

# Trends in Austral jet position in ensembles of high- and low-top CMIP5 models

Article

Accepted Version

Wilcox, L. J. ORCID: https://orcid.org/0000-0001-5691-1493, Charlton-Perez, A. J. ORCID: https://orcid.org/0000-0001-8179-6220 and Gray, L. J. (2012) Trends in Austral jet position in ensembles of high- and low-top CMIP5 models. Journal of Geophysical Research - Atmospheres, 117. D13115. ISSN 0148-0227 doi: 10.1029/2012JD017597 Available at https://centaur.reading.ac.uk/28494/

It is advisable to refer to the publisher's version if you intend to cite from the work. See <u>Guidance on citing</u>. Published version at: http://www.agu.org/pubs/crossref/2012/2012JD017597.shtml To link to this article DOI: http://dx.doi.org/10.1029/2012JD017597

Publisher: American Geophysical Union

All outputs in CentAUR are protected by Intellectual Property Rights law, including copyright law. Copyright and IPR is retained by the creators or other copyright holders. Terms and conditions for use of this material are defined in the <u>End User Agreement</u>.

www.reading.ac.uk/centaur



## CentAUR

## Central Archive at the University of Reading

Reading's research outputs online

# 1

2

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

# Trends in Austral jet position in ensembles of high- and low-top CMIP5 models

L. J. Wilcox, A. J. Charlton-Perez, and L. J. Gray

#### Abstract

Trends in the position of the DJF Austral jet have been analysed for multi-model ensemble simulations of a subset of high- and low-top models for the periods 1960-2000, 2000-2050, and 2050-2098 under the CMIP5 historical, RCP4.5, and RCP8.5 scenarios. Comparison with ERA-Interim, CFSR and the NCEP/NCAR reanalysis shows that the DJF and annual mean jet positions in CMIP5 models are equatorward of reanalyses for the 1979-2006 mean. Under the RCP8.5 scenario, the mean jet position in the high-top models moves 3 degrees poleward of its 1860-1900 position by 2098, compared to just over 2 degrees for the low-top models.

Changes in jet position are linked to changes in the meridional temperature gradient. Compared to low-top models, the high-top models predict greater warming in the tropical upper troposphere due to increased greenhouse gases for all periods considered: up to 0.28 K/decade more in the period 2050-2098 under the RCP8.5 scenario. Larger polar lowerstratospheric cooling is seen in high-top models: -1.64 K/decade compared to -1.40 K/decade in the period 1960-2000, mainly in response to ozone depletion, and -0.41 K/decade compared to -0.12 K/decade in the period 2050-2098, mainly in response to increases in greenhouse gases.

Analysis suggests that there may be a linear relationship between the trend in jet position and meridional temperature gradient, even under strong forcing. There were no clear indications of an approach to a geometric limit on the absolute magnitude of the poleward shift by 2100.

#### <sup>27</sup> 1 Introduction

The recent poleward shift of the extratropical Austral jet is well established. The shift in the surface westerlies is typically described as a trend in the Southern Annular Mode (SAM) towards its positive phase. Such trends are seen in radiosonde observations (Marshall, 2003). Poleward shifts are also seen in the subtropical jet in observations (Hudson (2011), using ozone measurements; Fu and Lin (2011) using MSU data) and reanalyses (Archer and Caldeira, 2008), indicating an expansion of the tropical belt (Seidel et al., 2008).

The change in the position of the jet in the last three decades has been shown to be a response to the concomitant forcing from decreasing stratospheric ozone and increasing greenhouse gases (GHGs), with models unable to reproduce the

shift without a representation of stratospheric ozone depletion (Son et al., 2008). 38 Model studies, where responses to increasing GHGs and changes in stratospheric 39 ozone can be analysed independently, have shown that the December to Febru-40 ary mean (DJF) circulation changes seen to date in the Southern Hemisphere 41 (SH) are driven primarily by stratospheric ozone depletion (Arblaster and Meehl 42 (2006); McLandress et al. (2011a); Polvani et al. (2011)). The primary role of 43 stratospheric ozone depletion in driving Austral jet trends in recent decades 44 suggests that a cancellation, or even reversal, of the poleward trends can be 45 expected in the near future as ozone concentrations recover. 46

In order to highlight the interaction between stratospheric ozone and GHG forcing on the Austral jet, this work focuses on the DJF circulation. Although the largest forcing from stratospheric ozone occurs from September to November, when the ozone hole is at its deepest, the largest tropospheric response is seen in DJF (Thompson and Solomon, 2002).

The fifth Coupled Model Intercomparison Project (CMIP5) provides a unique opportunity to compare the response of several models to the same future scenarios, including a consistent ozone forcing scenario (Cionni et al., 2011), which is used in all models that do not include interactive chemistry.

The CMIP5 set of models also includes a substantial number of 'high-top' models, which explicitly resolve the stratosphere. This facilitates the first multimodel comparison of models with and without a fully-resolved stratosphere. 'Low-top' models have been shown to have a cold bias in the upper stratosphere, and to underestimate variability in the lower stratosphere (Cordero and Forster, 2006).

#### 62 2 Data Sets

The CMIP5 models used in this study, and their classification, are shown in 63 Table 1. High-top models have been defined here as those with model tops 64 at pressures  $\leq 1$  hPa, or altitudes  $\geq 45$  km. All model simulations include a 65 representation of the major known climate forcings, including greenhouse gases, 66 ozone, tropospheric aerosol, volcanic aerosol, and solar variations. Observed 67 forcing is used in the historical period (1850-2005). In future scenarios, ozone is 68 derived from a multi-model ensemble of coupled-chemistry models (Cionni et al., 69 2011), which removes a degree of uncertainty compared to CMIP3. Greenhouse 70 gas future scenarios (2006-2100) follow the Representative Concentration Path-71 ways (RCP) 4.5 (Thomson et al., 2011), and RCP8.5 (Riahi et al., 2011). The 72 two pathways result in a global-mean radiative forcing of  $4.5 \text{ Wm}^{-2}$  and 8.573  $Wm^{-2}$  respectively by 2100, with RCP4.5 carbon dioxide emissions peaking 74 around 2040, and RCP8.5 emissions peaking in 2100. 75

Annual-mean global-mean GHG concentrations, and September-November 76 Antarctic mean (75-90°S) ozone concentration at 50 hPa, are shown in Figure 1. 77 Ozone concentration begins slowly to decrease in the early  $20^{th}$  century, with 78 a rapid decrease from 1970. The concentration has a minimum in 1997, and 79 then increases almost linearly until 2065, when the rate of increase begins to 80 slow. In RCP8.5 GHG concentrations increase almost exponentially through the 81  $21^{st}$  century, while in RCP4.5 they increase at a similar rate to recent decades, 82 before stabilising in the last decades of the  $21^{st}$  century. In this study, we define 83 three analysis periods, chosen to reflect the key features of these concentrations: 84 1960-2000 to capture ozone depletion; 2000-2050 to capture ozone recovery; and 85 2050-2100 as a period when there are large differences between RCP4.5 and 86 RCP8.5, and when GHG forcing is likely to dominate over stratospheric ozone, 87 which recovers to 1980 levels by 2070. Data availability for some models means 88 that trends for this GHG dominated period can only be evaluated for 2050-2098. 89 Trends in temperature and jet position are derived from monthly mean data. 90 Different numbers of ensemble members are available for each of the models. 91 Where multiple ensemble members are available for the historical, RCP4.5, and 92 RCP8.5 runs, trend estimates are derived by first calculating the ensemble mean 93 of the appropriate quantity; the ensemble mean is then used for that model. The 94 number of ensemble members used for each model is shown in Table 1. Where 95 multi-model means have been used, every model has been given equal weight. 96 The ERA-Interim (1979-present) (Dee et al., 2011), the NCEP/NCAR re-97

analysis (1948-present) (Kalnay et al., 1996), and the new, higher horizontal resolution, NCEP Climate Forecast System Reanalysis (CFSR) (Saha et al., 2010) were used to assess biases in the model data. Data analysis systems in the reanalyses have resolutions of T255 L60, T62 L28, and T382 L64 respectively, and were used in this work on  $512^{\circ} \times 256^{\circ} \times 37$  levels,  $144^{\circ} \times 73^{\circ} \times 17$  levels, and  $144^{\circ} \times 73^{\circ} \times 37$  levels.

#### <sup>104</sup> 3 Zonal-mean wind and temperature

To illustrate the typical climatology and trends in the zonal-mean zonal-wind 105 and temperature in CMIP5, distributions from the HadGEM2-ES model are 106 shown in Figure 2. The 1860-1900 climatology is overlaid with the linear trends 107 for the stratospheric ozone depletion period (1960-2000) (Figure 2a,b), ozone 108 recovery period (2000-2050) (Figure 2c,d), and well-mixed GHG dominated pe-109 riod (2050-2098) (Figure 2e,f). Trends from all other models (not shown) have 110 similar structures in the temperature and zonal-wind trends, and HadGEM2-ES 111 is used as an example only. As is clear from subsequent figures, the magnitude 112 of these trends can vary considerably between models, especially in the period 113 114 2000-2050.

Trends in zonal-mean temperature indicate a warming troposphere, with enhanced warming in the tropical upper troposphere, and generalised cooling in the stratosphere associated with well-mixed GHGs in all periods (Figure 2a,c,e). Stratospheric ozone depletion results in a strong cooling trend in the polar lower stratosphere, with a maximum at 150 hPa (Figure 2a), which is replicated in the majority of models (not shown). This region warms while ozone levels recover (Figure 2c).

In 1960-2000 (Figure 2b) and 2050-2098 (Figure 2f) the dipole structure in the extratropical tropospheric zonal-wind trends, with increasing westerlies on the poleward flank of the jet, and decreasing westerlies in alignment with and equatorward of the jet core, indicate a poleward shift of the jet. Positive zonalwind trends on the poleward side of the jet extend upwards through the depth of the stratosphere.

As stratospheric ozone recovers, from 2000-2050, there is localised warming in the polar lower stratosphere (Figure 2c). The warming over the pole is associated with negative zonal-wind trends in the same region (Figure 2d). These negative trends extend through the troposphere on the poleward side of the jet in HadGEM2-ES, indicating an equatorward movement of the jet in 2000-2050 (Figure 2d).

The reversal of the dipole in zonal-wind trends, and hence the reversal of 134 the direction of the migration of the jet (shown in Figure 2d for HadGEM2-135 ES), is also seen in INMCM4, GFDL-CM3, and MIROC-ESM-CHEM. In other 136 models a cancellation between stratospheric ozone and GHG forcing occurs, and 137 little trend is seen in the tropospheric zonal-winds (CNRM-CM5, MRI-CGCM3, 138 and HadGEM2-CC). The remainder of the models show a weakening of the 139 poleward trend in the jet compared to 1960-2000 in response to stratospheric 140 ozone recovery. Time series analyses show that in some individual models there 141 is a reversal of the poleward trend in the jet over shorter time periods in the 142 early  $21^{st}$  century, but that this is not always large enough or sustained enough 143 to result in a reversal of the 50-year trend like that seen in HadGEM2-ES in 144 Figure 2d. 145

The magnitudes of trends in both zonal-mean temperature and zonal-mean
 zonal-wind are typically larger in the high-top models than the low-top models.
 This is reflected in the ensemble mean regional temperature and jet position

trends (Figure 3). Figure 3 shows the high- and low-top ensemble mean DJF 149 mean tropical upper-tropospheric (250 hPa, 0-25°S) and polar lower-stratospheric 150 temperature (150 hPa, 75-90°S) under historical and RCP4.5, and historical and 151 RCP8.5, forcing. ERA-Interim values of the same quantities are also shown. En-152 hanced warming in the tropical upper-troposphere in high-top models compared 153 to low-top models can be seen, especially in RCP8.5 (solid lines in Figure 3 a), 154 with the difference between the two ensemble means increasing steadily with 155 time. Figure 3b shows that the polar stratosphere of the low-top models is 156 colder than the high-top models throughout the whole period. Both sets of 157 models have a cold temperature bias here, although this is much more pro-158 nounced in the low-top ensemble. A larger and more rapid cooling of the polar 159 lower-stratosphere in the stratospheric ozone depletion period in high-top mod-160 els compared to those with low-tops can also be seen. Cooling in this region is 161 also evident under RCP8.5 in the high-top mean from 2050, while there is al-162 most no change in the low-top temperature. This suggests a tendency for GHG 163 forcing to have more of a cooling influence in the stratosphere in the high-top 164 models. In the last decades of the  $21^{st}$  century, temperature changes under 165 RCP4.5 in both regions level off, following GHG concentrations. The contrast 166 between the temperature changes in RCP4.5 and RCP8.5 demonstrate that the 167 temperature change under RCP8.5 is due primarily to GHG increases. 168

#### <sup>169</sup> 4 Trends in jet position

Figure 2 showed trends in both the extratropical and subtropical components of 170 the Austral jet. In this study the focus is on the extratropical jet, defined here 171 as the first local maximum in zonal-mean zonal-wind at 500 hPa equatorward 172 of  $65^{\circ}$ S where the zonal-mean wind speed is greater than 10 m s<sup>-1</sup>. Data are 173 provided on a range of horizontal grids (Table 1). To locate the jet, zonal-174 mean monthly-mean data are first linearly interpolated onto a  $0.5^{\circ}$  latitude 175 grid. Local maxima are then identified using the first derivative of zonal-mean 176 wind with respect to latitude. On the rare occasions when no local maxima can 177 be identified between  $65^{\circ}S$  and  $25^{\circ}S$ , jet position is defined as the position of 178 the minimum in the second derivative of zonal-mean monthly-mean zonal-wind 179 within this latitude range. 180

Figure 3b shows that the mean position of the jet is more equatorward in 181 the high-top models, compared to the low-top models. The high-top jet moves 182 poleward more rapidly, especially under RCP8.5, and the difference between 183 the position of the high- and low-top jets decreases with time. A decrease in 184 the rate of change in the position of the jet is seen in both ensemble means 185 and forcing scenarios in the first half of the  $21^{st}$  century, although it is more 186 pronounced and more persistent in the high-top ensemble. There is a suggestion 187 of a brief reversal of the trend in the high-top mean from 2000-2020. The jet 188 then resumes its poleward migration under RCP8.5, with the high-top jet again 189 moving more rapidly than the low-top. Jet position remains almost constant in 190 the latter half of the  $21^{st}$  century under RCP4.5. 191

Examination of the 1979-2006 zonal-mean zonal-winds showed that the lat-192 itude of the DJF jet in the CMIP5 models was generally too far equatorward 193 compared to reanalyses (Figure 4). The mean latitude of the jet at 500 hPa is 194  $46^{\circ}$ S and  $49^{\circ}$ S in the high- and low-top models respectively. The mean latitude 195 of the ERA-Interim and CFSR jets is 49°S, compared to 50°S in NCEP/NCAR. 196 Mean jet latitudes in the individual models lie in the range  $52^{\circ}S$  (CCSM4) to 197 43°S (IPSL-CM5A-LR), with high-top models tending to have more equator-198 ward jets (Figure 4). 199

Linear least-squares trends (DJF, 1979-2006) in jet position are -0.51, -200 0.49, and -1.07 °N/decade in ERA-Interim, CFSR, and NCEP/NCAR respec-201 tively, giving a reanalysis mean trend of  $-0.69\pm0.30^{\circ}$  N/decade. The CMIP5 202 multimodel mean is in good agreement with the reanalyses for this period: -203  $0.60\pm0.28^{\circ}$ N/decade. The high-top models overestimate the trend (- $0.94\pm0.25^{\circ}$ N/decade), 204 while the low-top models underestimate the trend ( $-0.27\pm0.12^{\circ}N/decade$ ). Two 205 low-top models give slightly positive (equatorward) trends for this period in 206 response to recovering stratospheric ozone concentrations, contributing to the 207 underestimate of the trends in the low-top mean. 208

None of the CMIP5 models considered here simulate a jet shift of more than
5° poleward of their 1860-1900 position by 2098 under the high forcing RCP8.5
scenario.

#### 4.1 Temperature trends as a driver for jet changes

Changes in the position of the extratropical jet are linked to changes in the 213 meridional temperature gradient (Lee and Kim, 2003). This relationship can 214 be seen in Figure 5. Figure 5a shows the trend in jet position and meridional 215 temperature gradient, under RCP8.5, for each model for 1960-2000 (black), 216 2000-2050 (blue) and 2050-2098 (red). Figure 5b shows the high- and low-top 217 multi-model mean. Here, the meridional temperature gradient is defined as the 218 difference between polar average lower-stratospheric temperature (150 hPa, 75-219  $90^{\circ}$ S) and tropical upper-tropospheric temperature (250 hPa, 0-25°S) (as shown 220 in Figure 3). 221

Figure 5a shows a largely compact linear relationship (discussed further in Section 4.2) between meridional temperature gradient and jet shift. A leastsquares fit for 1960-2000, when the linear relationship is strongest, shows that a temperature trend of +1 K/decade typically results in a poleward jet shift of  $\frac{1}{3}$ °S. This relationship becomes slightly less well defined in future as the model spread increases.

Figure 5b shows low- and high-top ensemble mean trends. The trend in meridional temperature gradient is larger in the high-top models (Figure 5b). The high-top and low-top values are significantly different at the 5% level ('separable') in all periods considered. Warming of the polar lower-stratosphere in the period 2000-2050 results in a near zero trend in both high- and low-top meridional temperature gradient.

High-top models have a larger jet shift in 1960-2000 (Figure 5, black) and 234 2050-2098 (red), compared to the low-tops, as a result of the larger temper-235 ature trends. Variability in jet position is greater than that in temperature, 236 so confidence intervals are larger, but jet responses are separable in 2050-2098 23 (red, Figure 5b). The mean position trend for 2050-2098 in high-top models is 238 -0.59°N/decade compared to -0.21°N/decade for the low-top models. In 2000-239 2050 the magnitude of the jet shift is not significantly different from zero at 240 the 5% level in either ensemble mean (Figure 5b). Small or zero trends in jet 241 position in this period are the result of a near cancellation between the effects 242 of increasing GHG and stratospheric ozone concentrations. Such a cancellation 243 was also highlighted by Polvani et al. (2011). 244

Detailed examination of the mechanisms that drive changes in the position 245 of the jets is beyond the scope of this study. There is a developing consensus 246 in the literature that the changes are linked to the impact of the upper level 247 pole-to-equator temperature gradient and the linked stratospheric wind shear on 248 the type of non-linear wave-breaking in the troposphere (Wittman et al., 2007). 249 Increases in the pole-to-equator temperature gradient lead to increases in upper 250 level baroclinicity and an increase in anticyclonic LC1 type wave-breaking linked 251 to a shift in the mean eddy length scales toward longer wavelengths (Riviere, 252 2011). As shown by McLandress et al. (2011b), this mechanism is consistent 253 with the observed poleward shift in momentum flux convergence on the poleward 254 side of the eddy driven jet. The recent analyses of Wang and Magnusdottir 255 (2011) and Ndarana et al. (2011) both point to a large increase in anticyclonic 256

wave-breaking on the equatorward side of the SH jet, consistent both with this
picture and the observed poleward shift of the jet.

Meridional temperature gradient has been defined in this study as the difference between the polar average lower-stratospheric temperature and tropical upper-tropospheric temperature. To understand further the origin of the changes in meridional temperature gradient, the contribution to the gradient trend from each of these regions is shown in Figure 6, plotted against the total jet shift, as in Figure 5.

Figure 6a shows polar lower-stratospheric temperature trends for each model 265 for 1960-2000 (black), 2000-2050 (blue), and 2050-2098 (red). Polar lower strato-266 spheric temperature trends are negative in all models for 1960-2000, ranging 267 from -2.61 K/decade in GFDL-CM3 to -0.90 K/decade in HadGEM2-CC (the 268 latter is not significantly different from zero at the 5% level). The multi-model 269 means (Figure 6b) show greater lower-stratospheric cooling trends in high-top 270 models compared to low-top models in 1960-2000 (black) and 2050-2098 (red): 271 -1.64 K/decade compared to -1.40 K/decade for 1960-2000 and -0.41 K/decade 272 compared to -0.12 K/decade for 2050-2098. Estimates from the two sets of mod-273 els are separable in both periods. Opposite temperature trends in the region of 274 +0.5 K/decade are found across all models during 2000-2050 (blue). 275

In 2000-2050 stratospheric ozone recovery typically dominates the polar temperature trend, and all models predict a warming trend there. In this period, low-top models predict a warming of 0.38 K/decade, while high-top models predict a larger trend of +0.61 K/decade (Figure 6b). However, the trends from high- and low-top models are not separable. Some models predict an equatorward trend in jet position in this period, although only the GFDL-CM3 trend is significantly different from zero at the 5% level.

Figure 6c shows tropical upper-tropospheric temperature trends, plotted 283 against the trend in jet position. The high- and low-top multi-model means 284 are shown in Figure 6d. All models have warming trends in all periods. The 285 magnitude of the trends increases with time, as expected from the increasing 286 GHG concentration gradients shown in Figure 1, and the tropical temperature 287 response shown in Figure 3a. Multi-model means (Figure 6d) show larger tem-288 perature trends in the high-top models compared to the low-top models. The 289 trends are separable in each period, and the difference between them increases 290 with time. The difference between the warming trends in the high- and low-291 top models is especially pronounced in 2050-2098, with a mean trend of +1.07292 K/decade predicted in the high-top models, compared to +0.79 K/decade in 293 the low-top models. 294

Enhanced warming in the tropical upper-troposphere in the high-top models compared to the low-tops could be the result of differing parameterisations of moist processes, different tropical tropopause layer processes, or differences in stratospheric upwelling. The very limited number of direct, single model, highand low-top comparisons available in CMIP5 make it difficult to determine whether the representation of the stratosphere plays an important role in this difference without further experiment.

#### <sup>302</sup> 4.2 Linearity in the jet response to temperature trends

The mean ratio of trends in jet position to trends in temperature gives a measure of the sensitivity of the jet response to the temperature trend. The sensitivity of jet position trends to meridional temperature gradient trends, and polar and tropical temperature trends, is shown in Figure 7 for RCP8.5 (red) and RCP4.5 (blue). Negative sensitivity indicates a poleward movement in response to positive temperature trends, positive sensitivity indicates a poleward movement in response to negative temperature trends.

The sensitivity of the jet to each of the three temperature trends is invariant across all the time periods and forcing scenarios considered. The sensitivity of the jet to meridional temperature gradient changes (solid lines) remains in the region of -0.3°N/K across all periods, and both forcing scenarios. However, there are larger error bars in 2050-2098 in the RCP8.5 case. The sensitivity of the jet to polar lower-stratospheric temperature trends is 0.4°N/K, with no significant differences between the two forcing scenarios considered.

The relationship between tropical upper-tropospheric temperature trends and jet position trends is weaker than those in the temperature gradient and polar lower-stratospheric temperature cases, and the errors intersect zero in the 2000-2050 case under both RCP4.5 and RCP8.5 (Figure 7). However, there is insufficient evidence to suggest that the sensitivity of the jet to tropical uppertropospheric temperature trends changes with forcing.

Analysis of the latitude of jet in the individual models considered showed a 323 decrease in the rate of change of jet position in some individual models, and also 324 in the low-top mean, after 2080 in the RCP8.5 scenario (Figure 3c). This change 325 was apparent in IPSL-CM5A, HadGEM2-CC, NorESM1-M, and CSIRO-Mk3.6, 326 hinting at a possible deviation from a linear jet response to temperature trends 327 in these models. However, this change can only be seen over a short period. 328 As such, it cannot be demonstrated to be significantly different to the 50-year 329 trends considered in Figure 7. 330

A decrease in the rate of change of jet position as the jets are located closer to 331 the pole would be consistent with the findings of Barnes and Hartmann (2010). 332 They suggest that the jet shift lessens as it moves poleward because the positive 333 feedback between eddies and the mean flow is reduced due to the inhibition of 334 polar wave-breaking for jets positioned at high latitudes. Despite some evidence 335 in time-series from individual models, there is no clear evidence of an approach 336 to a geometric limit on the absolute shift of the jet in the ensemble mean by 337 the end of the  $21^{st}$  century, even under the large forcing RCP8.5 scenario. 338

# <sup>339</sup> 5 The relationship between jet latitude and jet <sup>340</sup> shift

Related to the results of Barnes and Hartmann (2010), Kidston and Gerber 341 (2010) (hereafter, KG10) found the magnitude of the poleward jet shift in 342 CMIP3 models to be well correlated with biases in the initial position of the jet 343 in  $20^{th}$  century simulations. Equatorward biases resulted in larger shifts. The 344 strong correlation between the shift and initial latitude of the 10 m jet existed 345 in all seasons except DJF. KG10 attributed the poor DJF correlation to the fact 346 that not all CMIP3 models included ozone changes, resulting in very different 347 forcings across the models. This is not a factor in the analysis of CMIP5 models 348 due to the use of a consistent ozone database. 349

All of the CMIP3 models used by KG10 had climatological jets (in the 350 annual mean for 1960-2000) that were too far equatorward compared to the 351 NCEP/NCAR reanalysis. In Section 4, CMIP5 models were shown to have 352 DJF jet latitudes equatorward of those from reanalyses. This is also true for 353 the annual mean multi-model mean (1979-2006). Jet positions in individual 354 models range from  $42^{\circ}$ S (IPSL-CM5A-LR) to  $52^{\circ}$ S (CCSM4), with a low-top 355 mean of 48°S, and a high-top mean of 46°S. The ERA-Interim and CFSR jets 356 in this period are found at 50°S, and the NCEP/NCAR jet is at 53°S. 357

Repeating the experiment described by KG10 using our 500 hPa jet, and 358 determining the absolute shift in the jet between 1960-2000 and 2060-2098 under 359 the RCP8.5 scenario, we find a weaker relationship than KG10 between annual 360 mean shift and 1960-2000 position (Table 2). A weaker correlation compared to 361 the KG10 result is also found in SON and JJA. A stronger correlation is seen 362 in DJF, which is to be expected due to the consistent representation of ozone 363 in CMIP5 models. However, this relationship is still weak, with r=-0.37. The 364 only significant relationship found here (r=-0.74) is in MAM. 365

Overall, the relationship between initial jet position and the magnitude of the jet shift was found to be weaker in this subset of CMIP5 models, compared to the relationship identified by KG10.

#### **6** Conclusions and discussion

The analysis here has shown that high-top models have larger temperature 370 and jet position responses to forcing compared to low-top models. These mod-371 els have historical polar lower-stratospheric temperatures and tropical upper-372 tropospheric temperatures in better agreement with reanalyses (Figure 3a). 373 High-top models gave overestimates of the 1979-2006 trend in jet position rel-374 ative to the reanalyses, while the low-top ensemble underestimated the trend. 375 Overall, the subset of CMIP5 models used in this work gave a good representa-376 tion of the 1979-2006 trend in jet position. 377

A systematic relationship has been identified between the trend in jet po-378 sition and the trend in polar lower-stratospheric temperature, tropical upper-379 tropospheric temperature, and, in particular, meridional temperature gradi-380 ent. Increases in upper-level meridional temperature gradient cause a poleward 381 movement of the jet. Such increases occur in 1960-2000, primarily as a result 382 of stratospheric ozone depletion and the associated cooling of the polar lower-383 stratosphere, and in 2050-2098, primarily a result tropical upper-tropospheric 384 warming due to GHG increases. Cancellation between the effects of increas-385 ing stratospheric ozone and GHG concentrations are apparent in 2000-2050, 386 especially in the high-top models (Figure 5). 387

Jet responses from the high- and low-top ensemble are separable in DJF 388 2050-2098 under RCP8.5. High-top models predict an ensemble mean trend 389 of  $-0.51\pm0.08^{\circ}$ N, more than double the low-top trend (Figure 5b). Meridional 390 temperature gradient trends from the high-top ensemble are approximately dou-391 ble those from the low-top ensemble for 1960-2000 and 2050-2098 (Figure 5b). 392 For 1960-2000, this difference is the result of a combination of enhanced warm-393 ing in the tropical upper-troposphere and enhanced cooling of the polar lower-394 stratosphere in the high-top models. In 2050-2098 the difference between high-395 and low-top meridional temperature gradient trends is primarily the result of en-396 hanced tropical upper-tropospheric warming in the high-top models (Figure 6). 397 Jet position and meridional temperature gradient responses for 2000-2050 are 398 not significantly different between the two sets of models, though there is still 399 clear enhancement of tropical upper-tropospheric temperature trends in the 400 high-top ensemble. A similar pattern of responses exists under RCP4.5, but 401 many of the changes that occur in this scenario are very close to zero, and it is 402 not possible to separate the two sets of models. 403

Previous studies have linked absolute jet shift to initial jet position. This 404 relationship was found in this subset of CMIP5 models for some seasons, but 405 was not as strong as has been identified in previous studies (Table 2). In DJF, 406 the main focus of this study, the magnitude of the jet shift was found to be 407 independent of the initial latitude of the jet. It has also been suggested in pre-408 vious work that the jet position response to temperature trends is non-linear. 409 No evidence was found in this subset of models to suggest that there is a sig-410 nificant deviation from a linear response of jet position to trends in meridional 411 temperature gradient. Analyses of the sensitivity of the position of the jet to 412 meridional temperature gradient, polar lower-stratospheric temperature, and 413

tropical upper-tropospheric temperature all showed a linear response, i.e. there
was no change in the sensitivity of jet position to temperature trends for changes
in forcing (Figure 7).

Changes in Austral jet position are related to changes in precipitation pat-417 terns (Gillet et al., 2006), Antarctic sea ice extent (Stammerjohn et al., 2008), 418 and carbon uptake by the Southern Ocean (Lovenduski et al., 2007). Hence, re-419 alistic predictions of trends in the position of the Austral jet, and an understand-420 ing of the mechanisms behind such trends, are important. As the sensitivity of 421 the trend in jet position to temperature trends is robust, a key to improved 422 estimates of future jet position is improved estimates of temperature trends. 423 The results of this work suggest that a full representation of the stratosphere in 424 models may be important for such improvements. 425

#### 426 7 Acknowledgments

<sup>427</sup> This work was funded by the National Centre for Atmospheric Science (NCAS)<sup>428</sup> Climate via a CMIP5 grant.

We acknowledge the World Climate Research Programme's Working Group on Coupled Modelling, which is responsible for CMIP, and we thank the climate modelling groups for producing and making available the model output listed in Table 1. For CMIP the U.S. Department of Energy's Program for Climate Model Diagnosis and Intercomparison provides co-ordinating support and led development of software infrastructure in partnership with the Global Organization for Earth System Science Portals.

<sup>436</sup> We also thank the British Atmospheric Data Centre (BADC) for providing
<sup>437</sup> access to their CMIP5 data archive, and acknowledge the use of ERA data made
<sup>438</sup> available by the BADC and NCAS-Climate. NCEP/NCAR data was provided
<sup>439</sup> by NOAA/OAR/ESRL PSD, and CFSR data was provided by NCAR, via the

<sup>440</sup> Research Data Archive (RDA).

#### 441 References

- Arblaster, J. M. and G. A. Meehl, 2006: Contributions of external forcings to Southern Annular Mode trends. J. Climate, 19, 2896–2905, doi:
  10.1175/JCLI3774.1.
- Archer, C. L. and K. Caldeira, 2008: Historical trends in the jet streams. *Geo- phys. Res. Lett.*, **35**, L08 803, doi:10.1029/2008GL033614.

Barnes, E. A. and D. L. Hartmann, 2010: Testing a theory for the effect of latitude on the persistence of eddy-driven jets using CMIP3 simulations. *Geophys. Res. Lett.*, 37, L15 801, doi:10.1029/2010GL044144.

Cionni, I., et al., 2011: Ozone database in support of CMIP5 simulations: results
and corresponding radiative forcing. *Atmos. Chem. Phys. Discuss*, 11, 10875–
10933, doi:10.5194/acpd-11-10875-2011.

<sup>453</sup> Cordero, E. C. and P. M. D. F. Forster, 2006: Stratospheric variability and
<sup>454</sup> trends in models used for the IPCC AR4. *Atmos. Chem. Phys.*, 6 (12), 5369–
<sup>455</sup> 5380.

<sup>456</sup> Dee, D. P., et al., 2011: The ERA-Interim reanalysis: configuration and per<sup>457</sup> formance of the data assimilation system. *Quarterly Journal of the Royal*<sup>458</sup> *Meteorological Society*, **137 (656)**, 553–597, doi:10.1002/qj.828.

Fu, Q. and P. Lin, 2011: Poleward shift of subtropical jets inferred from satelliteobserved lower-stratospheric temperatures. J. Climate, 24 (5597-5603), doi:
10.1175/JCLI-D-11-00027.1.

- Gillet, N. P., T. D. Kell, and P. D. Jones, 2006: Regional climate impacts of the Southern Annular Mode. *Geophys. Res. Lett.*, **33**, L23 204, doi:
  10.1029/2006GL027721.
- Hudson, R. D., 2011: Measurements of the movement of the jet streams at midlatitudes, in the Northern and Southern Hemispheres, 1979 to 2010. Atmos. *Chem. Phys. Discuss*, 11 (31067-31090).
- Kalnay, E., et al., 1996: The NCEP/NCAR 40-year reanalysis project. Bulletin
   of the American Meteorological Society, 77 (3), 437–471, doi:10.1175/1520 0477(1996)077<0437:TNYRP>2.0.CO;2.

<sup>471</sup> Kidston, J. and E. P. Gerber, 2010: Intermodel variability of the poleward
<sup>472</sup> shift of the austral jet stream in the CMIP3 integrations linked to bi<sup>473</sup> ases in 20th century climatology. *Geophys. Res. Lett.*, **37**, L09708, doi:
<sup>474</sup> 10.1029/2010GL042873.

Lee, S. and H.-K. Kim, 2003: The dynamical relationship between subtropical and eddy-driven jets. J. Atmos. Sci., 60, 1490–1503, doi:10.1175/1520-0469(2003)060<1490:TDRBSA>2.0.CO;2.

<sup>478</sup> Lovenduski, N. S., N. Gruber, S. C. Doney, and I. D. Lima, 2007: Enhanced CO<sub>2</sub> outgassing in the Southern Ocean from a positive phase of the
<sup>480</sup> Southern Annular Mode. *Global Biogeochemical Cycles*, **21**, GB2026, doi:
<sup>481</sup> 10.1029/2006GB002900.

Marshall, G. J., 2003: Trends in the Southern Annular Mode from ob servations and reanalyses. J. Climate, 16, 4134–4143, doi:10.1175/1520 0442(2003)016%3C4134%3ATITSAM%3E2.0.CO%3B2.

McLandress, C., J. Perlwitz, and T. G. Shepherd, 2011a: Comment on "tropospheric temperature response to stratospheric ozone recovery in the 21st
century" by Hu et al. (2011). Atmos. Chem. Phys. Discuss, 11, 32 993–33 012,
doi:10.5194/acpd-12-2853-2012.

- McLandress, C., T. G. Shepherd, J. F. Scinocca, D. A. Plummer, M. Sigmond,
  A. I. Jonsson, and M. C. Reader, 2011b: Separating the dynamical effects
  of climate change and ozone depletion. Part II: Southern Hemisphere troposphere. J. Climate, 24 (6), 1850–1868, doi:10.1175/2010JCLI3958.1.
- <sup>493</sup> Ndarana, T., D. W. Waugh, L. M. Polvani, G. J. P. Correa, and E. P. Ger<sup>494</sup> ber, 2011: Antarctic ozone depletion and trends in tropospheric Rossby wave
  <sup>495</sup> breaking. J. Climate, submitted.
- Polvani, L. M., M. Previdi, and C. Deser, 2011: Large cancellation, due to
  ozone recovery, of future Southern Hemisphere atmospheric circulation trends. *Geophys. Res. Lett.*, 38, L04 707, doi:10.1029/2011GL046712.
- Riahi, K., et al., 2011: RCP 8.5—a scenario of comparatively high greenhouse
   gas emissions. *Climatic Change*, **109**, 33–57, doi:10.1007/s10584-011-0149-y.
- Riviere, G., 2011: A dynamical interpretation of the poleward shift of the jet
  streams in global warming scenarios. J. Atmos. Sci., 68, 1253–1272, doi:
  10.1175/2011JAS3641.1.
- Saha, S., et al., 2010: The NCEP climate forecast system reanalysis.
   Bulletin of the American Meteorological Society, 91, 1015–1057, doi:
   10.1175/2010BAMS3001.1.
- Seidel, D. J., Q. Fu, W. J. Randel, and T. J. Reichler, 2008: Widening of
   the tropical belt in a changing climate. *Nature Geoscience*, 1, 21–24, doi:
   10.1038/ngeo.2007.38.
- Son, S.-W., et al., 2008: The impact of stratospheric ozone recovery on the
  Southern Hemisphere westerly jet. *Science*, **320** (5882), 1486–1489, doi:
  10.1126/science.1155939.
- Stammerjohn, S. E., D. G. Martinson, R. C. Smith, X. Yuan, and D. Rind, 2008:
  Trends in Antarctic annual sea ice retreat and advance and their relation
  to El Niño–Southern Oscillation and Southern Annular Mode variability. J. *Geophys. Res.*, textbf113, C03S90, doi:10.1029/2007JC004269.

- Thompson, D. W. J. and S. Solomon, 2002: Interpretation of recent
  Southern Hemisphere climate change. *Science*, 296 (5569), 895–899, doi:
  10.1126/science.1069270.
- Thomson, A., et al., 2011: RCP4.5: a pathway for stabilization of radiative
   forcing by 2100. *Climatic Change*, **109**, 77–94, doi:10.1007/s10584-011-0151 4.
- Wang Y.-H. and G. Magnusdottir, 2011. Tropospheric Rossby wave breaking
   and the SAM. J. Climate, 24, 2134–2146, doi:10.1175/2010JCLI4009.1

Wittman, M. A. H., A. J. Charlton, and L. M. Polvani, 2007: The effect of
lower stratospheric shear on baroclinic instability. J. Atmos. Sci., 64, 479–
496, doi:10.1175/JAS3828.1.

Model	Ensemble	nlon	nlat	nlevs	Horizontal Model top	
	members				resolution	
CNRM-CM5	1	256	128	31	TL127	10 hPa
CSIRO-Mk3.6.0	1	192	96	18	T63	4.52 hPa
HadGEM2-ES	4	192	144	38	N96	$40 \text{ km} (\sim 2.3 \text{ hPa})$
INMCM4	1	180	120	21	$180 \times 120$	10  hPa
MIROC5	1	256	128	40	T85	3 hPa
NCAR-CCSM4	1	288	192	27	$0.9^\circ \times 1.25^\circ$	2.194 hPa
NorESM1-M	1	144	96	26	f19	$3.54 \mathrm{hPa}$
$CanESM2^*$	5	128	64	35	T63	1 hPa
GFDL-CM3*	1	144	90	48	C48	$0.01 \ hPa$
HadGEM2-CC*	1	192	144	60	N96	$85 \text{ km} (\sim 0.01 \text{ hPa})$
IPSL-CM5A-LR*	4	96	96	39	$96{\times}95$	0.04 hPa
MIROC-ESM-CHEM*	1	128	64	80	T42	0.0036 hPa
$MPI-ESM-LR^*$	1	192	96	47	T63	0.01 hPa
MRI-CGCM3*	1	320	160	48	TL159	0.01 hPa

Table 1: CMIP5 models used in this study. High top models are are denoted by \*. Ensemble members are consistent across all runs.

Table 2: Correlation between jet position and shift

	SON	DJF	MAM	JJA	Ann
KG	-0.61	-0.08	-0.76	-0.81	-0.77
This work	-0.30	-0.37	-0.74	-0.53	-0.64



Figure 1: Annual average global mean greenhouse gas concentration (as  $CO_2$  equivalent [ppm]) in RCP4.5 (dotted) and RCP8.5 (dashed), and September to October mean Antarctic (75-90°S) ozone at 50 hPa [ppmv] (solid).



Figure 2: DJF zonal-mean temperature [K] (left) and zonal-mean zonal-wind  $[ms^{-1}]$  (right) from HadGEM2-ES. Colours show the linear trend [K/decade and  $ms^{-1}$ /decade] for (a,b): 1960-2000, (c,d): 2000-2050, (e,f): 2050-2098, based on the historical and RCP8.5 experiments. Contours show the 1860-1900 mean. Hatching indicates a significant difference from zero, using a 2-tailed t-test, at the 5% level. Cross-hatching indicates significance at the 1% level.



Figure 3: (a): DJF mean temperature (K) at 250 hPa, 0-25°S (tropical uppertroposphere), (b): DJF mean temperature (K) at 150 hPa, 75-90°S (polar lowerstratosphere), (c): DJF mean jet latitude (°N). Solid lines show the historical (1850-2005) and RCP8.5 (2006-2098) experiments, and dotted lines show the historical and RCP4.5 experiments for the high-top (black) and low-top (red) ensemble mean. ERA-Interim values are shown in blue (a,b only).



Figure 4: DJF (1979-2006) mean 500 hPa jet position from ERA-Interim, CFSR, and NCEP/NCAR, the high- and low-top multi-model means, and the individual CMIP5 models considered.



Figure 5: (a): Meridional temperature gradient (K/decade) and 500 hPa jet position ( $^{\circ}N/decade$ ) trends for each model for 1960-2000 (black), 2000-2050 (blue), and 2050-2098 (red) for RCP8.5. Squares indicate high-top models. Error bars for individual models are one standard error. (b): As for (a), but for the low- and high-top multi-model mean. Error bars for multi-model means are two standard errors.



Figure 6: (a): Polar lower-stratospheric temperature (K/decade) and 500 hPa jet position (°N/decade) trends for each model for 1960-2000 (black), 2000-2050 (blue), and 2050-2098 (red) for RCP8.5. (b): As for (a), but for the low-and high-top multi-model mean. (c): Tropical upper tropospheric temperature (K/decade) and jet position (°N/decade) trends for each model. (d): As for (c), but for the low- and high-top multi-model mean. Squares indicate high-top models. Error bars for individual models (a,c) are one standard error. Error bars for multi-model means (b,d) are two standard errors.



Figure 7: Sensitivity (°N/K) of the position of the 500 hPa jet to trends in polar lower-stratosphere temperature (dashed), tropical upper-troposphere temperature (dotted), and meridional temperature gradient (solid), in the ozone depletion (1960-2000), ozone recovery (2000-2050), and GHG dominated (2050-2098) periods. Historical data are shown in black, RCP4.5 in blue, and RCP8.5 in red. Error bars are two standard errors.