paul et al., 2013). In our study, z0m and d0 are calculated with the equations and 524 coefficients proposed in Raupach (1994) and Verhoef et al. (1997b), and using PAI because this 525 index better represents the intra-annual phenological changes in the Caatinga (Miranda et al., 2020). This procedure considers the characteristics of SDTF, such as seasonality of phenology and 526 527 vegetation height, that considerably affect the quantification of turbulent transfer (Liu et al., 2021). 528 Third, our study uses the equation described in Verhoef et al. (1997a) and Paul et al. (2013) to 529 estimate rah, which considers the differences between heat and momentum transfer, unlike the original equation employed in other SEB models e.g. SEBAL or METRIC that only considers z0m 530 and sets z0h = 0.1 when computing this resistance. Furthermore, we account for the kB^{-1} parameter 531 532 that varies in space and time and incorporates the soil moisture content obtained by RS (Su et al., 533 2001; Gokmen et al., 2012). ET estimation is best represented with a spatially varying kB^{-1} values, 534 as pointed out by the studies of Gokmen et al. (2012) and Paul et al. (2014). Long et al. (2011) report that the introduction of these fixed values (zOh or kB^{-1}) has a significant impact on the magnitudes of 535 536 the estimates of H. Furthermore, Mallick et al. (2018) and Trebs et al. (2021) indicate that the 537 parameterization of rah can influence the estimation of ET, especially in SEB models that are largely 538 dependent on rah. Our results show that including just one or two of the refinements had only partial 539 performance gains (Fig. 7 and Table S1). In contrast, all the proposed STEEP improvements when 540 implemented together resulted in the best performance metrics for all sites.





Fig. 7. Change of the concordance correlation coefficient (pc) by the exclusion/modification of one or two parameters/variables implemented in the STEEP model, in the wet and dry seasons: scale factor soil moisture correction (SF), the parameter kB⁻¹, the aerodynamic resistance for heat transfer (*rah*), PAI replace with LAI (determined by two different methods), the roughness length for momentum transport (z0m) and the residual latent heat flux in the end members pixels (*rλET*).

547 3.2 Comparison of STEEP model estimates with global evapotranspiration products

The comparison of ET estimates by STEEP, MOD16 and PMLv2 with the observed values 548 549 at the different sites (Fig. 8) reveals that the ET estimates by STEEP and global products adequately 550 followed the seasonality of the values, with a better fit for STEEP and MOD16. In general, the evaluation at the different sites shows that the RMSE of STEEP was not higher than 6.45 mm/8 551 days, while the ET products' maximum RMSE was close to 15 mm/8 days. It is noted that the lowest 552 553 RMSE value found (4.11 mm/8 days) was for MOD16 at the SET site. Regarding R² values, 80% of 554 the evaluations with STEEP were equal to or greater than 0.50. For MOD16, 60% of the R² values 555 were equal to or greater than 0.70, while for PMLv2, no site had R^2 values that exceeded 0.55. The best NSE value produced by STEEP was 0.77, while with MOD16, it was 0.70, both at the SNN site, 556 557 while PMLv2 did not exceed 0.39 (PTN site). Regarding pc, the percentages of ET evaluations that 558 obtained values equal to or greater than 0.70 were 60% for STEEP and MOD16, and only 20% for PMLv2 (site PTN). The overestimations (PBIAS) with STEEP were not higher than 50%, and not 559 higher than 95% with MOD16. For PMLv2 the overestimations did not exceed 80%, except for the 560 SET site that obtained a PBIAS approx. 160%.. We highlight the good performance of MOD16 for 561 562 the SET, SNN, and especially the PTN sites, with very good performance metrics and seasonal behaviour, capturing ET values in dry periods very well. The evaluation results of STEEP. MOD16 563 564 and PMLv2 for all observation sites combined are shown in Fig. 9. Noteworthy is the better 565 performance of STEEP over MOD16 and PMLv2, with RMSE of < 6 mm/8 days, R² and NSE greater 566 than or close to 0.60, ρc of > 0.75 and an average overestimation < 12%. Analysis with the dataset 567 considering only the 8-day time periods without missing field-observed data, i.e. periods with valid 568 ET measurements during eight consecutive days (Fig. S3) did not change the results overall, 569 confirming STEEP's dominance compared to the two standard products evaluated.



MOD16

Site: PTN

NSE = 0.67

RMSE = 5.55mm/8d R² = 0.81

70

60

50

b)

STEEP

Site: PTN

NSE = 0.73

RMSE = 5.03mm/8d R² = 0.81

70

60

50

a)



 $R^2 = 0.31$ NSE = -0.89

. PBIAS = 80.40%

۶f

2020-09

ኇጜ

2020-11

ρc = 0.31

2020-01

Fig. 8. Temporal evolution of ET from STEEP, MOD16 and PMLv2 for the different observation
sites, and their individual performance statistics. a), b) and c) PTN 2011; d), e) and f) SNN 2014; g)
h) and i) SET 2015; j), k) and l) CGR 2014; m), n) and o) CGR 2020. Black lines correspond to
observed ET while data points refer to estimates by the STEEP model (red crosses), MOD16 (blue
diamonds) and PMLv2 (green squares) products.



Fig. 9. Evaluation of evapotranspiration (ET, mm/8 days) observed and modelled with STEEP (red
crosses), MOD16 (blue diamonds) and PMLv2 (green squares) for all experimental sites. The
black line is the 1:1 line; dashed lines are the fitted linear regressions of observed versus modelled
values by the STEEP model (red), MOD16 (blue) and PMLv2 (green) products. *N* = 138 is the total
number of eight-day periods with at least one day of EC data measured in at least one of the five
experimental sites of Caatinga where all the ET models (STEEP, MOD16 and PMLv2) outputs
were available.

585 The explanation of the differences between STEEP and the MOD16 and PMLv2 products is two-fold. Firstly, the way ET is obtained differs between STEEP and the other products. While 586 587 STEEP and other SEB single-source models estimate ET as a combined single process, i.e. soil 588 evaporation and transpiration estimates are provided as a lumped sum (Sahnoun et al., 2021), and 589 interception loss is not taken into account, MOD16 and PMLv2 discriminate the ET components, i.e. 590 soil evaporation, transpiration, and wet canopy evaporation (Mu et al., 2011; Zhang et al., 2019). 591 With this in mind it is remarkable that STEEP performs better than the other, widely used, multiple-592 source ET products. Secondly, the input data sets and their uses are different. The driving 593 meteorological data for STEEP are from ERA5-Land, while in MOD16, they are from MERRA and in 594 PMLv2 are provided by GLDAS (Mu et al., 2011; Zhang et al., 2019). In addition, the meteorological 595 elements used are different among the ET products. MOD16 requires air temperature, atmospheric 596 pressure, relative humidity, and downward shortwave radiation. In addition to these elements, 597 PMLv2 also requires precipitation, downward longwave radiation, and wind speed (Mu et al., 2011; 598 Zhang et al., 2019; Yin et al., 2020; Chen et al., 2022). Although both ET products use the same 599 land cover data (MOD12Q1), only MOD16 integrates it into its algorithm. In MOD16, the land cover 600 type defines biome delimitation for the characterization of leaf stomatal conductance, vapour 601 pressure deficit (VPD) and other related factors, while PMLv2 only uses land cover to construct a 602 mask of the land area (Chen et al., 2022). The sources and use of LAI in these two products are also 603 different. LAI is used to increase leaf conductance in MOD16, while it is used to divide the total 604 available energy into canopy uptake and soil uptake in PMLv2 (Mu et al., 2011; Zhang et al., 2019; 605 Chen et al., 2022). Although MOD16 uses EC data from 46 distributed sites for validation (Mu et al., 606 2011) and PMLv2 uses EC data from 95 distributed sites and ten plant functional types for calibration 607 (Zhang et al., 2019; Yin et al., 2020), none of the products had observation sites in SDTF.

The uncertainties associated with field measurements of ET can also influence the evaluation of the model products. It is generally accepted that EC flux towers provide reliable local, i.e. for areas of relatively limited spatial extensions, ca. 10 km², ET measurements (Mu et al., 2011; Chu et al., 2021; Salazar-Martínez et al., 2022). However, generally flux tower data have a lack of energy balance closure, that is the difference between net radiation and ground heat flux is sometimes greater than the sum of the turbulent latent and sensible heat fluxes, an error that can be in the of

614 10–30% range (Wilson et al., 2002; Foken, 2008; Allen et al., 2011). This gap can result from 615 instrument errors, weather and surface conditions, e.g. those that result in advection, and gap-filling 616 methods (Mu et al., 2011). In addition, the complex and heterogeneous canopy structure, the 617 stochastic nature of turbulence (Hollinger and Richardson, 2005) and adverse weather conditions, 618 e.g. rainy and stormy days, tower sensors recording abnormal values, can affect ET measurements 619 obtained by EC systems (Ramoelo et al., 2014).

620 3.3 Sources of error and further research for STEEP

621 In its current configuration, STEEP has some limitations that should be noted. Meteorological 622 reanalysis provides only large-scale averages and can misrepresent local meteorological conditions; 623 hence, it suffers from biases, especially over heterogeneous surfaces (Rasp et al., 2018). However, 624 despite moderate accuracy and biases at regional scales, ground-based assimilation and reanalysis 625 data have become important sources of meteorological inputs for ET estimates (Mu et al., 2011; Zhang et al., 2019; Allam et al., 2021; Senay et al., 2022). Laipelt et al. (2020) and Kavser et al. 626 627 (2022) showed that global reanalysis data when used as meteorological inputs had modest effects 628 only on the accuracy of SEBAL for estimating ET. In our study, ERA5-Land exhibited relatively high 629 and satisfactory agreement with micrometeorological data measured at each site (Fig. S4). Also, 630 although gap-filling was used in the present study to improve the availability of LST data, this 631 procedure should be used with caution. In addition, care should be taken when using the MCD43A4 632 reflectance product, because in its composition there is also gap-filling. For example, on some cloudy 633 days, the estimates of vegetation indices, surface albedo, and LST may have introduced 634 inaccuracies in the STEEP (and in SEBAL) model calculation process due to these gap-filling 635 methods. Regarding the selection of endmembers pixels, although the temporal evolution of the 636 selected pixels in this study seems plausible, their representativeness of the actual conditions may 637 be debatable, especially considering the considerable extent of the AOI. The computational capacity 638 and the effectiveness of GEE for running SEB models should be commended. Although other studies 639 have demonstrated GEE's strength (Laipelt et al., 2021; Jaafar et al., 2022; Senay et al., 2022), this platform has some limitations when it comes to the number of iterations, e.g. a convergence 640 641 threshold cannot be set to stop the within-loop iterations of H calculations; instead a fixed number of

642 iterations needs to be defined. Still, the availability of the several necessary datasets within one643 platform greatly facilitates the run of STEEP and other SEB models.

644 One of the main focuses of this study is to provide a one-source model capable of 645 representing ET in environments that are mainly governed by soil-water availability, such as those 646 represented by SDTF, in a parsimonious way. Based on our findings we deem this main aim to be achieved due to the relative simplicity of the STEEP model and its low data demand. The improved 647 performance of STEEP was the result of improvement of existing and physically meaningful 648 649 parameters (*z0m* and kB^{-1}), rather than by introducing additional empirical parameters, thereby 650 satisfying the principle of equifinality (see Beven and Freer, 2001). To explore further the potential 651 and accuracy of STEEP, more research is needed to analyse the impact that the improved H 652 approach has on ET of different land covers at longer time scales. Despite the promising overall 653 results, additional efforts are required on modelling H in SDTF regions. Although we have shown 654 that STEEP outperforms other models in simulating either H or ET, we acknowledge that there is still room for model improvement. Given that the STEEP model was formulated to be a calibration-free 655 656 model, it may be possible to improve H estimates by, for example, optimising coefficients associated 657 to soil moisture (see Eq A.12) and applying dynamic values to αpt (see Eq A.25) varying seasonally. 658 Another potential improvement for instantaneous H estimates can be achieved by accounting for biomass heat storage (BHS; Swenson et al., 2019) in STEEP. Meier et al. (2019) have shown that 659 660 considering BHS can enable land surface models to capture the diurnal asymmetry of the 661 temperature impact on energy fluxes and, consequently, provide improved sub-hourly H. Improving 662 the quantification of regional ET via RS-based SEB models has a great potential to provide a more 663 accurate estimate of the energy and water fluxes in SDTF regions, and will contribute to a better 664 understanding of the water cycle, its uses, and the interrelationships with ecosystem functioning.

665 **4.** Conclusions

666 Our work developed a calibration-free model (STEEP) with an improved approach for 667 estimating the latent and sensible heat fluxes by remote sensing for SDTF. In summary, the main 668 conclusions are:

669

670

• The estimates of *H* by STEEP allowed ET estimates to be closer to the observed field values than those obtained by SEBAL. Based on all the performance metrics used to

671analyse the models, STEEP was superior to SEBAL. STEEP showed *RMSE* less than6721mm/day, *R*² between 0.24 and 0.69, *NSE* between -0.17 and 0.65, *pc* between 0.41673and 0.80 and *PBIAS* between -17% to 54%. Also noteworthy is how well STEEP captured674the seasonal course of observed ET.

Compared with ET data from the global MOD16 and PMLv2 products, the STEEP model
 simulated a similar but generally superior seasonal evolution and its performance metrics
 were also better. Considering all observation sites simultaneously, at the eight-day scale,
 STEEP showed superior performance with *RMSE* less than 6 mm/8 days, *R*² and *NSE* equal to or greater than 0.60, *pc* greater than 0.75, and an overestimation of < 12%.

Thus, we conclude that STEEP, a one-source model that incorporated the seasonality of the aerodynamic and surface variables, was well-heeled in representing ET in environments that are mainly governed by soil–water availability. All the same, there is a need to evaluate the newly developed STEEP model performance for different land covers, climate, and for longer time series than those considered during the modelling process in this study.

685 Acknowledgements

686 The Coordenação de Aperfeiçoamento de Pessoal de Nível Superior-Brazil (CAPES)-Finance Code 687 001, provided scholarships to the first and fifth authors. This work was funded by the Brazilian 688 National Council for Scientific and Technological Development (CNPq), grant 409341/2021-5, by the 689 Paraiba Scientific Foundation (FAPESQ), under grants 010/2021 and 403/2021, and by São Paulo 690 Scientific Foundation (FAPESP), grant 2015/24461-2. CEF is a research unit funded by Fundação 691 para a Ciência e a Tecnologia I.P. (FCT), Portugal (UIDB/00239/2020). MSBM, AV and RLBN 692 acknowledge support by the Newton/NERC/FAPESP Nordeste project (NE/N012526/1 ICL 652 and 693 NE/N012488/1 UoR). RLBN acknowledges support from the European Research Council under the 694 European Union's Horizon 2020 research and innovation programme (grant agreement No: 787203 REALM). MSBM thanks to Fundação de Amparo à Ciência e Tecnologia do Estado de Pernambuco 695 696 (FACEPE) for funding this through the Project FACEPE APQ 0062-1.07/15 (Caatinga-FLUX). MSBM 697 and AMPM acknowledge to the National Observatory of Water and Carbon Dynamics in the Caatinga 698 Biome (INCT: NOWCBCB) supported by FACEPE (grant: APQ-0498-3.07/17 ONDACBC), CNPq 699 (grant: 465764/2014-2), and CAPES (grants: 88887.136369/2017-00).

700 Data Availability Statement

ET data for the PTN, SNN, and SET sites were published by Melo et al. (2021), and are available at https://doi.org/10.5281/zenodo.5549321. ET data for the CGR site; H data for the PTN, SNN, CGR sites, and the code used for the formulation of the STEEP model presented in this study can be accessed at https://doi.org/10.5281/zenodo.7109043 and https://github.com/ulissesaalencar/ET_SDTF, respectively. H data for the SET site is publicly available for download at https://ameriflux.lbl.gov/.

707 Supplementary material

Table S1. Performance statistics by the exclusion/modification of one or two parameters/variables implemented in the STEEP model, in the wet and dry seasons: scale factor soil moisture correction (SF), the parameter kB⁻¹, the aerodynamic resistance for heat transfer (rah), PAI replace with LAI (determined by two different methods), the roughness length for momentum transport (z0m), the residual latent heat flux in the end members pixels (*r\Left*), and of the SEBAL model.

					Pe	erformanc	e statistic	S			
Cito		RMS	SE	R	2	NS	E	$ ho_c$		PBI	AS
Sile		wet	dry	wet	dry	wet	dry	wet	dry	wet	dry
	STEEP	1.23	0.7	0.53	0.62	0.34	0.5	0.68	0.77	-18.01	-17.01
	(-) SF	1.38	0.69	0.56	0.58	0.16	0.52	0.65	0.75	-26.39	-7.99
	(-) kB-1	1.39	0.67	0.54	0.62	0.14	0.55	0.66	0.78	-23.37	-8.23
	(-) rah	1.61	0.66	0.42	0.6	-0.22	0.55	0.54	0.77	-32.42	-6.56
	LAI*	1.37	1.08	0.57	0.59	0.19	-0.18	0.68	0.59	-24.24	-56.26
DTN (N - 230: 2011)	LAI**	1.27	0.91	0.54	0.34	0.28	0.17	0.68	0.57	-19.73	-11.95
$F \prod (IN = 239, 2011)$	(-) z0m	1.48	0.88	0.36	0.3	0.01	0.21	0.5	0.54	-25.94	7.55
	(-) rλET	1.5	1.6	0.12	0.19	-0.15	-1.54	0.31	0.28	14.75	75.96
	(-) z0m & rah	1.51	0.72	0.44	0.51	-0.04	0.48	0.57	0.7	-28.85	4.4
	(-)rah & rλET	1.47	1.66	0.13	0.15	-0.11	-1.81	0.33	0.23	12.99	81.63
	(-) z0m & rλET	1.42	1.45	0.14	0.09	-0.31	-0.04	0.36	0.22	0.73	57.29
	SEBAL	1.39	1.55	0.16	0.12	0.01	-1.43	0.38	0.23	2.12	69.2
	STEEP	1.03	0.6	0.46	0.62	0.32	0.25	0.64	0.68	-12.17	58.08
	(-) SF	1.07	0.58	0.47	0.64	0.29	0.44	0.6	0.73	-17.2	42.77
	(-) kB-1	1.12	0.67	0.44	0.59	0.21	0.24	0.6	0.69	-17.86	50.26
	(-) rah	1.19	0.6	0.49	0.62	0.19	0.41	0.57	0.7	-25.47	47.33
	LAI*	1.38	0.8	0.54	0.3	-0.21	-0.07	0.6	0.44	-29.33	-58.36
SNN (N = 267;	LAI**	1.19	0.98	0.52	0.09	0.07	-0.6	0.62	0.26	23.77	55.02
2014)	(-) z0m	1.14	0.83	0.41	0.23	0.24	-0.16	0.5	0.37	-19.01	60.45
	(-) rλET	1.16	1.18	0.32	0.43	0.18	-1.33	0.51	0.41	12.96	122.85
	(-) z0m & rah	1.19	0.63	0.52	0.57	0.17	0.34	0.52	0.64	-26.49	50.69
	(-)rah & rλET	1.13	1.14	0.25	0.37	0.16	-1.19	0.47	0.41	6.43	111.65
	(-) z0m & rλET	1.13	1.03	0.24	0.17	0.16	-0.79	0.47	0.32	-5.86	79.17
	SEBAL	1.13	1.06	0.22	0.33	0.16	-0.88	0.45	0.41	0.91	98.12
SET (N = 283; 2015)	STEEP	1.16	0.6	0.12	0.12	-0.55	-0.94	0.28	0.27	52.19	55.18

	(-) SF	1.04	0.61	0.11	0.02	-0.25	-0.99	0.28	0.14	36.58	38.26
	(-) kB-1	1.13	0.58	0.06	0.07	-0.49	-0.86	0.21	0.23	36.71	40.83
	(-) rah	1.06	0.56	0.04	0	-0.43	-1.03	0.18	0.03	21.82	39.71
	LAI*	1.3	0.68	0.03	0.09	-0.98	-1.51	0.12	0.2	-62.3	-75.32
	LAI**	1.15	0.6	0.04	0.05	-0.53	-0.97	0.19	0.21	-6.83	-29.78
	(-) z0m	1.09	0.75	0.1	0	-0.36	-2.74	0.26	-0.02	42.62	80.96
	(-) rλET	2.11	1.37	0.15	0.04	-4.18	-9.27	0.15	0.06	151.66	190.07
	(-) z0m & rah	1.06	0.58	0.05	0	-0.3	-1.24	0.21	0.02	21.6	51.96
	(-)rah & rλET	1.99	1.37	0.11	0.01	-3.99	-9.27	0.13	0.04	143.27	183.22
	(-) z0m & rλET	1.66	1.16	0.07	0.01	-2.47	-6.31	0.14	0.04	104.32	134.34
	SEBAL	1.83	1.28	0.1	0	-3.21	-7.93	0.14	0.03	128	161.89
	STEEP	0.8	0.72	0.35	0.51	-0.35	-0.8	0.55	0.58	5.85	25.16
	(-) SF	0.7	0.67	0.36	0.52	-0.02	-0.53	0.59	0.6	6.57	30.14
	(-) kB-1	0.78	0.8	0.25	0.44	-0.28	-1.18	0.47	0.51	15.04	38.9
	(-) rah	0.71	0.78	0.28	0.46	-0.06	-1.07	0.51	0.48	-8.54	54.63
	LAI*	0.76	0.83	0.49	0.61	-0.23	-1.35	0.64	0.51	-7.64	-62.39
CGR (N = 171;	LAI**	0.75	0.68	0.46	0.58	-0.18	-0.57	0.63	0.63	-9.25	-26.31
2014)	(-) z0m	0.71	0.83	0.28	0.35	-0.05	-1.35	0.51	0.38	-11.12	62.72
	(-) rλET	1.15	2.32	0.09	0.07	-1.77	-17.48	0.19	0.04	46.68	217.84
	(-) z0m & rah	0.69	0.84	0.24	0.44	-0.01	-1.43	0.48	0.39	3.9	68.9
	(-)rah & rλET	1.14	2.44	0.05	0.03	-1.72	-19.4	0.15	0.02	43.77	229.58
	(-) z0m & rλET	0.85	1.97	0.11	0.04	-0.51	-12.27	0.33	0.04	9.18	175.39
	SEBAL	0.97	2.24	0.07	0.03	-0.97	-14.7	0.21	0.03	28.63	208.13
	STEEP	0.61	1.06	0.39	0.02	0.29	-2.98	0.62	0.09	-1.19	101.37
	(-) SF	0.82	1.03	0.3	0	-0.29	-2.76	0.52	0.02	-6.52	106.36
CGP(N = 48; 2020)	(-) kB-1	0.83	1.26	0.29	0	-0.3	-4.63	0.51	-0.03	-5.31	135.98
OO(1) = 40, 2020)	(-) rah	1.11	1.13	0.25	0	-1.2	-3.55	0.42	-0.02	-15.37	133.29
	LAI*	0.85	1.02	0.29	0.01	-0.38	-0.99	-3.06	0.4	-4.71	31.63
	LAI**	0.67	0.76	0.36	0.07	0.14	-1.03	0.59	0.26	-3.58	2.87

(-) z0m	0.69	1.03	0.41	0	0.15	-2.73	0.58	-0.02	-12.29	106.1
(-) rλET	0.99	2.25	0.03	0.06	-0.52	-16.98	0.17	-0.04	6.37	312.54
(-) z0m & rah	1.04	1.13	0.34	0.01	-0.74	-3.52	0.5	-0.03	-16.56	134.92
(-)rah & rλET	0.89	2.38	0.05	0.14	-0.24	-19.08	0.22	-0.05	1.07	330.94
(-) z0m & rλET	0.83	1.77	0.18	0.02	-0.6	-10.14	0.33	-0.04	-14.15	216.81
SEBAL	0.81	2.11	0.16	0.07	-0.02	-0.02	0.31	-0.04	-12.25	285.53

 $zOm = roughness length for momentum transfer; rah = aerodynamic resistance for heat transfer; r\lambda ET = remaining \lambda ET in the endmembers pixels.$







Fig. S2. PAI and soil moisture time series for the different observation sites.



723

Fig. S3. Evaluation of evapotranspiration (ET, mm/8 days) observed and modelled with STEEP (red crosses), MOD16 (blue diamonds) and PMLv2 (green squares) for all experimental sites considering only the 55 periods where the field-observed data had eight consecutive days. The black line is the 1:1 line; dashed lines are the fitted linear regressions of observed or modelled values by the STEEP model (red), MOD16 (blue) and PMLv2 (green) products.





Fig. S4. Comparison between ERA5-Land reanalysis dataset and local observational meteorological measurements from the flux tower at the closest time from the satellite overpass. Micrometeorological sensors installed at the flux towers are up to 16 m in distance from the land surface, and ERA5-Land variables have different reference elevation (e.g. 2 m for air temperature and 10 m to wind speed).

737 Appendix A – Equations adopted to formulate the STEEP model

Takent heat flux (λET) was modeled using Eq. (A.1):

$$\lambda ET = Rn - G - H \tag{A.1}$$

where R_n is net radiation, *G* is soil heat flux, and *H* is sensible heat flux. All variables are expressed in energy units (e.g., W/m²).

Net radiation (*Rn*) was modeled based on the radiation budget indicated by Allen et al. (2007) and
Ferreira et al. (2020) by Eq. (A.2):

$$Rn = R_{S\downarrow} \times (1 - \alpha) + \varepsilon_S \times R_{L\downarrow} - R_{L\uparrow}$$
(A.2)

where $R_{S\downarrow}$ is incident shortwave radiation (W/m²) estimated following Allen et al. (2007), α is surface albedo (dimensionless), estimated following Trezza et al. (2013), $R_{L\downarrow}$ is longwave radiation from the atmosphere (W/m²) estimated following Ferreira et al. (2020) with atmospheric emissivity from Duarte et al. (2006); $R_{L\uparrow}$ is emitted longwave radiation (W/m²) following Ferreira et al. (2020) with ε_S the surface emissivity (dimensionless), estimated following Long et al. (2010).

Soil heat flux (*G*), expressed as a ratio of net radiation, was estimated following the model byBastiaanssen et al. (1998):

$$\frac{G}{Rn} = \left[(LST - 273.15) \times (0.0038 + 0.0074 \times \alpha) \times (1 - 0.98 \times NDVI^4) \right]$$
(A.3)

where *LST* is the surface temperature (K) and NDVI is the Normalized Difference Vegetation Index
(dimensionless), estimated following Rouse et al. (1973).

752 Sensible heat flux (*H*) was modeled using:

$$H = \frac{\rho \times c_p \times dT}{rah} \tag{A.4}$$

where ρ is the air density (kg/m³), c_p refers to the specific heat of air at constant pressure (J/kg/K), *dT* is the temperature gradient (K), and *rah* is the aerodynamic resistance for heat transfer (s/m).

Aerodynamic resistance to heat transport was estimated based on the classical equation given inPaul et al. (2013), see also Verhoef et al. (1997a):

$$rah = \frac{1}{k \times u^*} \times \left[ln \left(\frac{z_{ref} - d0}{z0m} \right) - \psi_h \right] + \frac{1}{k \times u^*} \times kB_{umd}^{-1}$$
(A.5)

where *k* is the von Kármán constant taken as 0.41, u^* is the friction velocity (m/s), z_{ref} is the reference height (m), *d0* is zero plane displacement height (m), *z0m* is roughness length for momentum transfer (m), ψ_h is the atmospheric stability correction function for heat transfer (m), as calculated following Paulson (1970), kB_{umd}^{-1} is the dimensionless parameter formulated to express the excess resistance of heat transfer compared to momentum transfer, corrected for soil moisture derived from remote sensing.

The friction velocity was computed according to Verhoef et al. (1997b) and Paul et al. (2013):

$$u^* = k \times u \left[ln \left(\frac{z_{ref} - d0}{z0m} \right) - \psi_m \right]^{-1}$$
(A.6)

where *u* is the wind speed (m/s) at a known height z_{ref} , ψ_m is the atmospheric stability correction function for momentum transfer (m), as calculated following Paulson (1970).

Roughness length for momentum transport was estimated, based on the studies by Verhoef et al.(1997b):

$$z0m = (HGHT - d0) \times exp^{(-k \times \gamma + PSICORR)}$$
(A.7)

where *HGHT* is the height of the vegetation (m), *PSICORR* is taken as 0.2 and γ is the inverse of the

square root of the bulk surface drag coefficient at the roughness canopy height (Raupach, 1992).

Zero plane displacement height (*d*0) was obtained following Raupach (1994) from:

$$d0 = HGHT \times \left[\left(1 - \frac{1}{\sqrt{CD1 \times PAI}} \right) + \left(\frac{exp^{-\sqrt{CD1 \times PAI}}}{\sqrt{CD1 \times PAI}} \right) \right]$$
(A.8)

where *CD*1 is taken as 20.6 and *PAI* is the Plant Area Index.

773 γ was following Verhoef et al. (1997b):

$$\gamma = \left(CD + CR \times \frac{PAI}{2}\right)^{-0.5} \tag{A.9}$$

if $\gamma < 3.33$, γ is set to 3.33. Following Verhoef et al. (1997), *CD* and *CR* are taken as 0.01 and 0.35, respectively.

Plant Area Index was calculated according to Miranda et al. (2020) as:

$$PAI = 10.1 \times (\rho_{NIR} - \sqrt{\rho_{RED}}) + 3.1$$
 (A.10)

where ρ_{NIR} is the near infrared band reflectance, and ρ_{RED} is the red band reflectance. If *PAI* < 0, *d0*

778 is set to 0.

The dimensionless parameter kB_{umd}^{-1} is corrected by soil moisture by remote sensing following the equations provided by Gokmen et al. (2012):

$$kB_{umd}^{-1} = SF \times kB^{-1} \tag{A.11}$$

781 where *SF* is a scaling factor, represented by a sigmoid function:

$$SF = \left[c + \frac{1}{1 + exp^{(d - e \times SM_{rel})}}\right]$$
(A.12)

Here, *c*, *d*, *e* are the sigmoid function coefficients, for which we adopted values of 0.3, 2.5, and 4, respectively, following Gokmen et al. (2012). SM_{rel} is the relative soil moisture, obtained from:

$$SM_{rel} = \frac{SM - SM_{min}}{SM_{max} - SM_{min}}$$
(A.13)

where *SM* is the actual soil moisture content, in our case obtained with the GLDAS reanalysis product, and SM_{min} and SM_{max} are the minimum and maximum soil moisture. The SM_{min} and SM_{max} values were obtained using the annual time series analysis of the soil moisture data.

787 kB^{-1} was calculated according to Su et al. (2001):

$$kB^{-1} = \frac{k \times Cd}{4 \times Ct \times \frac{u^*}{u(h)} \times \left(1 - exp^{\left(-\frac{nec}{2}\right)}\right)} \times f_c^2 + \frac{k \times \frac{u^*}{u(h)} \times \frac{z0m}{h}}{C_t^*} \times f_c^2 \times f_s^2 + kBs^{-1} \times f_s^2 \qquad (A.14)$$

where $kBs^{-1} = 2.46(Re^*)^{0.25} - 2$, *Cd* is the drag coefficient of the foliage elements taken as 0.2, *Ct* is the heat transfer coefficient of the leaf with value 0.01.

790 The ratio $\frac{u^*}{u(h)}$ is parameterized as:

$$\frac{u^*}{u(h)} = c1 - c2 \times exp^{(-c3 \times Cd \times PAI)}$$
(A.15)

791 where c1 = 0.320, c2 = 0.264, c3 = 15.1.

nec is the extinction coefficient of the wind speed profile within the canopy given by:

$$nec = \frac{Cd \times PAI}{\frac{2u^{*2}}{u(h)^2}}$$
(A.16)

793 C_t^* is heat transfer coefficient of the soil given by:

$$C_t^* = Pr^{-2/3} \times (Re)^{-1/2} \tag{A.17}$$

where *Pr* is the Prandtl number with a value 0.71, and *Re* is the Reynolds number calculated as:

$$Re = \frac{u^* \times 0.009}{v}, \qquad v = 1.461 \times 10^{-5}$$
 (A.18)

795 where v is the kinematic viscosity (m²/s).

796 In Eq. A.14 f_c is the fractional canopy cover calculated according to Eq. (A19), and f_s is its 797 complement.

$$f_c = 1 - \left[\frac{NDVI - NDVI_{max}}{NDVI_{min} - NDVI_{max}}\right]^{0.4631}$$
(A.19)

where $NDVI_{max}$ and $NDVI_{min}$ are maximum and minimum NDVI values, respectively. $NDVI_{max}$ and $NDVI_{min}$ values were obtained using the annual time series analysis of the NDVI.

800 *dT* in Eq. (A4) was estimated daily with a linear relationship on the surface temperature 801 (Bastiaanssen et al., 1998) as:

$$dT = a + b \times LST \tag{A.20}$$

To find the coefficients *a* and *b* in Eq. (A20) requires that hot and cold endmembers pixels are established. The coefficients were found as:

$$b = \frac{(dT_{hot} - dT_{cold})}{(LST_{hot} - LST_{cold})}$$
(A.21)

$$a = dT_{cold} - b \times LST_{cold} \tag{A.22}$$

$$dT_{hot/cold} = \frac{H_{hot/cold} \times rah_{hot/cold}}{\rho \times c_p}$$
(A.23)

 $H_{hot/cold} = Rn_{hot/cold} - G_{hot/cold} - \lambda ET_{hot/cold}$ (A.24)

where $dT_{hot/cold}$ are dT values for the hot/dry and cold/wet endmember pixels, respectively, $Rn_{hot/cold}$, $G_{hot/cold}$, $LST_{hot/cold}$, $rah_{hot/cold}$ are the median values extracted on the endmember pixels of each variable. The selection of endmember pixels is detailed in section 2.3.

 $\lambda ET_{hot/cold}$ is the term incorporated in the computation of *H* in the endmember pixels given by the Priestlev-Taylor (1972) equation, according to Singh and Irmak (2011) and French et al. (2015):

$$\lambda ET_{hot/cold} = \left(Rn_{hot/cold} - G_{hot/cold} \right) \times f_c \times \alpha pt \times \left[\frac{\Delta}{\Delta + \gamma_c} \right]$$
(A.25)

809 where αpt is the empirical Priestley-Taylor coefficient, nominally set to 1.26, but here adjusted 810 according to local conditions, i.e. we adopted the αpt values (0.55 for hot/dry and 1.75 for cold/wet 811 pixels) based on Ai and Yang (2016). Δ is the slope of the saturation vapor pressure-air temperature 812 curve (kPa/°C) and γ_c is the psychrometric constant (kPa/°C).

813 The actual daily evapotranspiration (mm/day) was obtained by means of the following relationship:

$$ET_{24h} = \frac{86400}{(2.501 - 0.00236 \times T_a) \times 10^6} \times \frac{\lambda ET}{Rn - G} \times Rn_{24h}$$
(A.26)

where T_a is the mean daily air temperature (°C), λET is derived from Eq. A1, and Rn_{24h} corresponds to the daily net radiation (W/m²); in this study both driving variables were obtained with data from the ERA5-Land product.

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