

Seasonal effects of the Tibetan plateau on the cyclonic transient eddies: a systemcentered view

Article

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1	Seasonal Effects of the Tibetan Plateau on Cyclonic Transient
2	Eddies: A System- centered View
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ABSTRACT

Using an objective feature tracking algorithm and ECMWF fifth-generation 25 hourly reanalysis data (ERA5), the seasonal behaviors of cyclonic transient eddies 26 (cyclones) at different levels around the Tibetan Plateau (TP) were examined to 27 understand the effects of the TP on cyclones. Results show that the TP tends to change 28 the moving directions of the remote cyclones when they are close to the TP, with only 29 2 percent of the 250-hPa eastward-moving cyclones directly passing over the TP. The 30 31 sudden reductions of their moving speeds and relative vorticity intensities around the TP suggest a suppression effect of the plateau. Over 70 percent of these cyclones 32 perish over the TP regardless of the altitude. This percentage decreases to around 65 33 percent during summertime, exhibiting a weaker summer suppression effect. On the 34 other hand, the TP has a stimulation effect on local cyclones through its dynamic 35 forcing in winter, thermodynamic forcing in summer, and both forcings in the 36 transitional seasons. The numbers of locally-generated cyclones, especially at 500 37 hPa, just above the TP, are significantly larger than those of the remote cyclones 38 39 during all seasons. Although about half of the local cyclones dissipate over the TP, the cyclones moving off the plateau significantly outnumber the moving-in cyclones, with 40 the differences ranging from 0 to 6 cyclones per month. Only the 250-hPa wintertime 41 moving-off cyclones are fewer than the cyclones entering the TP, which may be 42 caused by the weaker stimulation effect and stronger suppression effect of the TP on 43 the wintertime upper-level cyclones. 44

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SIGNIFICANCE STATEMENT

Cyclonic transient eddies (cyclones), steered by westerly jet streams, can
influence climate and induce extreme weather processes under certain conditions.
Tibetan Plateau (TP), the highest and largest obstacle embedded in the westerly jet
streams, suppresses the remote cyclones entering the TP region, destroying over 70
percent of these cyclones. However, due to the excitation effect of the TP on local
cyclones, the numbers of cyclones moving off the TP are still larger than or equal to

- those of the moving-in cyclones, except at the upper levels in winter. This feature
- suggests that the TP cannot significantly decrease the total cyclone numbers in most
- 55 cases, but it indeed weakens the mean intensity and moving speed of the cyclones.

57 **1. Introduction**

Transient eddies (TEs), an important part of the atmospheric circulation, are 58 cyclonic or anti-cyclonic disturbances that move with the background flow, including 59 extratropical cyclones, westerly troughs, shear lines, and other types of weather systems. 60 They can influence the global climate through the transport of energy and matter 61 (Peixoto and Oort 1992; Lorenz and Hartmann 2003; Ren et al. 2022) and are also an 62 important factor affecting local weather processes (Liu et al. 2018; Zhao et al. 2020). 63 The occurrence and development of TEs are affected by various factors such as the 64 westerly jet streams, diabatic heating, and topography (Chang et al. 2002; Kang and 65 Son 2021). In particular, topography as a long-standing fixed external forcing can 66 significantly affect TEs in a variety of ways, including topographic drag (Ólafsson and 67 Bougeault 1997), mechanical obstruction (Son et al. 2009), altered temperature field 68 (Davis 1997), and orographically forced stationary waves (Yu and Hartmann 1995; Park 69 et al. 2013). Topographic effects substantially depend on the mountain size, shape, and 70 height as well as the background flow (Qian and Jiao 1995; Yu and Hartmann 1995; 71 72 Son et al. 2009). Thus, the interaction between topography and TEs has always been a 73 key issue in global meteorological research.

As a zonally-extending terrain with the highest average elevation and largest area 74 in the world, the Tibetan Plateau (TP) is linked to the Hengduan Cordillera to the east, 75 the Iranian Plateau to the west, the Mongolian Plateau to the north, and the Indian Ocean 76 with abundant water vapor to the south. Thus, the topography around the plateau is 77 remarkably complex. Numerous studies have shown the vital roles played by the TP in 78 79 global climate through thermal forcing in summer and mechanical forcing in winter 80 (Molnar et al. 2010; Wu et al. 2007, 2012, 2015). In addition, the meridional location of the TP is the key latitude for the seasonal evolution of the westerly jet stream. From 81 82 winter to summer, the jet stream moves from the south to the north of the TP, and it moves oppositely from summer to winter (Schiemann et al. 2009), indicating the 83 varying background flow around the plateau. As the westerly jet stream is an important 84 waveguide and a region that tends to generate TEs, it is necessary to investigate the 85

seasonal effects of the TP on TEs.

Based on numerical experiments with and without the TP in a dry global general 87 circulation model (GCM), Chang (2009) noted that the existence of this large terrain 88 could significantly suppress the activity of TEs in winter. Park et al. (2010) and Lee et 89 al. (2013) obtained a similar result through changing the height of the TP respectively 90 in an atmospheric GCM and in a coupled atmosphere-ocean GCM, and further found 91 that the suppression effect became weaker in other seasons. They argued that the 92 93 weakened eddy seeding-feeding process (Zurita-Gotor and Chang 2005; Penny et al. 2010) induced by the suppression effect of the TP played a role in the midwinter 94 suppression of the North Pacific storm track, which is a striking phenomenon that TE 95 activities over the North Pacific are weaker in winter than in fall and spring even 96 though the low-level baroclinicity peaks in winter (Park et al. 2010; Lee et al. 2013). 97 Ren et al. (2021) used a nudging method to modify the suppression effect of the TP on 98 TEs in the NCAR Community Earth System Model and discovered that the suppression 99 effect could significantly influence East Asian rainfall in early summer through 100 101 weakening the westerly jet stream.

Nevertheless, several issues in these insightful studies need further explanations. 102 First, most of their conclusions were obtained based on numerical simulations, which 103 are sensitive to the models applied (Chang and Lin 2011). Secondly, their results were 104 mainly based on the Eulerian method of TE diagnosis, using the bandpass-filtered 105 variance field. This method can easily provide a general measure of TEs, and can be 106 107 used in atmospheric heat and momentum budget analyses. However, it cannot show the features of the frequency, intensity, moving speed, generation, and dissipation situations 108 109 of each individual eddy, which can be obtained from system-centered methods (Hoskins and Hodges 2002; Penny et al. 2010). Thirdly, these studies have mainly focused on the 110 TEs in the upper troposphere, neglecting the effects of the TP on the mid- and lower-111 level TEs while the TP is a large topography soaring into the middle troposphere. Thus, 112 a study using observed data and system-centered methods to explore the effects of the 113 TP on the TEs at different altitudes is still needed, which is the motivation of this study. 114 Existing studies from a system-centered perspective often have been focused on 115

the particular types of observed TEs around the TP. For example, based on one-year 116 500-hPa geopotential height data over 50-60°N, Yeh (1952) noted that most wintertime 117 low-pressure troughs cannot move across the plateau from the west to the east, which 118 may be related to the semi-permanent high-pressure ridge induced by the deflection of 119 the westerly jet stream impinging upon the TP. In summer, the high-pressure ridge to 120 the north of the TP disappears with the northward movement of the jet stream, and more 121 low-pressure troughs can move across the plateau. Jiao and Qian (1994) utilized the 122 500-hPa daily historical synoptic maps around the TP for 5 winters to count the 123 activities of eastward-moving troughs, whose meridional length is larger than 10 124 degrees. They found that these troughs often slow down, weaken, and even disappear, 125 when they approach the western side of the TP, also suggesting the suppression effect 126 of the TP. Some studies have been focused on the behaviors of westerly disturbances 127 that account for over 50% of the total annual precipitation in western Himalaya and 128 Karakoram (Cannon et al. 2016; Hunt et al. 2018; Javed et al. 2022), and the Central 129 Asian vortexes that can have severe impacts such as rainstorms, snowstorms, and low 130 131 temperatures to the northwest of the TP (Zhang et al. 2012; Zhuang et al. 2017). Since these studies employ a range of different methods and terminologies, a coherent 132 analysis for all kinds of TEs around the TP region is called for. 133

Moreover, the above studies have mainly concentrated on the eastward moving 134 eddies influenced by the TP; however, there are many TEs generated around the TP due 135 to the widely accepted lee cyclogenesis theory and the cyclonic shear induced by the 136 topographic drag, such as the TP shear lines and the southwest vortexes (Wang 1954; 137 Guan et al. 2018; Li and Zhang 2019). Other studies also argued that the diabatic heating 138 forced by high topography in summer was necessary for the generation of TP vortices 139 (Zhang et al. 2021; Ma et al. 2022), which can induce heavy rainfall locally and 140 downstream (Wang 1987; Li et al. 2014; Curio et al. 2019). Previous studies also prove 141 that the north-south dipole precipitation trend over the TP is closely linked to the 142 activities of TP vortices (Li et al. 2021; Li and Zhang 2023). These studies hint the 143 stimulation effect of the TP on local generation of TEs, which may be different from 144 the effects of the TP on the remote eddies propagating from outside. Thus, several 145

questions arise naturally. 1) How do the effects of the TP on the remote and local TEschange with altitudes and seasons? 2) What are the differences between these effects?

To answer the above questions, an objective feature tracking algorithm is applied 148 to a high-resolution reanalysis dataset to analyze and quantify the seasonal behaviors 149 of the remote and locally-generated TEs around the TP at three commonly used pressure 150 levels (850, 500, and 250 hPa) focusing on their generation, dissipation, moving path, 151 and intensity variation. This analysis can reveal the detailed manifestations of the 152 effects of the TP on TEs. Examining the seasonal cycle is also helpful for understanding 153 how the effects of the TP on TEs are related to the winter-dominated dynamic and 154 summer-dominated thermal effects of the TP and the north-south movement of the jet 155 stream over the TP. According to Hoskins and Hodges (2002), cyclonic eddies are 156 stronger than anti-cyclonic eddies, and can almost reflect the characteristics of TEs 157 highlighted by the Eulerian method. Combined with the fact that many impactful TEs 158 around the TP are cyclonic eddies, this paper is focused on the cyclonic eddies, which 159 are referred to as cyclones below for the sake of convenience. The used data and feature 160 161 tracking method are introduced in section 2. Seasonal effects of the TP on the remote and locally-generated cyclones are described and compared in section 3. Results are 162 summarized and discussed in section 4. 163

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165 **2. Data and methodology**

The data used in this study comes from the fifth-generation European Centre for 166 167 Medium-range Weather Forecast (ECMWF) atmospheric reanalysis (ERA5), which provides a much higher temporal and spatial resolution than the previous reanalysis 168 169 datasets. It is the latest global climate and weather reanalysis based on the Integrated Forecasting System Cy41r2 that exhibits large improvements in model physics, core 170 dynamics, and data assimilation over earlier versions. Vast amounts of historical 171 observations have been combined into the ERA5 via 4D-Var data assimilation with a 172 12-h window and variational bias correction. Hourly atmospheric products with a 173 horizontal resolution of 31 km and 137 levels spanning from land surface to 0.01 hPa 174

are available from the ERA5, which can capture much finer details of atmosphericphenomena (Hersbach et al. 2020).

To identify and track cyclones, the objective feature tracking algorithm developed 177 by Hodges (1994, 1995, 1999) is used, which can be flexibly adapted to track different 178 systems based on different fields and constraints, and has been used in previous studies 179 of midlatitude cyclones (Hoskins and Hodges 2002, 2005), tropical cyclones (Hodges 180 et al. 2017; Studholme et al. 2022), TP vortices (Curio et al. 2018, 2019). Any suitable 181 182 field can be used with the algorithm but usually the sea level pressure, 500-hPa geopotential height, or relative vorticity is used. The relative vorticity is usually used 183 as it is less influenced by the large-scale background flow. Moreover, it can focus on 184 more smaller scales to allow systems to be identified much earlier in their life cycles 185 (Hoskins and Hodges 2002). As there may be many small-scale cyclones induced by 186 the TP, hourly ERA5 relative vorticity fields from 1979 to 2021 at 850, 500 and 250 187 hPa have been chosen to identify the cyclones around the TP. The 500 hPa level is just 188 above the surface of the TP, while the 850 and 250 hPa levels are typical representatives 189 190 of the lower and upper atmosphere.

Since the relative vorticity at high resolution is a very noisy field, it is first 191 spectrally filtered to T63 to remove small-scale noise. The large-scale background with 192 total wavenumbers $n \le 5$ is also removed to focus on synoptic-scale cyclones. Then, 193 the objective feature tracking algorithm identifies cyclone centers as the off-grid 194 maxima above a threshold of 1.0×10^{-5} s⁻¹ for all three levels using the B-spline 195 interpolation and a steepest ascent/descent optimization (Hodges 1995). Next, the 196 tracking is performed by first initializing as set of tracks by linking the identified 197 cyclone centers together in a time order using a nearest-neighbor approach. These tracks 198 are then refined through the minimization of a cost function for track smoothness based 199 on direction and speed, using adaptive constraints for displacement distance and track 200 smoothness (Hodges 1994, 1999). In general, the higher the temporal resolution, at a 201 fixed spatial resolution, the more reliable the cyclone tracking might be expected to be 202 (Curio et al. 2018). Although this paper is focused on the cyclones around the TP, the 203 cyclones throughout the whole northern hemisphere have been tracked to ensure the 204

integrity of the cyclones through their life history, especially those with long lifetimes
and long travel distances. Besides, the cyclones that persist for at least one day and
travel more than 500 km have been chosen to focus on the mobile cyclones.

Then, cyclones interacting with the TP have been selected by choosing those 208 whose shortest distance from the TP is less than the cyclone size. Among these selected 209 cyclones, if the shortest distances between the cyclone genesis points and the TP are 210 less than their cyclone sizes, these cyclones are further defined as local cyclones 211 212 generated over the TP, while the others are the remote cyclones that are created at other places but can pass over the TP. Similarly, a cyclone with the shortest distance between 213 its cyclolysis point and the TP less than the cyclone size is regarded as a cyclone dying 214 over the TP, while the others are the cyclones that can move out of the TP. Here the TP 215 is defined as the region bounded by 23~45°N/60~110°E, and where the altitude is 216 higher than 1500 m, excluding the Mongolian Plateau. The cyclone size varies with the 217 type, development stage, and geographic location of the cyclone (Hawcroft et al. 2012; 218 Zappa et al. 2015; Curio et al. 2019). There are various methods to define the cyclone 219 220 size, but none can accurately measure it (Rudeva and Gulev 2007; Dai and Nie 2022). Considering that the cyclones analyzed in this study involve both the small-scale TP 221 vortices and the mesoscale extratropical cyclones, the cyclone size is roughly set at 6° 222 to define the remote cyclones that move into the TP, locally-generated cyclones over 223 the TP, and the cyclones that dissipate over the TP, Besides, the cyclone size of 3° has 224 also been used to select the above cyclones and similar results have been obtained (not 225 shown). 226

Similar to previous studies, the climatological spatial statistics are calculated from 227 228 the cyclone tracks to produce track, genesis, and lysis densities using the spherical kernel approach (Hodges 1996). The genesis (lysis) density is calculated from the 229 starting (ending) point of a cyclone lifetime excluding any cyclones that start (end) at 230 the first (last) time step, while the track density refers to the number of cyclones per 231 unit area passing through a region. The units of these densities are the number per month 232 per unit area that is equivalent to a 5° radius spherical cap, an area of about 10^6 km². 233 Note, that regions of high density just mean the preferred regions for cyclones to occur, 234

and individual cyclones can also appear in other regions. Mean attributes of cyclones
over particular regions have also been calculated, including intensity, moving speed,
growth rates, and lifetime. Since attributes calculated from a small sample are less
reliable, results where the track densities are lower than one cyclone per month per unit
area are not shown in the following figures.

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241 **3. Results**



FIG. 1. Annual average (left) track, (center) genesis, and (right) lysis densities
(shading; the number of cyclones per month per unit area) of all (upper) 850-hPa,
(middle) 500-hPa, and (bottom) 250-hPa cyclones. Thick black contours indicate the
elevation of 1500, 3000, and 4500 m.

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Before focusing on the cyclones passing through the plateau, the annual average 248 distribution of all cyclones in an extended region are shown in Fig. 1 to help us 249 understand the roles played by the TP in the cyclones. It can be seen that the track 250 densities at all altitudes are large over northern Europe, western Russia, East Asia, and 251 the Mediterranean, consistent with previous studies (Hoskins and Hodges 2002). These 252 track densities fall away rapidly to the south due to the weak baroclinicity at low 253 latitudes. Close to the TP, the 850-hPa track densities suddenly decrease to a value less 254 than 3 cyclones per month per unit area (Fig. 1a). Variations in the 500-hPa track 255 densities are more dramatic when the tracks encounter the TP, decreasing from 15 to 3 256

over a small distance. However, the lowest 500-hPa track density is mainly confined to the western TP while it starts to increase over the eastern TP (Fig. 1d). The 250-hPa track densities over the TP have the lowest value around 11 over a small area of the northern TP, but are larger than those at 500 hPa (Fig. 1g), hinting that there may be more cyclones passing through the TP at the upper levels.

Due to the complex topography near the surface, several high 850-hPa genesis 262 density regions can be seen around the Scandinavian Peninsula, the Alps, the Ural 263 Mountains, the Caspian Sea, the southwestern Iranian Plateau, the central Sibirian 264 Plateau, the Mongolian Plateau, and southern Japanese Island, all of which decrease 265 with altitude (middle column in Fig. 1). However, there are two high 500-hPa genesis 266 densities over the southeastern and central TP, much stronger than the others, signifying 267 the stimulation effect of the TP on local cyclogenesis. High genesis density region over 268 the southeastern TP can extend to the upper level while that over the central TP 269 disappears at 250 hPa (Figs. 1e and h), implying that the local cyclones generated over 270 the central TP may be mostly shallow systems. Besides, it is apparent that the western 271 272 and southern borders of the TP are the main cyclolysis regions (except for East Asia and the Iranian Plateau), where 500- and 250-hPa cyclolysis occurs frequently (Figs. 1f 273 and i), further suggesting the suppression effect of the TP on the remote cyclones 274 entering the TP region. The fact that the 850-hPa lysis densities around the TP are lower 275 than those over Russia may be related to the small track densities around the TP at this 276 level (Fig. 1c). 277

In general, Fig. 1 shows that the TP exerts a suppression effect on remote cyclones 278 and a stimulation effect on local cyclones. To evaluate and compare these two effects, 279 280 Fig. 2 shows the numbers of the remote and local cyclones per season as well as the percentages of those cyclones dying over the TP. Clearly over 73% of the wintertime 281 remote cyclones die out over the TP regardless of the altitude, and the percentage 282 gradually drops to around 64% in summer, exhibiting a weak summertime suppression 283 effect (Fig. 2a). The numbers of local cyclones are always larger than those of remote 284 cyclones. While the numbers of remote cyclones increase with altitude for all seasons, 285 consistent with the track densities shown in Fig. 1, the numbers of local cyclones are 286

always largest at 500 hPa, consistent with Fig. 1e, suggesting a strong stimulation effect
just above the surface of the TP. The numbers of local cyclones at all three levels are
maximized in spring and minimized in summer, while the numbers of remote cyclones
peak in winter, highlighting a particular seasonal variation of the stimulation effect (Fig.
2). To further understand the detailed manifestations of these two effects and their
seasonal variations, the seasonal behaviors of the remote and local cyclones are further
examined below.

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FIG. 2. Numbers of (a) remote cyclones moving eastward into the TP and (b) local cyclones generated around the TP per season, averaged over 41 years, with the three bars in each season representing the 850-, 500-, and 250-hPa cyclones, respectively. Cyan parts represent the cyclones that dissipate over the TP while yellow parts signify the cyclones that move out of the TP. Their percentages relative to the total numbers of cyclones at each level for each season, which are denoted on the top of each bar, are marked in each part if the percentages are large enough.

304 *a.* TP effects on remote cyclones





FIG. 3. Climatological genesis densities (blue contours), lysis densities (red contours) and mean phase speeds (shading; $m s^{-1}$) of (left) 850-hPa, (center) 500-hPa, and (right) 250-hPa remote cyclones for each season: (first row) winter, (second row) spring, (third row) summer, and (fourth row) autumn. Contour values are 1, 2, 3, 4 (dashed), 7, 10, and 13 cyclones per month per unit area for both blue and red contours. Mean phase speed is not shown for track densities below 1. Thick black contours indicate the elevation of 1500, 3000, and 4500 m.



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FIG. 4. Climatological track densities (blue contours) and mean intensities (shading; 315 10^{-5} s⁻¹) of (left) 850-hPa, (center) 500-hPa, and (right) 250-hPa remote cyclones 316 for each season: (first row) winter, (second row) spring, (third row) summer, and 317 318 (fourth row) autumn. Track density contours are 1, 3.5, 6, 8.5 (dashed), 13.5, and 18.5 cyclones per month per unit area. Mean intensity is not shown for track densities 319 below 1. The 200-hPa westerly jet stream is indicated using the 30, 40, and 50 m/s red 320 contours of 200-hPa zonal wind. Thick black contours indicate the elevation of 1500, 321 3000, and 4500 m. 322

Previous studies have shown that the westerly jet stream, together with strong 324 atmospheric baroclinicity, is closely related with cyclone intensities and can influence 325 the trajectories and moving speeds of cyclones (Chang et al. 2002; Holton 2004). Thus, 326 the seasonal characteristics of the remote cyclones and the 200-hPa westerly jet stream 327 are displayed in Figs. 3 and 4. As shown in Fig. 3a, the preferred genesis region for 328 wintertime 850-hPa remote cyclones is the leeside of the Iranian Plateau (see blue 329 contours), with a maximum genesis density to the southeast of the Iranian Plateau, 330 consistent with the lee cyclogenesis theory. These cyclones mainly die out to the west 331 of the TP, along the 1500-m isohypse, with high lysis densities over the southwestern 332

and northwestern corners of the TP and the upstream region of the Mongolian Plateau (Fig. 3a). This configuration suggests that the low-level cyclones tend to turn north or south when they encounter the TP, exhibiting as two bands of high track densities relatively located to the north and south of the TP (blue contours in Fig. 4a). This feature reflects the diversion effect of the TP on remote cyclones. Due to the blockage of the TP, the activities of low-level cyclones are mainly confined to the west of 90°E.

At the middle and higher levels, the spatial distributions of remote cyclones are 339 similar to those of the low-level cyclones, but covering a larger area. Specially, the 340 preferred genesis regions extend further westward to the Mediterranean and 341 northeastward to the Mongolian Plateau. The preferred lysis regions spread to 117°E 342 for the northern branch and 92°E for the southern branch, with the highest lysis densities 343 to the west of the TP. This feature of distribution appears like a letter "C", but with a 344 longer upper branch (Figs. 3b and c). The northern and southern branches thus have 345 higher track densities and longer moving distances than those of the low-level cyclones. 346 Some northern cyclones can move into East Asia (Figs. 4b and c). It is worth noting 347 348 that there are also no values over the central TP at the 500hPa, suggesting that no cyclone can move across the TP in this level while some 250-hPa cyclones can do this. 349 However, more cyclones die over the TP at 250 hPa (79%) than at 500 hPa (73%), 350 which is also observed in the other seasons (Fig. 2a). It suggests that the diversion effect 351 of the TP decreases with altitude, leading to more 250-hPa cyclones directly moving 352 into the TP and dying over the plateau. Only about 2 percent of the upper-level cyclones 353 that can move eastward to East Asia across the TP. 354

Consistent with the seasonal variation of the background flow (Fleming et al. 1987), 355 the distributions of remote cyclones in spring are similar to those in winter but with 356 slightly weaker maximum densities (first two rows in Figs. 3 and 4). In summer, due to 357 the northward shift of atmospheric baroclinicity, reflected in the westerly jet stream, the 358 preferred activity regions for the remote cyclones entering the TP shrink to the north, 359 and the southern branch disappears. Thus, the diversion effect of the TP becomes weak 360 in summer. The weakened gradients of the track densities to the west of the TP in 361 summer also suggest a weak suppression effect of the TP, which is also reflected in Fig. 362

2a. The decreased distances traveled by the remote cyclones may be related to their 363 weak intensities and small moving speeds, which result from the weak baroclinicity and 364 slow background flow in summer. Besides, some tropical cyclones are generated over 365 the Bay of Bengal and the South China Sea, and they move eastward to interact with 366 the TP under the control of the tropical easterly jet stream (third row in Figs. 3 and 4). 367 The behaviors of the remote cyclones in autumn are comparable to the conditions in 368 spring, but with fewer southern cyclones (fourth row in Figs. 3 and 4). Furthermore, it 369 can be seen that the spatial and temporal distributions of remote cyclones are consistent 370 in all three levels (Figs. 3 and 4), implying the high probability for deep cyclones to 371 occur in the remote cyclones. 372

In addition to the decrease in cyclone densities over the TP, the effects of the TP on 373 the remote cyclones are also reflected in their sudden decrease in moving speeds and 374 relative vorticity intensities around the TP, shown as the shadings in Figs. 3 and 4. The 375 decrease in moving speeds mainly occurs upstream of the TP and the Mongolian Plateau, 376 overlapping the increase in their lysis densities at all three levels and during all seasons, 377 378 further reflecting the blocking effect of the topography (Fig. 3). Since the moving speeds depend on the background flow, northern cyclones accelerate when they move 379 into the westerly jet stream after bypassing the TP from the north (Figs. 3b, c, e, f, k, 380 and 1). This feature also explains that the summertime upper-level remote cyclones 381 accelerate to the north of the TP (Fig. 3i) and that the southern branch, co-located with 382 the westerly jet stream, has a larger moving speed than the northern branch in the middle 383 and high levels during winter and spring (Figs. 3b, c, e, and f). 384

Decreases in the relative vorticity intensities appear to the west of the TP at the low 385 and middle levels, co-located with the westerly jet stream where the atmospheric 386 baroclinicity is strong (Fig. 4), signifying a strong suppression effect of the TP. This 387 phenomenon is noticeable during all seasons except summer when the southern branch 388 disappears and the traveled distances of remote cyclones are short due to the weak 389 westerly jet stream (third row in Fig. 4). Besides, another weakening feature appears 390 over the Mongolian Plateau at 500 hPa during all seasons (middle column in Fig. 4), 391 possibly by the Mongolian Plateau or TP induced northern high-pressure ridge, as 392

suggested by Yeh (1952). This weakening is also marked at 250h Pa during winter and 393 spring (Figs. 4c and f), when the TP induced northern high-pressure ridge is strong due 394 to the powerful westerly jet stream. Consistent with the variation of the moving speeds, 395 there is an increase in the cyclone intensities over northern East Asia. In addition, the 396 intensities of winter and spring southern cyclones undergo a re-intensification to the 397 southwest of the TP in all levels especially at 500 hPa (Figs. 4b and e). This feature may 398 be induced by latent heat feedback, since the southern cyclones in winter and spring 399 400 can carry ample water vapor from the Mediterranean, the Red Sea, Persian, and the Arabian Seas, which is blocked by the TP to produce heavy precipitation to the 401 southwest of the TP (Cannon et al. 2016). 402

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404 b. TP effects on local cyclones

The seasonal average genesis densities of the local cyclones generated over the TP 405 are shown in Fig. 5. There are two areas of high genesis densities over the southeastern 406 corner and the southernmost border of the TP during winter, which exists in all three 407 408 levels but with a maximum at 500 hPa (first row in Fig. 5), consistent with Fig. 2b. According to previous studies, the former preferred genesis region may be related to 409 the enhancement of potential vorticity caused by the stretched air column downstream 410 of the plateau (Holton 2004; Wang and Tan 2014), while the latter may be produced by 411 the friction and topographic drag induced cyclonic shear under the background of 412 strong westerly winds (Wang 1954; Luo and Wei 1985). One may argue about the role 413 of atmospheric baroclinicity in the cyclogenesis, but the spatial distribution of genesis 414 densities around TP is inconsistent with the meridional gradient of potential 415 416 temperature (not shown), hinting that the above two high genesis densities are both dynamically induced. These two mechanisms can also be applied to explain the above-417 mentioned re-intensification of the southern remote cyclones in the small open area 418 behind the southwestern corner of the TP (Figs. 4b and e). Besides, another 850-hPa 419 cyclogenesis preferred area is located downstream of the Mongolian Plateau (Fig. 5a), 420 which can be viewed as the lee cyclogenesis of the Mongolian plateau. 421



FIG. 5. Climatological (left) 850-hPa, (center) 500-hPa, and (right) 250-hPa genesis 424 densities of locally-generated cyclones (shading) for each season: (first row) winter, 425 (second row) spring, (third row) summer, and (fourth row) autumn. Blue (red) 426 contours represent the genesis densities of secondary locally-generated cyclones that 427 may be induced by the pre-existing remote (local) cyclones at the closest altitudes, 428 which are (left) 500, (center) 250, and (right) 500 hPa. These specific vertical levels 429 are determined from Fig. 6. Contour values are 0.5, 1, 1.5, 2, and 2.5 cyclones per 430 month per unit area for both blue and red contours. Thick black contours indicate the 431 432 elevation of 1500, 3000, and 4500 m.

423

In spring, as the westerly jet stream weakens, the two high genesis densities seen in DJF decrease somewhat at 500 hPa but slightly increase at 250 hPa (Figs. 5e and f). The increase in 850-hPa southeast genesis densities may be caused by the gradual increase in water vapor over this region during spring, which can supply latent heating to enhance the low-level relative vorticity (Fig. 6d). Another high genesis densities

induced by the gradually increasing TP diabatic heating appear over the central TP (Fig. 439 5e), but only exist at 500 hPa, signifying the high probability of these local cyclones 440 441 with shallow structures, consistent with the result from other studies focusing on TP vortices (Li et al. 2014; Curio et al. 2019). All of these phenomena lead to the springtime 442 maximized numbers of local cyclones at all three levels (Fig. 2b), indicating the 443 strongest stimulation effect in spring. During summer, although the TP diabatic heating 444 is maximized, the high genesis densities over the central TP are weaker than those in 445 spring, which may be related to the weaker and more northly located westerly jet stream 446 (Fig. 5h) (Curio et al. 2019). The preferred genesis regions at 250 hPa move with the 447 westerly jet stream to the north of the TP (Fig. 5i). High genesis densities at all three 448 levels disappear over the southeastern TP (third row in Fig. 5), further suggesting that 449 their appearance is associated with the interaction between the westerly jet stream and 450 451 the southeastern TP. The cyclone formation in autumn is similar to that in spring but with a weaker magnitude (fourth row in Fig. 5), consistent with the seasonal variations 452 of the westerly jet stream. 453



FIG. 6. Seasonal numbers of (upper) 850-hPa, (middle) 500-hPa, and (bottom) 250hPa local cyclogenesis accompanied with the pre-existing (left) remote and (right)
local cyclones at 850 hPa (cyan bars), 500 hPa (yellow bars), and 250 hPa (purple)

459 bars). The numbers and their percentages relative to the total locally-generated

460 cyclones at each level for each season are marked on each bar.

461

As is well-known, pre-existing cyclones can also trigger cyclogenesis at the same 462 or other altitudes. For example, TP vortices are favorable for the cyclogenesis over the 463 southwestern China through anomalous cyclonic circulations, convergence, ascending 464 motion, and moisture transport (Li et al. 2017, 2020b). A shallow cyclone can develop 465 into a deep cyclone under certain conditions and thus induce cyclogenesis at other 466 altitudes. Westerly trough moving from the upstream can split into small-scale westerly 467 troughs to the north and south of TP (Qian and Jiao 1995). To estimate the contributions 468 of pre-existing remote and local cyclones to the cyclogenesis over the TP, an 469 accompanied local cyclone is defined if other cyclones pass within 6 degrees of its 470 starting point at the moment of its formation. Contours shown in Fig. 5 refer to the 850, 471 500, and 250-hPa genesis densities of these cyclones that may be induced by the pre-472 existing cyclones at 500, 250, and 500 hPa, respectively. For example, blue contours in 473 474 Fig. 5a suggest that some 850-hPa local cyclogenesis to the southwestern TP may be induced by 500-hPa remote cyclones. Contributions of the pre-existing cyclones at 475 other levels are estimated in Fig. 6, which are lower than those shown in Fig. 5, 476 suggesting that local cyclogenesis is more likely to be induced by the pre-existing 477 cyclones at the closest altitudes. 478

Left column in Fig. 6 shows that no matter at which levels and which seasons, only 479 around 10% of local cyclogenesis may be contributed by remote cyclones, which are 480 located over the western and northern parts of the TP (blue contours in Fig. 5). The 481 482 contributions of pre-existing local cyclones to local cyclogenesis are around 20%, even up to 30% at 250 hPa (right column in Fig. 6), higher than those of remote cyclones, 483 implying that some local cyclones may be indirectly caused by the TP. The preferred 484 regions of these secondary local cyclogenesis are over the southeastern and central TP 485 during all seasons except summer (red contours in Fig. 5). Local cyclogenesis 486 accompanied by pre-existing local cyclones occurs more frequently in spring than in 487 winter, which may be related to the slightly weaker westerly jet stream allowing 488

cyclones more time to develop into other altitudes. This may be used to justify the slight increase in the 250-hPa genesis densities over the southeastern TP in spring (Fig. 5f). If the accompanied local cyclone is defined by the distance of 3 degrees between the pre-existing cyclones and the cyclogenesis over the TP, the contributions of pre-existing cyclones to local cyclogenesis decrease, but their relative magnitude distribution and seasonal variations (not shown) are similar to those shown in Figs. 5 and 6.

495



497 FIG. 7. As in Fig. 3, but for locally-generated cyclones around the TP.



499

500 FIG. 8. As in Fig. 4, but for locally-generated cyclones.

The basic characteristics of local cyclones have also been examined in Figs. 7 and 502 503 8. High lysis densities to the south of the TP nearly overlap with the high genesis densities, showing the short lifetime and short moving distance of these cyclones 504 generated by the frictional cyclonic shear (Figs. 7a-f). These cyclones can still 505 contribute a large part to the 500-hPa high track densities to the south of the TP (Figs. 506 8b and e). Cyclones forming over the leeside of the TP and the Mongolian plateau are 507 more likely to move northeastward and southeastward, forming two bands of high track 508 density to the north and south of East Asia. These two bands converge near the Yellow 509 Sea at the middle and high levels during winter and spring, implying the long traveling 510 distances of these cyclones (Figs. 8b, c, e, and f). Consistent with the seasonal variations 511 of the genesis densities, the 500-hPa southern band of high track density moves to the 512 central TP during summer, different from that at other levels, again signifying that these 513 local cyclones possess predominantly shallow vertical structures, as is known for TP 514 vortices. Due to the slow background flow in summer, the distances traveled by the 515 local cyclones are significantly shorter than those in other seasons (third rows in Figs. 516 7 and 8). 517

Similar to the behaviors of remote cyclones, the mean moving speeds of local 518 cyclones are also influenced by the background flow, which increase with altitude and 519 maximize in winter (shading in Fig. 7). The mean intensities of the southern branch, 520 co-located with the westerly jet stream, are stronger than those of the northern branch 521 at the middle and high levels during winter and spring. However, the northern branch 522 at all levels can undergo a significant intensification downstream during all seasons, 523 similar to the northern remote cyclones. During summer and autumn, the northern 524 525 branch is stronger than the southern branch due to the poleward shift of atmospheric baroclinicity (shading in Fig. 8). Clearly, the intensities of the local cyclones over the 526 TP are weaker than those of the remote cyclones over the TP or upstream (Figs. 4 and 527 8). 528

- 529
- 530 4. Summary and discussion

While previous studies were mainly focused on the effects of the TP on the westerly 531 532 trough or TP vortices, in this study the effects of the plateau on all kinds of remote and local cyclones during all four seasons are comprehensively presented and compared 533 using an objective feature tracking algorithm. Similar to the diversion effect of the TP 534 on the wintertime circulation (Wu et al. 2007), the TP also tends to change the moving 535 directions of remote cyclones when they approach the TP, leading to the northern and 536 southern bands of high track densities. Only some northern cyclones move into East 537 Asia. This diversion effect decreases with altitude, and thus some 250-hPa cyclones can 538 directly pass over the TP from the west to the east. The TP also exhibits a suppression 539 effect on remote cyclones, reflected in the decrease in track densities over the plateau 540 541 and the suddenly-weakened moving speeds and intensities of remote cyclones upstream of the TP. Over 70% of these remote cyclones dissipate over the TP regardless of the 542 altitude, which slightly decreases to around 65% during summer, implying a weak 543 summertime suppression effect. This weak suppression effect is also manifested by the 544 small decreases in the moving speeds and intensities of summertime remote cyclones 545 when they encounter the TP. The diversion effect also becomes weakened in summer 546

since the main trajectories of remote cyclones move with the westerly jet stream to thenorth of the TP.

On the other hand, the TP also plays a strong stimulation effect on local 549 cyclogenesis mainly through its dynamic forcing in winter, thermodynamic forcing in 550 summer, and both forcings in the transitional seasons. Dynamic forcing, associated with 551 the westerly jet stream, tends to induce cyclones over the southeastern corner and the 552 southernmost border of the TP, while thermodynamic forcing favors the formation of 553 cyclones over the central TP. Due to the relatively strong westerly jet stream and the 554 gradually-enhanced TP thermodynamic forcing in spring, the stimulation effect of the 555 TP in spring is stronger than that in winter. This effect is minimized in summer when 556 the dynamic forcing of the TP weakens. The genesis densities of local cyclones are 557 maximized just above the TP during all seasons. Their track densities also vary with 558 altitude, reflecting the fact that most of these local cyclones are shallow, consistent with 559 the vertical configuration of TP vortices. Moreover, around 10% of local cyclogenesis 560 may be induced by the remote cyclones at the closest altitudes, and about 20% by the 561 562 vertically closest pre-existing local cyclones, both of which may not be directly induced by the TP. 563

There are many more local cyclones than remote cyclones during all seasons (Fig. 564 2). Although about half of these local cyclones dissipate over the TP, the total number 565 of cyclones that move off the TP, including both remote and local cyclones, are 566 significantly more than the cyclones that enter the plateau, especially at the low and 567 middle levels (Fig. 9). The differences range from 0 to 6 cyclones per month. According 568 to the downstream development theory (Zurita-Gotor and Chang 2005), these moving-569 off cyclones can develop into strong cyclones that play an important role in the 570 downstream weather and climate. This situation is reversed only at upper level in winter, 571 with the differences of about 7 cyclones per month (Fig. 9c), which may be caused by 572 the slightly weaker stimulation effect on the 250-hPa local cyclogenesis and the 573 stronger suppression effect on the remote cyclones in winter. This phenomenon is 574 similar to the result shown in the Fig. 6 of Penny et al. (2010), hinting a possible role 575 of the TP in the midwinter suppression of the North Pacific storm track that mainly 576

577 occurs at the upper level (Hoskins and Hodges 2019). In a word, the TP does not 578 significantly decrease the total cyclone numbers in most cases. However, since local 579 cyclones are weaker than remote cyclones, the TP still exerts a suppression effect on 580 the intensities of total cyclones around the plateau, which becomes weaker in summer 581 (Fig. 10).

582





FIG. 9. Averaged monthly numbers (left y-axis) of (a) 850-hPa, (b) 500-hPa, and (c)
250-hPa cyclones moving eastward into (red) and out of (blue) the TP region. Gray
lines show the probability values (right y-axis). When the probability is less than 0.01,
number difference between moving-in and -off cyclones significantly exceeds the
99% confidence level of Student's two-sided *t* test.



590

FIG. 10. Mean intensity (left y-axis, unit: $10^{-5} s^{-1}$) of (a) 500-hPa, and (c) 250-hPa cyclones appearing over the TP ($20 \sim 55^{\circ}$ N/65 $\sim 105^{\circ}$ E, blue line), upstream of the TP ($20 \sim 55^{\circ}$ N/40 $\sim 65^{\circ}$ E, red line), and downstream of the TP ($20 \sim 55^{\circ}$ N/105 $\sim 130^{\circ}$ E, green line). Gray (black) lines show the probability values (right y-axis) of getting a result that the mean intensity of cyclones over the TP is similar to that over the downstream (upstream).

Considering that cyclones are the main systems that induce extreme weather 598 processes such as rainstorms, windstorms, and cold-air outbreak, this study can provide 599 a coherent reference for studying the roles played by the TP in the surrounding extreme 600 weather processes and is conducive to the predictability of extreme weather around the 601 plateau. It is well-known that cyclones are three-dimensional systems, whose vertical 602 603 structure may display multiple configurations during their lifetimes. They may vertically extend from 850 hPa to 500 hPa at the initial stage, from 850 hPa to 200 hPa 604 at the mature stage, and finally only exist at 200 hPa, or reversed (Schwierz and Davies 605 2003; Li et al. 2020a). Nevertheless, the above analysis is a simple study of the 606 behaviors of cyclones at different pressure levels, neglecting the variations of vertical 607 structure when these cyclones move into or off the TP, which needs more investigations 608 in the future. 609

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622 *Data Availability Statement*. The ERA5 data was retrieved from 623 https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-pressure-

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