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Causes of plasma flow bursts and dayside auroral transients:
An evaluation of two models invoking reconnection pulses and
changes in the Y component of the magnetosheath field

M. Lockwood,1 S. W. H. Cowley,2 P. E. Sandholt,3 and U. P. Løvhaug4

Abstract. Longitudinal flow bursts observed by the European Incoherent Scatter
(EISCAT) radar, in association with dayside auroral transients observed from Svalbard,
have been interpreted as resulting from pulses of enhanced reconnection at the dayside
magnetopause. However, an alternative model has recently been proposed for a steady rate
of magnetopause reconnection, in which the bursts of longitudinal flow are due to
increases in the field line curvature force, associated with the \( B_y \) component of the
magnetosheath field. We here evaluate these two models, using observations on January
20, 1990, by EISCAT and a 630-\( \text{nm} \) all-sky camera at Ny Alesund. For both models, we
predict the behavior of both the dayside flows and the 630-nm emissions on newly opened
field lines. It is shown that the signatures of steady reconnection and magnetosheath \( B_y \)
changes could possibly resemble the observed 630-nm auroral events, but only for certain
locations of the observing site, relative to the ionospheric projection of the reconnection X
line; however, in such cases, the flow bursts would be seen between the 630-nm transients
and not within them. On the other hand, the model of reconnection rate pulsates predicts
that the flows will be enhanced within each 630 nm transient auroral event. The observations
on January 20, 1990, are shown to be consistent with the model of enhanced
reconnection rate pulsates over a background level and inconsistent with the effects of
periodic enhancements of the magnitude of the magnetosheath \( B_y \) component. We
estimate that the reconnection rate within the pulses would have to be at least an order of
magnitude larger than the background level between the pulses.

Introduction

The first report of a longitudinal band of red (630 nm)
emission in the dayside auroral ionosphere was made by
Sandford [1964]. From the ratios of emission intensities of
the spectral lines, Ether and Mende [1971] showed that this
emission was caused by "soft" electron precipitation. In the
same year, Frank [1971] and Heikila and Winningham
[1971] reported observations of just such precipitation, with
the discovery of solar wind plasma within magnetosphere in
the region termed "cusp" or "cleft": the association with the
red aurora being made by Heikila [1972]. Whalen et al.
[1971] showed that the red-dominant aurora was poleward of
the dayside auroral oval (as later viewed from space in the
UV emission lines [Elphinstone et al., 1992]). The cusp/cleft
precipitation is known to cause considerable heating of the
thermal ionospheric electron gas [Titheridge, 1976; Bruce et
al., 1982] and this thermally excites much of the red aurora
[Mantas and Walker, 1976; Rees and Roble, 1986; Wickwar
et al., 1974; Wickwar and Kofman, 1984; Lockwood et al.,
1993a].

The dayside aurora, as observed by white-light cameras
(which are most sensitive to 557.7-nm green-line emissions)
was shown to migrate equatorward following southward
turnings of the interplanetary magentic field (IMF), and hence
during the growth phases of substorms as open flux is eroded
from the dayside and accumulated in the tail lobe [Vorobiev
et al., 1975; Horwitz and Akasofu, 1977]. The 630-dominant
aurora was also shown to move rapidly equatorward following
southward turnings [Sandholt et al., 1983], such that it
reaches lowest latitudes at the end of each growth phase,
consistent with the motion of the cusp/cleft precipitation
region [Burch, 1973; Meng 1983]. Hence magnetopause
reconnection causes the latitude of the dayside red aurora to
be highly anticorrelated with the substorm \( A_p \) index, as is
observed to be the case [Weather, 1985].

Starkov and Feldstein [1967] noted that the dayside aurora
(as seen in white light) displays structured forms. Vorobiev
et al. [1975] and Horwitz and Akasofu [1977] also noted that
these discrete forms generally drift poleward at speeds of
typically \( 0.5-1 \text{ km s}^{-1} \). They break away from the band of
dayside aurora while it drifts equatorward, indicating a
relationship with the reconnection causing the equatorward
migration of the band. These poleward moving events have been extensively studied in both 557.7- and 630-nm emissions using instruments on the Svalbard islands, one of the few locations in the northern hemisphere where the cusp/cleft aurora can be observed, and then only at new moon near winter solstice [Sandholt, 1988; Sandholt et al., 1985, 1989a, b]. The observations show that southward IMF is almost always necessary and a sufficient condition for the occurrence of these events [Sandholt, 1988; G.J. Fasel, Dayside poleward-moving auroral forms: a statistical study, submitted to J. Geophys. Res., 1994 (hereinafter referred to as Fasel, submitted manuscript, 1994)] which therefore are observed when the cusp/cleft is at lower latitudes [Lockwood et al., 1989b]. In addition, they show longitudinal motion which depends on the sense of IMF B_z; for positive B_z the events move westward (for northern hemisphere observations) [Sandholt et al., 1990; Sandholt, 1988], whereas for B_z < 0 they move eastward [Sandholt et al., 1992, 1993]. Transient events with dominant 630-nm emissions are relatively broad (several hundred kilometers), and have thin (typically 10 km) 557.7-nm-dominant arcs and arc fragments on one edge [Lockwood et al., 1993c].

Observations of the plasma flow in and around such dayside auroral transients have been made using the EISCAT radar by Lockwood et al. [1989a, b, 1990, 1993c], Sandholt et al. [1990], and Moen et al. [1995]. The results show that the passage of a region of 630-nm-dominant emission corresponds to a large burst of east/west flow (when IMF B_y is large), such that the plasma flow velocity equals the phase velocity of the optical event motion. The only known explanation of the B_y-dependent east/west plasma flow within these events is that they are made up of newly opened field lines and therefore are subject to the field line curvature effect which generates the Svalgaard-Mansurov effect in E region currents [Atkinson, 1972; Jørgensen et al., 1972; Cowley, 1981]. Furthermore, the longitudinal motion of these events is greatest early in their lifetime and slows as their poleward drift increases, consistent with the pattern of motion of newly opened field lines as they evolve toward the tail lobe under the declining influence of the curvature force (i.e., as the field lines straighten) and the increasing magnetosheath flow [Lockwood et al., 1989a, b]. The transient 557.7-nm emission has been shown to be the region of upward field-aligned current needed to transfer the longitudinal flow inside the 630-nm transient from the magnetopause to the ionosphere [Lockwood et al., 1989b, 1993c; Sandholt et al., 1990]. Flows and 630-nm transients consistent with small B_y, B_z have been presented by Lockwood et al. [1993b].

Questions then arise as to why the flows are pulsed and why the optical transients form, propagate, and fade. In the above series of papers, Sandholt et al. [1989a, b, 1990, 1992, 1993] and Lockwood et al. [1989a, b, 1990, 1993a, b, c] invoked pulsed reconnection, each pulse generating a region of newly opened flux on which magnetosheath-like electron precipitation generates a 630-nm auroral patch. These then move, initially under the magnetic curvature force and subsequently under magnetosheath flow, as the newly open field lines evolve into the tail lobe; they then fade because in the lobe, the magnetosheath particles crossing the magnetopause flow away from the Earth (the high-altitude plasma "mantle") and very few precipitate into the ionosphere (see following section). This model predicts that such events should be seen in association with magnetopause flux transfer events (FTEs). To date, there has been only one opportunity to make simultaneous observations of the cusp/cleft aurora and flows and FTEs at the magnetopause. In this one case, a correspondence between magnetopause FTEs (or when very close to the current layer, the expected B_y spikes) and dayside auroral transient/flow burst events was indeed observed [Elphic et al., 1990]. An indication that this association may be more general has been provided by Fasel (submitted manuscript, 1994), who has shown that the distribution of the repeat periods of poleward moving dayside auroral events is very similar to that for magnetopause FTEs, as reported by Lockwood and Wild [1993].

Newell and Sibeck [1993] have recently proposed an alternative explanation of these events, namely that the flow bursts are simply caused by steady reconnection with quasi-periodic enhancements of the magnetosheath B_y (possibly caused by enhanced compression of the magnetosheath by solar wind dynamic pressure), via the Svalgaard-Mansurov effect. (See also debate by Lockwood et al. [1994] and Newell and Sibeck [1994]).

In the next two parts of this introduction, we consider the effects of magnetosheath B_y changes and of pulses of enhanced reconnection rate on both the plasma flow and the 630-nm auraora produced by magnetosheath-like plasma precipitation. In subsequent sections, we describe two examples of dayside auroral transients, seen by optical instruments at Ny Ålesund, Svalbard, and by the EISCAT UHF radar at Tromsø. In the last section we evaluate the two models as possible explanations of these events.

**Ionospheric Effects of Changes in Magnetosheath B_y**

Figure 1 illustrates how changes in the B_y component of the magnetic field in the magnetosheath would effect the convection and the 630-nm aurora in the dayside high-latitude ionosphere of the northern hemisphere. The poleward direction is to the top of each schematic and dawn is to the left. To isolate the effect of the magnetosheath field change, steady state is assumed in all other respects. In particular, following Newell and Sibeck [1993], the reconnection rate at the dayside magnetopause is taken to be constant and the merging gap to be static. Figure 1 can be seen to refer to steady state because flow streamlines only cross the open/closed boundary at the ionospheric projection of the reconnection X line (the "merging gap," shown as a dashed line), as the boundary is static (see discussion by Lockwood and Cowley [1992]).

To match the observations discussed later in this paper, the magnetosheath B_y component is taken at all times to be negative, giving eastward flow on newly opened field lines in the northern hemisphere. This longitudinal ionospheric flow asymmetry corresponds to the Svalgaard-Mansurov effect in Hall currents, due to the curvature force on the newly opened field lines (the so-called "tension" force) [Jørgenson et al., 1972; Atkinson, 1972; Cowley, 1981]. All arguments we present here would also apply (with appropriate sense of longitudinal flow) to the opposite polarity of magnetosheath B_y, and to the southern hemisphere [Greenwald et al., 1990].

Magnetosheath plasma continuously gains access to the magnetosphere along open field lines [Dungey, 1968; Reiff et al., 1977; 1980; Cowley, 1982, Gosling et al., 1990a, b, c;
Consequently, high-density, magnetosheath-like precipitation is expected in exactly the same location (i.e., on newly opened field lines) as the longitudinal flow which results when the magnetosheath field has a nonzero $B_y$ component [Cowley et al., 1991a]. This has recently been confirmed by de la Beaujardière et al. [1993], using DMSP satellite data in conjunction with Sondrestromfjord radar data, and by Taguchi et al. [1993], who showed that the field-aligned currents associated with the longitudinal flow were on the poleward and equatorward edges of the "cleft/cusp" region. Both the ions and the electrons of this magnetosheath-like plasma precipitation cause red-line auroral emissions from F region altitudes [Mantas and Walker, 1976; Wickwar et al., 1974; Rees and Roble, 1986]. An important excitation mechanism for these emissions is the elevation of the temperature of the ionospheric electron gas in response to the precipitation [Titheridge, 1976; Wickwar and Kofman, 1984, Brace et al., 1982; Waterman et al., 1992, 1994]. The high-energy tail of the thermal electron gas can then excite significant numbers of atomic oxygen atoms to the O(1) state which subsequently decay with the emission of 630-nm photons [Kozyra et al., 1990; Lockwood et al., 1993c]. Hence excitation of O(1) state of atomic oxygen (which leads to 630 nm emission after a radiative lifetime averaging 1-2 min) will also be on the same newly opened field lines as the region of longitudinal flow caused by the field line curvature force.

In Figure 1, the region of high-density magnetosheath plasma precipitation labelled the "cusp plume" is shaded with a graduated grey (the graduation roughly denotes elapsed time since the field line was reconnected). We do not compare with satellite measurements of particle data, and hence it is not necessary to specify the relationship of this region to the various classifications of precipitation devised by Newell et al. [1991] (LLBL/cleft, cusp, mantle, and polar cap). However, we do study ground-based observations of 630-nm emissions, and the shaded cusp plume region is where we predict detectable 630-nm emission due to magnetosheath-like plasma precipitation to low altitudes down newly opened field lines. Notice that an LLBL/cleft population on open field lines (as observed by Gosling et al. [1990a, b, c] Smith and Rodgers [1991] and Fuselier et al. [1991]) would precipitate within this "cusp plume" region. In addition, there may be 630-nm emission from a second type of LLBL/cleft population on closed field lines. Such a region is shown in Figure 1 by the constant light grey shading. This closed LLBL/cleft is taken as not extending across the merging gap; i.e., it is assumed that at the MLT of the merging gap the processes which populate such a closed LLBL with magnetosheath-like plasma are too slow to compete with the average rate at which it is eroded by reconnection.

In Figure 1a, the magnetosheath $B_y$ component is relatively weak, giving weak longitudinal flow. The flow streamlines are therefore aligned only slightly to the duskward side of poleward and 630-nm emission will be seen in a region (shaded) which extends with the convection streamlines slightly to the east of north from the merging gap.

Figure 1b shows the situation when the magnetosheath $B_y$ component has increased in magnitude (and is still negative), but the reconnection voltage has remained constant, such that the antisunward convection component is the same as in Figure 1a. The larger tension force causes larger azimuthal
flow in the sunward part of the polar cap, and hence flow streamlines there are directed more toward dusk. Hence the cusp plume region of 630-nm emission on newly-opened field lines extends more toward dusk than in Figure 1a.

Newell and Sibeck [1993] suggest that the quasi-periodic flow bursts seen in dayside auroral transients are simply caused by increases in the magnitude of the magnetosheath \( B_n \) component. This new interpretation has clear implications for the behavior of the region of 630-nm emission which must periodically move back and forth between the two positions shown in Figure 1, with each cycle of \( \Delta B \), and hence with each longitudinal flow burst. Because Newell and Sibeck do not consider any changes in the reconnection rate, the newly opened field lines are produced at the same rate and the region of 630-nm emission will be continuously present (because the reconnection continuously generates new open flux) but will move with the flow streamlines in response to the changes in \( B_n \). As the magnitude of the (negative) magnetosheath \( B_n \) component increases at the start of the longitudinal flow burst, the 630-nm-luminous region will migrate dusktowards, toward the orientation in Figure 1b. Conversely, as the \( B_n \) component decreases again at the end of the azimuthal flow burst, the region will return toward the orientation in Figure 1a. Hence pulsing the magnetosheath \( B_n \) will cause longitudinal flow bursts (provided the period is sufficiently long to allow ionospheric response), and these will cause the continuously present cusp plume region of magnetosheathlike precipitation to "wag" back and forth in the east-west direction [Lockwood et al., 1994].

A complication mentioned, but not invoked, by Newell and Sibeck [1993] is that the changing magnetosheath \( B_n \) may cause the merging gap to migrate in longitude. Observations of the cusp indicate that for the more negative \( B_n \) in Figure 1b, the merging gap would shift westward, toward dawn (see review by Cowley et al. [1991a, and references therein]). In this case the cusp plume would distort, as in Figure 1b, but would also shift as a whole to the west with the merging gap. The flux tubes, however, would at all times convect east due to the magnetic tension force. Therefore this would cause the plasma motion of the 630-nm-luminous patch to differ from the motion of the flux tubes within it. This does not agree with observations of dayside auroral transients within which the plasma flow velocity has been found to be in close agreement with the phase velocity of the events, at all stages of their evolution [Sandholt et al., 1990; Lockwood et al., 1990, 1993; Elphic et al., 1990]. Hence, like Newell and Sibeck [1993], we do not consider this complication further.

**Ionospheric Effects of Pulsed Reconnection**

In this section, we repeat the predictions of the location of the 630-nm aurora and of the magnetosheath convection (corresponding to Figure 1) for the second model of pulsed reconnection with a steady magnetosheath \( B_n \). This model was previously shown to be consistent with a number of 630-nm events with dayside flow events by Lockwood et al. [1989a, b, 1990, 1993a, b, c], Sandholt et al. [1990, 1993a, b], Elphic et al. [1990] and by Moen et al. [1995]. In this model, the increase in the reconnection rate produces newly opened flux tubes more rapidly, but (in the absence of changes in magnetosheath conditions) each newly opened flux tube evolves in almost the same manner away from the merging gap. The ionosphere is incompressible, hence an increase in the reconnection rate causes an increase in the area of the region of newly opened flux. For the case of pulses of reconnection rate over a nonzero background (the general case of the pulsating cusp model [Smith et al., 1992]), the latitudinal thickness of the region of longitudinal flow and 630-nm emission increases in response to the reconnection pulse, after the merging gap (dashed line) has eroded equatorward, as described by Lockwood et al. [1993b, c]. The transient increase in the width of that region moves east/west under the magnetic curvature force on each newly opened flux tube which makes up the region: it also drifts poleward as the magnetosphere-ionosphere system (and hence the polar cap boundary) returns toward an equilibrium configuration. The broadened 630-nm emission region is accompanied by a broadened region of rapid longitudinal flow.

The effects of one isolated pulse in the reconnection rate (over a steady background level) are shown in Figure 2, using the same format, symbols and shading as in Figure 1. Figure 2a shows the cusp plume and flows resulting from the background reconnection with strongly negative magnetopausa.

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**Figure 2.** The evolution of plasma flow streamlines and regions of 630-nm emission following a single pulse of enhanced magnetopause reconnection rate over a steady background value. Symbols are shadings are as for Figure 1. The dotted lines show the magnetic meridian and segments of the 60° zenith angle circle for an observing site close to the eastern end of the merging gap.
sheath $R_s$ as in Figure 1b. In Figure 2b a pulse of enhanced reconnection rate has moved the merging gap equatorward, but as this has taken place on a time scale shorter than the travel time from the magnetopause of either cusp ions or Alfvén waves, the 630-nm aurora and flows have yet to be altered. (Significant fluxes of electrons do not precipitate until the ions arrive, such that quasi-neutrality is maintained). In Figure 2c, cusp(open)LLBL particles start to arrive on the newly opened field lines produced by the reconnection pulse, which also begin to move eastward under the action of magnetic tension. The pattern of convective flows at this and subsequent times is as predicted by the model of Cowley and Lockwood [1992], as described by Cowley et al. [1991] and Lockwood et al. [1993c]. In Figures 2d-2f, the patch of newly-opened flux produced by the reconnection pulse migrates east and also moves increasingly poleward: in Figure 2f the flows are returning to their pre-event form and the extra latitudinal width of the 630-nm-emitting region is fading as the field lines opened by the pulse become appended to the tail lobe. The situation subsequently returns to that shown in Figure 2a, provided there is not a second pulse of enhanced reconnection.

Because the $B_z$ component is constant, all newly opened field lines inside the region of 630-nm emission experience roughly the same eastward flow due to the tension force. In this case of reconnection which is continuous (i.e., the reconnection never falls to zero) but showing pulsed enhancements in rate, the longitudinal flow bursts seen at EISCAT are equatorward expansions of the region of fast eastward flow (caused by the equatorward motion of the open/closed boundary in response to the reconnection pulse). Note that this presents the general case of "pulsed but continuous" reconnection, for which a channel of peak eastward flow is always present. The corresponding predictions for the special case where there is no background reconnection between the pulses ("fully-pulsed reconnection") have been presented by Cowley et al. [1991b] and Lockwood et al. [1993c]. In this special case, the eastward flow would decay between the events, on the timescale of the decay of the tension force on the last flux tubes to be opened by the pulse decays.

**Observations on January 20, 1990**

We present observations made on January 20, 1990, using optical instruments on Svalbard, along with simultaneous observations by the EISCAT UHF radar. Figure 3 shows the field of view of the 630-nm all-sky camera at Ny Ålesund, NA, with concentric circles representing zenith angles of 30° and 60°, the perpendicular axes denoting the geographic north-south and east-west directions (north is to the top and east to the right). The inclined dashed axis (M) is the magnetic meridian. The points marked P and Q on the figure are the centres of range gates 15 and 19 of the SP-EF-POLI experiment, in which incoherent scatter radar signals are transmitted and received by the EISCAT UHF radar at Tromsø [see Willis et al., 1986; Lockwood 1991]. In this experiment, the radar cycles between two beam directions, dwelling 2 min at each and completing each cycle in 5 min. The pulse length employed gives scattering volumes of 37.5 km long, and the 0.5-degree beamwidth of the UHF antenna means that these have a circular cross section of roughly 12 km diameter for the ranges considered here. The gating of the receiver divides each beam into 24 such scattering volumes or "range gates." The pair of points labelled Q₁ refers to the center of gate 15 for azimuth 1 (332° east of north) of the POLI experiment, Q₂ refers to gate 15 for azimuth 2 (256°). Similarly, P₁ and P₂ refer to gate 19 for azimuths 1 and 2, respectively. The choice of these two range gates was determined by the location of 630-nm luminosity, as will be described below.

In Figure 3, these two EISCAT range gates are mapped onto the 630-nm camera field of view for two different assumptions about the height of 630-nm emission. In the first case (solid circles), the magnetic field line threading each range gate center is mapped down to an assumed 630-nm emission altitude of 250 km, and the azimuth and elevation of that emission point at the Ny Ålesund camera site are then computed. In the second case, the field line is mapped to 500 km (solid triangles). The mapping is done using the ICRF magnetic field model with coefficients for 1990. It can be seen that the assumed emission altitude has considerable implications for the mapped position of the radar range gate, especially for larger zenith angles. Gate 19, with the radar beam at azimuth 2, is the closest to the Ny Ålesund site, and for such small zenith angles the altitude of emission has a smaller effect on the mapping. Table 1 gives the coordinates of these points and Table 2 gives the zenith angles and azimuths at Ny Ålesund (78.92° N, 11.95° E) for emission heights of 250 and 500 km along the field line intersecting the EISCAT range gates.

These mappings can only be regarded as approximate. The 630-nm photons are emitted by excited oxygen atoms, after a radiative lifetime during which they drift with the neutral wind velocity $V_w$ from the point at which they are excited. Given $V_w$ is typically 0.5 km s⁻¹ and the mean lifetime of the
Table 1. Locations of the Centers of EISCAT Gates

<table>
<thead>
<tr>
<th>Gate Number (Figure 2)</th>
<th>Azimuth Number</th>
<th>Point Label</th>
<th>Geographic Latitude, deg</th>
<th>Geographic Longitude, deg</th>
<th>Range, km</th>
<th>Height, km</th>
<th>Invariant Latitude, deg</th>
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<td>Q₁</td>
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<td>2.81</td>
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<td>453</td>
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<td>Q₂</td>
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<td>16.54</td>
<td>1050</td>
<td>453</td>
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<td>529</td>
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</table>

* Longitude measured positive east.

The 630 nm All-Sky Camera Observations

Plate 1 shows a sequence of images, 1 min. apart, recorded by the 630-nm all-sky camera at Ny Ålesund on January 20, 1990. The concentric circles and axes are as in Figure 3. The observations presented commence at 0930 UT, which is a magnetic local time of approximately 1230 MLT for the Ny Ålesund field line. To help identify events in these images, Figure 4 presents two luminosity contours scaled from four selected frames of Plate 1. The isophotes chosen are for relative intensities (in arbitrary units) of \( R_1 = 94 \) (the contour separating green and yellow in Plate 1) and \( R_1 = 184 \) (the contour separating light blue and orange). In Figure 4, the circle is the 60° zenith angle and the dot the Ny Ålesund site, the diameter shown is the magnetic meridian (M in figure 3). The dashed lines are the boundaries (at \( R_1 = 94 \)) at 0951 UT, when there appears to be no significant event present; hence comparison of the solid and dashed lines aids the identification of events. It is interesting to note that this background does not extend to the eastern horizon of the camera field of view. The first event in Plate 1 is clearly present by 0931 UT (Figure 4a). The leading edge of the eastward moving event (denoted L) is marked by a poleward expansion of the poleward \( R_1 = 94 \) contour, closely followed by the onset of intensities exceeding the upper \( (R_1 > 184) \) contour in the center of the band. This leading edge propagates to the east has crossed the magnetic meridian by 0935 UT (Figure 4b). By this time, a trailing edge (denoted T) can be seen following L eastward. This is marked by an equatorward return of the poleward \( R_1 = 94 \) contour and a near-simultaneous decay in the peak intensities in the band (to \( R_1 \) below 184). The decay in peak intensities does not migrate east with T at the lowest latitudes, but does at the higher latitudes, hence the region bounded by the upper contour skews with time. The trailing edge straddles the magnetic meridian by 0939 UT (Figure 4c) when the skewed bright region \( (R_1 > 184) \) has broken into two peaks, one to the northeast of Ny Ålesund, and an extended one running along the \( 1^- \)shells to the south of Ny Ålesund (and this is in the process of separating into two spots prior to fading). As there is nearly always a weak minimum in \( R_1 \) at zero zenith angle, at least some of this structure in the highest intensities appears to be consistent with the effect of the zenith angle on the slant path through the 630-nm-luminous region. By 0943 UT (Figure 4d), this first event has faded on the eastern horizon and a new event is emerging around the magnetic meridian and Plate 1 shows that almost the same sequence subsequently repeats.

Table 2. Azimuth and Zenith Angles at Ny Ålesund

<table>
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<tr>
<th>Gate Number (Figure 2)</th>
<th>Azimuth Number</th>
<th>Invariant Latitude</th>
<th>Emission height of 250 km Geographic Latitude, deg</th>
<th>Geographic Longitude, deg</th>
<th>Zenith, deg</th>
<th>Azimuth, deg</th>
<th>Emission height of 500 km Geographic Latitude, deg</th>
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</table>

* Longitude measured positive east.

† Azimuth measured east of north.
Plate 1. Sequence of 1-sec integrated images, 1 minute apart, observed by the 630-nm all-sky camera at Ny Alesund on January 20, 1990. The axes and concentric circles are as in Figure 3. The panel to the right shows the 630-nm luminosity observed along the magnetic meridian (M) as a function of zenith angle (poleward to the left) and universal time (running from top to bottom).
The trailing edge of the first event is at zenith angles of 60°, 30°, and 0° (along the Ny Ålesund L-shell) at about 0932, 0938 and 0940 UT, respectively. For an assumed emission altitude of 250 (500) km, these timings give approximate eastward phase motion speeds of 0.8 (1.6) km s\(^{-1}\) and 1.2 (2.4) km s\(^{-1}\).

A similar sequence is observed between 0941 and 0950 UT as a second, somewhat smaller, event propagates eastward with similar velocity. At every phase of its evolution it is roughly 10 min after the corresponding phase of the first event. This period is typical of the full sequence of events seen on this day and in the survey by of many poleward-moving dayside auroral events by Fasel (submitted manuscript, 1994).

**EISCAT Radar Observations**

From Figure 3 and Plate 1, it can be seen that between events, gate 19 of the EISCAT radar is close to the poleward edge of the 630-nm band, but is engulfed by the transient events (between T and L in Figure 4) as they move over it. Meanwhile, gate 15 is always quite close to the equatorward edge of the 630-nm aurora. In this paper, we are concerned with the plasma flows within and around the events. At these large ranges from the EISCAT site at Tromsø (see Table 1), it is not possible to combine line-of-sight velocities to generate accurate flow vectors, although they do reveal the flow in the bursts is eastward and poleward. Hence we use a scalar observation of the line-of-sight ion temperature to infer the magnitude of the flows. Figures 5 and 6 show the line-of-sight ion temperatures observed at

![Image](image_url)

**Figure 4.** Contours of relative 630 nm intensity (R\(_{i}\) = 94 and 184 in Plate 1) for four times, four minutes apart, taken from Plate 1: (a) 09:31; (b) 09:35; (c) 09:39 and (d) 09:43. In each case, the dashed lines are the R\(_{i}\) = 94 contours for 09:51 UT. The dot is the Ny Ålesund site, the circle marks 60° zenith angle from that site and the vertical diameter is the magnetic meridian. The leading and trailing edges of eastward-moving events identified from Plate 1 are marked L and T, respectively.

![Graph](graph_url)

**Figure 5.** Ion temperatures (T\(_{i}\)) observed by EISCAT in gate 15 (upper panel) and 19 (lower panel) for azimuth 1 (i.e points Q, and P, in Figure 3, respectively) on January 20, 1990. The data are 10-second integrations and the radar dwells at this azimuth for 2 min in each 5-minute cycle of the POLI experiment.
gates 15 (top panel) and 19 (bottom panel) for azimuths 1 and 2, respectively. At the altitudes of these observations (around 500 km, see Table 1), ion-ion collisions render the ion velocity distributions almost isotropic, even when the ion-neutral frictional heating is strong [Tereshchenko et al., 1991; McCrea et al., 1993]. (At lower altitudes, the ion neutral collisions lead to an anisotropic non-Maxwellian distribution when the heating is strong.) As a result, these line-of-sight ion temperatures are good estimates of the three-dimensional ion temperature \( T_i \) [Lockwood et al., 1993d], which obeys the thermal balance equation for the ion gas:

\[
\dot{V}_i = \nabla T_i = (T_i - T_e) \left( \frac{3k m_e}{2} \right) \nabla T_i
\]

where \( T_i \) is the neutral (exospheric) temperature, \( m_e \) the mean mass of the neutral atmospheric gas particles (taken here to be pure O atoms), \( k \) is Boltzmann’s constant, \( V_i \) is the ion velocity vector and \( V_e \) is the neutral wind vector. This equation neglects minor terms, the largest of which is ion-electron conduction. In the observed events, electron temperatures are elevated, mainly by an inferred soft electron precipitation source, to around 4000 K. Because the ion temperatures are greater (\( T_i > 5500 \) K), the ion gas is cooled rather than heated by this conduction. It should be noted that the derived values of \( T_i \) assume that the ion gas is O*; any molecular ions at the observed altitude during heating events would cause \( T_i \) to be underestimated [Lockwood et al., 1993d], and use of (1) would then cause an underestimation of \( T_i \). We estimate \( T_i \) from the minimum ion temperatures seen in Figures 5 and 6 to be about 2000 K.

Consider Figure 5 for azimuth 1. At 0932:30 UT, the ion temperature observed in gate 19 reaches 7500 K, where it stays for the remainder of that antenna dwell. From (1) this implies an ion speed of \( V_i = 2.9 \pm V_e \), where \( V_e \) is typically of order 0.5 km/s. A weak peak in ion temperature (\( \sim 3800 \) K) is seen at about the same time at gate 15. A second peak may have been present at gate 19 around 0942:30 UT (when the radar is pointing along the other azimuth), as the ion temperature is seen to rise immediately before this data gap and decrease immediately after it.

For azimuth 2 (Figure 6), gate 19 shows two clear peaks in \( T_e \) at 0935 and 0946:18 UT. Averaged over the relevant dwells, these two events give ion temperatures of 7500 K and 5820 K, which by (1) yield ion speeds of \( V_i = 2.9 \pm V_e \) and \( V_i = 2.4 \pm V_e \). As for azimuth 1, gate 15 shows only relatively weak enhancements over the inferred value of \( T_e \).

It is important to compare the times of these flow bursts, revealed by their effect upon the ion temperatures observed at gate 19, relative to the passage of the associated 630-nm transient. For first event at azimuth 1, the ion temperature rises steeply in the interval 0931:30-0932:30 UT. From the optical data, the center of the leading edge (L) of the first transient expands over this gate (\( P_1 \)) around 0929 (0931) UT for assumed emission altitude of 250 (500) km, corresponding to mean excitation times of 0928 and 0929 UT, respectively. (For an altitude of 250 km, the mean radiative lifetime of the excited state is roughly 1 min, whereas for 500 km, it is nearer 2 min). Hence the main flow burst commences roughly 3 min after the increase in the local precipitation. Similarly, the same event, seen at the other azimuth, causes a steep rise in ion temperature in the data gap at 0932:30-0935:00 UT. For either emission altitude, the center of the leading edge (L) of the 630-nm aural auroral event engulfs this gate (\( P_2 \)) at about 0935 UT, corresponding to mean excitation times of 0934 and 0933 UT for peak emission altitudes of 250 and 500 km, respectively. Hence, at this azimuth the flow burst onset is at some time between 1.5 min before and 2.5 min after the estimated onset of precipitation.

By the time the radar returns to azimuth 1 at 0938 UT, the flow burst has ceased and Plate 1 shows that the tail end of the optical event passes \( P_1 \) at about 0935+30 (0936+40) UT, for emission altitudes of 250 (500) km, corresponding to last excitation times of about 0934:30 UT in both cases. Hence the flow burst is known to be over shortly after the precipitation has ceased. Similarly, the flow burst at \( P_2 \) has ceased by the time the radar returns there at 0940 UT. The optical data show that the 630-nm transient fades there at about 0941 UT, corresponding to last mean excitation time of about 0939 (0938) UT for 250 (500) km. Hence at both locations, the first flow burst event is within the period that the corresponding 630-nm transient event is excited.

The same sort of analysis of event timings can be applied to the second event. If the flow event is seen at azimuth 1, it is in the period 0940-0943 UT. Figure 3 shows that the optical event forms very close to \( P_2 \), but is to the east of it (for either assumed altitude) by 0944 UT. The second event is, however, clearly observed at \( P_2 \) in the interval 0945-0947 UT but not before 0942 UT nor after 0950 UT. From Plate 1, the second event passed over \( P_2 \) at about 0944-0950 UT, showing the exciting precipitation moved passed at 0943-0949 UT for 250 km or 0942-0948 UT for 500 km. As for the first event, the second flow burst is coincident (in time and space) with the precipitation causing the 630-nm aurora.

Gate 19 is the furthest which can be analyzed at 10-s resolution on January, 20 1990. At further ranges, information can only be obtained by post-integrating the data over the full 2-min dwell on the antenna. Sufficient signal-to-noise ratio to allow spectral analysis is obtained for gate numbers up to about 21. Figure 7 shows the ion temperatures
observed in gates 11-21, from 2-min post-integrations of the data for azimuth 2. For clarity, the grey-scaled pixels extend over the full 5-min cycles of the radar, but it should be remembered that the data are actually recorded in the first two minutes of each 5-min period. The two events are clearly seen as high ion temperature \( T_i > 5500 \, \text{K} \) in range gates 17-21 for the first event and 19-21 for the second event. Before, after and between the events, it can be seen that high ion temperatures were still present, but mainly in just one range gate.

Unfortunately, no IMF data were recorded at the time of these observations as IMP8 was in the magnetotail. The following section discusses two models of the events which both require negative IMF \( B_z \) and \( B_y \) components (in GSM coordinates), it should be noted that this IMF orientation is assumed and not measured.

**Discussion**

**Could the Events be Caused by Magnetosheath \( B_z \), Changes With a Steady Reconnection Rate?**

In this section, we consider variations in the magnitude of the magnetosheath \( B_z \) component (caused by either variations in IMF \( B_z \), or by quasi-periodic compressions of the magnetosheath field by solar wind dynamic pressure changes) for steady magnetopause reconnection. To explain the observations on January 20, 1990, presented in the previous section, these fluctuations need to have repeat periods of about 10 min. The observations by Greenwald et al. [1990] show that the longitudinal ionospheric flow responds in full, even to a polarity change in \( B_z \), over an interval of about 5 min. Hence for these observed periods of 10 min, the flow in the ionosphere will have a significant response to any \( B_z \) changes. The flow streamlines and the “cusp plume” 630-nm emission region would oscillate between the two situations shown in Figure 1. It is important to remember that for continuous reconnection (i.e., newly opened flux is generated continuously) the cusp plume patch of 630-nm luminosity is continuously present. Each newly opened field line will show 630-nm emission for the same length of time \( t \) as it evolves away from the merging gap; i.e., if it experienced a constant convection speed, \( v \), it will fade after it has moved a distance \( vt \) from the merging gap. Hence if the reconnection rate is constant, the area of the region of cusp plume 630-nm luminosity would increase and decrease with the speed of the ionospheric flow. The poleward flow would remain roughly constant and hence the maximum poleward extent of the cusp plume would remain constant; however, its east-west extent would increase somewhat above the merging gap length as the eastward flow increased due to the magnetosheath \( B_z \) becoming increasingly negative. Any closed IMF \( B_z \) left aurora would be unaltered. What would be seen on the ground by an all-sky camera would depend on the relative size of the merging gap to the camera field of view.

If the merging gap was relatively short, a 630-nm camera would image the whole of a continuous patch of 630-nm emission in the cusp plume which would periodically move back and forth between the configurations shown in Figure 1. (The flow magnitude and hence, by (1) \( T_i \) would peak when both eastern and western edges of the 630-nm patch reached the most easterly location of their east-west oscillation). This cannot explain the events shown in Plate 1 because both east and west edges of the 630-nm patches move eastward across the camera field of view, with no return westward motion observed at any stage in the periodic behavior.

We also need to consider two cases when the camera field of view is considerably smaller than the merging gap. An observing station near the eastern (i.e., duskward) end of the merging gap would see a transient 630-nm event propagate from the west into its field of view as \( |B_z| \) increased. This is consistent with the onset of events shown in Plate 1. However, as \( |B_z| \) decreased again, this leading (eastward) edge of this event would retreat back to the west. This clearly does not occur in Plate 1 and this possibility can also be eliminated. An observing site near the western end of the merging gap would, as \( |B_z| \) increased, observe an equatorward motion of the poleward edge of the 630-nm aurora, and this motion would propagate eastward. As \( |B_z| \) decreased again, this would be followed by a poleward expansion of the 630-nm aurora, which is propagating westward. This westward motion is inconsistent with the observations in Plate 1. However, it may be possible to postulate that the change in \( B_z \) reaches the westward end of the reconnection X line first, and that its effect subsequently spreads eastward down the merging gap. Another alternative is that the decreasing \( |B_z| \) causes an eastward relaxation of the merging gap. Hence it may be possible that the poleward expansion of the 630-nm aurora may also propagate eastward. Hence of the three possibilities, only the third could be fitted to the optical observations presented in Plate 1 (by also invoking one or both of the above additional effects to conceal the westward return motion of the poleward expansion).

We conclude that the optical events presented in Plate 1 could possibly be fitted to the concept that they are caused by magnetosheath \( B_z \) changes, as postulated by Newell and Sibeck [1993]. This would require the events (in which the 630-nm aurora expands poleward to greater latitudes) to move eastward over an observing site which observes the

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**Figure 7**. Grey-scale plot of ion temperatures \( T_i \) derived from two-minute integrations of EISCAT data from azimuth 2 on 20 January 1990, shown as a function of gate number and universal time. Note that data are plotted to fill each 5-min cycle of the experiment, but were actually recorded in the 2 min dwell at azimuth 2 at the start of each 5-min period.
westward half of the merging gap. It also requires the field lines within such events to be reconnected to magnetosheath field lines of smaller $\beta_{\parallel}$ (as in Figure 1a). The periods between the events would be those reconnected to magnetosheath field lines of higher $\beta_{\parallel}$, such that the flow streamlines and the resulting cusp plume were more closely aligned with the polar cap boundary (as in Figure 1b), and the precipitation observed in the western part of the merging gap would not extend so far poleward as in the low $\beta_{\parallel}$ case (Figure 1a). Comparisons of Figure 1a and 1b, for the only allowable observing site in the western half of the merging gap, shows that the model of $\beta_{\parallel}$ changes predicts that the plasma flow will be faster (higher $T_e$) when the latitudinal band of 630-nm emission is narrow (large $\beta_{\parallel}$) and will be slower when it is broad (small $\beta_{\parallel}$). However, the observations by the EISCAT radar reveal that this is in antiphase to what happens on this day. The enhanced ion temperatures are observed when the band of 630-nm emissions is broad. The concept of $\beta_{\parallel}$ changes in the magnetosheath, put forward by Newell and Sibeck [1993], therefore fails to explain the events.

Could the Events Be Caused by Pulses in the Reconnection Rate With Steady Magnetosheath $\beta_{\parallel}$?

In this section, we compare the predictions shown in Figure 2 with the images presented in Plate 1 and the events identified in Figure 4. It should be noted that Figure 2 represents the sequence for one isolated event, whereas Plate 1 shows part of a continuous sequence of such events. We infer an observing site just eastward of the merging gap: in each panel in figure 2, the dotted lines give the magnetic meridian (M in figure 3) and the east and west segments of the circle of zenith angle $60^\circ$ for such a site. These dotted lines will thus be of assistance when comparing a panel in figure 2 with one of the observed images in Plate 1. By 0930 UT the first event is apparent in the poleward edge of the 630-nm aurora to the west of the site and hence this situation corresponds to Figure 2d. The aurora then evolves, reaching the form predicted in Figure 2e by about 0937 UT and in Figure 2f by about 0940 UT (with an added complication, in that a second event is already forming, as is discussed below).

As this first event propagates and fades, Plate 1 shows that the second event is commencing as the southern boundary of the 630-nm aurora erodes equatorward: onset of this equatorward motion propagates eastward, reaching the Ny Ålesund meridian at 0936 UT. Hence, Figure 2c explains the form of the equatorward edge of the 630-nm band at 0936 UT, which subsequently evolves as in Figures 2d-2f and 2a. Note that until 0941 UT, the images are complicated by the residual effects of the first event, namely the poleward extrusion moving eastward along the poleward edge of the 630-nm band. However, after 0941 UT the first event has faded and the second event dominates the images, Figure 2e corresponding to the image for 0945 UT and Figure 2f to that for 0949 UT. The aurora returns to a pre-event form (figure 2a).

The flow streamlines in Figure 2 show that rapid eastward flow (due to the curvature force associated with the inferred strongly negative IMF $B_z$) extends to lower latitudes as the event moves eastward over the meridian studied to the east of the merging gap. As shown in Figure 7, this is as observed by EISCAT on this day. The $T_e$ data show that the peak longitudinal flow does not reach down to gate 15, although it is engulfed by 630-nm aurora as the equatorward expansion at each event onset moves eastward. Hence, although most of the 630-nm event is within the region of rapid longitudinal flow, there is a thin band, roughly 60 km wide, on the equatorward edge of the auroral event which is not flowing so rapidly: Figure 7 shows that the ion temperatures increase with latitude across this region (gates 14-16) as the optical event passes over. This is consistent with the model because 630 nm emission will be caused by cleft/LLBL precipitation on the equatorward edge of the main event. This 630-nm cleft aurora could be due to an open LLBL population on the most recently opened field lines as electrons can arrive (and cause the emission) before sufficient Alfvén wave bounce have occurred to transfer the full longitudinal motion to the ionosphere (giving the high $T_e$).

The rise in $T_e$ with latitude over this region is then consistent with the rise in longitudinal flow with elapsed time since reconnection (as each newly opened field line accelerates). However, Figure 2 shows how this region could also be caused by an LLBL population on closed field lines. Figures 2c and 2d show a closed LLBL aura moving south ahead of the eastward advancing extrusion of the open/closed field line boundary.

The key prediction is that, unlike for the magnetosheath $\beta_{\parallel}$ changes, the flow burst (peak $T_e$) should be observed at the same time as the 630-nm event (detected from the broadening of the 630-nm auroral band) passes over the radar sites, as has been demonstrated here for the data on January 20, 1990.

We conclude that the events shown are consistent with pulsed magnetopause reconnection and a steady magnetosheath $\beta_{\parallel}$.

Conclusions

We have demonstrated that the events seen by EISCAT and the 630-nm optical camera on January 20, 1990, were consistent with pulsed magnetopause reconnection, but were inconsistent with the effects of magnetosheath $\beta_{\parallel}$ changes with steady magnetopause reconnection.

The important features of the events discussed here (namely the coincidence of the enhanced flow with the 630-nm transient events in time and space and the fact that events move repetitively in one direction and do not oscillate in longitudinal position), are also found in the other longitudinal flow burst events we have observed in association with dayside 630-nm transients, as reported in the literature [Sandholt et al., 1990; Lockwood et al., 1990; Lockwood et al., 1993c; Moen et al., 1995]. We conclude that the events reported here, and the previously reported longitudinal flow bursts associated with transient dayside auroral events, are consistent with pulsed reconnection with a magnetosheath field and are inconsistent with the concept of Newell and Sibeck [1993] that they are caused by pulsed magnetosheath $\beta_{\parallel}$ with a steady reconnection rate.

How Pulsed is the Reconnection During the Events?

Figure 7 has an interesting implication for the behavior of the reconnection rate, if we adopt the pulsed reconnection interpretation favored by the discussion in the previous section. If the reconnection were to be pulsed but continuous (as used to derive Figure 2), then at all times a region of peak longitudinal flow would exist somewhere, that is if the
magnetosheath conditions remained constant, such that the pattern of motion of each newly opened flux tube remained the same. Between the pulses, the width of that region would be smaller, but continuous reconnection would ensure that there was a continuous supply of newly opened field lines and hence there would always be some field lines moving at peak longitudinal velocity. In turn this means that, in the examples presented here, there would always be ion temperatures of order 55000–70000 K present. The gate(s) in which these high ion temperatures are found would change with time as the open/closed boundary moved in latitude.

On the other hand, if the reconnection were fully pulsed (i.e., the reconnection rate falls to zero between the pulses), the flow would decrease at all latitudes at the end of each event. This would be caused by the decay of the magnetic curvature force on the last of the newly opened field lines as they straighten. Hence in this case, the peak flows (and peak ion temperatures) would not be found at any latitude between events. However, one must be careful in stating that the failure to observe continued high ion temperatures would mean that reconnection between the pulses is entirely absent. This is because one must place an observational caveat on this discussion. The range resolution of the radar measurements is 37.5 km. Hence if the region of maximum longitudinal flow is present, but very narrow (less than about 15 km), the radar experiment would not resolve a narrow region of high ion temperature, but would spatially average regions of high and low temperature in a complex manner depending on the spectral fit to the integrated radar echoes. In addition, the ion temperature will decay slowly as the field lines straighten. Hence if the pulses are sufficiently close together, the decay of the ion temperatures may go undetected.

Figure 7 demonstrates that a narrow region of high ion temperatures exists between the events on January 20, 1990, and that this region broadens as the 630-nm transient events pass over the radar. We can conclude that for the pulsed reconnection model, the reconnection must have been continuous for this example; i.e., there was ongoing reconnection at a lower rate between the pulses of enhanced rate. Hence, for example, a low-altitude spacecraft would observe steepenings of the cusp ion dispersion signature on the boundaries between successive 630-nm events [Lockwood and Smith, 1994]. These steps would not, however, be as steep as the instantaneous steps which would be produced by fully pulsed reconnection, with no reconnection taking place between the pulses [e.g., Lockwood et al., 1993a].

Figure 7 can be used to gain some insight into how pulsed the reconnection would have to be for this model of the events. Between the events, the hand of high ion temperature \(T_i > 55000\) is \(w_i = 30\) km wide (one range gate), whereas within the first event it is \(w_i > 150\) km wide (5 range gates), this being a lower limit because the events extend beyond the poleward limit of the radar field of view. The curvature of the newly opened field lines will decrease as they straighten, such that they will drive ion temperatures in the ionosphere which exceed 55000 K for a certain interval after the longitudinal flow commences: let the length of this interval be \(\Delta t\). From the time constant of the decay of \(T_i\) in some range gates at the end of the event we estimate \(\Delta t\) is at least 3 min for the 55000 K threshold. If we consider a reference frame in which the merging gap is a rest, the plasma will move poleward at a speed \(V\), which is directly proportional to the magnetopause reconnection rate \(V\) is the sum of the equatorward boundary speed and the poleward convection speed normal to the boundary in the Earth’s frame [Lockwood et al., 1993b]. The latitudinal width of the region of ion temperature exceeding the 55000 K threshold chosen here will then be

\[
w = \int_0^{\Delta t} V \, dt.
\]

For a steady background reconnection rate of \(V = a\), the width of the region will therefore be \(w = a \Delta t\). If there is a square-wave pulse of enhanced reconnection rate (in which \(V = b\)) which lasts for a time \(\Delta\), the cusp width increases to a maximum of \(w_p\), where

\[
w_p = a (\Delta t - \delta) + b \cdot \delta
\]

Hence the ratio of the reconnection rates in and between the pulses is

\[
\frac{b}{a} = 1 + \left(\frac{w_p}{w} - 1\right) \left(\frac{\Delta t}{\delta}\right).
\]

From Figure 7 we know \((w_p/w) > 5\) for the first event. The equatorward expansion of ion temperatures > 55000 K is almost instantaneous as the rise in \(T_i\) is almost simultaneous at all gates, from which we infer \(\delta\) is less than about 1 min. This is broadly consistent with the duration of magnetopause FTE signatures [Lockwood and Davis, 1994] and the duration derived from stepped cusp ion dispersion signatures [Lockwood and Smith, 1992]. Using the maximum estimate for \(\delta\) of 1 min, with the minimum estimates for \(w_p/w\), and \(\Delta t\) of respectively 5 and 3 min, gives a minimum estimate for the ratio \(b/a\). From (4) we then deduce that the ratio \(b/a\) is at least 13 and could be considerably greater. Hence the pulses giving the events must be at least a tenfold increase in the magnetopause reconnection rate.

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