High prevalence of undernutrition and low dietary diversity in institutionalised elderly living in Sri Lanka

Article

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1   **Title:** High prevalence of undernutrition and low dietary diversity in institutionalised elderly living

2   in Sri Lanka

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Abstract

Objective: The study aimed to assess nutrition status, dietary diversity and lifestyle risk factors associated with undernutrition in an institutionalised Sri Lankan elderly population.

Design: The study was of cross-sectional design followed by a stratified sampling method.

Setting: Twelve homes for the elderly recruited from six provinces in Sri Lanka.

Subjects: A total of 311 institutionalised elderly age ≥60 years.

Results: The mean (SD) age of the study population was 74.4 (7.8) years. Prevalence of undernutrition was 30%. Mean (SD) food variety score, dietary diversity score and dietary serving score of the study population were 8.7 (1.5), 7.3 (1.2) and 10.9 (2.0), respectively. Mean daily intakes of fruit, vegetables, meat, fish, eggs and pulses and dairy portions were below the national recommendations, whereas the mean consumption of sugar exceeded the national recommendation. Only the mean intake of starch was within the recommendation. Food allergies (OR=8.0; 95% CI 3.9, 16.2), skipping meals (OR=3.8; 95% CI 2.0, 7.5) and lack of leisure activities (OR=3.1; 95% CI 1.5, 6.7) significantly increased the risk of undernutrition, whereas the use of dentures decreased the risk (OR=0.20; 95% CI 0.06, 0.69).

Conclusions: High prevalence of undernutrition and low dietary diversity were observed in an institutionalised elderly Sri Lankan population. Therefore, there is an urgent need to implement nutrition interventions as part of geriatric care to reduce undernutrition and improve the diets of the institutionalised elderly population in Sri Lanka.

Introduction

Globally, prevalence of the elderly population (>60 years) has gradually increased over the past few decades. In Sri Lanka it accounts for 12.3% of the total population[1], which is the fastest growing elderly population in South East Asia[2, 3]. It is well-documented that worldwide there is a higher prevalence of malnutrition in the institutionalised elderly compared with the free-living elderly[4-7]. Existing literature suggests that nutritional status has a major impact on the health and functional status of older individuals. Furthermore, biological, physiological, social and psychological changes often accompanied with aging along with increased prevalence of morbidities enhance the susceptibility of the elderly to be malnourished[8]. The aetiology of malnutrition is multifactorial in older people. Evidence indicates that the elderly are at a risk of nutritional deficiencies due to changes in body composition, the gastrointestinal tract, sensory function, and fluid and electrolyte regulation, and from chronic illness, medication, hospitalisation and psychosocial factors, such as financial restrictions, social isolation, widowhood and bereavement, food anxieties and decreasing independence[9]. Nutritional status is a key determinant of quality of life, morbidity and mortality of older people.

In Sri Lanka, pre and primary school children, adolescents and pregnant mothers are recognised as nutritionally vulnerable populations. Sri Lanka and other counties are currently experiencing an increasing aging population as a result of declining fertility and mortality rates. Siddhisena reported that during the last two decades the number of institutionalised older people has risen considerably suggesting a growing demand for care[10]. Furthermore, recent studies performed in India and Malaysia showed a higher prevalence of malnutrition in the institutionalised elderly[4, 11]. It is evident that the elderly, particularly those who are institutionalised, require specific attention and should be a priority in public health nutrition intervention programmes. Thus, the prevalence and risk factors associated with undernutrition in different elderly populations needs to be investigated to determine suitable nutritional therapies to combat undernutrition in these population groups. The study aim was to assess nutrition status, dietary diversity and lifestyle risk factors associated with undernutrition in an institutionalised elderly population from Sri Lanka.

Methods

Study design and participants

This study was conducted with a cross-sectional design followed by a stratified sampling method. Ethical clearance was obtained from the Ethical Review Committee of the Sri Lanka Medical Association (ERC/13-037). The study aimed to recruit 300 elderly men and women, with an expected prevalence of 20%, with a power of 95% and a 5% significance level, which included a drop-out rate of 10%[12]. A total of 311 older adults (age ≥60 years) were recruited from 12 homes

for the elderly from six provinces, which were considered as primary units, in Sri Lanka. The six provinces were Western, North Western, Sabaragamuwa, Central, Northern and Eastern province. A list of all registered elders' homes in each province were obtained from the relevant provincial social services departments and from this list two elderly homes were randomly selected as secondary units. Subjects were purposively included who could communicate, did not have any cognitive impairment and were able to carry out a face-to-face interview for approximately 40 minutes. The selected elderly homes were initially contacted via telephone by the study team who explained the study and verbal consent was taken. Elderly homes were randomly visited (including both week and weekend days) to minimize data bias. All subjects provided written informed consent prior to data collection. An interviewer-administered questionnaire was used to collect information regarding socio-demographic status (age, gender, level of education and financial status), physiological factors (appetite loss, tooth loss, use of dentures and vision impairment), psychological factors (presence of depression as defined by lack of interest or pleasure in life, lack of engagement with friends, caregivers and day to day activities, low mood and frequent negative thoughts), lifestyle factors (ability to move, leisure activities, usage of medication and betel chewing) and dietary risk factors (skipping meals, ability to eat and food allergy). The presence of food allergy was self-reported and not necessarily clinically diagnosed.

Anthropometry

Anthropometric measurements, including height, body weight, mid upper arm circumference (MUAC) and calf circumference (CC), were obtained by trained investigators using standard equipment according to standard guidelines[13]. Participants were asked to remove jackets, shoes, and jewellery and empty their pockets, before body weight was measured using a calibrated electronic scale (Seca 813, Hamburg, Germany), accurate to the nearest 0.1 kg, which was placed on an even concrete floor. Height was measured to the nearest 0.1 cm with an upright plastic portable stadiometer (Invicta Plastics-Model IP0955, Leicester, UK) while the subject was in a standing position without footwear. BMI was calculated as weight divided by height^2 (kg/m^2). According to the WHO cut-off values for Asians, a BMI of ≤ 18.5 kg/m^2 is considered a marker of undernutrition[14]. A non-stretchable measuring tape was used to assess the MUAC, which was taken at the midpoint between the acromial process of the scapula and olecranal process of the elbow of the non-dominant arm when the forearm was hanging relaxed at the side. The two categories of MUAC were <21 cm and ≥ 21 cm, with <21 cm being an indicator of undernutrition[15]. CC, considered the most sensitive measure of muscle mass in the elderly[16], was measured with the subject sitting in a chair with the knee and corresponding ankle kept bent to a 90° angle. The researcher knelt beside the lateral side of the left calf and a loop of measuring tape was placed

around the calf. When the largest circumference was located, by moving the loop up and down the calf, the tape was pulled snugly around the calf. This measurement was recorded to the nearest 0.1 cm and two consecutive measurements were taken and the mean circumference recorded[15]. As categorised in the mini-nutritional assessment tool (MNA)[17], the two categories of CC were set as: 1) <31 cm and 2) 31 or >31 cm.

Dietary diversity

A single 24-h dietary recall was used to assess dietary intake. The recalls were taken on random days (week or weekend day) by a trained researcher to minimise day-to-day variation. All foods and drinks consumed on the previous day were obtained in direct chronological order by following the standard protocol of multiple-pass recalling technique[18]. In addition, a detailed description of the foods, cooking method and brand names of some foods, such as milk and processed foods, were recorded. Food intake was obtained using standard household measures, such as coconut spoons, tablespoons, teaspoons, bowls, glasses and teacups. To assist the subjects in accurately reporting the intake of foods, a series of food portion size photographs and food models prepared by the researcher were shown. For each subject, all food and drink items consumed were assigned to one of the major food groups as described in Sri Lankan food-based dietary guidelines[19]. Those food groups were: 1) cereal or equivalents (starchy foods), 2) vegetables, 3) fruits, 4) meat, fish, eggs and pulses, 5) dairy and 6) added sugars. The quantity of household measures for one serving of food in the selected food groups were obtained as defined by Jayawardena *et al*[20]. For each food group, the quantity of household measures of food consumed was divided by the quantity of household measure for one serving and summed to derive the total number of servings consumed. Composite food dishes were disaggregated into its ingredients and those ingredients were assigned to the appropriate food groups for calculating the number of servings. The dietary diversity of the study population was assessed by a food variety score (FVS; a simple count of food items consumed), dietary diversity score (DDS; a simple count of food groups consumed out of 12 groups considered) and dietary serving score (DSS; number of portions of different food groups in conformity with dietary guidelines of Sri Lanka)[21].

Data analysis

Data were analysed using SPSS version 21 (SPSS Inc. Chicago, IL, USA). In all analyses, a P-value <0.05 was considered as statistically significant. Both univariable and multivariable logistic regression analyses were performed to determine the risk factors associated with undernutrition. Data presented represent mean (standard deviation; SD), unless otherwise specified.

Results

The study sample consisted of 45% men (n=140) and 55% women (n=171). The mean (SD) age of the study population was 74.4 (7.8) years. The socio-demographic and anthropometric characteristics of the study population are shown in Table 1. The mean (SD) BMI, MUAC and CC of the subjects were 21.5 (4.8) kg/m^2, 23.4 (3.5) cm and 28.3 (3.7) cm, respectively. The prevalence of BMI <18.5 kg/m^2 to determine undernutrition was 30%. Prevalence of MUAC < 21 cm and CC < 31 cm was 23% and 73%, respectively (Table 2).

Mean (SD) FVS, DDS and DSS of the study population were 8.7 (1.5), 7.3 (1.2) and 10.9 (2.0), respectively, with similar mean values in men and women, respectively, for FVS (8.8 (1.5) and 8.5 (1.5)), DDS (7.4 (1.1) and 7.2 (1.2)) and DSS (10.8 (1.9) and 11.0 (2.1)). Undernourished subjects had a lower median DSS compared with nourished counterparts. The nourished elderly had maximum of 16 DSS, whereas in the undernourished elderly it was approximately 13.

Mean daily intakes of fruit, vegetable, meat, fish, eggs and pulses and dairy were below the national recommendations for a Sri Lankan population (Table 3), whereas the mean consumption of sugar appeared to exceed the national recommendation. Only the mean intake of starch was within the recommendations (Table 3).

Food allergies, skipping meals and lack of leisure activities significantly increased the risk of undernutrition, whereas the use of dentures decreased the risk in both univariable and multivariable logistic regression analyses (Table 4).

Discussion

Numerous risk factors for undernutrition among the elderly have been identified, with many studies performed in developed countries where the ageing population is escalating. However, there is an urgent need for these risk factors to be pre-tested and modified if required for application in Asian populations since there are apparent differences in anthropometric indicators, lifestyle and food patterns among different ethnic groups. Both the risk factors and prevalence of undernutrition among institutionalised elderly people in Sri Lanka remain poorly understood.

In the present study, the institutions studied were non-paying homes for the elderly. The majority were managed by religious and non-government organisations, philanthropic families or trusts, whilst others were financially assisted by the Ministry of Social Services of Sri Lanka. The food offered to the residents was prepared by the institution, with the exception of food provided by visitors on special occasions. The majority of the institutions had set menus for three daily meals, which were not prepared with nutrition requirements or dietary diversity considerations. The variety of foods offered on the menus was dependent on the financial resources of the institution. The current study demonstrated that undernutrition is common among a representative sample of

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institutionalised elderly residents across Sri Lanka. Using BMI as a useful approximate anthropometric indicator to determine undernutrition in elderly[22], nearly one-third (30%) of the studied residents were identified as being undernourished, with a similar prevalence among men (28.6%) and women (31.6%). According to the WHO cut-offs[15], 23% of the institutionalised elderly in this study had a lower MUAC than recommended if sufficiently nourished, which suggests declining muscle mass and fat stores. Sarcopenia, which is the decline in muscle mass, is a major physiological condition that is predominantly found with increasing aging. Although the aetiology of sarcopenia remains unclear, physical inactivity, inadequate dietary protein and/or impaired protein utilisation have been identified as indirect factors that contribute to declining muscle mass[23]. In this study group, protein-rich food consumption was below the national recommendations. Additionally, based on the cut-off defined from the MNA tool[17], 74% had CC of ≤31cm. Previous studies identified CC as a potential marker of physical function, which provides valuable information on muscle-related disability and physical function[16]. High prevalence of low CC showed weakness in leg strength and physical function in this population.

Dietary diversity, used as a simple tool to identify those at risk of food and nutrition insecurity[24, 25] and as a marker of population micronutrient status[21], was assessed using three measures: FVS, DDS and DSS. A recent study conducted in Sri Lanka demonstrated that FVS, DDS and DSS were useful proxy indicators of nutrient adequacy of elderly people[26]. Macro- and micronutrient deficiencies are public health concerns in Sri Lanka due to the monotonous, cereal-based diets that lack diversity. Several studies have shown that the overall nutritional quality as well as nutrient adequacy of the diet is improved with a diverse diet[27-30]. DDS is a major element of a high quality diet as it represents the variety of the diet. In the current study, none of the dietary diversity measures of the study sample met the optimum level (DDS was approximately 7 out of 12 food groups considered) suggesting that both the quality and quantity of their diets were poor. Only cereal consumption was within the recommendations. Noticeably, consumption of fruits, vegetables, dairy and animal foods were substantially lower than the minimum national recommendations. Since the quality and quantity of the diet is inadequate, there is a higher tendency of developing both macro- and micronutrient deficiencies among the study sample. When comparing the dietary diversity indicators (FVS, DDS and DSS) of undernourished and nourished elderly people, there was no significant difference in terms of FVS and DDS. However, as expected, the DSS of the undernourished elderly was less than that of nourished elderly. Although the number of different food groups consumed by both the undernourished and nourished elders was similar, the diversity of different food groups consumed by the undernourished elderly was less than that of the nourished elderly. Moreover, consumption of fruits, both fruits and vegetables, meat, fish and egg portions per day were relatively low among the undernourished elderly than the nourished elderly.

The nutrition and behaviour risk factors associated with the prevalence of undernutrition in the institutionalised elderly from this study were in line with those of previous studies[31-33]. The elderly who experienced a loss of appetite were 2.2 times more likely to be undernourished than those who retained their appetite. There are many mechanisms by which appetite loss might confer an increased risk of undernutrition among the elderly. Studies have reported that with increasing age, the ability to visualise, smell and taste foods decreases[34, 35] and there are distinct changes in gastrointestinal function, which likely reduces motility rates and disrupts digestion or absorption[9, 36, 37]. All of these age-related changes are associated with an increase in the satiety hormone cholecystokinin and a reduced feeding drive, both of which result in a loss of appetite[38]. Supportive of previous literature[33], the present study observed that skipping meals was significantly associated with a 3.8 times higher OR of being undernourished. Having food allergies was also identified as a risk factor for undernutrition having an OR of 8.0. The association of undernutrition in the elderly with psychological factors is of growing interest. Although multivariate analysis did not show a significant effect, the possibility that symptoms of depression may exist. Symptoms of depression (a lack of interest and pleasure in life) was associated with a 2.6 fold higher risk of undernutrition in univariable analysis, which supports previous work[31]. Loneliness is associated with negative factors, including boredom, restlessness and unhappiness, and ultimately leads to a decrease in food intake. Results of the present study indicate that a lack of leisure activities was associated with 3.2 times higher risk for undernutrition, which is comparable with previous findings[39]. Indeed, we found that the use of dentures was associated with less undernutrition in elderly. In line with our findings, a recent study reported a positive association between both tooth loss and denture status and being underweight in the older elderly from Sri Lanka[32].

The strength of this study was its diversity of homes for elders in Sri Lanka, representing six out of the nine provinces in the country. Although a single day food record cannot be used to accurately evaluate an individual's habitual dietary intake, 24-h dietary recalls provides an estimate of the nutrition status of the elderly population studied. Indeed, there may be biases when taking 24-h dietary recalls from the elders because of their memory lapses, however, in the current study, , visual recognition aids were used, as well as commonly used household measures to minimise the recall biases[40]. Oil and fats were not estimated in this study. Coconut fat is the major contributor of dietary fat in Sri Lankan diets, and since coconut oil, coconut milk and/or scraped coconuts are added into composite dishes, this would have led to methodological challenges in obtaining accurate intakes of fats and oils[41]. The MNA is one of the most widely used tools for assessing malnutrition in the elderly but has limitations in its application for non-Western and non-Caucasian populations[42]. Therefore, the modification of nutrition assessment tools according to different

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273 populations is recommended to effectively assess the nutrition status of the elderly in both the
274 developed and developing countries.
275
276 **Conclusion**
277 The prevalence of undernutrition, as determined by BMI, in the institutionalised elderly in Sri
278 Lanka was high, and both the quantity and quality of diets failed to meet national recommendations.
279 Food allergies, skipping meals and lack of leisure activities all significantly contributed to
280 undernutrition. This high degree of undernutrition identified in the current study emphasises the
281 need for the development of a tool specific to the Sri Lankan elderly population to detect the
282 emerging nutrition problems and to allow a timely intervention to prevent the occurrence of severe
283 undernutrition in this vulnerable group.

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Table 1 Socio-demographic characteristics of the institutionalised elderly Sri Lankan population

Characteristic	All % (n)	Men % (n)	Women % (n)
Gender	100 (311)	45 (140)	55 (171)
Age			
60-69 years	28 (87)	14 (44)	14 (43)
70-79 years	44 (137)	17 (53)	27 (84)
>80 years	28 (87)	14 (43)	14 (44)
Level of education			
No schooling	32 (99)	9 (29)	23 (70)
Up to Grade 5	31 (96)	16 (50)	15 (46)
Up to Grade 10	31 (97)	17 (52)	14 (45)
G.C.E. O/L and above	6 (19)	3 (9)	3 (10)
Financial assistance			
Yes	20 (63)	9 (30)	11 (33)
No	80 (248)	35 (110)	45 (138)
Number of years a resident			
1- 5 years	66 (204)	31 (96)	35 (108)
6-10 years	24 (74)	10 (32)	14 (42)
> 10 years	10 (33)	4 (12)	6 (21)
Mobility			
Active (walk actively)	52 (159)	25 (75)	27 (84)
Less active (walk using aids)	41 (129)	17 (53)	24 (76)
Inactive (walk with assistance)	7 (23)	4 (12)	3 (11)
Presence of chronic diseases			
Yes	59 (182)	22 (68)	37 (114)
No	41 (129)	23 (72)	18 (57)
Presence of acute illness			
Yes	19 (59)	11 (33)	8 (26)
No	81 (252)	34 (107)	47 (145)
Use of medication			
Yes	66 (206)	26 (82)	40 (124)
No	34 (105)	19 (58)	15 (47)
Betel chewing			
Yes	37 (115)	21 (64)	16 (51)
No	63 (196)	24 (76)	39 (120)

Table 2 Anthropometric characteristics of the study population

Factor	Mean	SD	% (n)
BMI (kg/m^2)	**21.5**	**4.8**	-
<18.5	16.6	1.4	**30** (94)
≥18.5	23.6	4.1	70 (217)
MUAC (cm)	**23.4**	**3.5**	-
<21	19.4	1.3	**23** (72)
≥21	24.6	3.0	77 (239)
CC (cm)	**28.3**	**3.7**	-
<31	26.7	2.7	**74** (229)
≥31	32.8	2.1	26 (82)

CC, calf circumference; MUAC, mid-upper arm circumference

Table 3 Comparison of mean food intake by food groups of the study population with national Sri Lankan dietary recommendations

Food Groups	Mean intake (portions/d)	National recommendation (portions/d)[*]
Cereal or equivalents (starchy foods)	8.24	6-11
Fruits	0.46	2-3
Vegetables	1.49	3-5
Fruits & vegetables	1.95	≥ 5
Meat, fish, eggs and pulses	1.56	3-4
Dairy (milk and/or milk products)	0.55	1-2
Added sugar	3.71	Sparingly

[*]Food-based dietary guidelines for Sri Lankans[19]

Table 4 Factors associated with undernutrition in elderly

Factors	Univariable analysis		Multivariable analysis		
	OR	P value	OR	P value	95% CI[a]
Skipping meals	6.08	<0.0001	**3.84**	**<0.0001**	**1.971, 7.500**
Food allergies	10.16	<0.001	**8.01**	**<0.0001**	**3.948, 16.236**
Loss of appetite	2.19	0.002	1.55	0.167	0.833, 2.876
Lack of leisure activities	3.78	<0.0001	**3.19**	**0.002**	**1.517, 6.703**
Lack of pleasure or interest (Symptoms of depression)	2.61	<0.0001	1.19	0.592	0.634, 2.222
Wearing dentures	0.28	0.019	**0.20**	**0.011**	**0.057, 0.694**

[*] CI, P values and OR were obtained from corresponding multivariable binary logistic regression analysis. Significant values in multivariable binary logistic regression analysis are given in bold type.