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1 Daytime CO₂ urban surface fluxes from airborne measurements, eddy-covariance observations and

2 emissions inventory in Greater London

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11 Abstract

12 Airborne measurements within the urban mixing layer (360 m) over Greater London are used to quantify CO₂

13 emissions at the meso-scale. Daytime CO₂ fluxes, calculated by the Integrative Mass Boundary Layer (IMBL)

14 method, ranged from 46 to 104 μ mol CO₂ m⁻² s⁻¹ for four days in October 2011. The day-to-day variability of

15 IMBL fluxes is at the same order of magnitude as for surface eddy-covariance fluxes observed in central

16 London. Compared to fluxes derived from emissions inventory, the IMBL method gives both lower (by -37%)

- 17 and higher (by 19%) estimates. The sources of uncertainty of applying the IMBL method in urban areas are
- 18 discussed and guidance for future studies is given.
- Capsule: CO₂ airborne-derived fluxes by Boundary Layer Mass balance are an independent measure of meso scale urban fluxes complementing urban eddy-covariance fluxes and emissions inventory

21 Key words: carbon dioxide; urban fluxes; aircraft surveys; eddy covariance; megacity, emissions inventory

22 1 Introduction

Urban areas are responsible for 70% of greenhouse gas (GHG) emissions despite covering only 2% of the
 world's surface (IEA 2008). Knowledge of both concentrations and fluxes are needed to understand how urban
 emissions affect regional carbon exchanges (Duren and Miller 2012).

26 Measurements of urban atmospheric CO₂ concentrations are becoming a common means to study local GHG

27 emissions and urban carbon cycles (Velasco and Roth 2010; Christen 2014). An enhancement of the CO₂

28 concentration of the urban canopy layer (UCL) is consistently observed in cities (e.g. Idso et al. 1998).

29 However, urban CO₂ concentrations can show a high degree of spatial and temporal variability due to different

30 local sources, atmospheric stability and observation locations (e.g. Pataki et al. 2006).

31 Observations of CO₂ fluxes by eddy covariance ($F_{CO2,EC}$) systems in urban areas have been proven to be a 32 reliable tool to assess carbon exchanges at the neighbourhood or local-scale when conducted above the 33 roughness sublayer (RSL) (e.g. Grimmond et al. 2002; Nemitz et al. 2002; Feigenwinter et al. 2012). Urban 34 areas are a net source of CO₂ (positive fluxes) due to emissions from road traffic, electricity production and local 35 heating with natural gas, oil or coal. Daytime fluxes can be reduced by uptake from vegetation during the 36 growing season, but the nocturnal respiration source remains (Kordowski and Kuttler 2010; Crawford et al. 37 2011; Ward et al. 2013). Where vegetation is scarce in cities, biogenic fluxes contribute little to the total net 38 flux.

39 Diurnal concentrations of CO_2 vary within the boundary layer (BL) as a response to changes in surface 40 emissions, boundary layer growth, entrainment processes and horizontal transport (advection). Taking into 41 account the changing boundary layer (BL) volume and exchanges at its vertical and horizontal 'boundaries', 42 meso-scale fluxes (10^2-10^4 km^2) can be inferred from diurnal changes in CO₂ concentrations observed in the BL, 43 using the Integrative Mass Boundary Layer (IMBL) method (McNaughton and Spriggs 1986; Raupach et al. 44 1992; Denmead et al. 1996; Strong et al. 2011; Christen et al. 2014). The IMBL method has been applied over 45 heterogeneous areas to calculate the mean regional CO₂ surface flux across, for example., the Amazonian basin 46 (Lloyd et al. 2001, 2007) or an agricultural area in Spain (Font et al. 2010), while urban applications include 47 nocturnal CO₂ and CH₄ emissions for Krakow (Poland) (Zimnoch et al. 2010) and turbulent sensible and latent 48 heat fluxes in Sacramento (California, USA) (Cleugh and Grimmond 2001).

The aim of this study is to estimate top-down CO_2 emissions at the urban boundary layer (UBL) scale by the IMBL method using airborne observations taken in the UBL of Greater London (GL). This approach assumes that a representative urban CO_2 concentration can be calculated from a transect across a large area of the city or downwind of it. Results of the IMBL method are presented for four case study days, with a sensitivity analysis of the influence of different assumptions being made, and then compared to neighbourhood-scale eddycovariance measurements and bottom-up emission inventory estimates. Conclusions from this study highlight 55 the applicability of such airborne observations to quantify CO_2 exchanges of a large city and also highlight the 56 methodological challenges encountered.

57 2 Methods

58 2.1 Instrumentation and survey design

59 The NERC-ARSF aircraft provided the BL observations between the 12 and 25 October 2011 over

60 South-East England (Table 1). The plane instrumented with an AIMMS-20 Air Data Probe (Aventech Research

61 Inc.) measured temperature, barometric pressure, three components of wind speed and horizontal wind direction,

62 with an instrument accuracy of 0.05°C (temperature), 0.1 kPa (pressure), 0.5 m·s⁻¹ (horizontal wind) and 0.75

63 $m \cdot s^{-1}$ (vertical wind) (Beswick et al. 2008). Atmospheric CO₂ dry mole fractions were measured with a non-

64 dispersive infrared (NDIR) portable instrument, the CO₂ Airborne Analyzer System AOS Inc., at a frequency of

65 0.5 Hz with a mean precision and accuracy of ±0.23 ppm and ±0.28 ppm, respectively (Font et al. 2008 provide

66 further details). CO₂ concentrations were traceable to the International Standards (WMO-X2007 scale). An

67 isokinetic aerosol intake fed the GRIMM 1.129 Sky-optical particle counter that measured particle mixing ratio

in the size range $0.25-32 \ \mu m$ at a frequency of $0.17 \ Hz$.

Flights passed over GL at a height of ~360 m above ground level. The air security authority permitted two paths: SW to NE and SSE to NNW (Fig. 1). Flight path directions were chosen from these options to be best aligned with the prevailing wind direction on the respective day. Vertical profiles (up to 2200 m) were undertaken: just after take-off, before landing and on the perimeter of GL (Fig. 1).





Figure 1. Flight tracks for 13 and 25 October 2011. GL is shaded and symbols indicate site locations of relevant surface stations.

UCL CO₂ mixing ratios were observed at Tower Hamlets ('TH'; 51.51°N, 0.02°W, 9.2 m agl) (Fig. 1)
every 15 minutes from the LiCOR-820 NDIR analyzer. Two-point calibrations are carried out every 15 days
with a zero-scrubber (soda lime) and a CO₂ span gas referenced to the International Scale (WMO-X2007).

80 Neighbourhood-scale turbulent surface fluxes ($F_{CO2,EC}$) were measured at two long-term eddy 81 covariance (EC) sites in central London ('KSS' and 'KSK'; 51.51°N, 0.12°W). Measurement towers (KSS: 82 Aluma T45-H triangular tower; KSK: single tube mast, Clark Masts CSQ T97/HP) had sensors at 49 m (KSS) 83 and 39 m agl (KSK), about 2.2 x and 1.9 x mean building height in the flux source area, respectively. At both 84 KSS and KSK, the EC system consisted of a CSAT3 sonic anemometer (Campbell Scientific) and a 85 Li7500/Li7500A open path infrared gas analyser (LiCOR Biosciences). The data were sampled at 10 Hz and 86 fluxes calculated for 30 minute intervals. Data processing and quality control are described in Kotthaus and 87 Grimmond (2012, 2013a).

88 2.2 Surface fluxes from aircraft observations

89 The Integrative Mass Boundary Layer (IMBL) method, used to calculate spatially and temporally

90 integrated urban CO₂ surface fluxes from the aircraft observations, treats the BL as a box with conserved scalars

- 91 (Denmead et al. 1996; Guenther et al. 1996). The variation of the mean mixed-layer CO₂ concentration
- 92 (expressed in μ molCO₂ m⁻³, [CO₂]) in time (∂ [CO₂]/ ∂ t) at the measurement height (*h*) within the BL, also
- 93 known as storage flux (F_{stg}), is the result of the surface flux ($F_{CO2, IMBL}$), entrainment (F_e) and advection (F_{adv}):

94
$$h\frac{\partial[CO_2]}{\partial t} = F_{CO_2,IMBL} + F_e + F_{adv}$$
(1)

- 95 F_e is a function of the difference in concentration in the air entrained from above ([CO_2]₊), as the BL height (h_L)
- 96 changes in time $(\partial h_L/\partial t)$, under a vertical velocity (w_+) , and within the BL ([CO_2]):

97
$$F_e = \left(\frac{\partial h_L}{\partial t} - w_+\right) \left([CO_2]_+ - [CO_2]\right)$$
 (2)

98 F_{adv} is the product of the horizontal wind speed U and the spatial CO₂ gradient $(\partial [CO_2]/\partial x)$ at height h:

99
$$F_{adv} = -h\left(U\frac{\partial[CO_2]}{\partial x}\right)$$
(3)

100 Reorganizing and integrating Eq. (1) in time, the surface flux can be calculated according to:

101
$$F_{CO_2,IMBL} = \langle h \rangle \frac{[CO_2]_2 - [CO_2]_1}{t_2 - t_1} - \left(\frac{h_{L2} - h_{L1}}{t_2 - t_1} - w_+\right) ([CO_2]_+ - \langle [CO_2] \rangle) + \langle h \rangle \langle U \rangle \langle \frac{\Delta [CO_2]}{\Delta x} \rangle$$
(4)

102 where \diamond denotes temporal and spatial mean values, i.e. $\langle [CO_2] \rangle$ is the mean concentration over the whole spatial 103 and temporal domain, $[CO_2]_2$ and $[CO_2]_1$ are the concentrations measured at times t_1 and t_2 , respectively, with 104 the respective mixing heights h_{LI} and h_{L2} , and w_+ and $[CO_2]_+$ refer to h_{LI} and t_I . $\langle \frac{\Delta [CO_2]}{\Delta x} \rangle$ is calculated via linear 105 regression fit to $[CO_2]$ measured at time t_I with distance when the plane track was perpendicular to the main 106 wind direction.

107 $[CO_2]$ is calculated from CO_2 mixing ratios, temperature and barometric pressure measurements by the 108 ideal gas law. Equation 4 is applied in two ways. The first approach assumes that the same temporal changes in 109 emission rates occur at different locations so that the relative spatial distribution of CO_2 is constant in time. In 110 this case temporal profiles of $[CO_2]$ measured during the horizontal transects are used. Vertical profiles of CO_2 , 111 particulates, temperature, wind speed and direction at take-off and landing were used to examine the depth of 112 the BL and its changes in time. The second approach, the "column model" (Jacob, 1999), quantifies differences 113 in [CO₂] within vertical columns upwind and downwind of the city, both observed along vertical profiles. The 114 composition of the well-mixed column varies while travelling across the surface due to emissions within the 115 observational footprint.

116

117 **2.3.** Spatial representativeness of the measurements

To determine the likely source area of the BL observations used to calculate $F_{CO2,IMBL}$, the Lagrangian Particle Dispersion Model FLEXPART (Stohl et al. 2005) was used in backward mode. Using urban roughness values, FLEXPART is driven by the ECMWF meteorological model with $0.2^{\circ} \ge 0.2^{\circ}$, 91 vertical levels and 3 h resolution. Ten thousand particles were released from a box defined by the aircraft track (longitude, latitude and altitude) for each transect or profile. Each simulation runs back to midnight at the start of the flight day. Analysis at 5 min intervals ($0.05^{\circ} \ge 0.05^{\circ}$ spatial resolution) allows estimation of the mean residence time of the air in the layer 0 to 300 m agl that potentially influences the CO₂ concentrations.

125 The source area for the local-scale $F_{CO2,EC}$ is calculated for both flux towers for every 30 min period 126 using the Kormann and Meixner (2001) footprint model. Sources located within a radius of about 1000 m 127 around the KSS site contribute to the turbulent fluxes, with the closest 300 m responsible for 50% of the impact 128 (Kotthaus and Grimmond, 2013b). The source area at KSK is a bit smaller, and individual roughness elements 129 can impact the observations at times when the EC system is within the RSL. The source areas of both sites are 130 dominated by road surfaces and buildings, with only very little contribution from vegetation. Kotthaus and 131 Grimmond (2012) provide further details on micro-scale emissions within the EC source areas.

The source area for the concentration observations within the RSL are not formally calculated, but it is known that the integration area is larger for concentrations than flux measurements (Schmid, 1994) and within the RSL individual roughness elements and sources/sinks are more influential than at larger scales. It can be assumed that the local-scale $F_{CO2,EC}$ footprints are larger than the concentration source areas in the RSL and that flow channelling may elongate the latter along the streets.

137 2.4 Emissions inventory for Greater London

The Department of Energy and Climate Change reported annual CO₂ emissions by Local Authority (LA) for 2011 (DECC, 2014), segregated into four main categories: industrial and commercial; domestic; transport; and land use change and forestry. The uncertainty of the inventory for the LAs in GL ranges from 1.6 to 2.6% (MacCarthy, 2014).

142 To compare $F_{CO2,IMBL}$ with bottom-up fluxes ($F_{CO2,inv}$), the annual flux for GL in 2011 was scaled for 143 the footprint area that influenced the airborne measurements as:

144
$$F_{CO2,inv} = \frac{E_{LA} * R_{t,LA}}{A_{GL} * \sum R_{t,LA}}$$
(5)

145 where E_{LA} are annual emissions for each LA (ktCO₂ y⁻¹), $R_{t,LA}$ is the residence time of air masses in

each LA based on the FLEXPART analysis, and A_{GL} is the area of influence over London. Temporal profiles

- 147 accounting for diurnal, day-of-week, and monthly variations of industrial and domestic emissions were
- 148 calculated from energy demand statistics. Variations of transport emissions were calculated from temporal
- $149 \qquad \text{variations of roadside NO}_X \text{ increments in London. Further details on how temporal profiles were calculated are}$
- 150 given in Appendix A.

151 3 Results

- 152 **3.1 CO₂ mixing ratio observations**
- 153 The spatial variability of CO₂ within and beyond the GL UBL during each flight is shown in Fig. 2. For lower
- 154 wind speed conditions (<8 m s⁻¹ at 360 m), higher CO_2 mixing ratios were measured over central London, with
- 155 peaks at 400.5 ppm (12 October 2011), 421.5 ppm (13 October) and 399.1 ppm (25 October), compared to ~394-
- 156 398 ppm outside the GL area (Figure 2). With higher wind speed conditions (>8 m s⁻¹), the differences in
- 157 average mixing ratio within and surrounding GL were within the instrument noise (e.g. 17, 24 October) (Table
- 158 1). However, for these conditions the maximum measured CO_2 mixing ratio in the mixing layer (407.2 and
- 159 409.5 ppm for 17 and 24 October, respectively) was registered downwind of GL at a distance of 29 km (17
- 160 October) and 48 km (24 October) from central GL (Fig. SB1).
- 161

Table 1. Mean wind speed (U), mean (±1 standard deviation σ), maximum and inter-quartile range (IQR) of CO₂ mixing
 ratios measured onboard the NERC-ARSF aircraft during the transects across GL (inGL) in October 2011 and
 surrounding GL (outGL) below 400 m.

Date, time of flight (UT)	U inGL (m s ⁻¹)	$CO_2 \pm 1\sigma$ inGL (ppm)	Max CO ₂ inGL (ppm)	IQR CO ₂ inGL (ppm)	CO ₂ ±1σ outGL (ppm)
12 Oct 10:46	7.7	396.1 ± 1.6	400.5	1.9	392.8 ± 1.1
13 Oct 13:14	4.4	404.4 ± 3.3	411.4	1.2	397.5 ± 3.4
13 Oct 15:26	6.0	405.1 ± 7.5	421.8	12.6	398.2 ± 3.3
17 Oct 09:39	9.9	395.1 ± 2.8	399.2	5.1	394.9 ± 3.5
17 Oct 10:21	8.5	392.8 ± 1.4	396.1	2.3	393.5 ± 3.4
19 Oct 12:52	8.8	392.8 ± 0.9	395.9	0.9	392.3 ± 1.6
19 Oct 15:33	9.1	392.1 ± 0.9	396.6	1.1	391.3 ± 0.7
24 Oct 10:25	11.0	404.4 ± 1.6	407.9	2.7	404.4 ± 1.9
25 Oct 11:03	6.9	395.3 ± 1.7	399.1	2.6	394.3 ± 0.9
25 Oct 13:59	7.0	394.9 ± 1.0	397.0	1.3	393.7 ± 0.8



Figure 2. (Upper) Aircraft flight path over GL starting at location marked by *, with mean wind speed and direction (arrow) measured over GL. Time indicates the start of the transect over GL.
 (Lower) Measured CO₂ mixing ratios with distance from the indicated start point; vertical dashed lines indicate locations of GL boundary.

168 **3.2 IMBL CO₂ fluxes in Greater London**

Time and space integrated $F_{CO2,IMBL}$ for GL were calculated for 13, 17, 24 and 25 October when all terms of the IMBL budget could be identified and quantified. The two IMBL approaches outlined (Section 2.2) were each applied for two of the case study days. First, temporal variations of the mean [CO₂] measured along the transects over GL were used to calculate surface fluxes on 13 and 17 October. Second, downwind profiles were compared to upwind references on 24 and 25 October. Given sufficient data were not available, the IMBL method could not be applied to 12 October (only one transect measured), and 19 October (advection could not be quantified as the flight track was perpendicular to the main wind direction under high wind speeds, see Fig. 2).

176 **3.2.1 CO₂ fluxes calculated from horizontal transects**

177 The mean wind speed at 360 m over GL on 13 October was $4.4\pm1.1 \text{ m s}^{-1}$ (morning) and $6.0\pm1.2 \text{ m s}^{-1}$

178 (afternoon). Visual inspection of vertical profiles showed a well-mixed BL reaching up to a height of 735 m

179 (morning) and at 1180 m (afternoon; Fig. SC1). The flight track flew over the TH site. Mixing ratios measured

180 within the UCL were similar to those measured at 360 m: 405.6 ppm (TH) and 404.4 ppm (aircraft) at 13:15

181 UTC; 407.7 ppm (TH) and 405.1 ppm (aircraft) at 15:30 UTC, suggesting efficient mixing between the ground

and flight altitude. The $F_{CO2,IMBL}$ estimate for this period (13:15 UTC to 15:30 UTC) was 50.7 µmol CO₂ m⁻² s⁻¹.

183 According to the FLEXPART model, the probable source area of the airborne observations covered 71% of GL



193 Table 2. Values used to calculate the space and time integrated CO_2 urban-regional scale flux ($F_{CO2,IMBL}$) in GL using the

194 IMBL budget method. F_{stg} is the storage flux, F_e the entrainment flux and F_{adv} the advection term. $F_{CO2 inv}$, is the

emissions estimated by DECC (2014).

-					
	13 Oct	17 Oct	24 Oct	25 Oct	
t ₁ (UTC)	13:15	9:40	10:15	11:05	
t ₂ (UTC)	15:30	10:15	10:55	11:15	
$CO_2(t_1)$ (ppm)	404.4	394.8	401.4	394.6	
$CO_2(t_2)$ (ppm)	405.1	392.8	406.7	398.7	
$CO_{2+}(ppm)$	391.3	390.0	401.0	394.1	
(CO_2) (ppm)	404.7	393.7	401.4	394.6	
$h_1(m)$	735	400	410	450	
h ₂ (m)	1180	1130	480	450	
$w_{+}(mm s^{-1})$	-2.5	-0.18	-2.2	-5.6	
$\langle U \rangle (m s^{-1})$	4.5	9.9			
$\left< \frac{\Delta [CO_2]}{\Delta x} \right> (\mu \text{mol CO}_2 \text{ m}^{-2})$		$1.1 \cdot 10^{-2}$			
F_{stg} (µmol CO ₂ m ⁻² s ⁻¹)	0.3	-21.5	25.7	103.3	
$F_e (\mu \text{mol CO}_2 \text{m}^{-2} \text{s}^{-1})$	-50.4	-29.5	-11.6	-1.1	
F_{adv} (µmol CO ₂ m ⁻² s ⁻¹)		-37.9			
$F_{CO2,IMBL}$ (µmol CO ₂ m ⁻² s ⁻¹)	50.7	46.0	37.2	104.3	
$F_{CO2 inv}$ (µmol CO ₂ m ⁻² s ⁻¹)	42.7	54.5	60.4	145.1	
Area GL covered (%)	71	56	50	30	

195

196

197 Vertical profiles of temperature in the morning of 17 October indicated inversion layers at 390-436 m 198 and at 460-500 m (Fig, SC2). This translated into a decrease in the CO₂ mixing ratios with altitude: ~401.5 (TH) 199 and 395.1 ppm (aircraft). Later that day (11:56 UTC) the UBL attained 1130 m so that CO₂ mixing ratios were 200 observed to be vertically homogenous below the cruise altitude (392.3 ppm at TH, 392.8 ppm at 360 m). Given 201 the strong wind speed conditions and the flight track parallel to the main wind flow (Fig. 2), the 17 October was the only case study day when it was possible to calculate $\langle \frac{\Delta [CO_2]}{\Delta x} \rangle$ from Eq. 3 and F_{adv} (Table 2). F_e was negative 202 as air masses with less concentration than below were entrained and CO_2 was lost by advection. F_{stg} was 203 204 negative due to the expansion of the UBL in time. At 46.0 μ mol CO₂ m⁻² s⁻¹ F_{CO2,IMBL} was in the same order of 205 magnitude as on 13 October. The source area coincides with large parts of GL (65%), encompassing areas in 206 central and south-west GL (Fig. 3c,d).

207 **3.2.2 CO₂ fluxes calculated from upwind and downwind vertical profiles**

On 24 and 25 October, strong wind speed conditions and the prevalent wind direction allowed Lagrangian observations of two vertical profiles, one upwind and the other downwind of GL. An increase of the CO₂ mixing ratio was observed in the downwind profiles compared to those upwind by 4-5 ppm (Fig. SC3, SC4). Strong winds from the SE (12 m s⁻¹) were measured over GL at 360 m altitude on 24 October. Both the vertical profiles upwind and downwind of GL revealed large CO₂ mixing ratios of >400 ppm at low altitudes (<500 m), with a sharp decrease to lower values (391 ppm) above a capping inversion. The strong inversion conditions on that day might have resulted in a residual layer with large CO₂ mixing ratios. The height of the lowest inversion layer increased from 410 m (upwind) to 460 m (downwind). The derived flux $F_{CO2,IMBL}$ was similar in range to 13 and 17 October (37.2 µmol CO₂ m⁻² s⁻¹ between 10:10 and 10:57). The probable source area covered is 60% of GL with an emphasis of western parts (Fig. 3e).

219 On 25 October wind speeds were 7 m s⁻¹ with a prevailing flow from the south. Given no strong 220 inversion was present, absolute mixing ratios were lower than on the preceding day and remained below 221 400 ppm. There was an increase of ~4 ppm from the upwind to the downwind locations but the very low 222 entrainment flux as no changes in the UBL height were considered (Fig. SC4), translated to a very high $F_{CO2,IMBL}$ 223 estimate of 104.3 µmolCO₂ m⁻² s⁻¹ between 11:05 and 11:15. The source area was estimated to be smaller than 224 on the other case study days, covering only 30% of the GL including areas in north, central and south London 225 (Fig. 3f).

226 **3.2.3 Sensitivity analysis of** *F*_{CO2,IMBL}

Sensitivity analyses allow quantification of the impact of values used within $F_{CO2,IMBL}$ calculations. 227 228 Assuming uniform temporal changes, the spatial variability of [CO₂] at 360 m across GL relates to the 229 differences in emissions at different locations (Table 1, Fig. 2). On one case study day (13 October), the 230 standard deviation of $[CO_2]$ measured along the transects were as high as 7.5 ppm and the inter-quartile range 231 (IQR) reached up to 12.6 ppm. This spatial variation in mixing ratio suggests that there may have been a series 232 of internal BL across GL and horizontal mixing did not have enough time to create a representative spatial 233 pattern at the flight height (360 m). Standard deviation and IQR were generally lower for the other case studies 234 (Table 1). As the [CO₂] values used to calculate $F_{CO2,IMBL}$ are critical, the variation of CO₂ along the transect was 235 used to assess the accuracy of the flux calculated. Other variables that are used for the $F_{CO2.IMBL}$ calculations are: 236 mixing layer height, vertical velocity at the top of the UBL and temporal and spatial homogeneity of the 237 background concentration. The impact of potential uncertainties in these components on the total uncertainty of 238 the integrated boundary layer CO₂ flux are assessed from the horizontal transects over the urban area and both 239 upwind and downwind vertical profiles (Table 3).

240

Table 3. Sensitivity of $F_{CO2,IMBL}$ to data used in the analysis. mean $[CO_2]_a$: average mixing ratio from aircraft observations;

242 mean $[CO_2]_{a+s}$ average mixing ratio from aircraft and urban canopy layer; $5^{th}p [CO_2]_a$: 5^{th} percentile mixing ratio

from aircraft observations; $95^{th}p [CO_2]_a 95^{th} 95^{th}$ percentile mixing ratio from aircraft observations; h+50m and

h+100m refer to mixing layer height determined from visual inspection from profiles carried outside GL plus 50 and

- 245 100 m, respectively; no w_+ and $2 \cdot w_+$ refer to zero and double vertical wind speed above the mixing layer,
- 246 respectively; $F_{adv,t2}$ refers to advection term calculated using the spatial gradient at t_2 . The median value and range
- 247 (maximum-minimum) for the fluxes for each day are also given.

	$F_{CO_2,IMBL}(\mu molCO_2 \mathrm{m}^{-2} \mathrm{s}^{-1})$			
Method	13 Oct	17 Oct	24 Oct	25 Oct
mean $[CO_2]_a$	50.7	46.0	37.2	104.3
<i>mean</i> { $[CO_2]_a + [CO_2]_s$ }	49.2	34.9		
$5^{th} p [CO_2]_a$	30.7	41.6	34.5	133.8
$95^{th} p [CO_2]_a$	82.3	70.7	33.6	89.0
h + 50 m	56.1	48.0	42.8	136.8
h + 100 m	61.4	50.0	51.4	171.7
$no w_+$	47.5	46.0	33.6	100.8
$2 \cdot w_+$	54.0	46.0	45.2	146.3
$F_{adv} t_2$		24.7		
Median F_{CO_2}	52.4	46.0	37.2	133.8
Range F _{CO2}	51.6	46.0	17.8	82.7

248

To evaluate the impact of horizontal spatial variability of $[CO_2]$ on $F_{CO2,IMBL}$, the mean values are replaced with the 5th and 95th percentile of $[CO_2]$, respectively (Table 3). The resulting $F_{CO2,IMBL}$ varied from 30.7 to 82.3 µmolCO₂ m⁻² s⁻¹ (13 October) and from 41.6 to 73.0 µmolCO₂ m⁻² s⁻¹ (17 October). Use of these extreme $[CO_2]$ values generates a difference of up to 60% in the flux relative to that calculated from the mean $[CO_2]$.

Aircraft measurements at 360 m might not capture the vertical gradient within the whole UBL. CO_2 mixing ratios measured at TH were used to calculate $F_{CO2,IMBL}$. $F_{CO2,IMBL}$ decreased from 50.7 (aircraft) to 49.2 µmol CO_2 m⁻² s⁻¹ (aircraft+TH) (13 October), and from 46.0 (aircraft) to 34.9 µmol CO_2 m⁻² s⁻¹ (aircraft+TH) (17 October). Heterogeneity in the vertical domain in the UBL represent a change of 3% (13 October) and 25% (17 October) from $F_{CO2,IMBL}$ calculated from the mean [CO₂] in the transects.

Similarly, to evaluate the impact of the variability of the $[CO_2]$ in the air column for fluxes calculated from upwind-downwind profiles, the 5th and 95th percentile values of $[CO_2]$ were used. This resulted in an increase of $F_{CO2,IMBL}$ to 33.6 µmol CO_2 m⁻² s⁻¹ (using the 5th percentile) and to 34.5 µmol CO_2 m⁻² s⁻¹ (95th 262 percentile) on 24 October (increase of ~56%). Whereas on 25 October, using the 5th percentile values of [CO₂] 263 fluxes were 89.0 (decrease of 15%) but the 95th percentile resulted in a higher flux of 133.8 µmol CO₂ m⁻² s⁻¹ 264 (increment of 28%). Unfortunately, UCL measurements of CO₂ directly below the vertical profiles were not 265 available. However, the comparison of observations within the UCL with aircraft measurements near TH reveals 266 that CO₂ mixing ratios hardly differed: 400 ppm (TH) and 400-402 (aircraft) on 24 October; 396 ppm (TH) and 267 395 ppm (aircraft) on 25 October. This indicates the CO₂ field below the aircraft was well-mixed, so little 268 variation in $F_{CO2,IMBL}$ would be expected.

The advection term can be an important part of the CO₂ budget. Without transects parallel to the main wind direction (13 October) the spatial variability of CO₂ and therefore advection could not be quantified. Assuming that the spatial gradient measured on 17 October was the same as on 13 October, the estimated advection flux is 1.6 μ mol CO₂ m⁻² s⁻¹ or a probable error in *F*_{CO2,IMBL} of 4% from omitting advection for that day. However, for days with higher wind speeds (e.g. 17 October), omission of the advection term could represent an error of ~80%.

275 The uniformity in time of the spatial gradient might also be a source of uncertainty for the advection 276 term. If the spatial gradient on 17 October was calculated at time t_2 , the $F_{CO2,IMBL}$ would decrease by ~50% 277 calculated to 24.7 µmolCO₂ m⁻² s⁻¹.

BL heights were estimated from profiles outside of London. Spanton and Williams (1988) found that the BL height in London could be 50-100 m higher than at a rural site. This in accordance with the difference found between the BL heights from the ceilometer at central London and from vertical profiles for the 24 October (day when backscattered data from ceilometer were clearly detected, Appendix C). $F_{CO2,IMBL}$ calculated with a BL 100 m higher resulted in larger fluxes by 21% (13 October), 5% (17 October), 38% (24 October) and 68% (25 October) compared to previous calculations. This test underlines the critical impact of the mixing height on CO₂ exchanges within the UBL.

As the small vertical velocity at the BL height is difficult to measure reliably from aircraft (Stull, 1988; Beswick et al. 2008), the sensitivity of $F_{CO2,IMBL}$ to errors in w_+ were examined assuming $w_+=0$ and doubling the observed w_+ . Using the former ($w_+=0$) $F_{CO2,IMBL}$ decreases by 6.4% (13 October), 0.1% (17 October), 10% (24 October), 3.3% (25 October). Whereas the latter (doubling) increases $F_{CO2,IMBL}$ by 6.4% (13 October), 21% (24 October) and 40% (25 October). The entrainment flux is also be affected by the determination of $[CO_2]_+$. In this study we have used the concentration just above the mixing layer in vertical profiles undertaken outside GL, assuming that this concentration is spatially homogenous for the area between the city and the location of the vertical profile, and also for the integration time used in the IMBL calculations. Ideally, measurements of the entrainment concentration above the UBL would be used.

The range of $F_{CO2,IMBL}$ was lower (50-80% the median value) for fluxes calculated from upwinddownwind profiles compared to the range of fluxes from horizontal transects over the city (100%). However, this is as expected as single pairs of vertical profiles sample a limited area of the urban region (30-50%) compared to the area covered by horizontal transects (60-70%).

Our sensitivity analysis suggests that horizontal variability of the CO_2 field in the UBL, observed here by transects, is the most critical factor affecting $F_{CO2,IMBL}$. The determination of the BL height and vertical wind speed has more impact on $F_{CO2,IMBL}$ from upwind-downwind profiles.

302

303 **3.2.4 CO₂ surface fluxes in Greater London**

Aircraft-based $F_{CO2,IMBL}$ and tower-based $F_{CO2,EC}$ have complementary spatial and temporal resolutions and limitations (Lloyd et al. 2007; Desai et al. 2011). With two EC systems in central London, the intra-site variability in the $F_{CO2,EC}$ could be assessed (Fig 4a). The lower KSK site (smaller source area) is expected to be dominated by processes at the building-scale, while the taller KSS site is representative of the neighbourhoodscale (Kotthaus and Grimmond, 2013b) and $F_{CO2,IMBL}$ represent a larger area (10^2-10^4 km²) and integrate processes at the city-scale.

310 Although direct comparison of $F_{CO2,EC}$ and $F_{CO2,IMBL}$ is not necessarily warranted given the lack of 311 immediate correspondence, Levy et al. (1999) argue that results should be within the same range and show 312 similar variation day-to-day. On 17 and 24 October, F_{CO2,EC} and F_{CO2,IMBL} have similar magnitude at the times 313 when IMBL were calculated (Figure 4a), while the $F_{CO2,IMBL}$ is higher than the observed surface flux on 13 314 October and even more clearly so (by at least 20 μ mol CO₂ m⁻² s⁻¹) on 25 October. These discrepancies may be 315 explained partly by the uncertainties inherent in the IMBL method (Table 3), but the EC measurements may also 316 underestimate the turbulent flux (as noted by Kotthaus and Grimmond 2013b). In terms of day-to-day variations, 317 the EC and IMBL method both indicate similarly strong fluxes on 13, 17 and 24 October and also agree in 318 estimating the largest fluxes on the 25 October.



Figure 4 (a) Time series of turbulent fluxes of CO_2 as observed at eddy covariance sites KSS and KSK in central London (lines) and estimates from the aircraft observations (rectangles). The EC errors are shaded assuming \pm 15% error on the 30 mins fluxes based on Euster et al. (1997), Dragoni et al. (2007) and Richardson et al. (2012) (b) Comparison of the surface fluxes calculated from aircraft observations (IMBL) against spatially integrated emissions as calculated from the DECC emissions inventory. Error bars on IMBL fluxes denote maximum and minimum values.

326

The annual CO₂ emissions for GL in 2011 were 18.3 μ molCO₂ m⁻² s⁻¹ (DECC, 2014). Scaling the footprint area for temporal variations of the emissions, IMBL fluxes are within -37% (24 October) and 19% (13 October) of the DECC emissions. The differences between the $F_{CO2,IMBL}$ and $F_{CO2,inv}$ on 24 October may relate to the $F_{CO2,IMBL}$ footprint area encompassing large areas outside GL (Fig. 3e) and/or the uncertainty in the temporal scaling.

332 Day-to-day differences in $F_{CO2,IMBL}$ are partly attributed to variations in the flux source area given that 333 IMBL fluxes were calculated for similar times (except for 13 October). The DECC annual $F_{CO2,inv}$ has a concentric pattern: central GL boroughs have emissions of 50-350 µmol CO₂ m⁻² s⁻¹, surrounding centre 334 boroughs 25-50 μ mol CO₂ m⁻² s⁻¹, and outer boroughs <25 μ mol CO₂ m⁻² s⁻¹. The highest F_{CO2.IMBL} (October 25) 335 336 was found when the footprint area encompassed the high emission central boroughs. Although the 17 October 337 footprint also sampled central London, the calculated air residence times were shorter (10-30 s, Fig. 3c,d) (25 338 October, 70-140 s, Fig. 3f) and the probable footprint included the lower emission area of south-west GL. On 24 339 October the probable footprint extended over the outer boroughs to the west and south-west of GL (average 340 annual emissions $<25 \ \mu molCO_2 \ m^{-2} \ s^{-1}$).

341

342 4 Discussion and conclusions

343 Here we have presented four airborne surveys that measured CO₂ mixing ratios in the UBL of GL in 344 October 2011 that were used to estimate urban-scale emissions by quantifying boundary layer growth, 345 entrainment processes and horizontal transport. The top-down inverse IMBL method infers temporally and 346 spatially integrated fluxes that can be used to evaluate emissions inventories at policy-relevant scales such as 347 cities, megacities, and oil and gas fields. Previously, this approach has been used to infer nocturnal fluxes of 348 GHG with a single ground-level measurement site (Zimnoch et al. 2010), but inclusion of anthropogenic 349 emissions for critical daytime activities was missing. Entrainment and advection fluxes are usually not 350 considered in the calculations based on ground-level observations due to a lack of measurements at the top of 351 the BL and the spatial gradient of CO2. However, as shown in this study, the entrainment term (13 October) and 352 advection (17 October) terms can be large fractions of the urban carbon budget. Observations from light aircraft 353 characterize different parts of the budget to permit calculation of integrated regional surface CO₂ fluxes at larger 354 scales than ground-level observations. Complementary aircraft surveys characterizing entrainment, vertical 355 mixing and spatial heterogeneity in the UBL add value to continuous measurements at ground-level (Strong et al., 2011). However, aircraft observations are time-limited (e.g. plane time, flight path access) and weather-biased, so represent case studies.

The IMBL fluxes had similar day-to-day variability to both the central London eddy-covariance observations and the scaled (temporal, spatial) emissions inventory data. The IMBL fluxes are the same order as the eddy-covariance observations and within -37% to 19% of the emissions inventory. Thus the IMBL method appears to provide an additional independent estimate of city-scale fluxes to complement neighbourhood-scale eddy-covariance fluxes and emissions inventory data.

The sensitivity tests undertaken suggest differences of the order of 100% in $F_{CO2,IMBL}$ consistent with other city-scale fluxes derived from aircraft measurements using mass–balance approaches (e.g. for GHG Mays et al. 2009; Turnbull et al. 2011, NO_x emissions Trainer et al. 1995). However, changes in UBL CO₂

366 concentration along large city transects may challenge city-wide emission quantification as this was the main

367 source of uncertainty for IMBL fluxes. Atmospheric transport and surface exchange are continuous, creating a

368 dynamic, complex picture in large cities that can hardly be resolved in short-term airborne campaigns (Gioli et

al. 2014). This suggests that in a megacity such as London it may be necessary to consider internal boundary

370 layers (IBL) within the city. The atmosphere above the outer boroughs, which are more extensive and typically

have shorter roughness elements (e.g. buildings), may be well mixed, but over the central business district areas

372 where the buildings are much taller the BL at the flight height may be the IBL for that area rather than the fully

373 mixed UBL. Thus more detailed knowledge of the BL dynamics over urban areas is critical. Moreover, the rate

of emission along a transect may temporally vary producing spatial variations of CO₂ within the UBL.

Downwind [CO₂] enhancements above the background concentration are more representative of the mix of emissions taking place in the urban environment. A single pair of upwind-downwind profiles does not sample the entire urban area (Fig. 3e,f). In order to overcome this, multiple downwind profiles should be sampled in future surveys.

Footprint analysis allows identification of the areas potentially contributing to CO_2 concentrations in the UBL. Emissions from the inventory have been scaled for the footprint of airborne measurements and it might be a source of uncertainty. For better comparison of emissions and IMBL fluxes, meso-scale modelling such as the proposed by Brioude et al. (2013) could enhance better spatial scaling of the fluxes. Anthropogenic and biogenic fluxes are inherently included in $F_{CO2,EC}$ and $F_{CO2,IMBL}$, whereas biogenic

fluxes are missing from the $F_{CO2, inv}$ fluxes. The role of vegetation varies with amount (e.g. Helfter et al. 2011), but generally in urban areas it plays a small role in the CO₂ budget (Crawford et al. 2011, Strong et al. 2011; 386 Newman et al. 2013). In London the vegetation varies by size (age) and type across the city (Lindberg and 387 Grimmond 2011). By October the role of vegetation is likely small during the daytime relative to urban sources 388 even in suburban areas with a large amount of vegetation (Ward et al. 2013). To distinguish the anthropogenic 389 signal, fast-response measurements of urban pollutants (e.g. NO_x, CO) as tracers of traffic-related emission and 390 isotopic analysis of carbon (e.g. ${}^{13}C/{}^{12}C-CO_2$, $\Delta^{14}CO_2$) would aid interpretation. An emissions ratio approach 391 (e.g. Turnbull et al. 2011) would allow apportionment and identification of the sectors emitting more CO₂ into 392 the atmosphere and thus facilitate evaluation of policy effectiveness to reduce the contribution of GHG 393 emissions from urban areas.

394

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406 Figures

- 407 Fig. 1: B&W (print version)
- 408 Fig. 2: B&W (print version)
- 409 Fig. 3: B&W (print version), Colour (web version)
- 410 Fig. 4: B&W (print and web version)



411

Figure 3 in B&W for print version

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