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Measuring large topographic change with InSAR: lava thicknesses, extrusion rate and subsidence rate at Santiaguito Volcano, Guatemala

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Abstract

Lava flows can produce changes in topography on the order of 10s-100s of metres. A knowledge of the resulting volume change provides evidence about the dynamics of an eruption. Using differential InSAR phase delays, it is possible to estimate height differences between the current topography and a Digital Elevation Model (DEM). This does not require a pre-event SAR image, so it does not rely on interferometric phase remaining coherent during eruption and emplacement. Synthetic tests predict that we can estimate lava thickness of as little as ~9 m, given a minimum of 5 interferograms with suitably large orbital baseline separations. In the case of continuous motion, such as lava flow subsidence, we invert interferometric phase simultaneously for topographic change and displacement. We apply this to Santiaguito volcano, Guatemala, and measure increases in lava thickness of up to 140 m between 2000 and 2009, largely associated with activity between 2000 and 2005. We find a mean extrusion rate of $0.43 +/- 0.06 \text{ m}^3/\text{s}$, which lies within

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the error bounds of the longer term extrusion rate between 1922-2000. The thickest and youngest parts of the flow deposit were shown to be subsiding at an average rate of \sim -6 cm/yr. This is the first time that flow thickness and subsidence have been measured simultaneously. We expect this approach to be suitable for measurement of landslides and other mass flow deposits as well as lava flows.

Keywords: InSAR, volcano, Santiaguito, SRTM, DEM, lava flow, lava thickness

1 1. Introduction

Measurements of lava volume flux at erupting volcanoes are important 2 both as evidence of the processes driving an eruption, and for monitoring 3 the development of young lava flows and associated hazard. The volume 4 flux of lava at a volcano can provide important evidence about source depth or conduit dimensions (Harris et al., 2007), and therefore constrain models of the magma dynamics driving an eruption. Comparison of current time-7 averaged effusion rates to past rates derived from field measurements can 8 give us insight into long-term trends in volcanic behaviour (e.g. Siswowidjoyo 9 et al. (1995)) and to distinguish between increasing and decreasing levels of 10 activity within long-duration eruptions (Wadge, 1981; Harris, 2000). Lava 11 extrusion rate (or effusion rate for less viscous magmas) is also a primary 12 control on the shape, pattern of growth, cooling rate and morphology of 13 a lava field (Rowland and Walker, 1990; Pinkerton and Wilson, 1994) and 14 is thus a key parameter for predicting the eventual extent and associated 15 hazard. 16

Interferometric Synthetic Aperture Radar (InSAR) measures the phase 17 change between time separated radar images. Geometric phase contributions 18 are corrected during the construction of interferograms using satellite orbit 19 information and Digital Elevation Models (DEMs). Where the DEM used 20 in processing differs from the topography at the time when InSAR data 21 is acquired, phase contributions originating in the difference in topography 22 (generally referred to as 'DEM errors'), remain in the interferograms. Since 23 InSAR is most commonly used to measure millimetre- to centimetre-scale 24 deformation, these topographic phase shifts are generally treated as nuisance 25 factors and corrected (e.g. Berardino et al. (2002); Samsonov et al. (2011)). 26

In this paper we present an application for estimating topographic changes 27 on the order of 10s to 100s of metres, using a set of Interferometric Synthetic 28 Aperture Radar (InSAR) images. We run synthetic tests to determine lim-29 itations, uncertainties and data requirements, and measure change in lava 30 thickness, long-term extrusion rate (Section 4) and flow shape (Section 5) 31 at Santiaguito volcano, Guatemala, between 2000 and 2009. We also solve 32 simultaneously for lava subsidence during our period of InSAR data acquisi-33 tions (Section 6). Finally, we discuss the usefulness of this method as a tool 34 for volcanologists. 35

36 1.1. Background: Measurements of lava extrusion rate

Time-averaged lava extrusion rates are commonly estimated using either satellite (e.g. Harris et al. (2011)) or ground-based (e.g. Ryan et al. (2010)) remote sensing methods since they allow a complete flow-field to be measured simultaneously and can be repeated at long intervals. In contrast, field measurements capture instantaneous fluxes that may not be representative of overall lava flux and rely on potentially dangerous measurements of mean
lava velocity and channel dimensions (e.g. Calvari (2003)) and are less suited
to long-term extrapolation (discussed in detail by Wright et al. (2001)).

Remote sensing measurement of lava flux, both ground- and satellite-45 based, falls into two categories: 1) thermal methods (e.g. as at Stromboli 46 (Calvari et al., 2010), Kilauea (Harris et al., 1998) or Unzen (Wooster and 47 Kaneko, 1998)) and 2) volumetric methods (e.g. at Okmok (Lu et al., 2003), 48 Etna (Stevens et al., 2001) or Arenal (Wadge et al., 2006)). Thermal methods 49 (discussed in detail by Harris et al. (2007)) use heat flux models to calculate 50 lava mass fluxes. This relies on there being a linear relationship between 51 heat flux and lava flow area, a reasonable assumption where flow area is con-52 trolled by cooling, but not where it is limited by topographic features (Harris 53 et al., 2007). Volumetric methods involve differencing digital elevation mod-54 els (DEMs), which can be constructed from topographic maps (e.g. Wadge 55 et al. (2006)), field measurements (e.g. Sparks et al. (1998)), aerial/satellite 56 laser altimetry (e.g. Garvin (1996)), ground-based radar (e.g. Macfarlane 57 et al. (2006)) or satellite optical/radar data (Lu et al., 2003). Volumetric 58 estimates of effusion rates will be underestimates where material has been 59 removed by erosion between measurements of topography. 60

Routinely acquired satellite data can produce a greater temporal frequency of measurements than could be achieved from ground based campaigns. However, two primary limitations apply to the use of satellite data to estimate lava effusion rate: cloud/water vapour cover and acquisition geometry. Infrared imagery (e.g. ASTER/MODIS) cannot be used where the site of interest is cloud covered. Coppola et al. (2010)'s comparison of ground Figure 1: a) Santa Maria volcano and Santiaguito lava dome, Guatemala. Lavas and other eruptive products from the growth of Santiaguito between 1922 and 2006 are marked schematically, after Escobar Wolf et al. (2008). b) Schematic showing variations in extrusion rate at Santiaguito between 1920 and 2010. Extrusion rates are from Harris et al. (2007); Rose (1972, 1987) and show time-averaged, rather than instantaneous rates.

Figure 2: Illustration of criteria for identifying DEM artefacts. a) Map of the correlation coefficient squared (\mathbb{R}^2) of the correlation coefficient between phase and baseline. b) Map of the lower limit of the 95% confidence interval for correlation coefficient (\mathbb{R}). c) Map of the lower limit of gradient of phase with respect to baseline $(\frac{\delta\phi}{B_{perp}} - \sigma_{\frac{\delta\phi}{B_{perp}}})$. d) Example of $\frac{\delta\phi}{B_{perp}}$ relationship where there is a significant difference between the DEM and current topography. e) Example of an area of smaller topographic change where the $\frac{\delta\phi}{B_{perp}}$ relationship is still robust and f) Illustration of relationship between $\frac{\delta\phi}{B_{perp}}$ where there has been no significant topographic change between 2000 and 2007. Locations of d, e and f are indicated on a, b and c.

and satellite based thermal measurements found that $\sim 65\%$ of MODIS imagery of Piton de la Fournaise was obscured by clouds and unusable. The construction of DEMs from satellite data generally requires a specifically designed acquisition strategy, such as the ERS1/2 tandem mission. DEMs can be constructed from pairs of radar images only where spatial separation (satellite baseline) is high and temporal separation is low.

⁷³ 1.2. Background: Santiaguito lava fields

The Santiaguito lava dome complex (Figure 1a) has been growing persistently since 1922 in the explosion crater formed by the 1902 eruption of Santa Maria volcano. Activity since 1922 has consisted of intermittent explosions and ash plumes and the extrusion of dacitic lava flows, forming a dome complex of $\sim 1.1 \text{ km}^3$ (Harris et al., 2002). Since 1977, activity has been centred on El Caliente vent (Figure 1).

The average extrusion rate between 1922 and 1984, as estimated from de-80 tailed field mapping, was $0.46 \text{ m}^3 \text{s}^{-1}$ (Harris et al., 2002). Harris et al. (2002) 81 made 18 further estimates of extrusion rate at Santiaguito between 1987 and 82 2000, using thermal satellite imagery. These showed a cyclical pattern in 83 extrusion with a short (3-6 years) burst of high rate extrusion, followed by a 84 longer period (3–11 years) at a lower rate, but with an overall decay in extru-85 sion rate between 1922 and 2000 (Figure 1b). Instantaneous extrusion rate 86 increased from 0.6 $m^3 s^{-1}$ in 2000 to 1.4 $m^3 s^{-1}$ in 2002, the highest measure-87 ment of extrusion at Santiaguito since 1963 (these short-lived rates greatly 88 exceed the time averaged values shown in Figure 1b). Such high rates are 89 short-lived and are likely to be missed by time-averaged eruption rate es-90 timates. Activity at Santiaguito has changed from endogenous, where the 91 dome grows by the subsurface accumulation of magma (1922–1929), through 92 a period of transition (1929–1958) to exogenous (1958 onwards) behaviour, 93 where lava is extruded onto the ground surface. Flow length has also in-94 creased due to decreasing silica content and consequently lower viscosity 95 (Harris et al., 2002). Harris et al. (2002) suggest that these changes are in-96 dicative of magma source exhaustion and suggest that a continued decrease 97

in extrusion rate, silica content and increase in duration of low flux peri-98 ods might indicate that the Santiaguito lava dome eruption is drawing to a 99 close, but later observations of higher rate extrusion in 2002 (Harris et al., 100 2004), and more recently in 2011-early 2012 are not in keeping with this in-101 terpretation. Santiaguito's most recent period of high extrusion rate activity 102 has produced twin lava flows extending more than 2 km from El Caliente, 103 and were advancing at more than 5 m per day in June 2011 (J.B. Johnson, 104 personal communication, 2012). 105

106 2. Method

Interferograms include phase contributions from differences in satellite position and resulting viewing geometry. These are generally divided into a 'flat earth' correction $(\delta\phi_{orbit})$, and a correction for the effect of viewing topography from different angle $(\delta\phi_{topo})$. Other contributions come from changes to the distribution of tropospheric water vapour between radar acquisitions $(\delta\phi_{atm})$, changes to scattering properties of the ground $(\delta\phi_{pixel})$ and ground movements $(\delta\phi_{defo})$ (e.g. Massonnet and Feigl (1998)).

$$\delta\phi = \delta\phi_{orbit} + \delta\phi_{topo} + \delta\phi_{atm} + \delta\phi_{pixel} + \delta\phi_{defo} \tag{1}$$

Phase shifts caused by topography change $(\delta \phi_{topo})$ between the times of DEM and InSAR acquisitions exhibit a characteristic linear relationship with the perpendicular separation of satellite positions (B_{perp}), where the gradient depends primarily on radar wavelength (λ), incidence angle (ν), range of satellite from the ground (r) and vertical change in topography (δz) (e.g. Rodriguez and Martin (1992); Zebker and Villasensor (1992); Ferretti
et al. (1999)).

$$\delta z = \frac{r\lambda \sin \nu}{4\pi B_{perp}} \delta \phi_{topo} \tag{2}$$

Thus, where phase change of an individual pixel can be shown to have a 121 systematic relationship to baseline (B_{perp}) , we assume that topographic phase 122 contributions, $\delta \phi_{topo}$, dominate the measured phase shift, so the change in 123 topography since the DEM was constructed can be calculated. The first step 124 is to map out the region over which topographic change has taken place using 125 phase-baseline relationships for a set of interferograms (described in detail 126 in Section 3.1). The second is then to invert phase data covering that region 127 to retrieve change in topographic height. Where deformation is expected to 128 be negligible, this can be a single inversion. We discussion joint inversion for 129 $\delta \phi_{topo}$ and $\delta \phi_{def}$ in Section 3.4. 130

Using a set of interferograms, this problem is of the form d=Gz, where 131 \mathbf{d} is a column vector containing the pixel phase shift in each interferogram, \mathbf{z} 132 is the corresponding change in topographic height and G is a design matrix 133 containing the corresponding set of perpendicular baselines and a constant 134 multiplier, $\frac{r\lambda \sin \nu}{4\pi}$. Baselines estimated for the start and end of each inter-135 ferogram were interpolated linearly to find the baseline at Santiaguito, and 136 constant values for ν (39.2deg) and r (843044 m) are used. This is reasonable 137 as the variation in these two properties is less than a fraction of a percent, and 138 orders of magnitude lower than the uncertainty in our phase measurements 139 expected to be introduced by atmospheric artefacts. 140

141

We find topographic change (\mathbf{z}) using a weighted linear least squares

¹⁴² inversion:

$$\mathbf{z} = [\mathbf{G}^{\mathbf{T}} \mathbf{W}_{\phi}^{-1} \mathbf{G}]^{-1} \mathbf{G}^{\mathbf{T}} \mathbf{W}_{\phi}^{-1} \mathbf{d}$$
(3)

Each interferogram in the inversion is weighted according to its maximum variance (σ_{max}^2) . We use a weighting matrix, \mathbf{W}_{ϕ} , with diagonal elements of σ_{max}^2 for each interferogram and off-diagonal elements of 0, so that we neglect the effects of covariance in atmospheric noise between interferograms. The uncertainty in \mathbf{z} (σ_z) is then $\frac{T\lambda \sin\nu}{4\pi} [\mathbf{G}^{\mathbf{T}} \mathbf{W}_{\phi}^{-1} \mathbf{G}]^{-1}$.

¹⁴⁸ 3. Application to Santiaguito

Interferograms covering Santiaguito lava dome, Guatemala, were pro-149 duced from ALOS data between 2009 and 2010 (Track 174, Frame 280, 7 150 interferograms, from 7 acquisitions). Interferograms were constructed using 151 the Repeat Orbit Processing software (ROLPAC) developed at Caltech/JPL 152 (Rosen et al., 2004) with topographic correction made using NASA's Shut-153 tle Radar Topography Mission 90 m Digital Elevation Model (DEM) (Rosen 154 et al., 2001), which was interpolated and resampled to a spacing of 30 m. 155 SRTM data were acquired from single pass Interferometric Synthetic Aper-156 ture Radar (SAR) instrument on an 11 day shuttle mission in February 2000 157 for the specific purpose of producing a global DEM (Rosen et al., 2001). The 158 atmospheric error typical of each interferogram is obtained from a 1D covari-159 ance model fit to the auto-covariance function of atmospheric noise in each 160 interferogram (Hanssen, 2001; Wright, 2004). We find maximum standard 161 deviations in the range 4-7 mm and typical length scales of 13-63 km. 162

163 3.1. 2D lava flow map

We test and apply two criteria for identifying topographic phase shifts at Santiaguito: (1) the lower confidence interval of the Pearson productmoment correlation coefficient (R) between $\delta\phi$ and B_{perp} (Wonnacott, 1990) (e.g. Figure 2b) and (2) the minimum gradient as calculated from inversion formal errors, $(\mathbf{z}-\sigma_z)$ (e.g. Figure 2c).

Although a strong correlation between $\delta \phi$ and B_{perp} is reflected by a high 169 value for the coefficient of determination (\mathbb{R}^2) , this may be due either to a 170 topographic phase shift or simply consistently low phase values across all 171 baselines. Using the lower limits of the 95% confidence interval for the corre-172 lation coefficient, however, allows us to distinguish between these two cases 173 (compare Figures 2a and 2b). The boundary where the lower limit of the 174 correlation coefficient falls below 0 (or rises above 0 when considering a de-175 crease in topographic height), captures the extent of a region of topographic 176 change and can be extracted from phase data using a mask. Similarly, where 177 the minimum value for phase-baseline gradient falls below 0, there is no 178 demonstrable relationship between $\delta \phi$ and B_{perp} and therefore no significant 179 topographic change. We find this method (criterion (2)) slightly more useful 180 with the Santiaguito data, as the use of the lower confidence interval for R 181 occasionally returns false positives (as can be seen on Figure 2b). 182

There is a good general correlation between the map outline of the ALOS determined thickness changes found here, the field mapping of the lava flows (Escobar Wolf et al., 2008) extruded between 2000 and 2006 (Figure 3c) and an ASTER image from from February 2009 (Figure 3b). Santiaguito's topography did not change significantly during the time when SAR data were Figure 3: a) Example of an interferogram showing topographic phase shifts at Santiaguito lava dome, Guatemala (14th June 2009 - 14th September 2009, perpendicular baseline = -233 m.) Azimuth (Az) and incidence angle (~ 39 deg) directions are indicated. b) ASTER multispectral image at 15 metre resolution from 7th February 2009 (Red, green and near infrared bands) with colours inverted and saturation increased, to make lava flows clearer. c) Schematic map of lava flows from El Caliente vent at Santiaguito, after Escobar Wolf et al. (2008). Flows emplaced after the SRTM data were acquired in 2000 are coloured red. d) Map of lava thicknesses calculated from phase shifts in our complete set of interferograms over Santiaguito.

acquired (2007-2010). The last extrusive period to affect our coherent region at Santiaguito ended in 2005 (Escobar Wolf et al. (2008) and Smithsonian database) and we assume that topographic changes due to weathering, rockfall and ash deposition are below the sensitivity of our measurements.

We expect the spatial resolution of our data to be the same as the DEM 192 used in processing (90 m), and that we can deduce the shape of the deposit 193 from our $(\mathbf{z} - \sigma_z)$ maps to a precision of about two pixels (180 m) around 194 its edges. We are unable to capture the complete lava flow map at San-195 tiaguito due to phase incoherence. Where the scattering properties of the 196 ground change rapidly, the radar phase returned from the ground alters be-197 tween satellite acquisitions in an unpredictable way so that shifts caused by 198 topographic change or deformation are not retrievable. Incoherence in the 199 area around El Caliente vent is presumably caused by changes in scatterer 200 properties due to minor explosive eruptions and rockfall deposits from dome 201 activity. 202

Figure 4: a) Profile along lava flow showing new material over original SRTM surface. b, c, d and e show cross sectional profiles of the lava flow thickness. The SRTM topographic surface is shown by a solid black line, while the young lava is shown in solid grey. Cross section locations are shown on the inset to Figure 4a.

²⁰³ 3.2. Lava volume and effusion rate

We find a maximum lava thickness of ~ 140 m at the closest measurable 204 point to the active vent. Lava thickness decreases with distance from the 205 vent, with some individual flow units clearly identifiable in the structure 206 (Figure 3d and 4a-e). We estimate flow-field volume by integrating the height 207 increase across all pixels on the surface of the lava flow and find a total 208 increase in volume of $1.20 \times 10^8 \text{ m}^3$ between 2000 and 2009. Uncertainty 209 in calculations of volume will depend on the accuracy with which we can 210 resolve the edge of the deposit and estimate the surface area it covers. At 211 Santiaguito, the lava flow perimeter is ~ 8 km long giving an estimated area 212 error of $\sim 1.4 \text{ km}^2$. In combination with our uncertainties for lava thickness, 213 this gives us a total uncertainty in volume change between 2000 and 2009 of 214 the order of 1×10^7 m³, of 10%. 215

The mean rate of change in volume between 2000 and 2009 is therefore $0.43 \pm 0.06 \text{ m}^3/\text{s}$, very close to the time averaged rate (1922–2000) of 0.44 $\pm 0.01 \text{ m}^3/\text{s}$, calculated by Harris et al. (2002). Over our area of measurement, this rate actually reflects periods of high rate lava extrusion between 2000 and 2005 and then a lack of significant extrusive activity between 2005 and 2009. We estimate volume flux during this more active period to be 0.78 m^3/s . This is slightly higher than the extrusion rate measured by Durst (2006) (~0.68 m³/s) using analysis of ASTER DEMs from 2002 and 2005, and is comparable to past periods of high extrusion (Harris et al., 2002).

It is, however, likely to be an underestimate of the total flow rate over this 225 time, as we do not have data for the complete lava flow field from 2000–2009. 226 This is partially due to incoherence, but we are also unable to take account 227 of the volume of any material eroded between 2000 and 2009 (unlike the 228 thermally derived fluxes). Harris et al. (2002) suggested that extrusion rates 229 calculated from pre-1980 field measurements underestimate the lava flux at 230 Santiaguito between 1922 and 1987 by 5-25%, from estimations of eroded 231 volumes from a debris fan downstream of the volcano. If our rate is a similar 232 underestimate, then mean extrusion rates could be as high as $0.45-0.54 \text{ m}^3/\text{s}$ 233 from 2000 to 2009. 234

235 3.3. Flow morphology

We are able to examine large-scale lava flow morphology at Santiaguito 236 using profiles through our lava thickness maps superimposed on the original 237 2000 DEM. The SRTM data were acquired in February 2000 during a period 238 of extrusion that started in July 1999. The morphology of a central channel 239 flanked by levees was already established by this time and appears as a 240 shallow 'ridge' in the SRTM DEM (Figure 4). Subsequent viscous, dacitic 241 lavas followed this channel in 2001-2002, 2003 and 2004, gradually increasing 242 the height of channel, levees and banks and increasing the lava flow's aspect 243 ratio. 244

Profiles A, B and C on Figure 4 (b-d) cut across part of the lava field identified as 'channelised' by Harris et al. (2004) using satellite thermal im-

agery and synchronised field observations in 2000, 2001 and 2002. We see 247 no evidence of the stable channel and levee structures seen by Harris et al. 248 (2002), which are also visible in recent ASTER imagery (Figure 3b) in the 249 older parts of the lava flows (Figure 3c). This is presumably because the 250 levee width (68 \pm 25 m measured in 2002 (Harris et al., 2004)) is below 251 the resolution of the SRTM DEM (~ 90 m, oversampled to 30 m for InSAR 252 processing). Thus the ridges represented by the SRTM data in Figure 4 are 253 interpreted as channelised lava flows (as of February 2000) that continued to 254 be used by subsequent flows, though the channel/levee structure is smoothed 255 out in these data. Profile D (Figure 4e) is from the zone of dispersed flow and 256 has a lower aspect ratio. The limiting factor for measuring flow morphology 257 from interferogram-derived topographic change is the resolution of the DEM 258 used in interferogram construction. 259

260

261 3.4. Lava flow subsidence

Channelised lava may continue to flow as it cools and after its source 262 flux has stopped, resulting in advancement of the flow toe, a fall in the 263 level of lava in the channel, and potentially the sinking or even collapse of 264 any bridging crust across the channel (e.g. Borgia et al. (1983)). Such 265 processes are expected to result in deformation soon after flow emplacement 266 of a magnitude too large to detect with differential InSAR (several metres, 267 see Figure 7b). As our data covers a period 3-5 years after the most recent 268 flows at Santiaguito were emplaced, we expect our measurements to capture 269 deformation associated with contraction and compaction, rather than flow 270 processes. 271

After flow has ceased, the subsidence of lava may be caused by thermal 272 contraction (Peck, 1978) or by mechanical processes, such as the rearrange-273 ment of clasts (Stevens et al., 2001). Reported InSAR measurements of lava 274 subsidence range in magnitude from 0.8 cm/yr at Etna to \sim 83 cm/yr at 275 Okmok (Toombs and Wagde, in review) with a few cm/yr being typical. 276 Most lava subsidence measurements to date have been made at basaltic, low 277 viscosity flows (Table 1, Figure 6). Rates are often constant by the time sur-278 faces become coherent enough to measure using InSAR. We expect lava flows 279 as young as those at Santiaguito to still be subsiding, as InSAR observations 280 of lava flows at Etna and Okmok volcanoes have measured subsidence ~ 10 281 and 35 years after emplacement, respectively (Stevens et al., 2001; Lu et al., 282 2005a). 283

We solve simultaneously for change in lava thickness and for deformation, 284 weighting our interferograms on the basis of atmospheric noise as described 285 in Section 3 (Figure 5a). We use a linear least squares inversion of interfero-286 gram phase to find velocities between acquisition dates (e.g. Berardino et al. 287 (2002)), using a generalised inverse matrix (Moore-Penrose pseudoinverse) 288 found from singular value decomposition. We solve for velocities relative 289 to the first acquisition date, where we assume that there is no ground mo-290 tion. This allows us to construct subsidence time series (e.g. Figure 5e). 291 As the design matrix for such a joint inversion is rank deficient, we use a 292 finite difference approximation of the second differential of the time series as 293 a smoothing constraint. We use zero value constraints for the first and last 294 dates in the time series. As we expect subsidence to be linear, we overweight 295 the smoothing parameter. 296

We investigate the trade-off between our uncertainties in lava thickness 297 and subsidence rate using a Monte Carlo approach, where we add randomly 298 generated, spatially correlated noise (as described in Section 3) before per-299 forming the joint inversion and repeated for 100 perturbed datasets. This 300 showed a positive trade-off between lava thickness and subsidence rate. We 301 are therefore conservative in making estimations of uncertainty in lava sub-302 sidence. The error in lava thickness from our single inversion $(\pm 9 \text{ m})$ will 303 result in phase shifts of between -0.03 and 0.48 radians in the individual 304 Santiaguito interferograms and an apparent subsidence rate of magnitude \pm 305 2 cm/yr. We do not expect to be able to detect subsidence below this rate. 306

Both joint inversion and correction of phase from single inversion result 307 in similar trends in subsidence rate measurements. We measure the largest 308 subsidence rates (6–10 \pm 2 cm/yr in satellite line of sight) at the thickest, 309 youngest part of the flow (Figure 5 a,b,d,e). In this part of the field the most 310 recent lava flows were only 5 years old (from 2004) at the time our first SAR 311 data acquisition, and total thickness of lava emplaced lies between ~ 90 and 312 140 m. Thinner, older parts of the flow show no deformation above a rate of 313 $\sim 2 \text{ cm/yr}$, except for an area on the edge of the 2001-2002 flow (Figure 5a 314 and b). 315

We expect the subsidence rate of young lava to depend on its age, thickness, composition and the morphology of the underlying substrate. As measurements across most of the lava field are below the bounds of our expected uncertainty, we lack the data to distinguish between these possibilities. However, a plot of lava subsidence against thickness does show some positive correlation, with a higher gradient at thicknesses above about 100 m, where

the lava flows are youngest (gradient=0.04cm/yr/m, R²=0.77, Figure 5c). 322 Although the general correlation between these two parameters ac cross the 323 whole lava field may reflect the trade-off between them, this change in gra-324 dient suggests a difference in behaviour between the post-2004 and older 325 lavas (Figure 5a,b,c,d)]. Similar positive correlations between flow thickness 326 and subsidence rate have been measured for basaltic flows (Lu et al., 2005b; 327 Stevens et al., 2001). Without a knowledge of flow temperature structure or 328 data allowing us to map the temporal development of subsidence rate, we are 329 unable to distinguish between subsidence mechanisms. However, in addition 330 to the thermal contraction expected for such a young flow, some degree of 331 clast repacking/gravity-driven compaction seems likely, given the steepness 332 of the slope upon which this flow was extruded (Figure 5d). 333

Although we expect highly viscous and thermally insulated flows such as Santiaguito to subside more slowly than less viscous basalts, the rather limited set of global measurements show no evidence of this (Figure 6). Our subsidence rate at Santiaguito adds to a very small set of observations of lava subsidence at andesitic-dacitic volcanoes (Table 1).

339 4. Discussion

Our measurements of lava thicknesses at Santiaguito demonstrate an approach suitable for monitoring extrusion and volume changes at remote or inaccessible volcanoes. We make the first measurement of volume flux at Santiaguito since extrusion of the 2004–2005 lava flows and the first observation of lava subsidence at this volcano. Our measurement of $0.43 \pm 0.06 \text{ m}^3/\text{s}$ between 2000 and 2009 should be treated as a minimum value for extrusion Figure 5: (a) Map of subsidence rate found from joint inversion. The apparently reduced are of the subsiding flow-field retrieved by joint inversion is a consequence of higher formal errors in lava thickness. (b) Schematic map showing the relative ages of lava flows emplaced after 2000, after Escobar Wolf et al. (2008) (c) Scatterplot of subsidence rate against lava thickness, showing an apparent linear relationship between increasing lava thickness and subsidence rate. (d) Profile of young lava laid over the original SRTM DEM (as in Figure 3). The size and direction of the arrow shows subsidence rate in satellite line of sight obtained from joint inversion. Inset panel below shows variation in subsidence rate with distance from El Caliente vent. Red dotted lines indicate the range of error in subsidence rate expected from an error in lava thickness of ± 9 m. (e) Time series showing cumulative deformation in the satellite line of sight at the thickest part of the lava flow (~ 140 m). Location of time series (e) is marked on d.

Figure 6: Lava subsidence rates normalised by maximum lava thickness (Table 1) are shown as a function of the age of the lava at the time of InSAR measurement. Basaltic lavas are shown in blue, and esites in red and our result for the dacitic lava of Santiaguito in black. Numbers in brackets refer to year of lava flow emplacement. rate. This minimum rate is close to the long term average extrusion rate
(1922-2000). Lava extrusion since 2000 has remained cyclical, with periods
of high extrusion in 2000-2005 and 2011- early 2012 (J.B. Johnson, personal
communication, 2012). There is no evidence in Santiaguito's flux estimates
to indicate exhaustion of its magmatic source.

The ability to make combined measurements of lava flow thickness and subsidence rate may be a powerful tool for studying post-emplacement flow deformation. Where lava subsidence is higher rate or more widespread than at Santiaguito, the relationship between these two parameters could allow us to distinguish between thermal and mechanical contraction. The measurement of lava subsidence in addition to lava thickness would also be aided by a larger dataset and therefore longer time series than is available at Santiaguito.

358 4.1. Method Applicability and Synthetic tests

We expect uncertainties to be introduced to our measurements of height change by (1) errors in the DEM used in processing (~7 m for SRTM, (Rosen et al., 2001)), (2) surface displacement (see Section 3.4) and (3) variations in tropospheric water vapour. The effects of (1) will be systematic, while (2) and (3) may be random, increasing the scatter in the $\frac{\delta\phi}{\delta B_{perp}}$ relationship.

For a dataset such as the one at Santiaguito (see Section 4), consisting of 7 interferograms with up to 140 m of height change and atmospheric noise of maximum standard deviation 6 mm, the formal error from inversion to find $\frac{\delta\phi}{B_{perp}}$ is ± 0.0009 radians/m, which corresponds to a mean uncertainty in lava thickness of $\sim \pm 9$ m.

We generate sets of synthetic interferograms and changes in topography (Supplemental Figure 1) to examine both the variability and distribution of

uncertainties and the general limits of application for the methods described 371 in Sections 2 and 3. We calculate the expected phase changes for synthetic 372 lava fields of variable thickness and shape and add them to sets of randomly 373 generated spatially correlated noise (e.g. Lohman and Simons (2005)) of the 374 same means and standard deviations of variance and typical length scale 375 as our interferograms for Santiaguito (Supplemental Figure 1). Residuals 376 between the input synthetic lava field and the lava thicknesses retrieved were 377 of a magnitude of ~ 2 m for lava thicknesses greater than about 25 m (Figure 378 7). For lava thinner than \sim 7 m, the residuals exceed lava thickness. We use 379 a Monte Carlo approach to find the mean percentage of the synthetic lava 380 flows retrieved from these sets of synthetic interferograms using the method 381 described above when we vary 1) synthetic lava thickness (100 repetitions) 382 and 2) the number of interferograms used in the inversion (500 repetitions 383 with normally distributed baselines of the same standard deviation, 250 m, 384 as the Santiaguito data). For synthetic lava fields with an average thickness 385 of $\geq \sim 30$ m, we expect to be able to retrieve close to the complete volume 386 of lava (Supplemental Figure 2a). Our tests suggest that a minimum of 5 387 interferograms are required to retrieve the complete lava field (Supplemental 388 Figure 2b). 389

We expect to be able to detect topographic change in excess of ~ 9 m, given a minimum of 5 interferograms. For change greater than about 25 m, we expect uncertainties to be less than $\sim 8\%$. This will allow measurement of topographic change about an order of magnitude greater than InSAR deformation measurements, and at the upper end of what is measurable using range or azimuth offsets (Jonsson et al., 2002) (Figure 7b). Figure 7: (a) Magnitudes of residuals between synthetic lava field in interferograms with similar properties to Santiaguito data and thicknesses retrieved from inversion to find **m**. These values provide an indication of the magnitude of the expected error for any pixel in our lava thickness maps. Expected errors exceed lava thickness below thicknesses of about 7 m. (b) Illustration of range of topographic change measurable relative to other InSAR techniques.

396 4.2. InSAR for measuring topographic change $\geq \sim 25~m$

We have demonstrated with data from Santiaguito that topographic height 397 change can be extracted from sets of interferograms with sufficient accuracy 398 to be a useful tool for volcanologists. It is well-suited to measuring systems 390 where changes are large, and are followed by a period of quiescence when 400 interferograms can be constructed. This could include periodically extru-401 sive volcanic activity and possibly very thick pyroclastic and lahar deposits. 402 Other potential applications include measuring mass wasting deposits, such 403 as post-earthquake or hurricane landslides. It will be less useful for targets 404 such as lava domes themselves (rather than lava flows or pyroclastic flow 405 deposits) because the surface changes so often that no coherent signal can 406 be retrieved. 407

InSAR measurements of topographic change will be most useful where other methods are limited, for example, by frequent cloud cover. The spatial coverage of routinely acquired InSAR data is potentially greater than that available from purpose designed missions for DEM production.

Given a sufficient temporal density of data it should also be possible

to construct a time series of topographic change. Measuring a continuous 413 emplacement process is challenging, because the emplacement of fresh ma-414 terial will introduce chaotic phase changes to backscattered radar, making 415 interferograms phase incoherent and unusable. However, if small sets of in-416 terferograms can be constructed during quiescent periods, they could be used 417 to find topographic change relative to the acquisition of the DEM used in 418 processing, and allow us to measure variations in time averaged extrusion 419 rate. The time intervals over which this would be possible depends on 1) the 420 number of interferograms needed to make height change measurements and 421 2) the repeat time of SAR satellite acquisitions. Our tests with synthetic 422 data suggest that a minimum of 5 interferograms (atmospheric noise of max-423 imum standard deviation 6 mm and baselines with mean= 0 m, standard 424 deviation=250 m) are needed to be sure of capturing uniform topographic 425 change of magnitude ≥ 25 m. For smaller magnitude change, shorter base-426 lines or a greater variance of atmospheric noise, more will be required. Under 427 ideal conditions, 5 independent interferograms can be first constructed from 428 10 SAR data acquisitions. This would give a temporal 'bin size' of 460 days 420 for the ALOS data used in this paper (repeat time 46 days), less than 110 430 days for TerraSar-X data (<11 day repeat) and 120 days for the forthcoming 431 ESA satellite, Sentinal (12 day repeat). Shorter perpendicular baselines (e.g. 432 ± 50 as expected for Sentinal) will make measurement of topographic change 433 more difficult. For baseline distributions similar to the ALOS data presented 434 here, the primary limiting factors for measuring extrusion rate at long last-435 ing volcanic eruptions will be the relative stability of radar scatterers on the 436 ground surface and any deformation occurring during the period of InSAR 437

438 measurement.

439 5. Summary

We have shown that topographic change in excess of ~ 25 m can be mea-440 sured from interferometric phase delays in a small set of interferograms and 441 demonstrated the usefulness of such information in volcanology. At Santia-442 guito we measure at extrusion rate of $0.43 \pm 0.06 \text{ m}^3/\text{s}$ between 2000 and 443 2009, observe the changes in flow morphology over this time, and measure 444 lava subsidence of up to 6 cm/yr on the thickest and youngest parts of the 445 flow. We believe that this approach will be particularly useful for volcanic 446 activity whereby thick lava flows or pyroclastic deposits are emplaced with 447 little warning, as no satellite image prior to emplacement is needed. The abil-448 ity to measure the change in lava thickness and subsidence simultaneously is 449 also an advantage. This technique may also have important applications for 450 mass wasting events such as landslides. 451

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Volcano	Lava compo- sition	Age (years	Max.	flow	Max.	References
			${f thickness}$		subsi-	
			(m)		dence rate	
					(cm/yr)	
Krafla (1975- 1984)	basaltic	17-20	50		0.6	Sigmundsson et al. (1997)
Tolbachik (1975-1976)	basaltic	16-28	80		~ 2	Pritchard and Simons (2004); Fedotov et al. (1980)
Okmok (1945- 1958)	basaltic	35-38	20-30		$\sim \! 1.5$	Lu et al. (2005b)
Okmok (1997)	basaltic	0.1	50		83	Lu et al. (2005b)
Okmok (1997)	basaltic	3	50		4	Lu et al. $(2005b)$
Colima (1998- 1999)	andesitic	3-8	30 fronts)	(flow	1.5	Pinel et al. (2011); Navarro- Ochoa et al. (2002); Zobin (2002)
Santiaguito (2004-2005)	dacitic	4-6	120		6	this work
Paricutin (1943- 1953)	basaltic- andesite	54-65	>70		4-4.5	Fournier et al. (2010)
Reventador (2005)	andesitic	3-4	-		1-2	Mothes et al. (2008)
Sierra Negra (1979)	basaltic	13-19	-		3	Amelung and Day (2002)
Lonquimay (1988-1989)	andesitic	13-21	55		2	Fournier et al. (2010) Naranjo et al. (1992)
Nyamuragira (1991-1993)	basaltic	6-11	-		1-4	Colclough (2006)
Nyamuragira	basaltic	13-18	-		0.9	Toombs and Wagde (in re-
(1991-1993)		25				view)
Nyamuragira (2004)	basaltic	2-5	-		1	Toombs and Wagde (in re- view)
Etna (1983)	basaltic	10-14	55		0.8	Stevens et al. (1999)
Etna (1989)	basaltic	3-4	10		3.5	Briole et al. (1997)
Etna (1991-	basaltic	1-2	96		25.6	Briole et al. (1997)

Table 1: Summary of InSAR measurements of lava subsidence made to date. 'Age' is the interval in years between lava flow emplacement and InSAR measurement of subsidence.

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• We measure topographic change >9m at a volcano with InSAR

• Approach suitable for measuring lava/pyroclastic flow compaction or landslides

• Lava thickness and extrusion rate (2000-2009) measured at Santiaguito volcano

• We make first simultaneous measurements of lava thickness and subsidence rate



[[]Harris et al., 2003]





El Caliente 14.74 14.73 14.72 14.72 14.72

-91.57

-91.56

Lavas emplaced between 2000 and 2006









Age of lava at time of measurement (years)

