

# *Working fluid pair selection of thermally integrated pumped thermal electricity storage system for waste heat recovery and energy storage*

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### Applied Energy

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Ding Wu<sup>a</sup>, Bo Ma<sup>a,\*</sup>, Ji Zhang<sup>a</sup>, Yanqi Chen<sup>a</sup>, Feifan Shen<sup>a</sup>, Xun Chen<sup>b</sup>, Chuang Wen<sup>c</sup>, Yan Yang d,\*

<sup>a</sup> *School of Electrical and Information Engineering, Hunan University, Changsha 410082, China* 

<sup>b</sup> *Research Institute of State Grid Hunan Electric Power Co., Ltd., Changsha 410000, China* 

<sup>c</sup> *School of the Built Environment, University of Reading, Reading RG6 6AH, UK* 

<sup>d</sup> *Faculty of Environment, Science and Economy, University of Exeter, Exeter, EX4 4QF, UK* 

#### HIGHLIGHTS

• The double-layer optimization procedure is proposed for working fluid pair screening.

- 3 fluid pair combination strategies are compared under 7 energy storage temperatures.
- The highest round-trip efficiency of 101.29% is obtained by the zeotropic fluid pair.
- Thermo-economic indicators are effectively adjusted by 3 weighting factor groups.

• Working fluid pair recommendations including pure and zeotropic fluids are offered.

#### ARTICLE INFO

*Keywords:*  TI-PTES Energy storage Waste heat recovery Zeotropic fluids Working fluid screening Pumped thermal electricity storage

#### ABSTRACT

Global issues such as the energy crisis and carbon emissions impulse the development of waste heat recovery and energy storage technologies. In most practical industrial scenarios, the electricity supply and consumption cannot be perfectly matched and effective utilization of waste heat is in urgent need. In the present study, we develop a mathematical model to evaluate the thermally integrated pumped thermal electricity storage (TI-PTES) system to achieve off-peak electricity storage along with low-grade waste heat recovery. A double-layer optimization for screening working fluid pairs with high round-trip efficiency is carried out from 24 fluids of the heat pump and 21 fluids of the Organic Rankine cycle (ORC). In the first-layer multi-objective optimization, 3 types of working fluid pair combination strategies are compared and the great improvement of round-trip efficiency by using zeotropic fluids is proved. Among 7 energy storage temperatures covering from 393.15 K to 423.15 K with an increment interval of 5 K, the highest round-trip efficiency of 101.29% is achieved by adopting the zeotropic fluid pair [90Diethyl ether 10Pentane - 80Butane 20Pentane] at 398.15 K. Furthermore, in the second-layer single-objective optimization, the thermo-economic performance indicators of TI-PTES is evaluated and compared under different designing weighting factor groups, which effectively contributes to the screening of working fluids according to designer's trade-off. Finally, through varying energy storage temperatures and designing weighting factors, optimal working fluid pair recommendations including pure fluids and zeotropic ones were proposed to the fluid selection of TI-PTES.

#### **1. Introduction**

In response to global climate change and the energy crisis, improving energy utilization efficiency, expanding renewable energy resources and devoting to achieving carbon neutrality by 2050 have become a

widespread global consensus [\[1\]](#page-13-0). Particularly it is crucial to enhance energy utilization efficiency on the customer side of the grid and mitigate substantial carbon emissions from traditional fossil-fuel power plants on the producer side of the grid [\[2\]](#page-13-0). In various industry scenarios with high electricity consumption, untapped low-grade waste heat resources are popularly distributed and the investigation of suitable

\* Corresponding authors. *E-mail addresses:* [boma@hnu.edu.cn](mailto:boma@hnu.edu.cn) (B. Ma), [y.yang7@exeter.ac.uk](mailto:y.yang7@exeter.ac.uk) (Y. Yang).

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energy recovery technology is of great necessity [[3](#page-13-0)]. On the other hand, due to the interest difference between the peak and valley electricity prices of the power grid [\[4\]](#page-13-0), the efficient power storage system combined with waste heat recovery can reduce the overall carbon emission and expense for large-scale electricity energy utilization factories, that is, to store the valley electricity and waste heat with lower prices and release them during the peak electricity consumption and high carbon emission periods. Besides various electricity storage technologies including compressed air energy storage (CAES), pumped hydro energy storage (PHES), and the chemical battery storage like the flow battery (FB) and lithium battery (LB) [\[5](#page-13-0)], there is a growing focus on a novel electricity storage technology known as pumped thermal electricity storage (PTES) in recent years [\[6](#page-13-0)]. Providing a geographically unlimited, low-cost and long-lifespan energy storage solution, thermally integrated PTES, named as TI-PTES, can not only utilize the industry waste heat efficiently but also deal with the high expense due to the fluctuation of electricity prices in the power grid, achieving a win-win situation of energy efficiency improvement and cost reduction [\[7,](#page-13-0)[8](#page-14-0)].

In order to recycle and utilize the low-grade waste heat in the electricity storage system, the concept of TI-PTES was first proposed by Steinmann in 2014 [\[9\]](#page-14-0), which typically comprises a heat pump (HP), a heat storage system, and an organic Rankine cycle (ORC) [\[10](#page-14-0)]. Through low-grade heat integration and utilization, this approach allows for a reduction in the temperature lift of the HP or an increase in the temperature difference between the heat source and heat sink of the ORC, thereby enhancing the round-trip efficiency  $(\eta_{rt})$  [\[11](#page-14-0)]. Facilitated by the availability of all components of the HP or ORC in the market or given that all components can also be specially designed with greater feasibility, the commercial application maturity of TI-PTES is relatively high

[[12\]](#page-14-0). Generally, the research on TI-PTES toward high round-trip efficiency can be classified into three main directions or aspects [\[10](#page-14-0)], including system configuration optimization  $[13,14]$  $[13,14]$ , working fluids selection [[15\]](#page-14-0) and system parameter analysis [[16\]](#page-14-0). As an important aspect of design research, the working fluids selection of TI-PTES is of great necessity and has attracted many scholars' research interests in the past 5 years.

In typical PTES systems, the majority of studies have utilized the same pure working fluid in the HP and ORC, such as R245fa [\[17](#page-14-0)], R1233zd(E) [\[5\]](#page-13-0), Butene  $[18]$  $[18]$ , etc. Hence, as a branch of PTES, most working fluid selection research of TI-PTES similarly supposed that the HP and ORC had adopted the same working fluid. Frate et al. [\[15](#page-14-0)] studied extensively on the working fluid selection from 17 candidates and found that the highest  $\eta_{\text{rt}}$  of 130% was obtained by R1233zd(E) among the most 4 environmentally friendly fluids. Eppinger et al. [\[19](#page-14-0)] preliminarily selected 4 fluids from 33 fluids for a Rankine PTES. On this basis, Eppinger et al. [\[20](#page-14-0)] further made a careful performance comparison among Cyclopentane, R365mfc, Novec 649 and R1233zd(E) for screening the working fluid of a reversible TI-PTES system and R1233zd (E) was considered as the most suitable fluid candidate. A similar conclusion about R1233zd(E) was illustrated in the work of Steger et al. [[21\]](#page-14-0) and the study of Ma et al. [\[22](#page-14-0)]. In a simplified model for quick analysis [\[11](#page-14-0)], Dumont et al. investigated 16 working fluids and only 5 fluids showed a decent efficiency including R1233zd(e), R1234yf, R11, R236ea and R245fa. Under a specific working condition, R245ca was considered to be the most favorable fluid candidate among the 9 primarily selected fluids in Ref. [[23\]](#page-14-0). At the molecular level, Qiao et al. [[24\]](#page-14-0) conducted a screening work for the TI-PTES system among 70 working fluids and the highest round-trip efficiency of 57.5% was

<span id="page-4-0"></span>

**Fig. 1.** Description of the TI-PTES system.

obtained by utilizing R272fa. To facilitate the sharing of equipment between the HP and ORC, the same working fluid was applied in Ref. [[25\]](#page-14-0) and four fluid candidates were ranked and screened according to the round-trip efficiency (R1233zd(E) *>* R245fa *>* Butene *>* R236ea). Another study conducted by Zhang et al. [[26\]](#page-14-0) focused on a comparison among R245fa, R1336mzz(Z), R1233zd(E), and R1234ze(Z) while screening the suitable working fluids for 3 different TI-PTES system configurations. In addition, for the TI-PTES system different pure working fluids have been utilized and examined in the HP and ORC units. To the best knowledge of the authors, only several related articles have been retrieved. Frate et al. [\[27](#page-14-0)] investigated exhaustively all the possible working fluid pairs from a representative subset of 8 fluids and suggested different working fluid pairs concerning various monitored performance parameters. Following similar screening principles, Fan et al. [[28\]](#page-14-0) selected economic, exergy-economic performance criteria and exergy efficiency as optimization target parameters for seeking the best working fluids pair under different energy storage temperatures. However, only 2 fluids R245fa and R1336mzz(Z) were considered. Recently, a multi-objective optimization from 4 different working fluid combinations was carried out by Wang et al. [\[29](#page-14-0)] and the working fluid pair R365mfc/R365mfc achieved the highest round-trip efficiency of 110.15%.

In the interesting work of Hassan et al. [\[30](#page-14-0)], both working fluid selection strategies above were applied and they concluded that in a specific energy storage case adopting a single pure working fluid was the best choice while in another case considering the impact on the environment, employing different working fluids was a better strategy. Hence, the working fluid selection strategy should cover all the possibilities of working fluids pair. Another noteworthy research point about working fluid in TI-PTES is the utilization of zeotropic fluids which has been studied extensively in HP or ORC [31–[33\]](#page-14-0). To weaken the mismatch phenomenon of thermal streams in the heat exchangers, the zeotropic mixture of ammonia and water was utilized as the working fluid in a PTES system in Bernehed's work [[34\]](#page-14-0). Lu et al. [[35\]](#page-14-0) compared the round-trip efficiency between conventional TI-PTES and TI-PTES using R1233zd(*E*)-Cyclopentane mixed zeotropic fluid and found that round-trip efficiency is improved by 22.40% compared with pure working fluid. Until now, no other PTES system has been reported using a zeotropic mixture as its working fluid.

Despite all these abovementioned studies on the selection of TI-PTES system working fluid pairs, there is a lack of the comprehensive investigation on screening working fluid pairs consisting of different pure fluids or zeotropic fluids. Most of the existing research focuses on working fluid pair screening in the case of employing a single pure working fluid in the TI-PTES system. Several articles primarily analyzed the working fluid pairs consisting of different fluids. While comprehensive investigations to assess the feasibility of employing different fluids have not been conducted in detail. Even though zeotropic working fluids have been proven beneficial to round-trip efficiency improvement in recent two papers, no more research has been published lately. In fact, to achieve a high round-trip efficiency of TI-PTES, a good selection of working fluid pairs is the first step, which, however, has not been studied extensively yet. Investigating working fluid pairs, including combinations of diverse fluids and zeotropic fluids, across varying energy storage temperatures holds significant value in comprehending the potential of these pairs to enhance the performance of TI-PTES, which is the research gap that merits exploration in this study. Hence, the principal contributions of this study can be summarized as following: (1) expansion of the working fluid selection range for the TI-PTES system; (2) investigation into the performance enhancement potential by utilizing different working fluid pairs and types; (3) recommendation for selecting working fluid pairs at various heat storage temperatures, showcasing the system's applicative potential. The arrangement of the research content is delineated as follows: in Section 2, the thermoeconomic model is established and validated, with a brief introduction to the dual-layer working fluid screening method. The impact of working fluid pair selection strategies (involving both pure and zeotropic fluids) and energy storage temperatures on the round-trip efficiency is examined in Section 3.1. The results from the second layer of screening are analyzed in Section 3.2, where the effects of user-defined design weighting factors on these results are discussed. Optimal working fluid recommendations under various storage temperatures and design weighting factors are summarized in Section 3.3.

#### **2. Methods**

The TI-PTES system studied in this paper is shown in Fig. 1, which consists of the heat pump (HP), heat storage system, and Organic Rankine cycle (ORC). Working as the charging cycle, the HP system receives the low-cost electric power from the off-peak grid and waste heat from the industry manufacturing processes. And the grade-lifted thermal energy is transmitted to the heat storage system. In this way, the off-peak electricity is stored in the form of heat exergy. Working as the discharging cycle, the ORC system enables the energy conversion from stored thermal energy to generated electricity energy in the onpeak electricity consumption period. In this paper, widely adopted sensible heat storage is selected and the high-pressure water at 5 bar is used as the heat storage fluid suggested by previous work [[32\]](#page-14-0).

All the heat exchangers are assumed to be plate types for the mature technology and excellent heat transfer performance. The heat transfer

<span id="page-5-0"></span>Assumed parameters of the TI-PTES system.



#### **Table 2**

List of fluids candidates for screening.



coefficients of plate heat exchangers are given in Ref. [\[36](#page-14-0)]. The assumed system parameters are listed as Table 1. In this study, the heat load of the waste heat source (*Q*wh) is set at 3 MW, aligning with existing theoretical studies where Carnot batteries typically operate in the megawatt range for economic viability and potential market commercialization [[25,26](#page-14-0)]. The inlet  $(T_{wh,in})$  and outlet  $(T_{wh,out})$  temperatures of the waste heat source are assumed to be 373.15 K and 348.15 K, respectively, based on extensive research on industrial waste heat temperature ranges (353.15 K to 393.15 K) [\[30,37](#page-14-0)–40]. These values reflect typical scenarios and a practical temperature drop of 25 K after heat utilization. Additionally, the thermal storage efficiency (*η*sto) is assumed to be 1 for simplification, as the heat pump, thermal storage, and ORC processes are considered independent, with the selection of working fluids focusing on the charging and discharging cycles under given thermal conditions. This assumption facilitates an ideal proportional scaling of the round-trip efficiency without affecting comparative results between different working fluids. As the working fluid pairs significantly influence the TI-PTES system's round-trip efficiency, in this study, extensive and in-

**Table 3** 

HP system economic parameters and the corresponding formulas.

depth research on the screening of work fluid pairs is conducted covering 24 fluids [\[41](#page-14-0)–45] for HP and 21 fluids [46–[48\]](#page-14-0) for ORC in total shown in Table 2.

#### *2.1. Thermo-economic model*

For the investigated TI-PTES system, waste heat and low-cost electricity are energy inputs, and the generated electricity is the energy output transmitted back to the grid. As the core indicator of the energy storage system, the round-trip efficiency  $(\eta_{\text{rt}})$  could be calculated by:

$$
\eta_{\rm rt} = \frac{W_{\rm gen}}{W_{\rm comp}} = \frac{Q_{\rm sto}}{W_{\rm comp}} \times \eta_{\rm sto} \times \frac{W_{\rm gen}}{\eta_{\rm sto} Q_{\rm sto}} = COP \times \eta_{\rm sto} \times \eta_{\rm orc}
$$
(1)

where *W*gen, *W*comp are the power generated by the ORC and the power consumed by the compressor of the HP respectively.  $Q_{\text{sto}}$  denotes the heat transfer rate of the storage tank in the charging process and *η*sto represents the storage efficiency. *COP* is the coefficient of performance of the HP and *η*orc is the thermal efficiency of the ORC. As illustrated in Eq. (1), to obtain a higher value of the  $\eta_{\text{rt}}$ , it is beneficial to improve the *COP*, *η*orc, or *η*sto as much as possible. In this paper, the value of *η*sto is considered to be 1 as listed in Table 1 for simplicity. Besides the thermodynamic first efficiency, the second efficiency is proposed to evaluate the energy conversion extent. The Lorenz efficiency [[36\]](#page-14-0) of HP and exergy efficiency [\[49](#page-14-0)] of ORC could be calculated respectively by:

$$
\eta_{\text{Lor}} = \text{COP}/\text{COP}_{\text{Lor}} = \text{COP}/\left[\overline{T}_{\text{sink,hp}}/\left(\overline{T}_{\text{sink,hp}} - \overline{T}_{\text{source,hp}}\right)\right]
$$
(2)

$$
\eta_{\rm e,orc} = W_{\rm gen} / \left[ \eta_{\rm sto} Q_{\rm sto} \left( 1 - T_{\rm amb} / T_{\rm ave,orc} \right) \right]
$$
 (3)

where  $COP_{\text{Lor}}$  is the maximum obtainable  $COP$  of a Lorenz cycle calculated from the thermodynamic average temperatures of the heat source  $\overline{T}_{\text{source,hp}}$  and heat sink  $\overline{T}_{\text{sink,hp}}$ . The  $T_{\text{ave,orc}}$  represents the average temperature of the heat source of ORC which can be calculated as follows:

$$
T_{\text{ave,orc}} = (T_{\text{in,hs}} - T_{\text{out,hs}})/\text{ln} (T_{\text{in,hs}}/T_{\text{out,hs}})
$$
(4)

In addition to the thermodynamic performance evaluation, economic performance assessment is also an important aspect for estimating the TI-PTES system's application potential, which has been involved extensively in former studies  $[5,6,14]$  $[5,6,14]$  $[5,6,14]$ . In this paper, the levelized specific cost of heat  $c_h$  and the payback time  $PBT_{hn}$  [\[36](#page-14-0)] are utilized as the HP system economic performance indicators. Similarly, the electricity production cost *EPC*orc [\[50](#page-14-0)] and the payback time *PBT*orc [\[51](#page-14-0)] are selected for the ORC system. Corresponding definitions are introduced and detailed as follows.

The levelized specific cost of heat *c*h and payback time *PBT*hp are selected as the HP system economic indicators. For the purpose of cost



<sup>a</sup> Purchased equipment cost.<br><sup>b</sup> Annual cash flow. c Operation and Maintenance cost, which is ignored in this paper.

Cost formulas of the ORC components.

Component	Carbon steel material	Basic material	Actual cost,
	purchasing cost, $C_n$	cost, C <sub>RM</sub>	$C_{BM,2020}$
Heat exchangers Pumps or turbine	$logC_p = K_1 + K_2 log_{10}(A)$ + $K_3$ [log <sub>10</sub> (A)] <sup>2</sup> $logC_p = K_1 + K_2 log_{10}(W)$ + $K_3$ [log <sub>10</sub> (W)] <sup>2</sup>	$C_{BM} = C_{D} (B_{1} +$ $B_2F_{\rm m}F_{\rm p}$	$C_{BM,2020} =$ $C_{BM,2001}$ . CEPCI <sub>2020</sub> CEPCI <sub>2001</sub>



**Fig. 2.** The double-layer optimization strategy workflow.

analysis, the total capital investment *TCI* mainly includes the following parts: the purchased equipment, assembly, installation, start-up, and other related expenses. Considering the complexity of calculating the *TCI*, a simplified definition is utilized as follows:

$$
TCI = f_{TCI} \times PEC_{\text{total}} \tag{5}
$$

where  $f_{\text{TCI}}$  is a factor to account for all the expenses and is assumed to be 4 [\[36](#page-14-0)]; *PEC*total represents the main purchased equipment cost consisting of the expenses of the compressor and the heat exchangers, detailed expressions are listed in [Table 3.](#page-5-0)

Besides the one-time investments, the annual cash flows covering the incomes and expenses are considered from 5 aspects: the income from the heat supply and the expenses from the total capital investment, the operation and maintenance, the heat source energy consumption, and the electricity consumption, which are detailed in [Table 3.](#page-5-0) And given the abovementioned expenses, the levelized specific cost of heat *c*h and payback time *PBT*hp then can be calculated and obtained respectively for economic analysis.

The electricity production cost *EPC*orc and the payback time *PBT*orc are selected as the ORC system economic indicators. The total purchase cost (C<sub>total</sub>) comprises the costs associated with the evaporator, turbine,

condenser, and working fluid pump. It is roughly estimated by:

$$
C_{\text{total}} = C_{\text{BM,eva}} + C_{\text{BM,turb}} + C_{\text{BM,cond}} + C_{\text{BM,pump}} \tag{6}
$$

where the cost expressions of the abovementioned 4 main components are given in Table 4.

Thus, the *EPC*<sub>orc</sub> is defined below:

$$
EPC_{\text{orc}} = \frac{C_{\text{total}}CRF + COM}{t_{\text{op}}W_{\text{net}}}
$$
 (7)

where *CRF* is the capital recovery factor set to 8.02%, *COM* refers to the system operation cost defined as 1.5% of the total system purchase cost, *t*op represents the system annual operating time set to 8000 h in this study.

The payback time *PBT*<sub>orc</sub> is calculated as follows:

$$
\textit{PBT}_{\text{orc}} = \, -\frac{\textit{ln}\big(1 - i_{\text{eff}} C_{\text{total}}/C_{\text{n0}}\big)}{\textit{ln}\big(1 + i_{\text{eff}}\big)}
$$

 $C_{n0} = c_{el}W_{gen}t_{op} - f_{mo}C_{total}$ 

where  $i_{\text{eff}}$  is the effective interest rate with a value of 5% and  $f_{\text{mo}}$  is the maintenance and operation cost factor with a value of 1.5% [[51](#page-14-0)].  $c_{el}$  is the electricity price set as 0.10  $\epsilon$ /kWh [[36\]](#page-14-0).

#### *2.2. Optimization methods*

It is well known that there is a contradiction between the thermodynamic performance and the economic performance in the TI-PTES system. Therefore, four-objective optimization considering the thermodynamic and economic performance is conducted in this study to evaluate the application potential for each working fluid candidate in HP or ORC. As the initial boundary condition, the pre-assigned system parameters listed in [Table 1](#page-5-0) remain constant during all the optimization procedures. Evaporation and condensation pressures are decision variables optimized to maximize thermodynamic efficiencies and minimize economic performance indicators of HP or ORC, as illustrated below:

$$
\begin{cases}\n\max/\min\{y_1(X), y_2(X), y_3(X), y_4(X)\}, X \in [lb, ub] \\
y_1(X) = \text{COPor}\eta_{\text{occ}} \\
y_2(X) = \eta_{\text{Lor}} \text{OT}/\rho_{\text{c,occ}} \\
y_3(X) = c_{\text{h}} \text{OT} \text{EPC}_{\text{orc}} \\
y_4(X) = \text{PBT}_{\text{hp}} \text{OT} \text{PBT}_{\text{rec}}\n\end{cases}
$$
\n(8)

where *X* represents the decision variables of HP or ORC, *lb* and *ub*  represent the related variation lower and upper bounds of decision variables, which are defined according to the range of specific supercritical temperature of each sort of working fluid.

In this study, the four-objective optimization is conducted by the Non-dominated Sorting Genetic Algorithm (NSGA-II). The population and maximum generation are set as 50 and 300 respectively according to Ref. [[17\]](#page-14-0). In order to select a proper representation among the 50 points on the Pareto front, the TOPSIS (Technique for Order Preference by Similarity to an Ideal Solution) analysis method is adapted and a balanced weight is applied [[54\]](#page-14-0). Thus, an optimized working fluid composition would be obtained through the first-level optimization process as illustrated in Fig. 2. In this paper, the four-objective optimization is arranged as the precondition of the user-defined single-objective working fluid screening process which further focuses on screening fluids under the designer's trade-off in the second level optimization procedure. By varying the weighting factors among the 4 thermoeconomic indicators, the fluid candidates would be ranked and screened under various emphasis extents on the thermodynamic or economic aspects in the second-level optimization process. And the userdefined single-objective functions will be defined and detailed in the subsequent section 3.2.

<span id="page-7-0"></span>**Table 5**  Results of model validation.

Parameters	References [36, 49]	Present work	Relative error
ORC:			
$m$ (kg/s)	2.810	2.8096	0.014%
$Q_e$ (kJ/s)	690.254	690.2588	0.0007%
$W_t$ (kW)	85.342	85.32	0.026%
$W_{\text{net}}$ (kW)	80.986	80.89	0.119%
$\eta_{\rm t}$ (%)	11.73	11.72	0.085%
HP:			
$p_{\text{evap}}$ (bar)	4.6	4.6	$\Omega$
$p_{\text{cond}}$ (bar)	18.5	18.5	$\Omega$
$COP(-)$	4.4	4.438	0.86%
PBT (years)	4.9	4.928	0.57%
$c_h$ ( $\epsilon/MWh$ )	32.2	32.165	0.11%

Performance indicators for selected fluids of HP.

Medium	COP	$\eta_{\rm Lor}$ $\%$	c <sub>h</sub> E/MWh	$PBT_{\text{ho}}$ years
R717	5.20	39.80	28.12	5.34
Dimethyl ether	5.08	38.89	36.64	10.40
Hexane	5.00	38.28	25.29	3.30
R601	4.99	38.19	24.14	2.56
$R1224\text{vd}(Z)$	4.74	36.28	25.94	3.19
R1234ze(E)	4.67	35.73	90.10	46.07
R600a	4.56	34.87	35.94	9.66
R600	4.55	34.85	26.67	3.25
R1243zf	4.51	34.52	136.60	80.00
R1336mzz(Z)	4.40	33.66	25.95	2.31
Heptane	4.28	32.74	32.75	7.03
R1233zd(E)	4.00	30.56	28.46	2.84
Diethyl ether	3.84	29.40	30.74	4.20
R601a	3.60	27.53	30.55	2.81
R1234ze(Z)	3.43	26.29	31.29	2.49

#### *2.3. Model validation*

To validate the thermo-economic modeling of the HP and ORC respectively, the simulated data obtained in this paper has been compared with that published in former studies of Zühlsdorf et al. [\[36](#page-14-0)] and H. Xi et al. [[49\]](#page-14-0). The calculated results under the same boundary conditions with former studies are listed in Table 5. From the relative errors in predicting thermodynamic parameters between the former studies and the present work, it can be seen that both the ORC and HP are simulated with a relative error under 1%. Therefore, the accuracy of the models was validated to be satisfactory.

#### *2.4. Boundary conditions*

In this study, screening of working fluid pairs in TI-PTES is conducted for the industry low-grade waste heat recovery application situation which assumes the temperature and load of the heat source keep no change as listed in [Table 1](#page-5-0). The temperature range of industry waste heat covers mostly from 353.15 K to 393.15 K such as 360.85 K [\[37](#page-14-0)], 363.15 K [[38\]](#page-14-0), 368.15 K [\[39](#page-14-0)], 373.15 K [[30\]](#page-14-0), and 393.15 K [[40\]](#page-14-0) published in former studies. Hence, the inlet temperature of waste heat is initially supposed to be 373.15 K for a typical analysis. Screening suitable working fluid pairs is focused and the performance comparison is addressed among various fluid candidates. Hence, the actual energy storage duration is not considered rather the heat source load for both HP and ORC is assumed to be 3 MW in this study.

To investigate the influence of the heat storage temperature  $T_{\text{sto}}$ , 7 levels of the *T*sto are set from 393.15 K to 423.15 K with an increment of 5 K. In addition, the temperature level of the cold tank as shown in [Fig. 1](#page-4-0)  is fixed at 373.15 K no matter which level the hot tank temperature reaches. The study is carried out to explore the capability of the potential working fluid candidates for the TI-PTES system to strengthen its





thermo-economic performance, and then offer a referential recommendation for working fluid pair selection under the designer's technical and economic consideration and trade-off.

#### **3. Results and discussion**

#### *3.1. Influence of fluid selection strategy and storage temperature in ηrt*

Firstly, under a fixed energy storage temperature of 408.15 K, three types of working fluid combination strategies are compared, which are the single pure fluid strategy (SPFS), different pure fluids strategy (DPFS), and different fluids strategy (DFS) considering zeotropic fluids. The top 15 sorts of pure fluids in HP and ORC are listed in Table 6 and Table 7 according to the highest thermodynamic first efficiency. For the highest round-trip efficiency utilizing the SPFS and DPFS strategies, a ranking of 8 sorts of fluid combinations is displayed in Fig. 3.

It can be seen that the highest *η*rt achieved by SPFS is 46.7% utilizing R600 and the highest *η*rt achieved by DPFS is 54.8% applying the combination of R717 and R1234ze(Z). Hence based on SPFS, the improvement of the highest  $\eta_{\text{rt}}$  is 17.4% through DPFS which is displayed as the difference in performance in Fig. 3. From the remaining



**Fig. 3.** Eight combinations with the highest round-trip efficiency by SPFS and DPFS.

<span id="page-8-0"></span>

**Fig. 4.** Screening results of HP.



**Fig. 5.** Screening results of ORC.

rear 7 groups of combination strategy comparisons, the decreasing trend of *η*rt achieved by DPFS is slower than that achieved by SPFS, which leads to the large difference in performance in the last 3 groups of fluid combinations. This is because the properties of the same kind of working fluid may not be always proper for both HP and ORC at the same time. As listed in [Table 6](#page-7-0) and [Table 7,](#page-7-0) even though R1234ze(Z) ranks at the top of ORC fluid candidates it is the worst among the top 15 fluids for HP. A similar condition happens to R1233zd(E) and R1224yd(Z) as shown in [Fig. 3](#page-7-0). Hence, DPFS could make more use of the potential of pure working fluids than traditional SPFS by selecting more suitable working fluids for HP and ORC in a certain range of working fluid candidates.

In order to investigate the effect of another remaining strategy DFS, part relatively superior screening results in scatter figure form of HP and ORC containing the zeotropic fluids are displayed in Fig. 4 and Fig. 5 respectively with only the maximum results marked. And as the supplements for results displayed in Fig. 4 and Fig. 5, the top ten screening results (including strategies SPFS and DFS) for HP and ORC are detailed in Table 8 and Table 9 respectively. It is convenient to compare the maximum *COP* of HP through pure fluids or zeotropic fluids in Fig. 4. On the basis of the application of pure fluid which achieves  $COP = 5.20$  by R717, the maximum *COP* is increased to 6.72 by the zeotropic fluid

**Table 8** 













**Fig. 6.** Screening results under different storage temperatures.

<span id="page-9-0"></span>

**Fig. 7.** Efficiencies of Butane under different storage temperatures.

composition of 0.4Dimethyl ether\_0.6Isopentane. As for the screening results of ORC in [Fig. 5,](#page-8-0) the maximum *η*orc of 0.1054 is realized by pure fluid R1233ze(Z) and that of 0.1099 is achieved by zeotropic fluid composition of 0.4R152a\_0.6R1233ze(Z). As a result, under a fixed energy storage temperature of 408.15 K, the highest round-trip efficiency by DFS reaches 73.9% which is a great enhancement upon the other two strategies. Based on SPFS, the improvement of the highest *η*rt is 58.2% through DFS from 46.7% to 73.9%.

As previously mentioned, the influence of working fluid selection strategies in round-trip efficiency is discussed under a fixed energy storage temperature. To further investigate the effect of energy storage temperatures on the efficiency of TI-PTES, optimal screening results under 7 predefined storage temperatures are displayed in [Fig. 6](#page-8-0). In terms of the overall variation trend of efficiency, with the increase of storage temperature the round-trip efficiency would gradually drop no matter under which fluid selection strategy. However, the decrease trend is not strictly monotonical such as the efficiencies under 393.15 K and 398.15 K through DFS are 100.29% and 101.29%. This is due to the realistic performance behaviors of different working fluid pairs in TI-PTES being optimized under different storage temperatures and the influencing factors include both the storage temperature and the type of the working fluid. What is certain is that no matter under which storage temperature, the efficiency decreases under fluid selection strategies DFS, DPFS, and SPFS in sequence. It is recommended to utilize zeotropic working fluids under relatively low storage temperatures for round-trip efficiency enhancement.

Furthermore, an investigation about the effect of energy storage temperature on the round-trip efficiency is carried out. The pure fluid butane which shows the best performance under SPFS in [Fig. 6](#page-8-0) is chosen to eliminate the influence of working fluid type in TI-PTES. As shown in Fig. 7, with the increase of storage temperature, the variation trend of round-trip efficiency is decreasing except from 403.15 K to 408.15 K. Referring to Eq.  $(1)$ , this is because of the enhancement effect by the increment of *η*orc is slightly stronger than the weakening effect by the

decrease of *COP* from 403.15 K to 408.15 K. In general, the fluctuation of *η*orc is not significant which doesn't agree well with former studies [[10,55](#page-14-0)]. Ideally, the  $\eta_{\text{orc}}$  would increase as the storage temperature gets higher. However, the TOPSIS process is adopted in this paper to pick the representative working parameters with balanced thermos-economic performance while evaluating the working fluid, which leads to the irregular variation of *η*orc. On the other hand, even if under the influence of the TOPSIS process, the decreasing trend of the *COP* is still very clear as shown in Fig. 7, which dominates the general dropping variation pattern of round-trip efficiency. Hence, a higher round-trip efficiency is mainly achieved through a relatively low storage temperature offering a high *COP* in the charging process. A similar statement that the roundtrip efficiency  $\eta_{\text{rt}}$  is primarily influenced by the *COP* has also been mentioned recently in the research by Qiao et al. [[24\]](#page-14-0).

#### *3.2. Two-layer optimization of the working fluid pair selection*

As described above, the influence factors of round-trip efficiency are discussed regardless of other performance parameters. To evaluate the integrated performance of TI-PTES, other thermodynamic and economic indicators are considered meanwhile here. In this paper, a two-layer



**Fig. 9.** Screening results of HP under different weighting factors.



**Fig. 10.** Screening results of ORC under different weighting factors.



**Fig. 8.** Two-layer optimization methodology flow of working fluid pair selection.

<span id="page-10-0"></span>

**Fig. 11.** Pure working fluid pairs recommendations.

fluid pair selection workflow is proposed as shown in [Fig. 8](#page-9-0). In the first layer, the multi-objective optimization is conducted to get the representation of a specific fluid composition's integrated performance based on NSGA-II algorithm and TOPSIS analysis method. Then, in the second layer, a user-defined evaluation function is proposed similar to Ref. [\[27](#page-14-0)]. Through normalized mathematical treatment, single-objective optimization functions are defined for HP and ORC respectively as expressed below. By utilizing a group of weighting factors of which the sum is 1, the performance indicators of each working fluid pair are normalized to a dimensionless value no *>*1. This dimensionless indicator named *Score*  represents the degree of closeness between the actual performance of a certain working fluid pair and the ideal optimal performance under a specific group of weighting factors. Obviously, the range of the *Score* is between 0 and 1 and the higher the *Score* a fluid pair gets, the more suitable it is for the TI-PTES system.

$$
Score_{\text{hp}} = w_1 \frac{COP}{COP_{\text{max}}} + w_2 \frac{\eta_{\text{Lor}}}{\eta_{\text{Lor},\text{max}}} + w_3 \frac{c_{\text{h},\text{min}}}{c_{\text{h}}} + w_4 \frac{PBT_{\text{hp},\text{min}}}{PBT_{\text{hp}}}
$$
(9)

$$
Score_{\text{orc}} = w_1 \frac{\eta_{\text{orc}}}{\eta_{\text{orc,max}}} + w_2 \frac{\eta_{\text{e,occ}}}{\eta_{\text{e,occ,max}}} + w_3 \frac{EPC_{\text{orc,min}}}{EPC_{\text{orc}}} + w_4 \frac{PBT_{\text{orc,min}}}{PBT_{\text{orc}}}
$$
(10)

where the subscript max or min means the maximum or minimum value of the performance indicators such as those listed in [Table 6](#page-7-0) and [Table 7](#page-7-0). After the first layer optimization and analysis focusing specifically on *η*rt has been discussed, in this part the investigation of designing trade-off between 4 thermodynamic and economic performance indicators is performed by ranking the score of normalized single-objective optimization function.

In order to compare the performance differences among the screened working fluids under different thermo-economic designing trade-offs, three groups of weighting factors are utilized similar to Ref. [\[27](#page-14-0)]. The first group  $W_1$  regards the four objective functions equally with a balanced weighting factor [0.25, 0.25, 0.25, 0.25]<sup>T</sup>. Paying more attention on the thermodynamic performance aspect, the second group  $W<sub>2</sub>$  is assigned the weighting factors among the four objective functions of  $[0.45, 0.45, 0.05, 0.05]^T$ . Similarly, emphasizing the economic performance aspect, the third group  $W_3$  is assigned the weighting factors of  $[0.05, 0.05, 0.45, 0.45]$ . The screening results of HP and ORC under different thermo-economic performance weighting factors are shown in [Fig. 9](#page-9-0) and [Fig. 10.](#page-9-0)

The corresponding normalized objective function value of each kind of fluid is applied as the ranking reference, thus the optimal recommended working fluids with different design weights are obtained respectively. As exhibited in [Fig. 9,](#page-9-0) the optimal fluids under design weights  $W_1$ ,  $W_2$ , and W3 are Pentane, R717 and R1336mzz(Z) respectively. Through the comparison of thermodynamic performance indicators among three design weights, it is obvious that the variation pattern of *COP* and *η*Lor agrees well with the adjustment of weighting factors. On the basis of balanced weighting factors  $W_1$ , the emphasis on the thermodynamic performance by  $W_2$  or weakening it by  $W_3$  causes the increase or decrease of *COP* and *η*Lor respectively. A similar trend is observed for the economic performance indicators between  $W_1$  and  $W_2$ . However, compared with Pentane under W<sub>1</sub>, the c<sub>h</sub> of R1336mzz(Z) under W<sub>3</sub> is slightly higher, which means worse economic performance. With the authors' careful verification, it is found that under the weighting factors  $W_3$ , the normalized single-objective optimization

<span id="page-11-0"></span>

**Fig. 12.** Zeotropic working fluid pairs recommendations.

function scores obtained by R1336mzz(Z) and Pentane are very close to each other, which means Pentane also behaves excellent under the designing weighting factors  $W_3$  as the second optimal fluid.

Similar to the discussion about screening results of HP above, a more regular variation pattern is found concerning fluid screening of ORC. As exhibited in [Fig. 10,](#page-9-0) the optimal fluids under design weighting factors  $W_1$ ,  $W_2$ , and  $W_3$  are R123, R1233zd(E) and R1336mzz(Z) respectively. With the emphasis on thermodynamic or economic performance, corresponding indicators of optimal fluids vary convincingly as expected. As a result, R1233zd(E) achieves excellent thermodynamic performance, and outstanding economic performance is gained by R1336mzz (Z). Therefore, optimal working fluids can be screened with different thermo-economic trade-offs through the adjustment of corresponding designing weighting factors.

*3.3. Working fluid pair selection recommendations under various energy storage temperatures* 

On the basis of the two-layer screening method, working fluid pair selection recommendations are provided in this paper. Under 7 energy storage temperatures and 3 groups of designing weighting factors, working fluid pairs recommendations of pure and zeotropic types are illustrated in [Fig. 11](#page-10-0) and Fig. 12. As shown in [Fig. 11,](#page-10-0) the most recommended pure fluid pair is placed approaching the label of each storage temperature, and it is in the dark red in the picture. In other words, the pure working fluid pair painted in a duller color type refers to a subsequent recommendation. Hence, at storage temperature 408.15 K, the recommended pure working fluid pairs listed in order of balanced thermo-economic performance are [Pentane-R123], [Pentane-R1336mzz(Z)], [R1336mzz(*Z*)-R123], and [R1336mzz(Z)-R1336mzz (Z)] respectively. The former pure fluid of each pair refers to the

<span id="page-12-0"></span>Environmental and safety properties of working fluid candidates.



#### **Table 11**

Comprehensive practical screening results under weighting factor W1.

Strategy	$T_{\rm sto}$ / K	Optimal working fluid pair	Suboptimal working fluid pair
<b>DPFS</b>	393.15 398.15 403.15	R1233zd(E) - R1336mzz(Z) R1233zd(E) - R1336mzz(Z) R1234ze(Z) - R1233zd(E)	R1224yd(Z) - R1233zd(E) R1224yd(Z) - R152a R1233zd(E) - R1336mzz(Z)
	408.15	R1336mzz(Z) - R1336mzz (Z)	R1233zd(E) - R1233zd(E)
	413.15	R1234ze(Z) - R1224yd(Z)	R1243zf - R1336mzz(Z)
	418.15	R1233zd(E) - R1336mzz(Z)	R1336mzz(Z) - R1243zf
	423.15	R1233zd(E) - R1234ze(E)	R1336mzz(Z) - R1243zf
<b>DFS</b>	393.15	0.6R1336mzz(Z) 0.4R134a - 0.4R152a 0.6R1233zd(E)	0.4R1234ze(E) $0.6R1336mzz(Z)$ - 0.4R152a 0.6R1224yd(Z)
	398.15	0.3R1243zf 0.7R1336mzz (Z) - 0.4R152a 0.6R1233zd (E)	0.3R1234yf 0.7R1336mzz (Z) - 0.1R134a 0.9R1234ze (Z)
	403.15	0.2R1234yf 0.8R1336mzz (Z) - 0.1R152a_0.9R1234ze (Z)	0.4R1234yf 0.6R1336mzz (Z) - 0.2R152a 0.8R1234ze (Z)
	408.15	0.3R1234ze(E) $0.7R1336mzz(Z)$ - 0.3R152a 0.7R1234ze(Z)	0.7R1336mzz(Z) 0.3R134a - 0.1R152a 0.9R1234ze(Z)
	413.15	0.3R1234yf 0.7R1336mzz (Z) - 0.3R152a 0.7R1234ze (Z)	0.8R1336mzz(Z) 0.2R134a - 0.6R152a 0.4R1336mzz(Z)
	418.15	0.6R1336mzz(Z) 0.4R134a - 0.4R152a 0.6R1233zd(E)	0.5R1234ze(E) $0.5R1336mzz(Z)$ - 0.4R152a 0.6R1224yd(Z)
	423.15	0.4R1243zf 0.6R1336mzz (Z) - 0.3R152a 0.7R1234ze (Z)	0.7R1336mzz(Z) 0.3R134a - 0.5R152a 0.5R1224yd(Z)



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Comprehensive practical screening results under weighting factor W2.



#### **Table 13**

**Table 12** 

Comprehensive practical screening results under weighting factor W3.

Strategy	$T_{\rm sto}$ / K	Optimal working fluid pair	Suboptimal working fluid pair
<b>DPFS</b>	393.15 398.15 403.15 408.15 413.15 418.15 423.15	R1233zd(E) - R1336mzz(Z) R1233zd(E) - R1336mzz(Z) R1233zd(E) - R1233zd(E) R1336mzz(Z) - R1336mzz (Z) R1243zf - R1224yd(Z) R1336mzz(Z) - R1243zf R1233zd(E) - R1243zf	R1234ze(Z) - R1233zd(E) R1234ze(Z) - R152a R1234ze(Z) - R1243zf R1224yd(Z) - R1233zd(E) R1233zd(E) - R1336mzz(Z) R1233zd(E) - R1336mzz(Z) R1336mzz(Z) - R1234ze(E)
<b>DFS</b>	393.15	0.6R1336mzz(Z)_0.4R134a - 0.4R152a 0.6R1233zd(E)	0.4R1234ze(E) $0.6R1336mzz(Z)$ - 0.4R152a 0.6R1224yd(Z)
	398.15	0.3R1243zf 0.7R1336mzz (Z) - 0.4R152a 0.6R1233zd (E)	0.3R1234yf 0.7R1336mzz (Z) - 0.1R134a 0.9R1234ze (Z)
	403.15	0.4R1234yf 0.6R1336mzz (Z) - 0.1R152a 0.9R1234ze (Z)	0.2R1234yf 0.8R1336mzz (Z) - 0.2R152a 0.8R1234ze (Z)
	408.15	0.3R1234ze(E) $0.7R1336mzz(Z)$ - 0.3R152a 0.7R1234ze(Z)	0.2R1243zf_0.8R1336mzz (Z) - 0.1R152a 0.9R1234ze (Z)
	413.15	0.3R1234yf_0.7R1336mzz (Z) - 0.3R152a 0.7R1234ze (Z)	0.8R1336mzz(Z) 0.2R134a - 0.6R152a 0.4R1336mzz(Z)
	418.15	0.6R1336mzz(Z) 0.4R134a - 0.4R152a 0.6R1233zd(E)	0.5R1234ze(E) $0.5R1336mzz(Z)$ - 0.4R152a 0.6R1224yd(Z)
	423.15	0.4R1243zf 0.6R1336mzz (Z) - 0.3R152a 0.7R1234ze (Z)	0.7R1336mzz(Z) 0.3R134a - 0.5R152a 0.5R1224yd(Z)

working fluid utilized in HP and the latter one is adopted in ORC. With the change in  $T_{\rm sto}$ , the screening results vary at the same time to form a better match between working fluids and working conditions.

The pure fluid pair [Butane-Butane] shows the optimum balanced performance at a storage temperature of 423.15 K, which means under

the second layer single-object evaluation criteria, the strategy DPFS is not always prior to SPFS. By comparing the screening results among different designing weighting factors, there is a huge difference between  $W_1$  and  $W_2$  and the screened results have little in common. On the other hand, the outputs under  $W_1$  and  $W_3$  are similar to each other to some <span id="page-13-0"></span>extent. Therefore, with different designing trade-off plans the final screened pure working fluid pairs could be very distinctive.

As recommended above, all the working fluid pairs are screened and ranked according to their thermo-economic performance. However, from the perspective of realistic utilizations in different daily energy storage scenarios (such as the steelworks, chemical plants, thermal power plants and so on), the environmental friendliness, toxicity, and flammability aspects of the working fluids must be taken into consideration. Hence, the ODP (Ozone Depletion Potential) value, GWP (Global Warming Potential) value, and SG (safety grade) of each working fluid candidate have been summarized and listed in [Table 10](#page-12-0)  for further screening of working fluid pairs [\[41](#page-14-0)–48].

The subsequent consideration entails the comprehensive evaluation of flammability, toxicity, and environmental compatibility parameters. In this context, fluids exhibiting pronounced flammability or toxicity are categorically deemed unsuitable. By leveraging established knowledge pertaining to the flammability characteristics of specific fluid categories (e.g., alkynes, alkenes, alkanes) and/or toxicity (conforming to ASHRAE Standard 34 classifications B1 and B2), the initial potential fluids can be systematically screened, resulting in a refined selection on the basis of preliminary results listed in [Fig. 11.](#page-10-0) And the best and second comprehensive practical working fluid pairs are listed in [Table 11, Table 12,](#page-12-0) and [Table 13](#page-12-0), which are categorized by various heat storage temperatures.

By a similar way of listing pure working fluid pairs, recommendations of zeotropic working fluid pairs are displayed in [Fig. 12.](#page-11-0) It can be observed that the fluid composition DEE more or less exists in the HP zeotropic working fluid pairs under the different storage temperatures which agrees well with former study  $[36,56]$  $[36,56]$ . However, DEE has many drawbacks such as flammability, explosive properties and toxicity. Therefore the recommendations of zeotropic working fluid pairs may need to be screened twice if the safety and environmental protection aspects are considered. Other compositions of zeotropic fluid pairs give a relatively good environmentally friendly solution. Similar to the consideration for comprehensive practical screening of pure working fluid pairs, the practical recommendations of zeotropic working fluid pairs are also given in [Table 11](#page-12-0), [Table 12](#page-12-0), and [Table 13](#page-12-0). All the given recommendations are derived under a special thermodynamic boundary condition and proper adjustment should be made for the realistic utilization.

#### **4. Conclusions**

With the increasing demand for energy saving, emission reduction and cost reduction, the Thermally Integrated Pumped Thermal Electricity Storage system has been considered a promising electricity storage method for recovering waste heat and shifting electricity costs from on-peak periods to off-peak periods. The system's performance hinges critically on the selection of working fluid pairs and the energy storage temperature. A dual-layer optimization strategy for selecting suitable working fluid pairs has been established. Key findings include:

- (1) Three types of fluid pair combination strategies were compared at a fixed energy storage temperature of 408.15 K. The strategy of utilizing different pure fluids for the heat pump and organic Rankine cycles outperformed the single pure fluid strategy, enhancing the highest round-trip efficiency by 17.4%. The adoption of zeotropic fluids further increased thermodynamic efficiency, achieving a 58.2% improvement in the highest roundtrip efficiency.
- (2) The influence of energy storage temperature in round-trip efficiency was investigated under 7 temperatures from 393.15 K to 423.15 K with equal intervals of 5 K. It was found that no matter under which storage temperature, the round-trip efficiency of TI-PTES decreased under fluid selection strategies DFS, DPFS, and SPFS in sequence. The optimum round-trip efficiency of 101.29% was achieved at 398.15 K, mainly influenced by the enhanced

coefficient of performance (COP) in heat pump at a lower storage temperature.

- (3) The two-layer optimization approach facilitated a thorough thermo-economic performance evaluation under various weighting factors. Prioritizing either thermodynamic or economic factors proved crucial for effectively screening working fluids, allowing for targeted trade-offs in design considerations.
- (4) Through varying energy storage temperature and designing weighting factors, optimal working fluid pair recommendations including pure fluids and zeotropic ones were proposed to give a full-scale solution to the fluid selection of TI-PTES. Variations in storage temperatures and weighting factors influenced the screening outcomes, ensuring an optimal match between working fluids and working conditions.

#### **CRediT authorship contribution statement**

**Ding Wu:** Writing – original draft, Methodology, Formal analysis, Conceptualization. **Bo Ma:** Conceptualization, Methodology, Supervision, Writing – review & editing. **Ji Zhang:** Writing – review & editing, Supervision, Methodology, Funding acquisition, Formal analysis, Conceptualization. **Yanqi Chen:** Writing – review & editing, Formal analysis. **Feifan Shen:** Writing – review & editing, Formal analysis. **Xun Chen:** Writing – review & editing, Formal analysis. **Chuang Wen:**  Writing – review  $&$  editing, Supervision, Methodology, Formal analysis, Conceptualization. **Yan Yang:** Conceptualization, Formal analysis, Investigation, Methodology, Supervision, Writing – review & editing.

#### **Declaration of competing interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

#### **Data availability**

Data will be made available on request.

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