

# *Climate sensitivity controls global precipitation hysteresis in a changing CO<sub>2</sub> pathway*

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## ARTICLE OPEN



# Climate sensitivity controls global precipitation hysteresis in a changing CO<sub>2</sub> pathway

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The responses of the Earth's climate system to positive and negative CO<sub>2</sub> emissions are not identical in magnitude, resulting in hysteresis. In particular, the degree of global precipitation hysteresis varies markedly among Earth system models. Based on analysis of Earth's energy budget, here we show that climate sensitivity controls the degree of global precipitation hysteresis. Using an idealized CO<sub>2</sub> removal scenario, we find that the surface available energy for precipitation continues to increase during the initial negative CO<sub>2</sub> emission period following a positive CO<sub>2</sub> emission period, leading to a hysteresis of global precipitation. This feature is more pronounced in Earth System Models with a high climate sensitivity. Our results indicate that climate sensitivity is a key factor controlling the hysteresis behavior of global precipitation in a changing CO<sub>2</sub> pathway. Therefore, narrowing the uncertainty of climate sensitivity helps improve the projections of the global hydrological cycle.

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## INTRODUCTION

Earth is experiencing rapid warming, primarily due to anthropogenic emissions of greenhouse gases. The anthropogenic warming is altering the global climate and pushing human and natural systems beyond their ability to adapt<sup>1–3</sup>. These changes may lead to abrupt and adverse impacts that carry serious risks for humanity. To reduce the vulnerability to anthropogenic climate change, mitigation and adaptation efforts are needed<sup>4,5</sup>. The removal of CO<sub>2</sub> from the atmosphere is currently being considered to limit or even reverse global warming<sup>6–8</sup>. The climate community proposed the Carbon Dioxide Removal Model Intercomparison Project (CDRMIP) in phase 6 of the Coupled Model Intercomparison Project (CMIP6) to explore the potential effects of carbon dioxide removal (CDR) on Earth's climate system<sup>9</sup>.

Previous studies have shown that CDR-induced climate response is modulated by the large thermal inertia of the ocean<sup>10</sup>. This response leads to the hysteresis in which the climate response to a negative CO<sub>2</sub> emission is not the same as the response to an antecedent positive CO<sub>2</sub> emission, and the irreversibility where thresholds are crossed that are difficult or impossible to reverse within a human-perceptible timescale. Such CDR-induced climate response induces a substantially delayed and nonlinear response in surface temperatures<sup>10–12</sup>, precipitation<sup>13–16</sup>, sea level<sup>17</sup>, the Atlantic meridional overturning circulation<sup>18,19</sup>, Antarctic ice sheets<sup>20,21</sup> and the intertropical convergence zone (ITCZ)<sup>22</sup> in a changing CO<sub>2</sub> pathway. In particular, the precipitation response to a changing CO<sub>2</sub> pathway has been widely investigated due to its importance in climate adaptation and mitigation but important questions remain unanswered: what are physical processes, and how they control the hysteresis of precipitation response.

Transient global precipitation responses to increasing CO<sub>2</sub> forcing are constrained to be 2–3% K<sup>-1</sup> in CMIP6 climate models<sup>23,24</sup>. This constraint is based on the notion that the

precipitation response and its changes are balanced by the energy fluxes between the surface, atmosphere, and the top-of-atmosphere (TOA) on timescales longer than that of radiative-convective equilibrium. Using an idealized CDR scenario, furthermore, the hysteresis behavior in the global precipitation has been found in some studies, with a temporary increase in global mean precipitation following a decrease in atmospheric CO<sub>2</sub> concentrations<sup>13,15</sup>. Such a delayed response can be attributed to a build-up of ocean heat<sup>15</sup>, a rapid atmospheric adjustment, and vegetation response to CO<sub>2</sub> radiative forcing<sup>13</sup>. However, little is known about the key factors controlling the degree of hysteresis of CDR-induced precipitation in Earth System Models (ESMs). In this study, we analyzed multiple ESMs from CMIP6 CDRMIP along with a set of climate model experiment to reveal the controlling factor on the degree of global precipitation hysteresis. Here, we propose a new perspective on the degree of hysteresis behavior in the global precipitation, which is determined by the climate sensitivity in ESMs.

## RESULTS

### Climate sensitivity and hysteresis of surface temperature in a changing CO<sub>2</sub> pathway

Climate sensitivity is a measure of how much warming can be expected in response to a radiative forcing. By definition, an equilibrium climate sensitivity (ECS) is the equilibrium global mean surface air temperature response ( $\Delta T$ ) to radiative forcing induced by a doubling of atmospheric CO<sub>2</sub> concentrations<sup>25,26</sup>. Hereafter,  $\Delta$  indicates the change relative to the pre-industrial simulations in each ESM, and the list of the symbols and acronyms used in this study is provided in Supplementary Table 1.

Eight ESMs in CMIP6 CDRMIP (Supplementary Table 2) can be divided into two groups based on their representation of the ECS: four ESMs (CanESM5, UKESM1-0-LL, CESM2, and CNRM-ESM2-1) that simulate a higher ECS (High\_ECS, hereafter); and four ESMs

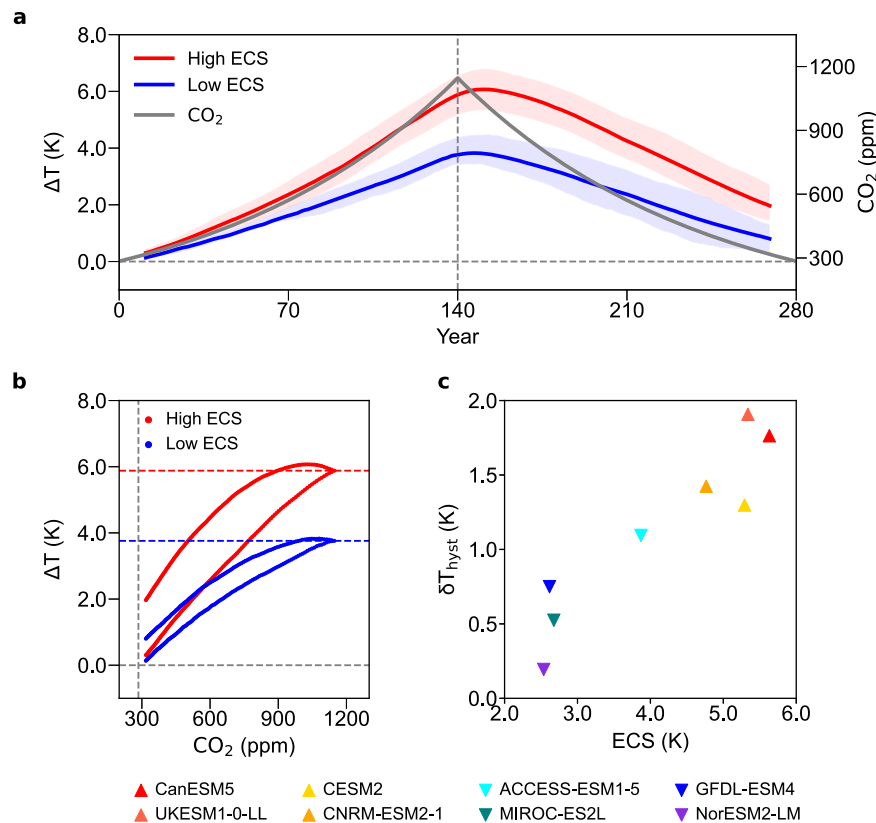
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(ACCESS-ESM1-5, MIROC-ES2L, GFDL-ESM4, and NorESM2-LM) that simulate a lower ECS (Low\_ECS, hereafter) compared with the multi-model mean value (Table 1, and see “Methods”). Indeed, the High\_ECS tend to simulate a small negative net climate feedback parameter ( $\lambda$ ) compared with the Low\_ECS with a large negative  $\lambda$  (Supplementary Fig. 1a–d). Note that a large negative value of  $\lambda$  implies a strong negative or restoring feedback and a small magnitude value of  $\lambda$  indicates a weak net negative feedback. Therefore, the High\_ECS is characterized by climate feedback processes in which either climate system radiates thermal energy into space less efficiently and/or it reflects sunlight to space less

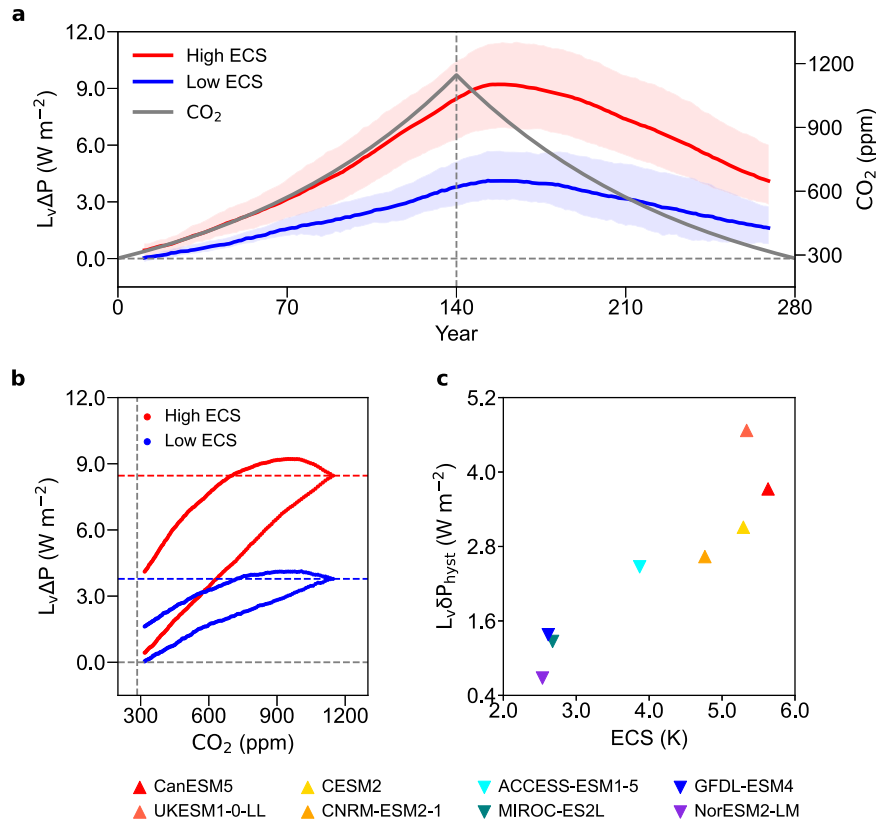
	$\lambda$	ERF	ECS
CanESM5	−0.64	3.62	5.63
UKESM1-0-LL	−0.68	3.63	5.34
CESM2	−0.62	3.23	5.18
CNRM-ESM2-1	−0.63	2.99	4.76
ACCESS-ESM1-5	−0.73	2.84	3.87
MIROC-ES2L	−1.51	4.05	2.68
GFDL-ESM4	−1.50	3.92	2.62
NorESM2-LM	−1.38	3.50	2.54
Multi-model average	−0.96	3.47	4.08

effectively in response to an imposed radiative forcing. Larger warming is therefore required to restore the energy imbalance at the TOA ( $\Delta N$ , hereafter) induced by atmospheric CO<sub>2</sub> forcing in the High\_ECS, and vice versa in the Low\_ECS (Supplementary Fig. 1).

We delineated the evolution of  $\Delta T$  to a changing CO<sub>2</sub> pathway in the High\_ECS and Low\_ECS, respectively. Note that the  $\Delta T$  time series in Fig. 1a shows the 21-year running mean value. While the evolution of  $\Delta T$  largely follows CO<sub>2</sub> changes, the associated warming and/or cooling rates are diverse (Fig. 1a). During the positive CO<sub>2</sub> emission period, the High\_ECS exhibits a greater warming rate than that of the Low\_ECS, as expected. The  $\Delta T$  increases by 5.9 K for High\_ECS and 3.9 K for Low\_ECS when CO<sub>2</sub> reaches four times the initial level (value at the year of 140) and then decreases to 1.5 K for High\_ECS and 0.6 K for Low\_ECS when CO<sub>2</sub> concentration returns to the pre-industrial level (value at the year of 279). The  $\Delta T$  recovered approximately 85.2% in a changing CO<sub>2</sub> pathway in the Low\_ECS but recovers less in the High\_ECS, 73.8%. Therefore, the hysteresis of the High\_ECS is greater than that of the Low\_ECS (Fig. 1b). Here we measure the degree of hysteresis with the area surrounded by the variable trajectory in a changing CO<sub>2</sub> pathway<sup>10</sup> (see “Methods”). Indeed, the High\_ECS, with a relatively weak negative net climate feedback parameter, shows a weak climate feedback response compared with the Low\_ECS (Supplementary Fig. 2). This should be related to the notion that ESMs with a weak  $\lambda$  have a less-efficient climate-restoring feedback in counteracting the radiative forcing perturbations, leading to much longer response to equilibrate than ESMs with a strong  $\lambda$ <sup>27</sup>. That is, the High\_ECS tends to exhibit a strong asymmetric  $\Delta T$  from the positive to the negative CO<sub>2</sub> emission



**Fig. 1** Temporal evolution and hysteresis behavior of the surface temperature from CMIP6 CDRMIP models. **a** Time series of  $\Delta T$  to CO<sub>2</sub> forcing for the High\_ECS group (red) and the Low\_ECS group (blue). The gray line in **a** denotes the time series of CO<sub>2</sub> concentration. Solid lines and shadings represent the average value and their ensemble spread from each ECS group, respectively. **b** A trajectory of the  $\Delta T$  in the CO<sub>2</sub> phase space for the High\_ECS group and the Low\_ECS group. The red and blue horizontal dotted lines denote the  $\Delta T$  at 140 years for the High\_ECS and Low\_ECS group, respectively. The gray vertical dotted line denotes the CO<sub>2</sub> concentration at 284.7 ppm. **c** Scatter plot of the ECS versus the difference in the  $\Delta T$  between the positive (1–139 years) and negative (141–279 years) CO<sub>2</sub> emission period ( $\delta T_{hyst}$ ). All values are smoothed by the 21-year running mean. The  $\Delta$  symbol indicates the change relative to the piControl simulation.



**Fig. 2** Temporal evolution and hysteresis behavior of the precipitation from CMIP6 CDRMIP models. **a** Time series of global annual mean precipitation response multiplied by the latent heat of vaporization ( $L_v\Delta P$ ) to  $\text{CO}_2$  forcing for the High\_ECS group (red) and the Low\_ECS group (blue). The gray line in **a** denotes the time series of  $\text{CO}_2$  concentration. Solid lines and shadings represent the average value and their ensemble spread from the High\_ECS and Low\_ECS group, respectively. **b** A trajectory of the  $L_v\Delta P$  in the  $\text{CO}_2$  phase space for the High\_ECS group and the Low\_ECS group. The red and blue horizontal dotted lines denote the precipitation response at 140 years for the High\_ECS and Low\_ECS group, respectively. The gray vertical dotted line denotes the  $\text{CO}_2$  concentration at 284.7 ppm. **c** Scatter plot of the ECS versus the difference in the precipitation response between the positive (1–139 years) and negative (141–279 years)  $\text{CO}_2$  emission period ( $\delta P_{\text{hyst}}$ ). All values are smoothed by the 21-year running mean. The  $\Delta$  symbol indicates the change relative to the piControl simulation.

period (Fig. 1c) along with a large asymmetry in  $\Delta N$  (Supplementary Fig. 2) compared to the Low\_ECS with a strong  $\lambda$ . This result indicates that the climate feedback responses make a difference in the degree of global warming hysteresis among multiple ESMS. Thus, we argued that the ECS would be a key factor controlling the  $\Delta T$  hysteresis via modulating climate feedbacks.

There are at least two prominent timescales in the  $\Delta T$  under the transient scenario in which the atmospheric  $\text{CO}_2$  concentration increases at 1% per year. One is the fast response owing to the climate feedback, and the other is the slow response related to the mixing between the upper and deep ocean<sup>28</sup>. Such  $\Delta T$  change with fast and slow response could also be estimated by the transient climate response (TCR), defined as the  $\Delta T$  around the time of  $\text{CO}_2$  doubling under the transient experiment of 1% increase per year. We found that the TCR could be one of the factors to constrain the degree of hysteresis in CMIP6 CDRMIP ESMS (Supplementary Fig. 3). It is known that there is a nonlinear relationship of TCR and ECS, which is related to the ocean heat uptake efficiency in the TCR<sup>29–32</sup>. Indeed, the difference in the ocean heat uptake efficiency among eight ESMS should be considered when using the TCR to constrain the degree of  $\Delta T$  hysteresis, which is beyond the scope of this study. Thus, we used the ECS as an indicator to constrain the degree of  $\Delta T$  hysteresis to emphasize that the difference in the climate feedback response would determine the degree of hysteresis in surface temperature and precipitation in CMIP6 CDRMIP. However, further investigation is needed to clarify the difference in ocean heat uptake efficiency

and its non-linearity with the ECS, which influences the degree of hysteresis in surface temperature and precipitation in ESMS.

### Hysteresis of the global precipitation constrained by the surface energy budget in a changing $\text{CO}_2$ pathway

The global precipitation response significantly differs in a changing  $\text{CO}_2$  pathway between the High\_ECS and Low\_ECS (Fig. 2a). In High\_ECS, the increasing rate in the global precipitation response during the positive  $\text{CO}_2$  emission period is 40% larger than its decreasing rate during the negative  $\text{CO}_2$  emission period but only 25% larger in Low\_ECS (Supplementary Table 3). In addition, the response of the global precipitation after the peak of  $\text{CO}_2$  quadrupling remains large in the High\_ECS compared with the Low\_ECS; consequently, the global precipitation response when  $\text{CO}_2$  is restored to its initial states tends to be less recovered in the High\_ECS about 54.7% compared with the Low\_ECS about 65.7%. Therefore, the High\_ECS tends to exhibit greater hysteresis than does the Low\_ECS (Fig. 2b). Consistent with the  $\Delta T$  response, there is a strong dependency of CDR-induced asymmetrical global precipitation response with respect to climate sensitivity due to the magnitude of the ECS (Fig. 2c). The recovery rates of precipitation are lower than those of surface temperature in both High\_ECS and Low\_ECS, which could be originated from the nonlinear responses of precipitation to surface temperature changes, particularly over the convective regions<sup>33</sup>.

We note that ESMS with a large  $\Delta T$  hysteresis exhibit a greater degree of  $\Delta P$  hysteresis. This suggests that  $\Delta T$  hysteresis could be

an important factor in determining the degree of  $\Delta P$  hysteresis in ESMs. The change in the global precipitation is constrained by the surface energy budget, in which the net surface radiative energy comprising the net surface shortwave and longwave radiation is partitioned into latent and sensible heat fluxes and ocean heat uptake. More than 90% of the extra heat from  $\Delta N$  is stored in the ocean<sup>34</sup>, and ocean heat uptake can be estimated from  $\Delta N$  (i.e., positive sign denotes heat uptake into the system). Thus, the amount of energy available to drive the global precipitation response (Fig. 2a) is constrained by the surface available energy response ( $\Delta SAE$ ) (Fig. 3a), consisting response of net surface shortwave ( $\Delta SW$ ) and surface longwave ( $\Delta LW$ ) radiative energy, a non-radiative energy of sensible heat ( $\Delta SH$ ), and  $\Delta N$ . Furthermore, each  $\Delta SAE$  term shows the distinct asymmetrical response to a changing  $CO_2$  pathway (Fig. 3b–e). Here, the surface radiative fluxes are positive when directed into the surface from the atmosphere, and sensible heat flux is positive when directed away from the surface to the atmosphere.

The  $\Delta SW$  has been decreased during the  $CO_2$  positive and negative emission period in both High\_ECS and Low\_ECS (Fig. 3b), respectively, which is mainly due to the increased absorption of shortwave radiation by the increased water vapor in the atmosphere that overwhelms the increased absorption of surface shortwave radiation by the decreased surface albedo and low cloud<sup>35</sup>. The increase in the atmospheric shortwave absorption is larger in the High\_ECS than that in the Low\_ECS (Supplementary Fig. 4), which is likely due to more abundant atmospheric water vapor content in the High\_ECS than the Low\_ECS (Supplementary Fig. 5). On the other hand, the surface shortwave cloud radiative effect increases during the both  $CO_2$  positive and negative emission periods in the High\_ECS and Low\_ECS (Supplementary Fig. 6), leading to the offset of the decrease in the  $\Delta SW$  due to the increased absorption of shortwave radiation within the atmosphere. We note that this offset is more distinct in the High\_ECS, which is mainly due to cloud effect, and it contributes to a smaller decrease in the  $\Delta SW$  in the High\_ECS despite more increased atmospheric shortwave radiation absorption. Furthermore, there is a large diversity of the  $\Delta SW$  in both High\_ECS and Low\_ECS compared to other surface energy budget terms (see also Fig. 3f).

The LW response to a changing  $CO_2$  pathway is initially enhanced by the greenhouse effect<sup>36</sup>, and it increases surface evaporation and moistens the atmosphere as constrained by the Clausius–Clapeyron relationship. This amplifies initial surface warming through a positive water vapor feedback mechanism<sup>37</sup>. Because water vapor is the most abundant and strongest greenhouse gas in the atmosphere, it exerts the strongest positive feedback and dominates the  $\Delta LW$  by overwhelming the other LW surface feedbacks, such as the lapse rate and cloud, and thus plays a critical role in the intensification of global precipitation response with an increasing temperature<sup>38</sup>. In particular, the surface LW lapse rate feedback term is nearly negligible compared to the other feedback terms since the surface does not directly respond to radiative changes that occur in the middle to upper troposphere aloft, particularly over the tropics<sup>35</sup>. Meanwhile, the surface longwave cloud radiative effects partially offset the increased  $\Delta LW$  and weaken the asymmetrical  $\Delta LW$  (Supplementary Fig. 7). The offsets by the surface longwave cloud radiative effects are more distinct in the High\_ECS compared to the Low\_ECS (Supplementary Fig. 7). Nevertheless, the High\_ECS shows more pronounced asymmetry in  $\Delta LW$ , which might be originated from the water vapor feedback response. Indeed, the water vapor content of the atmosphere is larger in the High\_ECS than in the Low\_ECS (Supplementary Fig. 5), a difference that is related to greater enhanced surface warming. Consequently, the  $\Delta LW$  has been more increased in the High\_ECS than the Low\_ECS in a changing  $CO_2$  pathway (Fig. 3c). Furthermore, the High\_ECS, with a large hysteresis of  $\Delta T$ , is associated with strong asymmetry in  $\Delta LW$  (Fig. 3c). The large increase in  $\Delta LW$  but a small decrease in  $\Delta SW$

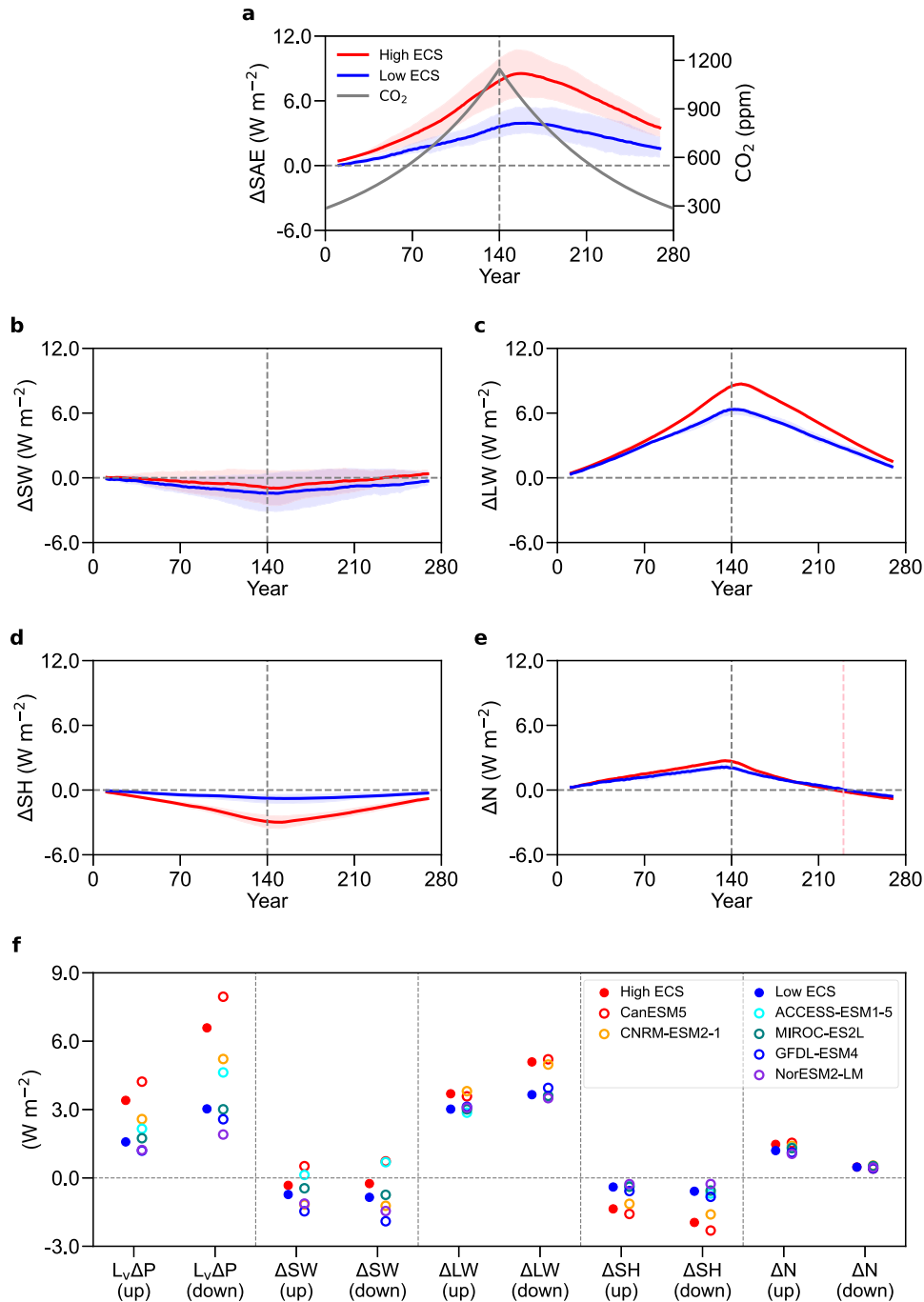
denotes more surplus surface radiative energy response in the High\_ECS than the Low\_ECS in a changing  $CO_2$  pathway. Subsequently, it is consumed by evaporation by releasing more water vapor into the atmosphere in the High\_ECS than the Low\_ECS.

The  $\Delta SH$  is reduced due to decreased air-sea temperature difference in a changing  $CO_2$  pathway<sup>39,40</sup>. The  $\Delta SH$  is more pronounced in the High\_ECS due to larger  $\Delta T$  increase than that in the Low\_ECS (Fig. 3d and see also Fig. 1a). The  $\Delta N$  has been gradually increased during the positive  $CO_2$  emission period and sharply decreased during the negative  $CO_2$  emission period in both High\_ECS and Low\_ECS (Fig. 3e). The climate system is taking up heat, mostly into the ocean, until  $\Delta N$  is negative around the middle of the negative emission period, and  $\Delta N$  is slightly larger in the High\_ECS than that in the Low\_ECS. Also, the time when the sign of  $\Delta N$  changes from positive to negative is earlier in the High\_ECS than that in the Low\_ECS. This result implies that the climate system in the High\_ECS releases energy out of the climate system more quickly than that in the Low\_ECS. However, the High\_ECS absorbs a larger amount of energy imbalance during the  $CO_2$  positive emission period and it leads to more pronounced asymmetry of  $\Delta N$  in the High\_ECS compared to that of the Low\_ECS (Fig. 3f).

The result in Fig. 3b–e indicates that the increase in the net surface radiative energy is largely driven by  $\Delta LW$ , implying the intensification of the global precipitation response along with a reduced  $\Delta SH$ , which is partly offset by a reduced  $\Delta SW$ , and ocean heat uptake when  $\Delta N$  is positive during the middle of the negative  $CO_2$  emission period<sup>41,42</sup>. In particular, the  $\Delta SAE$  for the global precipitation response is enhanced more during the negative  $CO_2$  emission period than during the positive  $CO_2$  emission period (Fig. 3f) in both High\_ECS and the Low\_ECS, leading to the hysteresis of the global precipitation (Fig. 2b). Moreover, the High\_ECS shows a large hysteresis of the global precipitation with the more pronounced asymmetrical  $\Delta SAE$  (Fig. 3f). In detail, the asymmetrical response of the global precipitation is accounted for by the  $\Delta LW$ ,  $\Delta N$ , and  $\Delta SH$  ~43.9%, 31.2%, and 18.7%, respectively, in the High\_ECS. Therefore, a large  $\Delta P$  hysteresis in the High\_ECS could be related to the asymmetry of  $\Delta LW$  and  $\Delta N$  in a changing  $CO_2$  pathway<sup>13,15,16</sup>.

Meanwhile,  $\Delta N$  is expressed by a combination of outgoing longwave radiation response ( $\Delta OLR$ ) and net absorbed shortwave radiation response ( $\Delta ASR$ ), which together account for the TOA radiative energy budget. When the outgoing energy is less than the incoming energy at the TOA, the surplus energy in the climate system accumulates in the form of heat. Figure 4 depicts the decomposition of the TOA radiative energy budget into forcing and feedback components (see “Methods”). As for the  $\Delta N$ , the net downwelling radiation at the TOA is greater in the High\_ECS compared to the Low\_ECS (Fig. 4a). The forcing component of  $\Delta OLR$  has been decreased by the greenhouse effect and its evolution is similar between the High\_ECS and Low\_ECS (Fig. 4b). However, the feedback components of  $\Delta OLR$  and  $\Delta ASR$  are quite different between the High\_ECS and Low\_ECS (Fig. 4c, d). Given that the Planck feedback is a function of the surface temperature, the High\_ECS emits large  $\Delta OLR$  to space and exhibits a strong asymmetrical response due to its large  $\Delta T$  hysteresis (Fig. 4e). Furthermore, the strong water vapor feedback in the High\_ECS due to its dependence on  $\Delta T$  leads to the distinct asymmetrical  $\Delta ASR$  between the positive and negative  $CO_2$  emission period (Fig. 4e).

As a result, the High\_ECS shows a relatively weak negative climate-restoring feedback response (Supplementary Fig. 2b) resulting from the large  $\Delta OLR$  emission but high  $\Delta ASR$  absorption in the climate system during the positive and negative emission periods (Fig. 4c, d). This implies that the High\_ECS, which has less-efficient climate-restoring feedback, exhibits a more distinct asymmetrical  $\Delta N$  at the TOA radiative energy budget (Fig. 4e). In other words, the distinct asymmetrical response in the surface

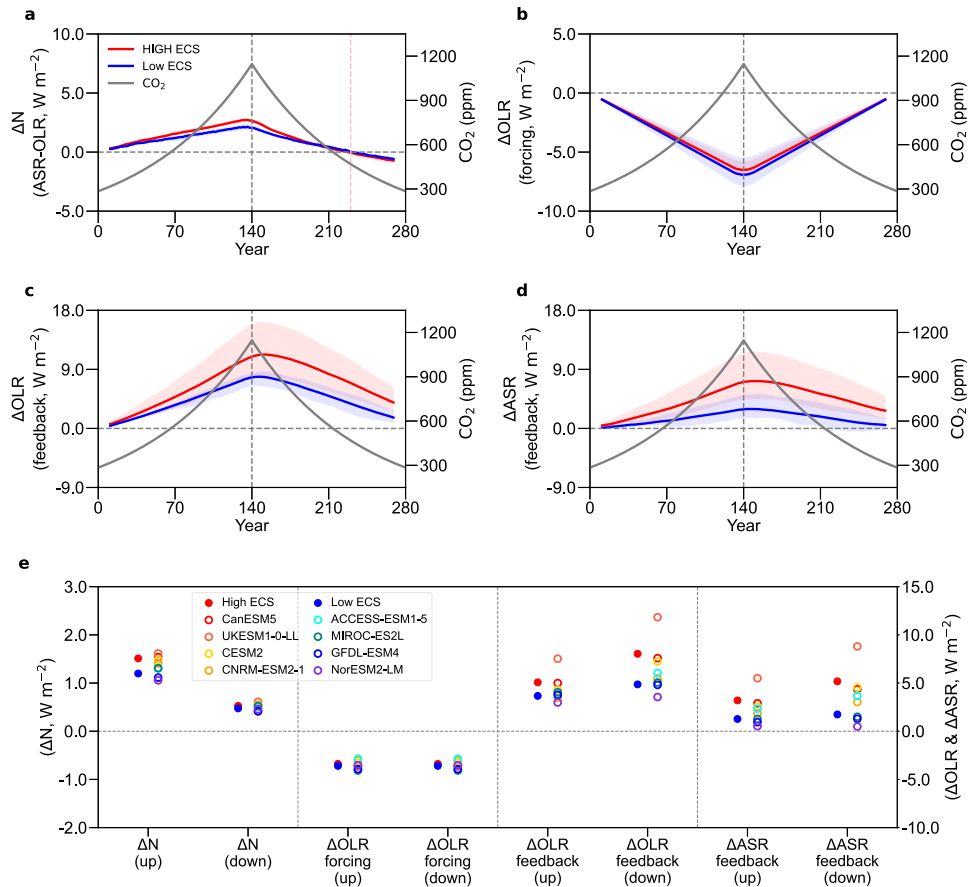


**Fig. 3** Temporal evolution and asymmetric response of the surface energy budget from CMIP6 CDRMIP models. **a–e** Time series of  $\Delta$ SAE (**a**),  $\Delta$ SW (**b**),  $\Delta$ LW (**c**),  $\Delta$ SH (**d**), and  $\Delta$ N (**e**) response to  $\text{CO}_2$  forcing for the High\_ECS group (red) and the Low\_ECS group (blue). The  $\Delta$ SW and  $\Delta$ LW are positive when directed into from the atmosphere to the surface, and  $\Delta$ SH is positive when directed away from the surface to the atmosphere. The  $\Delta$ SAE is calculated as the  $\Delta$ SW +  $\Delta$ LW –  $\Delta$ SH –  $\Delta$ N, where the positive  $\Delta$ N denotes the energy in the form of heat is accumulated in the climate system. The gray line in (**a**) denotes the time series of  $\text{CO}_2$  concentration. The pink vertical dotted line in (**e**) denotes model year 230. Solid lines and shadings represent the average value and their ensemble spread from each ECS group, respectively. **f** Surface energy budget constraints for the global precipitation under an idealized  $\text{CO}_2$  removal scenario. Dots indicate the average value for each surface energy budget term during the positive (1–139 years) and negative (141–279 years)  $\text{CO}_2$  emission period. All values are smoothed by the 21-year running mean. The  $\Delta$  symbol indicates change relative to the piControl simulation. The six models were chosen due to the availability of the surface energy budget of  $\Delta$ SW and  $\Delta$ LW.

energy budget, largely driven by the  $\Delta$ LW and  $\Delta$ N, leads to more pronounced hysteresis in the global precipitation in the High\_ECS than in the Low\_ECS (Fig. 2b). This indicates that climate sensitivity determines the degree of asymmetrical  $\Delta$ LW and  $\Delta$ N in a changing  $\text{CO}_2$  pathway and controls the magnitude of hysteresis behavior in the global precipitation.

#### Role of forcing and feedback components in a changing $\text{CO}_2$ pathway

We found that the degree of hysteresis behavior in the  $\Delta$ T and precipitation depends on the representation of climate feedback responses inherent in ECS. However, the precise role of forcing and feedback processes in the surface energy budget remains



**Fig. 4** Temporal evolution and the asymmetric response of the TOA radiative energy budget from CMIP6 CDRMIP models. **a–d** Time series of  $\Delta N$  (**a**), the forcing term in  $\Delta OLR$  (**b**), the feedback term in  $\Delta OLR$  (**c**), and the feedback term in  $\Delta ASR$  (**d**) response to CO<sub>2</sub> forcing for the High\_ECS group (red) and the Low\_ECS group (blue). The gray line in (**a–d**) denotes the time series of CO<sub>2</sub> concentration. The pink vertical dotted line in (**a**) denotes the model year 230. Solid lines and shadings represent the average value and their ensemble spread from each ECS group, respectively. **e** TOA radiative energy budget under an idealized CO<sub>2</sub> removal scenario. Dots indicate the average value for each TOA radiative energy budget term during the positive (1–139 years) and negative (141–279 years) CO<sub>2</sub> emission period. All values are smoothed by the 21-year running mean. The  $\Delta$  symbol indicates change relative to the piControl simulation.

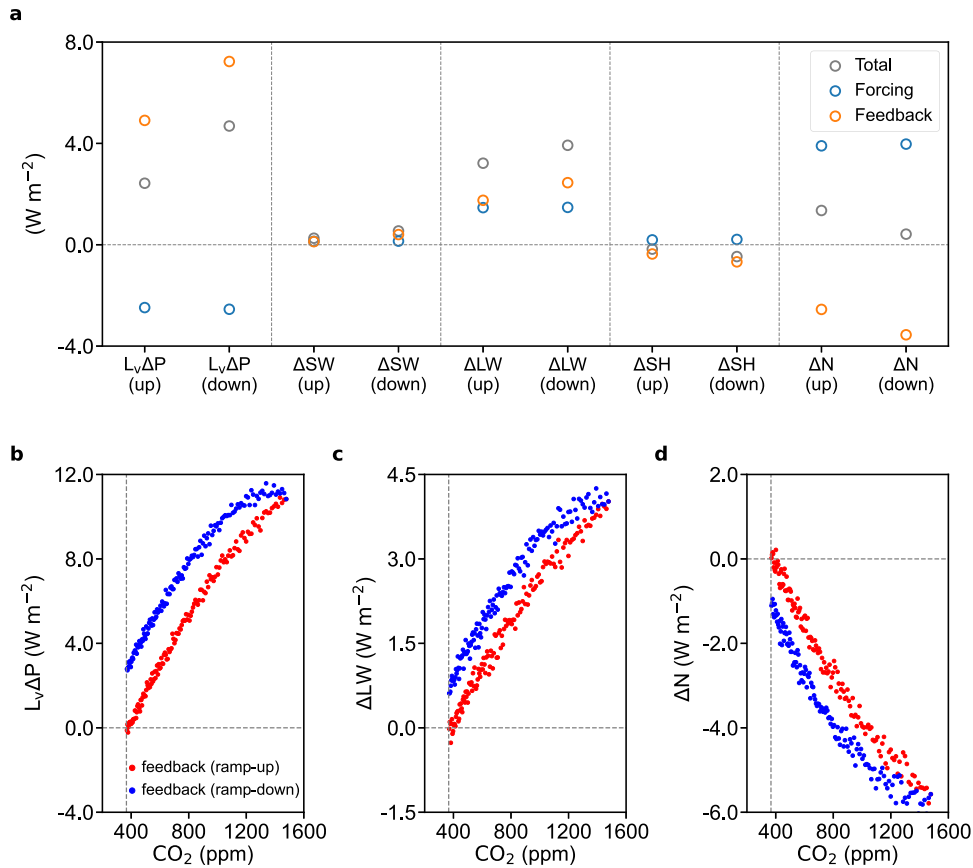
elusive. To examine this, we conducted an idealized CDR scenario experiment with a fully coupled global climate model (CGCM) simulation using Community Earth System Model version 1 (CESM1)<sup>43</sup>. In this simulation, transient changes in Earth's energy budget are the result of forcings and feedbacks. We then ran an atmosphere-only global climate model (AGCM) simulation to isolate the forcing components of the Earth's energy budget ("Methods"). This experiment was able to decompose the feedback components derived from the difference between a CGCM (forcing and feedback) and an AGCM (forcing) simulation. This approach has been used as a straightforward way of isolating the forcing components in the Earth's energy budget<sup>44,45</sup>.

Figure 5 illustrates the evolution of the surface energy budget obtained from the CESM1 simulations. While the forcing components exhibit a largely symmetrical response, the feedback components show a distinctly asymmetrical response to CO<sub>2</sub> forcing (Fig. 5a and Supplementary Fig. 8). In particular, the asymmetrical response of the global precipitation is driven mostly by the feedback components of  $\Delta LW$  and  $\Delta N$  (Fig. 5a). Although the evolution of feedback terms in  $\Delta SW$  and  $\Delta SH$  shows the asymmetrical response to CO<sub>2</sub> forcing, these changes are not the dominant driver in the non-reversal response in the global precipitation. Furthermore, it is important to determine what makes the asymmetrical climate feedback response as  $\lambda\Delta T$  to a changing CO<sub>2</sub> pathway. This may be the result of either the difference in  $\lambda$  or  $\Delta T$  between CO<sub>2</sub> positive and negative emission

periods. While there is little difference in the climate feedback response responding to  $\Delta T$  in a changing CO<sub>2</sub> pathway (Supplementary Fig. 9), there are two radiative states for a given CO<sub>2</sub> forcing (Fig. 5b–d). This is because they have different  $\Delta T$  conditions for the same CO<sub>2</sub> state, so  $\Delta T$  hysteresis is important in determining the asymmetric climate feedback response in the surface energy budget, which is associated with the hysteresis behavior of global precipitation in ESMs. Therefore, we suggest that climate sensitivity is a key factor for controlling CDR-induced climate response simulated by state-of-the-art global climate models.

## DISCUSSION

The differences in representing the climate feedback response determine the degree of climate hysteresis behavior among multiple ESMs. ESMs with a high climate sensitivity, which has less-efficient climate feedback, exhibit less recovery during the negative CO<sub>2</sub> emission period as much as the increases in surface temperature and precipitation during the positive CO<sub>2</sub> emission period. This point is further corroborated by an idealized model experiment, in which the  $\Delta T$  hysteresis constrains the feedback component in the surface energy budget terms and determines the global precipitation hysteresis. This result implies that ESMs with a high climate sensitivity may take more time to recover to



**Fig. 5 Hysteresis behavior of the feedback components in the global precipitation and surface energy budget in CESM1 simulation.** **a** Surface energy budget constraints for the global precipitation under an idealized  $CO_2$  removal scenario. Dots indicate the total (gray), forcing (light blue) and feedback (orange) components for each surface energy budget term during the positive (1–139 years) and negative (141–279 years)  $CO_2$  emission period. **b–d** A trajectory of the feedback terms in the  $L_v\Delta P$  (**b**),  $\Delta LW$  (**c**), and  $\Delta N$  (**d**) in the  $CO_2$  phase space during the positive (red) and negative (blue)  $CO_2$  emission period. The  $\Delta$  symbol indicates change relative to CESM1 control simulation.

their original state after the  $CO_2$  concentration recovers to the present climate condition.

This study highlights that CMIP6 ESMs with a high ECS have a greater degree of climate hysteresis in surface temperature and precipitation than those in a low ECS. In this regard, we note that the range of the ECS increases from 2.1–4.7 K in CMIP5 to 1.8–5.6 K in CMIP6 ESMs<sup>46,47</sup>. Particularly, the range in the upper tail of the ECS has significantly been increased from CMIP5 to CMIP6 ESMs, primarily due to a strong positive low cloud feedback in CMIP6 ESMs<sup>47</sup>. This refers to the “hot model” problem in which some CMIP6 ESMs exceed the ECS by more than 4.7 K and there is no such CMIP5 ESMs. Therefore, we cannot exclude the possibility that the climate hysteresis simulated by the CMIP6 ESMs could be influenced by the “hot model” problem.

The regional climate response also may differ between the High\_ECS and Low\_ECS groups. The hysteresis in the global/regional precipitation can be characterized by the delayed recovery of the ITCZ<sup>22</sup> and distinct asymmetric response in the ocean precipitation<sup>16</sup>. Indeed, the High\_ECS shows more distinct asymmetrical responses in the tropical rainfall, particularly over the Pacific Ocean, which is characterized by a southward shift in the ITCZ (Supplementary Fig. 10). The changes in the tropical rainfall pattern are similar to those during an extreme El Niño pattern. This implies that the change in sea surface temperature (SST) patterns is also associated with climate sensitivity (Supplementary Fig. 11), which is termed as pattern effect<sup>48,49</sup>, and is in line with asymmetrical tropical rainfall change to  $CO_2$  forcing, which is associated with a delayed slow SST-driven response<sup>50,51</sup>. The group mean SST and precipitation patterns between the

High\_ECS and the Low\_ECS are quite similar. This implies that the regional climate responses in a changing  $CO_2$  pathway between the two groups are closely associated with their amplitude. These further imply that climate sensitivity is a key indicator of hysteresis not only a global scale but also at regional climate scales. An understanding of this climate sensitivity would help develop precise climate mitigation policies for potential climate futures to successfully achieve a post-net-zero emission climate.

## METHODS

### CMIP6 simulations

In this paper, we utilized four experiments from eight CMIP6 models (ACCESS-ESM1–5, CanESM5, CESM2, CNRM-ESM2-1, GFDL-ESM4, MIROC-ES2L, NorESM2-LM, UKESM1-0-LL and see also Supplementary Table 2)<sup>52</sup>. First, we used the abrupt quadrupling of  $CO_2$  forcing simulation (abrupt  $4\times CO_2$ ) branched from each model’s pre-industrial control simulation (piControl) to estimate the ECS. A detailed methodology for the ECS calculation can be found in the following section. We then used the idealized CDR scenario to examine climate hysteresis under a positive  $CO_2$  (1pct $CO_2$ ) and negative (1pct $CO_2$ -cdr)  $CO_2$  emission experiments integrated from the long-term piControl simulation. In these experiments, the atmospheric  $CO_2$  forcing was prescribed to have a 1% increase per year and peak at quadrupling its initial value at 140 years, followed by a 1% decrease per year until the initial  $CO_2$  concentration level (pre-industrial  $CO_2$  level, 284.7 ppm) was reached. The  $\Delta$  symbol refers to a change relative to a pre-

industrial reference state obtained from the climatological values from the piControl.

### Equilibrium climate sensitivity calculation

The ECS is the equilibrium value of  $\Delta T$  when the radiative equilibrium is reached in response to a doubling of atmospheric  $\text{CO}_2$  concentrations relative to pre-industrial levels. This value has been the most commonly applied concept to assess our understanding of the climate system as simulated by global climate models<sup>25,53</sup>. Due to the large heat capacity of the oceans, the climate system takes millennia to achieve equilibrium states in response to an imposed radiative forcing. This makes it difficult to estimate the ECS due to the computational costs of such long-term simulations<sup>26</sup>. For this reason, the ECS is typically estimated from the extrapolation methods using the output from the first 150 years of abrupt  $4\times\text{CO}_2$  simulations. This method is based on the forcing and response framework by the following Earth's energy budget equation<sup>54</sup>:

$$\Delta N = \Delta F + \lambda \Delta T \quad (1)$$

where  $\Delta$  refers to the change relative to a pre-industrial reference state, such that the change in  $\Delta N$  is partitioned between the effective radiative forcing (ERF,  $\Delta F$ ) and the radiative response ( $\lambda \Delta T$ ) related to the climate feedback processes, which are proportional to the change in the  $\Delta T$  multiplied by the  $\lambda$ .

We use the first 150 years of the abrupt  $4\times\text{CO}_2$  simulations, and corrected for model drift by removing the linear trend from the piControl simulation over the period in which it overlapped with the abrupt  $4\times\text{CO}_2$  simulation<sup>23,55</sup>. We then calculated the linear regression of  $\Delta N$  onto  $\Delta T$  among multiple ESMs (Supplementary Fig. 1). This makes it relatively simple to estimate ERF induced by the atmospheric  $\text{CO}_2$  forcing ( $y$  intercept divided by 2), climate feedback parameter ( $\lambda$ , regression slope), and ECS ( $x$  intercept divided by 2). Division by 2 is meant to express ERF and ECS with respect to a doubling of atmospheric  $\text{CO}_2$  concentrations in line with the standard practice.

### Degree of climate hysteresis

A conceptual framework for quantifying hysteresis was suggested in a recent article<sup>10</sup>. In this method, the degree of hysteresis in surface temperature and precipitation can be estimated from the area surrounded by the climate trajectory during the positive and negative  $\text{CO}_2$  emission periods. The degree of climate hysteresis among ESMs is determined by the difference in system time lag and nonlinear responses (Figs. 1b and 2b). That is, the relatively long-delayed peak response and less recoverability in the High\_ECS group have the larger area surrounded by the climate trajectory in the  $\text{CO}_2$  phase space. This result implies that the method in ref. 10 can be applicable for understanding the spread in the CDR-induced climate response among multiple ESMs.

### Decomposition of forcing and feedback components at the TOA radiative energy budget

The atmospheric  $\text{CO}_2$  forcing directly affects the TOA radiative energy budget by reducing OLR and increases surface warming through the greenhouse effect. The climate system attempts to re-achieve the Earth's energy balance via Planck feedback. In addition, various temperature-mediated feedback processes alter the net TOA radiation through the modulation of the feedback terms in OLR and ASR.

The  $\Delta F$  could be approximated by its logarithmic dependence on the change in  $\text{CO}_2$  concentration<sup>56</sup> as follows:

$$\Delta F = \beta \ln(C/C_0) \quad (2)$$

where  $C$  and  $C_0$  are the perturbed and control atmospheric  $\text{CO}_2$  concentration and  $\beta$  is a constant to be determined. The  $\Delta F$

includes the shortwave and longwave component of  $\text{CO}_2$  radiative forcing. However, the radiative forcing due to the shortwave absorption by  $\text{CO}_2$  is only about 4%<sup>56,57</sup>. Thus, we ignored the shortwave fluxes of  $\text{CO}_2$  radiative forcing for simplicity. Note that we can obtain consistent results when the  $\Delta F$  decomposes into its shortwave components calculated as 4% of total  $\text{CO}_2$  radiative forcing. The  $\Delta F$  calculated from the abrupt  $4\times\text{CO}_2$  simulation and  $C_{4\times\text{CO}_2}/C_0 = 4$  give  $\beta$  in each global climate model. We can then estimate the transient change in the OLR term to a changing  $\text{CO}_2$  pathway. In addition, this enabled us to decompose the feedback term of OLR as the difference between the total (forcing and feedback) change derived from the CMIP6 CDRMIP simulation and the forcing components derived from its logarithmic formula.

### Experimental design

To examine the role of forcing and feedback components in the climate hysteresis behavior, we conducted idealized climate model simulations with the CGCM and AGCM experiment using CESM1<sup>43</sup>, which configures atmosphere, ocean, sea ice, and land models and prescribes idealized  $\text{CO}_2$  forcing. The atmosphere model is version 5 of the Community Atmosphere Model (CAM5), the ocean model is the Parallel Ocean Program version 2, the land model is the Community Land Model version 4, and the sea ice model is the Community Ice Code version 4. The atmosphere and land components have a horizontal resolution of  $\sim 1^\circ$  with 30 vertical levels. The ocean and sea ice components have 60 staggered vertical levels, with a horizontal resolution of  $1^\circ$  of longitude and  $0.5^\circ$  of latitude that decreases to  $\sim 0.3^\circ$  of latitude near the equator.

For the CGCM-type simulations, we conducted present-day control simulations prescribed by the fixed atmospheric  $\text{CO}_2$  concentration at the present-day level (367 ppm) over 900 years. We also simulated the idealized CDR transient experiment in which the atmospheric  $\text{CO}_2$  forcing increased at a rate of 1% per year until it had quadrupled at 1468 ppm, then decreased back to 367 ppm at the same rate with 28 ensemble members. This experimental setup is similar to the CMIP6 CDRMIP simulation, except for the initial  $\text{CO}_2$  concentration level. The 28 ensemble members were conducted with different initial conditions, which were taken arbitrarily from a present-day control simulation. An ECS value of CESM1 is  $\sim 4.0 \text{ K}$ <sup>58,59</sup>, which lies between the High\_ECS and Low\_ECS groups. The degree of hysteresis behavior in the  $\Delta T$  and the global precipitation derived from the CESM1 CGCM simulation is located between the High\_ECS and Low\_ECS groups (Supplementary Fig. 12). In this fully coupled atmosphere-ocean global climate model simulation, transient changes in the Earth's energy budget are the result of forcing and feedback processes.

A convenient way to diagnose the forcing and feedback components is with an AGCM-type experiment with fixed-SST boundary conditions<sup>44,45</sup>. We therefore performed the additional idealized CDR transient experiment with the AGCM using CAM5 as the atmosphere model for CESM1. For the AGCM-type simulations, we repeated the control, positive and negative  $\text{CO}_2$  emission experiments for 100 and 279 years, respectively. We prescribed the ocean and sea ice models with climatological SST and sea ice conditions obtained from a CESM1 CGCM present-day control simulation in the AGCM control, positive and negative  $\text{CO}_2$  emission experiments, respectively. This fixed-SST experiment kept the atmosphere and land surface free to respond to perturbations, but large-scale surface temperature-mediated feedbacks were strongly suppressed<sup>60</sup>. The simulated ERF at the TOA closely matched the ERF calculated by the logarithmic dependence on the perturbed  $\text{CO}_2$  forcing (Supplementary Fig. 13). We therefore estimated the climate feedback components in the Earth's energy budget as the difference between the total (forcing and feedback) change derived from the CESM1 CGCM simulation and the forcing components derived from the CAM5 AGCM

simulation. This idealized methodology was previously used to decompose the forcing and feedback components in the cloud response under the transient CO<sub>2</sub> reversibility scenario identical to the CMIP6 CDRMIP protocol<sup>44</sup>.

## DATA AVAILABILITY

The code of CESM1 is available from <http://www.cesm.ucar.edu/models>. The eight CMIP6 models used in this study are freely available from the Earth System Grid Federation data portal at <https://esgf-node.llnl.gov/search/cmip6/>.

## CODE AVAILABILITY

Python 3.8 was used for plotting. The code used in this study is available from the corresponding author on reasonable request.

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## AUTHOR CONTRIBUTIONS

S.Y.S. and S.W.Y. contributed equally to designing the research. S.Y.S. performed the data analysis and, together with S.W.Y., interpreted the results. S.Y.S. wrote the

manuscript and edited it together with S.W.Y. All the authors discussed the study results and reviewed the manuscript.

## COMPETING INTERESTS

The authors declare no competing interests.

## ADDITIONAL INFORMATION

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