

# Effects of urban density on carbon dioxide exchanges: observations of dense urban, suburban and woodland areas of southern England

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# Effects of urban density on carbon dioxide exchanges: observations of dense urban, suburban and woodland areas of southern England

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#### 10

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# 11 Abstract

12 Anthropogenic and biogenic controls on the surface-atmosphere exchange of CO<sub>2</sub> are explored 13 for three different environments. Similarities are seen between suburban and woodland sites during 14 summer, when photosynthesis and respiration determine the diurnal pattern of the CO<sub>2</sub> flux. In 15 winter, emissions from human activities dominate urban and suburban fluxes; building emissions 16 increase during cold weather, while traffic is a major component of CO<sub>2</sub> emissions all year round. 17 Observed CO<sub>2</sub> fluxes reflect diurnal traffic patterns (busy throughout the day (urban); rush-hour peaks (suburban)) and vary between working days and non-working days, except at the woodland 18 19 site. Suburban vegetation offsets some anthropogenic emissions, but 24-h CO<sub>2</sub> fluxes are usually 20 positive even during summer. Observations are compared to estimated emissions from simple 21 models and inventories. Annual CO2 exchanges are significantly different between sites, 22 demonstrating the impacts of increasing urban density (and decreasing vegetation fraction) on the 23 CO<sub>2</sub> flux to the atmosphere.

24 **Capsule:** Direct measurements of  $CO_2$  fluxes reveal the impact of urbanisation and human 25 behavioural patterns on the atmosphere at sub-daily to inter-annual time scales.

# 26 Highlights

- 27 multi-seasonal comparison of contemporaneous CO<sub>2</sub> fluxes over contrasting land cover
- signatures of anthropogenic and biogenic processes explored at various timescales
- 29 observations reveal relative magnitude of anthropogenic emissions
- 30 CO<sub>2</sub> fluxes related to surface controls, strongly dependent on land cover

Keywords: carbon emissions; emissions inventory; human impact; land use change; net ecosystem
 exchange

# 33 1. Introduction

Carbon dioxide concentrations continue to increase globally, reaching 400 ppm on a daily basis at Mauna Loa in 2013 (The Keeling Curve 2014). Over 70% of global greenhouse gas emissions are from urban areas (IEA 2012). While a large number of studies have documented the seasonal dynamics of carbon fluxes of vegetated ecosystems (e.g. Schmid et al. 2000; Baldocchi et al. 2001; 38 Aubinet et al. 2012), comparable measurements from urban areas remain relatively limited (see 39 reviews by Velasco and Roth 2010; Grimmond and Christen 2012; Christen 2014; Weissert et al. 40 2014). The earliest measurements in cities began in the mid-1990s (Grimmond et al. 2002; Nemitz et 41 al. 2002), yet only very recently have multi-year urban fluxes been published (Pawlak et al. 2010; 42 Bergeron and Strachan 2011; Crawford et al. 2011; Järvi et al. 2012; Liu et al. 2012; Peters and 43 McFadden 2012). Thus understanding of  $CO_2$  exchanges based on direct observations in regions with 44 large urban fluxes is limited. Instead, estimates of emissions are mostly based on fuel consumption 45 inventories, but these tend to have coarse spatial and temporal resolution and do not include 46 biogenic processes such as photosynthetic uptake by urban vegetation (Järvi et al. 2012; Crawford 47 and Christen 2014). However, to explore the potential impacts of urban planning schemes and policy 48 decisions, or to make predictions about future climates, improved understanding of processes 49 relevant to the urban carbon balance is required. Pataki et al. (2011) highlight the need for more 50 rigorous evaluation of urban greening schemes, which should include both positive and negative 51 impacts on the ecosystem as a whole, realistic cost-benefit analyses and consideration of site-52 specific and species-dependent behaviour.

53 Per unit area, annual  $CO_2$  exchanges measured in urban areas greatly exceed those from nearby 54 natural ecosystems: average annual CO<sub>2</sub> release in Helsinki is forty times larger than the uptake by a 55 nearby wetland and eight times larger than the uptake from a boreal forest (Järvi et al. 2012). In 56 highly-vegetated Baltimore, however, net CO<sub>2</sub> release is similar in magnitude to the net uptake of 57 nearby forests (Crawford et al. 2011). Few campaigns have quantified CO<sub>2</sub> exchanges for different urban densities concurrently. Coutts et al. (2007) presented fluxes from two suburban sites in 58 59 Melbourne, and Bergeron and Strachan (2011) compared fluxes from urban, suburban and 60 agricultural sites in Montreal. Measurements of CO<sub>2</sub> concentration across urban-to-rural gradients in the US include the work of Strong et al. (2011) in Salt Lake Valley and Briber et al. (2013) in Boston. 61 62 Given the apparent inability of vegetation to assimilate large enough quantities of  $CO_2$  to offset 63 emissions (Pataki et al. 2011; Weissert et al. 2014), quantifying the effect of human behaviour on 64 CO<sub>2</sub> exchange becomes an even more critical area for research. Approaches include long-term 65 observational campaigns which encompass policy changes, for example Song and Wang (2012) 66 assessed the impact of traffic reduction due to the Beijing Olympics in 2008, and combining 67 measurements and models to better inform the attribution of measured CO<sub>2</sub> emissions to various 68 human activities such as building energy use, transport and metabolism (e.g. Christen et al. 2011; 69 Strong et al. 2011).

70 The objective of this study is to relate observed  $CO_2$  exchanges to physical processes, through 71 consideration of meteorological conditions and surface characteristics. Direct eddy covariance 72 measurements of CO<sub>2</sub> fluxes from three very different land uses (urban, suburban and woodland) 73 over the same period are compared. The sites are located within one of the most densely populated 74 regions of Europe: southern England. This region, which includes London, has been extensively 75 modified by human activities in both rural and urban areas. Atmospheric controls are considered 76 first, by comparing the meteorology observed at each site. After demonstrating the similarity in 77 climatic conditions, links between CO<sub>2</sub> flux and surface characteristics (e.g. land cover, urban 78 density) are explored.

### 79 2. Materials and methods

# 80 2.1. Description of sites

In this paper measurements undertaken at three sites 70-100 km apart and at approximately the same latitude in southern England (Table 1, Fig. 1) are compared. These are a dense urban environment in central London (U); a predominantly residential suburban site in Swindon (S); and a deciduous oak woodland at the Alice Holt Research site (W). Additional details are provided elsewhere (London (Kotthaus and Grimmond 2012; 2014a; b); Swindon (Ward et al. 2013); Alice Holt (Wilkinson et al. 2012)).

87 Across the sites there is a gradient of impervious to pervious land cover, with London having 81% of the plan area covered by roads and buildings, Swindon 49% and Alice Holt effectively 0% 88 89 (Table 1). The heights of the roughness elements (i.e. buildings and trees) are similar in London and 90 Alice Holt (> 20 m) but smaller in Swindon ( $\approx$  6 m). There is very little vegetation at the central 91 London site; trees are mainly London plane (Platanus hispanica) and grass lawns are mainly confined 92 to small public gardens. In Swindon, grass is the predominant surface cover and grows alongside 93 roads as well as in residential gardens, recreational areas and on undeveloped land. Trees comprise 94 a range of species but are mainly deciduous. At Alice Holt the predominant tree species is oak 95 (Quercus robur) with hazel (Corylus aveilana) and hawthorn (Crataequs monogyna) making up the understorey (Wilkinson et al. 2012). The above and below ground tree biomass is estimated to be 96 13.4 kg C m<sup>-2</sup> (excluding shrubs and ground flora, based on 2009 data) and the mean peak leaf area 97 index is 5.9 m<sup>2</sup> m<sup>-2</sup> (1999-2010 data). 98

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Fig. 1 Photographs and aerial images of the (a-b) London, (c-d) Swindon and (e-f) Alice Holt sites with the positions of the
 eddy covariance towers indicated (crosses) (2009 ©GeoPerspectives: b, d).

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105 Table 1 Site characteristics (values are those given in the respective publications; surface cover is calculated at U for the

average footprint climatology (Kotthaus and Grimmond 2014b), at S for 500 m around the tower (Ward et al. 2013) and at

107 W for the woodland area (Wilkinson et al. 2012)).  $z_H$  is the average building or tree height;  $z_d$  zero plane displacement 108 height;  $z_0$  roughness length.

	London (U)	Swindon (S)	Alice Holt (W)
Location	51°30' N 0°07' W	51°35′ N 1°48′ W	51°09' N 0°51' W
Classification	urban	suburban	woodland
Description	high density central business district	low-rise residential	deciduous oak plantation
<i>z<sub>H</sub></i> [m]	22.0	5.5	21.0
z <sub>d</sub> [m]	14.2	3.5	15.3
z <sub>0</sub> [m]	1.9	0.5	2.2
Surface cover [%]			
Impervious	43	33	0
Buildings	38	16	0
Vegetation (trees)	5 (2)	44 (9)	98 (97)
Open water	14	0	0.5
Bare soil	0	6	1.5

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#### 110 2.2. Instrumentation and data processing

Net fluxes of CO<sub>2</sub> between the surface and atmosphere were obtained for 30-min intervals using 111 112 the eddy covariance (EC) technique at each site. The micrometeorological sign convention is used, i.e. negative flux indicates  $CO_2$  uptake by the surface and positive flux indicates  $CO_2$  release. The 113 114 instrumental setup is summarised in Table 2. Equipment was mounted on towers (a square-section 115 tower at Alice Holt, lattice towers in London and a pneumatic mast in Swindon) to ensure that 116 measurements were made well above the mean height of the roughness elements  $(z_H)$  and above 117 the roughness sub-layer (> 2  $z_H$  for U and S; > 1.3  $z_H$  for W, Table 1, Table 2). Sites were carefully 118 selected to ensure the measurements are representative of the local environment. Although the 119 source areas vary with meteorological conditions, footprint models indicate that the majority of the 120 flux usually originates from within a few hundred metres (approximately 200-400 m) of the towers; 121 at night these distances increase (to around 600-700 m) as instability decreases. The variation in 122 land cover around each tower is far smaller than the difference in land cover between the three 123 sites. Full characterisation is provided in the individual site papers.

124 Raw data from the sonic and gas analyser were processed using LiCOR's EddyPro software (S, W) 125 or ECPACK (van Dijk et al. 2004) (U). The quality control procedures applied were selected based on 126 the requirements of each site, dependent on their different characteristics (see Kotthaus and Grimmond (2012), Ward et al. (2013) and Wilkinson et al. (2012) for details). This was judged to be 127 128 the most appropriate methodology, rather than attempting to apply a single set of tests across all 129 sites which may not be suitable for each environment. All sites were subject to the following 130 standard procedures: adjustment for the lag time between sonic anemometer and gas analyser; correction of sonic temperature for humidity; correction for spectral losses. The planar fit coordinate 131 132 transformation was applied to the London data; double coordinate rotation was used for Swindon and Alice Holt. Data from all sites were despiked and subjected to physically-reasonable threshold 133 134 checks and data were removed during times of instrument malfunction. In London the influence of 135 micro-scale building emissions was removed from the local-scale fluxes using an algorithm based on 136 the statistical characteristics of turbulent events (Kotthaus and Grimmond 2012); this procedure is not required at the less urbanised sites. No friction velocity  $(u_*)$  threshold was used to reject CO<sub>2</sub> 137

138 fluxes at the suburban or urban site because the rough nature of these surfaces and anthropogenic 139 energy emissions enhance mixing, resulting in fewer stable periods (Christen and Vogt 2004) which 140 means that thresholds developed for rural environments are not useful (Bergeron and Strachan 141 2011; Crawford et al. 2011; Liu et al. 2012). At Alice Holt, inspection of plots of night-time fluxes against  $u_*$  suggested a threshold of 0.15 m s<sup>-1</sup>. This is similar to the average threshold value derived 142 for the site between 1999 and 2010 by Wilkinson et al. (2012) and is within the range found for 143 woodland sites (e.g. 0.1-0.5 m s<sup>-1</sup>, Papale et al. (2006)). CO<sub>2</sub> fluxes were therefore excluded for 144  $u_* < 0.15$  m s<sup>-1</sup> at W. In this study no corrections were made for CO<sub>2</sub> storage below the EC 145 146 instruments at any of the sites (accounting for storage would alter the fluxes by < 0.5% at W, and the 147 correction for urban areas is estimated to be only a few percent (Crawford and Christen 2012)).

148 The period analysed comprises January 2011 to April 2013, with all three sites operational from 149 May 2011. Gaps in the data occurred due to a variety of operational reasons (e.g. low power, instrument failure) but the overall data availability is reasonably good. Out of the maximum possible 150 40848 30-min periods, after quality control CO<sub>2</sub> fluxes were available for analysis 77.0%, 57.1% and 151 152 70.3% of the time at London, Swindon and Alice Holt, respectively. Available data were relatively 153 evenly spread between day and night, although less so at Alice Holt where the  $u_*$  filter excluded a 154 larger proportion of night-time data (76.8% of data are available during the day compared to 63.9% 155 at night).

156 For calculation of annual totals, CO<sub>2</sub> fluxes for 2011 and 2012 were gap-filled using monthly median diurnal cycles (e.g. Järvi et al. 2012). Two sets of median diurnal cycles were calculated for 157 158 each site, one for working days and the other for non-working days (weekends and holidays), for 159 each of the 28 months. These were used to fill gaps in the datasets based on month, day of week 160 and time of day for each site. As data collection in Swindon did not start until May 2011, the period 161 January-April 2011 was filled using the mean of the 2012 and 2013 diurnal cycles for each month 162 (January-April) considering working days and non-working days separately. Implications of this 163 procedure are discussed below (Sect. 4.3).

164 Other variables measured (Table 2) include photosynthetically active radiation (PAR) at the U 165 and W sites. Comparison of measured PAR and total incoming solar radiation ( $K_{\downarrow}$ ) for these sites 166 revealed a high degree of correlation ( $r^2 = 0.99$ ) with constants of proportionality (PAR/ $K_{\downarrow}$ , both in 167 W m<sup>-2</sup>) of 0.38 and 0.42 at U and W, respectively. Gaps in measured PAR were filled using these 168 relations with  $K_{\downarrow}$  where possible. For S, PAR was modelled as a proportion (0.40) of incoming 169 shortwave radiation.

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Table 2 Instrumentation details (model and measurement height, *h*) for the three sites. The London site tower was moved
 to another part of the building (from 'KSS' to 'KSSW') in March 2012.

	London (	U)	Swindon (S)		Alice Holt (W)	
	Model	<i>h</i> [m]	Model	<i>h</i> [m]	Model	<i>h</i> [m]
Sonic	CSAT3,		R3,		Solent R2, R3	
anemometer	Campbell Scientific	48.9 (KSS)	Gill Instruments	125	Gill Instruments	20 0
Infrared gas	Li-7500,	50.3 (KSSW)	Li-7500,	12.5	Li-7000,	20.0
analyser	LiCOR Biosciences		LiCOR Biosciences		LiCOR Biosciences	
Padiamatar	CNR1/CNR4,	48.9 (KSS)	NR01,	10 1	СМ3,	27.0
Radiometer	Kipp & Zonen	50.3 (KSSW)	Hukseflux	10.1	Kipp & Zonen	27.0
DAP concor	PAR Quantum,	27.0			BF3,	27.0
FAN SENSO	Skye Instruments	37.0	_	-	Delta-T Devices	
Weather	WXT510/520,	48.9 (KSS)	WXT510/520,	10.6	HMP50,	27.0
station	Vaisala	50.3 (KSSW)	Vaisala	10.0	Vaisala	27.0

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### 178 2.3. Estimating anthropogenic emissions

179 Carbon emissions for the central London site were estimated with the GreaterQF model (lamarino et al. 2012) after updating gas (domestic and non-domestic) and other fuel consumption 180 181 data with 2011-2012 data (DECC 2013a), and residential and daytime population with 2011 census data (ONS 2011). Traffic emissions were adjusted for the study period according to the trends in 182 183 annual totals provided for the relevant central London boroughs (DECC 2013b). CO<sub>2</sub> emissions from 184 fuel combustion were calculated by applying conversion factors (NGVA) to heat emissions, as 185 provided by GreaterQF, while CO<sub>2</sub> emissions from human metabolism were estimated following 186 Koerner and Klopatek (2002). Emissions were modelled for the borough of Westminster, which 187 contains the majority of the EC footprint.

188 Estimates of anthropogenic carbon emissions were made for the Swindon site using inventories 189 of domestic energy use, vehicle use and population density (see Appendix A of Ward et al. (2013) for 190 details). Quarterly domestic natural gas consumption statistics (DECC 2013a) were used to estimate 191 CO<sub>2</sub> emissions due to building energy use (combustion of other fuels was neglected) with sub-daily 192 variability modelled based on Hamilton et al. (2009). The total distance travelled by motor vehicles 193 in Swindon (DfT 2013) was adjusted for the proportion of road in the study area. Equal weight of 194 traffic across all roads (Ichinose et al. 1999), a fixed fuel economy (Sailor and Lu 2004) and fixed emission factors (Moriwaki and Kanda 2004) were assumed in the absence of more detailed data 195 196 specific to Swindon. Temporal variation was modelled using typical daily and monthly profiles (DfT 197 2011).  $CO_2$  release from human metabolism was estimated using daytime and night-time population density (ONS 2011) following the method of Bergeron and Strachan (2011). 198

# 199 3. Variability in meteorological conditions

Due to their proximity, the three sites can be expected to experience similar climatic conditions and weather patterns. Southern England has a temperate maritime climate (cool summers, mild winters, cloudy, wet and changeable weather). According to the Met Office (2014a; b), normal (1981-2010) annual rainfall for this region is 782 mm and mean air temperature ( $T_{air}$ ) is 10.3 °C. In both 2011 and 2012, rainfall was well below normal at the start of the year. Whilst 2011 remained drier than normal, the rest of 2012 was very wet with above average rainfall (April-December). The summers of 2011 and 2012 were generally cloudy, although there were some warm, dry and sunny spells in late May, July and September 2012 (Fig. 2, Fig. 3). Winter 2011/12 was warmer than average but a cold period brought snowfall in February 2012. Winter 2012/13 was cool and temperatures remained low throughout spring, with March 2013 being much colder than normal.

210 Regional similarities in weather patterns are evident in the data collected at the London, 211 Swindon and Alice Holt sites (Fig. 2). Although instrumental differences have not been accounted for, the mean daily air temperatures at measurement height follow each other closely ( $r^2 > 0.94$ ). 212 The slightly warmer air temperatures at U compared to S and then W are as expected. Mean daily 213 214 temperatures are 15.94, 15.29 and 14.85 °C in summer and 5.34, 4.99 and 4.82 °C in winter at U, S 215 and W, respectively. Fig. 2 also shows that the patterns in relative humidity (RH) are similar between 216 sites, and again show the expected variation with land use (highest humidity at the woodland site 217 and lowest in the city centre).



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Fig. 2 Comparison of meteorological conditions at the three sites (daily mean values) showing variability within the study
 period and consistency across the region for (a) air temperature and (b) relative humidity. Missing data have not been gap filled.

When  $T_{air}$  and RH are combined to give vapour pressure deficit (VPD), periods with high VPD are 222 223 easily identifiable at all three sites simultaneously (Fig. 3, shading). These warm, dry spells occur 224 when the incoming shortwave radiation is high across the region and skies are near clear (Fig. 3, 225 bars). Diurnal and seasonal behaviour in VPD and PAR are important controls on photosynthesis rates (e.g. Schmid et al. 2000; Flanagan et al. 2002). Comparing across the sites,  $K_{\perp}$  decreases as the 226 density of urbanisation increases. Although the time series in Fig. 3 are very similar, the colouring 227 228 reveals that higher transmissivity tends to occur at W. These findings are as expected, as there is 229 evidence of reduced solar radiation receipt in central London (Ryder and Toumi 2011). Modelled bulk transmissivity was determined as the fraction of observed  $K_{\perp}$  relative to the top of atmosphere 230  $K_{\downarrow}$  (calculated using the solaR R package (Perpiñán 2012) and a solar constant of 1367 W m<sup>-2</sup> 231 232 (Peixoto and Oort 1992)). The mean bulk transmissivity for the study period was 0.372, 0.382 and 233 0.415 at U, S and W, respectively. Overall, it can be concluded that the three sites experience generally similar weather conditions and therefore any differences in CO<sub>2</sub> exchanges should be 234 235 related to land-surface controls.



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Fig. 3 Mean daily measured incoming solar radiation ( $K_{\downarrow}$ , bars) coloured according to modelled bulk transmissivity. Top of atmosphere  $K_{\downarrow}$  is shown by the dashed line. Mean daily vapour pressure deficit (VPD in blue) is indicated by the background shading (right hand legend). White areas are due to missing data.

### 240 4. Results and discussion

#### 241 4.1. CO<sub>2</sub> flux comparison between sites

242 There are clear contrasts in both the magnitude and temporal variability of the observed carbon fluxes ( $F_c$ ) in London, Swindon and Alice Holt (Fig. 4). At the forested Alice Holt site, the annual cycle 243 is dominated by the balance between photosynthesis and respiration (Fig. 4c). Net uptake in the 244 245 daytime by the understorey is evident early in the year (Wilkinson et al. 2012), followed by a sudden increase in net uptake which coincides with leaf-out of the oak canopy (Mizunuma et al. 2013). 246 During the night and in winter, the forest acts as a small source of CO<sub>2</sub>. The largest emissions occur 247 during summer nights (5-6  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>) when ecosystem respiration rates are largest because the 248 249 vegetation is in leaf and metabolically more active, and temperatures are warm (Fig. 4c, Fig. 5c). The 250 behaviour observed at Alice Holt is typical for deciduous forests generally (Falge et al. 2002; 251 Baldocchi et al. 2005) and for other deciduous forest areas in this region (Thomas et al. 2011).

252 In contrast, central London is a major source of  $CO_2$  all year round, with larger emissions during winter than summer (Fig. 4a). Emissions are 10-30  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> larger during the day than the night 253 (Fig. 5a) and the shape of the diurnal pattern in the CO<sub>2</sub> flux does not differ considerably with 254 255 season, being slightly asymmetrical and tending to remain higher in the evening approaching 256 midnight compared to the early hours of the morning (Fig. 6). This is in accordance with expected 257 typical patterns of human behaviour and similar to those documented in Tokyo (Moriwaki and 258 Kanda 2004) or Marseille (Grimmond et al. 2004), for example. In densely built-up areas both the 259 abundance of sources and sustained daytime activity (e.g. from traffic, human metabolism and 260 commercial building use) cause emissions to stay high throughout the day (e.g. Helfter et al. 2011; 261 Iamarino et al. 2012; Lietzke and Vogt 2013). Average daytime values peak at about 35 μmol m<sup>-2</sup> s<sup>-1</sup> in summer and 80  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> in winter. Note the availability of CO<sub>2</sub> data at U is very low for January 262 2013 (4.8%, all occurring on weekdays, Fig. 4a). The monthly values for January 2013 in Fig. 5a and 263 264 Fig. 6 are therefore very likely overestimates of the true  $CO_2$  fluxes.

Suburban Swindon, having a mixture of vegetation cover plus built areas, has fluxes that respond 265 to both biogenic and anthropogenic controls. As for London, Swindon's emissions are largest in 266 winter (Fig. 4b), when there is increased fuel combustion for building heating and vegetation is 267 largely dormant. During the growing season photosynthetic uptake is greatest in the middle of the 268 day, associated with maximal PAR. The pattern of daytime CO<sub>2</sub> fluxes is similar to W (Fig. 6). 269 270 However, during winter the diurnal pattern of  $F_c$  is markedly different: two clear peaks are observed which coincide with road traffic and building heating demand during the morning and evening rush 271 272 hours (Ward et al. 2013). The role of human activities resulting in differences in F<sub>C</sub> between U and S is evident. In U the emissions remain high throughout the daytime, reflecting the intensity of 273 274 activities. In low density residential areas, such as Swindon, rush-hour peaks are more typically 275 observed, for example in Melbourne (Coutts et al. 2007), Montreal (Bergeron and Strachan 2011), Helsinki (Järvi et al. 2012) and Mexico City (Velasco et al. 2013). Typical wintertime fluxes are 276 approximately five times smaller at S (9 g C m<sup>-2</sup> day<sup>-1</sup>) than U (50 g C m<sup>-2</sup> day<sup>-1</sup>), whilst summertime 277 fluxes are roughly ten times smaller at S (2 g C  $m^{-2}$  day<sup>-1</sup>) than U (22 g C  $m^{-2}$  day<sup>-1</sup>). 278

Uptake of CO<sub>2</sub> in towns and cities is often masked by anthropogenic emissions, partly as the 279 280 proportion of land covered by vegetation tends to be considerably lower (e.g. 44% at S) than in the 281 surrounding countryside or before development. Despite the significant amount of vegetation at S, 282 the net daily (24-h) flux is usually positive. Nevertheless, the potential of urban vegetation to reduce 283 the overall release of  $CO_2$  into the atmosphere is evident in the Swindon data (Fig. 6). From spring until autumn,  $F_C$  is negative during the middle of the day and 24-h emissions are kept low 284 ( $\approx 2 \text{ g C m}^{-2} \text{ d}^{-1}$ ). Photosynthesis continues into the autumn, but increased emissions from heating at 285 S mean that uptake is no longer seen in the middle of the day (compare fluxes at S and W during 286 287 October and early November, Fig. 4).

As there are no anthropogenic emissions at the woodland site, the photosynthetic uptake is a strong signal in the observed  $CO_2$  flux ( $\approx$  net ecosystem exchange (NEE)). The ecosystem respiration ( $R_{eco}$ ) at this site is around 5-10 µmol m<sup>-2</sup> s<sup>-1</sup> during summer daytimes (Wilkinson et al. 2012), so gross primary production (GPP) may typically be 40 µmol m<sup>-2</sup> s<sup>-1</sup> around midday during the peak of the growing season. At W, the diurnal range in the  $CO_2$  flux is small in winter ( $F_C \approx 0.3 \mu$ mol m<sup>-2</sup> s<sup>-1</sup>) but there is still a small yet discernible reduction in  $CO_2$  release during the middle of the day (Fig. 4c). These estimates of  $R_{eco}$  are consistent with long-term averages at this site and other similar sites 295 (Wilkinson et al. 2012). Chamber measurements made at W in 2007-2010 (Heinemeyer et al. 2012) 296 indicated soil  $CO_2$  effluxes are equal to about half of  $R_{eco}$ .

At all times the  $CO_2$  release from the U site exceeds that from S and W. The  $CO_2$  fluxes are most comparable during the early hours of the morning in summer (e.g. June, July and August 2012) at around 3-10 µmol m<sup>-2</sup> s<sup>-1</sup>; these represent amongst the smallest emissions from U and largest emissions from W. The spread of observed values is greatest at U, small and stays the same all year round at S, while W data are much more variable in summer.

302 Despite the additional sources of CO<sub>2</sub> at S compared to at W, the observed fluxes were lower at 303 S during daytimes in spring 2012 (March and April), and similar around midday during May 2012 and 304 April 2013 (Fig. 4b-c, Fig. 6). This may be due to the slightly warmer temperatures within urban areas 305 which cause leaf development to occur earlier, but also reflects the large soil decomposition and 306 tree respiration rates in woodland environments. Phenological differences of extended growing 307 season in urban environments have been observed in a number of areas (e.g. Imhoff et al. 2004; 308 Zhang et al. 2004). Unfortunately no S data are available before May 2011, so it is not possible to 309 extend this comparison over more years. There is also some species dependency: photosynthetic 310 activity occurs in the shrub layer at W from late February (Wilkinson et al. (2012), 1999-2010 data) 311 but the tree canopy does not develop until late April-May; most of the vegetation around the 312 residential S site is grass that can begin photosynthesising early in the year (Hiller et al. 2011). 313 Although both sites have a small proportion of evergreen vegetation (other than grass), it is unlikely 314 to be a significant contribution at either site.

Inter-annual differences in the  $CO_2$  fluxes are mainly attributable to variations in the weather. Largely warm and sunny conditions in April and early May 2011 (Fig. 3) promoted development of vegetation and led to a rapid increase in the rate of photosynthesis. In 2012, a dry, sunny spell in March was followed by a very wet and dull April, which resulted in rapid leaf-out of deciduous vegetation at the end of May (Fig. 3). In early 2013, positive  $F_c$  observed across all sites is attributed to the cold start to the year (Fig. 2) suppressing vegetation growth and generating a greater demand for building heating and thus increased  $CO_2$  emissions.



323 Fig. 4 Carbon dioxide fluxes by time of day (y-axis) and date (x-axis) at the three sites calculated as 7-day running means.



324

325 326 327 **Fig. 5** Daytime and night time 30-min carbon dioxide fluxes by month. Boxes enclose the interquartile range (IQR); medians are indicated by thick horizontal lines; whiskers enclose the 10<sup>th</sup> to 90<sup>th</sup> percentiles. Note different scales on the y-axes

between the sites.



329 Fig. 6 Monthly median diurnal cycles and interquartile ranges (shaded) of 30-min carbon dioxide fluxes for January 2011 to April 2013 for the three sites.

#### 4.2. Relation to biophysical processes and anthropogenic activities

To assess the roles of biophysical processes and anthropogenic activities at the three very different sites, relations with explanatory variables are investigated here. Whilst Alice Holt essentially has no emissions from human activity, the tiny amount of vegetation around the London site is insufficient to be detectable compared to the strong anthropogenic signal. Swindon lies between the two with fluxes that relate to a mixture of controls. Analysis over relatively long time series (28 months) also permits exploration of seasonal trends and inter-annual variability.

#### 337 *4.2.1. Photosynthetically active radiation*

338 During the growing season photosynthetic uptake by vegetation exhibits a clear dependence on 339 the amount of PAR received by leaves. As PAR increases, observed  $F_c$  at both S and W decreases. 340 When vegetation is fully leafed-out, data closely follow the expected functional dependence (Fig. 7). 341 Seasonally, the  $F_{C}$ -PAR relation varies: as vegetation approaches senescence the relation between 342 PAR and rate of  $CO_2$  uptake decreases as the leaf area changes at both W and S (not shown). 343 Naturally, the woodland ecosystem has the strongest dependence on PAR as the footprint is 344 completely vegetated and during summer daytimes photosynthesis constitutes the main component 345 of  $F_{C}$ . As the largest PAR values are approached, the rate of CO<sub>2</sub> uptake decreases and the curves 346 level off as the light saturation threshold for photosynthesis is reached. At times with high PAR (i.e. 347 sunny weather, typically warm with a high VPD, Fig. 3), when there is limited water available, plants 348 may reduce transpiration rates to conserve water. Levelling off of the light response curve, or even a 349 reduction in CO<sub>2</sub> uptake at high PAR, may also indicate stomatal closure. Separating morning and 350 afternoon data reveals some diurnal hysteresis, with slightly higher uptake at moderate to large PAR 351 in the morning compared to the afternoon at S and W. At W the uptake in 2011 (drier than average, 352 dashed line in Fig. 7) is lower than in 2012 (a very wet summer, dotted line) across mid-to-high PAR 353 values. There is also some asymmetry in the diurnal cycles of  $F_c$  in late summer 2011 (Fig. 6), which 354 may be due to limited water availability. However, note that there are large data gaps in September 355 2011 for W (Fig. 4).

At U there is no clear relation with PAR. The spread of the data is much greater and mean values are higher than the medians as a result of some large  $F_C$  values and a slight positive skew (more so in summer 2012, Fig. 5a). The observed increase in  $F_C$  at low PAR values is probably related to the coincident increase (decrease) in anthropogenic activities following sunrise (before sunset). The lack of evidence for photosynthetic uptake in central London indicates that the role of vegetation is negligible at this densely urbanised site.



**Fig. 7** Relation between carbon dioxide fluxes and photosynthetically active radiation (PAR) for summer daytimes (June-August 2011 and 2012), i.e. when leaves are fully out. PAR data are used to group the fluxes into bins of 100  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>, with only those bins containing > 25 data points shown. The interquartile range for each site is shaded. Dashed lines are empirical models for temperate grassland (Flanagan et al. 2002) and broadleaf forest (Schmid et al. 2000). For the woodland site, the relations for 2011 (dashed line) and 2012 (dotted line) are also shown separately.

#### 368 *4.2.2. Temperature*

369 In natural environments, the nocturnal CO<sub>2</sub> flux is equated to ecosystem respiration. At W the 370 expected exponential increase in ecosystem respiration with air temperature (e.g. Lloyd and Taylor 371 1994; Schmid et al. 2000) is observed across the seasons (Fig. 8c). The variability increases with 372 temperature and the CO<sub>2</sub> emissions level off above about 15 °C. This levelling-off may be caused by 373 the grouping of data at different periods in the seasonal cycle, variability in soil moisture conditions 374 or the changing relation between soil temperature and air temperature. Respiration rates are higher 375 when soil conditions are wet at warmer temperatures, presumably due to higher decomposition 376 rates, as indicated by the subset April 2012-April 2013 (a very wet period, Sect. 3).

377 During summer nights at S, when  $CO_2$  emissions from traffic and building heating are minimal,  $F_C$ 378 also shows an increase with  $T_{\rm air}$  (subset, Fig. 8b), suggesting a contribution from ecosystem 379 respiration. Again, emissions are larger during summer 2012 (dotted line) than during summer 2011 380 (dashed line), particularly for warm temperatures. Anthropogenic emissions dominate at cooler 381 temperatures in response to increased heating demand. The coolest period occurred in February 382 2012 and included snowfall (Sect. 3). The rate of increase of  $F_C$  with decreasing  $T_{air}$  is greater at U 383 than at S due to the difference in urban density. At U, R<sub>eco</sub> (excluding human respiration) is a very 384 small contributor to the total carbon emissions. Thus, an exponential dependence of  $R_{eco}$  on  $T_{air}$  is not seen, even in summer. Instead  $F_C$  decreases with increasing  $T_{air}$  and the relation levels off for the 385 386 warmest temperatures (Fig. 8a).

At urbanised sites, monthly total carbon emissions are often negatively correlated with air temperature (e.g. Liu et al. 2012). Fig. 9 combines the effects of seasonal variation in vegetation 389 activity and demand for building heating with temperature (assuming that traffic load is 390 independent of temperature). At U a decrease in emissions with increasing temperature is seen for all months, as well as for winter and summer separately. The gradient (-1.95  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> °C<sup>-1</sup>) is 391 larger in magnitude than the value given for Beijing (-0.34  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> °C<sup>-1</sup> (Liu et al. 2012)), 392 393 reflecting the greater seasonal variability and larger contribution of building activities to the total 394 emissions at the London site compared to the Beijing site. As U is much more densely built, the total 395 emissions are also greater than in Beijing (15% vegetation fraction,  $P_V$ ). Building-scale  $F_C$  is estimated to reach around 60  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> in the morning hours (November 2009-June 2011) on 396 average (Kotthaus and Grimmond 2012). Emissions at the warmest temperatures are associated 397 398 with traffic (assuming traffic does not vary seasonally) and metabolism, plus gas combustion for non-399 heating purposes. The London Olympics occurred during the warmest month, August 2012 (circled in 400 Fig. 9), when central London traffic was less and more people used public transport (TfL 2012). Thus 401 a more typical estimate associated with the slightly cooler summer months may be around 20  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>. 402

An overall trend of reduced emissions with warmer temperatures is seen for S, with a shallower gradient than for U. However, when winter and summer are considered separately at S, an increase in  $F_C$  with temperature is observed, possibly associated with increased respiration rates (Fig. 8b). Similar relations are seen for W, but the woodland site goes from being a source of CO<sub>2</sub> to a sink of CO<sub>2</sub> with increasing temperature (i.e. with season), whereas Swindon is always a net source of CO<sub>2</sub> on a monthly basis.



410 Fig. 8 Night time carbon dioxide fluxes versus air temperature (30 min) in bins of 2°C. Only bins containing > 25 data points

are plotted and the interquartile range for each site is shaded. Night time data are classified based on solar angle with the additional criterion that PAR < 10  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>. Black curves indicate subsets of the data: (a) summertime only (June-August

410 411 412 413 414 2011 and June-August 2012); (b) summertime only (as above); (c) the period April 2012-April 2013. In (b) summertime (June-August) data for 2011 (dashed line) and 2012 (dotted line) are also shown separately.



Fig. 9 Monthly mean carbon dioxide fluxes versus monthly mean temperatures. Numbers indicate months (1-12), with years 2011, 2012 and 2013 in **bold**, roman and *italic*, respectively. Linear regressions for all months (solid lines), winter (DJF, dashed lines) and summer (JJA, dotted lines) are shown. The outlier for London is January 2013 and has been left out of the regressions for London due to low data availability (see Sect. 4.1). Alice Holt in February 2011 and London in April 2012 are not plotted due to lack of T<sub>air</sub> data.

#### 421 *4.2.3. Day of week*

The major impact of anthropogenic activities on the carbon fluxes is apparent when data are separated into working days and non-working days, i.e. weekends and holidays (Fig. 10). Over a long enough study period there is no reason for weather conditions or biogenic processes to differ substantially between working and non-working days. Thus, as expected, CO<sub>2</sub> fluxes from the woodland site do not show any significant systematic difference between these two subsets.

427 For the suburban site, however,  $F_c$  tends to be larger on working days compared to non-working 428 days (Fig. 10). This is particularly evident in winter when  $F_C$  is dominated by anthropogenic controls. 429 The two 'rush-hour' peaks seen in the morning and evening are more pronounced on working days, 430 while the diurnal pattern on non-working days is flatter with a reduced morning peak and a 431 tendency for increased emissions during the middle of the day. These differences closely resemble 432 patterns of human behaviour and energy consumption (e.g. Sailor 2011). In winter, when vegetation 433 is dormant, trends in observed  $F_c$  are modelled reasonably well by the estimated anthropogenic 434 emissions, although the modelled emissions overestimate the observations. Emissions from traffic 435 tend to show little seasonal variation, while emissions from building heating vary from month to 436 month (due to temperature). Reduced building emissions are partly responsible for lower  $F_{C}$ observed in summer, but at this time of year photosynthesis dominates the shape of the diurnal 437 cycle. Emissions from human metabolism are a small contribution (~ 1  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>) to total  $F_{C}$ . 438

For the London site, emissions are mostly determined by energy use in buildings, the majority of which is non-domestic gas combustion according to inventory data (DECC 2013a). Emissions are considerably higher on working days than on non-working days all year round (by about 15-

30 µmol m<sup>-2</sup> s<sup>-1</sup> at midday), with the largest fluxes usually occurring in the middle of the day. This is 442 443 typical for the area, which is strongly service-oriented and has a large daytime population made up 444 almost entirely of non-residents. Model results for the borough of Westminster (area 22.2 km<sup>2</sup>) are 445 presented here. Most of the EC source area is located within this borough, which has a resident population density of less than 10000 persons km<sup>-2</sup> compared to a workday population density of 446 31000 persons km<sup>-2</sup> (ONS 2011). However, the total daytime population density (including tourists) is 447 even higher, estimated at 46000 persons km<sup>-2</sup> (GLA 2013). For such densely populated areas CO<sub>2</sub> 448 emissions from human metabolism are a significant contribution to the total emissions, particularly 449 450 during the middle of working days. The model assumes that the daytime population applies for 451 times between 1000 and 1600, which is probably an oversimplification. In reality, people arrive 452 earlier and leave later for work, while others may travel into the area in the evening. This difference 453 may explain why the observed diurnal cycles are more peaked than the modelled diurnal cycles. The 454 GreaterQF model does not account for seasonal variation in population density, although the 455 daytime population is generally lower in summer coinciding with the holiday season for workers and 456 students. As seen in Swindon, the traffic load at U is fairly steady all year round. The lowest emissions are associated with non-working days during summer. Vehicular emissions are estimated 457 to contribute an average of 6.7/5.2  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> on working/non-working days to  $F_{C}$ ; building 458 emissions are more significant at 23.7/22.6  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>. 459

Overall, the GreaterQF model captures the shape of the diurnal cycle in  $F_c$  and differences 460 461 between working days and non-working days reasonably well, in particular the fluxes on nonworking days remaining high late into the evening. The model estimates for the borough of 462 463 Westminster are slightly lower than the observations at U in winter and higher in summer. At 464 smaller scales, GreaterQF emission estimates became very spatially variable, dominated by variation 465 in non-domestic gas consumption. Emission estimates for some areas were more than twice the size 466 of the observations at U. Such spatial contrast raises the question of whether energy use inventories 467 are an appropriate method to estimate carbon fluxes at these scales. The location of individual 468 buildings, roads or neighbourhoods with respect to the boundaries used for reporting energy use, 469 traffic and population can have a major impact on the corresponding emissions estimated for that 470 area. Validation would require far more detailed information than is available at present, both 471 spatially and temporally. Considering the land cover at the London site in comparison with 472 Westminster borough, we would expect traffic emissions to contribute to the observations to a 473 greater extent than suggested by the model estimates in Fig. 10.



Fig. 10 Monthly median diurnal cycles and interquartile ranges (shaded) of the carbon dioxide flux for the three sites, separated into working days (colours) and non-working days (black). For
 London and Swindon, estimated anthropogenic emissions from building heating (dashed lines), traffic (dotted lines) and human metabolism (thin solid lines), and building heating, traffic and
 human metabolism combined (thick solid lines) are also shown (see text for details). Note London emissions are modelled for the borough of Westminster. Data from different years (2011 2013) have been combined by month here.

#### 480 4.3. Annual totals and inter-annual variability

481 Cumulative carbon fluxes indicating net carbon uptake or release (Fig. 11) were obtained by gap-482 filling with monthly median diurnal cycles (Sect. 2.2). Annual totals for the two complete years studied (2011/2012) are 12.08/13.36, 1.62/1.87 and -0.46/-0.37 kg C m<sup>-2</sup> yr<sup>-1</sup> for U, S and W, 483 respectively. (Note, as S began operating from May 2011 earlier data are all gap-filled.) Mean annual 484 totals are: 12.72, 1.75 and -0.42 kg C  $m^{-2}$  yr<sup>-1</sup> for U, S and W, respectively (based on 2011 and 2012) 485 data). The amount of carbon released at U and S was greater (by 10-15%) in 2012 compared to 2011 486 487 and the carbon uptake at W was smaller (by about 20%). Higher emissions in 2012 at U and S likely 488 result from larger heating demand: cold weather including snowfall in February, a cool and wet April 489 and a cooler autumn than normal. The timing of these differences between 2011 and 2012 can be 490 seen in Fig. 11 (most clearly for U but the difference in autumn is also visible at S during October and November).  $F_C$  is noticeably smaller for August 2012 (16 g C m<sup>-2</sup> day<sup>-1</sup>) than August 2011 (24 g C m<sup>-2</sup> 491 492 day<sup>-1</sup>) at U (see also Fig. 5a, Fig. 6), which may be partly due to reduced traffic during the London 493 Olympics. It is not possible to quantify the impact of the Olympics on the CO<sub>2</sub> emissions with this 494 dataset, as inter-annual variability can be driven by many factors, for instance August 2012 was also 495 slightly warmer than 2011. But these results demonstrate the potential for human behaviour to 496 affect emissions of  $CO_2$ , either indirectly via responses to synoptic weather conditions or due to 497 entirely anthropogenic factors. Despite the later start to the growing season in 2012, the magnitude 498 of daytime uptake at W was greater in 2012 than 2011, however, emissions from respiration were 499 also larger in 2012 than 2011 (Fig. 4c). The annual carbon uptake at W during 2011 and 2012 is comparable to, but slightly smaller than, the 1999-2010 average of -0.49 kg C m<sup>-2</sup> yr<sup>-1</sup> (Wilkinson et 500 al. 2012) and well within the range observed in previous years: -0.30 kg C m<sup>-2</sup> yr<sup>-1</sup> (2010, outbreak of 501 defoliating caterpillars) to -0.63 kg C m<sup>-2</sup> yr<sup>-1</sup> (2007, long growing season). 502

503 Järvi et al. (2012) compare various gap-filling techniques for an urban site in Helsinki, and find 504 that the gap-filling method has only a small effect (< 5%) on the estimates of annual  $CO_2$  exchange. 505 The continuous period January-April 2011 before measurements began at S needs to be considered 506 differently (Sect. 2.2), because gap-filling using data from other years can introduce bias due to 507 inter-annual variability of the fluxes. Temperatures in April 2012 were lower than April 2011 and 508 February, March and April 2013 were cooler than the same months in 2011. Gap-filling the January-509 April 2011 data with the average of the diurnal cycles for these months in 2012 and 2013 has 510 therefore likely resulted in an overestimation of  $CO_2$  release in 2011, as it is reasonable to expect the 511 heating demand was lower in 2011 compared to in April 2012 and February-April 2013. The 512 modelled emissions support this hypothesis: emissions from building heating are estimated to be 513 37% smaller in April 2011 than in April 2012 and 23% smaller in February-April 2011 than in 514 February-April 2013. Additionally, the very cool and cloudy weather in April 2012 and cool 515 temperatures in February-April 2013 are thought to have delayed photosynthetic uptake compared 516 to spring 2011 (e.g. Fig. 5c), i.e. using the average of 2012 and 2013 data for spring 2011 likely 517 underestimates uptake for this period. Again, this potential bias due to the gap-filling procedure 518 would lead to an overestimation of CO<sub>2</sub> fluxes for Jan-Apr 2011. However, the estimated annual CO<sub>2</sub> 519 release in 2011 is still smaller than for 2012. These year-to-year variations highlight the need for 520 long-term measurements to evaluate emissions inventories.

521 On an annual basis, S emits four times more carbon per unit area than is absorbed at W, despite 522 its relatively large proportion of vegetation cover. Per unit area, carbon emissions from U are thirty times larger than the uptake at the woodland site and seven times larger than emissions from the suburban site. These results can be considered in terms of the impact of land use change. In vegetated areas, deforestation followed by urbanisation can change a region from a net  $CO_2$  sink to a net  $CO_2$  source, which may emit ten or more times more  $CO_2$  than was previously being taken up. The opposite effect may be observed in arid areas, however, where urbanisation can increase the amount of vegetation relative to the surroundings (Oke et al. 1989; Seto and Shepherd 2009; Ramamurthy and Pardyjak 2011).

530 Values from the National Atmospheric Emissions Inventory (NAEI 2011) compare reasonably well 531 with the results presented here, although, contrary to EC observations of the net  $CO_2$  exchange, they do not account for biogenic processes such as ecosystem (including human) respiration and 532 533 photosynthesis. Inventory data for the four 1 km x 1 km grid squares around the locations of each EC tower were considered. NAEI total emissions for the London site amounted to 13.00 kg C m<sup>-2</sup> yr<sup>-1</sup>, 534 almost all of which is due to non-industrial combustion (63%) and road use (34%). This value is 535 similar to the observed  $F_C$  (12.72 kg C m<sup>-2</sup> yr<sup>-1</sup>) and similar to the emission estimates from the 536 GreaterQF model for Westminster (13.5 kg C m<sup>-2</sup> yr<sup>-1</sup>, or 11.3 kg C m<sup>-2</sup> yr<sup>-1</sup> if human metabolism is not 537 included). As discussed in Sect. 4.2.3, the traffic emissions from GreaterQF are thought to be an 538 underestimate for the study site. The slightly lower emission estimates from GreaterQF may also be 539 540 explained by land cover differences with the study site, as about 20% of Westminster borough is open green-space (but the borough also includes some of the busiest streets in London). 541

542 In Swindon, non-industrial combustion and road use comprise nearly all (97%) of the total NAEI value of 1.92 kg C m<sup>-2</sup> yr<sup>-1</sup> (value calculated omitting one of the four grid squares with a large 543 contribution from industrial processes which is outside the footprint of the EC system). The 544 difference between the NAEI value and observed average annual total is 0.17 kg C m<sup>-1</sup> yr<sup>-1</sup>, which is 545 mainly attributed to the influence of vegetation on  $F_C$  (uptake of -0.12 kg C m<sup>-2</sup> yr<sup>-1</sup>). The 546 contribution of vegetation to  $F_c$  was approximated based on typical annual uptake from European 547 grassland sites of -0.24 kg C m<sup>-2</sup> yr<sup>-1</sup> (Soussana et al. 2007) and uptake by trees of -0.42 kg C m<sup>-2</sup> yr<sup>-1</sup> 548 549 (from the Alice Holt observations), scaled by the proportion of grass (36%) and tree (9%) cover in the 550 study area.

551 The same comparison at Alice Holt offers little insight, as the NAEI estimates are based on processes which are largely unimportant at the woodland site. NAEI estimates vary around the EC 552 tower, from 0.0027 kg C m<sup>-2</sup> yr<sup>-1</sup> for a grid square that is almost entirely vegetated with no roads or 553 houses (note that this is most representative of the footprint of the EC system) to > 0.3 kg C m<sup>-2</sup> yr<sup>-1</sup> 554 555 when a main road is found within the grid square. The footprint of the EC system is mainly 556 concentrated over the woodland (Wilkinson et al. 2012), so the emissions from these roads are not 557 thought to impact  $F_{C}$ . However, it is remarkable that a few roads within a largely undeveloped and 558 vegetated landscape result in modelled emissions of a similar order of magnitude to the woodland 559 uptake.



Fig. 11 Cumulative carbon flux over for the two complete years (2011, 2012) within the study period (a) for all sites
 together and (b-d) for each site individually. Grey points indicate data that have been gap-filled; the legend includes the
 proportion of data available for 2011-2012.

564 A wide range of annual carbon fluxes from other urban areas around the world have been 565 observed (Table 3). Both globally and across the three study sites in southern England, decreasing 566 vegetation cover and increasing population density are associated with enhanced emissions (Fig. 12). 567 Reduced vegetation cover coincides with an increased area of buildings and roads, and therefore 568 greater associated emissions, as well as reduced capacity to take up  $CO_2$ . As the vegetation fraction of urban areas decreases, the building density increases for both the plan area and the height of 569 570 buildings, and the population density increases. Hence  $F_c$  exponentially increases as vegetation cover decreases (Fig. 12a). The relation with population density appears to be more linear (Fig. 12b) 571 572 and more scattered, as was also shown by Nordbo et al. (2012). There is considerable uncertainty 573 associated with the population estimates. As already discussed, there is huge variation in the 574 number of people in central London throughout the day, both spatially and temporally, and accurate 575 quantification is challenging. Therefore this descriptor is often not reported consistently in the 576 literature. Workday population densities according to the 2011 census are given for the London 577 studies in Table 3 and Fig. 12b; resident population densities would be much smaller and total 578 daytime population densities including tourists would be much higher (Sect. 4.2.3). The population 579 estimate given in Liu et al. (2012) is for the whole Beijing municipality and is very low for the compact, built-up nature of their study site; 10000-20000 persons km<sup>-2</sup> seems more appropriate for 580 the measurement footprint. Similarly, 3470 persons km<sup>-2</sup> (Matese et al. 2009) is a very low estimate 581 582 for the particular area around the central Florence site which has a 'very high population density', as 583 discussed in Gioli et al. (2012). Such variation in population density therefore limits its usefulness as 584 an estimator for  $F_C$ .

585 The distribution of points in Fig. 12b highlights the need for more observations in very densely 586 urbanised areas. The two studies with the largest fluxes and highest population densities took place 587 in London. The central London annual carbon release measured in this study is the largest to date, 588 corresponding to the site with the highest population density and low vegetation cover. The second 589 largest emissions are also from London, and are associated with a slightly higher vegetation fraction 590 and slightly lower population density (Helfter et al. 2011). These measurements were made at a 591 height of 190 m and so had a much larger footprint than the present study. Highly-vegetated low-592 density suburban Baltimore has the smallest  $F_C$  of the urban sites of 0.361 kg C m<sup>-2</sup> yr<sup>-1</sup> (Crawford et 593 al. 2011).

594 Given the diversity of sites in Fig. 12, the vegetation fraction is a useful proxy for approximating 595 carbon emissions from urban areas. Besides the plan area of vegetation cover, species and biomass 596 may also be relevant (Velasco et al. 2013), for example evergreens have greater potential for carbon 597 assimilation compared with deciduous trees (Falge et al. 2002). Variation in net ecosystem exchange among vegetated ecosystems is about 1 kg C m<sup>-2</sup> yr<sup>-1</sup>, ranging from small CO<sub>2</sub> release to CO<sub>2</sub> uptake 598 approaching -0.9 kg C m<sup>-2</sup> yr<sup>-1</sup> (Falge et al. 2002; Soussana et al. 2007; Thomas et al. 2011; Wilkinson 599 600 et al. 2012). This variation, however, is only about 10% of the variation in studied urban sites 601 (> 12 kg C m<sup>-2</sup> yr<sup>-1</sup>). Some studies have suggested that urbanisation reduces natural variability and 602 has a homogenising effect on ecology as a result of similar land use, land management and species selection across the globe (McKinney 2006; Groffman et al. 2014; Polsky et al. 2014). 603

604 Some of the spread within Fig. 12 can be attributed to climatic differences, for example emissions 605 in urban Montreal are relatively high given the vegetation fraction of the site due to fossil fuel 606 combustion for building heating (Bergeron and Strachan 2011), whilst the year-round warm climate 607 in Singapore means emissions from buildings are limited to combustion for cooking (Velasco et al. 608 2013). The net exchange for the Swindon, Helsinki and Singapore sites are almost identical (Table 3). 609 Swindon and Helsinki have broadly similar climates, the same amount of vegetation cover ( $P_V = 44\%$ ) 610 and similar population densities, whilst the effects of Singapore's tropical climate with no heating 611 requirement balances the smaller proportion of vegetation ( $P_V = 15\%$ ) and higher population 612 density. Contrary to findings elsewhere, summertime carbon release in semi-arid Salt Lake Valley 613 was smaller for a highly-vegetated suburban site ( $P_V = 49\%$ ) compared to the surrounding natural 614 grasslands, partially attributed to the dormancy of the grasslands during dry conditions compared to 615 irrigated suburban vegetation and abundance of non-native trees in the suburban area 616 (Ramamurthy and Pardyjak 2011).

Compared with the differences between sites, variation between measurement years is small. In 617 Beijing,  $F_C$  was found to be closely related to traffic load, ranging from 4.61 to 5.40 kg C m<sup>-2</sup> yr<sup>-1</sup> 618 619 (2006-2009). Traffic restrictions during the 2008 Olympic Games reduced the number of vehicles by 620 60% and coincided with the lowest annual emissions (Liu et al. 2012). These traffic restrictions were 621 estimated to reduce daily carbon fluxes by about 30% (Song and Wang 2012). In Helsinki, observed  $F_C$  ranges from 1.58 to 1.88 kg C m<sup>-2</sup> yr<sup>-1</sup> (2006-2010), with inter-annual variability attributed to 622 623 synoptic weather conditions (both the exceptionally warm and sunny conditions in summer 2006 624 and the influence of wind direction on flux footprint) (Järvi et al. 2012).

Differences in  $F_c$  between sites can occur due to footprint composition, particularly when strong localised sources such as industry or roads are located nearby. Annual flux estimates over a suburban lawn (Hiller et al. 2011) were adjusted by 0.13 kg C m<sup>-2</sup> yr<sup>-1</sup> to account for the impact of a nearby road (carrying approx. 10000 vehicles day<sup>-1</sup>), hence even a small area of road (small change in  $P_V$ ) can lead to appreciable differences in annual CO<sub>2</sub> exchange. The higher-than-expected net 630 exchange for Vancouver given the vegetation cover and population density (Fig. 12) is attributed to 631 the proximity of major roads to the measurement tower (Christen et al. 2011). Urban sites generally 632 consist of a complex spatial distribution of sources and sinks of CO<sub>2</sub>. Whilst the woodland site is fairly 633 homogeneous, in Swindon larger CO<sub>2</sub> release is observed from the area south-west of the tower 634 where there is a busy crossroads and greater  $CO_2$  uptake is seen to the north-east when the source area contains more gardens and large trees. Differences in CO<sub>2</sub> exchange between 90° sectors due to 635 local heterogeneity may be about 0.6 kg C m<sup>-2</sup> yr<sup>-1</sup> at S, which is of a similar magnitude as would be 636 expected from Fig. 12 for a change in  $P_V$  of  $\approx 10\%$ . The prevailing wind direction at S is south-637 638 westerly, hence  $F_C$  is expected to be biased to higher values compared with the study area as a 639 whole (Ward et al. 2013). In London,  $F_c$  tends to be higher when the source area includes a busy 640 junction to the north and smaller when the source area includes the River Thames to the south (see Kotthaus and Grimmond (2014b) for analysis of the spatial variability in energy fluxes at the central 641 642 London site). Measurements of CO<sub>2</sub> concentration within streets indicate higher variability close to the river, where the air is generally cleaner but CO<sub>2</sub> 'hotspots' occur in areas of high traffic load, 643 however, there is little spatial variation in concentrations for roads that are not adjacent to the river. 644 645 Differences in  $F_C$  related to land cover variation at each site individually are far smaller than the 646 differences between sites.



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Fig. 12 Annual net carbon exchange for the three sites in this study (London (urban), Swindon (suburban) and Alice Holt
 (woodland)) versus (a) plan area vegetation cover and (b) population density, alongside values from the literature (see
 Table 3 for references).

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**Table 3** Annual observed carbon fluxes ( $F_C$ ), vegetation cover ( $P_V$ ) and population density ( $\rho_{pop}$ ) from urban sites in the

657 literature (with measurements for at least one year). Population densities given are for <sup>a</sup> daytime, <sup>b</sup> the cities of Florence

658 (Matese et al. 2009) and Łódź (Fortuniak et al. 2013), and <sup>c</sup> the municipality of Beijing (Liu et al. 2012).

Site	$F_{C}$	$P_V$	$\rho_{pop}$	Observation period	Reference
	[kg C m <sup>-2</sup> yr <sup>-1</sup> ]	[%]	[km⁻²]		
London	12.72	5	<sup>a</sup> 31000	2011-2012	This study
London (He11)	9.67	8	° 22500	Oct 2006 – May 2008	Helfter et al. (2011)
Florence (Gi12)	8.27	≈2	<sup>b</sup> 3470	Mar 2005 – Jun 2011	Gioli et al. (2012)
Vancouver (Ch11)	6.71	35	6420	May 2008 – Apr 2010	Christen et al. (2011)
Montreal URB (BS11)	5.56	29	8400	Nov 2007 – Sep 2009	Bergeron and Strachan (2011)
Beijing (Li12)	4.90	≈15	<sup>د</sup> 1309	2006-2009	Liu et al. (2012)
Tokyo (MK04)	3.35	21	11800	May 2001 – Apr 2002	Moriwaki and Kanda (2004)
Łódź (Pa10)	2.95	38	<sup>b</sup> 9375	Jul 2006 – Aug 2008	Pawlak et al. (2010)
Melbourne (Co07)	2.32	38	2939	Feb 2004 – Jun 2005	Coutts et al. (2007)
Singapore (Ve13)	1.77	15	7491	Oct 2010 – Jun 2012	Velasco et al. (2013)
Helsinki (Ja12)	1.76	44	3262	2006-2010	Järvi et al. (2012)
Swindon	1.75	44	4700	2011-2012	This study
Montreal SUB (BS11)	1.42	50	3150	Nov 2007 – Sep 2009	Bergeron and Strachan (2011)
Baltimore (Cr11)	0.36	67	1500	2002-2006	Crawford et al. (2011)

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#### 660 **5. Conclusions**

In this paper, we examine direct observations of the carbon dioxide exchange from three very 661 662 different sites in close proximity monitored over the same multi-seasonal time period: a woodland site at Alice Holt, a suburban site in Swindon and a dense urban site in central London. These sites 663 664 are subject to the same meteorological conditions, and thus related drivers have little effect on the 665 observed differences (as they are effectively constant across the sites). Differences in the exchange of  $CO_2$  between surface and atmosphere can therefore be attributed to processes related to land 666 use. Comparison of three sites of different land cover is useful because trends begin to form when 667 668 the sites are ranked by urban density. The results shown here support previous findings on the relation between CO<sub>2</sub> fluxes and building fraction (or the close to inverse relation with vegetation 669 670 fraction), as shown in Grimmond and Christen (2012), Nordbo et al. (2012) or Weissert et al. (2014) for example. The huge anthropogenic emissions associated with cities means that variation in annual 671 672 CO<sub>2</sub> exchange among urbanised study sites is about ten times that observed among vegetated 673 ecosystems.

674 Signatures of different anthropogenic and biogenic controls were investigated at various 675 timescales. At sites with a significant proportion of (deciduous) vegetation, fluxes are very different between the summer growing season and winter. Photosynthetic uptake dominates the diurnal and 676 677 seasonal cycle at the forested Alice Holt site, but also plays a key role in the carbon cycle of 678 suburban Swindon (44% vegetated), where daily summertime net carbon emissions are small. That 679 photosynthesis is an important component of the total measured flux is illustrated by tight  $F_{C}$ -PAR 680 curves exhibited by the suburban and woodland data. Net CO<sub>2</sub> uptake is not seen in central London. 681 Here, the times of smallest CO<sub>2</sub> release are summer night times, coinciding with minimal human activity. Nocturnal emissions are largest during winter for sites where building heating is important, 682 683 whilst at the woodland site nocturnal emissions peak in summer when warm temperatures and 684 photosynthetic uptake during the day promote respiration.

685 Total observed carbon exchange for the London site is similar to NAEI values, whilst at Swindon and Alice Holt vegetative and biogenic processes (not included in the NAEI data) play a significant 686 687 role in the carbon balance. For the urban and suburban site, anthropogenic emissions were modelled at finer time resolution using the GreaterQF model and statistics of energy and vehicle use 688 689 respectively. Comparison with the observed fluxes suggests the anthropogenic emissions are 690 overestimated by this approach for Swindon, while estimates for London are highly dependent on 691 the spatial resolution of the inventory data. However the models effectively replicate the impact of 692 patterns in human behaviour on carbon exchange. Validation of the inventory approach to 693 estimating emissions at smaller scales in heterogeneous environments would require consumption 694 data at higher resolution than currently available and an experimental design whereby the spatial 695 extent of the inventory and observational data could be well matched.

696 Urban and suburban patterns of CO<sub>2</sub> fluxes at the sub-daily, weekly and seasonal cycles can be directly attributed to patterns in human behaviour. Traffic and building energy use at both sites 697 698 closely resemble the single- and double-peaked diurnal cycles observed in  $F_c$  in London and 699 Swindon, respectively, and largely explain reduced emissions on weekends compared to weekdays. 700 The major difference between CO<sub>2</sub> release on working days and non-working days illustrates the 701 potential impact that reducing anthropogenic activities (or cutting emissions by improving efficiency) 702 could have. Reductions in traffic intensity, improved energy efficiency in buildings, and preferential 703 use of electricity over combustion of fossil fuels could make a significant impact in limiting carbon 704 release from towns and cities.

The main result of this study is the order of magnitude differences in the net  $CO_2$  exchange at the three very different sites, based on direct contemporaneous observations. It has been shown that the diurnal and seasonal behaviour varies significantly with urban density. At annual timescales, these results provide an independent evaluation of inventory-based assessments. These findings demonstrate, and begin to quantify, the major role urban areas have in global  $CO_2$  emissions.

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