

# Antarctic ocean and sea ice response to ozone depletion: a two timescale problem

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#### ABSTRACT

The response of the Southern Ocean to a repeating seasonal cycle of ozone loss is studied 7 in two coupled climate models and found to comprise both fast and slow processes. The 8 fast response is similar to the inter-annual signature of the Southern Annular Mode (SAM) 9 on Sea Surface Temperature (SST), on to which the ozone-hole forcing projects in the sum-10 mer. It comprises enhanced northward Ekman drift inducing negative summertime SST 11 anomalies around Antarctica, earlier sea ice freeze-up the following winter, and northward 12 expansion of the sea ice edge year-round. The enhanced northward Ekman drift, however, 13 results in upwelling of warm waters from below the mixed layer in the region of seasonal sea 14 ice. With sustained bursts of westerly winds induced by ozone-hole depletion, this warming 15 from below eventually dominates over the cooling from anomalous Ekman drift. The result-16 ing slow-timescale response (years to decades) leads to warming of SSTs around Antarctica 17 and ultimately a reduction in sea-ice cover year-round. This two-timescale behavior - rapid 18 cooling followed by slow but persistent warming - is found in the two coupled models anal-19 ysed, one with an idealized geometry, the other a complex global climate model with realistic 20 geometry. Processes that control the timescale of the transition from cooling to warming, 21 and their uncertainties are described. Finally we discuss the implications of our results for 22 rationalizing previous studies of the effect of the ozone-hole on SST and sea-ice extent. 23

## <sup>24</sup> 1. Introduction

The atmospheric circulation over the Southern Ocean (SO) has changed over the past 25 few decades, notably during Austral summer, with the pattern of decadal change closely 26 resembling the positive phase of the Southern Annular Mode (SAM). Throughout the tro-27 posphere, pressure has trended downward south of 60°S and upward between 30 and 50°S 28 in summer (Thompson and Solomon 2002; Marshall 2003; Thompson et al. 2011). This 29 pattern of pressure change is associated with a poleward shift of the westerly winds. These 30 circulation trends have been attributed in large part to ozone depletion in the stratosphere 31 over Antarctica (Gillet and Thompson 2003; Marshall et al. 2004a; Polvani et al. 2011). 32 During the same period, an expansion of the Southern Hemisphere sea ice cover has been 33 observed, which most studies find to be significant (Zwally et al. 2002; Comiso and Nushio 34 2008; Turner et al. 2009). This expansion is observed in all seasons but is most marked in 35 the fall (March-April-May). This is in stark contrast with the large decrease of Arctic sea 36 ice coverage observed over recent decades (Turner et al. 2009). 37

The ozone-driven SAM and sea ice trends could be related. However, several published 38 studies using coupled climate models consistently show a warming of the SO surface and sea 39 ice loss (in all seasons) in response to ozone depletion (Sigmond and Fyfe 2010; Bitz and 40 Polvani 2012; Smith et al. 2012; Sigmond and Fyfe 2014). These studies concluded that the 41 ozone hole did not contribute significantly to the expansion of the SO sea ice cover over the 42 last three decades (see also review by Previdi and Polvani 2014). This leaves us with an even 43 bigger question: how could the SO sea ice cover increase in the face of both ozone depletion 44 and global warming if both processes induce loss? The quandary is further complicated by 45 the correlation between the SAM and ocean/sea ice variability found in both observations and 46 models (Watterson 2000; Hall and Visbeck 2002; Sen Gupta and England 2006; Ciasto and 47 Thompson 2008). Interannual variability in the SAM has a robust Sea Surface Temperature 48 (SST) signature: a dipole in the meridional direction with a strong zonal symmetry. For a 49 positive phase of the SAM, SST cools around Antarctica (south of about 50°S) and warms 50

around  $40^{\circ}$ S. This response is understood as one that is mainly forced by Ekman currents 51 and to a lesser extent air-sea fluxes (i.e. mixed layer dynamics). A positive phase of the 52 SAM is also associated with sea ice expansion at all longitudes except in the vicinity of 53 Drake Passage (Lefebvre et al. 2004; Sen Gupta and England 2006; Lefebvre and Goosse 54 2008). Although difficult to measure, the Southern Ocean sea ice cover as a whole appears 55 to increase slightly following a positive SAM. If this were the only important process at 56 work, then one would expect a positive SAM-like atmospheric response to ozone depletion 57 to drive SST cooling and sea ice expansion around Antarctica (and a SST warming around 58 40°S) in the long term. This scenario is reinforced by the clear resemblance between the 59 pattern of sea ice concentration trends and the pattern of the sea ice response to a positive 60 SAM. Following this chain of thought, Goosse et al. (2009) pointed to the ozone-driven SAM 61 changes as the main driver of the observed SO sea ice expansion. This behavior however 62 contradicts results from coupled climate models. 63

In this study, we attempt to reconcile the expectations from the aforementioned observed 64 SAM/SST correlations with those from coupled modeling studies including a representation 65 of ozone depletion. In particular, we compute the transient ocean response to a step func-66 tion in ozone depletion, but one that includes the seasonal cycle of depletion, in two coupled 67 climate models, the MITgcm and CCSM3.5. As we shall see, this exposes the elemental 68 processes and timescales at work. The approach, in direct analogy to the Climate Response 69 Functions (CRFs) for Greenhouse gas forcing, is described in general terms in Marshall et al. 70 (2014). We find that the SST response to ozone depletion is made up of two phases in both 71 models (as summarized in the schematic in Fig. 1): a (fast) dipole response with a cool-72 ing around Antarctica (consistent with SAM/SST correlations on interannual timescales), 73 followed by a slow warming at all latitudes south of 30°S. This warming eventually leads 74 to a sign reversal of the SST response around Antarctica, and a switch to a positive SST 75 response throughout the SO, consistent with previous coupled GCM experiments. 76

<sup>77</sup> Concomitant with SST fluctuations around Antarctica, our models display increases in

sea ice extent in the cooling phase followed by a decrease as SST warms. The long-term 78 response of SST and sea ice in our models is consistent with the conclusions of previous 79 authors. The short term response, however, suggests that ozone depletion may have con-80 tributed to the observed sea ice expansion of the last decades (see Marshall et al. 2014). 81 The period during which sea ice could expand in response to ozone depletion depends on 82 the processes that control the timescale of the SST and sea ice reversal. While the reversal 83 occurs in both models, they exhibit a rather disparate timescale of transition from warming 84 to cooling. Reasons for these differences are discussed. 85

Our paper is set out as follows. In section 2, the coupled GCM set-ups and experimental designs are described. The ocean and sea ice responses to an abrupt ozone depletion and their mechanisms in the MITgcm and in CCSM3.5 are described in section 3 and 4, respectively. Using a simple analytical model, in section 5 we identify key processes that account for the different timescales in the two coupled GCMs. Finally, conclusions are given in section 6.

## <sup>91</sup> 2. Coupled model set-ups

#### 92 a. The MITgcm

We use the MITgcm in a coupled ocean-atmosphere-sea ice simulation of a highly ide-93 alized Earth-like Aquaplanet. Geometrical constraints on ocean circulation are introduced 94 through "sticks" which extend from the top of the ocean to its flat bottom (Marshall et al. 95 2007; Enderton and Marshall 2009; Ferreira et al. 2010) but present a vanishingly small land 96 surface area to the atmosphere above. In the "Double-Drake" configuration employed here, 97 two such sticks separated by  $90^{\circ}$  of longitude extend from the North Pole to  $35^{\circ}$ S, defining a 98 small basin and a large basin in the northern hemisphere and a zonally re-entrant Southern 99 ocean. There is no land mass at the South Pole. 100

The atmospheric model resolves synoptic eddies, has a hydrological cycle with a representation of convection and clouds, a simplified radiation scheme and an atmospheric boundary

layer scheme (following Molteni 2003). The atmosphere is coupled to an ocean and a ther-103 modynamic sea-ice model (based on the formulation of Winton (2000)) driven by winds and 104 air-sea heat and moisture fluxes. In the ocean, effects of mesoscale eddies are parametrized 105 as an advective process (Gent and McWilliams 1990) and an isopycnal diffusion (Redi 1982) 106 using an eddy transfer coefficient of  $1200 \text{ m}^2 \text{ s}^{-1}$ . Convection is parameterized as described 107 in Klinger et al. (1996). The coupled model is integrated forward using the same dynamical 108 core (Marshall et al. 1997a,b, 2004b) on the conformal cubed sphere (Adcroft et al. 2004). 109 In calculations presented here, present-day solar forcing is employed, including a seasonal 110 cycle, with present-day levels of greenhouse gas forcing. More details can be found in the 111 appendix. 112

Despite the idealized continents, Double-Drake's climate has many similarities with to-113 day's Earth (Ferreira et al. 2010). Deep water is formed in the northern part of the narrow 114 Atlantic-like basin and is associated with a deep overturning circulation extending into the 115 Southern Ocean where is upwells isopycnally under the combined action of surface winds and 116 (parameterized) eddies. In contrast, the wide, Pacific-like basin is primarily wind driven. A 117 vigorous current, analogous to the Antarctic Circumpolar Current (ACC) develops in the 118 Southern Hemisphere in thermal wind balance with steep outcropping isopycnals. The sea 119 ice cover is perennial poleward of 75°S, but expands seasonally to about 65°S in September 120 (an increase of about 13.5 millions km<sup>2</sup> in sea ice area), similar to today's seasonal variations 121 in the Southern Ocean (e.g. Parkinson and Cavalieri 2012). In accord with observations, the 122 simulated ocean stratification south of the ACC is controlled by salinity, with temperature 123 increasing at depth (notably because of the seasonal cycle of sea ice). This temperature in-124 version will turn out to be a central factor controlling the rate of subsurface warming under 125 seasonal sea ice found in response to SAM forcing. 126

Ozone is not explicitly computed in the model, but its shortwave absorption in the lower stratosphere is represented (the model includes a single layer representing the lower stratosphere). Our "ozone hole" perturbation is introduced by reducing the ozone-driven shortwave absorption south of 60°S in this layer. The imposed ozone reduction is close to 130% at the Oct/Nov boundary as observed in the lower stratosphere but is tapered down to 20% in spring (i.e. there is a minimum ozone depletion of 20% throughout the year). This perturbation is comparable to that observed in the heart of the ozone hole during the mid-to-late 90s (e.g. Solomon et al. 2007). The same perturbation is repeated every year. Note also that the ozone radiative perturbation is scaled by the incoming solar radiation and disappears during the polar night at high-latitudes.

The forced response is computed as the difference between the ensemble-average of the perturbed runs and the climatology of a 300 years long control run. Twenty 40-year long simulations with independent initial conditions (in both ocean and atmosphere) taken from the control run are carried out and monthly-mean outputs taken. Eight of those are integrated up to 350 years, by which point the coupled system approaches a new equilibrium.

Although our atmospheric model is simplified, it produces an atmospheric response to 142 ozone depletion which is rather similar to that found in more complex atmospheric and 143 coupled GCMs (e.g. Gillet and Thompson 2003; Sigmond et al. 2010; Polvani et al. 2011): 144 pressure decreases poleward of  $50^{\circ}$ S and increases in the 50-20°S band during the summer. 145 This is illustrated in Fig. 2 (top) where the geopotential height at 500 mb is plotted. The 146 geopotential response vanishes during the winter months. There is an associated strength-147 ening/weakening of the westerly wind around  $50/30^{\circ}$ S, as shown in Fig. 3. The amplitude 148 of the anomaly,  $\pm 40$  m at 500 mb, is also comparable to those obtained in other studies in 149 response to a mid-1990s ozone depletion (Gillet and Thompson 2003). At the surface, the 150 westerly wind stress anomaly is order  $0.02 \text{ N m}^{-2}$  at its summer peak corresponding to a sea 151 level pressure response of  $\pm 3$  mb, both comparable to those found by Sigmond et al. (2010) 152 and Polvani et al. (2011). 153

Note that, as in other GCMs, the atmospheric response to ozone depletion strongly projects on the dominant mode of atmospheric variability (as defined through an EOF analysis) which resembles the observed SAM. We do not explore the dynamics of this atmospheric response here, but it is linked to a cooling of the lower stratosphere and a seasonal SAM-like tropospheric anomaly. Instead, we focus on the transient response of the ocean and sea-ice to the atmospheric anomalies. But, first, let us describe analogous calculations carried out with the NCAR Community Climate model.

161 b. CCSM3.5

We use the Community Climate System Model version 3.5 (CCSM3.5) configured as in 162 Gent et al. (2010), Kirtman et al. (2012), Bitz and Polvani (2012), and Bryan et al. (2013). 163 All four studies describe the simulated climate of the CCSM3.5 and the latter two focus 164 on the Southern Ocean and Antarctic sea ice therein. The atmospheric component has a 165 finite-volume dynamical core and a horizontal resolution of  $0.47^{\circ} \times 0.63^{\circ}$  with 26 vertical 166 levels. The horizontal grid of the land is the same as the atmosphere. The ocean and sea 167 ice have a resolution of nominally 1°. The ocean eddy parameterization employs the Gent 168 and McWilliams (GM) form (as in MITgcm), but with a GM coefficient varying in space 169 and time following Ferreira et al. (2005), as described in Danabasoglu and Marshall (2007). 170 All of our integrations with CCSM3.5 have greenhouse gases and aerosols fixed at 1990s 171 level. The initial conditions were taken from a 1990s control simulation carried out with 172 the CCSM3. The CCSM3.5 was first run for 155 years (see Kirtman et al. 2012) with 173 ozone concentrations intended to be representative of 1990s levels that were prepared for the 174 CCSM3 1990s control integrations (see Kiehl et al. 1999). However, compared to more recent 175 estimates of ozone concentrations from the Atmospheric Chemistry and Climate and Strato-176 spheric Processes and their Role in Climate (AC&C/SPARC) dataset (Cionni et al. 2011), 177 the CCSM3 estimates for 1990s resemble the level of ozone depletion in the Antarctic strato-178 sphere of approximately 1980, or about half the level of depletion since preindustrial times. 179 Hence, to create a quasi-equilibrated "high-ozone" control integration, with preindustrial-180 like ozone concentrations, we ran an integration where we first ramped up the ozone con-181 centration for the first 20 years by adding a quantity each month equal to one-fortieth of 182

the difference between the decadal mean for the 2000s and 1960s for a given month of the 183 AC&C/SPARC dataset. We then stabilized the ozone concentrations at this 1960s level of 184 the AC&C/SPARC dataset for another 50 years. From the last 30 yrs of this 1960s ozone 185 level simulation, we ran an ensemble of 26 "abrupt low-ozone" integrations. The prescribed 186 ozone perturbation is equal to the seasonally-varying 2000s minus 1960s difference from the 187 AC&C/SPARC dataset. At first, six ensemble members were branched on January 1st and 188 ran for 20 yrs. At which point we realized that, to examine the very rapid response seen 189 in the first years, a significantly larger ensemble would be required. To optimize resources, 190 these perturbed experiments were started just before the summer season, rather than in the 191 midst of it. Therefore, we ran another twenty ensemble members, branched on September 192 1st and run for 32 months. We use the six longer members to investigate behavior only 193 beyond the first 32 months. 194

Twenty of the ensemble members, with an annual cycle as in the MITgcm, were branched on September 1st and ran for 32 months, and six of the ensemble members were branched on January 1st and ran for 20 years.

As in the MITgcm, the atmospheric response to ozone depletion in CCSM3.5 is a positive 198 SAM-like pattern with a maximum amplitude in Dec-Jan-Feb (Fig. 2, bottom). The pattern 199 is similar to that found in other models (see Thompson et al. 2011) albeit with stronger 200 zonal asymmetries, notably marked by a large trough centered on  $90^{\circ}$ W. At the peak of 201 the summer response, geopotential height anomalies at 500 mb are about  $\pm 20$  m, somewhat 202 weaker than those seen in the MITgcm. At the surface, however, sea level pressure anomalies 203 are typically  $\pm 3$  mb and are associated with surface wind anomalies of about 1 m s<sup>-1</sup> (see 204 Bitz and Polvani 2012), similar to those in the MITgcm. Given the differences between 205 the two coupled models, their surface responses to ozone depletion are remarkably similar 206 although the response in CCSM3.5 has larger zonal asymmetries. 207

In the zonal-mean, the wind stress responses of the two models are similar in shape and magnitude although they are shifted relative to one another in latitudinal direction

(Fig. 3). For comparison, the surface wind stress difference between "peak-ozone-hole" and 210 "pre-ozone hole" conditions, estimated from the ERA-Interim reanalysis (Dee et al. 2011), 211 is shown in solid. The two models' responses fall on both sides of the change found in the 212 reanalysis. Son et al. (2010) and Sigmond and Fyfe (2014) found a relationship between the 213 tropospheric response to ozone depletion and the location of the climatological jet in models 214 participating, respectively, to the CCMVal-2 and CMIP5 inter-comparison projects. We do 215 not find such relationships here, except that the locations of the peak responses and those 216 of the mean jets are arranged latitudinally in the same sequence. In particular, there is no 217 indication that the magnitude of the response correlates with the mean jet position. Also, 218 despite its realistic mean jet stream, the response of CCSM3.5's sits further away from the 219 reanalysis change than that of the MITgcm. These differences could reflect the differences 220 in the representation of the ozone hole in the two models as well as differences in their mean 221 states and internal dynamics linking the stratospheric cooling to the surface wind stress 222 response. We emphasize that the correlations found by Son et al. (2010) and Sigmond and 223 Fyfe (2014) are extracted from tens of models, but exhibit significant scatter; our small 224 sampling here makes it difficult to draw robust conclusions. 225

## <sup>226</sup> 3. Ocean and Sea ice response in the MITgcm

#### 227 a. The evolution of the transient SST response

Following the atmospheric response to ozone depletion, the ocean and sea ice cover adjust to the changing winds (Fig. 4). The early (years 0-5) SST response consists of a zonally symmetric dipole: a cooling between 50 and 70°S and a warming in the band 50-25°S (there is also a weak cooling north of 25°S). This initial SST response is of significant magnitude, typically  $\pm 0.3$ °C, and is nearly identical to the SST signature of a positive SAM on interannual timescales seen in the MITgcm and similar to that seen in observations and other coupled GCMs (see, e.g. Watterson 2000; Hall and Visbeck 2002; Ciasto and Thompson 2008). It is primarily generated by anomalous Ekman currents (see below). After two decades
or so, the SST response changes noticeably (Fig. 4, bottom left). The warm pole (50-30°S)
has nearly doubled in magnitude while the cold pole has weakened.

The ocean response is not limited to the surface (Fig. 4, right). Temperatures at 170 m exhibit a widespread warming south of 30°S with a peak around 40°S, with a slight cooling north of 30°S. The pattern of the subsurface response does not change over time but exhibits, as at the surface, a warming tendency at all latitudes south of 30°S. After 2 decades, the subsurface temperature peaks markedly at two latitudes, 40 and 60°S, where the anomalies reach up to 0.8°C, comparable in strength to the SST anomalies.

A continuous monitoring of the SST evolution over the first 40 years after the "ozone 244 hole" inception shows that the initial dipole SST response (south of 25°S) slowly morphs 245 into a warming (Fig. 5, top). By year 40, the character of the SST response more closely 246 mirrors the subsurface temperature pattern than the early SST response. It is notable that 247 the long term SST adjustment (30 years and longer) is similar to that found by Sigmond 248 and Fyfe (2010) and Bitz and Polvani (2012) in response to ozone depletion. These previous 249 studies did not present or discuss the time evolution of the ocean response. Sigmond and 250 Fyfe (2010) carried out 100 year perturbation/control experiments and defined the response 251 to ozone depletion as the 100-y averaged difference between the perturbed and control runs 252 although they mention that the sea ice extent response in their model reaches equilibrium 253 within 5 years. Bitz and Polyani (2012) carried out perturbation experiments in which the 254 ozone hole was ramped up for 20 years and then maintained for an additional 30 years. They 255 defined the response to ozone depletion as the difference between perturbed and control runs 256 averaged over the last 30 years of integration. Clearly, in both cases, the responses were 257 largely dominated by the long (multi-decadal) adjustments of the model ocean to ozone 258 depletion. 259

Our results, however, suggest that there are two phases in the SST response: a fast response which has a dipole pattern, consistent with expectations from SAM/SST correlations on interannual timescales, followed by a slow widespread warming of the SO similar to results from previous GCMs studies. The transition between the two phases is seen after about 20 years in the MITgcm, when the initial cold SST response in the band 50-70°S transitions to warming. SST variations in this band are particularly important because it coincides with the region of seasonal sea ice fluctuations.

A closer look at the time evolution of the SST in the band 50-70°S is shown in Fig. 6. The area-averaged SST falls by -0.3°C within a year and then slowly and almost linearly rises to cross zero around year 20. The SST increases for 200 years or so approaching a new equilibrium which is 1.5°C warmer than in the control run (not shown). Ozone depleting substances are no longer being emitted, so in the real world this forcing will not be present long enough for such a response to be realized. It is computed here to illustrate physical processes.

Despite the 20-member ensemble, significant noise remains in the ensemble mean areaaveraged SST due to internal variability. The grey shading and solid black line in Fig. 6 give a measure of the uncertainties in the time evolution of the SST<sup>1</sup>. This suggests that the fast SST response ranges between -0.1 and -0.4°C while the time of the sign reversal varies between 15 and 30 years.

In concert with SST changes around 70-50°S, the sea ice area also significantly evolves in response to ozone depletion (Fig. 7). As expected, sea ice expands in the presence of colder SSTs and retreats when SSTs become positive, after about 20 years. This increase is seen in all seasons but is largest in winter when sea ice extent is at its peak. Note that the cold SST response is largest in summer when the atmospheric perturbations is the strongest but persists throughout the year (see Fig. 5). The sea ice perturbations are small but significant, representing typically a few percent of the climatological seasonal change.

<sup>&</sup>lt;sup>1</sup>They are computed as follows: 20x8 realizations of the SST response are constructed by forming all possible combinations of one the 20 short runs (year 0-40) with one of the 8 long runs (year 41-350). Each evolution is then fitted to a two timescale exponential form (see Eq. (8) below). The solid black line is the mean of these 160 evolutions while the grey shading indicates plus or minus one standard deviation.

287

We now address the mechanisms that drive the evolution of the SST response.

#### 288 1) The fast response

On short ( $\sim$ year) timescales the ocean response is essentially confined to the mixed 289 layer. The SST dipole is primarily forced by Ekman current anomalies due to the SAM-like 290 surface wind response (Fig. 4). South of 45°S, increased surface westerly winds result in 291 an anomalous northward Ekman flow which advects cold water from the South. North of 292 45°S, the opposite happens. The SST tendency due to this forcing,  $v'\partial_y \overline{T}$ , is plotted in 293 Fig. 8 (dashed-dotted) along with the SST response (red, both averaged over year 2-5). 294 Here,  $\partial \overline{T}/\partial y$  is taken from the control, while the full anomalous Eulerian currents v', not 295 just its Ekman component, are used in the computation. For convenience, the tendency is 296 expressed in W m<sup>-2</sup> taking a sea water density  $\rho_o$  of 1030 kg m<sup>-3</sup>, a water heat capacity  $C_p$ 297 of 3996 J kg<sup>-1</sup> K<sup>-1</sup>, and a constant mixed layer depth  $h_s$  of 30 m (the thickness of the top 298 model level). The pattern of anomalous advection tendency closely matches that of the SST 299 dipole and is of the correct magnitude to explain the SST response (except north of 25°S 300 where vertical advection is an important forcing, see below). 301

In contrast, the net air-sea flux anomaly F' (dominated by the latent contribution, positive downward) damps the SST anomaly to the atmosphere (Fig. 8, solid black). Net air-sea heat fluxes and horizontal advection term (dashed-dotted) closely oppose one another over the first few years. The initial SST dipole is thus the quasi-equilibrium response to the fast mixed layer dynamics:

$$\frac{\partial T'}{\partial t} \simeq -v' \partial_y \overline{T} + F'_a - \lambda T' \simeq 0.$$
(1)

where the net air-sea heat flux anomaly F' is made up of two contributions: a term  $F'_a$ driven by changes in the atmospheric state (independent of SST anomalies, e.g. surface wind changes, shortwave changes) and a SST damping term that varies linearly with T' on

a timescale  $\lambda^{-1}$  (positive  $\lambda$  implies a damping to the atmosphere). The fast SST response 310 to ozone depletion in the MITgcm is similar to the SST signature of a positive phase of 311 the SAM in the same model (and similar to the signature found in observations and other 312 models (Ciasto and Thompson 2008; Sen Gupta and England 2006)). Because our set-up 313 is strongly zonally symmetric, the SST forcing is largely dominated by meridional Ekman 314 advection. Note, however, that in more realistic configurations air-sea fluxes due to zonal 315 asymmetries of the SAM pattern may be important locally (Ciasto and Thompson 2008; 316 Sallée et al. 2010). 317

#### $_{318}$ 2) The slow response

The Ekman current anomalies are divergent and drive anomalous upwelling south of  $50^{\circ}$ S 319 and north of 35°S and an anomalous downwelling between these two latitudes. The Eulerian 320 MOC response consists then of two cells closely matching the surface wind stress anomalies 321 (Fig. 9). South of  $35^{\circ}$ S where there are no meridional boundaries, the Eulerian MOC 322 streamlines are vertical in the interior (as expected in the geostrophic limit) with return 323 flows in the top and bottom Ekman layers. North of 35°S, meridional barriers allow for a 324 mid-depth geostrophic return flow. At all latitudes, however, the strength of the Eulerian 325 MOC just below the Ekman layer is very well approximated by the theoretical prediction 326  $\tau_x/(\rho_o f)$  (on monthly and longer timescales) where  $\tau_x$  is the zonal mean zonal wind stress 327 and f the Coriolis parameter (not shown). 328

Anomalies of the residual-mean circulation (sum of the Eulerian and parameterized eddyinduced circulations) are plotted in Fig. 10. Comparison of Figs. 10b and 9 (same averaging periods) shows that the residual-mean MOC anomalies are dominated by the Eulerian flow, retaining a clear connection to the pattern of surface wind anomalies. However, in analogy with the mean state balance, the eddy-induced MOC anomalies tend to oppose the wind-driven circulation anomalies, particularly south of 35°S where there are no meridional barriers. As a result, the residual-mean MOC anomalies are weaker than the wind-driven Eulerian MOC anomalies by as much as a factor two. On average over the band 70-50°S, the residual-mean upwelling response is about 1 m year<sup>-1</sup>, compared to 1.5 m year<sup>-1</sup> for the Eulerian component (Fig. 11, top left). Note that the cancellation of the Eulerian vertical velocity by the eddy-induced component is similar at all depths.

Because ocean temperature increases upward north of 55°S (see color contoured in Fig. 9, 340 bottom), the downwelling and upwelling at these latitudes are expected to result in warming 341 and cooling, respectively. However, the near-surface temperature stratification south of  $55^{\circ}S$ 342 is reversed, with warmer water at depth because of the presence of seasonal sea ice (Fig. 9, 343 bottom). Then, upwelling south of this limit results in a warming. This is indeed observed in 344 subsurface layers as shown in Fig. 10. Meridionaly, the maximum temperature responses are 345 clearly associated with branches of upwelling/downwelling. In the vertical, the temperature 346 response peaks just below the mixed layer, around 100-200 m, where the summertime vertical 347 stratification  $\partial \overline{T}/\partial z$  is the largest. This is within reach of the wintertime deepening of 348 the mixed layer which, on average in the band 70-50°S, extends to about 150 m at its 349 deepest. The cold SST response between 70 and  $50^{\circ}$ S stands out over years 1-5, when 350 subsurface temperature anomalies remain weak (Fig. 10a). As time increases, however, the 351 subsurface temperature anomalies grow larger and larger and eventually imprint themselves 352 into the surface layer, through entrainment, so that by years 21-25, the cold SST anomaly 353 has disappeared. 354

As shown in Fig. 10d, the time evolution of the subsurface (170 m deep) temperature response around 60°S is nearly linear over the first 40 years. A best fit gives an average warming rate of 0.017 °C year<sup>-1</sup> (dashed black). This values is readily explained by the annual mean residual upwelling anomaly  $w_{res}$  (~1 m year<sup>-1</sup>) acting on the mean temperature stratification  $\partial \overline{T}/\partial z$  (~0.019 °C m<sup>-1</sup>) at this location. This confirms that the subsurface temperature response is well approximated by:

$$\frac{\partial T'_{sub}}{\partial t} \simeq -w'_{res} \frac{\partial T}{\partial z}.$$
(2)

<sup>361</sup> How much time is required for the upwelling of warm waters to compensate for the initial

cold SST response around 60°S? The fast SST response at 60°S peaks at about -0.4°C (year 363 3, see Fig. 5, top). Assuming that subsurface temperatures are efficiently carried into the mixed layer through entrainment, the initial SST response would be cancelled when the subsurface temperature perturbation reaches +0.4°C. This takes about 20-25 years (Fig. 10d), in good agreement with the SST evolution shown in Fig. 5.

After a couple of decades (Fig. 10c), the ocean has warmed south of 30°S at all depths 367 (due to upwelling/downwelling collocated with positive and negative temperature stratifica-368 tion) and cooled north of  $30^{\circ}$ S (due to upwelling of cold water). This distribution resembles 369 the averaged responses found by Sigmond and Fyfe (2010) and Bitz and Polvani (2012). 370 The latter study identifies upwelling/downwelling anomalies driven by the SAM-like atmo-371 spheric perturbation as a primary driver of the temperature response. In addition, Bitz and 372 Polvani (2012) shows (see their Fig. 3) that this effect is at work both at coarse  $(1^{\circ})$  and 373 eddy-resolving  $(0.1^{\circ})$  resolutions in CCSM3.5. Although the relative importance of eddies 374 and mean flow vertical advection depends on resolution, their result suggests that ocean 375 eddies do not have a major influence on the quasi-equilibrium response. Note, however, this 376 does not imply that eddies do not have an influence on the rate at which quasi-equilibirum 377 response is approached (see below). 378

<sup>379</sup> Two aspects of the temperature evolution deserve comment:

1) The fast SST response is driven primarily by anomalous horizontal rather than vertical
 advection. A scaling of these two terms is:

$$\alpha = \frac{v_{res}' \overline{T}_y}{w_{res}' \overline{T}_z} \sim \frac{\overline{T}_y}{\overline{T}_z} \frac{L_y}{h_s},\tag{3}$$

where  $\overline{T}_y$  is the meridional temperature gradient at the surface,  $\overline{T}_z$  the stratification just below the mixed layer,  $L_y$  the width of the upwelling zone (~20° for the band 50-70°S). We assume that, at the scaling level,  $v'_{ek}/w'_{ek} \sim v'_{res}/w'_{res}$ . We find that  $\alpha$  is about 15-30 (for  $\overline{T}_z = -0.015$ -0.020 °C m<sup>-1</sup>,  $\overline{T}_y = 4$ -6×10<sup>-6</sup> °C m<sup>-1</sup>, and  $h_s=30$  m). It is large because the width of the upwelling zone is much greater than the depth of the horizontal flow ( $L_y \gg h_s$  or equivalently, through volume conservation,  $|v'_{ek}| \gg |w'_{ek}|$ ). Despite the fact that  $\overline{T}_z \gg \overline{T}_y$ , horizontal advection dominates. In subsurface layers, by contrast, there are no air-sea fluxes and little damping. Then, subsurface temperature anomalies induced by anomalous upwelling grow unabated over decades and are eventually imprinted into the surface layers through entrainment during the fall/winter deepening of the mixed layer.

2) The impact of (parameterized) eddies is significant in setting the subsurface warming 392 rates. As shown above the residual-mean overturning anomalies are dominated by the Eule-393 rian wind-driven component on yearly averages but is partially compensated by eddy-induced 394 MOC anomalies. The anomalous Eulerian component is much larger than the annual mean 395 during summer months when the anomalous surface wind stress peaks, but is vanishingly 396 small in winter. By contrast, the eddy-induced circulation anomalies, which are proportional 397 to the perturbations in isopycnal slope, are more steady. This is reflected in the yearly fluc-398 tuations superimposed on the slow increase of the subsurface temperature anomalies at  $60^{\circ}$ S 399 shown in Fig. 10d. During summer, the wind forcing dominates and isotherms are lifted. 400 During wintertime, the wind forcing disappears and only the eddy-induced MOC persists: 401 isotherms are relaxed back toward their unperturbed position. In the annual mean, the 402 Eulerian vertical advection dominates, but the rate of temperature increase in subsurface 403 layers is significantly affected by the eddy contribution. If the wind forcing was the only 404 process acting, the rate of anomalous upwelling at  $60^{\circ}$ S would be 2 m year<sup>-1</sup>, twice as fast 405 as the rate due to the anomalous residual flow  $(1 \text{ m year}^{-1})$ . Thus, the upwelling anomaly 406  $w'_{res}$  in Eq. (2) can be expressed as: 407

$$w_{res}' = \delta w_{ek}' = \delta \frac{\partial}{\partial y} \left( \frac{\tau_x'}{\rho_o f} \right) \tag{4}$$

where  $\delta$  is an "eddy compensation" parameter which ranges from 1 (no eddy compensation) to 0 (exact eddy compensation). In the MITgcm experiments,  $\delta$ =0.3-0.5 in the band on upwelling (70-50°S) at 100-200 m depth. It is interesting that in the mean (i.e. control state) Eulerian and eddy-induced MOC also compensate roughly by this amount (see Marshall and Radko 2003).

In summary, we find that the warming of SST on long timescales in the band 70-50 $^{\circ}$ S is

due to upwelling of warm water (primarily driven by Ekman divergence). The time to the SST reversal is well approximated by the time necessary for the subsurface warming to offset the initial cold SST response, about 20 years here. The long term temperature response is consistent with previous findings and accounts for the retreat of sea ice in response to ozone depletion on long (multidecadal) timescales.

### 419 4. Ocean and Sea Ice Response in CCSM3.5

#### 420 a. Temperature and sea ice response

The response to ozone depletion in CCSM3.5 has many similarities with that found in 421 the MITgcm, but also some important differences of detail. Of most significance is that 422 the SST response again has two phases: first a dipole response in the meridional direction 423 followed by a widespread warming of the Southern Ocean, as in the MITgcm (Figs. 12 and 424 5, top). CCSM3.5 has much more realistic geometry than the MITgcm configuration and 425 so the initial SST response (similar to the modeled SST signature of a positive phase of 426 the SAM) exhibits important zonal asymmetries, unlike the MITgcm (Fig. 12, top). In 427 particular, the cold SST pole around 60°S is interrupted downstream of the Drake Passage 428 where the warm pole extends across the ACC into the Western part of the Weddel Sea. 429 This feature is also found in the observed SST response to a positive phase of the SAM 430 (see Ciasto and Thompson 2008) and corresponds to a region where air-sea heat fluxes, 431 rather than Ekman currents, dominate the SST anomaly forcing. Note, however, that the 432 SST response to ozone depletion in CCSM3.5 differs from the observed SAM-forced SST 433 anomaly in some other aspects. For example the negative pole in the Pacific sector is larger 434 and extends further equatorward. 435

As in the MITgcm experiment, over time the SST (and subsurface) responses morph into a widespread warming (Fig. 12, bottom). The transition between the two phases however occurs much faster in CCSM3.5, after only 3-5 years (Fig. 5, bottom). Around 60°S, between <sup>439</sup> years 3 and 5, cold SST anomalies during summer (at the peak of the wind forcing) alternate
<sup>440</sup> with warm anomalies during winter. At 70°S, the cold SST response reappears during most
<sup>441</sup> summers for nearly 2 decades.

The amplitude of the SST response in CCSM3.5 is weaker than in the MITgcm, with peak 442 values of  $\pm 0.3^{\circ}$ C (compared to  $\pm 0.6^{\circ}$ C in MITgcm), reflecting the difference in the surface 443 wind response to ozone depletion in the two models. Largely due to the zonal asymmetries 444 of the SST response in CCSM3.5, the zonal mean initial response is only -0.2°C (Fig. 5, 445 bottom) and averaged between 70 and  $50^{\circ}$ S it is only  $-0.05^{\circ}$ C (Fig. 6, right, red solid). For 446 a meaningful comparison with the MITgcm therefore, the SST evolution averaged over the 447 area comprising the initial cold pole (see Fig. 12, top) is also shown in Fig. 6 (right, dashed 448 red). According to this measure, the initial SST response is larger (-0.15°C) in magnitude 449 and changes sign at a later time (5 yr) than in the zonal average. When comparing the two 450 models, the initial cooling response in CCSM3.5 is also partially obscured by the warming 451 trend which grows much more rapidly than in the MITgcm (see below). Despite these 452 differences, the two phases of the SST response are clearly evident in Fig. 6 (right). After 453 the sign reversal during year 3-5, the SST continues to increase for a few years more and 454 appears to stabilize around 0.15°C after a decade or so. Note that only 6 ensemble member 455 are available after 3 years and so larger variability is evident. The appearance of a stationary 456 state after 10 years may not be a robust feature. 457

The rapid transition between the two phases is also evident in the sea ice response. The sea ice area only increases during the first winter following the ozone hole inception (Fig. 7, right) while the summer sea-ice area decreases sharply. The area of winter sea-ice also eventually declines. The magnitude of the sea-ice area decline in the slow phase of the two models is similar although the decline occurs about a decade sooner in CCSM3.5. The underlying dynamics of the SST evolution in CCSM3.5 is similar to that in the MITgcm although the magnitudes of key terms in the heat budget and implied timescales are different.

In the zonal mean, the initial SST anomaly dipole is largely explained by the anomalous 467 Ekman response (Fig. 8, right). To match the short-lived initial response, the forcing terms 468 and SST anomalies in Fig. 8 (right) are averaged over 2 years. The Ekman forcing term (and 469 the SST response) are about half those found in the MITgcm. The air-sea flux anomalies act 470 to damp the SST response at all latitudes. The air-sea flux anomalies and Ekman forcing 471 again tend to balance each other. There is one noticeable exception between 60 and  $50^{\circ}$ S 472 where the air-sea flux term is larger in magnitude than the Ekman term. Here, the air-sea 473 flux warms the surface faster than it is cooled by Ekman advection. More detailed analysis 474 reveals that this is due to an increased shortwave absorption at the surface due to a decrease 475 of the cloud fraction (not shown). 476

The other important mechanism identified in the MITgcm experiment is the wind-driven 477 subsurface warming below the initial cold SST anomaly. Fig. 11 (right) shows the vertical 478 velocity anomalies and the resulting tendency  $-w'_{res}\frac{\partial \overline{T}}{\partial z}$  in CCSM3.5 (at two depths where 479 the values are largest). South of 60°S, the anomalous Ekman divergence drives upwelling in 480 a region where the temperature decreases toward the surface (Fig. 11, top right). As in the 481 MITgcm, this results in a positive tendency. Note that  $w'_{res}$  is larger at 70 than at 130 m 482 depth, but that the temperature tendency at 70 m is much smaller because this level lies 483 within the mixed-layer and the temperature stratification is weak. North of 60°S, the mixed 484 layer is shallower and strong tendencies are found closer to the surface. The large positive 485 tendency, of  $0.1^{\circ}$ C year<sup>-1</sup>, centered on 50°S is due to downwelling of warm waters while the 486 large negative tendency around 40°S is due to upwelling of cold water. 487

The vertical advection tendencies in CCSM3.5 are significantly larger than in the MITgcm (Fig. 11, bottom, note the different vertical scales in the two panels), typically by a factor  $_{490}$  2 in the band 70-50°S (see also estimated values in Table 1 and section 5 below).

Comparing the annual- and zonal-mean wind stress responses in the MITgcm and CCSM3.5 491 (Fig. 3), it appears that 1) the responses of the two models are shifted in the meridional di-492 rection, one with respect to the other (surface wind anomaly peaks around 65°S in CCSM3.5 493 but around  $55^{\circ}$ S in the MITgcm) and 2) the meridional scale of the wind change in CCSM3.5 494 is smaller than in the MITgcm. This leads to stronger wind curl anomalies and hence larger 495 Eulerian upwelling rates. In addition, the cancellation of the wind driven upwelling by the 496 eddy-induced vertical velocity differs between the two models. In contrast with the MITgcm 497 (Fig. 11, top left), eddy-induced contributions to upwelling rates are very small compared to 498 the Eulerian mean down to 100 m depth in CCSM3.5. The difference in the degree of eddy 499 cancellation between models may be due to differences in the eddy parameterization scheme: 500 the MITgcm uses a constant eddy coefficient while CCSM3.5 uses a temporally and spatially 501 variable eddy coefficient (following Ferreira et al. (2005), see section 2). The combination of 502 a larger wind-driven upwelling and a weaker eddy cancellation largely explains the stronger 503 warming tendencies seen in CCSM3.5 (Fig. 11, bottom), and as we shall see, is a major 504 factor in the shorter cross-over time from cooling to warming. 505

## 5. Discussion and development of a simplified model

The discussion in sections 3 and 4 has enabled us to identify common robust mechanisms of warming and cooling in the two models. Here we use the insights gained to present a simplified model of the response of the ocean to SAM forcing which exposes those processes in a transparent way.

#### 511 a. Formulation

To aid our discussion, motivated by diagnostics of our two coupled models, we present the following simple model of the temperature response:

$$\frac{\partial T'}{\partial t} = -v'_{res}\frac{\partial \overline{T}}{\partial y} + F'_a - \lambda T' + \Lambda_e T'_{sub}$$
(5)

$$\frac{\partial T'_{sub}}{\partial t} = -w'_{res} \frac{\partial T_{sub}}{\partial z} - \lambda_{sub} T'_{sub}$$
(6)

where T' is the SST response,  $T'_{sub}$  the subsurface temperature response (imagined to be 514 typical of the seasonal thermocline) and  $\Lambda_e$  represents the entrainment timescale of the 515 subsurface temperature into the mixed layer. The subsurface temperature is assumed to 516 adjust on a timescale  $\lambda_{sub}^{-1}$  which encapsulates complex dynamics relevant to the equilib-517 rium response and adjustment of the SO seasonal thermocline. The overbar denotes the 518 climatological state of the control run, and the prime is the perturbation in response to 519 the anomalous wind forcing. In the absence of a dynamical response in the ocean interior 520  $(w'_{res} \simeq 0)$  and/or of an influence of the interior on the surface layer ( $\Lambda_e = 0$ ), the SST 521 anomaly equation reduces to: 522

$$\frac{\partial T'}{\partial t} = \tilde{F} - \lambda T' \tag{7}$$

where  $\tilde{F} = F'_a - v'_{res} \partial_y \overline{T}$  is the atmospheric forcing of the mixed layer by air-sea flux and Ekman current anomalies. This is the classical model of midlatitude SST variability (Frankignoul and Hasselmann 1977).

We are interested in the response to a step-function wind change. Assuming a constant atmospheric forcing  $(v'_{res}, w'_{res}, F'_a = \text{const})$  for t > 0, solutions are given by:

$$T' \simeq \frac{\dot{F}}{\lambda} (1 - e^{-\lambda t}) + \frac{\Lambda_e}{\lambda} T'_{sub}$$
 (8)

$$T'_{sub} = \frac{-w'_{res}\partial_z \overline{T}_{sub}}{\lambda_{sub}} (1 - e^{-\lambda_{sub}t})$$
(9)

in the limit  $\lambda_{sub} \ll \lambda$  appropriate to our models. The subsurface temperature (9) grows monotonically on a timescale  $\lambda_{sub}^{-1}$ . The SST response (8) is the sum of two exponential

functions: one captures the fast response driven by mixed-layer dynamics while the second 530 one,  $\Lambda_e/\lambda \times T'_{sub}$ , is driven by the slow ocean interior dynamics. Note that for  $t \ll \lambda_{sub}$ ,  $T'_{sub}$ 531 increases linearly at a rate given by  $-w'_{res}\partial_z \overline{T}_{sub}t$  as found in the coupled GCMs. Parameters 532 obtained from a best fit of Eqs. (8) and (9) to the SST and subsurface temperature evolution 533 in both the MITgcm and CCSM3.5 are given in Table 1. The best-fit curves are shown in 534 Fig. 13 (solid) with their fast and slow components (dashed). It is important to emphasize 535 that the response to a step function in the classical model (7) reduces to the fast component 536 if the ocean is passive (lower dashed curves in Fig. 13). Thus both coupled GCMs depart 537 significantly from the Frankignoul and Hasselmann (1977) classical model, attesting to the 538 active role of ocean circulation in modulating the SST response. 539

The fitted parameters in Table 1 are clearly estimates and depend on the underlying assumptions of the simple model Eqs. (5) and (6). They nonetheless provide useful insights in to processes at work and the differences between the two GCMs. There are two key differences between the coupled models which we now discuss in turn: air-sea fluxes/damping rates and the response of the interior ocean.

#### 545 b. Air-sea interactions

The first difference that stands out is in the strength of the air-sea heat exchanges. The 546 atmospheric-driven forcing  $\tilde{F}_F$  (=  $\rho_o C_p h_s \tilde{F}$  in W m<sup>-2</sup>) is -0.7 W m<sup>-2</sup> in the MITgcm and 547  $-1.1 \text{ W m}^{-2}$  in CCSM3.5 (average values in the band 70-50°S). Comparison with Fig. 8 548 suggests that  $\tilde{F}_F$  largely comprises the anomalous Ekman advection in the MITgcm, but is 549 significantly amplified by  $F'_a$  in CCSM3.5 (possibly because of the larger zonal asymmetries 550 in CCSM3.5). Changes in the atmospheric circulation (a positive SAM here) and ozone 551 concentration are both expected to affect the radiation reaching the surface. Recently, Grise 552 et al. (2013) showed that ozone depletion could alter the top-of-the-atmosphere longwave 553 and shortwave fluxes by a few W  $m^{-2}$  in the band 70-40°S through a modulation of the 554 cloud fraction. A similar impact on the surface fluxes is anticipated (regardless of the 555

ocean response) although we cannot discriminate between the MITgcm and CCSM3.5 in 556 this respect. In addition, the estimated heat flux feedback  $\lambda_F = \lambda/(\rho_o C_p h_s)$  is much larger 557 in CCSM3.5 than in the MITgcm (6.7 and 1.5 W m<sup>-2</sup> K<sup>-1</sup> respectively). We do not have 558 good estimates of the heat flux feedback in the Southern Ocean. Frankignoul et al. (2004) find 559 that  $\lambda_F$  is typically about 15-20 W m<sup>-2</sup> K<sup>-1</sup> at the local scale in the mid-latitudes but tends 560 to decrease significantly at the basin scale ( $\sim 10 \text{ W m}^{-2} \text{ K}^{-1}$ ) in the North Atlantic/Pacific. 561 It is expected to decrease further at the global scale of the SO. Again, zonal asymmetries in 562 CCSM3.5 probably contribute to the difference between the two models, enhancing air-sea 563 contrast and damping rates as air parcels move above the Southern Ocean. Cloud and sea 564 ice feedbacks are also likely contributors. Despite the factor of 4 difference between the 565 CCSM3.5 and MITgcm heat flux feedback, neither can be ruled out as unrealistic. Thus, 566 it appears that the air-sea heat interactions (forcing and damping) are significantly more 567 intense in CCSM3.5 than in the MITgcm. 568

#### 569 c. Response of the interior ocean

The Frankignoul and Hasselmann (1977) model is modified by ocean interior dynamics in our simple model. In the limit  $t \ll \lambda_{sub}^{-1}$ , the SST response (8) becomes:

$$T' \simeq \frac{\tilde{F}}{\lambda} (1 - e^{-\lambda t}) - \frac{\Lambda_e}{\lambda} w'_{res} \partial_z \overline{T}_{sub} t.$$
<sup>(10)</sup>

The time  $t_r$  at which the SST changes sign,  $T'(t_r) = 0$ , then depends on  $\lambda$  but no longer on  $\lambda_{sub}$ . Further assuming  $\lambda^{-1} \ll t \ll \lambda_{sub}^{-1}$ ,  $t_r$  simplifies to:

$$t_r \simeq \frac{1}{\Lambda_e} \frac{-\tilde{F}}{-w'_{res}\partial_z \overline{T}_{sub}} = \frac{1}{\Lambda_e} \frac{v'_{res}\partial_y \overline{T} - F'_a}{-w'_{res}\partial_z \overline{T}_{sub}}$$
(11)

Note that the above expression does not apply well to the CCSM3.5 case where  $t_r$  is only a factor 2 smaller than  $\lambda_{sub}$ . Nonetheless, Eq. (11) points to the key role of residual circulation in driving the change of sign. The larger  $v'_{res}$  the longer the transition (through a larger initial cooling of SST) while the larger  $w'_{res}$  the shorter the transition (through increases

of the subsurface warming rate). As pointed out in Eq. (4) (and Fig. 11), the residual 578 upwelling flow results from a cancellation (by a factor  $\delta$ ) of the wind-driven upwelling by 579 the (parameterized) eddy-induced downwelling. However, the cancellation of the Ekman 580 horizontal flow by the eddy-induced circulation is relatively weak in comparison with that 581 of the vertical flow. This is because the Eulerian and eddy-induced streamfunctions do not 582 have the same vertical distribution. The Eulerian streamfunction is constant over the fluid 583 column and decays to zero at the surface within the Ekman layer ( $\sim 30$  m), i.e. the horizontal 584 flow is very confined vertically (Fig. 9). In contrast, the eddy induced flow near the surface 585 is spread over a deeper layer, of about 200 m. This mismatch between the vertical scales of 586 the two MOC components is observed in eddy-resolving simulations (see Abernathey et al. 587 2011; Morrison and Hogg 2013) and should not be considered an erroneous effect of the Gent 588 and McWilliams eddy parameterization employed in the coupled GCMs (although the use of 589 a tapering scheme in the GM scheme may have an influence). This suggests that  $w'_{res} = \delta w'_{ek}$ 590 and  $v'_{res} \simeq v'_{ek}$  is a better choice in which case: 591

$$t_r \simeq \frac{1}{\Lambda_e} \frac{v'_{ek} \partial_y \overline{T} - F'_a}{-\delta w'_{ek} \partial_z \overline{T}_{sub}}$$
(12)

In the limit of perfect eddy compensation ( $\delta = 0$ ),  $t_r$  would go to infinity as there would be no subsurface upwelling and warming and the initial cold SST response would persist indefinitely. In the limit of no eddy compensation ( $\delta=1$ ), the transition  $t_r$  would be more rapid. Eq. (12) emphasizes that the eddy processes (vertical structure, magnitude) may be key in determining the timescale of the SST reversal.

<sup>597</sup> Finally, we point to the role of the entrainment time scale  $\Lambda_e^{-1}$  which modulates the <sup>598</sup> imprint of the subsurface temperature onto the SST. It is shorter in CCSM3.5 than in the <sup>599</sup> MITgcm, 0.4 and 1.5 yr, respectively (Table 1). The shorter CCSM3.5 timescale (promot-<sup>600</sup> ing a shorter transition time  $t_r$ ) could possibly be due to the shallower depth of the peak <sup>601</sup> subsurface tendencies (see Fig. 11) or the use of a mixed layer scheme and higher vertical <sup>602</sup> resolution. In both models however, the ratio  $\lambda/\Lambda_e$  which appears in Eq. (8), is about 0.6 <sup>603</sup> and the warming trend of the SST mimics that of the subsurface temperature (Fig. 13).

## 604 6. Conclusion

In this study, we have explored the ocean and sea ice response to ozone depletion in two coupled GCMs. The ozone depletion is imposed as a step function and we compute the transient response of the coupled system to this perturbation. As in other studies, the surface westerly winds shift poleward and strengthen during summer in response to ozone depletion; this atmospheric response is similar to the positive phase of the SAM.

The first key result of our study is that the SST response to this wind perturbation in 610 the Southern Ocean has two phases (see Fig. 1 for a schematic). The fast response occurs on 611 monthly timescale following the SAM-like wind perturbation, but also builds up over a few 612 years. It is mediated by mixed layer dynamics and air-sea interaction. It consists of a dipole, 613 with a cooling south of the ACC (where the surface wind increases) and a warming where 614 surface westerly winds weaken (around 35°S) (Fig. 1, left). This response is primarily driven 615 by anomalous Ekman advection with air-sea heat interactions acting as a damping. The slow 616 response is due to interior ocean dynamics. The northward Ekman flow at 70-50°S drives 617 upwelling south of the ACC which brings warm water to the surface. At these latitudes 618 where sea ice expands seasonally, the water column is stratified by salinity and cold water 619 at the surface lies over warm water below. On long (multi-year) time scales, this warmth 620 can be entrained into the mixed layer and counteracts the initial SST cooling (Fig. 1, right). 621 Eventually, the SST response to ozone depletion is a widespread warming of the SO. 622

The second key result of our study is that there is no inconsistency between inferences 623 based on SAM/SST correlations and modeling studies of the SO response to ozone depletion. 624 Sigmond and Fyfe (2010) and Bitz and Polvani (2012) found that ozone depletion drives a 625 warming of the SO and sea ice loss in coupled GCMs. The SST/sea ice signatures of the 626 positive phase of the SAM, however, suggest that ozone depletion through its surface wind 627 impact should generate a SST cooling around Antarctica and a sea ice expansion (Goosse 628 et al. 2009). These two conclusions are reconciled within one framework by our results 629 showing a two-timescale response to ozone depletion. 630

Finally, a related overall outcome is that ozone depletion could drive a transient expansion 631 of the sea ice cover around Antarctica that could have contributed to the observed sea ice 632 expansion of the last 3 decades (Parkinson and Cavalieri 2012). In both GCMs used here, 633 the initial sea ice response to an abrupt ozone depletion is one of expansion, followed by a 634 contraction of the sea ice cover as the surface warms. This long term response is consistent 635 with findings by Sigmond and Fyfe (2010) and Bitz and Polvani (2012)). However, the true 636 (time-varying) influence of ozone depletion on the sea ice extent will critically depend on the 637 timescale of the transition from cooling to warming. One expects that in a model with a short 638 transition timescale such as CCSM3.5, prescribing the time-history of the ozone depletion 639 would not result in a significant sea ice expansion (consistent with results of Smith et al. 640 (2012), albeit obtained with CCSM4). On the contrary, in a model with a long transition 641 timescale such as the MITgcm, a transient SST cooling and sea ice expansion is obtained in 642 response to the historical variations of the ozone hole (work in progress). 643

An important corollary of this study is that analysis of the relationship between sea ice 644 cover and SAM changes in observations may require more sophisticated tools than previ-645 ously used in the literature (e.g. simultaneous correlations or trends). In a recent study, 646 for example, Simpkins et al. (2012) computed the sea ice cover trends that are linearly con-647 gruent with the SAM during summer. To do this, they regressed sea ice anomalies onto 648 the detrended SAM index, and then multiplied the resulting regression coefficients by the 649 trend in the SAM. Such an approach effectively assumes that there is a single relationship 650 between SAM and sea ice cover changes that applies on all times scales, or, equivalently that 651 there is only one (fast) timescale response. Simpkins et al. (2012) (and others, see references 652 therein) found, using such congruency analysis, that the SAM trends explain less 15% of the 653 observed sea ice trends. This is not surprising in the light of our results: we do not expect 654 that the simultaneous (3-month averaged) relationship between SAM and sea ice cover would 655 capture their relationship on long multidecadal trends. Therefore, we argue that such low 656 congruency obtained in observations does not rule out a dynamical link between SAM and 657

sea ice trends of the past 3 decades. A more accurate exploration of the SAM-sea ice link
needs to account for the two-timescale response.

Although the two-timescale SST response is a robust result seen in the two GCMs studied here and the mechanisms of this response are largely similar in the two GCMs, the timescale of the transition between the cold and warm SST phases around Antarctica is poorly constrained, being 20 years in the MITgcm and 3-5 years in CCSM3.5.

Two main sources of uncertainties have been identified: the nature of the air-sea in-664 teraction and the response of the interior ocean. Air-sea heat fluxes are partly driven by 665 atmospheric changes (notably changes in wind and cloud effects) and partly by rates of 666 damping of the SST anomaly once it is created. Parameterized mesoscales eddies control 667 the effective rate of subsurface warming by partially canceling the wind-driven upwelling. 668 We emphasize that in both GCMs, eddy processes are parameterized. Eddy-resolving simu-669 lations have shown that such cancellation is difficult to capture in parameterization schemes 670 (e.g. Hallberg and Gnanadesikan 2006; Abernathey et al. 2011). More studies are required to 671 better quantify these processes, to constrain the transition timescale using coupled GCMs, 672 process studies and observations. 673

Despite the above caveats, our results robustly demonstrate that the Southern Ocean responds to wind on multiple timescales, reconciling previously contradicting views. Importantly, regardless of the true timescale of transition between the fast and slow phases, our results highlight the need to revise the classical model of extratropical air-sea interactions for the Southern ocean to account for the interior ocean dynamics.

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## APPENDIX

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## The MITgcm

All components use the same cubed-sphere grid at a low resolution C24, yielding a resolution of 3.75° at the equator (Adcroft et al. 2004). The cubed-sphere grid avoids problems associated with the converging meridian at the poles and ensures that the model dynamics at the poles are treated with as much fidelity as elsewhere.

The atmospheric physics is of 'intermediate' complexity, based on the "SPEEDY" scheme 691 (Molteni 2003) at low vertical resolution (5 levels, one in the stratosphere, three in the tro-692 posphere and one in the boundary layer). Briefly, it comprises a 4-band radiation scheme, a 693 parametrization of moist convection, diagnostic clouds and a boundary layer scheme. The 3-694 km deep, flat-bottomed ocean model has 15 vertical levels, increasing from 30 m at the surface 695 to 400 m at depth. The background vertical diffusion is uniform and set to  $3 \times 10^{-5}$  m<sup>2</sup> s<sup>-1</sup>. 696 The sea-ice model is based on Winton (2000)'s two and a half layer thermodynamic 697 model with prognostic ice fraction, snow and ice thickness (employing an energy conserving 698 formulation). The land model is a simple 2-layer model with prognostic temperature, liquid 699 ground water, and snow height. There is no continental ice. The seasonal cycle is represented 700 (with a  $23.5^{\circ}$  obliquity and zero eccentricity) but there is no diurnal cycle. 701

Finally, as discussed by Campin et al. (2008), the present coupled ocean-sea ice-atmosphere model achieves perfect (machine-accuracy) conservation of freshwater, heat and salt during extended climate simulation. This is made possible by the use of the rescaled height coordinate  $z^*$  (Adcroft and Campin 2004) which allows for a realistic treatment of the sea ice-ocean interface. This property is crucial to the fidelity and integrity of the coupled system. The set-up is identical to that used in Ferreira et al. (2010, 2011) and very similar to that of Marshall et al. (2007) and Enderton and Marshall (2009) (see Ferreira et al. (2010) for key 710

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## <sup>845</sup> List of Tables

1 Parameters of the simple model estimated by fitting Eqs. (8) and (9) to the 846 SST and subsurface temperature time series diagnosed in the MITgcm and 847 CCSM3.5 ( $h_s$ =30 m). The model time series and fitted curves are shown 848 in Fig. 13. The temperatures responses are averaged over 70-50°S for the 849 MITgcm and over the initial cold SST response for CCSM3.5. Note that 850  $\lambda_F$  and  $\tilde{F}_F$  are the same physical quantities as  $\lambda$  and  $\tilde{F}$  but expressed in 851 W m<sup>-2</sup> K<sup>-1</sup> and W m<sup>-2</sup> respectively, assuming a mixed layer depth  $h_s$  of 852 30 m for both models. 853

TABLE 1. Parameters of the simple model estimated by fitting Eqs. (8) and (9) to the SST and subsurface temperature time series diagnosed in the MITgcm and CCSM3.5 ( $h_s$ =30 m). The model time series and fitted curves are shown in Fig. 13. The temperatures responses are averaged over 70-50°S for the MITgcm and over the initial cold SST response for CCSM3.5. Note that  $\lambda_F$  and  $\tilde{F}_F$  are the same physical quantities as  $\lambda$  and  $\tilde{F}$  but expressed in W m<sup>-2</sup> K<sup>-1</sup> and W m<sup>-2</sup> respectively, assuming a mixed layer depth  $h_s$  of 30 m for both models.

	Air-sea damping		Atm. forcing		$\lambda_{sub}^{-1}$	$\Lambda_e^{-1}$	$-w_{res}^{\prime}\partial_{z}\overline{T}_{sub}$
	$\lambda^{-1}$	$\lambda_F$	$ ilde{F}$	$\tilde{F}_F$			
	year	$W m^{-2} K^{-1}$	$^{\circ}\mathrm{C} \mathrm{year}^{-1}$	${\rm W}~{\rm m}^{-2}$	year	year	$^{\circ}C \text{ year}^{-1}$
MITgcm	2.6	1.5	-0.18	-0.7	78	1.5	0.014
CCSM3.5	0.59	6.7	-0.27	-1.1	6.8	0.36	0.027

## <sup>854</sup> List of Figures

1 Schematic of the two-timescale response of the ocean and sea ice to an abrupt 855 ozone depletion, capturing the common features of the two GCMs: (left) the 856 fast response, similar to the signature of the interannual SAM seen in obser-857 vations, is dominated by the surface dynamics and (right) the slow response, 858 seen in coupled GCMs, is driven by the ocean interior dynamics. Black arrows 859 denote anomalous ocean currents. Red/blue arrows denote heat fluxes in/out 860 of the surface mixed layer (marked by a thick dashed line). Blue patches rep-861 resent the sea ice cover (expanding in the fast response and contracting in the 862 slow response). The thin dashed lines mimic the structure of isotherms in the 863 Southern Ocean, showing in particular the temperature inversion found south 864 of the ACC. Small vertical displacements ( $\sim 10$  m) of these isotherms (not 865 represented in the schematic) generate temperature anomalies in the ocean 866 interior. 867

Response of the geopotential height at 500 mb (in meters) to an abrupt ozone depletion: (left) in DJF and (right) zonal mean climatological response for (top) the MITgcm and (bottom) CCSM3.5. The time average is over the first 20 years. 40

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Annual- and zonal-mean response of the surface wind stress (N m<sup>-2</sup>) to an abrupt ozone depletion in the MITgcm (dashed) and in CCSM3.5 (dasheddotted). The time average is over the first 20 years. For comparison, the difference in surface wind stress between pre-ozone hole conditions (1980-1989) and peak ozone hole conditions (1995-2004) is shown in solid, from the ERA-Interim re-analysis. The vertical lines indicate the locations of the peak mean surface wind stress.

- <sup>879</sup> 4 Response of (left) SST (°C) and surface wind (m s<sup>-1</sup>) and (right) potential <sup>880</sup> temperature at 170 m averaged over years 1-5 (top) and 16-20 (bottom) after <sup>881</sup> "Ozone Hole" inception in the MITgcm.
- 5 Zonal mean SST response (monthly means, in °C) in (top) the MITgcm and (bottom) CCSM3.5. In the bottom panel, the vertical line separates the first 32 months when 20 ensemble members are averaged from the later months where just 6 ensemble members are averaged.
- 6 Time evolution of the annual-mean ensemble-mean SST response (red) in 886 (left) the MITgcm and (right) CCSM3.5. Solid lines correspond to an average 887 between 70 and 50°S. In addition, for CCSM3.5, an average over the area 888 occupied by the cold pole of the first year response (see Fig. 12 top, below) is 889 shown with dash-dotted lines. The solid black line indicates the mean of the 890 best fitted curves while the grey shading denotes uncertainties (see text for 891 details). For the MITgcm, a 20 member-ensemble is used over the 40 years. 892 For CCSM3.5, a 20-member ensemble mean is only available for the first 3 893 years, after which only the ensemble mean comprises 6 members. 894
- Total sea ice area response (in 10<sup>6</sup> km<sup>2</sup>) as a function of time in summer (January-March, red) and winter (August-October, black) in (left) the MITgcm and (right) CCSM3.5. Note that CCSM3.5 starts in September, so the first winter is an average of September-October only.
- <sup>899</sup> 8 Response of zonal mean SST (°C, red), net air-sea flux  $F'_F$  (W m<sup>-2</sup>, solid black) and horizontal advection at the surface  $-\rho_o c_p h_s v'_{res} \partial_y \overline{T}$  (W m<sup>-2</sup>, dasheddotted black) with  $h_s$ =30 m. Fluxes are counted positive if they result in an SST increase. Results are shown for the MITgcm experiment on the left (averaged over years 2-3) and on the right for CCSM3.5 (averaged over months 5-28). Note the different vertical scales in the two panels.

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905 9 (Top) Annual mean surface wind stress response (N m<sup>2</sup>). (bottom) Annual 906 mean Eulerian MOC response (Sv, black) and potential temperature distri-907 bution in the control run (°C, color). The responses are averaged over years 908 6-10.

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- 90910(a)-(c): Residual-mean MOC response (black) and potential temperature re-910sponse (color) averaged over five year periods. The contour interval for the911MOC are  $\pm 0.5, \pm 1, \pm 2$  Sv, etc ... Clockwise and anticlockwise circulations912are denoted by solid and dashed lines, respectively. (d) Time series of po-913tential temperature (red) averaged over the box shown in panel a). The best914fit slope (dashed black) equals  $0.017^{\circ}$ C/year. The grey shading indicates the915magnitude of the fast (cold) SST response around 60°S.
- (Top) Eulerian (circle) and residual-mean (cross) vertical velocities (m vear $^{-1}$ ) 11 916 averaged over the latitudinal bands dominated by upwelling (blue) and down-917 welling (red) and (bottom) subsurface vertical advection tendencies  $-w'_{res}\frac{\partial \overline{T}}{\partial z}$ 918 (°C year<sup>-1</sup>). The left and right plots correspond to the MITgcm and CCSM3.5. 919 respectively. Note that the boundaries of the latitudinal bands (top) and 920 depths at which vertical advection peaks (bottom) vary between models as 921 indicated by insets. Note also that, in the bottom plots, the vertical scale for 922 CCSM3.5 is larger than for MITgcm. 923

## 92412Response of SST (°C) averaged over the first year (top) and years 11-20 (bot-925tom) after an abrupt ozone depletion in the CCSM3.5.51

Best-fit (solid blue) of Eq. (8) to the CFR of the SST evolution (red, averaged over 70-50°S for the MITgcm and over the initial cold SST response
for CCSM3.5) in (left) the MITgcm and (right) CCSM3.5. The slow and fast
components of the best fit are shown in dashed lines.

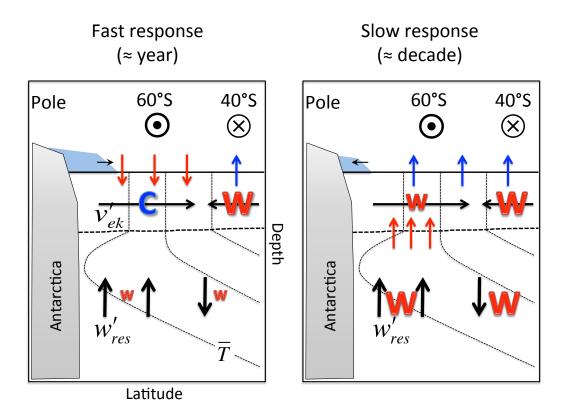


FIG. 1. Schematic of the two-timescale response of the ocean and sea ice to an abrupt ozone depletion, capturing the common features of the two GCMs: (left) the fast response, similar to the signature of the interannual SAM seen in observations, is dominated by the surface dynamics and (right) the slow response, seen in coupled GCMs, is driven by the ocean interior dynamics. Black arrows denote anomalous ocean currents. Red/blue arrows denote heat fluxes in/out of the surface mixed layer (marked by a thick dashed line). Blue patches represent the sea ice cover (expanding in the fast response and contracting in the slow response). The thin dashed lines mimic the structure of isotherms in the Southern Ocean, showing in particular the temperature inversion found south of the ACC. Small vertical displacements ( $\sim$ 10 m) of these isotherms (not represented in the schematic) generate temperature anomalies in the ocean interior.

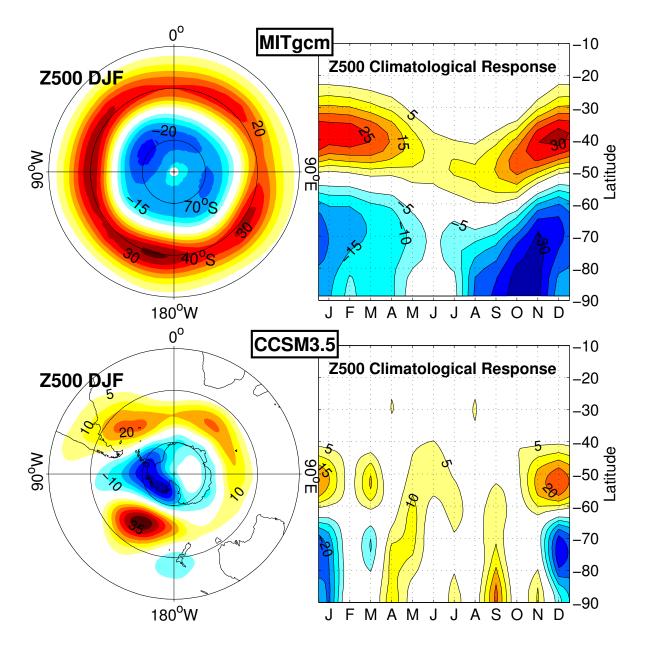


FIG. 2. Response of the geopotential height at 500 mb (in meters) to an abrupt ozone depletion: (left) in DJF and (right) zonal mean climatological response for (top) the MITgcm and (bottom) CCSM3.5. The time average is over the first 20 years.

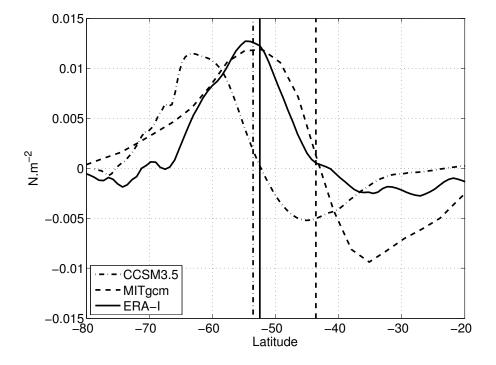


FIG. 3. Annual- and zonal-mean response of the surface wind stress  $(N m^{-2})$  to an abrupt ozone depletion in the MITgcm (dashed) and in CCSM3.5 (dashed-dotted). The time average is over the first 20 years. For comparison, the difference in surface wind stress between preozone hole conditions (1980-1989) and peak ozone hole conditions (1995-2004) is shown in solid, from the ERA-Interim re-analysis. The vertical lines indicate the locations of the peak mean surface wind stress.

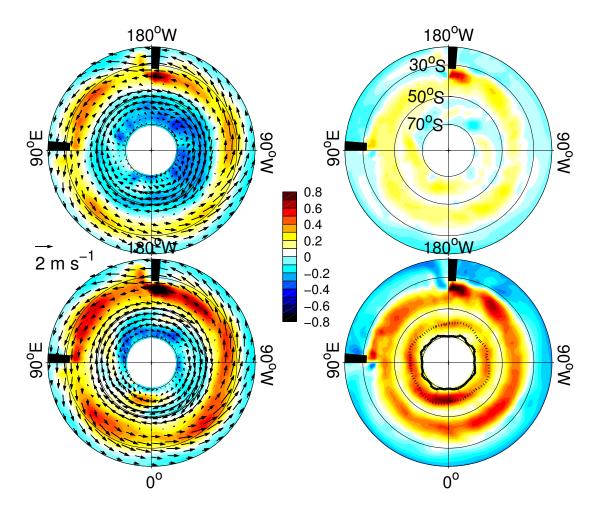


FIG. 4. Response of (left) SST (°C) and surface wind (m s<sup>-1</sup>) and (right) potential temperature at 170 m averaged over years 1-5 (top) and 16-20 (bottom) after "Ozone Hole" inception in the MITgcm.

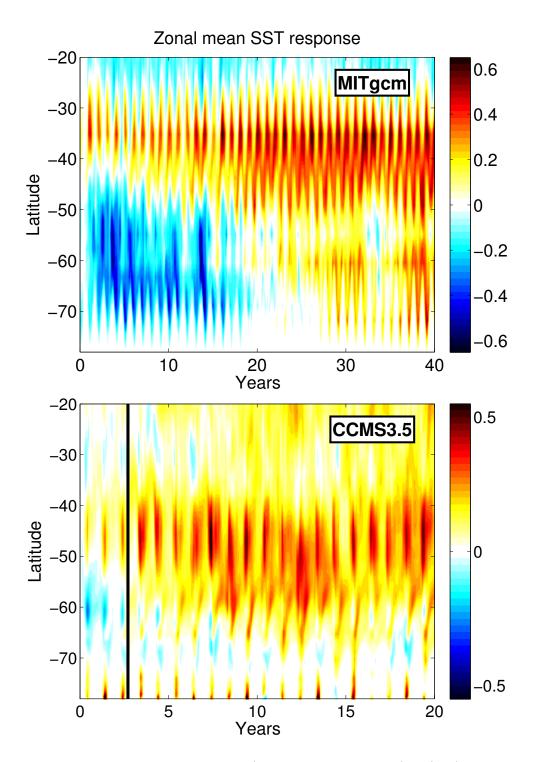


FIG. 5. Zonal mean SST response (monthly means, in °C) in (top) the MITgcm and (bottom) CCSM3.5. In the bottom panel, the vertical line separates the first 32 months when 20 ensemble members are averaged from the later months where just 6 ensemble members are averaged.

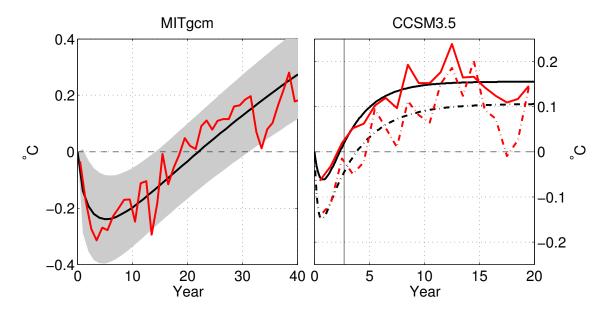


FIG. 6. Time evolution of the annual-mean ensemble-mean SST response (red) in (left) the MITgcm and (right) CCSM3.5. Solid lines correspond to an average between 70 and 50°S. In addition, for CCSM3.5, an average over the area occupied by the cold pole of the first year response (see Fig. 12 top, below) is shown with dash-dotted lines. The solid black line indicates the mean of the best fitted curves while the grey shading denotes uncertainties (see text for details). For the MITgcm, a 20 member-ensemble is used over the 40 years. For CCSM3.5, a 20-member ensemble mean is only available for the first 3 years, after which only the ensemble mean comprises 6 members.

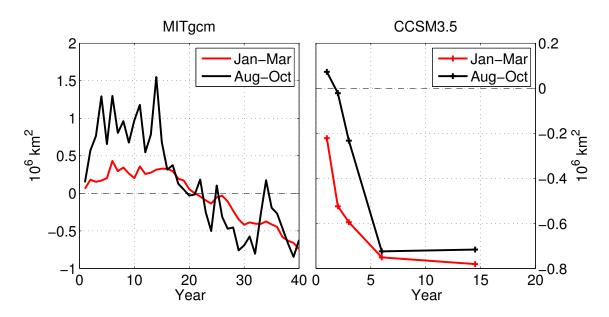


FIG. 7. Total sea ice area response (in  $10^6 \text{ km}^2$ ) as a function of time in summer (January-March, red) and winter (August-October, black) in (left) the MITgcm and (right) CCSM3.5. Note that CCSM3.5 starts in September, so the first winter is an average of September-October only.

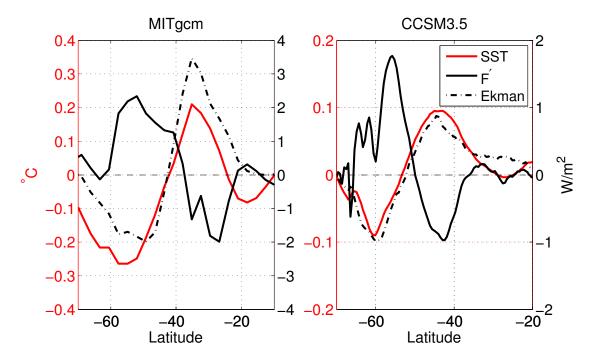


FIG. 8. Response of zonal mean SST (°C, red), net air-sea flux  $F'_F$  (W m<sup>-2</sup>, solid black) and horizontal advection at the surface  $-\rho_o c_p h_s v'_{res} \partial_y \overline{T}$  (W m<sup>-2</sup>, dashed-dotted black) with  $h_s=30$  m. Fluxes are counted positive if they result in an SST increase. Results are shown for the MITgcm experiment on the left (averaged over years 2-3) and on the right for CCSM3.5 (averaged over months 5-28). Note the different vertical scales in the two panels.

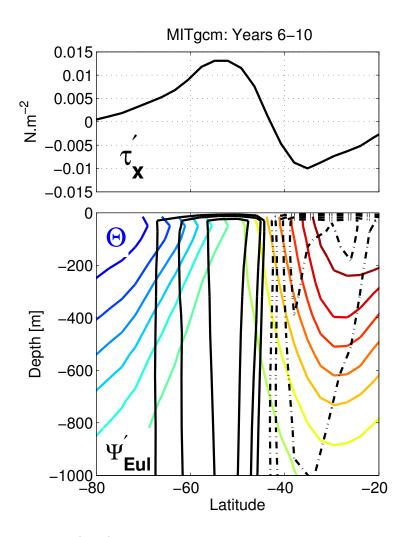


FIG. 9. (Top) Annual mean surface wind stress response (N m<sup>2</sup>). (bottom) Annual mean Eulerian MOC response (Sv, black) and potential temperature distribution in the control run (°C, color). The responses are averaged over years 6-10.

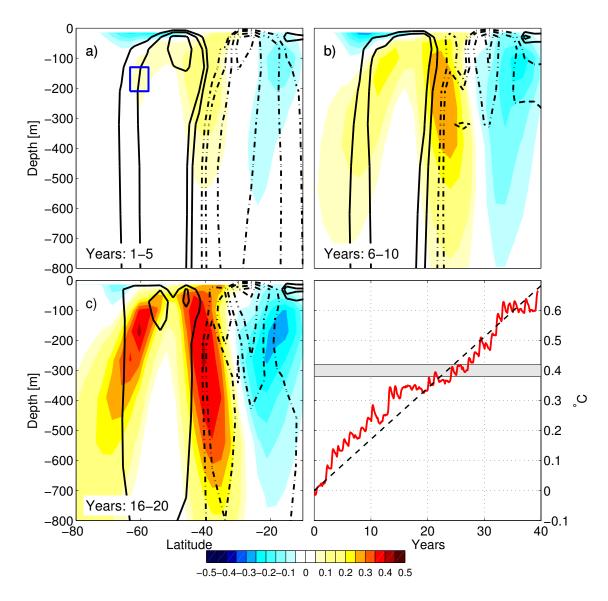


FIG. 10. (a)-(c): Residual-mean MOC response (black) and potential temperature response (color) averaged over five year periods. The contour interval for the MOC are  $\pm 0.5$ ,  $\pm 1$ ,  $\pm 2$  Sv, etc ... Clockwise and anticlockwise circulations are denoted by solid and dashed lines, respectively. (d) Time series of potential temperature (red) averaged over the box shown in panel a). The best fit slope (dashed black) equals  $0.017^{\circ}$ C/year. The grey shading indicates the magnitude of the fast (cold) SST response around  $60^{\circ}$ S.

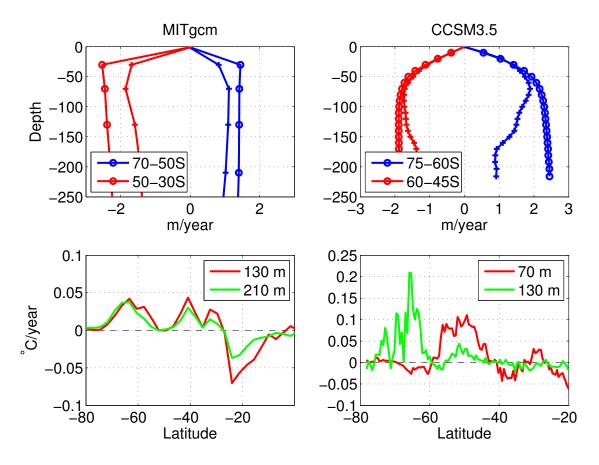


FIG. 11. (Top) Eulerian (circle) and residual-mean (cross) vertical velocities (m year<sup>-1</sup>) averaged over the latitudinal bands dominated by upwelling (blue) and downwelling (red) and (bottom) subsurface vertical advection tendencies  $-w'_{res}\frac{\partial \overline{T}}{\partial z}$  (°C year<sup>-1</sup>). The left and right plots correspond to the MITgcm and CCSM3.5, respectively. Note that the boundaries of the latitudinal bands (top) and depths at which vertical advection peaks (bottom) vary between models as indicated by insets. Note also that, in the bottom plots, the vertical scale for CCSM3.5 is larger than for MITgcm.

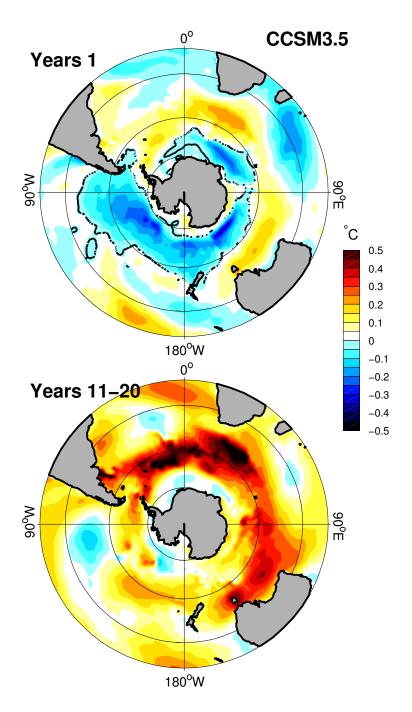


FIG. 12. Response of SST (°C) averaged over the first year (top) and years 11-20 (bottom) after an abrupt ozone depletion in the CCSM3.5.

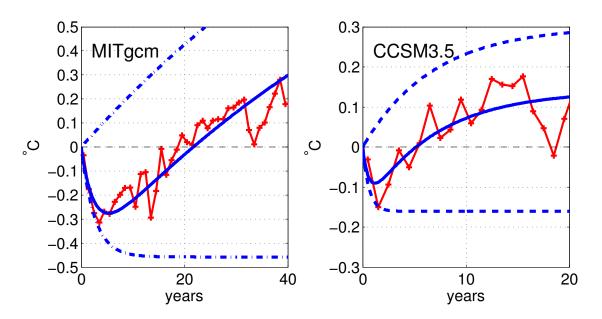


FIG. 13. Best-fit (solid blue) of Eq. (8) to the CFR of the SST evolution (red, averaged over 70-50°S for the MITgcm and over the initial cold SST response for CCSM3.5) in (left) the MITgcm and (right) CCSM3.5. The slow and fast components of the best fit are shown in dashed lines.