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Accepted Version

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Catarino, R., Ceddia, G., Areal, F., Parisey, N. and Park, J.
ORCID: <https://orcid.org/0000-0002-3430-9052> (2016)
Managing maize under pest species competition: is Bt
(*Bacillus thuringiensis*) maize the solution? *Ecosphere*, 7 (6).
e01340. ISSN 2150-8925 doi:
<https://doi.org/10.1002/ecs2.1340> Available at
<https://centaur.reading.ac.uk/43137/>

It is advisable to refer to the publisher's version if you intend to cite from the work. See [Guidance on citing](#).

To link to this article DOI: <http://dx.doi.org/10.1002/ecs2.1340>

Publisher: Ecological Society of America

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3 **Managing maize under pest species competition: Is *Bt* (*Bacillus thuringiensis*)**
4 **maize the solution?**

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1 **Abstract**

2 Transgenic crops that contain Cry genes from *Bacillus thuringiensis* (*Bt*) have been
3 adopted by farmers over the last 17 years. Unlike traditional broad spectrum chemical
4 insecticides, *Bt's* toxicity spectrum is relatively narrow and selective, which may indirectly
5 benefit secondary insects that may become important pests. The economic damage caused by
6 the rise of secondary pests could offset some or all of the benefits associated with the use of
7 *Bt* varieties. We develop a bioeconomic model to analyze the interactions between primary
8 and secondary insect populations and the impact of different management options on
9 insecticide use and economic impact over time. Results indicate that some of the benefits
10 associated with the adoption of genetically engineered insect resistant crops may be eroded
11 when taking into account ecological dynamics. It is suggested that secondary pests could
12 easily become key insect pests requiring additional measures - such as insecticide
13 applications or stacked traits – to keep their populations under the economic threshold.

14
15 **Keywords**

16 *Bacillus thuringiensis*; *Bt* maize; Dynamic optimization; Insecticide use; Pest management;
17 Population dynamics; Secondary pest

18

19

1 **1. Introduction**

2 In 1996, the first generation of genetically engineered insect resistant (GEIR) crops
3 expressing toxins (crystalline (Cry) proteins) from the soil bacterium *Bacillus thuringiensis*
4 (*Bt*) were made commercially available. Since then they have been used worldwide for
5 controlling insect pests of major crops such as maize and cotton (James 2013). So far, the
6 benefits of commercialized GEIR crops have exceeded expectations (Carrière et al. 2010). It
7 is now broadly accepted that any eventual detrimental impact on non-target organisms (NTO)
8 is lower for *Bt* crops than for conventional crops requiring broad-spectrum insecticides
9 (Cattaneo et al. 2006). There is evidence from the use of a number of environmental impact
10 indicators that GEIR crops have reduced (or at least have not increased) the impacts of
11 agriculture on biodiversity through selective targeting and associated reductions in the use of
12 broad-spectrum insecticides (Carpenter 2010, Areal and Riesgo 2015). Furthermore, the
13 economic benefit of *Bt* crops associated with the regional suppression of specific pest
14 populations appear to be significant (Gomez-Barbero et al. 2008, Carpenter 2010, Hutchison
15 et al. 2010, Areal et al. 2013). The damage caused by stalk-boring feeding insects, such as the
16 European corn borer (ECB) [*Ostrinia nubilalis* (Hübner) (Lepidoptera: Crambidae)], is
17 enough to cause a significant reduction in maize yields (Malvar et al. 1993, Bohn et al. 1999).
18 Hutchison et al. (2010) estimated the cumulative benefits of controlling ECB with *Bt* maize
19 over the last 14 years at \$6.8 billion for maize growers in the US Midwest, with more than
20 60% of this total accruing to non-*Bt* maize growers. On the other hand, in European countries
21 where *Bt* maize has still not been employed, yield losses without control may reach 30% in
22 areas highly infested with stalk-borer feeding insects (Meissle et al. 2010). According to Park
23 et al. (2011) this represents a loss to farmers of between 157 million and 334 million Euros
24 each year.

1 Despite its wide adoption, the sustainability of *Bt* crops is still a controversial topic
2 among the scientific community. Two concerns are usually raised: i) ecological shifts may
3 take several years to manifest (Ho et al. 2009), hence, the long term ecological interactions
4 around GEIR crops are important to understand (Snow et al. 2005) and ii) the impacts of *Bt*
5 crops on NTOs in field conditions may not reflect the results obtained in laboratory studies
6 (Andow et al. 2006, Lövei et al. 2009). In particular, researchers have predicted that NTOs
7 could appear in such numbers that they may become key secondary insect pests¹ in *Bt* crop
8 fields (Andow and Zwahlen 2006). Citing Harper (1991, p.22), “ignoring secondary pests can
9 lead to devastating crop damage that may continue over a considerable period of time”. Such
10 occurrence of secondary pests would require additional spraying with conventional broad-
11 spectrum insecticides, which may erode (at least) some of the benefits of GEIR crop
12 technology (Pemsl et al. 2011). (Harper 1991)

13 We develop a bioeconomic model to evaluate the impact of a number of pest
14 management options on primary and secondary pest populations, as well as on insecticide use
15 and related economic outcomes. We use a production function based on a system of two first
16 order differential equations that represent the ecological interactions of the primary and
17 secondary pests with the pest management practices. As far as we are aware, such an
18 approach has not yet been considered in the literature. The model takes into consideration the
19 dynamics of two surrogate pest species, the Mediterranean corn borer (MCB) [*Sesamia*
20 *nonagrioides* (Lefebvre) (Lepidoptera: Noctuidae)], a target pest, and the true armyworm

¹A secondary pest is a "non-targeted" pest that has historically posed a small or no economic threat, but which could be directly or indirectly affected by changes in insecticide use patterns, such as those caused by *Bt* cropping, associated with the management of a primary pest (FIFRA Scientific Advisory Panel 1998).

1 (TAW), [*Mythimna (Pseudaletia) unipuncta* (Haworth) (Lepidoptera: Noctuidae)], a
2 secondary pest. Their effects on the production function are used to predict pest control
3 decisions. Optimal insecticide applications under deterministic conditions are calculated
4 through a Differential Evolution dynamic nonlinear optimization technique² (Storn and Price
5 1997, Mullen et al. 2011). Furthermore, numerical simulations of various scenarios arising
6 from different hypotheses are developed and analyzed. In particular, this focuses on farmers'
7 net returns due to the changes in insecticide use and the development of secondary pests on
8 *Bt* maize. We conclude by considering the management implications of the results as well as
9 suggesting future research directions.

10

11 **2. Secondary pest outbreaks in the context of GEIR crops**

12 *Bt* toxins have a narrow efficacy spectrum aimed at controlling only the target pest.
13 This offers a safe environment for the development of non-target pests (Sharma and Ortiz
14 2000, Lu et al. 2010), which may lead to crop damage (Sharma and Ortiz 2000, Wu and Guo
15 2005). Depending on the magnitude of the impact, the adoption of *Bt* crops might convey

² Differential Evolution (DE) is a simple yet powerful global optimization method which belongs to the class of Evolutionary Algorithms (EA) (Storn and Price 1997, Price 1999). This metaheuristic method attempts to find the optimum of the problem by iteratively refining the candidate solution with respect to the objective function (function to be optimized) value (Storn and Price 1997, Price et al. 2005). Due to its convergence speed, accuracy, and robustness, it is often preferred to other optimization methods (e.g. genetic algorithm and evolutionary programming) in order to solve real-world problems over continuous domains (Vesterstrom and Thomsen 2004).

1 unexpected negative effects on agricultural ecosystem interactions and consequently on farm
2 profits (Wolfenbarger and Phifer 2000, Catarino et al. 2015).

3 In the context of GEIR crops, three main causes may trigger an outbreak of secondary
4 pest species: i) a reduction in broad-spectrum insecticide applications (Lu et al. 2010, Pemsl
5 et al. 2011, Stone 2011); ii) a sufficient reduction in pests' natural enemies (Naranjo 2005b,
6 a, Marvier et al. 2007); or iii) a decrease in inter-specific competition with the lowering of
7 target pest numbers (Catangui and Berg 2006, Dorhout and Rice 2010, Virla et al. 2010).
8 These causes are not necessarily independent.

9 It is postulated that whatever the cause of the rise in secondary pest numbers,
10 insecticide spraying would be the only immediate solution at a farmers' disposal. The most
11 notorious case concerns sap-feeding bugs on *Bt* cotton plants in China. Presently, in order to
12 control these secondary pests, Chinese *Bt* cotton farmers are applying about 20 sprayings per
13 season (for more details see Lu et al. 2010, Pemsl et al. 2011). Such application rates are
14 similar to those before *Bt* cotton adoption when insecticides were used mainly to control
15 cotton bollworm (Wu and Guo 2005). Insecticide spraying on *Bt* crops may convey
16 ecological disturbances with knock-on consequences, such as the destruction of the primary
17 and/or secondary pest's natural enemies' complex. Hence, if non-susceptible secondary pest
18 populations exceed economic thresholds, the sustainability of *Bt* technology may be put in
19 jeopardy. The bioeconomic model developed in the following section demonstrates the
20 interaction and economic impact of such an event.

21

22 **3. Methods**

23 3.1 Study context

24 Although several other events are under evaluation by the European Food Safety
25 Authority (EFSA), the only *Bt* maize currently allowed for cultivation in Europe contains the

1 transformation event MON810 (Monsanto Company), expressing Cry1Ab *Bt* toxin (EFSA
2 2010). This transgenic maize presents a high level of resistance to its primary pests – the two
3 main maize borers present in the EU, the MCB and the ECB (González-Núñez et al. 2000).
4 From the total of 441,000 hectares of maize cropped in Spain in 2013 (MAGRAMA 2013),
5 about 1/3 was devoted to *Bt* maize. This makes Spain the largest European adopter, growing
6 94% of the total *Bt* maize hectareage in the EU (James 2013). *Ex post* economic analysis on
7 the performance of *Bt* maize shows that Spanish adopters have obtained higher yields, higher
8 gross margins and better quality of harvested product, along with a significant decrease in
9 insecticide applications compared with conventional farmers (Gomez-Barbero et al. 2008,
10 Riesgo et al. 2012). In this region, two other Lepidoptera, the TAW, and the corn earworm,
11 *Helicoverpa armigera* (Hübner), are considered to be important secondary pests causing
12 occasional but severe damage to maize (Eizaguirre et al. 2010, Pérez-Hedo et al. 2012).
13 While under normal conditions the MCB tends to outcompete the TAW (Eizaguirre et al.
14 (2009), it has been suggested that the increase of transgenic maize could affect the population
15 dynamics of these secondary Lepidopteran pests due to the high efficiency of *Bt* maize
16 against its target pests (López et al. 2000, López et al. 2008, Eizaguirre et al. 2010). This
17 would arise if TAW takes advantage of the absence of the major corn borers (Eizaguirre et al.
18 2010). These species are representative of the problem of secondary pests explored in this
19 paper, as both species compete for the same food resource – maize – and the MCB, although
20 biologically stronger than the TAW, is efficiently controlled by *Bt* maize.

21

22 3.2 Mediterranean corn borer

23 The MCB, is here used as an example of a primary pest due to its historical importance
24 and present susceptibility – 99% – to the Cry1Ab toxin (González-Núñez et al. 2000, Farinós
25 et al. 2011). The MCB is a cosmopolitan multivoltine species with a wide range of host

1 plants, including maize (Kfir et al. 2002, Eizaguirre and Fantinou 2012). It is considered to be
2 the most important maize production pest in Spain and in other countries around the
3 Mediterranean basin (Cordero et al. 1998, Malvar et al. 2002). Since maize production areas
4 have increased in these areas during the past, the pest has consequently expanded (Eizaguirre
5 and Fantinou 2012). Larvae cause damage by tunneling into stems or the ear until pupation,
6 weakening the plants and consequently reducing yield (Malvar et al. 1993). Economic losses
7 accrued to MCB in Spain have not been fully quantified, since the injury is undistinguishable
8 from that caused by ECB (Eizaguirre and Fantinou 2012). The damage caused by MCB can
9 reach 30% of the maize yield depending on the date of sowing and on the plant development
10 stage when attacked (Butrón et al. 1999, Malvar et al. 2004, Velasco et al. 2004, Butrón et al.
11 2009). The effect of photoperiod and temperature on MCB diapause induction and
12 development has been extensively studied (e.g. Eizaguirre et al. 1994, Fantinou et al. 1995).
13 In Spain, this species usually achieves two complete generations and one incomplete
14 generation per year (Eizaguirre et al. 2002, Eizaguirre et al. 2008). According to Gillyboeuf
15 et al. (1994), only about 5 to 25% of the overwintering larvae survive to pupate in spring,
16 with the minimum threshold temperature for the pest being around 10°C (Eizaguirre et al.
17 2008).

18 In conventional maize cropping, MCB control through the use of insecticides is only
19 moderately effective since larval development occurs mainly inside the stalk (Albajes et al.
20 2002). Depending on application timing, Clark et al. (2000) report an efficacy of between 67
21 and 80%. Natural enemies – generalist ground dwelling predators such as ground beetles,
22 spiders, *T. busseolae* (Hymenoptera: Scelionidae), and parasitoides – of *S. nonagrioides* play
23 an important role in the control of this pest (Alexandri and Tsitsipis 1990, Eizaguirre and
24 Pons 2003, Farinós et al. 2008). Predation pressure comes mainly from egg parasitoides

1 which may be responsible for up to 65% egg mortality depending on natural environmental
2 conditions (Alexandri and Tsitsipis 1990, Figueiredo and Araujo 1996, Monetti et al. 2003).

3

4 3.3 True Armyworm

5 The TAW is an important cosmopolitan secondary pest of the Noctuidae family in
6 Europe and North America (Bues et al. 1986, McNeil 1987). It is an invasive species that was
7 first noticed in Europe in the 19th century (Bues et al. 1986). The TAW feeds on the leaves of
8 several non-agricultural and cultivated gramineous plants, including maize (Guppy 1961).
9 Sporadic outbreaks, with large numbers of larvae marching across the landscape, can have
10 devastating economic impacts (McNeil 1987). In Europe, it is more prevalent in the
11 Mediterranean basin due to the larvae's low ability to survive prolonged temperatures below
12 freezing (Bues et al. 1987). In Spanish climatic conditions this species typically completes 4
13 generations (López et al. 2000). Despite conducive climatic conditions and their high
14 capacity for mobility, the inconsistency of TAW prevalence is related to a combination of
15 two other factors. Firstly, the existence of natural enemies, and secondly, the implementation
16 of tillage practices and regular weed control (Willson and Eislely 1992, Clark et al. 1994).
17 Contrary to MCB, this species is highly susceptible to natural enemies, Menalled et al. (1999)
18 note an 80% mortality on field experiments. It is not uncommon to observe parasitism and
19 other sorts of predation at rates capable of maintaining the population at endemic levels
20 (Guppy 1967, Kaya 1985, Laub and Luna 1992). Although the devastating effects of
21 armyworm larvae have been commonly documented, the impact on maize yields specifically
22 is not clear due to the erratic nature of outbreaks (Douglas et al. 1981, Hill and Atkins 1982,
23 Buntin 1986). Musick (1973) reported that six larvae were enough to destroy one plant, while
24 Harrison et al. (1980) noted that an infestation level of one larva per plant was sufficient to
25 cause a significant yield impact.

1 Several studies have evaluated the efficacy of different *Bt* maize transgenic lines
2 against the TAW, reporting substantial plant damage on the varieties assessed (e.g. Pilcher et
3 al. 1997, Schaafsma et al. 2007, Eizaguirre et al. 2010, González-Cabrera et al. 2013, Pérez-
4 Hedo et al. 2013). Eizaguirre et al. (2010) found no difference in the number of TAW larvae
5 per plant between *Bt* and isogenic varieties in the majority of field trials. Pérez-Hedo et al.
6 (2013) noted that larvae complete their development, presenting similar growth rates,
7 regardless of whether they are fed on a *Bt* or non-*Bt* diet. In laboratory experiments
8 González-Cabrera et al. (2013) found TAW survival rates of approximately 80% when fed on
9 a diet of *Bt* maize Cry1Ab. It is therefore possible that the increasing use of transgenic maize
10 expressing Cry1Ab toxin might amplify TAW's economic importance (Eizaguirre et al.
11 2010).

12

13 3.4 Bioeconomic model

14 Following Lichtenberg and Zilberman (1986)³, we designed a bioeconomic model
15 where pest interactions are incorporated into a production function. The damage-abating role
16 of insecticide is taken into account explicitly in the production function through an
17 asymmetric treatment of "productive" inputs (z) and "damage-abating" insecticide (x): $y =$
18 $F(x, D(z))$. $D(x)$ is the so called damage-abatement function, representing the role of
19 insecticide in the model, which do not have the potential to increase the output but indirectly
20 mitigate yield loss through pest elimination. The effect of pest impact on the output is based
21 on the Lotka-Volterra model which defines the population dynamics of two species
22 competing for the same resource. Although the Lichtenberg and Zilberman (1986) damage

³ For a detailed review on the Lichtenberg and Zilberman (1986) damage control approach see Sexton et al. (2007).

1 control approach is not free from criticism (Lansink and Carpentier 2001, Zhengfei et al.
2 2006), it has been successfully used in other bioeconomic models of GEIR crops (Huang et
3 al. 2002, Pemsil et al. 2008, Qaim 2009) and to model the management of invasive alien
4 species (Ceddia et al. 2009).

5 The initial model assumptions are as follows. The agricultural product is attacked by
6 two rather different pests: the MCB – primary pest (N_1) – is a highly competitive pest that is
7 also highly susceptible to *Bt* toxin; and the TAW – secondary pest (N_2) – is negatively
8 affected by the first species, but has a higher tolerance to the *Bt* toxin. Both have the same
9 negative impact upon the yield. The dynamic behavior of both species, with and without pest
10 control, is analyzed below. It is assumed that the farmer has only two means to suppress
11 pests, by adopting *Bt* varieties and spraying insecticide when pest densities exceed an
12 economic threshold (ET^4).

13

14 *i. Actual output*

15 Let $G(Z)$ denote the aggregate potential maize output over a landscape, which includes
16 both conventional maize (G_c) and GEIR maize (G_{Bt}), where Z represents a vector of non-
17 insecticide inputs (i.e., labor, seeds, fertilizers etc.). The damage control framework models
18 the actual output, Y , as a function of potential output, $G(Z)$, damage, $D(N_1, N_2)$, and proportion
19 of the total landscape planted with *Bt* maize (Ω). The actual output is given by:

⁴ Economic threshold is defined as the "density at which control measures should be determined to prevent an increasing pest population from reaching the economic injury level". The economic injury level was defined by these authors as the "lowest population that will cause economic damage" (Stern et al. 1959).

$$Y = G(Z)[1 - D(N_1, N_2)] \quad (1)$$

v

ith:

$$G(Z) = (1 - \Omega)G_c(Z) + \Omega G_{bt}(Z)$$

$$G' > 0, G'' < 0$$

1

2

Damage is a function of the density of both pest populations – N_1 and N_2 – and

3

expresses the fraction of yield lost (I) due to the sum of damage caused. It is assumed that

4

both pests can act simultaneously and the nature of the damage is species independent:

$$D = D_{N_1} + D_{N_2} \quad (2)$$

With:

$$D_{N_1} = \frac{IN_1}{\text{Plant density}} \quad (2a)$$

$$D_{N_2} = \frac{IN_2}{\text{Plant density}} \quad (2b)$$

5

6

ii. Economic Threshold

7

The ET is a practical operational rule difficult to access theoretically, hence we have set

8

the ET at a fixed level – 25% – below the economic injury level (EIL), as suggested by

9

Pedigo et al. (1986). Following the same author, the EIL is composed of five primary

10

variables: w , the cost of management per unit (€/ha); p , the product market value per ton

11

(€/ton); I , damage caused per insect (%); D_y , yield lost per larvae (tons/ha); and s , the

12

proportion of larvae killed (%).

$$ET = \frac{EIL}{4} \quad (3)$$

With:

$$EIL = \frac{w}{pID_y s} \quad (3a)$$

1

2

iii. Population dynamics

3

The pest populations grow according to a classical logistic growth equation where

4

population dynamics without control are influenced by: the growth rate, r_i , the species'

5

intrinsic carrying capacity, k_i ; intra-competition, b_{ii} ; inter-competition, b_{ij} ; and by mortality

6

attributed to natural enemies, m_i ($i=1,2$; $i \neq j$):

$$\begin{cases} \frac{dN_1}{dt} = r_1 N_1 \left(1 - b_{11} \frac{N_1}{k_1} - b_{12} \frac{N_2}{k_1} - m_1 \right) \\ \frac{dN_2}{dt} = r_2 N_2 \left(1 - b_{22} \frac{N_2}{k_2} - b_{21} \frac{N_1}{k_2} - m_2 \right) \end{cases} \quad (4)$$

7

8

Within this basic framework, two forms of pest control are introduced: the adoption of

9

Bt seeds (Ω) and the application of broad-spectrum insecticide (x). The parameter q_i ($i=1,2$)

10

indicates the effectiveness of *Bt* in controlling each pest population. The pest dynamics

11

become:

$$\begin{cases} \frac{dN_1}{dt} = r_1 N_1 \left(1 - b_{11} \frac{N_1}{k_1} - b_{12} \frac{N_2}{k_1} - \phi u(x) m_1 - q_1 \Omega - \phi h(x) \right) \\ \frac{dN_2}{dt} = r_2 N_2 \left(1 - b_{22} \frac{N_2}{k_2} - b_{21} \frac{N_1}{k_2} - \phi u(x) m_2 - q_2 \Omega - \phi h(x) \right) \end{cases} \quad (5)$$

12

13

Farmers' adoption of *Bt* technology is assumed to be exogenous and develops

14

according to the following logistic function:

$$\Omega = \frac{\lambda_f \lambda_i e^{r_{\Omega} t}}{\lambda_f + \lambda_i (e^{r_{\Omega} t} - 1)} \quad (6)$$

15

1 The insecticide's effectiveness is specified by $h(x)$ which is a function of the number of
 2 insecticide applications (x), and by ϕ , a dummy variable, assuming the value of one if $N_1 \geq$
 3 ET_{N_1} or $N_2 \geq ET_{N_2}$, and zero otherwise. Both pests are equally affected by the insecticide.
 4 It is important to note the insertion of the new component into the natural enemies' variability
 5 parameters $\phi u(x)$ which reflects the negative impact of insecticide applications on natural
 6 enemies (eq. 8).

7 Following Shoemaker (1973) and Bor (1995), mortality rate is an exponential function
 8 of insecticide dosage because high insect mortality requires a large dosage of insecticide.
 9 Consequently, the following kill efficiency function is written as:

$$h(x) = (1 - e^{-x(t)s_p}) \quad (7)$$

$$x(t) = a + bt + ct^2 + dt^3 \quad (7a)$$

$$u(x) = (1 - e^{-x(t)s_{ne}}) \quad (8)$$

10 $h(x)$ is assumed to be monotonically increasing in $x(t)$, which represents the application
 11 of insecticide at time t , and satisfies $h(0) = 0$, $\lim_{x \rightarrow \infty} h(x) \leq 0$. We assume the farmer
 12 applies insecticide as a preventative measure (Sexton et al. 2007). Under this approach, the
 13 farmer makes a long-term educated guess about the possibility of pests occurring according
 14 to their known biological dynamics. The parameters a , b , c and d in this expression are
 15 estimated though the maximization of the farmer's net present value as specified below.
 16 Insecticide applications assume a cubic form in order to provide a higher degree of freedom
 17 when carrying out the optimization process.

18

19 *iv. Net present value*

20 An agricultural landscape (whose area is normalized to one ha) populated by a profit
 21 maximizing farmer is used to explore the economic implications of different pest

1 management decisions. The problem is formulated in terms of the maximization of NPV after
 2 25 years of aggregate landscape profits, subject to the pest management problem over a time
 3 interval $[0, T]$. This is accomplished by choosing the appropriate amount of insecticide to
 4 apply throughout the cropping season according to the economic threshold given the above
 5 pest dynamic scenario. The farmer determines his optimal insecticide application at the
 6 beginning of the planning horizon by choosing the values of parameters a, b, c and d in
 7 expression (7a) so as to maximize his NPV over the given time horizon. To make the
 8 problem more treatable, it is also assumed that all other inputs (Z) in the equation below are
 9 applied in fixed proportions.

10 Letting p denote output price, u_c and u_{Bt} the prices of conventional and GEIR maize
 11 inputs unrelated to damage control, w the price of a unit of insecticide (x), then the problem
 12 is:

13

$$\begin{aligned} \max_{\{a,b,c,d\}} \int_0^T e^{-\delta t} \{ & pg(Z)[1 - D(h(N_1, N_2, q, X))] - Z[(1 - \Omega)u_c + \Omega u_{bt}] \\ & - \phi wx\} dt \\ \text{s.t.} \\ \frac{dN_1}{dt} = & r_1 N_1 \left(1 - b_{11} \frac{N_1}{K_1} - b_{12} \frac{N_2}{K_1} - (1 - \phi)m_1 - \Omega q_1 - \phi h(x)\right) \\ \frac{dN_2}{dt} = & r_2 N_2 \left(1 - b_{22} \frac{N_2}{K_2} - b_{21} \frac{N_1}{K_2} - (1 - \phi)m_2 - \Omega q_2 - \phi h(x)\right) \end{aligned} \quad (9)$$

With

$$\phi = \begin{cases} 1, & N_1 \geq ET_{N_1} \\ 1, & N_2 \geq ET_{N_2} \\ 0, & \text{otherwise} \end{cases}$$

14

1 The model therefore computes aggregate maize output as the potential yield minus the
2 fraction of the crop that is lost due to the damage caused by both pest species. The model
3 excludes external social and environmental costs of insecticide use for both society and the
4 farmer. Two different scenarios are analyzed – before and after *Bt* maize adoption. Each
5 scenario includes the two cases: a) the use of insecticides and b) the non-use of insecticides.

6

7 3.5 Model parameterization

8 Population growth rates for the pests were derived from laboratory data given in peer-
9 reviewed scientific publications (see appendix 1 and 2). The laboratory conditions in these
10 experiments represent the typical temperature and photoperiod conditions of the
11 Mediterranean basin area.

12 In Spanish conditions, researchers have found not more than five larvae of MCB and
13 TAW larvae per plant (Velasco et al. 2004, Velasco et al. 2007, López et al. 2008, Eizaguirre
14 et al. 2010). Hence this value was assumed as the maximum larvae number, for each species,
15 per maize plant. Consequently the carrying capacity (k_1 and k_2) is equal to the maximum
16 possible density of larvae within the cropped field, assuming a plant density of 90,000 per ha.
17 Due to the large available habitat we incorporate the intraspecific competition parameter
18 within the carrying capacity, $b_{ii}=1$. Considering a maximum carrying capacity of five larvae
19 per plant and a maximum damage of 30 % (as indicated above), we assume that each MCB
20 and TAW larvae is able to reduce yields by 6% per plant. It has been suggested that the due
21 behavioral characteristics, MCB may influence negatively the TAW, however until now this
22 effect has not been quantified (López et al. 2003, Eizaguirre et al. 2009). We studied the case
23 in which MCB has a strong negative effect on TAW ($b_{21}=0.9$), while the reciprocal effect is
24 relatively small ($b_{12}=0.1$).

1 The parameters m_1 and m_2 take into account the impact of natural enemies on MCB and
2 TAW populations respectively and the random effect of variable external factors that can
3 affect predatory activity, such as temperature, humidity or agricultural practices (Kaya and
4 Tanada 1969). Since we have little information about these we have assumed that m_1 and m_2
5 follow random uniform distributions with m_1 varying between 0.1 and 0.65 and m_2 between
6 0.1 and 0.9. The difference between the ranges of m_1 and m_2 is explained by the cryptic
7 nature of the MCB larvae which reduces its vulnerability to predation (see section 3.2 and 3.3
8 for further details). Small values of m_i , reflect a bad year for the occurrence, abundance and
9 subsequent predatory activity of natural enemies; high values of m_i reflect high levels of
10 pressure by natural enemies and efficiency in capturing the pest. The parameter q_i ($i=1,2$)
11 which indicates the effectiveness of *Bt* in controlling each pest population takes values
12 $q_1=0.99$ and $q_2=0.2$. These values indicate the different pest susceptibility to the *Bt* toxin. It is
13 assumed that N_1 is highly susceptible and that N_2 is weakly susceptible to *Bt* technology. It is
14 hypothesized that full adoption of the *Bt* variety happens within 10 years ($r_\Omega = 0.8$). Initial
15 adoption for our model is 10% ($\lambda_i = 0.1$) and the maximum adoption is 80% ($\lambda_f = 0.8$)
16 reflecting the minimum 20% refuge commonly advised. When adoption reaches a plateau, it
17 means that 100% of the agricultural land is under a GEIR crop scheme.

18 In this study, although potentially very important, we did not take into consideration
19 any eventual impact of *Bt* toxin on the natural enemies of our case study pests. Nonetheless, it
20 should be noted that at least for Cry1Ab, no significant impacts have been reported especially
21 when compared with insecticides (Naranjo 2005a, Cornell 2010). It is assumed that the
22 farmer applies insecticide with optimal timing, obtaining an 80% (s_{ne}) pest control efficiency
23 per application (Hyde et al. 1999, Folcher et al. 2009). We further assume that insecticides
24 have a 100% efficiency on the natural enemies' complex ($s_p = 1$) since it has been reported
25 that the effect of insecticides on natural enemies is greater than the effect on pests (Longley

1 and Jepson 1996, Van Emden 2014). Insecticide applications change over time according to
2 the expression (7a).

3 The parameters for the economic and ecological components of the model are presented
4 in table 1 and table 2 respectively. The time horizon considered in the analysis extends over
5 25 years after the initial (hypothetical) adoption of *Bt* varieties (so $T=25$). The model is
6 numerically solved with R software (R-Core-Team 2012) with support from the packages
7 “deSolve” and “RcppDE” (Soetaert et al. 2010, Eddelbuettel 2015, Soetaert et al. 2015).
8 After calibration, the numerical results appear consistent with data reported in recent studies
9 (e.g. Gomez-Barbero et al. 2008, Meissle et al. 2010, Areal et al. 2013). The model
10 sensitivity analysis is presented in the following section.

11

12 3.6 Sensitivity analysis

13 To assess the influence and importance of the biological parameters $\{r_1, r_2, m_1, m_2, b_{12},$
14 $b_{21}, b_{11}, b_{22}\}$ on the model results, we conducted a global sensitivity analysis (GSA) using
15 the Morris (1991) method⁵ (Saltelli et al. 2000b). The Morris method has been used in several
16 dynamic agroecosystem modelling projects (e.g. Confalonieri et al. 2010, DeJonge et al.
17 2012, Ben Touhami et al. 2013). The use of this method of sensitivity analysis aids the
18 selection of which parameters have greater influence on the model final output variability.
19 The parameter’s uncertainty distribution values are shown in table 3. The Morris analysis has
20 been used in several dynamic agroecosystem modelling projects (e.g. Confalonieri et al.
21 2010, DeJonge et al. 2012, Ben Touhami et al. 2013). The generated results give two
22 measures of sensitivity, firstly the final output mean variation (μ^*) in relation to the
23 computed values (horizontal axis), and secondly the correspondent effect standard deviation
24 (σ) (vertical axis). Parameters with higher μ^* will have a stronger influence on the final

⁵ The GSA was conducted in R software using the ‘sensitivity package’ (Pujol et al. 2015).

1 output, while parameters with a high σ implies dependency through nonlinear responses
2 and/or interactions with other parameters (Saltelli et al. 2000a, Saltelli et al. 2004). The
3 sensitivity analysis using the Morris method showed that interspecific competition between
4 primary and secondary pest (b_{12}) is the most influential parameter (figure 1). Four other
5 parameters: natural enemies on secondary pest $\{m_2\}$, primary and secondary pest
6 intraspecific competition $\{b_{11}, b_{22}\}$, and the effect of the primary pest on secondary pest $\{b_{21}\}$
7 are as well noticeably influential, while the remaining parameters have a sensitivity which is
8 about threefold lower (figure 1).

9

10 **4. Results and Discussion**

11 The NPV maximization over 25 years (eq. 9) was solved numerically using the follow
12 postulated pest management options scenarios. In our first assessed scenario (section 4.1), we
13 modelled the pest dynamics and NPV after 25 years, with and without insecticide control,
14 assuming that the farmer did not have access to *Bt* maize seeds. In the second scenario
15 (section 4.2), a new control technology – *Bt* maize expressing Cry1Ab toxin – becomes
16 available. The adoption rate is not linear (eq. 6). It is assumed that at time $T=0$, 10% of the
17 area is covered with *Bt* maize, reaching an 80% plateau after approximately 11 years.

18 Assuming that the farmer may lack a full understanding of the capacity and limitations of *Bt*
19 technology, we tested two different cases: the first assumes that the farmer will rely on GEIR
20 technology completely and all insecticide applications are stopped; the second assumes the
21 farmer utilizes both of the pest control means at his/her disposal, with the *Bt* maize adopted at
22 the projected rate and insecticide applications used whenever pest numbers exceed the ET.
23 We also compared the results obtained with a conjectural case where both pests are highly
24 susceptible to *Bt* toxin. In section 4.3, we have explored two additional scenarios: 1) a +/-
25 25% variation of seven parameters shown to influence pest dynamics $\{r_1, r_2, b_{12}, b_{21}, q_1, q_2,$

1 λ_i }; and 2) due to the importance of natural enemies, we have assessed five additional cases
2 of different natural enemies' densities (representing different levels of ecosystem disruption)
3 with the assumption that the farmer uses a selective insecticide harmless to these enemies. In
4 both additional scenarios, we have compared the resulting NPV after 25 years and the
5 number of insecticide applications with the results obtained in the optimal pest management
6 control strategy (when insecticide is used along with *Bt* maize).

7

8 4.1 Scenario 1: Prior to *Bt* maize adoption

9 This scenario reflects a situation in which conventional maize is grown and *Bt* maize is
10 not adopted (e.g. technology is not accessible), and primary and secondary pests are present
11 in the agro-ecological system. Results under this scenario show the evolution of the
12 population dynamics during a period of 25 years for the primary (MCB) and secondary
13 (TAW) pest without (figure 2) and with (figure 3) pest control (i.e. insecticides). Without pest
14 control the TAW density passes unnoticed for most of the period due to strong competition
15 from the MCB and pressure from natural enemies (figure 2). This leads to high crop damage
16 and a low NPV after 25 years, and is therefore not desirable to the farmer (table 4). Figure 3
17 shows the results under a conventional maize cropping system with the farmer applying
18 insecticide. In this case, over 25 years, an average of 2.55 insecticide applications per ha are
19 made (s.d.= 0.28), obtaining a total NPV6-13 of 8296 €/ha (table 4).

20 The small variability in the amount of insecticide used occurs because the farmer is not
21 able (and not economically willing) to completely eradicate the pest, but seeks to keep it
22 under the ET. Having the latter goal in mind, the farmer only reacts when a pest reaches the
23 ET. Because the MCB's proliferation capacity is high, its density will always rebound above
24 the ET obliging the farmer to keep constant attention on the fields. Assuming that resistance
25 factors are constant, pest populations will then oscillate in line with population numbers in

1 previous years. Accordingly, it is expected that this insecticide application pattern continues.
2 In this scenario the farmer may have no “knowledge” of the economic impact of TAW since
3 it is always kept under the ET by either the effect of insecticide or MCB competition
4 pressure.

5

6 4.2 Scenario 2: *Bt* maize adoption

7 In the first case, farmer relies solely in the efficiency of *Bt* maize putting aside
8 insecticides (figure 4). After an initial rise in both pest densities, the TAW population is
9 slightly suppressed by the MCB. However due to the increasing presence of *Bt* toxin, after
10 the MCB population peaks, its density steadily declines. The ET is reached around the 5th
11 year of adoption and with 73% of the maize area planted with *Bt* varieties. This translates into
12 a decrease in the MCB’s competition capacity and with a corresponding ascension in TAW
13 numbers. In this case, the TAW population becomes the main pest being always above the
14 ET, causing serious damage to the crop. The oscillation in TAW numbers is due to the
15 variable pressure of environmental factors and natural enemies affecting it. After 25 years,
16 MCB population is marginal and its complete eradication is never achieved. However, a
17 quick recovery of the population will occur in the case that *Bt* seeds stop being used. In this
18 case, where *Bt* maize is used without insecticide, the farmer obtains an NPV of just 7051 €/ha
19 after 25 years, which is about 85% of what was achieved when relying solely on insecticide
20 (table 4). This scenario is slightly unrealistic as it fails to take into consideration the
21 insecticide applications of non-adopting farmers. Nonetheless, it clearly demonstrates the
22 problem of relying on a single pest control technique and illustrates what happens when a
23 farmer is not aware of the secondary pest problem.

24 More realistically, farmers can be expected to utilize both of the pest control means at
25 their disposal (figure 5), with the *Bt* seeds adopted at the projected rate (as in equation 6) and

1 insecticide applications used whenever pest numbers exceed the ET. In this case, due to the
2 rapid insecticide action, both pests decrease rapidly in the first year. Competition pressure is
3 evident during the second year. MCB temporarily plateaus until 33% of maize area planted
4 with *Bt* variety (2nd year). At this point due to both control measures pressure, the MCB
5 density steadily falls below the ET until it is entirely eradicate after approximately 14 years.
6 Due to the pressure upon TAW from insecticide and MCB competition, its populations
7 declines until MCB plateaus. After which steadily increase its density up to the ET, where it
8 stabilizes. It is the MCB decline, hence the lack of competition, that causes a plateauing of
9 TAW at the ET. Here, the farmer continues to apply insecticides, but now in order to control
10 TAW. The insecticide application frequency falls to an average of 1.22 applications per ha
11 (s.d.= 0.53) (table 4). This amount represents a reduction of about 50% in the number of
12 insecticide applications compared to conventional maize use. This noteworthy decline is
13 accrued to the use of *Bt* and its efficiency in controlling MCB, and its provision of a safer
14 environment for the natural enemies of TAW. In the section 4.3, the impact of natural
15 enemies is discussed. The reduction in insecticide applications found here is sufficient to
16 compensate the farmer for the extra cost of *Bt* seeds (roughly 10% more expensive than
17 conventional seeds). After 25 years the farmer would realize an NPV of 9508 €/ha (table 4),
18 which is higher than what is realized with both conventional seeds and using only *Bt* maize
19 (table 5).

20 For comparison, in a case of *Bt* maize with stacked traits conferring a perfect control to
21 MCB and TAW, insecticide applications steadily decrease until the farmer stops applying
22 insecticide altogether after the 3rd year of adoption (at which point 45% of the total maize
23 cropping area is planted with stacked *Bt* maize). The farmer achieves the goal of entirely
24 eradicating both pests and, logically, realizes a higher NPV of 10693 €/ha after 25 years (see
25 stacked traits line in figure 6). Realistically however, this situation is unlikely for two

1 reasons: firstly agriculture is not a closed system, migration into crop fields by either known
2 or unknown pests must be taken into consideration; secondly, as happened in our assessment,
3 a species whose population is significantly subdued so as to in effect be ‘concealed’ by the
4 present insecticide or by the effect of a strong competitor, could unexpectedly reappear.

5

6 4.3 Further scenarios

7 In this section, we explore two further scenarios in which the five key parameters
8 implicated in pest dynamics are varied – growth rate (r_i), interspecific competition (b_{ij}),
9 susceptibility to *Bt* toxin (q_i), initial *Bt* adoption (λ_i) and natural enemies (m_i). The full results
10 are presented in table 6 and 7. When decreasing the parameters $\{b_{ij}; q_i; \lambda_i\}$ by 25%, we
11 expect the NPV to decrease and insecticide applications to increase. Similarly, when
12 increasing these parameters by 25%, we expect the NPV to increase and insecticide
13 applications to decrease. It was also expected that r_i would respond in the opposite direction
14 to its counterparts. From the 16 results obtained, 14 had expected outcomes. The two
15 unexpected outcomes have relatively small deviation values (see values marked with * in
16 table 6); although the mean insecticide applications varied as expected, the NPV varied in the
17 opposite direction. This unexpected outcomes are believed to represent an active response
18 from the farmer to lower/higher pest density in the initial cropping period, initiating
19 insecticide applications accordingly.

20 Due to the high importance of natural enemies, we have assessed the individual impact
21 of this parameter in model uncertainty. We explore five cases representing various levels of
22 disturbance in the ecosystem, assuming that the farmer uses a selective insecticide which
23 does not causes harm to natural enemies (table 7). In the first, the impact of natural enemies’
24 on pest dynamics varies randomly as in the baseline cases in sections 4.1 and 4.2; in the
25 second, there are no natural enemies present; and in the third, fourth and fifth, the impact of

1 natural enemies is low, medium and high respectively. All results are as expected, the higher
2 the natural enemies' impact the lower the need for insecticide applications, yielding a higher
3 NPV. It is interesting to note that the previous optimal outcome in terms of NPV (deriving
4 from the use of *Bt* maize with a broad-spectrum insecticide) lies between a scenario in which
5 natural enemies are absent, and one where the impact of natural enemies on pest populations
6 is low. This results suggests that boosting the population of natural enemies through selective
7 use of insecticide, rather than broad-spectrum, has a knock on positive impact on NPV.
8 Assuming the utopia around the last scenario, we would like to point out the scenario where
9 $m_i=0.8$, reflecting a substantial constant presence of natural enemies. Here, the farmer would
10 ultimately cease the insecticide applications, while increasing the NPV after 25 years by
11 11%. These results indicate that pest populations are highly sensitive to natural means of
12 control, and that pest populations could be managed with a relatively small increase in natural
13 enemy numbers.

14

15 **5. Conclusion**

16 We use a bioeconomic model to analyze different pest control approaches – *Bt*
17 technology and insecticides – on secondary pest outbreaks, a problem that has been largely
18 ignored until now, and subsequent effects on farm profits. Optimized insecticide applications
19 under deterministic conditions were achieved through a dynamic nonlinear optimization
20 technique. The model developed in this study is capable of effectively evaluating the impact
21 of GEIR crops on two pest species that compete for the same resource. As shown in section
22 4.3, the model enables the incorporation of different scenarios, such as insecticide
23 restrictions, new transgenic traits and other means of pest control.

24 Results from the sensitivity analysis showed that when holding prices, costs and other
25 input parameters constant, the results suggest that, the parameters related with the secondary

1 pest are more influential on the final output than those related with primary pest. The
2 uncertainty in the results arises from two main areas: a) it is unlikely that available data and
3 model parameters are error-free; and b) no simulation model is an entirely true reflection of
4 the physical process being modelled. Results show the need to be conscious of the possibility
5 of an outbreak from a secondary pest and the consequences of such an event upon yields and
6 farm profits. We found that it may take several years for secondary pests to proliferate to
7 relevant levels of importance, thus the need to understand pest dynamics (Ho et al. 2009). We
8 defined a model where the outbreak of a secondary pest in *Bt* fields is not a random event. It
9 can arise as a natural result of the use of *Bt* technology, and may be predicted with access to
10 accurate data. The model shows that insecticide applications and the presence of natural
11 enemies, contribute most to achieving a higher NPV. The presence of natural enemies is
12 intrinsically related to environmental conditions, and agricultural procedures, which in turn
13 will certainly influence the number of insecticide applications needed each year. This is an
14 important insight to take into consideration, given future climate shifts that are expected.
15 Hence, alongside the deployment of GEIR crops, it is therefore highly advisable to also
16 promote agricultural practices that could enhance the presence of natural enemies. When
17 farmers effectively comply with certain procedures, such as having a refuge strategy and
18 using extra selective insecticide applications (Meissle et al. 2011), the economic,
19 environmental and social benefits can be substantial (Wesseler et al. 2007, Skevas et al.
20 2010).

21 Our work corroborates the hypothesis that secondary pests might emerge due to a
22 significant reduction in insecticides applications (Lu et al. 2010, Pemsler et al. 2011, Catarino
23 et al. 2015). We have shown that a) a secondary pest can become the key insect pest in
24 unsprayed *Bt* maize compared with sprayed *Bt* fields, due to the high specificity of Cry1Ab
25 toxin; and b) the damage to crops from secondary pests can increase with the expansion of *Bt*

1 technology if no additional measures – such as insecticide applications or stacked traits – are
2 taken. One of the claimed benefits of *Bt* crops is that they decrease the use of insecticides, in
3 turn diminishing contamination of food and the environment, as well as increasing farm
4 profits. Indeed the use of *Bt* maize has a has a knock on positive impact on NPV, as well as in
5 the environment by decreasing the need for insecticides. Furthermore, the farmer would
6 accomplished the goal of entirely eradicate the MCB after 14 years. Nonetheless, insecticides
7 applications would not cease due to the outbreak of TAW, the secondary pest.

8 Models of pest dynamics are a valuable tool, especially within a world affected by
9 strong environmental and agricultural shifts. For example, forecasted global warming and
10 increases in GEIR cropping could enable insect pests to spread into new habitats (Maiorano
11 et al. 2014). We have shown that a profounder knowledge of how agro-ecological systems
12 work is needed to evaluate the full benefits of *Bt* crops. If new agricultural technologies aim
13 to be used as a viable IPM solution, understanding insect dynamics is vital, requiring an
14 integration of ecosystem services into management decisions. For that, further research
15 should accurately estimate, either in field trials or in the laboratory, the nature of intra and
16 interspecific pest competition.

17

18

19 **Acknowledgements**

20 We thank the anonymous referees for their comments on previous drafts of this
21 manuscript that greatly improved the paper. This is publication #8 produced within the
22 framework of the ‘Assessing and Monitoring the Impacts of Genetically Modified Plants on
23 Agro-ecosystems’ (AMIGA) project. The AMIGA project was funded by the European
24 Commission under Framework Programme 7.

25

1

2

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1 Tables

Table 1 - Economic parameters used in the bioeconomic model

Parameters	Value	Units
Plant density per hectare	90000 ^a	Plants/ha
Potential conventional maize yield (Y_c)	11.30 ^b	T/ha
Potential <i>Bt</i> maize yield (Y_{Bt})	11.80 ^b	T/ha
Price maize (p)	248.70 ^a	€/T
Conventional seed price (S_c)	253.80 ^a	€/ha
<i>Bt</i> seed price (S_{Bt})	284.40 ^a	€/ha
Fixed costs (u_c)	1797.88 ^a	€/ha
Fixed costs (u_{Bt})	1815.88 ^a	€/ha
Insecticide cost per application (w)	18	€/ha/application
Discount rate (δ)	0.05 ^d	
Initial adoption (λ_i)	0.10 ^d	
Full adoption (λ_f)	0.80 ^d	

Note: ^a (AGPME 2012); ^b(Gomez-Barbero et al. 2008); ^c (MAGRAMA 2014); ^d assumption; ^e (Maund 2002)

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Table 2 - Biological parameters for MCB and TAW used in the bioeconomic model

Parameters	N ₁ (MCB)	N ₂ (TAW)
Growth rate (r_i)	2.02 ^a	3.13 ^a
Intraspecific competition (b_{ii})	1 ^b	1 ^b
Interspecific competition (b_{ij})	0.10 ^b	0.90 ^b
Environmental impact (m_i)	0.50 ^b	0.50 ^b
Susceptibility to <i>Bt</i> toxin (q_i)	0.99 ^c	0.20 ^d
Susceptibility to insecticide (s)	0.80 ^e	0.80 ^e
Minimum natural enemies impact	0.1 ^b	0.1 ^b
Maximum natural enemies impact	0.65 ^{b,f}	0.90 ^{b,g}
Maximum larvae per plant	5 ^h	5 ^h
Initial population	9×10 ⁴ ^b	9×10 ⁴ ^b
Damage per larvae (I)	0.06 ^b	0.06 ^b

Note: ^a appendix 1 and 2; ^b assumption; ^c (Hellmich et al.

2008); ^d (González-Cabrera et al. 2013); ^e (Hyde et al. 1999,

Folcher et al. 2009); ^f (Alexandri and Tsitsipis 1990,

Figueiredo and Araujo 1996, Monetti et al. 2003); ^g (Guppy

1967, Kaya 1985, Laub and Luna 1992, Menalled et al. 1999,

Costamagna et al. 2004); ^h (Butrón et al. 1999, Malvar et al.

2004, Velasco et al. 2004, Butrón et al. 2009)

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Table 3 – Uncertainty distribution of parameter values
 used in the global sensitivity analysis, Morris method

Parameter	Nominal Value	Uncertainty interval
r ₁	2.02	1.01-3.03
r ₂	3.13	1.57-4.70
b ₁₁	1	0.5-1
b ₂₂	1	0.5-1
b ₁₂	0.1	0.05-0.15
b ₂₁	0.9	0.45-1
m ₁	0.1-0.65	0.05-0.75
m ₂	0.1-0.9	0.1-1

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Table 4 - NPV, insecticides applications (mean \pm SE) and damage (mean \pm SE)

results accrued from the 4 different scenarios

Scenario	NPV (€/ha)	Insecticide applications	Damage (%)
No pest control	3191		19.1 \pm 1.04
Only insecticide ¹	8296	2.55 \pm 0.28	4.34 \pm 1.23
Only <i>Bt</i>	7051		10.59 \pm 1.63
<i>Bt</i> + insecticide ²	9508	1.22 \pm 0.53	3.85 \pm 1.14

Note:

¹ with: a= 3.205892e+00; b= -1.144386e-01; c= 5.064257e-03; d= -6.839178e-05

² with: a= 2.543207e+00; b= -2.220972e-01; c= 9.175168e-03; d= -7.497778e-05

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Table 5 - NPV difference between optimal control strategy and the remaining 3 cases: no control, only insecticide used and only *Bt* maize used (percentage in brackets)

	No control	Only insecticide	Only <i>Bt</i>
<i>Bt</i> + insecticides	6317€ (+198%)	1212€ (+14.6%)	2458 € (+34.9%)

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Table 6: Difference between the NPV and insecticide applications (mean) obtained in the optimal control strategies and those accrued from the $\pm 25\%$ variation in four parameters $\{ri; bij; qi; \lambda_i\}$ implicated in pest dynamics

	Parameters	NPV (€)	Insecticide applications
-25% deviation	Growth rate (ri) ¹	-64.60*	-0.04
	Interspecific competition (bij) ²	-4.88	0.02
	<i>Bt</i> susceptibility (qi) ³	-118.45	0.17
	Initial <i>Bt</i> adoption (λ_i) ⁴	-54.25	0.03
+25% deviation	Growth rate (ri) ⁵	40.56*	0.05
	Interspecific competition (bij) ⁶	3.21	-0.04
	<i>Bt</i> susceptibility (qi) ⁷	26.40	-0.26
	Initial <i>Bt</i> adoption (λ_i) ⁸	40.36	-0.04

¹ with: a= 2.273808e+00; b= -1.944295e-01; c= 8.639238e-03; d= -8.730315e-05

² with: a= 2.402405e+00; b= -2.033086e-01; c= 8.747742e-03; d= -8.912616e-05

³ with: a= 2.422293e+00; b= -1.509471e-01; c= 5.066767e-03; d= -2.297077e-05

⁴ with: a= 2.441820e+00; b= -2.013704e-01; c= 7.462853e-03; d= -3.902218e-05

⁵ with: a= 2.281515e+00; b= -1.902639e-01; c= 8.164678e-03; d= -8.016763e-05

⁶ with: a= 2.546021e+00; b= -2.645330e-01; c= 1.103379e-02; d= -9.624222e-05

⁷ with: a= 2.546021e+00; b= -2.645330e-01; c= 1.103379e-02; d= -9.624222e-05

⁸ with: a=2.228979e+00; b= -1.739191e-01; c= 6.393760e-03; d= -1.513402e-05

*not expected

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Table 7 – NPV and insecticides applications (mean \pm SE) accrued from the 5 different levels of natural enemies impact when selective insecticide is used

Scenario	NPV(€)	Insecticide applications
NE base variation ²	9477	0.37 \pm 0.45
No NEs ($m_i=0$) ²	9360	1.84 \pm 0.23
Low NEs density ($m_i=0.2$) ³	9591	1.17 \pm 0.27
Medium NEs density ($m_i=0.5$) ⁴	9797	0.39 \pm 0.31
High NEs density ($m_i=0.8$) ⁵	10556	0.03 \pm 0.26

¹ with: a=1.986923e+00; b= -2.666653e-01; c=1.192148e-02; d= -7.217041e-05

² with: a=2.575190e+00; b= -1.508283e-01; c=7.395081e-03; d= -9.961102e-05

³ with: a= 1.994130e+00; b= -1.641846e-01; c=7.787084e-03; d= -9.856487e-05

⁴ with: a=1.768619e+00; b= -2.138725e-01; c= .347525e-03; d= -9.887529e-05

⁵ with: a=2.398247e+00; b= -6.528079e-01; c= .350325e-02; d= 8.987545e-05

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1 **Figures**

2 Figure 1 Results of the Morris method (across 30 trajectories, 16 levels and 8 grid
3 jumps) on mean (μ^*) and standard deviation (σ) associated with the NPV after 25 years.
4 Parameters were automatically scaled before computing the elementary effects so that all
5 factors would vary within the range [0,1]. It was implicitly assumed here that the uncertain
6 model parameters were uniformly distributed. For each parameter, the tested range before
7 scaling is shown in table 3.

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9 Figure 2 Pest dynamics prior to *Bt* adoption with no control

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11 Figure 3 Pest dynamics prior to *Bt* adoption with insecticide control

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13 Figure 4 Pest dynamics after *Bt* adoption, with *Bt* control only

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15 Figure 5 Pest dynamics after *Bt* adoption, with *Bt* and insecticide control

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17 Figure 6 Optimized NPV after 25 years for the 5 cases (no control; only insecticide is
18 used; only *Bt* maize is used; insecticide and *Bt* maize are used; stacked *Bt* maize conferring
19 perfect control to both pests is used)

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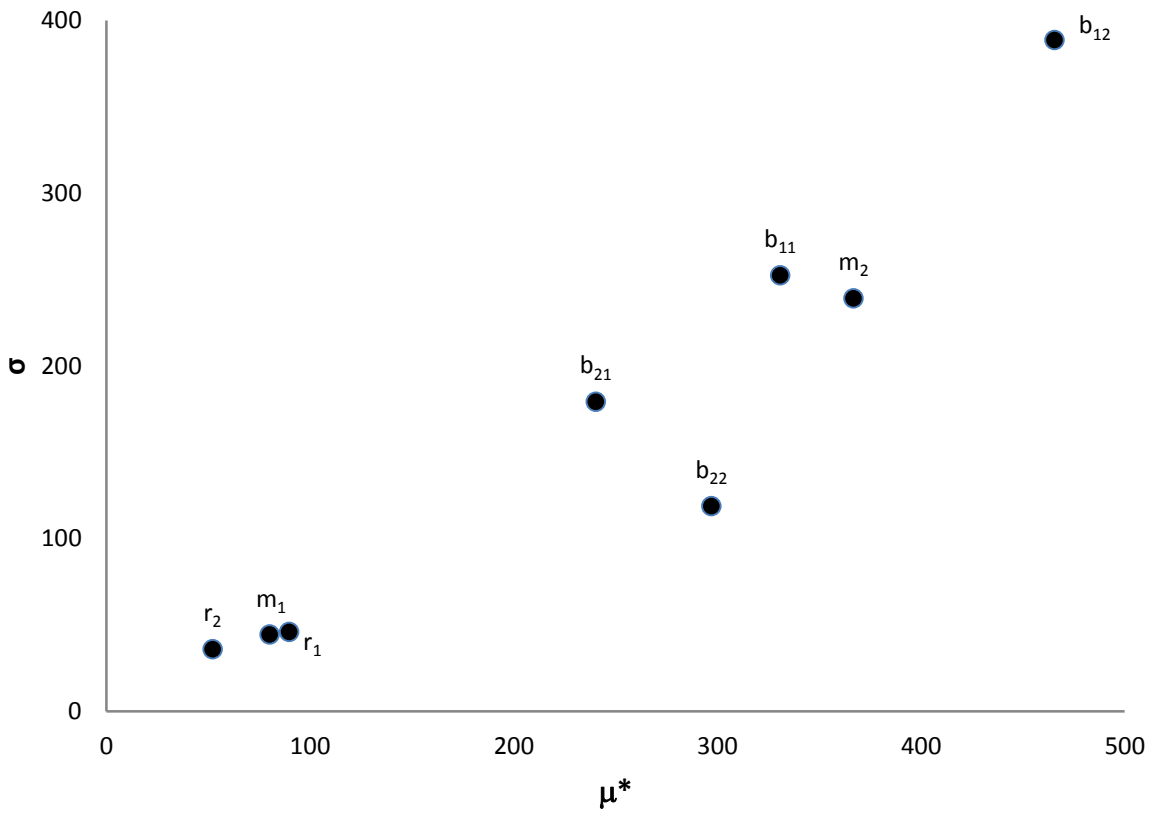


Figure 1

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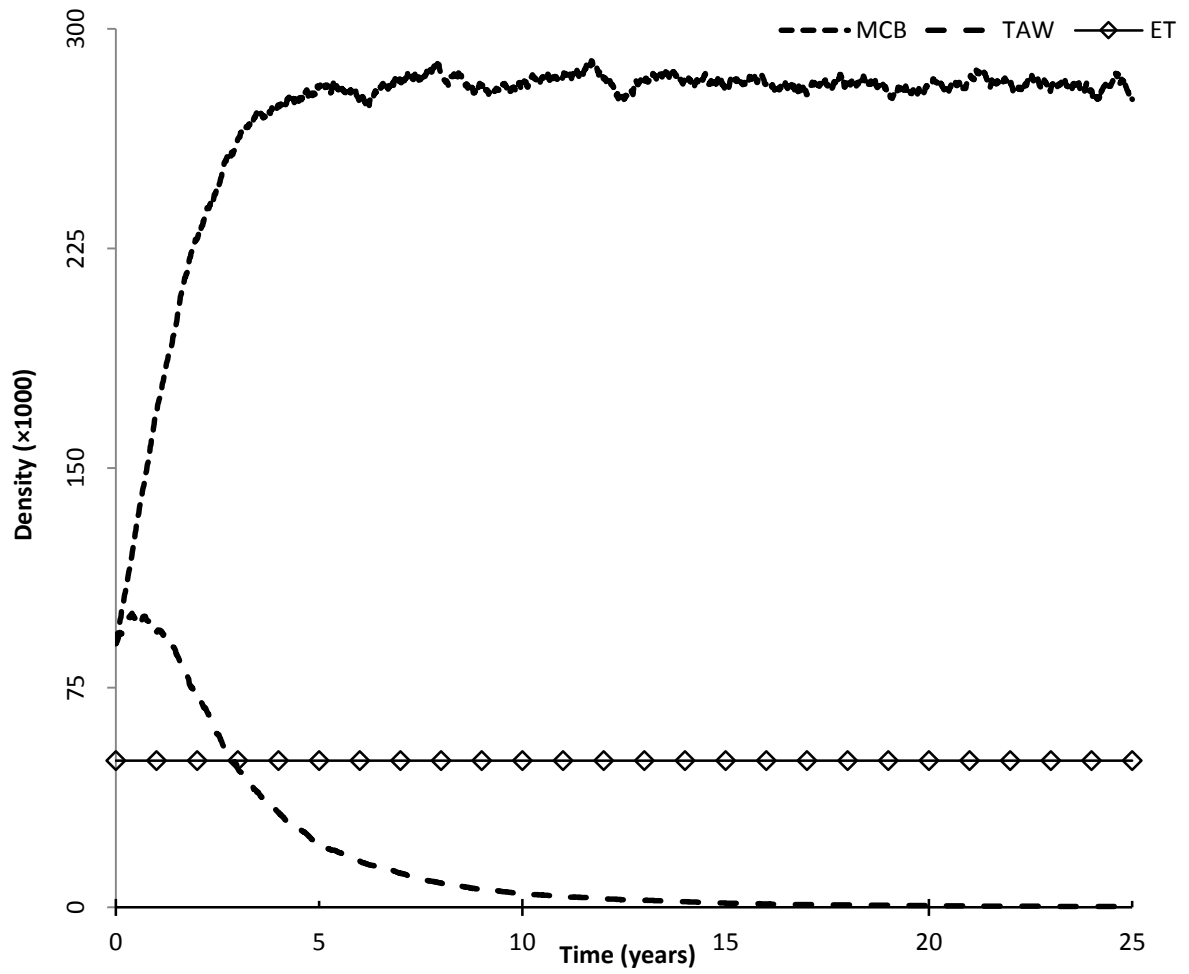


Figure 2

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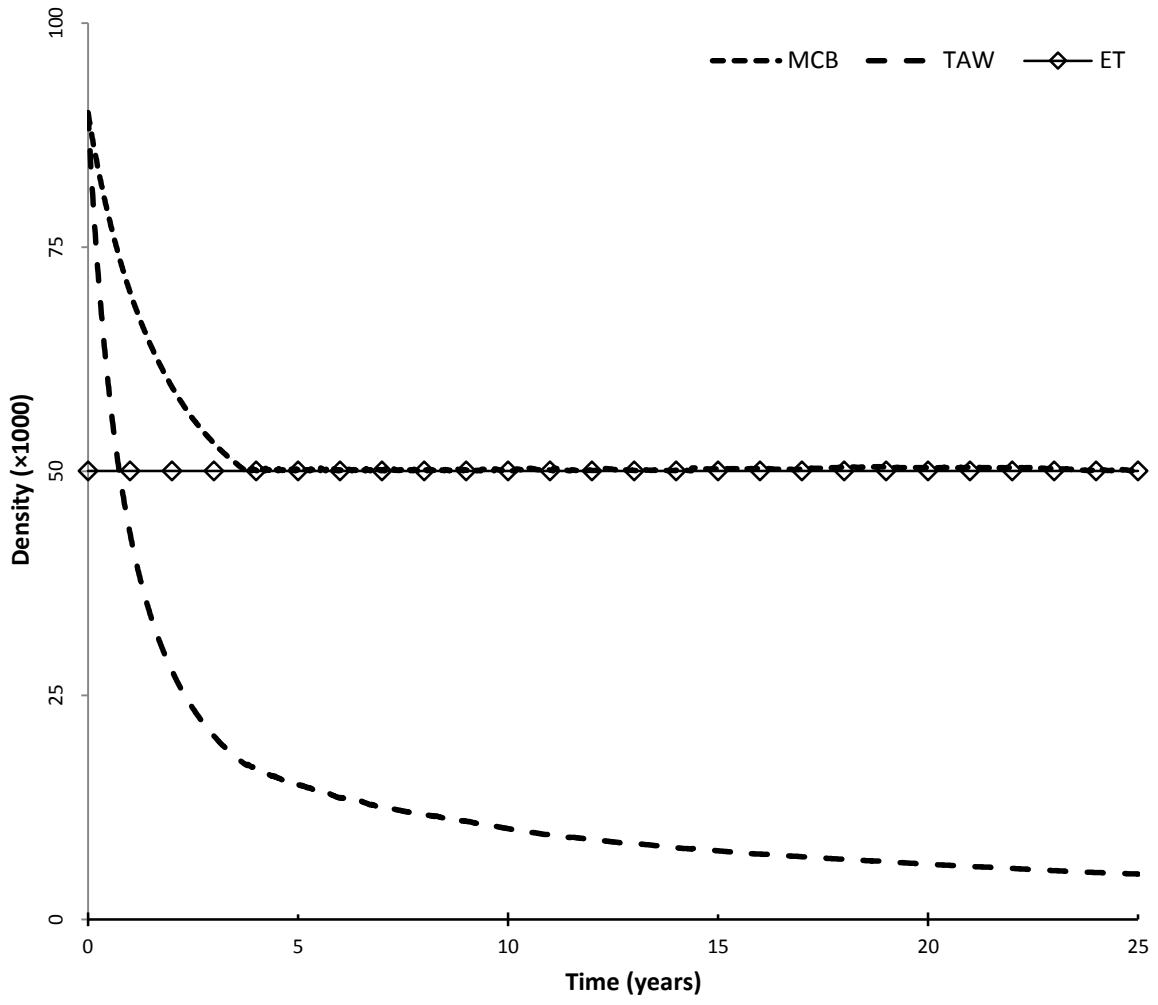


Figure 3

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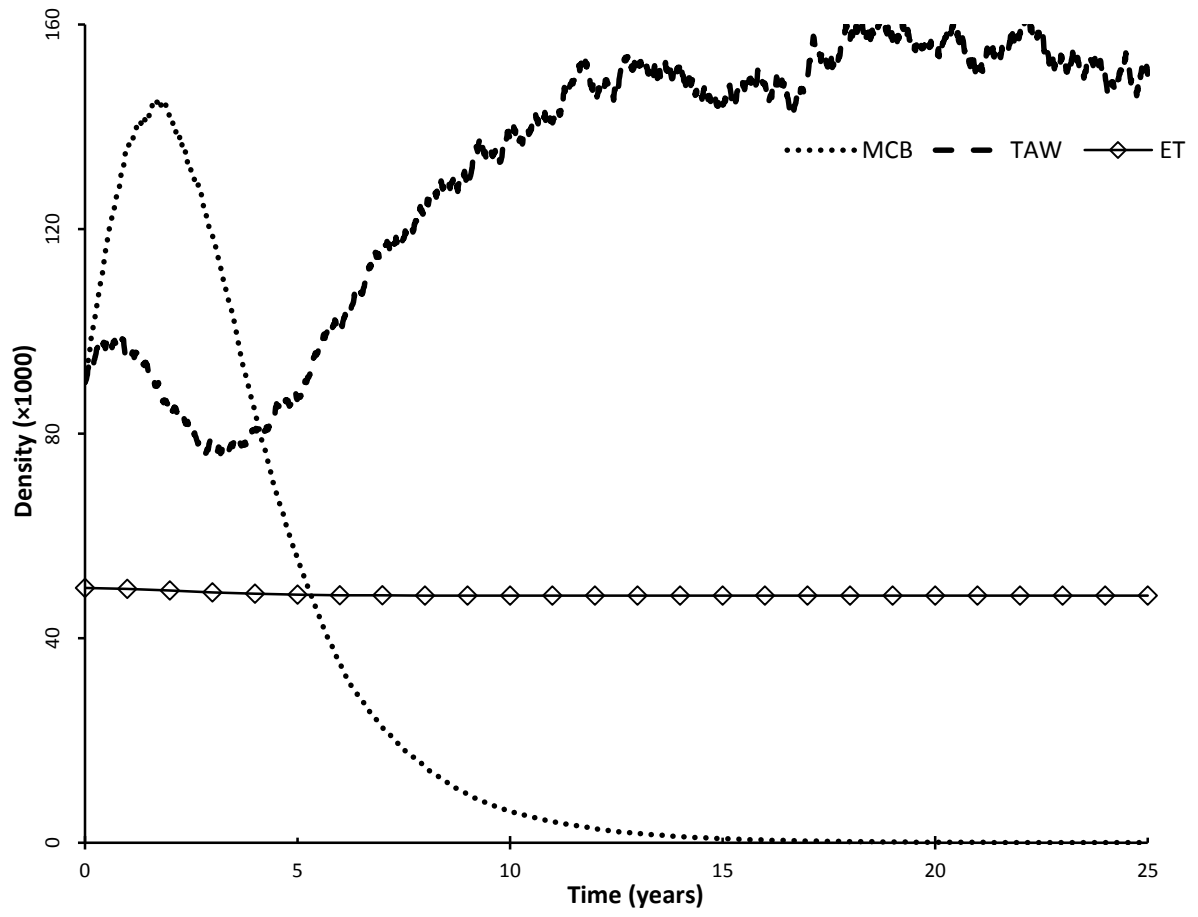


Figure 4

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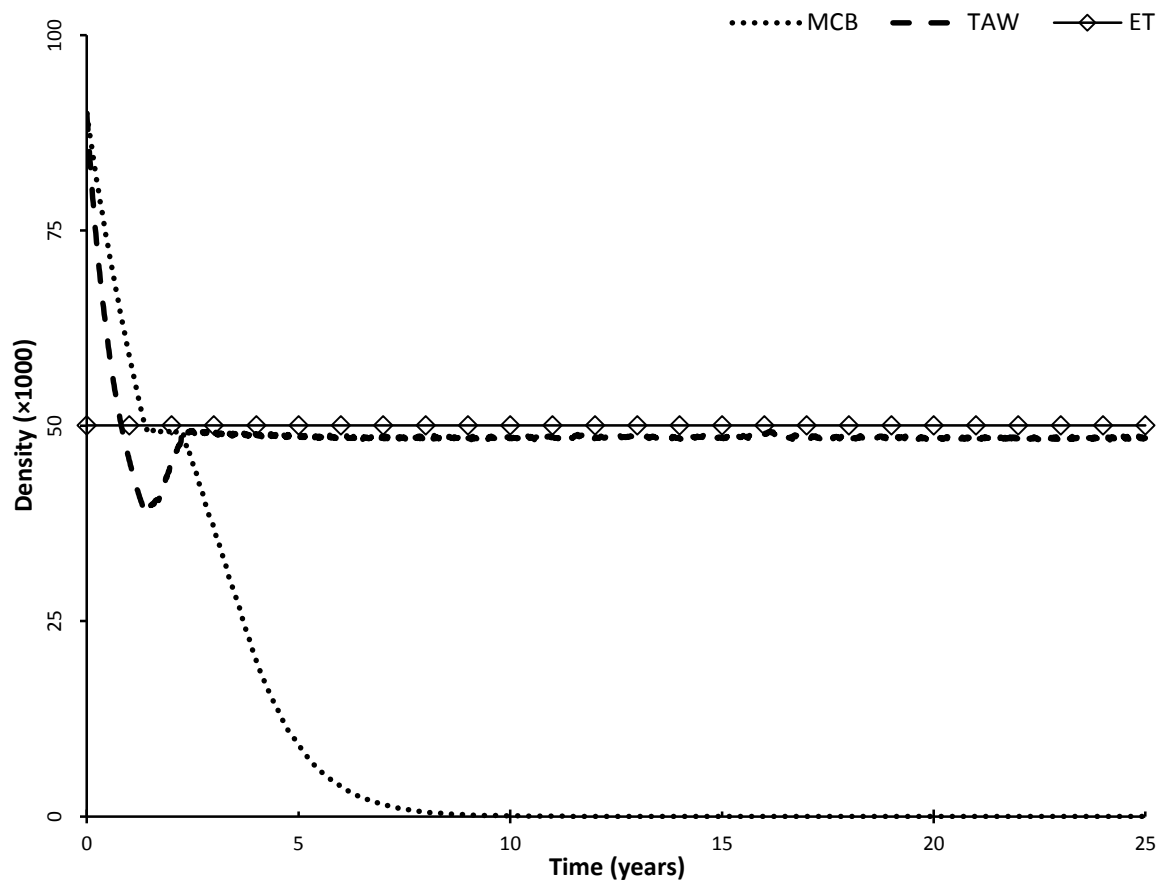


Figure 5

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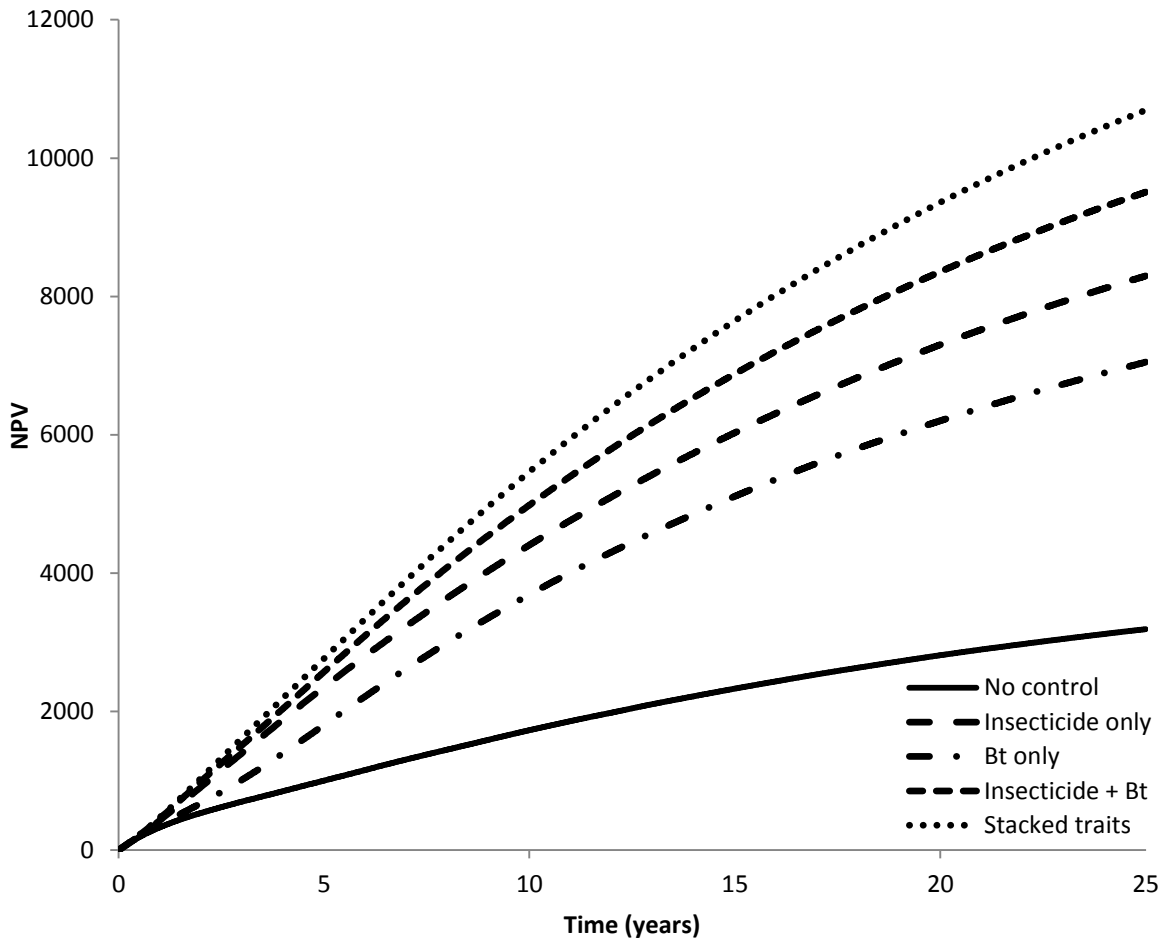


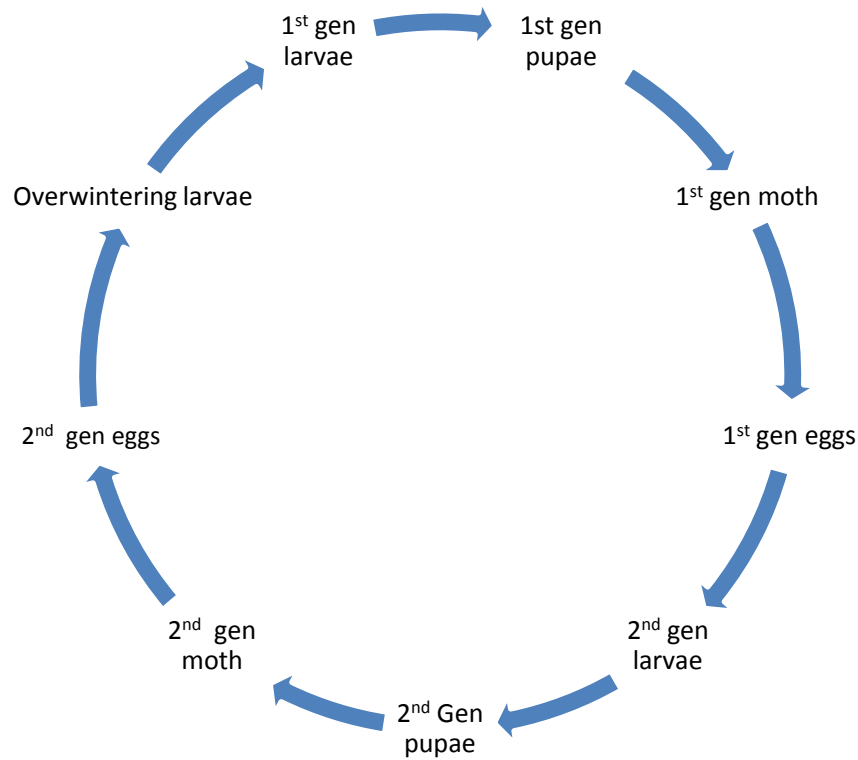
Figure 6

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2 Appendix 1- MCB Growth rate scheme



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	Coefficients	Values	References
1 st generation	Larvae winter mortality	0.9	(Gillyboeuf et al. 1994)
	Larvae survival (L1)	0.74	(Fantinou et al. 1996)
	Pupae survival (P1)	0.8135	(Fantinou et al. 2003)
	Oviposition per moth (O1)	550	(Fantinou et al. 2004)
	Eggs hatch (E1)	0.6	(Gillyboeuf et al. 1994)
2 nd generation	Larvae survival (L2)	0.74	(Fantinou et al. 1996)
	Pupae survival (P2)	0.88	(Fantinou et al. 2003)
	Oviposition per moth (O2)	375	(Fantinou et al. 2004)
	Eggs hatch (E2)	0.4	(Gillyboeuf et al. 1994)

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1 MCB Annual growth rate:

2 Initial population (IL_0): 9×10^4 larvae

$$\text{Year 1: } MCB_{1,1} = IL_0 \times L_1 \times P_1 \times O_1 \times E_1$$

$$MCB_{1,2} = MCB_{1,1} \times L_2 \times P_2 \times O_2 \times E_2 \times W$$

$$\text{Year 2: } MCB_{2,1} = MCB_{1,4} \times L_1 \times P_1 \times O_1 \times E_1$$

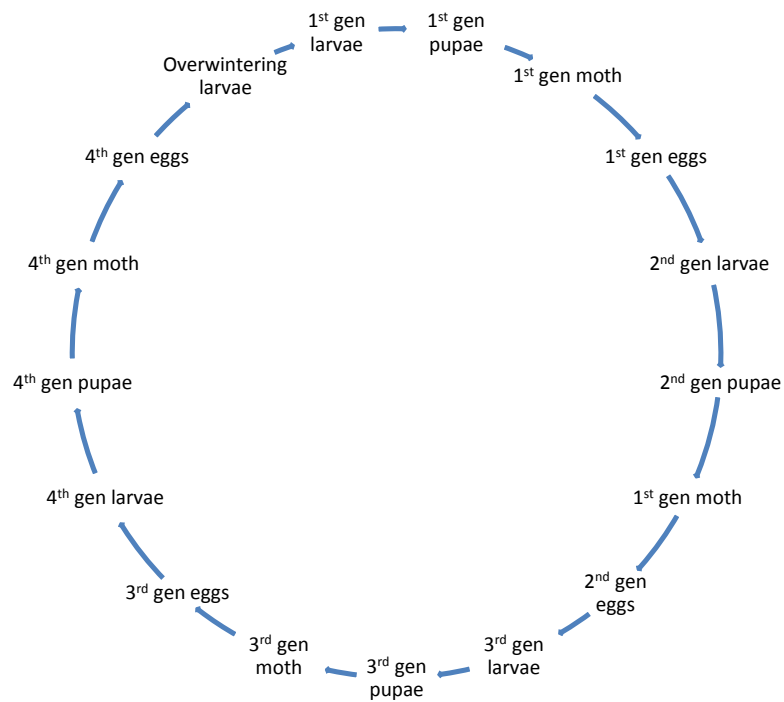
$$MCB_{2,2} = MCB_{2,1} \times L_2 \times P_2 \times O_2 \times E_2 \times W$$

$$\text{Annual growth rate} = \text{Log} \left(\frac{MCB_{2,4}}{MCB_{1,4}} \right) = 2.024284$$

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1 **Appendix 2 – TAW Growth rate scheme**

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	Coefficients	Values	References
	Larvae winter mortality (W)	0.9	(Naibo 1984)
1 st generation	Larvae survival (L1)	0.66	(McDonald 1990)
	Pupae survival (P1)	0.93	(McDonald 1990)
	Oviposition per moth (O1)	1302	(Smith 1986)
	Eggs hatch (E1)	0.563	(Smith 1986)
2 nd generation	Larvae survival (L2)	0.31	(McDonald 1990)
	Pupae survival (P2)	0.7	(McDonald 1990)
	Oviposition per moth (O2)	1393	(Smith 1986)
	Eggs hatch (E2)	0.953	(Smith 1986)
3 rd	Larvae survival (L3)	0.93	(McDonald 1990)

	Pupae survival (P3)	0.78	(McDonald 1990)
	Oviposition per moth (O3)	1470	(Smith 1986)
	Eggs hatch (E3)	0.967	(Smith 1986)
	Larvae survival (L4)	0.53	(McDonald 1990)
4 th generation	Pupae survival (P4)	0.89	(McDonald 1990)
	Oviposition per moth (O4)	1656	(Smith 1986)
	Eggs hatch (E4)	0.892	(Smith 1986)

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2 TAW Annual growth rate:

3 Initial population (IL_0): 9×10^4 larvae

$$\text{Year 1: } TAW_{1,1} = IL_0 \times L_1 \times P_1 \times O_1 \times E_1$$

$$TAW_{1,2} = TAW_{1,1} \times L_2 \times P_2 \times O_2 \times E_2$$

$$TAW_{1,3} = TAW_{1,2} \times L_3 \times P_3 \times O_3 \times E_3$$

$$TAW_{1,4} = TAW_{1,3} \times L_4 \times P_4 \times O_4 \times E_4 \times W$$

$$\text{Year 2: } TAW_{2,1} = TAW_{1,4} \times L_1 \times P_1 \times O_1 \times E_1$$

$$TAW_{2,2} = TAW_{2,1} \times L_2 \times P_2 \times O_2 \times E_2$$

$$TAW_{2,3} = TAW_{2,2} \times L_3 \times P_3 \times O_3 \times E_3$$

$$TAW_{2,4} = TAW_{2,3} \times L_4 \times P_4 \times O_4 \times E_4 \times W$$

$$\text{Annual growth rate} = \text{Log} \left(\frac{TAW_{2,4}}{TAW_{1,4}} \right) = 3.133519$$

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