

Are the winters 2010 and 2012 archetypes exhibiting extreme opposite behavior of the North Atlantic jet stream?

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2	opposite behavior of the North Atlantic jet stream?
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Abstract

The atmospheric circulation over the North Atlantic-European sector experienced 13 exceptional but highly contrasting conditions in the recent 2010 and 2012 winters 14 (November-March; with the year dated by the relevant January). Evidence is given for 15 the remarkably different locations of the eddy-driven westerly jet over the North 16 17 Atlantic. In the 2010 winter the maximum of the jet stream was systematically between 30°N and 40°N (in the 'south jet regime'), while in the 2012 winter it was 18 predominantly located around 55°N (north jet regime). These jet features underline the 19 occurrence of either weak flow (2010) or strong and persistent ridges throughout the 20 21 troposphere (2012). This is confirmed by the very different occurrence of blocking 22 systems over the North Atlantic, associated with episodes of strong cyclonic 23 (anticyclonic) Rossby wave breaking in 2010 (2012) winters. These dynamical features underlie strong precipitation and temperature anomalies over parts of Europe, with 24 detrimental impacts on many socioeconomic sectors. Despite the highly contrasting 25 26 atmospheric states, mid and high-latitude boundary conditions do not reveal strong differences in these two winters. The two winters were associated with opposite ENSO 27 phases, but there is no causal evidence of a remote forcing from the Pacific sea surface 28 29 temperatures. Finally, the exceptionality of the two winters is demonstrated in relation to the last 140 years. It is suggested that these winters may be seen as archetypes of 30 31 North Atlantic jet variability under current climate conditions.

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Keywords: jet stream, blocking, wave-breaking, SPRE, precipitation, North AtlanticEuropean sector, extreme winters

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36 1. Introduction

37 Weather and climate over Europe are strongly dependent on the large-scale atmospheric circulation over the North Atlantic (NA) area (e.g. Wanner et al. 2001). During the two 38 recent winters of 2009/10 and 2011/12 (hereafter winters 2010 and 2012), the 39 40 dynamical conditions over the NA were completely different, showing a dramatic range of variability in terms of the large-scale atmospheric flow. Therefore, the analysis of 41 42 these two highly contrasting winters helps to clarify the mechanisms underlying the 43 atmospheric variability over the NA under current climate conditions. Among the possible diagnostics for this variability, the eddy-driven westerly jet is an important 44 indicator of the physical state of the tropospheric circulation within the Euro-Atlantic 45 sector. In particular, its latitude and speed have been shown to be suitable measures of 46 the largest scale circulation over this region (Woollings et al. 2010a). When considering 47 the jet latitude, the two winters 2010 and 2012 lie at the opposite extremes of the 48 spectrum of variability, and so it is useful to describe the dynamical features of these 49 winters as possible archetypes of NA jet variability. 50

51 The latitude of the NA jet stream and the occurrence of anticyclonic Rossby wavebreaking (RWB) over southwestern Europe were also shown to be related to strong and 52 53 persistent ridge episodes (SPRE) over the eastern NA (Santos et al. 2009; Woollings et 54 al. 2011). Further, the close relationship between RWB and blocking systems was already discussed in several previous studies (e.g. Altenhoff et al. 2008; Berrisford et al. 55 56 2007; Gabriel and Peters 2008; Pelly and Hoskins 2003; Tyrlis and Hoskins 2008). 57 Blocking is traditionally identified using indices based on the reversal of the meridional gradient of the mid-tropospheric geopotential height (e.g. Barriopedro et al. 2006; 58 59 Tibaldi and Molteni 1990). The interplays between RWB / blocking and the North Atlantic Oscillation (NAO), the Northern Annular Mode (NAM), the East Atlantic (EA) pattern or the stratospheric variability have also been widely discussed (e.g. Croci-Maspoli et al. 2007; Masato et al. 2012; Woollings and Hoskins 2008; Woollings et al. 2008; Woollings et al. 2010b). In a recent study, Davini et al. (2012) underlined the differences between the (more frequent) high-latitude and mid-latitude blockings (also called European blockings) in the NA, which are driven by cyclonic and anticyclonic RWB, respectively (see also Weijenborg et al. 2012).

The large-scale atmospheric conditions over the NA are of central importance for the 67 weather and climate over the European continent. Recent studies show that the 68 occurrence of weather and climate extremes may have increased on the global scale 69 70 (Field et al. 2012). In particular, there is increasing evidence that anthropogenic forcing 71 is gradually changing both the strength and frequency of temperature and precipitation extremes (Hansen et al. 2012). The large-scale atmospheric circulation over the NA 72 strongly controls not only the mean precipitation and temperature fields over Europe, 73 but also their extremes, particularly in winter, as demonstrated by many previous 74 75 studies (e.g. Cattiaux et al. 2012; Efthymiadis et al. 2011; Kenyon and Hegerl 2010; Santos et al. 2007; Trigo et al. 2004). In fact, wintertime climate variability over most of 76 77 Europe is strongly reflected in the NAO and EA phases, which are the leading teleconnection patterns of the atmospheric variability in the NA-European sector and 78 79 closely related to jet variability (Hurrell et al. 2001; Pinto and Raible 2012; Wallace and Gutzler 1981; Wanner et al. 2001). As persistent anomalies in the atmospheric flow 80 over the NA-European sector tend to yield extremes of precipitation and/or temperature 81 over parts of Europe (e.g. Andrade et al. 2012; García-Herrera et al. 2007; Mahlstein et 82 83 al. 2012), the understanding of their driving mechanisms can provide valuable information for improving seasonal forecasts and climate change projections, both of 84

which are of significant value for many socioeconomic sectors. As a result of the 85 86 anomalies in the large-scale circulation in the 2010 and 2012 winters, strong anomalies in both precipitation and temperature were recorded all across Europe. While the 2010 87 winter was anomalously wet over southern Europe (Andrade et al. 2011; Vicente-88 Serrano et al. 2011) and was also characterized by strong cold outbreaks in northern 89 Europe (Moore and Renfrew 2012; Wang et al. 2010), the 2012 winter was anomalously 90 91 dry in southern Europe and anomalously warm in northern Europe, as will be shown below. As such, the present study also aims to systematize some dynamical features 92 associated with the occurrence of near surface atmospheric extremes over Europe on a 93 94 seasonal basis.

In this study, the main goals are twofold: 1) to provide further insight into the dynamical features of these extreme winters; 2) to give a long-term perspective of their likelihood and exceptionality. An underlying motivation is to assess the extent to which these winters may be seen as archetypes of NA jet variability. The manuscript is organized as follows: data and methods are described in section 2. The results are presented and discussed in section 3. Lastly, section 4 presents an overview of the most significant outcomes and conclusions.

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103 2. Data and methods

104 The National Centers for Environmental Prediction (NCEP) / National Center for 105 Atmospheric Research (NCAR) reanalysis dataset (Kistler et al. (2001); hereafter 106 NCEP-NCAR reanalysis) in the period 1950-2012 is used for characterizing the large-107 scale atmospheric circulation in the winters of 2010 and 2012. This dataset has a spatial 108 resolution of 2.5° latitude \times 2.5° longitude and a temporal resolution of 6 h. Unless otherwise stated, NCEP data is used. The *European Centre for Medium-range Weather Forecasts* (ECMWF) ERA-Interim reanalysis (Dee et al. 2011), with atmospheric fields
on a 1.5° latitude × 1.5° longitude grid and at a 6-hourly time resolution, is also used as
a basis for the characterization of the 2-PVU (Potential Vorticity Unit) potential
temperature during the two selected winters. This dataset is improved with respect to
the ECMWF ERA-40 reanalysis (Uppala et al. 2005) and is regularly updated. The
period analyzed is November-March.

116 Furthermore, the NA eddy-driven jet latitude characterization and the SPRE detection are also carried out using the Twentieth Century Reanalysis (Compo et al. (2011); 117 118 hereafter 20CR). As this dataset comprises information on the uncertainty of the 119 atmospheric fields, by providing a 56-member ensemble over a relatively long time period (1871-2010; 140 years), it allows estimations of the uncertainties inherent to 120 each diagnostic. The 20CR fields are defined on a 2° latitude \times 2° longitude grid at 6-121 hourly time spacing. As the 20CR is mainly used to provide a long-term perspective of 122 123 the range of variability of the features analyzed in the present study (jet index and 124 SPRE), it is not a major shortcoming that data for the 2012 winter is not available.

The jet index, the blocking classification and the SPRE detection are used herein as 125 126 diagnostic tools of the large-scale atmospheric flow over the eastern NA. The jet index 127 computation is described in Woollings et al. (2010a). Essentially the method determines 128 an average jet latitude and speed across the NA, by averaging the zonal wind over 0-60°W and smoothing with a 10-day low-pass filter before finding the maximum speed. 129 In the original method, the zonal wind was additionally averaged over pressure levels 130 131 between 925 and 700 hPa. Here, however, only the 850 hPa level has been used, as it is the only isobaric level within the 925-700 hPa layer available in the 20CR. A 132

comparison between the jet indices calculated using either 850 hPa or 925-700 hPa has been made using ERA-40 data, but very similar results are obtained (not shown). The rationale of this approach is to isolate the eddy-driven component of the zonal flow by using only lower tropospheric data. Woollings et al. (2010a) provided evidence that the jet latitude variability projects both onto the NAO and EA patterns. As such, the method provides physical quantities which describe much of the same variability as the NAO and EA.

140 The blocking detection method is taken from a previous study by Scherrer et al. (2006). This index is a straightforward extension of the classical Tibaldi and Molteni (1990) 141 142 index into two dimensions (latitude and longitude). The index has the advantage that it 143 can be readily calculated from reanalysis data using daily mean 500 hPa geopotential heights. It is very similar to the index used by Davini et al. (2012) and gives a similar 144 climatology of blocking to that of Masato et al. (2012). Both of the classical constraints 145 146 are applied: 1) that the meridional geopotential height gradient is reversed at a given 147 point and 2) that the flow is westerly to the north of the point, with a height gradient 148 stronger than 10 m per degree of latitude. Finally, a 5-day persistence criterion is applied to each grid point before it can be considered as part of a block. Note that the 149 150 region identified as blocked corresponds roughly to the location of the anticyclone of 151 the blocking dipole, rather than the location of flow reversal as in some other indices.

The SPRE are identified following the same methodology as in Santos et al. (2009) and Woollings et al. (2011), but for an extended wintertime period (November-March) and using the 500 hPa geopotential height (Z500) rather than the 250 hPa geopotential height. The choice of a different isobaric level enabled a direct comparison among different datasets (in particular, the 250 hPa level is not available in the 20CR for all

ensemble members). Nevertheless, there is a high consistency between results using 157 158 these two isobaric levels (not shown). Herein, a SPRE corresponds to an episode that persists at least 10 days with a Z500 zonal mean departure, averaged over the sector 159 [40-50°N, 40°W-5°E], higher than 140 gpm. This threshold approximately corresponds 160 to the 60th percentile of the distribution of the wintertime zonal mean departures over 161 162 the baseline period of 1950-2012. It guarantees that only strong ridge events are considered, but with a sufficiently high number of episodes being isolated. The zonal 163 164 departures are computed with respect to a second-order polynomial adjusted to the daily climate-means (baseline period of 1950-2012) of the Z500 zonal-means over the full 165 winter period (1st of November to 31st of March). All SPRE are separated by at least 3 166 days. A list of 85 SPRE in the period 1950-2012 is provided in Table S1, together with 167 their corresponding onsets and decays, lengths (in days) and strengths (area-means of 168 169 the zonal mean departures).

The cyclone activity for the two winters was quantified by a cyclone tracking algorithm, originally developed by Murray and Simmonds (1991) and adapted for the NA cyclone characteristics by Pinto et al. (2005). The methodology was applied to the NCEP-NCAR reanalysis over the baseline period in order to compute the cyclone track density and the corresponding anomalies for the two selected winters. The method compares well with results by other tracking methods and is able to follow cyclones from the early stages of cyclone development until dissipation (Neu et al. 2013).

In summary, three reanalysis databases (NCEP-NCAR, ERA-Interim and 20CR) are used in the present study so that several diagnostics can be calculated and compared, making use of their different advantages and availabilities. The ERA-Interim reanalysis provides improved atmospheric fields at relatively high spatial resolution. However, owing to its short period of available data (1979-2012) other reanalysis need to be
considered so as to improve the statistical significance of the results (larger sample
sizes). This constraint explains the preferential use of the NCEP-NCAR reanalysis and
of the 20CR within the scope of the present study.

185

186 3. Results

187 a. Jet signatures

188 The highly contrasting atmospheric conditions during the two recent winters 189 (November-March) of 2010 and 2012 are clearly manifested by in the jet stream 190 features. The latitude-time Hovmöller diagrams of the 850 hPa zonal wind component, 191 averaged within the 0-60°W longitude sector (central and eastern NA), clearly highlight 192 the different dynamical regimes that prevailed in the two winters (left panels in Fig. 1). The axis of the maximum westerly flow (illustrated by the daily latitudes of the zonal 193 194 wind maxima) is within the latitude sector of 30-50°N most of the time in the 2010 winter, while it tended to be polewards of the 50°N parallel in the 2012 winter. In both 195 winters the westerly flow is generally strong on a daily basis (20-30 m s⁻¹) and is 196 197 flanked by comparatively weak easterly flows at higher and lower latitudes.

The corresponding histograms of the jet latitude index (right panels in Fig. 1) reveal that the jet is often close to its southernmost (northernmost) location in the winter of 2010 (2012). In fact, the jet location is almost always equatorwards of the 50°N parallel during the 2010 winter, particularly from mid-December onwards, while in the 2012 winter it is mostly located polewards of the 50°N parallel, predominantly from late November to late March. Furthermore, taking into account the robustness of the 204 trimodal distribution of the jet latitude (preferred locations) in winter (Woollings et al. 205 2011; distributions shown in their Fig. 1), it can be stated that during the winter of 2010 both the southern and mid-latitude flow regimes are dominant, while in 2012 the 206 207 northern flow is by far the leading regime. The most pronounced exceptions to these general features occurred in early December 2009 and late January 2010 (in the 2010 208 209 winter), when the jet was in its northern flow regime, and in November 2011 and March 210 2012 (in the 2012 winter), when the jet was temporarily shifted southwards. The close relationship between the jet latitude over the NA and the NAO phase is also reflected in 211 the strong phase opposition of the NAO pattern during the two winters (November-212 213 March): -1.18 (2010) and +1.35 (2012). In fact, these extreme values correspond to the 5th (2010) and 98th (2012) percentiles of the full distribution of the November-March 214 mean NAO in 1950-2012, according to the Climate Prediction Center (CPC) NAO 215 216 index (http://www.cpc.ncep.noaa.gov/). If the shorter season of December-February is 217 considered instead, the negative NAO of the 2010 winter becomes more extreme 218 (Osborn 2011).

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220 b. Dynamical diagnosis

The longitude-time Hovmöller diagrams of the daily 500 hPa geopotential height anomalies from the instantaneous zonal-mean, averaged within the latitude sector of 40-50°N and for the winters of 2010 and 2012, underline their remarkably different dynamical characteristics (Fig. 2). Strong negative anomalies are found over the NA (90-30°W) in the 2010 winter, while the persistence of the strong positive anomalies over the Eastern NA (40°W-5°E) is very pronounced in the 2012 winter. For both winters, the eastwards propagation of high-frequency anomalies from the eastern North Pacific (120-180°W) towards the NA (0-60°W) is also found. The connections between
the NA sector and the North Pacific mid-latitudes have been discussed in previous
studies (Castanheira and Graf 2003; Honda et al. 2005; Pinto et al. 2011).

231 For the 2012 winter, the strong cores of positive anomalies over the Eastern NA divert the mid-latitude low pressure systems, and their associated fronts, to higher latitudes, 232 leading to a blocking of the eastward traveling cyclones (Rex 1950). The blocking 233 frequencies for each winter hint at the very different conditions in the 2010 and 2012 234 235 winters (Fig. 3). While in 2010 blocking occurrences over the NA were anomalously high (low) polewards (equatorwards) of the 60°N parallel, a nearly opposite pattern 236 237 occurred in 2012. Blocking was therefore prevalent in both winters with the difference 238 being that this lay largely on the north side of the southward displaced jet in 2010 and 239 largely on the south side of the northward displaced jet in 2012. In fact, blocking occurred over Scotland and southern Scandinavia in both winters, although with the jet 240 lying to the south in 2010 and to the north in 2012. In the northeastern region of Europe, 241 242 the blocking anomalies are actually quite similar for the two winters. This region 243 appears to be far enough downstream that the blocking is more or less independent of the Atlantic jet position. 244

The strong blocking over and near Greenland in 2010 is consistent with the strongly negative NAO in this winter, since these two features are closely related in general (Croci-Maspoli et al. 2007; Woollings et al. 2008). Woollings et al. (2011) found that while blocking over southern Europe does tend to accompany the SPRE and northward Atlantic jet shifts, the relationship is less strong than that between Greenland blocking and southward jet shifts. It is interesting that the overall picture is of as much blocking in 2012 as in 2010, with equally large blocking anomalies in both winters. At least for

these extreme cases then, it seems that blocking can be important for both northward and southward shifts of the jet. Also note that, as in Davini et al. (2012), the index used here finds more blocking events at lower latitudes than the PV-based index of Woollings et al. (2011), which may be a factor here.

The contrasting dynamical features can also be emphasized by the unprecedented high 256 257 number of SPRE days in the winter of 2012 (70 days), while the winter of 2010 shows no SPRE occurrences (Table S1). The dynamical conditions underlying the SPRE are 258 highlighted by the composites of the 500 hPa geopotential height and temperature 259 anomalies only for SPRE days, along with the corresponding 250 hPa geopotential 260 261 height anomalies (cf. Fig. S1 in supplemental material). As expected, taking into 262 account the SPRE definition, a positive anomaly with a nearly equivalent barotropic 263 structure is apparent over the Eastern NA. Note that the maximum positive anomaly in 264 the 500 hPa geopotential height (nearly 140 gpm; Fig. S1) is lower than the average strength of the SPRE (about 214 gpm; Table S1). In fact, owing to the climate-mean 265 266 ridge over the eastern NA, the zonal mean departures of the 500 hPa geopotential height used in the SPRE definition are predominantly higher than the temporal anomalies at 267 each grid point over the same region. A negative and westward tilted with height 268 269 (baroclinic) anomaly can also be found over higher latitudes, as well as a second 270 positive core over North America. The composite for the 250 hPa streamlines (Fig. S1) not only gives evidence for a blocking of the westerly flow over Europe, but also 271 272 suggests the presence of anticyclonic RWB. This is in line with the previous findings 273 that wave-breaking acts to amplify the SPRE anomaly and likely increase its persistence (Woollings et al. 2011). Furthermore, the overall dynamical structure of the SPRE 274 275 (equivalent barotropic ridges), as well as the associated anticyclonic RWB, are in clear 276 agreement with both the NA low-latitude blocking and the European blocking discussed

by Davini et al. (2012). Since the SPRE are defined as geopotential anomalies 277 278 northwards of the 40°N parallel, they conform more to the so-called European blockings, which can actually block the prevailing westerly flow (rather than divert it). 279 280 Although further research is needed to improve the current understanding of the mechanisms underlying the SPRE onset, development and decay, such a dynamical 281 282 attribution analysis is out of the scope of the present study, as the SPRE classification is 283 here used only as a diagnostic tool to characterize the atmospheric conditions over the study area. 284

The composites of the potential temperature on a 2-PVU surface (near the tropopause 285 286 level) clearly highlight the remarkable differences between these two winters (Fig. 4). In 287 fact, the mean flow is largely zonal over the eastern NA in 2010, suggesting high 288 transiency, while it presents a strong ridge with a southwest-northeast tilt over the same region in 2012, this time suggesting a relatively high stationarity in the flow over the 289 290 eastern NA. This ridge in the mean flow is indeed a manifestation of strong and frequent anticyclonic RWB in the 2012 winter. This statement can be clearly illustrated 291 292 for a 3-day period in the 2012 winter (2-4 December 2011; Fig. 4, based on ERA-293 Interim), when a large-scale anticyclonic meridional overturning of the 2-PVU potential 294 temperature is apparent. It starts with a major poleward advection of a relatively warm 295 (subtropical) air mass that is followed by anticyclonic RWB (Fig. 4). The anticyclonic RWB in the following days underlies the persistence of this strong eddy, justifying its 296 297 classification as a SPRE (number 83; Table S1 in supplemental material). This event 298 effectively corresponds to a 10-day length SPRE (1-10 December 2011) with 215 gpm strength. Similar considerations can be extrapolated to many other days during the 2012 299 300 winter (not shown), taking into consideration that 70 days out of 152 (November-301 March) were keyed as SPRE days (Table S1). On the other hand, an episode of cyclonic

RWB over high latitudes of the NA (30 January-3 February 2010; Fig. 4) demonstrates the opposite conditions in the 2010 winter. This period shows a large mass of subtropical, high potential temperature air advecting northward and overturning cyclonically, in the process of forming a cut-off anticyclone over Greenland. This event is a classic example of the cyclonic wave-breaking associated with the negative NAO phase (Benedict et al. 2004; Woollings et al. 2008).

The cyclone track densities and the corresponding anomalies for the two winters are in 308 309 close agreement with the flow characteristics described above (Fig. 5). Anomalously 310 high cyclone track densities are found over the eastern NA, Western Europe and the 311 Mediterranean Basin in 2010, whereas anomalously low densities can be found over the 312 British Isles, the eastern NA and parts of central Europe. In both winters, opposite 313 anomalies can be found over the high-latitude NA, mainly in the vicinity of Iceland. It is interesting that the Mediterranean storm track is not anomalously weak in 2012, and 314 even shows above average cyclone activity over the Eastern Mediterranean. 315

316 As a result of the aforementioned shifts in the jet location, and associated changes in the frequencies of occurrence of cyclones over the NA, the resulting patterns of the total 317 winter precipitation are remarkably different between the two winters, largely reflecting 318 the mean path of the westerly jet in each winter (Fig. 5). While the axis of maximum 319 320 precipitation over the NA was largely zonal (along the 40°N parallel) in the 2010 321 winter, it was southwest-northeastwardly tilted in the 2012 winter. As such, the 2010 322 winter was anomalously dry (wet) over some areas of northern (southern-central) Europe, whereas nearly the opposite occurred in the 2012 winter. More specifically, 323 324 these differences are particularly strong over the mid-latitude NA and southwestern Europe and, with opposite signal, over the high latitudes of the NA and the Norway-325

Norwegian Sea region. With respect to the 2 m air temperature anomalies, the contrast between the two winters is remarkable (Fig. 5). The 2010 winter was anomalously cold over Northern Europe and along the mean path of the cyclone track and warm over northeastern Canada and North Africa. Nearly the opposite pattern occurred in the 2012 winter. These precipitation and temperature anomalies are in clear agreement with the differences in the large-scale circulation over the NA in the two winters.

An obvious question is whether there were any strong anomalies in boundary conditions 332 333 which could have helped to cause the atmospheric anomalies. It should always be 334 remembered that extreme events can arise from purely chaotic atmospheric dynamics, 335 so that a forcing external to the atmosphere is not necessary in general. Recent work by 336 Jung et al. (2011) suggested that the extreme negative NAO winter of 2010 was not 337 predictable, at least by their model experiments using several potential driving mechanisms. However, there is considerable evidence that variations in boundary 338 conditions do have some influence on interannual variability over the North Atlantic 339 340 (e.g. Greatbatch et al. 2012). Here we simply compare and contrast some of the anomalous boundary conditions for these winters and discuss their potential roles. 341

Regarding the sea surface temperature (SST) anomalies for the two winters, an 342 important external forcing of the atmospheric circulation, important differences can be 343 344 found in the tropical Pacific, as well as in the subtropical NA (Fig. 6a, b). In the 2010 345 winter a positive El-Niño Southern Oscillation (ENSO; Peixoto and Oort, 1992) pattern 346 is accompanied by an anomalously warm subtropical NA, while in the 2012 winter a negative ENSO pattern can be found with no significant anomalies in the subtropical 347 348 NA. The opposite phases of ENSO are confirmed by the Oceanic Niño Index (ONI) of the CPC (http://www.cpc.ncep.noaa.gov/) which has values of +1.6 and -0.9 for 349

December-February 2010 and 2012, respectively. In contrast, the SST anomalies for both winters are very similar in the mid-latitude NA and in the Artic. Furthermore, the Arctic ice cover leading both winters (October-November) is also very similar (not shown). Therefore, it is unlikely that mid and high-latitude boundary conditions can explain the strong differences between the two winters.

However, the contrasting remote boundary conditions in the tropical Pacific are 355 plausible driving mechanisms, as suggested by several previous studies (Müller et al. 356 2008; Trenberth et al. 1998). In fact, the composite anomalies of the 250 hPa zonal 357 wind component and of the 500 hPa geopotential heights (Fig. 6c, d) show similar 358 359 anomalies spanning the Pacific and Atlantic basins. In the 2010 winter there is a tripole 360 in the wind anomalies over the NA, with its mid-latitude positive anomalies extending upwind towards the North Pacific. The Aleutian low is also anomalously weak and the 361 pattern in the NA clearly reflects the negative NAO phase. On the other hand, in the 362 2012 winter, the signals of the wind anomalies are generally reversed and are in 363 conformity with an anomalously strong Aleutian low and a positive NAO phase (cf. 364 Woollings et al. 2011, their Fig. 6c). It is possible that a connection between the ENSO 365 phase and the NA flow could have occurred through the Pacific-North American Pattern 366 367 (PNA; Wallace and Gutzler 1981). Many previous studies have identified several mechanisms that could explain North Pacific-NA (NP-NA) coupling such as this 368 (Castanheira and Graf 2003; Honda et al. 2005; Pinto et al. 2011). A major sudden 369 370 stratospheric warming (SSW) was also recorded in the 2010 winter (Dornbrack et al. 2012), as also reported by the NOAA². The occurrence of a SSW has been associated 371 with NP-NA coupling, mainly through vertical Rossby wave propagation and 372

² NOAA Weather Service - Climate Prediction Center; http://www.cpc.ncep.noaa.gov/products/stratosphere/)

troposphere-stratosphere coupling (Ineson and Scaife 2009), and with troposphericblocking (Castanheira and Barriopedro 2010).

A brief statistical analysis has been performed and this reveals no clear signature in the 375 376 Pacific SSTs in other winters with very strong Atlantic jet anomalies (not shown). This demonstrates that there is not a general and permanent link between these features. In 377 fact, a possible ENSO-like influence has been suggested to be non-stationary in time 378 due to modulation by multi-decadal oscillations of SST anomalies over the Atlantic and 379 Pacific basins (e.g. Greatbatch et al. 2004; Zanchettin et al., 2008; López-Parages and 380 Rodríguez-Fonseca, 2012). Numerical modeling experiments would be required to 381 investigate the likelihood of tropical Pacific influence in these two winters more fully. 382

383

384 *c. Assessing the exceptionality of the two winters*

As previously mentioned, the 20CR is also used so as to better assess the variability in 385 both the jet stream latitude and in the number of SPRE days, by using a 56-member 386 387 ensemble over a relatively long time period (1871-2010; 140 years). Figure 7a shows 388 box plots of the winter mean jet latitude, derived by averaging the daily values over the 389 November-March period. This shows similar empirical distributions for the NCEP-390 NCAR reanalysis and the 20CR, despite the larger sample size in 20CR (140 winters) 391 than in NCEP (63 winters). These distributions are nearly symmetric (almost zero 392 skewness) and only one outlier is observed for the NCEP distribution (from the NCEP-393 NCAR reanalysis). The mean jet latitudes are 41°N in 2010 and 53°N in 2012, which are 394 located at the very tails of both distributions, particularly in 2010 (Fig. 7a). The strong 395 dependency of the SPRE detection on fixed thresholds (area-mean Z500 zonal mean departures greater than 140 gpm, lasting at least 10 days and separated by at least 3 396

days) explains the strong positive skewness in their distributions (Fig. 7b); the skewness 397 398 coefficient is statistically significant at a confidence level of 99%. The existence of several positive outliers in the 20CR distribution is also noteworthy, showing strong 399 400 variability in this diagnostic. As previously stated, the winter of 2012 exhibits the highest number of SPRE days (3 SPRE with a total of 70 days) of the entire record for 401 402 the NCEP distribution and corresponds to a positive outlier in the 20CR distribution 403 (Fig. 7b). On the contrary, the winter of 2010 shows no SPRE occurrences (Fig. 7b), i.e. 404 the absolute minimum in both distributions, by definition of the SPRE. These findings are indeed a manifestation of extraordinarily anomalous dynamical conditions that 405 406 prevailed during the two winters.

407 In the analysis of the temporal variability for 20CR, medians across the ensemble are used instead of means, as they are a more robust central tendency measure than the 408 409 latter (they are less sensitive to outliers), though the results remain nearly unchanged (not shown). The chronograms of the jet latitude (Fig. 7c) and of the number of SPRE 410 411 days (Fig. 7d) reveal a clear agreement between both reanalysis datasets (NCEP and 412 20CR) in their common period of 1950-2010 (grey vs. black bars and orange vs. red 413 curves in Fig. 7c, d). This high correspondence for the jet latitude is corroborated by a 414 correlation coefficient between its 11-yr moving averages for NCEP and 20CR of 0.99 415 (statistically significant at a confidence level of 99%). For the number of SPRE days, the correlation coefficient is 0.94, also statistically significant at a confidence level of 416 417 99%. As referred to above, due to the SPRE definition, which relies on specific spatial 418 and temporal criteria, slight differences in the daily Z500 fields explain some important discrepancies not only amongst the 20CR ensemble members, but also between 20CR 419 420 and NCEP-NCAR outcomes.

The chronograms also reveal the presence of slight long-term trends in the ensemble 421 422 medians of the two measures (red curves in Fig. 7c, d). In both the mean jet latitude and in the number of SPRE days, the 11-yr moving averages of the ensemble medians only 423 424 show relatively weak decadal trends. The blue lines in turn give an indication of changes in inter-annual variability over time. This is done by taking the median across 425 the ensemble as before, but this time plotting the 25th and 75th percentiles of the set of 426 11 years in the moving window, hence summarizing the inter-annual variability in each 427 11 year window. These show that these two extreme winters do not seem to be part of a 428 long term trend towards higher inter-annual variability. Despite the upward trend in the 429 430 number of NCEP SPRE days in the recent past (Fig. 7d), only a slight upward long-term trend (about + 0.8 day/decade) is found over the whole 140-yr period (1871-2010), or 431 even in the common period (1950-2012), using 20CR. This discrepancy can be 432 433 explained by the stronger linear trend in the Z500 for NCEP than for the 20CR within 434 the ridge sector of the SPRE definition (not shown). Furthermore, a spectral analysis of 435 the time series of the individual ensemble members and of the ensemble mean shows no statistically significant periodicity apart from red-noise in both the jet latitude and in the 436 number of SPRE days (not shown). This outcome highlights the irregularity (low serial 437 438 correlations) in the occurrence of SPRE days.

439

440 4. Summary and conclusions

Two recent and exceptional winters within the NA-European sector were selected in the present study, with clear contrasts in their jet stream latitudes (Fig. 1): 2010 (southwardly shifted jet and frequent high-latitude blocking) and 2012 (northwardly shifted jet and frequent low-latitude blocking). Owing to their strong impacts on many

socioeconomic sectors throughout Europe (strong precipitation and temperature 445 446 anomalies), their driving atmospheric dynamics deserve a better understanding, as well as the assessment of their exceptionality, which are indeed the main purposes of this 447 448 research. An analysis of the tropospheric flow hints at strong negative anomalies within the latitude sector of 40-50°N during the 2010 winter, whilst persistent and recurrent 449 450 positive anomalies are found during the 2012 winter for the same latitudes (Fig. 2). 451 These results are not only confirmed by the extreme NAO phases of the two winters, but also by the respective blocking frequencies (Fig. 3) and the SPRE occurrences 452 (Table S1). The characteristic dynamical structure of the SPRE (Fig. S1), with a strong 453 454 and persistent equivalent barotropic ridge over the eastern NA, maintained by anticyclonic RWB, was predominant in the 2012 winter (Figs. S1 and 4). Furthermore, 455 456 the southwardly (northwardly) displaced jet in 2010 (2012) is reflected at the surface by 457 similarly shifted paths of cyclone activity and corresponding precipitation anomalies 458 over different parts of Europe (Fig. 5). The impacts of these shifts in the large-scale 459 atmospheric flow on the precipitation totals for each winter are remarkable (Fig. 5). As 460 a result, a diagnosis of the atmospheric conditions during these two winters elucidated the role played by the occurrence (absence) of three deeply intertwined dynamical 461 features (SPRE / low-latitude blocking / anticyclonic-RWB) in triggering extreme 462 463 winter conditions in Europe.

In most regards the two winters can be seen to be exact opposites of each other. Furthermore, they are both exceptional events, lying at the extreme opposite ends of the spectrum of variability. Therefore, these two winters may be seen as prime examples, or archetypes of the range of NA jet variability, at least under recent and current climate conditions.

The winters of 2010 and 2012 had significant impacts on precipitation and temperature 469 470 over large areas of Europe. The contrasts are particularly noticeable between southwestern and northern Europe (Fig. 5). Despite the extreme nature of these two 471 472 winters, the attribution of a single extreme event to either natural variability or anthropogenic forcing remains a difficult task in climate research (Seneviratne 2012). 473 474 Nevertheless, some efforts have been recently made in order to address this issue, such 475 as in explaining several extreme events that occurred worldwide during the year 2011 476 (Peterson et al. 2012). Furthermore, the observational precipitation data hint at a global intensification of the extremes in both tails of the precipitation distributions in the 477 478 second half of the twentieth century (Min et al. 2011). In spite of the high complexity of the mechanisms governing precipitation and the resulting uncertainty in its climate 479 480 change projections, enhanced extreme precipitation is expected in a future warmer 481 climate (e.g. Field et al. 2012; Trenberth et al. 2003). Nonetheless, due to the relatively 482 poor ability of climate models in reproducing blocking (Matsueda et al. 2009), the 483 future projections and implications for precipitation are still challenging. As GCMs generally do not capture the full range of jet variability seen in observations (Anstey et 484 al. 2013; Barnes and Polvani 2013; Hannachi et al. 2013), this raises concerns over their 485 486 ability to predict changes in extreme regional precipitation. In forthcoming research it is 487 aimed to specifically address this issue using control and forced runs from state-of-theart climate models. 488

489

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 1006.
- 675

676 List of Figures

677 FIG. 1. Left panels: Hovmöller diagrams (latitude-time) of the 850 hPa zonal wind component (in m.s-1), averaged over the 60°W-0° longitude sector for the winters 678 679 (November-March) of 2010 and 2012. In both diagrams dark lines indicate the daily 680 latitudes of zonal wind maxima. Right panels: Corresponding histograms of the jet 681 latitudinal distributions for the winters of 2010 and 2012 (grey bars), along with the average histogram over all winters in the period from 1950 to 2012 (white bars). The 682 683 frequencies of occurrence of each class are in days winter-1. 684 FIG. 2. Hovmöller diagrams (longitude-time) of the daily 500 hPa geopotential height anomalies (in gpm) from the instantaneous zonal mean, averaged over the 40-50°N 685 latitude sector, and for the winters (November-March) of (a) 2010 and (b) 2012. 686 687 FIG. 3. Left panels: Frequencies of occurrence of blocking (in percentage of days) over 688 the North Atlantic and Europe for the winters (November-March) of (a) 2010 and (c) 2012. Right panels: Anomalies from climatology (1950-2012) of the blocking 689 690 frequencies in (b) 2010 and (d) 2012, on the same color scale. FIG. 4. First row panels: Composites of the 2-PVU potential temperature (in K) for the 691 692 winters (November-March) of (a) 2010 and (b) 2012. Dashed line indicates the ridge 693 axis. Lower left panels: Illustration of a cyclonic RWB episode in the 2-PVU potential temperature (in K) at 12:00 UTC for the period from 30 January 2010 to 3 February 694 695 2010. Lower right panels: Illustration of an anticyclonic RWB episode for the period 2-696 4 December 2011 (part of the 83rd SPRE in Table S1).

- 697 FIG. 5. Upper panels: Mean cyclone track density (contours) for the winters
- 698 (November-March) of (a) 2010 and (b) 2012 and corresponding anomalies (shading) for

the 1950-2012 baseline period. Middle panels: the same as on the upper panels, but for
the mean precipitation rates (in mm day-1). Lower panels: the same as on the upper
panels, but for the mean 2 m air temperature (in C°).

FIG. 6. Composite anomalies (baseline period of 1981-2010) of the: SST (shading in

°C) for October-November (a) 2010 and (b) 2012 (from NOAA Extended SST); 250

hPa zonal wind component (shading in m s-1) and 500 hPa geopotential height

(contours in gpm) for November-March (c) 2010 and (d) 2012.

FIG. 7. Box plots of the (a) jet latitude and (b) number of SPRE days for all winters

707 (Nov-Mar) in 1950-2012 (NCEP) and 1871-2010 (20CR). Horizontal lines within the

boxes indicate the medians, upper (lower) box limits the first (third) quartiles, upper

709 (lower) whiskers the non-outliner maxima (minima). Red circles for outliers (above the

3th quartile + $1.5 \times$ interquartile range). Grey arrows locate the 2010 and 2012 winters

in the distribution. Chronograms of the (c) mean jet latitude and (d) number of SPRE

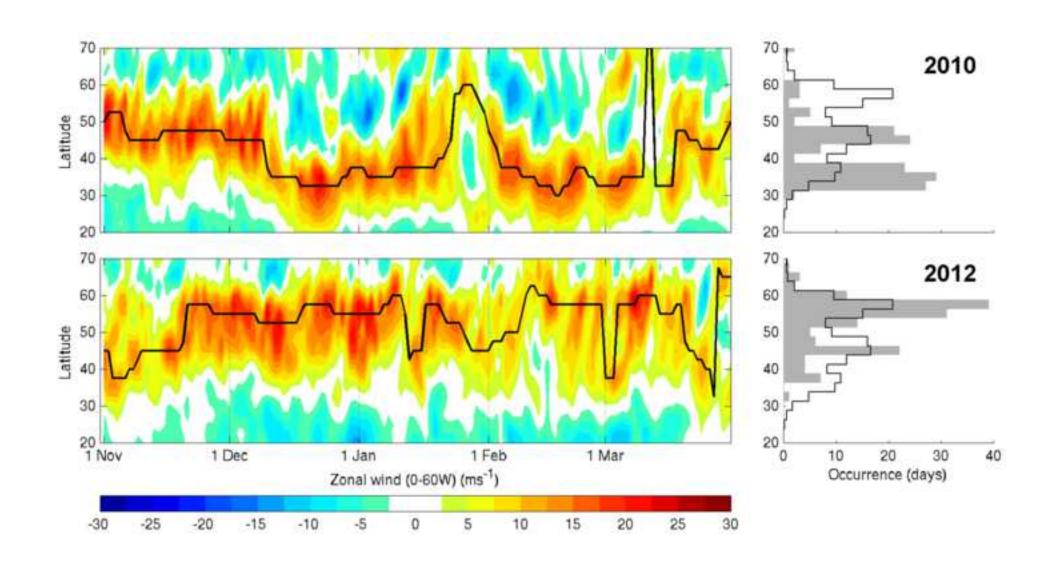
days for winters in 1950-2012 (NCEP-NCAR reanalysis; black bars) and corresponding

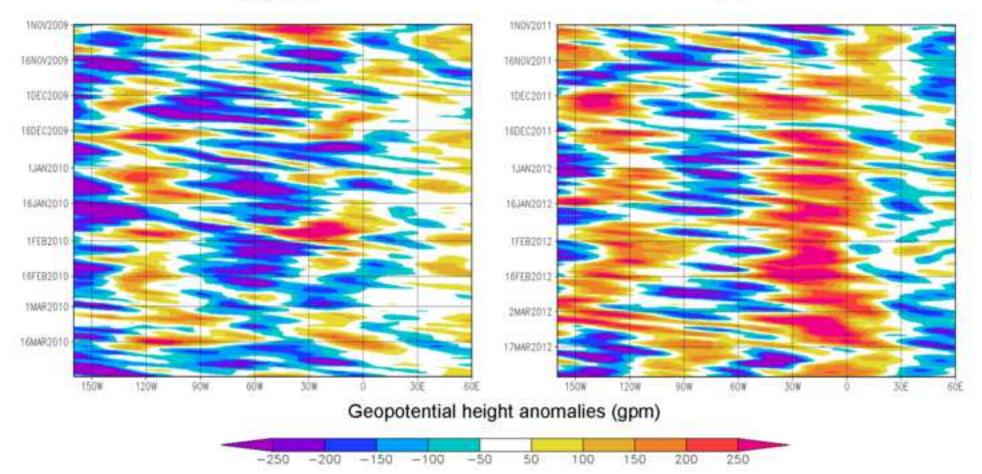
ensemble medians for winters in 1871-2010 (20CR; grey bars). Years refer to January

of each winter. The 11-yr running means (red curves) and the 11-yr running first/third

quartiles (blue curves) of the ensemble medians are plotted, along with the 11-yr

running means for the NCEP-NCAR (orange curves).

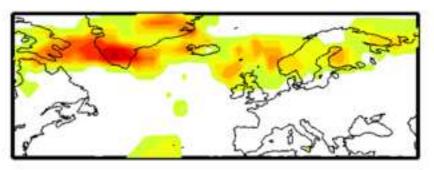




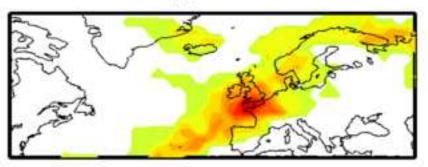
(b) 2012

(a) 2010

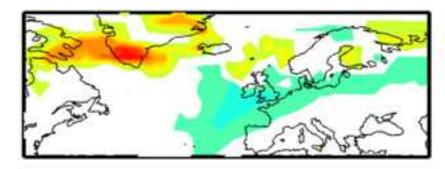
(a) 2010



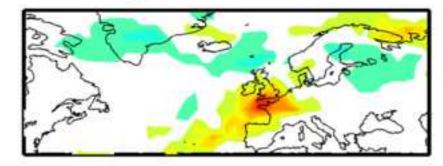
(c) 2012

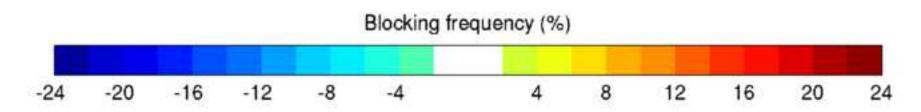


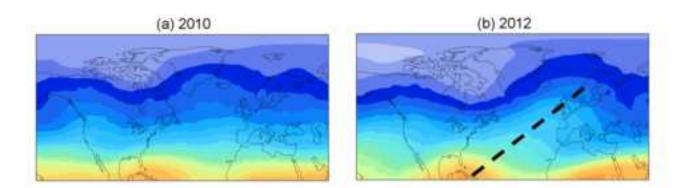
(b) 2010 anomalies



(d) 2012 anomalies

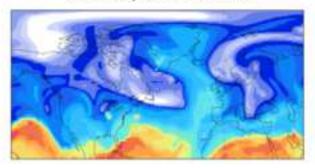




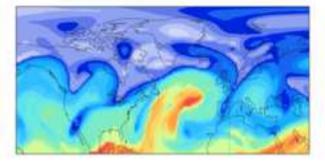


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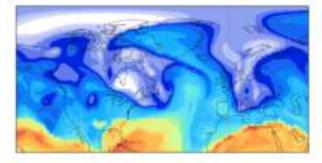
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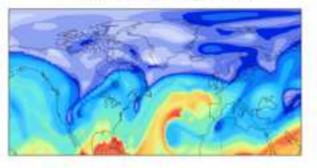
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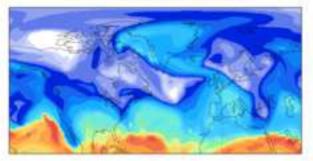
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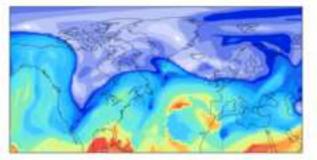


3 February 2010 12:00 UTC

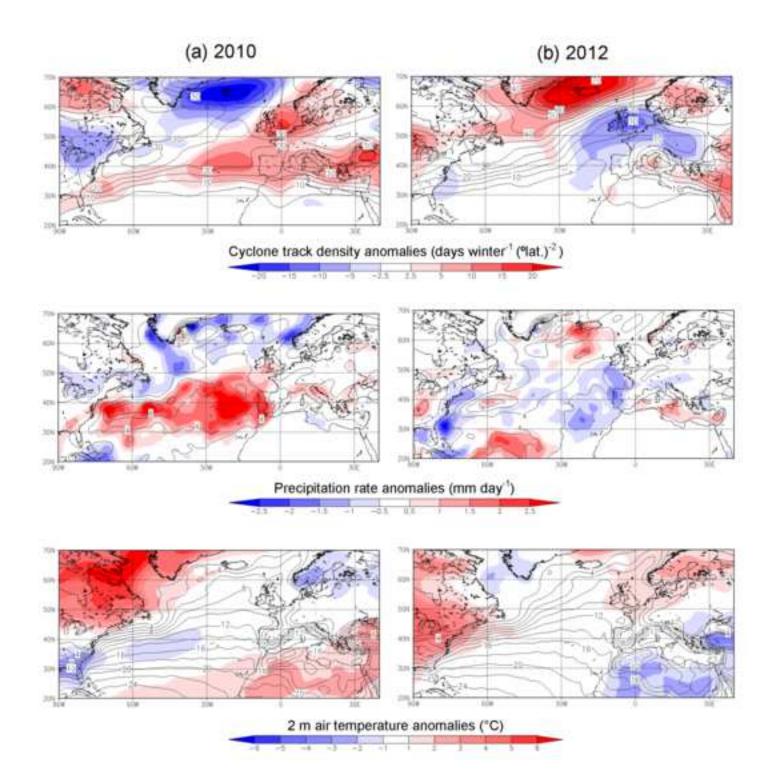


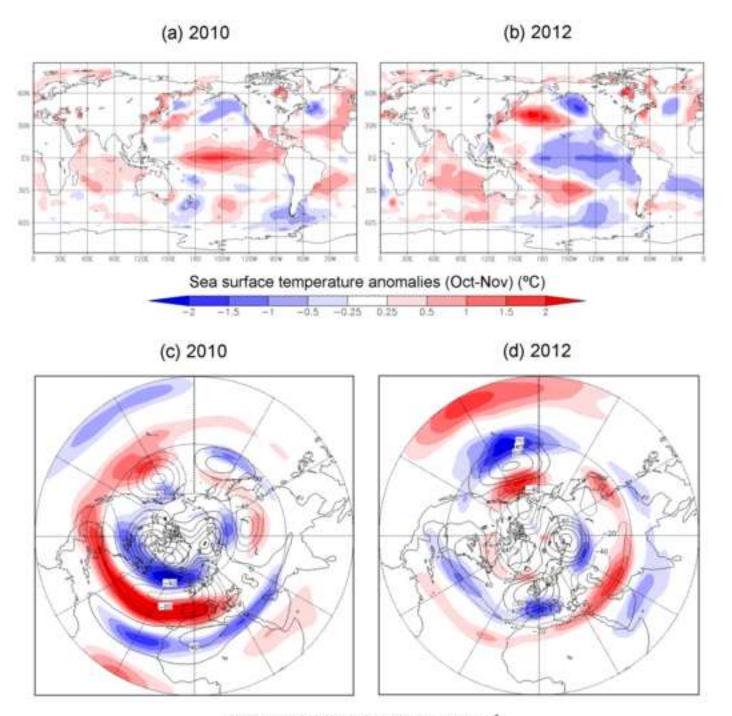
4 December 2011 12:00 UTC



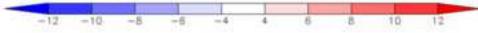


Potential temporature (K)

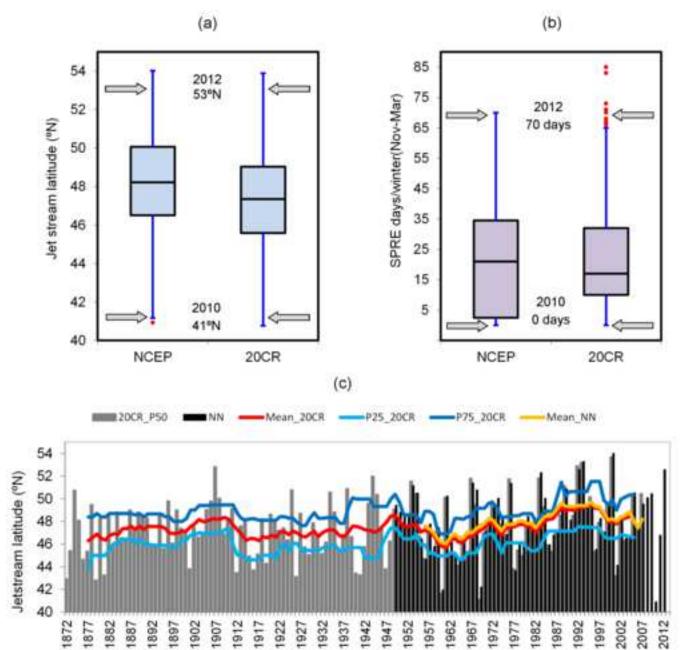




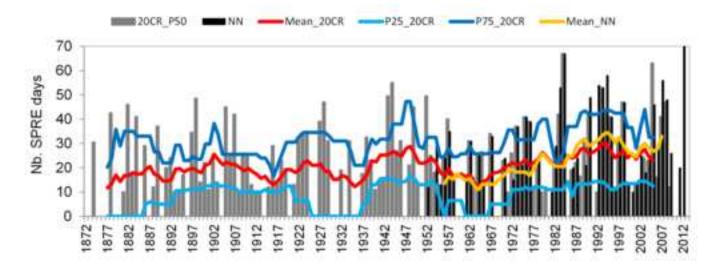




Rendered Figure 7 Click here to download high resolution image



(d)



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