

Inequalities à la Pólya for the Aharonov-Bohm eigenvalues of the disk

Article

Published Version

Creative Commons: Attribution 4.0 (CC-BY)

Open Access

Filonov, N., Levitin, M. ORCID: https://orcid.org/0000-0003-0020-3265, Polterovich, I. and Sher, D. A. (2024) Inequalities à la Pólya for the Aharonov-Bohm eigenvalues of the disk. Journal of Spectral Theory, 14 (2). pp. 597-618. ISSN 1664-0403 doi: 10.4171/JST/506 Available at https://centaur.reading.ac.uk/115816/

It is advisable to refer to the publisher's version if you intend to cite from the work. See <u>Guidance on citing</u>.

To link to this article DOI: http://dx.doi.org/10.4171/JST/506

Publisher: European Mathematical Society

All outputs in CentAUR are protected by Intellectual Property Rights law, including copyright law. Copyright and IPR is retained by the creators or other copyright holders. Terms and conditions for use of this material are defined in the End User Agreement.

www.reading.ac.uk/centaur

CentAUR



Central Archive at the University of Reading Reading's research outputs online

Inequalities à la Pólya for the Aharonov–Bohm eigenvalues of the disk

Nikolay Filonov, Michael Levitin, Iosif Polterovich, and David A. Sher

Abstract. We prove an analogue of Pólya's conjecture for the eigenvalues of the magnetic Schrödinger operator with Aharonov–Bohm potential on the disk for Dirichlet and magnetic Neumann boundary conditions. This answers a question posed by R. L. Frank and A. M. Hansson.

Dedicated to E. Brian Davies on the occasion of his eightieth birthday: to a wonderful man and a brilliant mathematician who taught us, among other things, that proofs and numerics can coexist

1. Introduction and main results

Consider a magnetic Schrödinger operator on \mathbb{R}^2 with an Aharonov–Bohm potential,

$$A_{p,\alpha} := (-i\nabla - \alpha \mathbf{A}_p)^2,$$

where $p=(p_1,p_2)\in\mathbb{R}^2$, $\alpha\in\mathbb{R}$, and $\mathbf{A}_p=|x-p|^{-2}\binom{p_2-x_2}{x_1-p_1}$, $x=(x_1,x_2)\in\mathbb{R}^2$. We refer to $\mathcal{A}_{p,\alpha}$ for brevity as the *Aharonov–Bohm operator* [9]. It can be rewritten as

$$A_{p,\alpha}u = -\Delta u + \alpha^2 |\mathbf{A}_p|^2 u + 2i\alpha \langle \nabla u, \mathbf{A}_p \rangle,$$

where $\Delta = \partial_{x_1}^2 + \partial_{x_2}^2$ is the Laplacian and u is a complex-valued function. The point p is called the pole of the potential, and the number α is called the flux; cf. (1.1) below. If $\alpha - \alpha' \in \mathbb{Z}$, one can show that the operator $\mathcal{A}_{p,\alpha}$ is unitarily equivalent to $\mathcal{A}_{p,\alpha'}$. (This is also known as $gauge\ invariance$; see, for instance, [3, Appendix A.4].) Moreover, changing the sign of the flux is equivalent to choosing a coordinate system centred at p and interchanging the coordinates. Therefore, we may assume without loss of generality that $\alpha \in [0, \frac{1}{2}]$.

Mathematics Subject Classification 2020: 35P15 (primary); 35P20, 33C10, 11P21, 81Q10 (secondary).

Keywords: magnetic Laplacian, eigenvalues, Weyl's law, lattice points, Bessel functions, zeros of Bessel functions and their derivatives.

The operator $A_{p,\alpha}$ arises in the study of an important phenomenon in quantum physics called the *Aharonov–Bohm effect* [1], which, roughly speaking, shows that a magnetic potential may affect a charged particle even if the corresponding magnetic field vanishes. Let

 $A_p = \frac{p_2 - x_2}{|x - p|^2} dx_1 + \frac{x_1 - p_1}{|x - p|^2} dx_2$

be the dual 1-form to the vector potential A_p . Recall that a 1-form A is called *closed* if dA=0, and it is called *exact* if there is a smooth function f such that A=df; it is well known that adding a magnetic potential corresponding to an exact 1-form does not change the magnetic Laplacian up to unitary equivalence. One can check that A_p is a closed form on $\mathbb{R}^2\setminus\{p\}$, and hence, the magnetic field dA_p generated by the Aharonov–Bohm potential on $\mathbb{R}^2\setminus\{p\}$ is equal to zero. At the same time, a direct calculation yields that $A_p=d\theta$, where θ is the angular coordinate in a polar coordinate system centred at p. Therefore, for any simple closed curve Γ containing p in its interior,

$$\frac{1}{2\pi} \oint_{\Gamma} A_p = 1,\tag{1.1}$$

and thus, the form A_p is *not* exact. Note that (1.1) implies that the (normalised) flux of the potential αA_p is equal to α , which motivates the terminology. As shown below, the spectral properties of the operator $A_{p,\alpha}$ depend on the position of the pole p and on the value of α , despite the fact that the magnetic field is zero. This can be viewed as a manifestation of the Aharonov–Bohm effect. There is a vast literature in spectral theory on the subject; see, for example, [2,3,9,11,14] and references therein.

More specifically, let $\Omega \subset \mathbb{R}^2$ be a bounded planar domain. Consider the eigenvalue problem $\mathcal{A}_{p,\alpha}u = \lambda u$ in Ω for the Aharonov–Bohm operator with either *Dirichlet*

$$u|_{\partial\Omega}=0$$

or magnetic Neumann

$$\langle (\nabla u - \mathrm{i}\alpha \mathbf{A}_p u)|_{\partial\Omega}, \mathbf{n}\rangle = 0$$

boundary conditions. Here, **n** denotes the external unit normal at the boundary. It is well known that the Dirichlet problem has discrete spectrum for any bounded domain Ω , and the same is true for the magnetic Neumann problem under the standard regularity assumptions; e.g., if $\partial\Omega$ is Lipschitz, see [3, Appendix A].

Applying a coordinate change if necessary, we may assume without loss of generality that the pole p coincides with the origin o, and we set $\mathcal{A}_{\alpha} := \mathcal{A}_{o,\alpha}$, $\alpha \in [0, \frac{1}{2}]$. Moreover, we may assume that o lies in the simply connected hull of Ω , i.e., the smallest simply connected set containing Ω . Indeed, otherwise, the flux of the potential over any closed curve contained in Ω is equal to zero; hence, the form A_p is exact in Ω , and the magnetic potential vanishes after an application of a gauge transformation.

We will denote the Dirichlet eigenvalues of A_{α} on Ω by

$$\lambda_1^{\mathrm{D}}(\Omega, \alpha) \leq \lambda_2^{\mathrm{D}}(\Omega, \alpha) \leq \cdots \nearrow +\infty$$

and the magnetic Neumann eigenvalues by

$$\lambda_1^{\mathrm{N}}(\Omega, \alpha) \leq \lambda_2^{\mathrm{N}}(\Omega, \alpha) \leq \cdots \nearrow +\infty.$$

For $\alpha = 0$, they coincide, respectively, with the Dirichlet and Neumann eigenvalues of the usual Laplacian on Ω .

Consider the Dirichlet problem first. Let

$$\mathcal{N}_{\Omega}^{\mathrm{D}}(\lambda;\alpha) = \#\{n \in \mathbb{N} : \lambda_{n}^{\mathrm{D}}(\Omega,\alpha) \leq \lambda^{2}\}$$

be the eigenvalue counting function. It is known to satisfy Weyl's law ([6, Theorem A.1]; see also [8, Theorem 6]):

$$\mathcal{N}_{\Omega}^{D}(\lambda;\alpha) = \frac{\operatorname{Area}(\Omega)\lambda^{2}}{4\pi} + o(\lambda^{2}), \tag{1.2}$$

as $\lambda \to \infty$. If $\alpha = 0$, the celebrated Pólya's eigenvalue conjecture [18] states that, for any bounded domain $\Omega \subset \mathbb{R}^2$,

$$\mathcal{N}_{\Omega}^{D}(\lambda;0) \le \frac{\operatorname{Area}(\Omega)\lambda^{2}}{4\pi}$$
 (1.3)

for all $\lambda \geq 0$. It was proved by Pólya for tiling domains [19] and has been recently obtained for the disk in [5]; we refer to [15, Section 3.3.3] for an overview of this topic. One may ask if Pólya's conjecture can be extended to magnetic Schrödinger operators. It is known to be false in the case of constant magnetic field [10]. At the same time, numerical experiments presented in [9] suggested that it holds for the Aharonov–Bohm operator on certain domains, in particular, for the disk. The goal of this paper is to confirm the latter, developing the approach proposed in [5].

From now on, let Ω be the unit disk \mathbb{D} centred at the origin. The (non-ordered) eigenvalues of the Aharonov–Bohm operator \mathcal{A}_{α} on \mathbb{D} are given by [9, Section 5.2]

$$\lambda_{i,k}^{\mathcal{D}} := j_{|i-\alpha|,k}^{2}, \quad i \in \mathbb{Z}, \ k \in \mathbb{N}, \tag{1.4}$$

where $j_{\nu,k}$ is the kth positive root of the Bessel function $J_{\nu}(x)$.

Our first main result is the following theorem.

Theorem 1.1. Let $\alpha \in [0, \frac{1}{2}]$. Then,

$$\mathcal{N}^{\mathrm{D}}_{\mathbb{D}}(\lambda;\alpha) < \frac{\lambda^2}{4} \quad \text{for all } \lambda \in (0,+\infty).$$
 (1.5)

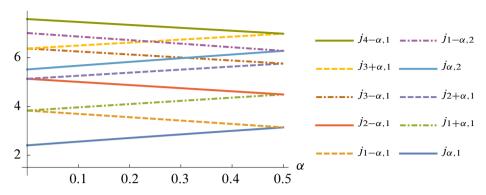


Figure 1. Some zeros of Bessel functions as functions of α .

We recall [5, Remark 1.1] that (1.5) can be equivalently rewritten as

$$\lambda_n^{\mathrm{D}}(\mathbb{D}, \alpha) > 4n$$
 for all $n \in \mathbb{N}$.

We prove Theorem 1.1 in Section 2.

Remark 1.2. We note that there is no uniform (in n) monotonicity relations in α between $\lambda_n^{\mathrm{D}}(\mathbb{D},\alpha)$ and $\lambda_n^{\mathrm{D}}(\mathbb{D},0)$, and hence, (1.5) cannot be easily deduced from the already known case $\alpha=0$. Indeed, for $\alpha\in(0,\frac{1}{2}]$ and m>0, each double eigenvalue $j_{m,k}^2$ of the Dirichlet Laplacian splits into a smaller eigenvalue $j_{m-\alpha,k}^2$ and a bigger eigenvalue $j_{m+\alpha,k}^2$ of the Aharonov–Bohm operator, whereas the single eigenvalues $j_{0,k}^2$ of the Dirichlet Laplacian all move up to become the eigenvalues $j_{\alpha,k}^2$ of \mathcal{A}_{α} ; see Figure 1.

Consider now the magnetic Neumann problem. Let

$$\mathcal{N}_{\Omega}^{N}(\lambda;\alpha) = \#\{n \in \mathbb{N} : \lambda_{n}^{N}(\Omega,\alpha) \leq \lambda^{2}\}.$$

For $\alpha = 0$, we recover the counting function of the Neumann Laplacian. In this case, Pólya's conjecture states that

$$\mathcal{N}_{\Omega}^{N}(\lambda;0) \ge \frac{\operatorname{Area}(\Omega)\lambda^{2}}{4\pi}.$$
 (1.6)

Similar to (1.3), inequality (1.6) is known to hold for tiling domains [13, 19] and for the disk [5]. We would like to extend it for the Aharonov–Bohm operator on the disk with an arbitrary flux α . We note, first of all, that since we assume that the potential has the pole at the origin, the magnetic Neumann boundary conditions simplify to the ordinary Neumann conditions

$$\langle \nabla u |_{\partial \mathbb{D}}, \mathbf{n} \rangle = 0.$$

The (non-ordered) eigenvalues of the Neumann Aharonov–Bohm operator A_{α} on the disk are given by [3, Section B.3]

$$\lambda_{i,k}^{\mathcal{N}} := (j'_{|i-\alpha|,k})^2, \quad i \in \mathbb{Z}, \ k \in \mathbb{N}, \tag{1.7}$$

where $j'_{\nu,k}$ is the kth positive root of the derivative of the Bessel function $J'_{\nu}(x)$, with an exception $j'_{0,1}=0$. We immediately note that, unlike the case $\alpha=0$ for which (1.8) holds for all $\lambda>0$, we need to impose some restrictions when $\alpha>0$. Indeed, in this case, the first eigenvalue of the Neumann Aharonov–Bohm operator is no longer zero,

$$\lambda_1^{\mathrm{N}}(\mathbb{D}, \alpha) = (j'_{\alpha,1})^2 > 0.$$

Therefore, $\mathcal{N}_{\Omega}^{N}(\lambda;0) = 0$ for all $\lambda \in [0,j'_{\alpha,1})$, and (1.6) cannot hold in this interval. However, outside of this interval, Pólya's conjecture for the Aharonov–Bohm operator on the disk with magnetic Neumann boundary condition still holds.

Theorem 1.3. Let $\alpha \in [0, \frac{1}{2}]$. Then,

$$\mathcal{N}_{\mathbb{D}}^{N}(\lambda;\alpha) > \frac{\lambda^{2}}{4}$$
 (1.8)

holds for all $\lambda \geq j'_{\alpha,1}$.

Equivalently, we have

$$\lambda_{n+1}^{N}(\Omega, \alpha) < 4n \quad \text{for all } n \in \mathbb{N}.$$
 (1.9)

Theorem 1.3 is proved in Section 3. We note that in the interval $\lambda \in [\frac{5}{2}, 9]$ we use a rigorous computer-assisted argument based on integer arithmetic; see Proposition 3.8.

Remark 1.4. In fact, apart from the ground state [3,4], the magnetic Neumann eigenvalues of the Aharonov–Bohm operator appear to be less explored than the Dirichlet ones. In particular, even the one-term Weyl's law,

$$\mathcal{N}_{\Omega}^{N}(\lambda;\alpha) = \frac{\operatorname{Area}(\Omega)\lambda^{2}}{4\pi} + o(\lambda^{2}) \quad \text{as } \lambda \to +\infty,$$
 (1.10)

has not been worked out in the literature in full detail. We note that the standard smooth methods do not apply due the presence of a singularity at the pole. To fill in this gap, we present an argument that was communicated to us by R. L. Frank [7]. As before, assume that Ω has Lipschitz boundary and consider the Aharonov–Bohm operator \mathcal{A}_{α} with a pole at the origin $o \in \Omega$. Since $\mathbf{A}_{o} \in L^{2}_{loc}(\Omega \setminus \{o\})$, it follows from [12, Theorem 1.3] that the pointwise difference between the Neumann and Dirichlet heat kernels for \mathcal{A}_{α} on the diagonal satisfies

$$\left| \mathrm{e}^{-t\mathcal{A}^{\mathrm{N}}_{\alpha}}(x,x) - \mathrm{e}^{-t\mathcal{A}^{\mathrm{D}}_{\alpha}}(x,x) \right| \leq \mathrm{e}^{t\Delta^{\mathrm{N}}}(x,x) - \mathrm{e}^{t\Delta^{\mathrm{D}}}(x,x), \quad x \in \Omega \setminus \{o\}, \ t > 0.$$

Integrating both sides over x, multiplying by $t^{d/2}$, and using the heat trace asymptotics for the Dirichlet and Neumann Laplacian, we get

$$t^{d/2} \left(\operatorname{Tr} e^{-t \mathcal{A}_{\alpha}^{N}} - \operatorname{Tr} e^{-t \mathcal{A}_{\alpha}^{D}} \right) \to 0 \quad \text{as } t \to 0^{+}.$$
 (1.11)

By an Abelian theorem, the Weyl asymptotics (1.2) implies the one-term asymptotics for the Dirichlet heat trace for the Aharonov–Bohm operator, which by (1.11) implies the same asymptotics for the Neumann heat trace. The standard Tauberian argument then implies (1.10).

Remark 1.5. It would be interesting to know if analogues of Theorems 1.1 and 1.3 hold for other domains, even numerically. As we have mentioned previously, in the Dirichlet case, it was checked computationally in [9] for some annuli and the square with the centred potential. We have conducted some rough numerical experiments for the disk, with both the Dirichlet and the Neumann conditions, and an off-centred pole position, which seem to indicate that *experimentally* the analogues of Pólya's conjecture still hold in these cases (with an appropriate modification for the first Neumann eigenvalue as above) for all α and all pole placements, but we do not have a proof of this claim.

2. Proof of Theorem 1.1

Recall formula (1.4). With an alternative parametrisation, we can split the eigenvalues into two double-index sequences

$$j_{m+\alpha,k}^2, j_{m+(1-\alpha),k}^2, \qquad m \in \mathbb{N} \cup \{0\}, \ k \in \mathbb{N}.$$

Therefore, the counting function of eigenvalues which are less than or equal to a given $\lambda^2 \in [0, +\infty)$ is

$$\mathcal{N}_{\mathbb{D}}^{D}(\lambda;\alpha) := \#\{n \in \mathbb{N} : \lambda_{n}^{D}(\mathbb{D},\alpha) \leq \lambda^{2}\} = \#\{(i,k) \in \mathbb{Z} \times \mathbb{N} : \lambda_{i,k}^{D} \leq \lambda^{2}\}
= \sum_{m=0}^{\infty} \#\{k \in \mathbb{N} : j_{m+\alpha,k} \leq \lambda\} + \sum_{m=0}^{\infty} \#\{k \in \mathbb{N} : j_{m+(1-\alpha),k} \leq \lambda\}
= \sum_{m=0}^{\lfloor \lambda - \alpha \rfloor} \#\{k \in \mathbb{N} : j_{m+\alpha,k} \leq \lambda\} + \sum_{m=0}^{\lfloor \lambda - (1-\alpha) \rfloor} \#\{k \in \mathbb{N} : j_{m+(1-\alpha),k} \leq \lambda\},$$
(2.1)

where in the last line we used the fact that $j_{\nu,1} > \nu$, and therefore,

$$\#\{k \in \mathbb{N} : j_{v,k} < \lambda\} = 0$$

whenever $\nu > \lambda$. Here and further on, $\lfloor x \rfloor$ and $\lceil x \rceil$ stand, as usual, for the floor and the ceiling of a real number x, respectively.

The main ideas of the proof are similar to those in the case of the Dirichlet Laplacian [5], with some notable changes. The first important ingredient is a uniform upper bound on the number of zeros of a Bessel function in a given interval, which is directly borrowed from [5,20]. For $\lambda \geq 0$, we define the function $G_{\lambda}: [0,+\infty) \to [0,\frac{\lambda}{\pi}]$ as

$$G_{\lambda}(z) := \begin{cases} \frac{1}{\pi} \left(\sqrt{\lambda^2 - z^2} - z \arccos \frac{z}{\lambda} \right) & \text{if } z \in [0, \lambda], \\ 0 & \text{if } z \in (\lambda, +\infty). \end{cases}$$
 (2.2)

We note that $G_{\lambda}(z)$ is convex on its domain, strictly monotone decreasing on $[0, \lambda]$ with $-\frac{1}{2} \leq G'_{\lambda}(z) \leq 0$ everywhere, and that

$$\int_0^{\lambda} G_{\lambda}(z) \, \mathrm{d}z = \frac{\lambda^2}{8};\tag{2.3}$$

see [5, Lemmas 4.5 and 4.6].

Lemma 2.1 ([5, Proposition 3.1]). Let $v \ge 0$ and $\lambda > 0$. Then,

$$\#\{k:j_{\nu,k}\leq\lambda\}\leq \mid G_{\lambda}(\nu)+rac{1}{4}\mid.$$

Applying now Lemma 2.1 to the right-hand side of equation (2.1), we immediately get

$$\mathcal{N}_{\mathbb{D}}^{\mathcal{D}}(\lambda;\alpha) \leq \sum_{m=0}^{\lceil \lambda \rceil - 1} \left(\left\lfloor G_{\lambda}(m+\alpha) + \frac{1}{4} \right\rfloor + \left\lfloor G_{\lambda}(m+(1-\alpha)) + \frac{1}{4} \right\rfloor \right)$$

$$=: \mathcal{P}_{\alpha}^{\mathcal{D}}(\lambda). \tag{2.4}$$

Remark 2.2. It may happen that, for $m = \lceil \lambda \rceil - 1$, the argument $m + (1 - \alpha)$, either on its own or together with $m + \alpha$, exceeds λ , in which case the corresponding terms do not contribute to $\mathcal{P}^{D}_{\alpha}(\lambda)$ according to the definition of G_{λ} .

We note that the quantity $\mathcal{P}^{D}_{\alpha}(\lambda)$ appearing in the right-hand side of (2.4) is exactly the number of those points of shifted lattices $(\mathbb{Z} \pm \alpha) \times (\mathbb{Z} - \frac{1}{4})$ which lie in the first quadrant under or on the graph of the function G_{λ} .

Some novel methods for estimating the number of shifted lattice points under a graph of a convex decreasing function have been developed in [5]; they are not, however, directly applicable to the sum $\mathcal{P}^{D}_{\alpha}(\lambda)$ for $\alpha>0$. The case $\alpha=0$ has been dealt with in [5, Theorem 5.1]; the following result is its extension for $\alpha\in(0,\frac{1}{2}]$. This is the second main ingredient of the proof of Theorem 1.1.

Theorem 2.3. Let $b \in \mathbb{N}$ and $\alpha \in [0, \frac{1}{2}]$. Let g be a non-negative decreasing convex function on [0, b] such that g(b) = 0 and

$$|g(z) - g(w)| \le \frac{1}{2}|z - w|$$
 (2.5)

for all $z, w \in [0, b]$. Then,

$$\sum_{m=0}^{b-1} \left(\left[g(m+\alpha) + \frac{1}{4} \right] + \left[g(m+(1-\alpha)) + \frac{1}{4} \right] \right) \le 2 \int_0^b g(z) \, \mathrm{d}z. \tag{2.6}$$

The proof of Theorem 2.3 is based on the following lemma.

Lemma 2.4. Let $i, j \in \mathbb{Z}$, i < j, let $\alpha \in [0, \frac{1}{2}]$, and let g be a decreasing convex function on [i, j + 1] satisfying (2.5) for all $z, w \in [i, j + 1]$. We assume that

$$n+1 \ge g(i+1) \ge g(j) \ge n \ge g(j+1),$$
 (2.7)

and that

$$Z := \min\{z \in [i, j+1] : g(z) = n\} < j+1.$$
 (2.8)

Then,

$$\sum_{m=i}^{j-1} \left(\left[g(m+\alpha) + \frac{1}{4} \right] + \left[g(m+(1-\alpha)) + \frac{1}{4} \right] \right) \le 2 \int_{i}^{j} g(z) \, \mathrm{d}z. \tag{2.9}$$

Proof of Lemma 2.4. The validity of the statement does not change if an integer constant is added to g, so without loss of generality we can take n = 0, in which case (2.7) becomes

$$1 \ge g(i+1) \ge g(j) \ge 0 \ge g(j+1)$$

and (2.8) becomes

$$Z := \min\{z \in [i, j+1] : g(z) = 0\} < j+1,$$

which means that we exclude the situation when x = j + 1 is the first and only zero of g(x) in the interval [i, j + 1].

Consider the sequence

$$g(i + \alpha) \ge g(i + (1 - \alpha)) \ge \cdots \ge g(j - 1 + \alpha) \ge g(j - \alpha).$$

We will distinguish five cases depending on which element of this sequence first drops below $\frac{3}{4}$.

Case 1: $\frac{3}{4} > g(i + \alpha)$. The left-hand side of (2.9) is zero, and the right-hand side is non-negative.

Case 2: $g(i + \alpha) \ge \frac{3}{4} > g(i + 1 - \alpha)$. The left-hand side of (2.9) equals one. We have $g(i) \ge g(i + \alpha) \ge \frac{3}{4}$ by monotonicity, and therefore, $g(z) \ge \frac{3}{4} - \frac{z-i}{2}$ for $z \in [i, j] \supseteq [i, i + 1]$ by (2.5). Thus,

$$2\int_{i}^{j} g(z) dz \ge 2\int_{i}^{i+1} \left(\frac{3}{4} - \frac{z-i}{2}\right) dz = 1.$$

Case 3: $g(i+1-\alpha) \geq \frac{3}{4} > g(i+1+\alpha)$. The left-hand side of (2.9) equals two. We have $g(i+\frac{1}{2}) \geq g(i+1-\alpha) \geq \frac{3}{4}$ by monotonicity, and therefore, $g(i+1) \geq \frac{1}{2}$ and $g(i+2) \geq 0$ by (2.5). This also ensures that $Z \geq i+2$; hence, $[i,j] \supseteq [i,i+2]$, and by convexity,

$$2\int_{i}^{j} g(z) dz \ge 2\int_{i}^{i+2} g(z) dz \ge 4g(i+1) \ge 2.$$

Case 4: $g(i + k + \alpha) \ge \frac{3}{4} > g(i + k + 1 - \alpha)$ for some $k \in \mathbb{N}$. The left-hand side of (2.9) is equal to 2k + 1. We have, by convexity,

$$g(i+1) + g(i+2k+2\alpha-1) \ge 2g(i+k+\alpha) \ge \frac{3}{2}$$

and therefore,

$$g(i+2k+2\alpha-1) \ge \frac{3}{2} - g(i+1) \ge \frac{1}{2}.$$

Then, by (2.5), $g(i+2k) \ge \frac{1-(1-2\alpha)}{2} \ge 0$, and additionally, $Z \ge i+2k$ so that $[i,j] \ge [i,i+2k]$, and by convexity and monotonicity,

$$2\int_{i}^{j} g(z) dz \ge 2\int_{i}^{i+2k} g(z) dz \ge 4kg(i+k) \ge 4kg(i+k+\alpha) \ge 3k \ge 2k+1$$

as $k \ge 1$.

Case 5: $g(i + k - \alpha) \ge \frac{3}{4} > g(i + k + \alpha)$ for some integer $k \ge 2$. The left-hand side of (2.9) is equal to 2k. By convexity,

$$g(i+1) + g(i+2k-2\alpha-1) \ge 2g(i+k-\alpha) \ge \frac{3}{2}$$

and therefore,

$$g(i+2k-2\alpha-1) \ge \frac{3}{2} - g(i+1) \ge \frac{1}{2}.$$

Then, by (2.5),

$$g(i+2k-1) \ge \frac{1-2\alpha}{2} \ge 0$$
 and $Z \ge i+2k-1$

so that $[i, j] \supseteq [i, i + 2k - 1]$, and by convexity and monotonicity,

$$2\int_{i}^{j} g(z) dz \ge 2\int_{i}^{i+2k-1} g(z) dz$$

$$\ge 2(2k-1)g\left(i+k-\frac{1}{2}\right)$$

$$\ge 2(2k-1)g(i+k-\alpha)$$

$$\ge \frac{3(2k-1)}{2} > 2k$$

as k > 2.

Remark 2.5. It is easily checked that the equality in (2.9) can be attained only if the function g is linear.

We can now proceed to the proof.

Proof of Theorem 2.3. We extend the function g by zero onto the interval (b, b + 1]. Let $N = \lfloor g(0) \rfloor \ge 0$. We have two cases.

Case 1: N = 0. In this case,

$$1 > g(0) \ge \dots \ge g(b) = 0.$$

We apply Lemma 2.4 with i = 0 and j = b (and hence, $j + 1 > b \ge Z$), immediately yielding (2.6).

Case 2: $N \ge 1$. We set, for $k = 0, 1, \dots, N$,

$$L_k := \max\{m \in \{0, \dots, b\} : g(m) \ge k\};$$

see [5, Figure 5] for an illustration. Since, by the conditions of the theorem, the function g is strictly monotone decreasing at all points where it takes positive values, the inverse function g^{-1} is well defined on (0, g(0)], and $L_k = \lfloor g^{-1}(k) \rfloor$ for k > 0; also, by definition, $L_0 = b$. We also set

$$N_1 = \begin{cases} N & \text{if } L_N = 0, \\ N+1 & \text{if } L_N > 0, \end{cases}$$

and in the latter case, set $L_{N+1} := 0$. In any case, we have $0 = L_{N_1} < \cdots < L_0 = b$, where the strict inequalities follow from (2.5).

Let $n \in \{N_1 - 1, ..., 0\}$, and consider the interval $[L_{n+1}, L_n]$. If n > 0, then, by construction, we have

$$n+1 > g(L_{n+1}+1) > \cdots > g(L_n) \ge n > g(L_n+1).$$

Similarly, if n = 0, then

$$1 > g(L_1 + 1) > \cdots > g(L_0) = 0 = g(b + 1).$$

In either case, we can apply Lemma 2.4 with $i = L_{n+1}$ and $j = L_n$, giving

$$\sum_{m=L_{n+1}}^{L_n-1} \left(\left\lfloor g(m+\alpha) + \frac{1}{4} \right\rfloor + \left\lfloor g(m+(1-\alpha)) + \frac{1}{4} \right\rfloor \right) \le 2 \int_{L_{n+1}}^{L_n} g(z) \, \mathrm{d}z. \tag{2.10}$$

Summing up (2.10) over $n \in \{N_1 - 1, \dots, 0\}$ with account of $L_{N_1} = 0$ and $L_0 = b$ yields (2.6).

The result of Theorem 1.1 now follows immediately from applying Theorem 2.3 to $g = G_{\lambda}$ with $b = \lceil \lambda \rceil$, using (2.3) and (2.4), and recalling that $G_{\lambda}(z) \equiv 0$ for $z \in [\lambda, \lceil \lambda \rceil]$. The strict inequality follows from Remark 2.5 and the fact that G_{λ} is not (piecewise) linear.

3. Proof of Theorem 1.3

As in Section 2, in view of (1.7), the counting function of Neumann eigenvalues which are less than or equal to $\lambda^2 \in [0, +\infty)$ is given by

$$\mathcal{N}_{\mathbb{D}}^{N}(\lambda;\alpha) := \#\{n \in \mathbb{N} : \lambda_{n}^{N}(\mathbb{D},\alpha) \leq \lambda^{2}\} = \#\{(i,k) \in \mathbb{Z} \times \mathbb{N} : \lambda_{i,k}^{N} \leq \lambda^{2}\}$$

$$= \sum_{m=0}^{\infty} \#\{k \in \mathbb{N} : j'_{m+\alpha,k} \leq \lambda\} + \sum_{m=0}^{\infty} \#\{k \in \mathbb{N} : j'_{m+(1-\alpha),k} \leq \lambda\}$$

$$= \sum_{m=0}^{\lfloor \lambda - \alpha \rfloor} \#\{k \in \mathbb{N} : j'_{m+\alpha,k} \leq \lambda\} + \sum_{m=0}^{\lfloor \lambda - (1-\alpha) \rfloor} \#\{k \in \mathbb{N} : j'_{m+(1-\alpha),k} \leq \lambda\},$$
(3.1)

where we used the fact that $j'_{\nu,1} \ge \nu$, and therefore, $\#\{k \in \mathbb{N} : j'_{\nu,k} \le \lambda\} = 0$ whenever $\nu > \lambda$. The graphs of the first few zeros of Bessel derivatives appearing in (3.1) are shown in Figure 2.

We split the proof of Theorem 1.3 into three parts for different intervals of λ . The proofs for very small and sufficiently large λ s are analytic, and a small remaining gap is closed with the help of a rigorous computer-assisted algorithm similar to that in [5, Section 8].

We start with the case of small λs .

Proposition 3.1. For any $\alpha \in (0, \frac{1}{2}]$, the bound (1.8) holds for all $\lambda \in [j'_{\alpha,1}, 2\sqrt{2})$.

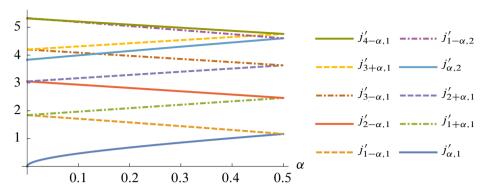


Figure 2. Some zeros of derivatives of Bessel functions as functions of α .

Proof of Proposition 3.1. It is sufficient to show that, for all $\alpha \in (0, \frac{1}{2}]$, (1.9) holds with n = 1 and n = 2; namely, we have

$$\lambda_2^{\mathrm{N}}(\mathbb{D}, \alpha) < 4 \tag{3.2}$$

and

$$\lambda_3^{\mathrm{N}}(\mathbb{D}, \alpha) < 8. \tag{3.3}$$

It follows immediately from the monotonicity with respect to ν of the zero $j'_{\nu,k}$ [16, Section 10.21 (iv)] and from the interlacing properties of these zeros [17, formula (10)] that

$$\lambda_2^{\mathrm{N}}(\mathbb{D}, \alpha) = (j'_{1-\alpha, 1})^2$$
 and $\lambda_3^{\mathrm{N}}(\mathbb{D}, \alpha) = (j'_{1+\alpha, 1})^2$;

cf. Figure 2.

As $j'_{1-\alpha,1} \le j'_{1,1}$ and $j'_{1+\alpha,1} \le j'_{\frac{3}{2},1}$ for all $\alpha \in [0, \frac{1}{2}]$, we, in principle, could finish the proof of Proposition 3.1 here by an elementary observation that

$$j'_{1,1} \approx 1.8412$$
 and $j'_{\frac{3}{2},1} \approx 2.4605$.

However, we deliberately avoid the use of floating-point approximations of zeros of Bessel functions and their derivatives anywhere in this paper, so we continue to give a fully analytic justification of (3.2) and (3.3).

Since $j'_{1,1} = \sqrt{\lambda_2^N(\mathbb{D}, 0)}$, which is less than 2 by Pólya's conjecture for the Neumann Laplacian in the disk, we have (3.2).

The Bessel functions of half-integer order can be expressed explicitly in terms of trigonometric functions and powers of their argument, and as a result, $j'_{\frac{3}{2},1}$ coincides with the first positive root of the function

$$S(z) := -\cot z - \frac{2}{3}z + \frac{1}{z}.$$

Elementary calculations show that

$$\lim_{z \to 0^{+}} S(z) = 0, \quad \lim_{z \to 0^{+}} S'(z) = -\frac{1}{3}, \quad \lim_{z \to \pi^{-}} S'(z) = +\infty,$$

$$S''(z) = 2\left(\frac{1}{z^{3}} - \frac{\cos z}{\sin^{3} z}\right) > 0 \quad \text{for all } z \in (0, \pi),$$

and therefore, S(z) has a single root in $(0, \pi)$. As $S(\frac{5\pi}{6}) = \sqrt{3} + \frac{6}{5\pi} - \frac{5\pi}{9} > 0$, we conclude that

$$j_{\frac{3}{2},1}' < \frac{5\pi}{6} < 2\sqrt{2},$$

thus proving (3.3).

We now switch to large values of λs and prove the following proposition.

Proposition 3.2. For any $\alpha \in (0, \frac{1}{2}]$, the bound (1.8) holds for all $\lambda \geq \frac{2500\pi}{3481\pi - 10000}$.

We note that $\frac{2500\pi}{3481\pi - 10000} \approx 8.39205$.

The proof of Proposition 3.2 is based on several results from [5], the first of which complements Lemma 2.1.

Lemma 3.3 ([5, Proposition 3.1]). Let $v \ge 0$ and $\lambda > 0$. Then,

$$\#\{k:j'_{\nu,k}\leq\lambda\}\geq\left|G_{\lambda}(\nu)+\frac{3}{4}\right|.$$

Applying Lemma 3.3 to the right-hand side of (3.1), we get

$$\mathcal{N}_{\mathbb{D}}^{N}(\lambda;\alpha) \geq \sum_{m=0}^{\lfloor \lambda - \alpha \rfloor} \left\lfloor G_{\lambda}(m+\alpha) + \frac{3}{4} \right\rfloor + \sum_{m=0}^{\lfloor \lambda - 1 + \alpha \rfloor} \left\lfloor G_{\lambda}(m+(1-\alpha)) + \frac{3}{4} \right\rfloor$$

$$=: \mathcal{P}_{\alpha}^{N}(\lambda). \tag{3.4}$$

In order to obtain a lower bound on $\mathcal{P}^{N}_{\alpha}(\lambda)$, we recall the following lemma.

Lemma 3.4 ([5, Theorem 6.1]). Let b > 0, and let g be a non-negative decreasing convex function on [0,b] such that $g(0) \ge \frac{1}{4}$, g(b) = 0, and (2.5) holds for all $z, w \in [0,b]$. Let

$$M_0 = M_{g,0} := 1 + \max \left\{ m \in \{0, \dots, \lfloor b \rfloor\} : g(m) \ge \frac{1}{4} \right\},$$

and assume that $M_0 \leq b$. Then,

$$\sum_{m=0}^{\lfloor b \rfloor} \left[g(m) + \frac{3}{4} \right] \ge \int_0^{M_0} g(z) \, \mathrm{d}z + \frac{M_0}{4}. \tag{3.5}$$

Remark 3.5. If g is strictly monotone on [0, b], then

$$M_0 = \left\lfloor g^{-1} \left(\frac{1}{4} \right) \right\rfloor + 1.$$

Remark 3.6. The bound in [5, Theorem 6.1] was stated slightly differently compared to (3.5), giving a marginally weaker result; however, inequality (3.5) also appeared there towards the end of the proof.

Proof of Proposition 3.2. We want to apply Lemma 3.4 to each of the sums in the right-hand side of (3.4).

For the first sum, we take $g(z) = g_1(z) := G_{\lambda}(z + \alpha), b = \lambda - \alpha$, and

$$M_0 = M_{g_1,0} := \left[g_1^{-1} \left(\frac{1}{4} \right) \right] + 1 = \left[G_{\lambda}^{-1} \left(\frac{1}{4} \right) - \alpha \right] + 1$$
 (3.6)

and require that $g_1(0) = G_{\lambda}(\alpha) \ge \frac{1}{4}$. We note also that in this case

$$M_0 = \left| G_{\lambda}^{-1} \left(\frac{1}{4} \right) - \alpha \right| + 1 \le G_{\lambda}^{-1} \left(\frac{1}{4} \right) - \alpha + 1 \le b = \lambda - \alpha$$

if $\lambda \ge 2$ by [5, Lemma 4.8], so from now on, we assume this restriction on λ . Applying Lemma 3.4 gives

$$\Sigma_{1}(\lambda) := \sum_{m=0}^{\lfloor \lambda - \alpha \rfloor} \left[G_{\lambda}(m+\alpha) + \frac{3}{4} \right]$$

$$\geq \int_{0}^{M_{g_{1},0}} G_{\lambda}(z+\alpha) \, \mathrm{d}z + \frac{M_{g_{1},0}}{4}$$

$$= \int_{\alpha}^{M_{g_{1},0}+\alpha} G_{\lambda}(z) \, \mathrm{d}z + \frac{M_{g_{1},0}}{4}$$

$$\geq \frac{\lambda^{2}}{8} - \left(\int_{0}^{\alpha} + \int_{M_{g_{1},0}+\alpha}^{\lambda} \right) G_{\lambda}(z) \, \mathrm{d}z + \frac{M_{g_{1},0}}{4}, \tag{3.7}$$

where we used (2.3).

For the second sum, we take

$$g(z) := g_2(z) := G_{\lambda}(z + 1 - \alpha), \quad b = \lambda - 1 + \alpha,$$

and

$$M_0 = M_{g_2,0} := \left\lfloor g^{-1} \left(\frac{1}{4} \right) \right\rfloor + 1 = \left\lfloor G_{\lambda}^{-1} \left(\frac{1}{4} \right) - 1 + \alpha \right\rfloor + 1$$

and require that

$$g_2(0) = G_{\lambda}(1 - \alpha) \ge \frac{1}{4}.$$

Similar to the above, in this case, $M_0 \le b$ if $\lambda \ge 2$, and applying Lemma 3.4, we get

$$\Sigma_{2}(\lambda) := \sum_{m=0}^{\lfloor \lambda - 1 + \alpha \rfloor} \left[G_{\lambda}(m+1-\alpha) + \frac{3}{4} \right]$$

$$\geq \int_{0}^{M_{g_{2},0}} G_{\lambda}(z+1-\alpha) dz + \frac{M_{g_{2},0}}{4}$$

$$\geq \frac{\lambda^{2}}{8} - \left(\int_{0}^{1-\alpha} + \int_{M_{g_{2},0}+1-\alpha}^{\lambda} \right) G_{\lambda}(z) dz + \frac{M_{g_{2},0}}{4}. \tag{3.8}$$

Adding the two bounds (3.7) and (3.8) yields

$$\mathcal{P}_{\alpha}^{N}(\lambda) = \Sigma_{1}(\lambda) + \Sigma_{2}(\lambda)$$

$$\geq \frac{\lambda^{2}}{4} - \left(\int_{0}^{\alpha} + \int_{0}^{1-\alpha} + \int_{M_{g_{1},0}+\alpha}^{\lambda} + \int_{M_{g_{2},0}+1-\alpha}^{\lambda}\right) G_{\lambda}(z) dz$$

$$+ \frac{M_{g_{1},0} + M_{g_{2},0}}{4}.$$
(3.9)

We note that we additionally require

$$G_{\lambda}(\alpha) \ge G_{\lambda}(1-\alpha) \ge \frac{1}{4}$$
 (3.10)

for (3.7)–(3.9) to be valid. We now check if we need to restrict the range of λ further to ensure that (3.10) holds. We can replace (3.10) by a stronger condition

$$G_{\lambda}(1) = \frac{1}{\pi} \left(\sqrt{\lambda^2 - 1} - \arccos \frac{1}{\lambda} \right) \ge \frac{1}{4}.$$

Since $G_{\lambda}(1)$ is monotone increasing in λ and $G_{3}(1) > \frac{2\sqrt{2}}{\pi} - \frac{1}{2} > \frac{1}{4}$, it is sufficient to assume from now on that $\lambda \geq 3$.

To make the bound in the right-hand side of (3.9) more explicit in terms of λ , we first estimate the integrals there. For integrals near zero, an obvious bound

$$\int_{0}^{\beta} G_{\lambda}(z) \, \mathrm{d}z \le \beta G_{\lambda}(0) = \frac{\beta \lambda}{\pi} \quad \text{for all } \beta \in [0, \lambda]$$
 (3.11)

holds due to monotonicity of G_{λ} . To estimate the integrals near λ , we use the following lemma.

Lemma 3.7. Let G_{λ} be defined by (2.2), and let $\beta \in [0, \lambda]$. Then,

$$\int_{\beta}^{\lambda} G_{\lambda}(z) \, \mathrm{d}z \le \frac{2}{5} (\lambda - \beta) G_{\lambda}(\beta).$$

Proof of Lemma 3.7. We fix $\lambda > 0$, change the variable $\beta = \lambda \gamma$, $\gamma \in [0, 1]$, and intend to show that

$$\rho(\gamma) := \int_{\gamma\lambda}^{\lambda} G_{\lambda}(z) \, \mathrm{d}z - \frac{2}{5}\lambda(1-\gamma)G_{\lambda}(\gamma\lambda) \le 0 \quad \text{for all } \gamma \in [0,1].$$

We have, by an explicit calculation,

$$\rho'(\gamma) = \frac{(\gamma+2)\lambda^2}{5\pi} \left(\arccos\gamma - \frac{3\sqrt{1-\gamma^2}}{\gamma+2}\right),\,$$

with $\rho(1) = 0$; therefore, it is sufficient to show that

$$\chi(\gamma) := \arccos \gamma - \frac{3\sqrt{1-\gamma^2}}{\gamma+2} \ge 0 \quad \text{for all } \gamma \in [0,1].$$

We again have $\chi(1) = 0$, and the result follows from the fact that

$$\chi'(\gamma) = -\frac{(1-\gamma)^2}{(\gamma+2)^2\sqrt{1-\gamma^2}} \le 0 \quad \text{for all } \gamma \in [0,1].$$

We now return to the previously obtained inequality (3.9) and estimate the integrals in its right-hand side using (3.11) and Lemma 3.7. This gives

$$\begin{split} \mathcal{P}_{\alpha}^{N}(\lambda) &\geq \frac{\lambda^{2}}{4} - \frac{\lambda}{\pi} - \frac{2}{5}(\lambda - M_{g_{1},0} - \alpha)G_{\lambda}(M_{g_{1},0} + \alpha) \\ &- \frac{2}{5}(\lambda - M_{g_{2},0} - 1 + \alpha)G_{\lambda}(M_{g_{2},0} + 1 - \alpha) + \frac{M_{g_{1},0} + M_{g_{2},0}}{4} \\ &= \frac{\lambda^{2}}{4} - \lambda \left(\frac{1}{\pi} + \frac{2}{5}(G_{\lambda}(M_{g_{1},0} + \alpha) + G_{\lambda}(M_{g_{2},0} + 1 - \alpha))\right) \\ &+ \frac{2}{5}((M_{g_{1},0} + \alpha)G_{\lambda}(M_{g_{1},0} + \alpha) + (M_{g_{2},0} + 1 - \alpha)G_{\lambda}(M_{g_{2},0} + 1 - \alpha)) \\ &+ \frac{M_{g_{1},0} + M_{g_{2},0}}{4}. \end{split}$$
(3.12)

From the definition (3.6) of $M_{g_1,0}$, the inequality $\lfloor x \rfloor + 1 \geq x$, and monotonicity of G_{λ} , we obtain

$$M_{g_1,0}+\alpha \geq G_{\lambda}^{-1}\left(\frac{1}{4}\right), \quad G_{\lambda}(M_{g_1,0}+\alpha) \leq \frac{1}{4},$$

and exactly in the same manner,

$$M_{g_2,0} + 1 - \alpha \ge G_{\lambda}^{-1} \left(\frac{1}{4}\right), \quad G_{\lambda}(M_{g_2,0} + 1 - \alpha) \le \frac{1}{4}.$$

Substituting these bounds into (3.12), we get, after simplifications,

$$\mathcal{P}_{\alpha}^{N}(\lambda) \geq \frac{\lambda^{2}}{4} - \lambda \left(\frac{1}{\pi} + \frac{2}{5} \cdot \frac{1}{2}\right) + \frac{2}{5} \left(\frac{1}{2} G_{\lambda}^{-1} \left(\frac{1}{4}\right)\right) + \frac{1}{2} G_{\lambda}^{-1} \left(\frac{1}{4}\right) - \frac{1}{4}$$

$$\geq \frac{\lambda^{2}}{4} - \lambda \left(\frac{1}{\pi} + \frac{1}{5}\right) + \frac{7}{10} G_{\lambda}^{-1} \left(\frac{1}{4}\right) - \frac{1}{4}.$$
(3.13)

We further recall [5, Lemma 4.8] which after the substitution $c = \cos \sigma$ states that

$$G_{\lambda}^{-1}\left(\frac{1}{4}\right) \ge c\lambda \tag{3.14}$$

with $c \in (0, 1]$ if

$$\lambda \ge q_1(c) := \frac{\pi}{4(\sqrt{1 - c^2} - c \arccos c)}.$$

Applying (3.14) in the right-hand side of (3.13), we obtain

$$\mathcal{P}_{\alpha}^{N}(\lambda) \ge \frac{\lambda^{2}}{4} + \left(\frac{7}{10}c - \frac{1}{\pi} - \frac{1}{5}\right)\lambda - \frac{1}{4},$$

which is in turn greater than $\frac{\lambda^2}{4}$ assuming that

$$\lambda > q_2(c) := \frac{5}{2\left(7c - 2 - \frac{10}{\pi}\right)} > 0.$$

We have therefore proved (1.8) for all

$$\lambda > \min_{c \in (\frac{2\pi + 10}{7\pi}, 1)} \max\{q_1(c), q_2(c), 3\}. \tag{3.15}$$

It is easily checked that the equation

$$q_1(c) = q_2(c)$$

has a single root $c^* \in (\frac{2\pi+10}{7\pi}, 1)$, with $c^* \approx 0.78397$ and

$$q^* := q_1(c^*) = q_2(c^*) \approx 8.2047;$$

see Figure 3.

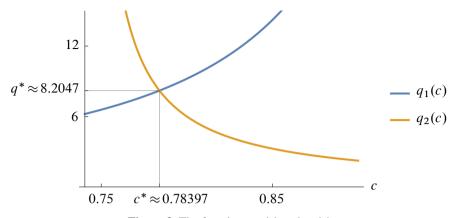


Figure 3. The functions $q_1(c)$ and $q_2(c)$.

To finish the proof of Proposition 3.2, we drop the minimisation in the right-hand side of (3.15) and choose there the particular value $c = \frac{783}{1000}$. The method of verified rational approximations from [5, Section 8] ensures that

$$q_2\left(\frac{783}{1000}\right) = \frac{2500\pi}{3481\pi - 10000} > q_1\left(\frac{783}{1000}\right) > 3.$$

The combination of Propositions 3.1 and 3.2 proves Theorem 1.3 except for

$$\lambda \in \left[2\sqrt{2}, \frac{2500\pi}{3481\pi - 10000}\right] \subset \left[\frac{5}{2}, 9\right].$$

In order to close the gap, we first obtain another lower bound for $\mathcal{P}_{\alpha}^{N}(\lambda)$ by moving all arguments of G_{λ} appearing in its definition to the right to the nearest integer or half-integer:

$$\mathcal{P}_{\alpha}^{N}(\lambda) > \sum_{m=0}^{\lceil \lambda \rceil - 1} \left(\left\lfloor G_{\lambda} \left(m + \frac{1}{2} \right) + \frac{3}{4} \right\rfloor + \left\lfloor G_{\lambda} (m+1) + \frac{3}{4} \right\rfloor \right) =: \mathcal{Q}(\lambda).$$

Note that $\mathcal{Q}(\lambda)$ does not depend on α . We have the following proposition.

Proposition 3.8. For any $\lambda \in [\frac{5}{2}, 9]$,

$$\mathcal{Q}(\lambda) - \frac{\lambda^2}{4} > 0; \tag{3.16}$$

see Figure 4 for an illustration.

Proposition 3.8 is proved using the same strategy of applying a rigorous computer-assisted algorithm for estimating $Q(\lambda)$ (which requires a finite number of steps and uses only integer arithmetic) as was done in the case of the Neumann Laplacian for

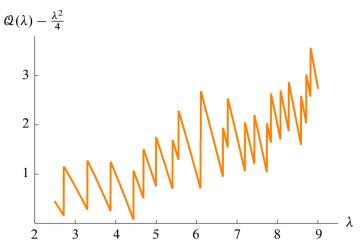


Figure 4. The difference $\mathcal{Q}(\lambda) - \frac{\lambda^2}{4}$ plotted as a function of $\lambda \in [\frac{5}{2}, 9]$. The plot has been produced using floating-point arithmetic and is included for illustration only: it does not constitute a part of the proof.

Step	λ	$\underline{e}(\lambda)$	$\underline{\delta}(\lambda)$
1	$\frac{5}{2}$	$\frac{7}{16}$	$\frac{15}{46}$
2	$\frac{65}{23}$	$\frac{2123}{2116}$	$\frac{190}{299}$
3	$\frac{45}{13}$	$\frac{679}{676}$	$\frac{7}{13}$
4	4	1	$\frac{8}{17}$
5	$\frac{76}{17}$	$\frac{290}{289}$	$\frac{210}{493}$
6	$\frac{142}{29}$	$\frac{846}{841}$	$\frac{354}{899}$
7	$\frac{164}{31}$	$\frac{964}{961}$	$\frac{328}{899}$
8	$\frac{164}{29}$	$\frac{1686}{841}$	$\frac{600}{899}$
9	$\frac{196}{31}$	$\frac{1928}{961}$	$\frac{505}{837}$
10	$\frac{187}{27}$	$\frac{5855}{2916}$	$\frac{5}{9}$
11	$\frac{202}{27}$	$\frac{1463}{729}$	$\frac{14}{27}$
12	8	2	$\frac{14}{29}$
13	$\frac{246}{29}$	$\frac{1691}{841}$	$\frac{467}{1015}$
14	$\frac{313}{35}$	$\frac{14731}{4900}$	$\frac{883}{1365}$
15	$\frac{374}{39} > 9$		

Table 1. Detailed output of the computer-assisted algorithm.

the count $\mathcal{P}_0^N(\lambda)$ in [5, Section 8]. Let, for $x \in \mathbb{R}$, $\underline{x} \leq x \leq \overline{x}$, where $\underline{x}, \overline{x} \in \mathbb{Q}$ are some lower and upper rational approximations of x. For $\lambda \in \mathbb{Q}$, we compute a verified lower rational approximation $\underline{\mathcal{Q}}(\lambda)$ using the techniques of [5, Section 8]. We now use the analogue of [5, Lemma 8.1]: if (3.16) holds for some $\lambda_0 \in \mathbb{Q}$, or, more precisely, if

$$\underline{e}(\lambda_0) := \underline{\mathcal{Q}}(\lambda_0) - \frac{\lambda_0^2}{4} > 0,$$

then (3.16) also holds for all $\lambda \in (\lambda_0 + \underline{\delta}(\lambda_0))$, where

$$\delta(\lambda_0) := \sqrt{\lambda_0^2 + 4\underline{e}(\lambda_0)} - \lambda_0.$$

The results of the calculations are shown in Table 1, and the script we used is available at https://michaellevitin.net/polya.html#AB.

Acknowledgements. The authors are grateful to Rupert Frank and Jens Marklof for drawing their attention to this problem. They would also like to thank Rupert Frank for many useful discussions and for communicating to them the proof of (1.10). The authors would also like to acknowledge the hospitality of the University of Bristol and the Mathematisches Forschungsinstitut Oberwolfach where this work has been conceived.

Funding. Research of N. F. was supported by Grant no. 22-11-00092 of the Russian Science Foundation. Research of M. L. was partially supported by the EPSRC and by the University of Reading RETF Open Fund. Research of I. P. was partially supported by NSERC.

References

- [1] Y. Aharonov and D. Bohm, Significance of electromagnetic potentials in the quantum theory. *Phys. Rev.* (2) **115** (1959), 485–491 Zbl 0099.43102 MR 0110458
- [2] V. Bonnaillie-Noël, B. Noris, M. Nys, and S. Terracini, On the eigenvalues of Aharonov–Bohm operators with varying poles. *Anal. PDE* 7 (2014), no. 6, 1365–1395
 Zbl 1301.35069 MR 3270167
- [3] B. Colbois, L. Provenzano, and A. Savo, Isoperimetric inequalities for the magnetic Neumann and Steklov problems with Aharonov–Bohm magnetic potential. *J. Geom. Anal.* 32 (2022), no. 11, article no. 285 Zbl 1498.35190 MR 4478480
- [4] B. Colbois and A. Savo, Upper bounds for the ground state energy of the Laplacian with zero magnetic field on planar domains. *Ann. Global Anal. Geom.* 60 (2021), no. 1, 1–18 Zbl 1470.35148 MR 4267588
- [5] N. Filonov, M. Levitin, I. Polterovich, and D. A. Sher, Pólya's conjecture for Euclidean balls. *Invent. Math.* 234 (2023), no. 1, 129–169 Zbl 1529.35314 MR 4635832

- [6] R. L. Frank, Remarks on eigenvalue estimates and semigroup domination. In Spectral and scattering theory for quantum magnetic systems, pp. 63–86, Contemp. Math. 500, American Mathematical Society, Providence, RI, 2009 Zbl 1183.81068 MR 2655143
- [7] R. L. Frank, Private communication. 2023
- [8] R. L. Frank, Weyl's law under minimal assumptions. In From complex analysis to operator theory—a panorama, pp. 549–572, Oper. Theory Adv. Appl. 291, Birkhäuser/Springer, Cham, 2023 MR 4651285
- [9] R. L. Frank and A. M. Hansson, Eigenvalue estimates for the Aharonov–Bohm operator in a domain. In *Methods of spectral analysis in mathematical physics*, pp. 115–137, Oper. Theory Adv. Appl. 186, Birkhäuser, Basel, 2009 Zbl 1171.35090 MR 2732076
- [10] R. L. Frank, M. Loss, and T. Weidl, Pólya's conjecture in the presence of a constant magnetic field. J. Eur. Math. Soc. (JEMS) 11 (2009), no. 6, 1365–1383 Zbl 1179.35205 MR 2557138
- [11] B. Helffer, Effet d'Aharonov-Bohm sur un état borné de l'équation de Schrödinger. Comm. Math. Phys. 119 (1988), no. 2, 315–329 Zbl 0702.35186 MR 0968700
- [12] D. Hundertmark and B. Simon, A diamagnetic inequality for semigroup differences. J. Reine Angew. Math. 571 (2004), 107–130 Zbl 1068.47052 MR 2070145
- [13] R. Kellner, On a theorem of Polya. Amer. Math. Monthly 73 (1966), 856–858 Zbl 0144.36303 MR 0200623
- [14] C. Léna, Eigenvalues variations for Aharonov–Bohm operators. J. Math. Phys. 56 (2015), no. 1, article no. 011502 Zbl 1305.81078 MR 3390812
- [15] M. Levitin, D. Mangoubi, and I. Polterovich, *Topics in spectral geometry*. Grad. Stud. Math. 237, American Mathematical Society, Providence, RI, 2023 Zbl 07759244 MR 4655924
- [16] F. W. J. Olver, A. B. O. Daalhuis, D. W. Lozier, B. I. Schneider, R. F. Boisvert, C. W. Clark, B. R. Miller, B. V. Saunders, H. S. Cohl, and M. A. McClain, DLMF: NIST Digital Library of Mathematical Functions. 2023, https://dlmf.nist.gov/, release 1.1.11
- [17] T. Pálmai and B. Apagyi, Interlacing of positive real zeros of Bessel functions. *J. Math. Anal. Appl.* **375** (2011), no. 1, 320–322 Zbl 1208.33007 MR 2735716
- [18] G. Pólya, *Induction and analogy in mathematics. Mathematics and plausible reasoning,* vol. I. Princeton University Press, Princeton, NJ, 1954 Zbl 0056.24101 MR 0066321
- [19] G. Pólya, On the eigenvalues of vibrating membranes. *Proc. London Math. Soc.* (3) **11** (1961), 419–433 Zbl 0107.41805 MR 0129219
- [20] D. A. Sher, Joint asymptotic expansions for Bessel functions. *Pure Appl. Anal.* 5 (2023), no. 2, 461–505 Zbl 07714113 MR 4605902

Received 23 November 2023.

Nikolav Filonov

St. Petersburg Department of Steklov Institute of Mathematics of RAS, Fontanka 27, 191023 St. Petersburg; St. Petersburg State University, University Embankment 7/9, 199034 St. Petersburg, Russia; filonov@pdmi.ras.ru

Michael Levitin

Department of Mathematics and Statistics, University of Reading, Pepper Lane, Whiteknights, Reading RG6 6AX, UK; M.Levitin@reading.ac.uk

Iosif Polterovich

Département de Mathématiques et de Statistique, Université de Montréal, CP 6128, succursale Centre-Ville, Montréal, QC H3C 3J7, Canada; iossif@dms.umontreal.ca

David A. Sher

Department of Mathematical Sciences, DePaul University, 2320 N. Kenmore Ave., Chicago, IL 60614, USA; dsher@depaul.edu