

A community-based child health and parenting intervention to improve child HIV testing, health, and development in rural Lesotho (Early Morning Star): a clusterrandomised, controlled trial

Article

Accepted Version

Tomlinson, M., Marlow, M., Stewart, J., Makhetha, M., Sekotlo, T., Mohale, S., Lombard, C., Murray, L., Cooper, P. J., Morley, N., Rabie, S., Gordon, S., van der Merwe, A., Bachman, G., Hunt, X., Sherr, L., Cluver, L. and Skeen, S. (2024) A community-based child health and parenting intervention to improve child HIV testing, health, and development in rural Lesotho (Early Morning Star): a cluster-randomised, controlled trial. The Lancet HIV, 11 (1). e42-e51. ISSN 2352-3018 doi: 10.1016/S2352-3018(23)00265-5 Available at https://centaur.reading.ac.uk/114618/

It is advisable to refer to the publisher's version if you intend to cite from the work. See <u>Guidance on citing</u>.

To link to this article DOI: http://dx.doi.org/10.1016/S2352-3018(23)00265-5

Publisher: Elsevier



All outputs in CentAUR are protected by Intellectual Property Rights law, including copyright law. Copyright and IPR is retained by the creators or other copyright holders. Terms and conditions for use of this material are defined in the <u>End User Agreement</u>.

www.reading.ac.uk/centaur

CentAUR

Central Archive at the University of Reading

Reading's research outputs online

A community-based child health and parenting intervention to improve child HIV testing, health and development in rural Lesotho (Early Morning Star): a cluster randomised controlled trial.

Mark Tomlinson, Marguerite Marlow, Jackie Stewart, Moroesi Makhetha, Tholoana Sekotlo,
Sebuoeng Mohale, Carl Lombard, Lynne Murray, Peter J Cooper, Nathene Morley, Stephan
Rabie, Sarah Gordon, Amelia van der Merwe, Gretchen Bachman, Xanthe Hunt, Lorraine Sherr*,
Lucie Cluver*, Sarah Skeen*

- 10 *Joint senior author
- 11

9

4

12 Institute for Life Course Health Research, Stellenbosch University, Cape Town, South Africa 13 (Prof M Tomlinson PhD, M Marlow MA, A van der Merwe PhD, X Hunt PhD, Assoc Prof S Skeen 14 PhD); School of Nursing & Midwifery, Queen's University Belfast, Belfast, Northern Island (Prof 15 M Tomlinson PhD); Division of Global Surgery, University of Cape Town, Cape Town, South 16 Africa (Assoc Prof J Stewart PhD); Institute for Life Course Health Research Lesotho Satellite 17 Site, Stellenbosch University, Maseru, Lesotho (M Makhetha PGDip, T Sekotlo DBS, S Mohale 18 BTh); Division of Epidemiology and Biostatistics, Stellenbosch University, Belleville, South Africa 19 (Prof C Lombard PhD); Biostatistics Unit, South African Medical Research Council, Cape Town, 20 South Africa (Prof C Lombard PhD); School of Psychology and Clinical Language Sciences, 21 University of Reading, Reading, England (Prof L Murrary PhD, Prof P J Cooper PhD); Baylor 22 International Pediatric AIDS Initiative, Texas Children's Hospital, Houston, Texas, USA (N 23 Morley MPH); HIV Mental Health Research Unit, University of Cape Town, Cape Town, South 24 Africa (S Rabie PhD); Centre for Evidence-Based Health Care, Stellenbosch University, Belleville, 25 South Africa (S Gordon MA); Office of Global HIV/AIDS, US Agency for International 26 Development, Washington, DC, USA (G Bachman MBA); Institute of Global Health, University 27 College London, London, England (Prof L Sherr PhD); Centre for Evidence-Based Social 28 Intervention, Department of Social Policy and Intervention University of Oxford, Oxford, UK 29 (Prof L Cluver PhD); Department of Psychiatry and Mental Health, University of Cape Town, 30 Cape Town, South Africa (Prof L Cluver PhD); Amsterdam Institute for Social Science Research, 31 University of Amsterdam, Amsterdam, Netherlands (Assoc Prof S Skeen PhD)

32

33 Correspondence to:

34

35 Prof Mark Tomlinson, Institute for Life Course Health Research, Department of Global Health,

- 36 Faculty of Medicine and Health Sciences, Stellenbosch University, Francie van Zijl Drive,
- 37 Tygerberg, 7505, South Africa
- 38 markt@sun.ac.za
- 39
- 40 Trial registration number ISRCTN16654287.
- 41

42 Summary

Background: When caregivers live in remote settings characterised by extreme poverty, poor access to health services and high rates of HIV/AIDS, their caregiving ability and children's development may be compromised. We aimed to test the effectiveness of a community-based child health and parenting intervention to improve child HIV testing, health and development in rural Lesotho.

48

49 Methods: We implemented a matched cluster-randomised controlled trial with 34 community 50 clusters randomly assigned to intervention or wait-list control arms within a pair. Eligible 51 clusters were villages with non-governmental organisation partner presence and an active 52 preschool. Participants were caregiver-child dyads, where the child was 12-60 months old at baseline. The intervention consisted of eight group sessions delivered at informal preschools 53 54 to all children in each village. Mobile health events were hosted for all intervention (n=17) and 55 control (n=17) clusters, offering HIV-testing and other health services to all community 56 members. Primary outcomes were caregiver-reported child HIV-testing, child language 57 development and child attention. Assessments were conducted at baseline, immediately post-58 intervention (3 months post-baseline), and 12-months post-intervention. We assessed child 59 language using one caregiver-report measure (MacArthur Communicative Development 60 Inventory (CDI)) and used two observational assessments of receptive language (the Mullen 61 Scales of Early Learning (MSEL) receptive language subscale, and the Peabody Picture 62 Vocabulary Test (PPVT) 4th Edition). Child attention was assessed using the Early Childhood 63 Vigilance Task (ECVT). Assessors were masked to group assignment. Analysis was by intention 64 to treat. This trial was registered with ISRCTN.com, ISRCTN16654287.

65

66 Findings: Between Aug 8, 2015 and Dec 10, 2017, 1040 children (531 intervention; 509 control) 67 and their caregivers were enrolled in 34 clusters (17 intervention; 17 control). Compared to 68 controls, the intervention group reported significantly higher child HIV-testing at the 12-69 month follow-up (RR 1.46, 95% CI 1.29 to 1.65, p<0.001), but not immediately post-70 intervention. The intervention group showed significantly higher child receptive language on 71 the caregiver report (CDI) at immediate (effect size 3.79, 95% CI 0.78 to 6.79, p=0.0275) but 72 not at 12-month follow-up (effect size 2.96, 95% CI -.06 to 5.98, p=0.055). There were no 73 significant group differences for the direct assessments of receptive language. Child expressive 74 language and child attention did not differ significantly between groups.

75

76 Interpretation: Integrated child health and parenting interventions, delivered by trained and
 77 supervised lay health workers, can improve both child HIV testing and child development.

78

Funding United States Agency for International Development (USAID) and the President'sEmergency Plan for AIDS Relief (PEPFAR).

81 Introduction

82 In low- and middle-income countries (LMICs) a large proportion of caregivers raise their

83 children in conditions characterised by poverty, high rates of illness and poor healthcare

84 access. This exposure places children at risk for poor health, educational failure, and adverse

85 outcomes later in life.¹ In high HIV-prevalence countries, HIV poses a significant additional

86 threat to the well-being of caregivers and to children's development.²

87

88 While there have been dramatic improvements in preventing HIV transmission to infants 89 (notably vertical transmission prevention), there are still gaps where mothers and children 90 are missed by the health system, lost to follow-up, or face limited testing opportunities after 91 the postnatal period.³ The lack of focus on HIV services for children after infancy has meant 92 that children lag behind adults in terms of HIV outcomes, including rates of testing and 93 treatment uptake.⁴ Maternal HIV retesting and timely testing of HIV-exposed infants is critical in high burden settings⁵ to reduce the 160,000 new infections among children yearly. 94 95 Targeted HIV testing strategies such as index testing have shown to increase HIV yield, 96 especially in older children. However, this depends largely on the availability of these 97 strategies to remote and rural communities, and on uptake by the child's family.⁶ Promoting 98 testing of young children under five in high burden settings continues to be recommended

99 by the WHO consolidated guidelines on HIV testing.⁵

100

101 HIV infection negatively affects child neurocognitive and motor functioning, and is

102 associated with cognitive delay in children.⁷ Children exposed to HIV but uninfected have

103 lower mental and motor scores compared to children who are HIV unexposed and

104 uninfected.⁸ In addition, poverty and illness can compromise parental responsiveness and

105 stimulation, and diminish opportunities for learning, all of which are predictors of poor

106 cognitive development.⁹ Threats to children's development should be addressed early, as a

- 107 way to mitigate risks and improve outcomes into adulthood.¹⁰ Importantly, children require
- 108 nurturing care, a type of care that extends beyond preventing illness and malnutrition to

109 include responsive interactions with caregivers.¹¹ Psychosocial interventions that assist

110 parents to engage with their young children and provide learning opportunities can improve

- 111 children's cognitive and language development.¹²
- 112

113 The challenge for LMICs is establishing feasible and effective mechanisms of delivering such

114 interventions to at-risk and hard-to-reach populations, such as in remote and rural areas.¹³

- 115 One solution is the provision of integrated intervention approaches, where early
- 116 responsiveness interventions, health and/or nutrition components are combined to optimise
- delivery.¹⁴ Integrated interventions provide opportunities for cost-saving,¹⁵ increased
- 118 efficiency of delivery through sharing of resources, and the potential for synergistic effects.¹⁶

119 Finally, HIV stigma may prevent HIV testing, making a universal child development

- 120 programme one way to support child HIV testing.
- 121

122 Lesotho is a small, mountainous country, landlocked by South Africa. Half the population

- 123 lives below the poverty line (less than \$1.90 a day),¹⁷ a third of children under five are
- 124 stunted and 10% are underweight.¹⁸ The country also has the second-highest adult HIV
- 125 prevalence rate globally, at 23.8%, and an estimated 16,000 children ages 0–14 years live
- 126 with HIV.¹⁷ Our study aimed to increase child HIV testing and improve early child
- 127 development, through a community-based, integrated intervention, delivered by community

- 128 health workers (CHWs) to families with children aged one to five years living in Mokhotlong,
- 129 Lesotho.
- 130

Research in context

Evidence before this study

We searched for RCTs of early interventions implemented in LMICs that addressed child psychosocial stimulation, health and/or nutrition in some combined or integrated manner. Our review focused on studies that tested some combination of these components, not interventions providing only one component and assessing the outcomes across different domains (e.g., a purely nutritional intervention's effect on child cognitive/language/physical development).

We searched PubMed and Google Scholar for studies published between Jan 1, 2000, and May 1, 2017, with the search terms "early childhood development", "psychosocial stimulation", "health", "nutrition", "integrated interventions". Outcomes of interest were child development (cognitive, motor, language or socio-emotional) and child health (nutritional status or illness). Studies reported in languages other than English and nonrandomised trials were excluded.

We identified 12 integrated interventions delivered in LMICs (a majority from the South-East-Asia Region and only two from the African Region). Only three studies included children older than two years, and no studies focused on children older than three. Three studies incorporated a psychosocial stimulation intervention into existing health or nutrition services, two of which focused on undernourished children attending these facilities. Six studies tested combinations of psychosocial and nutrition intervention components, while three studies tested integrated ECD, health and/or nutrition content delivered as part of one intervention. The focus of most studies was on psychosocial stimulation and nutrition. Only two studies included a health focus other than nutrition (content on hygiene in both studies).

All psychosocial stimulation interventions had positive effects on child cognitive development, most frequently child language. Only four studies reported improvements in child growth, and this mostly occurred through food/micronutrient supplementation. For the intervention that improved child growth without food supplementation, ECD, nutrition and health components were delivered as part of one integrated intervention. Only one study made use of local preschools to deliver the intervention. Three interventions included the use of children's books and encouragement for caregivers to use picture books with their children, which improved child language specifically, with the exception of one study.

Added value of this study

Integrated interventions are increasingly tested in LMICs, and several have shown beneficial effects on children's (cognitive) development. Most of these interventions focus on nutrition and psychosocial stimulation, and there is a striking lack of evidence on combined psychosocial and health (e.g., health messaging) interventions. Furthermore, most of these interventions focus on children younger than three years of age. Evidence on centre-based strategies to deliver integrated interventions is lacking, especially for children three to six years of age. Our findings add to this evidence base by showing that an integrated intervention using a holistic approach can both improve HIV testing and enhance child development.

Implications of the available evidence

Improving outcomes for children living in the most remote and rural areas requires focused attention, informed by reliable data specific to these contexts.

131

132 Methods

133 Study design and participants

134 We conducted a pragmatic cluster-randomised controlled trial in the Mokhotlong district in

135 north-eastern Lesotho. Mokhotlong has one of the highest concentrations of extreme

136 poverty in Lesotho and the highest prevalence of stunting and underweight (48% and 16%,

respectively) among children under the age of five.¹⁸ The study included 34 community

138 clusters (villages), with equal clusters assigned to intervention or control arms (17 in each)

139 within the matched pair. Villages are situated within a remote, mountainous terrain with poor

- 140 transport and road facilities.
- 141

142 Eligible clusters were villages with at least one active preschool centre, and where the local

143 non-gonvernmental organisation (NGO) partner had a resident community volunteer (to

144 facilitate with set-up and delivery of the intervention). Preschools were selected as the main

- platform for delivery, as the majority of villages in this setting have an informal preschool
- 146 that can serve as a base to engage parents with young children, while circumventing the
- 147 possible stigma associated with HIV-related health platforms. Preschools are mostly informal,
- operating out of community members' homes, without access to electricity or running water,
- 149 and most without any toys or materials.
- 150

151 Participants were all caregiver-child dyads in which the child was 12-60 months of age at

- 152 baseline. Within this age range, all children residing in the study villages were eligible for
- 153 participation, regardless of whether or not they attended the village preschool. Following
- agreement from the local chief and community leadership in each village, trained recruiters
- 155 went door-to-door to identify eligible caregiver-child dyads. Primary caregivers were
- 156 included in the study if they were at least 18 years old, lived in the same house as the child
- 157 for at least four nights per week, and consented to participate. All caregivers provided
- 158 written informed consent at the time of baseline data collection after randomisation.
- 159
- 160 The study had research ethics approval from the Health Research Ethics Committee at
- 161 Stellenbosch University (N14/09/127) and the Lesotho Ministry of Health Ethics Committee
- 162 (#138-2014). The trial was registered on the International Standard Randomized Controlled
- 163 Trial Number database (ISRCTN16654287), and the protocol was published
- 164 (https://tinyurl.com/ycyh64dk).¹⁹
- 165

166 **Randomisation and masking**

167 Villages comprised clusters and were the unit of randomisation to minimise the risk of

- 168 contamination. We identified all villages across the district's five community councils where
- 169 the local NGO partner had an existing presence. We then listed villages that had an active
- 170 preschool centre, resulting in a list of 51 eligible villages. Eligible villages were mapped to
- 171 determine the size of the village (number of households and children younger than six),
- 172 characteristics of the preschool/s (size; structure; resources) and characteristics of the village
- (housing type; access to water and electricity; number of shops and churches; sources of
 transport; distance from primary schools, secondary schools and health facilities; available
- 174 transport, distance from primary schools, secondary schools and health facilities; avail
 175 government and nongovernmental services).¹⁹
- 176
- 177 Of the 51 villages, five were excluded because the preschool was no longer operational.
- 178 Mapping data from the remaining 46 villages were used to stratify villages based on size and
- relative remoteness, to identify which villages could most closely be matched into pairs for
- 180 randomisation. We identified 32 villages that could most closely be matched into 16 pairs
- 181 based on community and preschool characteristics. Prior to baseline, clusters in each pair
- 182 were randomly allocated to either arm, by an external statistician using a web-based
- 183 randomisation programme. Each cluster was labelled with a letter, concealing any identifying
- 184 information from the statistician. Clusters and participants were only informed that they
- 185 would be receiving the intervention once the baseline assessments in that particular cluster
- 186 had been completed. Allocation was concealed at the cluster and individual level.
- 187

The study was conducted in two phases to accommodate field work, while data collection was staggered to ensure that the time between baseline, intervention and follow-up periods would be comparable for control and intervention villages. Two weeks prior to the baseline start date in each village, data collectors visited each household to formally enrol eligible children (between the ages of 12 and 60 months). However, as baseline data collection

- 193 progressed, the number of eligible children per cluster was slightly lower than identified
- 194 during the mapping exercise. We selected an additional two villages from the 12 villages
- 195 previously excluded during matching, resulting in a total of 34 villages (or clusters). Of the 34
- 196 clusters, two clusters each had two operating preschools, in which case the intervention was197 delivered through both schools.
- 198

Data collectors were masked to group allocation to minimise assessment bias. Due to the
 nature of the intervention, masking of participants and CHWs was not possible. Data
 collectors worked independently from the intervention teams, and were masked to group

- 201 collectors worked independently from the intervention teams, and were masked to grou 202 status. Assessments that required data coding after administration were conducted by
- 203 independent coders in South Africa, all masked to group allocation.
- 204

Villages in the intervention condition received a group-based parenting intervention hosted
 at local preschools, followed by community-wide mobile health events open to all

- 207 community members (see figure 1). The group-based parenting intervention consisted of
- eight weekly sessions, followed by a ninth top-up session one month later, followed by a
- 209 monthly book drop-off for 10 months. Villages in the control condition were also invited to
- attend mobile health events and received a light-touch version of the parenting intervention
- after study completion. The light-touch intervention consisted of two delivery agents
- 212 spending a full day in each village, hosting drop-in parenting group sessions that covered 213 the key messages from the full intervention. Preschool teachers received a separate session

- 214 focused on techniques for engaging groups of children in shared reading activities. In
- 215 addition, a "library" was set up in each village, available at a central location for all children to 216 access.
- 217
- [Insert Figure 1] 218
- 219

220 Sample size

221 We calculated that we needed to recruit at least 365 children across 12 clusters per arm to 222 detect a small/medium effect size of 0.3 for the MacArthur Communicative Development

223 Inventory (CDI) at 80% power with an intra-cluster correlation (ICC) of 0.05 at 12 months

- post-intervention. We used a higher ICC compared to other behavioural studies, due to the 224 geographical isolation of the clusters.
- 225
- 226

227 **Procedures**

228 The group-based parenting programme (named *Mphatlalatsane* – Sesotho for "early

229 morning star") consisted of three components (see Tomlinson et al.¹⁹): (1) Shared Reading

230 (responsive caregiving), (2) Health (specific focus on HIV-testing and treatment), and (3)

231 Growth (nutrition education). Sessions were delivered at the local preschool centre by a pair

232 of trained CHWs. Caregivers attended with their children, and preschool teachers were also

233 invited to participate. Parenting sessions provided training and practice in sensitive and

- 234 responsive shared reading skills, combined with a participatory approach to address issues
- 235 around HIV testing, health, and nutrition education. The intervention was developed in close
- 236 collaboration with community stakeholders, and piloted in nine preschools before roll-out.
- 237

238 The health and nutrition component consisted of key educational messages and identifying 239 available resources to enable positive health practices. Sessions covered topics on HIV 240 prevention and treatment, barriers to HIV testing and disclosure, basic nutrition, child 241 feeding practices, hygiene and sanitation, illness recognition and help-seeking. Local songs 242 and metaphors were incorporated to convey key content. The shared reading component 243 encouraged caregivers to engage with their children in a sensitive and responsive manner, 244 facilitated through the use of picture books. This is well-established as an effective tool to 245 promote children's language development and pre-literacy skills.²⁰ Reading skills were not 246 needed, and the picture books provided a source for engagement and conversation tapping

- 247 into the cultural traditions of storytelling, based on a successful programme previously used in South Africa.²¹ 248
- 249

250 The intervention was delivered weekly to groups of 5-6 caregivers and their children in 2-3 251 hour sessions over eight consecutive weeks. As shared reading content differed slightly 252 between younger and older children, groups were based on child age, with younger children 253 (aged 12-30 months) and older children (aged 31-60 months) receiving the intervention 254 separately. Children and caregivers received refreshments at each session. Session eight 255 ended with a graduation where caregivers were presented with a certificate of completion 256 and a copy of each of the six books used in the programme. The intervention team returned 257 to each village four weeks later to deliver a ninth top-up session. For ten months thereafter, 258 intervention villages received a monthly book drop-off at each preschool to encourage 259 continued shared reading and parent meetings. Following completion of the parenting

sessions, local organisations were mobilised to co-ordinate community-wide health events
open to the public. Events were located equidistantly between intervention and control
villages, hosted in partnership with the Ministry of Health, Baylor International Pediatric AIDS
Initiative, Touching Tiny Lives, GROW, the Child and Gender Protection Unit, the Food and
Nutrition Coordinating Office, and PEPFAR-USAID. Services included nutrition assessments
and vaccinations for children, general health consultations, birth document registration and
HIV testing and counselling.

267

268 A team of two trained and supervised CHWs delivered the weekly parenting sessions, recruited and hired specifically for the study, and received a monthly salary. A group of local 269 270 candidates were selected based on their experience working with children and facilitating 271 group activities. Training began with a five-day workshop before the pilot, followed by a 272 two-week training for the full intervention. A five-day refresher training took place after half 273 the intervention villages had received the intervention. Training staff modelled affirmation, 274 positive feedback, and supportive listening. The intervention was manualised, with materials 275 available in English and Sesotho. The study team provided supervision, with a local 276 supervisor hired and paid for through the project. Supervision activities included weekly 277 group sessions, monthly site visits to observe and evaluate intervention sessions using a 278 structured monitoring form, and daily check-ins via a WhatsApp group chat for technical and 279 emotional support. Intervention sessions were video-recorded and used as learning

- 280 opportunities during group feedback sessions.
- 281

282 We collected data at baseline, immediately post-intervention and 12 months post-

283 intervention. At baseline, data collectors went door to door to formally enrol all eligible 284 children and schedule baseline visits. No refusals were reported. Data collection took place in 285 rented houses in each village to ensure a guiet and private space for the interviews and 286 assessments. Caregivers were interviewed using a structured questionnaire, pre-programmed 287 onto a mobile tablet device. Interviews were conducted in Sesotho with the child's primary 288 caregiver. Questions covered household and caregiver demographics, as well as information 289 about the index child such as care arrangements, child health and development, and 290 parenting practices. Child assessments included measuring child attention and language, 291 using standardised and translated instructions. Children received a snack and juice during

- their assessment session.
- 293

294 Data collectors, fluent in Sesotho and English, received extensive training in the

295 administration of the interviews and assessments, with refresher training workshops

- 296 conducted between data collection time-points. Interviews were audio-recorded, and
- 297 assessments were video-recorded for quality control purposes. Data were checked in weekly
- 298 batches to allow for constant data-quality monitoring.
- 299

300 Outcomes

301 As a pragmatic trial, the study had multiple primary outcomes. Primary outcomes were HIV

302 testing of children, child language and child attention, with data collected at baseline,

303 immediately post-intervention (equivalent to 3 months after baseline) and 12 months post-

304 intervention. To measure child HIV testing, caregivers reported on whether their child had

- been tested for HIV since baseline. Child language was assessed using an adapted version of
- 306 the MacArthur Communicative Development Inventory (CDI) short form,²² the Mullen Scales

307 of Early Learning (MSEL) receptive language subscale, and the Peabody Picture Vocabulary

- 308 Test (PPVT) 4th Edition. These measures have not been validated for use in Lesotho. All
- 309 children completed the CDI and the MSEL, while children 30 months and older completed
- 310 the PPVT. All measures were translated culturally and linguistically into Sesotho. All items 311 and materials were reviewed for cultural appropriateness by a team of six local researchers
- familiar with the context. Inappropriate items were replaced by a conceptually similar and
- 313 culturally relevant item. Two independent translators then translated the items from English
- to Sesotho. Any discrepancies between the two translated versions were discussed by the
- 315 review team and resolved by consensus. The translated CDI, MSEL and PPVT were piloted
- 316 with children across the study age range, and adapted through standard procedures.
- 317
- 318 Child attention was measured using the Early Childhood Vigilance Task (ECVT), a tablet-
- 319 based assessment of focal attention.²³ The task consists of a seven-minute animated video,
- 320 during which different cartoon characters appear and disappear across the screen at
- 321 different intervals. The child's face is recorded for the full duration of the task to determine
- 322 the percentage of time the child is focused on the screen. Five coders independently coded
- 323 the ECVT assessments, while 30% were double-coded to determine reliability (inter-rater
- 324 reliability was high r = .988).
- 325

Secondary outcomes were child HIV treatment uptake and adherence, child cognitive
 development and executive functioning, child growth, child emotional and behavioural
 functioning, parental discipline, parental stress, caregiver sensitivity and reciprocity, caregiver

- 329 mental health and caregiver alcohol use.^{19.} Here we present only the results from the primary
- 330 outcome analysis (secondary outcomes will be reported separately).
- 331

332 Statistical analysis

- 333 Analyses were performed independently (by CL). Descriptive statistics such as means,
- 334 standard deviations, and proportions were calculated for data collected across the three
- time-points. Baseline tables by arm were done to reflect the balance achieved through
- 336 randomisation
- 337

338 To determine the statistical significance of the intervention the Fisher-Pitman permutation 339 test for paired replicates was implemented using the cluster mean of the outcome and the 340 exact p-value was calculated. For estimating the intervention effect for the continuous 341 outcomes, mixed effects regression models were used with random effects for pair, village 342 (cluster), family, and children, to take account of the clustering within each level. For each 343 random effect, only intercepts were used resulting in a variance component setup. All three time-points (baseline, immediate, and one-year follow-up) were included in the analysis, and 344 345 the intervention effect was assessed by the interaction effect between time and arm where 346 time was implemented as a categorical variable using binary indicators for each of the post randomization time points. The phase of the study was included as a covariate. An intention-347 348 to-treat analysis was performed, and maximum likelihood estimation was conducted as the 349 imputation strategy for missing data.

350

351 For estimating the intervention effect for the binary, test for HIV, outcome, a generalized

- 352 linear regression model for the binomial family with log link function was used to estimate
- 353 time-point specific relative risks and 95% confidence intervals. The standard errors where

- 354 estimated using a robust sandwich estimation approach to accommodate the various
- 355 clustering effects in the study desig. Apart from the intervention and time effects the model
- took into account the phase of the study and matching as a stratification variable. The
- analysis for child HIV testing was based on children tested for HIV between baseline and the
- immediate post-intervention follow-up, and between the immediate post-intervention and
- the 12-month follow-up (at baseline caregivers were asked if their child has ever been tested for HIV). We used the Benjamini-Hochberg procedure to adjust for multiple comparisons
- for HIV). We used the Benjamini-Hochberg procedure to adjust for multiple comparisons (n=13) of the primary outcomes between the arms. An adjusted p value of 0.0308 was
- 362 considered statistically significant, with a false discovery rate of 10%.
- 363

Role of the funding source

- 365 The study was funded by PEPFAR-USAID under the Orphans and Vulnerable Children Special
- 366 Initiative. The aim of the initiative was to promote rigorous evaluation around child
- 367 development interventions for children affected by HIV. The funders played a role in country
- 368 selection and choice of methodology, but had no role in data collection, data analysis, data
- interpretation, or writing of the report, although GB (USAID) is a co-author on this
- 370 manuscript. The corresponding author (MT) had full access to all the data in the study and
- had final responsibility for the decision to submit for publication.

373 Results

- Between August 8, 2015 and December 10, 2017, we randomly assigned paired villages to
- 375 either the parenting intervention or the waitlist control within each of the 17 matched pairs.
- A total of 1040 children and their caregivers (531 in the intervention group and 509 in the
- 377 control group) were enrolled into the study. All participants were black. No refusals were
- 378 reported. At least 98% of participants completed the immediate and the 12-month post-
- intervention assessments (figure 2).
- 380
- 381 [Insert Figure 2]382
- At baseline, child age, gender, preschool centre attendance, orphan status, and caregiver education, marital status, HIV status, and household resources were similar between groups (table 1). However, we noted that children in the intervention group were healthier at baseline and had lower rates of HIV testing and a lower HIV prevalence. Unemployment and access to electricity were also lower in the intervention group.
- 388
- 389 [Insert Table 1]
- 390
- Our primary outcome analysis showed that children in the intervention group demonstrated higher HIV testing rates at 12 months post-intervention (RR 1·46, 95% CI 1·29 to 1·65, p < 0.001) compared to the control group. Child HIV testing immediately post-intervention were higher, but did not reach significance when adjusting for multiple testing (RR 1·32, 95% CI 1·07 to 1·62, p=0·2184). Immediately post-intervention, 30% of children in the intervention group had received an HIV test, compared to 23% of children in the control group. One-year
- 397 post-intervention, 61.4% of children in the intervention group had received an HIV test,
- 398 compared to 42.8% of children in the control group. At one-year post-intervention, the
- 399 relative intervention effect was not significantly different from the intervention effect

observed immediately post-intervention (p=0.49). At the immediate post-intervention
follow-up, one additional child (intervention arm) was identified as living with HIV. At the 12month follow-up, a further two children (both in the intervention arm) were identified as
living with HIV. At both follow up time-points, all children living with HIV were reported to
be receiving ART.

405

406 We found that the intervention significantly improved child receptive language scores on 407 one measure, namely the caregiver-report MacArthur CDI. Effects for receptive language on the CDI (words that a child understands) were evident immediately post-intervention (effect 408 409 size 3.79, 95% CI 0.78 to 679; p=0.0275) but not evident at 12 months post-intervention 410 (effect size 2.96, 95% CI 0.10 to 5.98; p=0.055). The linear mixed effects models, with 411 interaction between group and time, show the mean CDI profiles are significantly different 412 over time for receptive but not for expressive language (figure 3)., Group differences in CDI 413 expressive language (words that a child understands and uses) did not reach significance at 414 the immediate post-intervention time-point (effect size 2.559, 95% CI -0.47, 5.57; p=0.202) or 415 at the 12-month post-intervention time-point (effect size 2.9, 95% CI -0.104 to 5.97; p=0.075) when adjusting for multiple testing. The observational measures of child receptive language 416 417 (Mullen Scales of Early Learning receptive language subscale and the Peabody Picture 418 Vocabulary Test) did not yield significant between-group differences at either time-point 419 (although a positive trend towards the intervention group was noted for the PPVT 420 immediately post-intervention). We found no effects of the intervention on child attention.

- 421
- 422 [Insert Table 2]
- 423
- 424 [Insert Figure 3]
- 425

426 More than 98% (n=523) of caregivers participated in the group-based parenting intervention. 427 The majority of caregivers (94·6%; n=495 attended 75% of sessions, with 68·6% of caregivers 428 (n=359) attending all eight sessions. Only 28 caregivers (5·3%) attended five or less sessions. 429 The ninth top-up session was attended by 411 caregivers (78·6%).

430

431 In total, 20 community-wide health events were hosted across intervention and control sites,

- 432 with 2932 people documented as accessing the services. A total of 825 people were tested
- for HIV during the events (32% of those tested were children under the age of 5). Only 3.6%
- 434 of those who tested for HIV tested positive. In the intervention arm, 52.7% of caregivers 425 (n - 280) and 55.0% of children (n - 202) attended the community health events. In the control
- 435 (n=280) and 55.0% of children (n=292) attended the community health events. In the control 436 arm, 22.0% of caregivers (n=112) and 21.0% of children (n=107) attended.
- 437

438 Discussion

- 439 We examined the effects of a group-based parenting intervention, combined with
- 440 community health events, on child HIV testing, and child language and attention in remote
- 441 rural community settings in Lesotho. A caregiver-directed, integrated intervention delivered
- 442 by CHWs had meaningful impacts on child HIV testing, and some domains of child
- 443 development. This was achieved in one of the poorest countries in the world, with
- 444 challenging terrain, harsh weather conditions, and extremely high rates of HIV.

- 445
- 446 Caregivers face numerous challenges in accessing HIV testing and treatment for their
- 447 children such as difficulties in transport to access difficult to reach health care facilities.
- 448 Expanding coverage of HIV services beyond facility-based testing is important in high
- 449 burden settings to ensure that all children are linked to services in a timely manner.⁶ For
- 450 remote communities, mobile health services are increasingly used to facilitate access to
- health services, particularly in terms of HIV testing and care.²⁴ Child health days in particular
- 452 provide opportunities for improving coverage of key interventions, especially for slightly
 453 older children.²⁵ Our study demonstrates that accessible, flexible and responsive community
- older children.²⁵ Our study demonstrates that accessible, flexible and responsive community based programs can be effectively used to promote HIV testing of children as well as
- 454 based programs can be effectively used to promote HIV testing of children as 455 improving some domains of child development.
- 456

457 There was clear improvement in the receptive language domain in children who received the 458 intervention when compared to controls on one measure of language, namely the caregiver-459 reported CDI. This is congruent with past research which demonstrates that increased 460 stimulation of children by caregivers enhances child cognitive and language outcomes.²⁶ 461 However, this finding should be interpreted with caution, as there were no differences 462 detected on the direct measures of language, such as for the MSEL and PPVT. The fact that 463 the intervention did not improve child attention was disappointing, given the large impact book-sharing had on child attention in our previous work.²¹ This finding is important in that 464 465 it reminds us that improving child development in contexts of high adversity is not like early 466 innoculation, but rather requires ongoing strategic quality investments across the life course.

467

468 An important part of implementation is increased integration of existing interventions where

- 469 local systems have the capacity, because of benefits such as optimisation of resource use,
- 470 and potential additive and synergistic effects across multiple areas of development.²⁷
- 471 However, despite evidence that multi-sectoral co-operation and coordination results in
- 472 improved human, social and economic development outcomes, there continues to be
- 473 division between the health sector and other sectors supporting child development.²⁷
- 474

475 This study has provided evidence that integrated interventions can be successfully

- 476 implemented in remote, rural regions, and produce benefits across HIV and child
- 477 development. There is no literature to the authors' knowledge which describes the
- 478 effectiveness of integrated, group-based parenting interventions combined with community
- 479 health events, that focus beyond child survival to child development and thriving in remote
- 480 regions such as Lesotho. There is growing evidence that combined interventions are more
- 481 effective than interventions using siloed approaches. Cluver and colleagues first described
- the concept of accelerators in making a case for accelerating progress towards the SDGs.²⁸
- 483 They found that parenting support, government cash transfers, and safe schools were
- 484 associated with better outcomes than single focussed interventions.²⁸
- 485
- 486 The intervention was delivered by CHWs in a group setting, a necessary condition given
- 487 human resource limitations for these kinds of programmes at scale in rural settings.
- 488 Attendance rates were high and sustained over the intervention period. The study's strengths
- 489 are its high rates of retention and follow-up and its evaluation—using multiple methods—of
- 490 domains of child functioning. The intervention used a manualised curriculum and systematic
- 491 training for implementers (available for downloading at https://www.who.int/teams/social-

- 492 <u>determinants-of-health/parenting-for-lifelong-health/programme-manuals</u>). Caregivers were
 493 provided with opportunities to practise stimulation activities and receive feedback, which has
 494 been shown to increase effectiveness of parenting programmes.²⁹
- 495

The study also had certain limitations. First, the MSEL and PPVT were adapted but not standardised for Lesotho. There was a lack of standardised child assessments and norms for this age group in this context. As such, we were only able to compare groups, which limited the interpretation of the clinical significance of the findings. Alternative assessment measures designed specifically for low-resource settings should be considered in future. The study also had a large number of primary endpoints which the statistical inference had to account for by using a stricter significance level.

502

504 Second, discordance between the caregiver-reported child language outcomes (CDI) and the 505 directly observed language measures (MSEL and PPVT) could be a result of courtesy bias in the intervention arm. There are however other important factors to consider. The MacArthur-506 507 Bates group has invested substantial time in developing versions of the CDI for different 508 languages and cultural contexts, whereas the MSEL and PPVT have not undergone similar 509 processes. It is possible that the CDI performs better in different contexts as a result of the 510 refinements that have been made to the measure over time. The CDI specifically measures 511 vocabulary, whereas an assessment such as the MSEL measures the development of much 512 more complex grammatical structures and broader cognitive skills. Improving a child's 513 receptive and expressive vocabulary is likely easier to achieve within this kind of intervention, 514 whereas more complex language or cognitive skills may be more difficult to shift without

- 515 more specific input.
- 516

Lastly, expanding coverage while maintaining quality is a major issue.²⁹ The research group
trained and supervised the delivery agents and provided extensive implementation support.
For future scale-up, these activities would have to be performed by the Ministry of Health
and/or Education and Training. One challenge will be how to transfer the overall
responsibility for the programme from researchers to the district government staff. This field

of work could benefit from a follow-up at school-entry of children and caregivers who had
 participated in an integrated intervention in early childhood. Given high rates of adversity

- and chronic poverty, future follow-up of this cohort will help to answer important questions
 regarding the duration of effects on child outcomes and potential long-term benefits of
- 526 integrated programmes.³⁰
- 527

528 The SDGs signified the beginning of a new era in global development and are marked by 529 striving towards a healthier, more equitable and safer world by 2030.³¹ Despite progress in 530 narrowing the gap between rich and poor countries, there are still vast inequities in access to 531 health services and resources between countries and rural and urban regions.¹³ There is evidence which points to high HIV prevalence and high HIV incidence clustering in areas 532 533 where health services fail to reach and engage vulnerable populations.³¹ It is essential that 534 rural contexts such as Lesotho are given priority, if we are to have any chance of improving 535 outcomes for underserved children and families.

- 536
- 537 This study adds evidence to a limited body of research on the implementation and
- 538 evaluation of an integrated group-based parenting intervention, combined with community

- health days in deeply rural settings. It demonstrates that it is possible to reach the most
- 540 remotely located communities and produce improved child language outcomes and HIV
- 541 testing uptake when programme design and implementation are innovative in bringing
- health services to the most vulnerable, sensitive to context and culture, and make use of
- 543 existing resources.
- 544

545 **Contributors**

546 MT = Mark Tomlinson, MaM = Marguerite Marlow, JS = Jackie Stewart, MoM= Moroesi

- 547 Makhetha, TS = Tholoana Sekotlo, SM = Sebuoeng Mohale, CL = Carl Lombard, LM = Lynne
- 548 Murray, PJC = Peter J Cooper, NM = Nathene Morley, SR = Stephan Rabie, SG = Sarah
- 549 Gordon, AvdM = Amelia van der Merwe, GB = Gretchen Bachman, XH = Xanthe Hunt, LS =
- 550 Lorraine Sherr, LC = Lucie Cluver, SS = Sarah Skeen
- 551
- 552 All authors had final responsibility for the decision to submit for publication. The original
- 553 draft of the manuscript was written by MaM and then reviewed and edited by MT, AvdM, XH,
- LS, LC, and SS. MT, LS and LC were responsible for Funding Acquisition for the project
- leading to this publication. Project Conceptualisation was done by MT, SS, LM, PJC, LC, LS,
- and GB. MT, SS, LM and PJC developed the Methodology for the study. Project
- 557 Administration was managed by MaM, MoM, NM, SR, and SG. The Investigation phase of the
- project was conducted by TS and SM, and managed by MoM, who was also responsible for
- 559 Data Curation with MaM. Supervision of research activity planning and execution was done
- by SS and JS. MT, SS and CL directly accessed and verified the underlying data reported in
- the manuscript. Validation of study results was done by SS and MaM. Formal Data Analysisand Visualisation was done by CL.
- 562 and Visualisation was done by 563

564 **Declaration of interests**

- 565 We declare no competing interests.
- 566

567 Data sharing

568 The de-identified datasets generated during the study along with the statistical plan and

- analytic code can be made available from the corresponding author with publication on
- 570 reasonable request. Data will be made available without identifiers, available only under a
- 571 data-sharing agreement.
- 572

573 Acknowledgements

- 574 We are grateful to all the families who participated in this study and thank the data
- 575 collection and intervention teams for their dedication and hard work. We thank our partner
- 576 organisations for their invaluable support: The Ministry of Education and Training, Ministry of
- 577 Health, Ministry of Social Development, Ministry of Police and Public Safety's Child and
- 578 Gender Protection Unit, and the Ministry of Local Government and Chieftainship Affairs, as
- 579 well as the Food and Nutrition Coordinating Office in the Prime Minister's Office, Touching
- 580 Tiny Lives, and Baylor College of Medicine Mokhotlong.
- 581

- 582 The contents in this document are those of the authors and do not necessarily reflect the
- 583 view of the U.S. President's Emergency Plan for AIDS Relief, the U.S. Agency for International
- 584 Development or the U.S. Government.

585 **References**

586 Walker SP, Wachs TD, Grantham-McGregor S et al. Inequality in early childhood: risk 1. 587 and protective factors for early child development. Lancet 2011; 378: 1325-38. 588 2. Sherr L, Cluver, LD, Betancourt TS, Kellerman SE, Richter LM, Desmond C. Evidence of 589 impact: health, psychological and social effects of adult HIV on children. AIDS 2014; 28: 590 S251-9. 591 Cohn J, Whitehouse K, Tuttle J, Lueck K, Tran T. Paediatric HIV testing beyond the 3. 592 context of prevention of mother-to-child transmission: a systematic review and meta-593 analysis. Lancet HIV 2016; 3: e473-81. 594 Sherr L, Cluver L, Tomlinson M, Coovadia H, Coalition for Children Affected by A. 4. 595 Defeating AIDS but missing children. Lancet 2015; 386: 1035. 596 World Health Organization. Consolidated guidelines on HIV testing services, 2019. 5. 597 WHO 2020. 598 6. Jubilee M, Park FJ, Chipango K, et al. HIV index testing to improve HIV positivity rate 599 and linkage to care and treatment of sexual partners, adolescents and children of PLHIV in 600 Lesotho. PLoS One 2019; 14e0212762 601 7. Patel PB, Apornpong T, Puthanakit T et al. Trajectory Analysis of Cognitive Outcomes 602 in Children With Perinatal HIV. Pediatr Infect Dis J 2019; 38: 1038-44. 603 McHenry MS, McAteer CI, Oyungu E, et al. Neurodevelopment in Young Children 8. 604 Born to HIV-Infected Mothers: A Meta-analysis. *Pediatrics* 2018; 141: e20172888. 605 Sherr L, Croome N, Castaneda KP, Bradshaw K, Romero RH. Developmental 9. 606 challenges in HIV infected children—An updated systematic review. Child Youth Serv Rev 607 2014; 45: 74-89. 608 10. Campbell F CG, Heckman JJ, Moon SH, Pinto R, Pungello E et al. Early childhood 609 investments substantially boost adult health. Science 2014; 343: 1478-85. 610 11. Britto PR, Lye SJ, Proulx K, et al. Nurturing care: promoting early childhood 611 development. Lancet 2017; 389: 91-102. 612 Aboud FE, Yousafzai AK. Global health and development in early childhood. Annu Rev 12. 613 Psychol 2015; 66: 433-57. 614 Pörtner CC, Su Y-H. Differences in Child Health Across Rural, Urban, and Slum Areas: 13. 615 Evidence From India. Demography 2018; 55: 223-247. 616 Black MM, Walker SP, Fernald LCH et al. Early childhood development coming of age: 14. 617 science through the life course. Advancing Early Childhood Development: from Science to 618 Scale. Lancet 2017; 389: 77-90. 619 Alderman HBJ, Grantham-McGregor S, Lopez-Boo F, Urzua S. Economic perspectives 15. 620 on integrating early child stimulation with nutritional interventions. Ann NY Acad Sci 2014; **1308:** 129–38. 621 622 Black MM, Dewey KG. Promoting equity through integrated early child development 16. 623 and nutrition interventions. Ann NY Acad Sci 2014; 1308: 1-10. 624 17. World Bank. Lesotho. Country Profile. 2020. 625 https://databank.worldbank.org/data/views/reports/reportwidget.aspx?Report_Name=Count ryProfile&Id=b450fd57&tbar=y&dd=y&inf=n&zm=n&country=LSO2021). 626 627 Ministry of Health (MOH) Lesotho & ICF International. Lesotho demographic and 18. 628 health survey 2014. Maseru, Lesotho: MOH and ICF International 2016. Retrieved from 629 https://dhsprogram.com/publications/publication-fr309-dhs-final-reports.cfm

630 19. Tomlinson M, Skeen S, Marlow M et al. Improving early childhood care and

development, HIV-testing, treatment and support, and nutrition in Mokhotlong, Lesotho:
study protocol for a cluster randomized controlled trial. *Trials* 2016; **17:** 538.

633 20. Mol SE, Bus AG, de Jong MT, Smeets DJ. Added value of dialogic parent–child book 634 readings: a meta-analysis. *Early Educ Dev* 2008; **19:** 7-26.

Vally Z, Murray L, Tomlinson M, Cooper PJ. The impact of dialogic book-sharing
training on infant language and attention: a randomized controlled trial in a deprived South
African community. *J Child Psychol Psychiatry* 2015; **56:** 865-73.

- Fenson L, Pethick S, Renda C, Cox JL, Dale PS, Reznick JS. Short-form versions of the
 MacArthur communicative development inventories. *Appl Psycholinguist* 2000; **21:** 95-116.
- 640 23. Goldman DZ, Shapiro EG, Nelson CA. Measurement of vigilance in 2-year-old 641 children. *Developmental Neuropsychol* 2004; **25:** 227-50.
- 642 24. Maheswaran H, Thulare H, Stanistreet D, Tanser F, Newell ML. Starting a home and
 643 mobile HIV testing service in a rural area of South Africa. *J Acquir Immune Defic Syndr* 2012;
 644 **59:** e43-6.
- Palmer AC DT, Noordam AC, Dalmiya N. Evolution of the child health day strategy for
 the integrated delivery of child health and nutrition services. *Food Nutr Bull* 2013; **34:** 412-9.
- 647 26. Hamadani JD, Mehrin SF, Tofail F et al. Integrating an early childhood development
 648 programme into Bangladeshi primary health-care services: an open-label, cluster-
- randomised controlled trial. *Lancet Glob Health* 2019; **7:** e366-e75.
- 650 27. Grantham-McGregor SM, Fernald LC, Kagawa RM, Walker S. Effects of integrated
 651 child development and nutrition interventions on child development and nutritional status.
 652 Ann N Y Acad Sci 2014; **1308:** 11-32.
- 653 28. Cluver LD, Orkin FM, Campeau L et al. Improving lives by accelerating progress
- towards the UN Sustainable Development Goals for adolescents living with HIV: a
 prospective cohort study. *Lancet Child Adolesc Health* 2019; **3:** 245-54.
- 656 29. Engle PL. Strategies for reducing inequalities and improving developmental outcomes
- for young children in low-income and middle-income countries. *Lancet* 2011; **378:** 1339–53.
 30. Jeong J, Pitchik H, Fink G. Short-term, medium-term and long-term effects of early
- parenting interventions in low- and middle-income countries: a systematic review. BMJ
 Global Health. 202; 6: e004067.
- 661 31. Bekker LG, Alleyne G, Baral S et al. Advancing global health and strengthening the
- 662 HIV response in the era of the Sustainable Development Goals: the International AIDS
- 663 Society-Lancet Commission. *Lancet* 2018; **392:** 312-58.