

# *On small bases which admit countably many expansions*

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# ON SMALL BASES WHICH ADMIT COUNTABLY MANY EXPANSIONS

SIMON BAKER

*Dedicated to P. Erdős on the 100th anniversary of his birth*

ABSTRACT. Let  $q \in (1, 2)$  and  $x \in [0, \frac{1}{q-1}]$ . We say that a sequence  $(\epsilon_i)_{i=1}^{\infty} \in \{0, 1\}^{\mathbb{N}}$  is an expansion of  $x$  in base  $q$  (or a  $q$ -expansion) if

$$x = \sum_{i=1}^{\infty} \epsilon_i q^{-i}.$$

Let  $\mathcal{B}_{\aleph_0}$  denote the set of  $q$  for which there exists  $x$  with exactly  $\aleph_0$  expansions in base  $q$ . In [5] it was shown that  $\min \mathcal{B}_{\aleph_0} = \frac{1+\sqrt{5}}{2}$ . In this paper we show that the smallest element of  $\mathcal{B}_{\aleph_0}$  strictly greater than  $\frac{1+\sqrt{5}}{2}$  is  $q_{\aleph_0} \approx 1.64541$ , the appropriate root of  $x^6 = x^4 + x^3 + 2x^2 + x + 1$ . This leads to a full dichotomy for the number of possible  $q$ -expansions for  $q \in (\frac{1+\sqrt{5}}{2}, q_{\aleph_0})$ . We also prove some general results regarding  $\mathcal{B}_{\aleph_0} \cap [\frac{1+\sqrt{5}}{2}, q_f]$ , where  $q_f \approx 1.75488$  is the appropriate root of  $x^3 = 2x^2 - x + 1$ . Moreover, the techniques developed in this paper imply that if  $x \in [0, \frac{1}{q-1}]$  has uncountably many  $q$ -expansions then the set of  $q$ -expansions for  $x$  has cardinality equal to that of the continuum, this proves that the continuum hypothesis holds when restricted to this specific case.

## 1. INTRODUCTION

Let  $q \in (1, 2)$  and  $I_q = [0, \frac{1}{q-1}]$ . Each  $x \in I_q$  has an expansion of the form

$$(1.1) \quad x = \sum_{i=1}^{\infty} \frac{\epsilon_i}{q^i},$$

for some  $(\epsilon_i)_{i=1}^{\infty} \in \{0, 1\}^{\mathbb{N}}$ . We call such a sequence a  $q$ -expansion of  $x$ , when (1.1) holds we will adopt the notation  $x = (\epsilon_1, \epsilon_2, \dots)_q$ . Expansions in non-integer bases were pioneered in the papers of Rényi [11] and Parry [10].

Given  $x \in I_q$  we denote the set of  $q$ -expansions of  $x$  by  $\Sigma_q(x)$ , i.e.,

$$\Sigma_q(x) = \left\{ (\epsilon_i)_{i=1}^{\infty} \in \{0, 1\}^{\mathbb{N}} : \sum_{i=1}^{\infty} \frac{\epsilon_i}{q^i} = x \right\}.$$

The endpoints of  $I_q$  always have a unique  $q$ -expansion, typically an element of  $(0, \frac{1}{q-1})$  will have a nonunique  $q$ -expansion. In [7] it was shown that for  $q \in (1, \frac{1+\sqrt{5}}{2})$  the set  $\Sigma_q(x)$  is uncountable

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for all  $x \in (0, \frac{1}{q-1})$ . When  $q = \frac{1+\sqrt{5}}{2}$  it was shown in [15] that every  $x \in (0, \frac{1}{q-1})$  has uncountably many  $q$ -expansions unless  $x = \frac{(1+\sqrt{5})n}{2} \bmod 1$ , for some  $n \in \mathbb{Z}$ , in which case  $\Sigma_q(x)$  is infinite countable. In [12] it was shown that for  $q \in (\frac{1+\sqrt{5}}{2}, 2)$  the set  $\Sigma_q(x)$  is uncountable for almost every  $x \in (0, \frac{1}{q-1})$ . Furthermore, if  $q \in (\frac{1+\sqrt{5}}{2}, 2)$  then it was shown in [4] that there always exists  $x \in (0, \frac{1}{q-1})$  with a unique  $q$ -expansion.

In this paper we will be interested in the set of  $q \in (1, 2)$  for which there exists  $x \in (0, \frac{1}{q-1})$  satisfying  $\text{card } \Sigma_q(x) = \aleph_0$ . More specifically, we will be interested in the set

$$\mathcal{B}_{\aleph_0} := \left\{ q \in (1, 2) \mid \text{there exists } x \in \left(0, \frac{1}{q-1}\right) \text{ satisfying } \text{card } \Sigma_q(x) = \aleph_0 \right\}.$$

In [5] it was shown  $\min \mathcal{B}_{\aleph_0} = \frac{1+\sqrt{5}}{2}$ . We can define  $\mathcal{B}_k$  in an analogous way for all  $k \geq 1$ . It was first shown in [6] that  $\mathcal{B}_k \neq \emptyset$  for all  $k \geq 2$ , this was later improved upon in [13] where it was shown that for each  $k \in \mathbb{N}$  there exists  $\gamma_k > 0$  such that  $(2 - \gamma_k, 2) \subset \mathcal{B}_j$  for all  $1 \leq j \leq k$ . Combining the results stated in [13] and [3] the following theorem is shown to hold.

**Theorem 1.1.**      • *The smallest element of  $\mathcal{B}_2$  is*

$$q_2 \approx 1.71064,$$

*the appropriate root of  $x^4 = 2x^2 + x + 1$ .*

• *For  $k \geq 3$  the smallest element of  $\mathcal{B}_k$  is*

$$q_f \approx 1.75488,$$

*the appropriate root of  $x^3 = 2x^2 - x + 1$ .*

• *Moreover, the first element of  $\mathcal{B}_2$  strictly greater than  $q_2$  is  $q_f$ .*

In this paper we will show that the following theorem holds.

**Theorem 1.2.** *The smallest element of  $\mathcal{B}_{\aleph_0}$  strictly greater than  $\frac{1+\sqrt{5}}{2}$  is*

$$q_{\aleph_0} \approx 1.64541,$$

*the appropriate root of  $x^6 = x^4 + x^3 + 2x^2 + x + 1$ .*

This answers a question originally posed in [13]. The following corollary is an immediate consequence of Theorem 1.1, Theorem 1.2 and our earlier remarks, it implies a full dichotomy for the number of possible  $q$ -expansions for  $q \in (\frac{1+\sqrt{5}}{2}, q_{\aleph_0})$ .

**Corollary 1.3.** *Let  $q \in (\frac{1+\sqrt{5}}{2}, q_{\aleph_0})$ , then there exists  $x \in (0, \frac{1}{q-1})$  such that  $\Sigma_q(x)$  is uncountable and there exists  $x \in (0, \frac{1}{q-1})$  with a unique  $q$ -expansion, moreover, for any  $x \in (0, \frac{1}{q-1})$  the set  $\Sigma_q(x)$  is either uncountable or a singleton set.*

Before stating the theory behind Theorem 1.2 we shall outline our method of proof, this will help to motivate the following sections. If  $q \in \mathcal{B}_{\aleph_0}$ , then as we will see, there must exist  $x \in I_q$  for which  $\Sigma_q(x)$  takes a highly nontrivial structure, in the following sections we refer to these  $x$  as  $q$  null infinite points. If  $I_q$  contains a  $q$  null infinite point and  $q \in [\frac{1+\sqrt{5}}{2}, q_f) \setminus \{q_2\}$ , then  $q$  must

satisfy certain strong algebraic properties. Once these properties are appropriately formalised it is apparent that they cannot be satisfied for  $q'$  sufficiently close to  $q$ , this implies that there exists  $\delta > 0$  such that  $\mathcal{B}_{\aleph_0} \cap (\frac{1+\sqrt{5}}{2}, \frac{1+\sqrt{5}}{2} + \delta) = \emptyset$ , and more generally that  $\mathcal{B}_{\aleph_0} \cap ([\frac{1+\sqrt{5}}{2}, q_f] \setminus \{q_2\})$  is a discrete set. The  $\delta$  produced by our method in fact turns out to be optimal. We remark that  $q_{\aleph_0} \in \mathcal{B}_{\aleph_0}$  was already known to Hare and Sidorov [9], moreover, numerical experiments done by Hare seemed to suggest  $q_{\aleph_0}$  was the smallest element of  $\mathcal{B}_{\aleph_0}$  strictly greater than  $\frac{1+\sqrt{5}}{2}$ .

In Section 2 we establish several technical results that will be used in Section 3 where we prove Theorem 1.2. In Section 4 we prove several results that arose naturally from our proof of Theorem 1.2. In particular, we prove that for all  $q \in (1, 2)$ , if  $x \in I_q$  satisfies  $\Sigma_q(x)$  is uncountable, then it must have cardinality equal to that of the continuum, as such the continuum hypothesis holds for this specific case, this answers a question attributed to Erdős. We also show that  $\mathcal{B}_{\aleph_0} \cap ([\frac{1+\sqrt{5}}{2}, q_f] \setminus \{q_2\})$  is a discrete set, and propose a method by which we can determine whether a typical  $q \in [\frac{1+\sqrt{5}}{2}, q_f] \setminus \{q_2\}$  is an element of  $\mathcal{B}_{\aleph_0}$ . Finally in Section 5 we pose some open questions.

## 2. PRELIMINARIES

We begin by recalling some standard results. In what follows we fix  $T_{q,0}(x) = qx$  and  $T_{q,1}(x) = qx - 1$ , we typically denote an element of  $\bigcup_{n=0}^{\infty} \{T_{q,0}, T_{q,1}\}^n$  by  $a$ ; here  $\{T_{q,0}, T_{q,1}\}^0$  denotes the set consisting of the identity map. Moreover, if  $a = (a_1, \dots, a_n)$  we shall use  $a(x)$  to denote  $(a_n \circ \dots \circ a_1)(x)$  and  $|a|$  to denote the length of  $a$ . Given  $a \in \bigcup_{n=0}^{\infty} \{T_{q,0}, T_{q,1}\}^n$  and  $q' \neq q$ , we can identify  $a$  with an element of  $\bigcup_{n=0}^{\infty} \{T_{q',0}, T_{q',1}\}^n$  by replacing each  $T_{q,i}$  term in  $a$  with a  $T_{q',i}$  term. By an abuse of notation we also denote the element of  $\bigcup_{n=0}^{\infty} \{T_{q',0}, T_{q',1}\}^n$  attained through this identification by  $a$ , whether we are interpreting  $a$  as an element of  $\bigcup_{n=0}^{\infty} \{T_{q,0}, T_{q,1}\}^n$  or  $\bigcup_{n=0}^{\infty} \{T_{q',0}, T_{q',1}\}^n$  will be clear from the context. We will make regular use of this identification in Section 4.

We let

$$\Omega_q(x) = \left\{ (a_i)_{i=1}^{\infty} \in \{T_{q,0}, T_{q,1}\}^{\mathbb{N}} : (a_n \circ \dots \circ a_1)(x) \in I_q \text{ for all } n \in \mathbb{N} \right\}.$$

The significance of  $\Omega_q(x)$  is made clear by the following lemma.

**Lemma 2.1.** *card  $\Sigma_q(x) = \text{card } \Omega_q(x)$  where our bijection identifies  $(\epsilon_i)_{i=1}^{\infty}$  with  $(T_{q,\epsilon_i})_{i=1}^{\infty}$ .*

The proof of Lemma 2.1 is contained within [2]. It is an immediate consequence of Lemma 2.1 that we can interpret Theorem 1.2 in terms of  $\Omega_q(x)$  rather than  $\Sigma_q(x)$ . Throughout the course of our proof of Theorem 1.2 we will frequently switch between  $\Sigma_q(x)$  and the dynamical interpretation of  $\Sigma_q(x)$  provided by Lemma 2.1, often considering  $\Omega_q(x)$  will help our exposition.

An element  $x \in I_q$  satisfies  $T_{q,0}(x) \in I_q$  and  $T_{q,1}(x) \in I_q$  if and only if  $x \in [\frac{1}{q}, \frac{1}{q(q-1)}]$ . Furthermore, if  $\text{card } \Sigma_q(x) > 1$  or equivalently  $\text{card } \Omega_q(x) > 1$ , then there exists a unique minimal sequence of transformations  $a$  such that  $a(x) \in [\frac{1}{q}, \frac{1}{q(q-1)}]$ . Throughout this paper when we speak of a finite sequence being minimal we mean minimal amongst  $\bigcup_{n=0}^{\infty} \{T_{q,0}, T_{q,1}\}^n$  with respect to length. In what follows we let  $S_q := [\frac{1}{q}, \frac{1}{q(q-1)}]$ ;  $S_q$  is usually referred to as the *switch*

region. If  $x \in (0, \frac{1}{q-1})$  and  $a$  is a finite sequence of transformations satisfying  $a(x) \in S_q$ , then we say that the sequence  $a$  is a *branching sequence* for  $x$  and  $a(x)$  is a *branching point* of  $x$ .

In what follows we denote the set of  $x \in I_q$  with unique  $q$ -expansion by  $U_q$ , i.e.

$$U_q = \left\{ x \in I_q \mid \text{card } \Sigma_q(x) = 1 \right\}.$$

The following lemma is a consequence of [8, Theorem 2].

**Lemma 2.2.** *Let  $q \in (\frac{1+\sqrt{5}}{2}, q_f]$ , then*

$$U_q = \left\{ (0^k(10)^\infty)_q, (1^k(10)^\infty)_q, 0, \frac{1}{q-1} \right\},$$

where  $k \geq 0$ .

In Lemma 2.2 we have adopted the notation  $(\epsilon_1, \dots, \epsilon_n)^k$  to denote the concatenation of  $(\epsilon_1, \dots, \epsilon_n) \in \{0, 1\}^n$  by itself  $k$  times and  $(\epsilon_1, \dots, \epsilon_n)^\infty$  to denote the element of  $\{0, 1\}^\mathbb{N}$  obtained by concatenating  $(\epsilon_1, \dots, \epsilon_n)$  by itself infinitely many times, we will use this notation throughout. Lemma 2.2 will be a useful tool when it comes to showing that  $(\frac{1+\sqrt{5}}{2}, q_{\aleph_0}) \cap \mathcal{B}_{\aleph_0} = \emptyset$ .

**2.1. Branching argument.** To prove Theorem 1.2 we use a variation of the branching argument that first appeared in [14]. Before giving details of our approach we describe the construction given in [14].

**2.1.1. Construction of the branching tree corresponding to  $x$ .** We define the *branching tree corresponding to  $x$*  as follows. Suppose  $x$  satisfies  $\text{card } \Omega_q(x) = 1$ , then we define the branching tree corresponding to  $x$  to be an infinite horizontal line. If  $x$  satisfies  $\text{card } \Omega_q(x) > 1$ , then there exists a unique minimal branching sequence  $a$ , we depict this choice of transformation by a horizontal line of finite length that then bifurcates with an upper and lower branch. The upper branch corresponds to the sequence of transformations obtained by concatenating the branching sequence  $a$  by  $T_{q,0}$  and the lower branch corresponds to the sequence of transformations obtained by concatenating the branching sequence  $a$  by  $T_{q,1}$ . If  $T_{q,i}(a(x))$  satisfies  $\Omega_q(T_{q,i}(a(x))) = 1$  then we extend the branch corresponding to  $T_{q,i}(a(x))$  by an infinite horizontal line. If  $\Omega_q(T_{q,i}(a(x))) > 1$  then there exists a unique minimal branching sequence for  $T_{q,i}(a(x))$  that we call  $a'$ , we depict this choice of transformation by extending the branch corresponding to  $T_{q,i}(a(x))$  by a horizontal line of finite length that then bifurcates, again the upper branch corresponds to concatenating  $a'$  by  $T_{q,0}$ , and the lower branch corresponds to concatenating  $a'$  by  $T_{q,1}$ . Repeatedly applying these rules to successive branching points of  $x$  we construct an infinite tree which we refer to as the branching tree corresponding to  $x$ . We refer the reader to Figure 1 for a diagram illustrating the construction of the branching tree corresponding to  $x$ . Where appropriate we denote the branching tree corresponding to  $x$  by  $\mathcal{T}(x)$ . The branching tree corresponding to  $x$  is referred to as the branching compactum in [14].

*Remark 2.3.* It is immediate from the construction of  $\mathcal{T}(x)$  that there is a bijection between the space of infinite paths in  $\mathcal{T}(x)$  and  $\Omega_q(x)$ , which by Lemma 2.1 implies there is also a bijection between the space of infinite paths in  $\mathcal{T}(x)$  and  $\Sigma_q(x)$ .

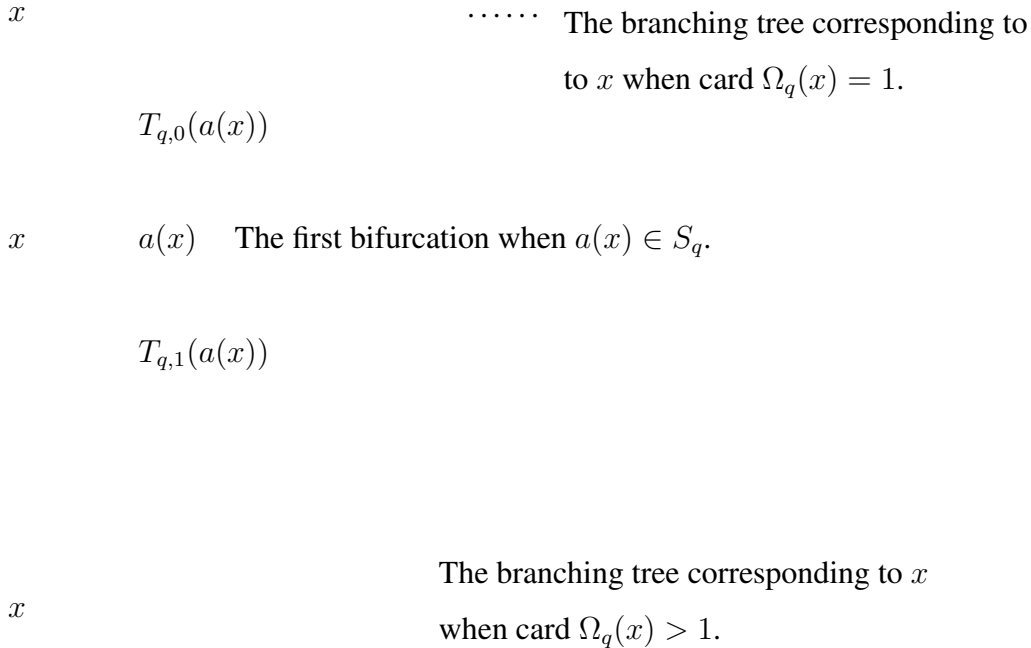


FIGURE 1. The construction of the branching tree corresponding to  $x$

2.1.2. *Construction of the infinite branching tree corresponding to  $x$ .* We now give details of our variation of the above construction which will be more suited towards our exposition. Suppose  $x \in I_q$  satisfies  $\Omega_q(x)$  is infinite or equivalently  $\Sigma_q(x)$  is infinite, we define the *infinite branching tree corresponding to  $x$*  as follows. If for each branching point of  $x$ ,  $a(x)$ , we have  $\text{card } \Omega_q(T_{q,i}(a(x))) < \infty$ , for some  $i \in \{0, 1\}$ , then we define the infinite branching tree corresponding to  $x$  to be an infinite horizontal line. If this is not the case then there exists a branching point  $a(x)$  such that  $\Omega_q(T_{q,0}(a(x)))$  and  $\Omega_q(T_{q,1}(a(x)))$  are both infinite. Taking  $a$  to be the unique minimal branching sequence of  $x$  for which  $\Omega_q(T_{q,0}(a(x)))$  and  $\Omega_q(T_{q,1}(a(x)))$  are both infinite we draw a horizontal line of finite length which bifurcates, the upper branch corresponds to  $T_{q,0}(a(x))$  and the lower branch corresponds to  $T_{q,1}(a(x))$ . We then extend the branch corresponding to  $T_{q,i}(a(x))$  in accordance with the same rules, i.e., if for each branching point of  $T_{q,i}(a(x))$ ,  $a'(T_{q,i}(a(x)))$ , we have  $\text{card } \Omega_q(a'(T_{q,i}(a(x)))) < \infty$ , for some  $i \in \{0, 1\}$ , we extend the branch corresponding to  $T_{q,i}(a(x))$  by an infinite horizontal line, and if there exists a branching point of  $T_{q,i}(a(x))$ ,  $a'(T_{q,i}(a(x)))$ , such that both  $\Omega_q(T_{q,0}(a'(T_{q,i}(a(x)))))$  and  $\Omega_q(T_{q,1}(a'(T_{q,i}(a(x)))))$  are infinite, we extend the branch corresponding to  $T_{q,i}(a(x))$  by a finite horizontal line that then bifurcates, with upper branching corresponding to  $T_{q,0}(a'(T_{q,i}(a(x))))$ , and lower branch corresponding to  $T_{q,1}(a'(T_{q,i}(a(x))))$ . Repeatedly applying these rules to each

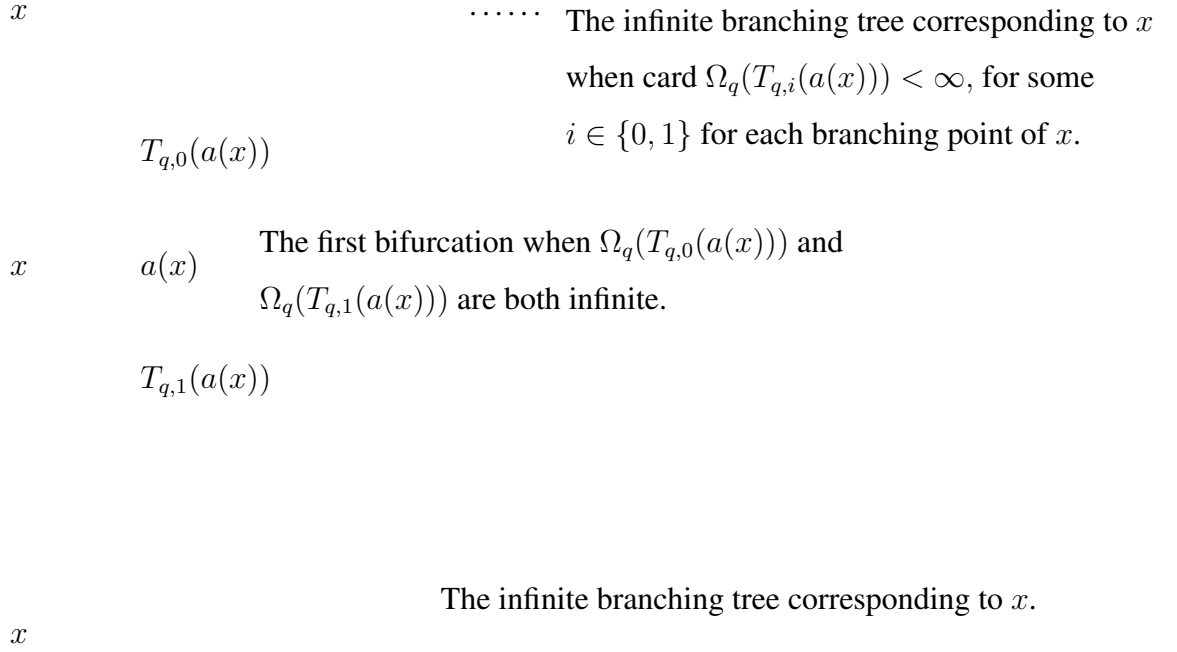


FIGURE 2. The construction of the infinite branching tree corresponding to  $x$

upper and lower branch of our construction we obtain an infinite tree that we refer to as the infinite branching tree corresponding to  $x$ . We refer the reader to Figure 2 for a diagram illustrating the construction of the branching tree corresponding to  $x$ . Where appropriate we denote the infinite branching tree corresponding to  $x$  by  $\mathcal{T}_\infty(x)$ . If  $\mathcal{T}_\infty(x)$  contains at least one bifurcation then every branch except the initial horizontal branch begins at a point where  $\mathcal{T}_\infty(x)$  bifurcates, we refer to this point as the *root of the branch*. It is clear from the construction of  $\mathcal{T}_\infty(x)$  that the root of a branch can be identified with a branching point of  $x$ .

*Remark 2.4.* Every infinite path in  $\mathcal{T}_\infty(x)$  can be identified with a unique element of  $\Omega_q(x)$ . However, unlike  $\mathcal{T}(x)$  not every element of  $\Omega_q(x)$  necessarily corresponds to a unique infinite path in  $\mathcal{T}_\infty(x)$ .

If  $x$  satisfies  $\Omega_q(x)$  is infinite and for each branching point  $a(x)$  we have  $\text{card } \Omega_q(T_{q,i}(a(x))) < \infty$ , for some  $i \in \{0, 1\}$ , i.e., the case where the infinite branching tree is an infinite horizontal line, then we refer to  $x$  as a *q null infinite point*. It is an immediate consequence of our definition that if  $x$  is a *q null infinite point* then  $\text{card } \Omega_q(x) = \text{card } \Sigma_q(x) = \aleph_0$ .

*Remark 2.5.* For  $q \in (\frac{1+\sqrt{5}}{2}, q_f) \setminus \{q_2\}$ , it is a consequence of Theorem 1.1 that if  $x$  satisfies  $\Omega_q(x)$  is infinite, then at each branching point  $a(x)$ , either both  $\Omega_q(T_{q,0}(a(x)))$  and  $\Omega_q(T_{q,1}(a(x)))$  are infinite or one of them is infinite and one of them is a singleton set, i.e,  $T_{q,i}(a(x)) \in U_q$  for some  $i \in \{0, 1\}$ . As such, for  $q \in (\frac{1+\sqrt{5}}{2}, q_f) \setminus \{q_2\}$  we may interpret  $\mathcal{T}_\infty(x)$  as the infinite tree obtained from  $\mathcal{T}(x)$  if we remove all branches that end in infinite horizontal lines.

*Remark 2.6.* The case where  $x$  is a  $q$  null infinite point is of particular importance. By Theorem 1.1 it follows that for  $q \in (\frac{1+\sqrt{5}}{2}, q_2) \cup (q_2, q_f)$ , if  $x$  is a  $q$  null infinite point then for each branching point of  $x$ ,  $a(x)$ , we must have  $\text{card } \Omega_q(T_{q,i}(a(x))) = \aleph_0$  and  $\text{card } \Omega_q(T_{q,1-i}(a(x))) = 1$ , for some  $i \in \{0, 1\}$ .

As the following proposition shows, it is in fact the case that whenever  $q \in \mathcal{B}_{\aleph_0}$ , then  $(0, \frac{1}{q-1})$  contains a  $q$  null infinite point.

**Proposition 2.7.** *Suppose  $q \in \mathcal{B}_{\aleph_0}$ , then  $(0, \frac{1}{q-1})$  contains a  $q$  null infinite point.*

*Proof.* If  $q \in \mathcal{B}_{\aleph_0}$  there exists  $x \in (0, \frac{1}{q-1})$  satisfying  $\text{card } \Omega_q(x) = \aleph_0$ . If  $x$  is a  $q$  null infinite point then we are done, let us assume this is not the case and that  $\mathcal{T}_\infty(x)$  contains at least one bifurcation. If each branch of  $\mathcal{T}_\infty(x)$  was to always bifurcate then  $\mathcal{T}_\infty(x)$  would be the full binary tree, as each infinite path in  $\mathcal{T}_\infty(x)$  can be identified with a unique element of  $\Omega_q(x)$  and the set of infinite paths in the full binary tree has cardinality equal to the continuum we would have  $\text{card } \Omega_q(x) = 2^{\aleph_0}$ , a contradiction. As such there must exist at least one branch that no longer bifurcates, by considering the root of this branch and the corresponding branching point  $a(x) \in S_q$ , either  $T_{q,0}(a(x))$  or  $T_{q,1}(a(x))$  must be a  $q$  null infinite point.  $\square$

To prove Theorem 1.2 we first of all show that  $(\frac{1+\sqrt{5}}{2}, q_{\aleph_0}) \cap \mathcal{B}_{\aleph_0} = \emptyset$ , we do this by contradiction. By Proposition 2.7 if  $q \in \mathcal{B}_{\aleph_0}$  then  $(0, \frac{1}{q-1})$  contains a  $q$  null infinite point, by studying  $q$  null infinite points we will be able to derive our desired contradiction.

### 3. PROOF OF THEOREM 1.2

Our proof of Theorem 1.2 will be split into two parts, we begin by showing that  $(\frac{1+\sqrt{5}}{2}, q_{\aleph_0}) \cap \mathcal{B}_{\aleph_0} = \emptyset$ , we then explicitly construct an  $x \in I_{q_{\aleph_0}}$  for which  $\text{card } \Omega_{q_{\aleph_0}}(x) = \aleph_0$ .

3.1. **Proof that  $(\frac{1+\sqrt{5}}{2}, q_{\aleph_0}) \cap \mathcal{B}_{\aleph_0} = \emptyset$ .** To show that  $(\frac{1+\sqrt{5}}{2}, q_{\aleph_0}) \cap \mathcal{B}_{\aleph_0} = \emptyset$  it is useful to consider the following interval:

$$J_q := \left[ \frac{q + q^2}{q^4 - 1}, \frac{1 + q^3}{q^4 - 1} \right].$$

The following identities hold

$$(3.1) \quad T_{q,1} \left( T_{q,0} \left( \frac{q + q^2}{q^4 - 1} \right) \right) = \frac{1 + q^3}{q^4 - 1} \text{ and } T_{q,0} \left( T_{q,1} \left( \frac{1 + q^3}{q^4 - 1} \right) \right) = \frac{q + q^2}{q^4 - 1},$$

it is an immediate consequence of (3.1) that  $\frac{q+q^2}{q^4-1} = ((0110)^\infty)_q$  and  $\frac{1+q^3}{q^4-1} = ((1001)^\infty)_q$ . The endpoints of  $J_q$  are contained within a 4-cycle

$$\left\{ ((0110)^\infty)_q, ((1100)^\infty)_q, ((1001)^\infty)_q, ((0011)^\infty)_q \right\}.$$



For  $q > q_f$  this cycle it is a subset of  $U_q$ , moreover, it is the first 4-cycle to be a subset of  $U_q$ , see [1]. The significance of the interval  $J_q$  is made clear by the following lemma.

**Lemma 3.1.** *Let  $q \in (\frac{1+\sqrt{5}}{2}, q_f]$ . Suppose  $x \in I_q$  satisfies  $\text{card } \Omega_q(x) > 1$ , then there exists a finite sequence of transformations  $a$  such that  $a(x) \in J_q$ .*

*Proof.* It is a simple exercise to show that if  $q \in (\frac{1+\sqrt{5}}{2}, q_f]$  then  $J_q \subseteq S_q$  with equality if and only if  $q = q_f$ . Let  $x \in I_q$  satisfy  $\text{card } \Omega_q(x) > 1$ , then there exists a finite sequence of transformations  $a$  such that  $a(x) \in S_q$ . If  $q = q_f$  then we may immediately conclude our result, as such in what follows we assume  $q \in (\frac{1+\sqrt{5}}{2}, q_f)$ . If  $q \in (\frac{1+\sqrt{5}}{2}, 2)$ , then  $S_q \subset (\frac{1}{q^2-1}, \frac{q}{q^2-1})$ . The significance of the points  $\frac{1}{q^2-1}$  and  $\frac{q}{q^2-1}$  is that  $T_{q,0}(\frac{1}{q^2-1}) = \frac{q}{q^2-1}$  and  $T_{q,1}(\frac{q}{q^2-1}) = \frac{1}{q^2-1}$ . If  $y \in (\frac{1}{q^2-1}, \frac{q}{q^2-1})$ , then the following identities hold:

$$(3.2) \quad T_{q,1}(T_{q,0}(y)) - \frac{1}{q^2-1} = q^2 \left( y - \frac{1}{q^2-1} \right) \quad \text{and} \quad \frac{q}{q^2-1} - T_{q,0}(T_{q,1}(y)) = q^2 \left( \frac{q}{q^2-1} - y \right),$$

i.e.,  $T_{q,1} \circ T_{q,0}$  scales the distance between  $y$  and  $\frac{1}{q^2-1}$  by a factor  $q^2$  and  $T_{q,0} \circ T_{q,1}$  scales the distance between  $y$  and  $\frac{q}{q^2-1}$  by a factor  $q^2$ .

Returning to  $a(x) \in S_q$ , if  $a(x) \in J_q$  then we are done, let us suppose this is not the case and  $a(x) \in S_q \setminus J_q = [\frac{1}{q}, \frac{q+q^2}{q^4-1}) \cup (\frac{1+q^3}{q^4-1}, \frac{1}{q(q-1)}]$ . If  $a(x)$  in  $[\frac{1}{q}, \frac{q+q^2}{q^4-1})$  then it follows from (3.1), (3.2) and the monotonicity of the maps  $T_{q,0}$  and  $T_{q,1}$  that sufficiently many iterates of the map  $T_{q,1} \circ T_{q,0}$  will map  $a(x)$  into  $J_q$ , similarly if  $a(x) \in (\frac{1+q^3}{q^4-1}, \frac{1}{q(q-1)}]$  then sufficiently many iterates of the map  $T_{q,0} \circ T_{q,1}$  will map  $a(x)$  into  $J_q$ .  $\square$

To prove  $(\frac{1+\sqrt{5}}{2}, q_{\mathbb{N}_0}) \cap \mathcal{B}_{\mathbb{N}_0} = \emptyset$  it is necessary to determine which elements of  $J_q$  are preimages of points with unique  $q$ -expansion, these points are classified in the following proposition.

**Proposition 3.2.** *Let  $q \in (\frac{1+\sqrt{5}}{2}, q_{\mathbb{N}_0})$ , then*

$$(T_{q,0}^{-1}(U_q) \cap J_q) \cup (T_{q,1}^{-1}(U_q) \cap J_q) = \{(01(10)^\infty)_q, (011(10)^\infty)_q, (10(01)^\infty)_q, (100(01)^\infty)_q\}.$$

*Proof.* By Lemma 2.2 to prove our result it suffices to show that the following identities hold for  $q \in (\frac{1+\sqrt{5}}{2}, q_{\mathbb{N}_0})$ :

- (1)  $T_{q,0}(\frac{q+q^2}{q^4-1}) \in (((10)^\infty)_q, (1(10)^\infty)_q)$
- (2)  $T_{q,0}(\frac{1+q^3}{q^4-1}) \in ((11(10)^\infty)_q, (111(10)^\infty)_q)$
- (3)  $T_{q,1}(\frac{q+q^2}{q^4-1}) \in ((000(01)^\infty)_q, (00(01)^\infty)_q)$
- (4)  $T_{q,1}(\frac{1+q^3}{q^4-1}) \in ((0(01)^\infty), (01)^\infty)_q$ .

Performing several straightforward calculations we can show that for  $q \in (\frac{1+\sqrt{5}}{2}, q_{\mathbb{N}_0})$  each of these identities hold. We remark that the upper bound  $q_{\mathbb{N}_0}$  is optimal as

$$T_{q_{\mathbb{N}_0},0} \left( \frac{1+q_{\mathbb{N}_0}^3}{q_{\mathbb{N}_0}^4-1} \right) = (111(10)^\infty)_{q_{\mathbb{N}_0}} \quad \text{and} \quad T_{q_{\mathbb{N}_0},1} \left( \frac{q_{\mathbb{N}_0} + q_{\mathbb{N}_0}^2}{q_{\mathbb{N}_0}^4-1} \right) = (000(01)^\infty)_{q_{\mathbb{N}_0}}.$$

$\square$

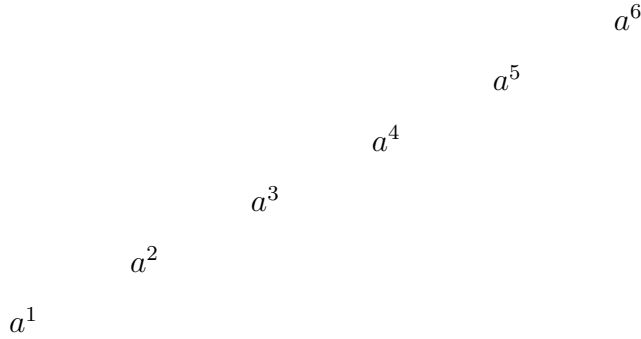


FIGURE 3. The branching tree corresponding to  $x$  when  $x$  is a  $q$  null infinite point and  $q \in (\frac{1+\sqrt{5}}{2}, q_{\mathbb{N}_0})$

We are now in a position to prove  $(\frac{1+\sqrt{5}}{2}, q_{\mathbb{N}_0}) \cap \mathcal{B}_{\mathbb{N}_0} = \emptyset$ . Suppose  $q \in (\frac{1+\sqrt{5}}{2}, q_{\mathbb{N}_0}) \cap \mathcal{B}_{\mathbb{N}_0}$ , then by Proposition 2.7 we may assume  $x \in (0, \frac{1}{q-1})$  is a  $q$  null infinite point. We let  $a^1 = (a_1^1, \dots, a_{k_1}^1)$  denote the unique minimal branching sequence of  $x$ , since  $\text{card } \Omega_q(T_{q,i}(a^1(x))) = 1$  for some  $i \in \{0, 1\}$ , there exists a unique minimal sequence of transformations  $a^2 = (a_1^2, \dots, a_{k_2}^2)$  such that  $a^2(a^1(x)) \in S_q$ . Similarly, for  $i \geq 2$  we let  $a^i = (a_1^i, \dots, a_{k_i}^i)$  denote the unique minimal sequence of transformations satisfying  $a^i(a^{i-1}(\dots(a^1(x))\dots)) \in S_q$ . We refer the reader to Figure 3 for a diagram depicting the branching tree corresponding to  $x$  when  $x$  is a  $q$  null infinite point and  $q \in (\frac{1+\sqrt{5}}{2}, q_{\mathbb{N}_0})$ , this diagram illustrates the role of the sequence  $(a^i)_{i=1}^\infty$ . For ease of exposition we denote the finite concatenation  $a^1 a^2 \dots a^i$  by  $b^i$ , therefore  $a^i(a^{i-1}(\dots(a^1(x))\dots)) = b^i(x)$ . For  $q \in (\frac{1+\sqrt{5}}{2}, 2)$ , if  $b^i(x) \in S_q$  then  $T_{q,i}(b^i(x)) \notin S_q$  for  $i \in \{0, 1\}$ , this implies  $k_i \geq 2$  for all  $i \in \mathbb{N}$ .

By Lemma 3.1 we can assert that  $b^n(x) \in J_q$  for some  $n \geq 2$ . Since  $x$  is a  $q$  null infinite point Proposition 3.2 implies  $b^n(x) \in \{(01(10)^\infty)_q, (011(10)^\infty)_q, (10(01)^\infty)_q, (100(01)^\infty)_q\}$ . We now show that if  $b^n(x) \in \{(01(10)^\infty)_q, (011(10)^\infty)_q, (10(01)^\infty)_q, (100(01)^\infty)_q\}$ , then  $T_{q,i}(b^{n-1}(x)) \notin U_q$ , for  $i \in \{0, 1\}$ . This will contradict our assumption that  $x$  is a  $q$  null infinite point and implies  $(\frac{1+\sqrt{5}}{2}, q_{\mathbb{N}_0}) \cap \mathcal{B}_{\mathbb{N}_0} = \emptyset$ .

If  $a^n = (a_1^n, \dots, a_{k_n}^n)$  then without loss in generality we may assume that  $a_{k_n}^n = T_{q,0}$ . The following lemma determines  $a_i^n$  for  $1 \leq i < k_n$ .

**Lemma 3.3.** *Let  $x$  and  $a^n$  be as above. Suppose  $a_{k_n}^n = T_{q,0}$ , then  $a_1^n = T_{q,1}$  and  $a_i^n = T_{q,0}$  for  $1 < i < k_n$ .*

*Proof.* We begin by showing  $a_1^n = T_{q,1}$ . We suppose  $a_1^n = T_{q,0}$  and derive a contradiction. If  $a_1^n = T_{q,0}$ , then  $T_{q,0}(b^{n-1}(x)) \in (\frac{1}{q(q-1)}, \frac{1}{q-1}]$  and  $k_n \geq 3$ . Letting  $a' = (a_2^n, \dots, a_{k_n-1}^n)$  it follows

that  $a'(T_{q,0}(b^{n-1}(x))) = T_{q,0}^{-1}(a^n(x))$  and by the minimality of  $a^n$  we must have

$$(a_i^n \circ \cdots \circ a_2^n)(T_{q,0}(b^{n-1}(x))) \notin S_q$$

for  $2 \leq i \leq k_n - 1$ , we now show that this is not possible.

If  $a'(T_{q,0}(b^{n-1}(x))) = T_{q,0}^{-1}(a^n(x))$  and  $(a_i^n \circ \cdots \circ a_2^n)(T_{q,0}(b^{n-1}(x))) \notin S_q$  for all  $2 \leq i \leq k_n - 1$ , then it is a consequence of  $T_{q,1}$  being strictly decreasing on  $(0, \frac{1}{q-1})$  and  $T_{q,0}$  being strictly increasing on  $(0, \frac{1}{q-1})$  that we must have

$$(3.3) \quad T_{q,1}\left(\frac{1}{q(q-1)}\right) \leq T_{q,0}^{-1}(b^n(x)).$$

By our assumption  $b^n(x) \in \{(01(10)^\infty)_q, (011(10)^\infty)_q, (10(01)^\infty)_q, (100(01)^\infty)_q\}$ , therefore to derive our contradiction it suffices to show that (3.3) does not hold for each of these four cases. As such, to conclude  $a_1^n = T_{q,1}$  we need to show that following inequalities hold:

- (1)  $T_{q,0}^{-1}((01(10)^\infty)_q) < T_{q,1}\left(\frac{1}{q(q-1)}\right)$
- (2)  $T_{q,0}^{-1}((011(10)^\infty)_q) < T_{q,1}\left(\frac{1}{q(q-1)}\right)$
- (3)  $T_{q,0}^{-1}((10(01)^\infty)_q) < T_{q,1}\left(\frac{1}{q(q-1)}\right)$
- (4)  $T_{q,0}^{-1}((100(01)^\infty)_q) < T_{q,1}\left(\frac{1}{q(q-1)}\right)$ .

By the monotonicity of the map  $T_{q,0}^{-1}$  it suffices to show only (2) and (3) hold. We can show that (2) holds for  $q \in (\frac{1+\sqrt{5}}{2}, 1.69765\dots)$ , here  $1.69765\dots$  is the appropriate root of  $x^6 = x^5 + 2x^4 - x^3 - x^2 + 1$ . Similarly (3) holds for  $q \in (\frac{1+\sqrt{5}}{2}, 1.68042\dots)$  where  $1.68042\dots$  is the appropriate root of  $x^5 = x^4 + x^3 + x - 1$ . Therefore (3.3) does not hold and we may conclude  $a_1^n = T_{q,1}$ .

It remains to show  $a_i^n = T_{q,0}$  for  $1 < i < k_n$ . Suppose  $a_i^n = T_{q,1}$  for some  $1 < i < k_n$ , then either

$$(a_{i-1}^n \circ \cdots \circ a_1^n)(b^{n-1}(x)) \in S_q \text{ or } (a_{i-1}^n \circ \cdots \circ a_1^n)(b^{n-1}(x)) \in \left(\frac{1}{q(q-1)}, \frac{1}{q-1}\right].$$

As a consequence of the minimality of  $a^n$  we cannot have  $(a_{i-1}^n \circ \cdots \circ a_1^n)(b^{n-1}(x)) \in S_q$ . By analogous reasoning to that stated in the first part of our proof, the minimality of  $a^n$  also implies that we cannot have  $(a_{i-1}^n \circ \cdots \circ a_1^n)(b^{n-1}(x)) \in (\frac{1}{q(q-1)}, \frac{1}{q-1}]$ . We may therefore conclude  $a_i^n = T_{q,0}$  for all  $1 < i < k_n$ .  $\square$

By Lemma 3.3 we have  $b^n(x) = (T_{q,0}^{k_n} \circ T_{q,1})(b^{n-1}(x))$ , since  $x$  is a  $q$  null infinite point we have  $T_{q,0}(b^{n-1}(x)) \in U_q$ . This is equivalent to

$$(3.4) \quad T_{q,0}^{-k_n}(b^n(x)) + 1 \in U_q.$$

To derive our contradiction we will show that (3.4) cannot occur for all  $q \in (\frac{1+\sqrt{5}}{2}, q_{\aleph_0})$ . Since  $b^n(x) \in \{(01(10)^\infty)_q, (011(10)^\infty)_q, (10(01)^\infty)_q, (100(01)^\infty)_q\}$  there are four cases to consider, the analysis of these cases is summarised in the following proposition.

**Proposition 3.4.** For  $q \in (\frac{1+\sqrt{5}}{2}, q_{\mathbb{N}_0})$  the following inequalities hold:

- (1)  $T_{q,0}^{-1}((01(10)^\infty)_q) + 1 \in ((111(10)^\infty)_q, (1111(10)^\infty)_q)$
- (2)  $T_{q,0}^{-2}((01(10)^\infty)_q) + 1 \in ((1(10)^\infty)_q, (11(10)^\infty)_q)$
- (3)  $T_{q,0}^{-j}((01(10)^\infty)_q) + 1 \in (((10)^\infty), (1(10)^\infty)_q)$  for all  $j \geq 3$
- (4)  $T_{q,0}^{-1}((011(10)^\infty)_q) + 1 \in ((1111(10)^\infty)_q, (11111(10)^\infty)_q)$
- (5)  $T_{q,0}^{-2}((011(10)^\infty)_q) + 1 \in ((1(10)^\infty)_q, (11(10)^\infty)_q)$
- (6)  $T_{q,0}^{-j}((011(10)^\infty)_q) + 1 \in (((10)^\infty)_q, (1(10)^\infty)_q)$  for all  $j \geq 3$
- (7)  $T_{q,0}^{-1}((100(01)^\infty)_q) + 1 \in ((111(10)^\infty)_q, (1111(10)^\infty)_q)$
- (8)  $T_{q,0}^{-2}((100(01)^\infty)_q) + 1 \in ((1(10)^\infty)_q, (11(10)^\infty)_q)$
- (9)  $T_{q,0}^{-j}((100(01)^\infty)_q) + 1 \in (((10)^\infty), (1(10)^\infty)_q)$  for all  $j \geq 3$
- (10)  $T_{q,0}^{-1}((10(01)^\infty)_q) + 1 \in ((1111(10)^\infty)_q, (11111(10)^\infty)_q)$
- (11)  $T_{q,0}^{-2}((10(01)^\infty)_q) + 1 \in ((1(10)^\infty)_q, (11(10)^\infty)_q)$
- (12)  $T_{q,0}^{-j}((10(01)^\infty)_q) + 1 \in (((10)^\infty)_q, (1(10)^\infty)_q)$  for all  $j \geq 3$

*Proof.* Showing that these identities hold is a simple yet time consuming exercise, as such we omit the details. Our calculations yielded the following:

- (1), (2) and (3) hold for  $q \in (\frac{1+\sqrt{5}}{2}, 1.67602\dots)$ , where  $1.67602\dots$  is the appropriate root of  $x^5 = 2x^3 + x^2 + 1$
- (4), (5) and (6) hold for  $q \in (\frac{1+\sqrt{5}}{2}, 1.65462\dots)$ , where  $1.65462\dots$  is the appropriate root of  $x^6 = 2x^4 + x^3 + 1$
- (7), (8) and (9) hold for  $q \in (\frac{1+\sqrt{5}}{2}, 1.666184\dots)$ , where  $1.666184\dots$  is the appropriate root of  $x^5 = x^3 + x^2 + 2x + 2$
- (10), (11) and (12) hold for  $q \in (\frac{1+\sqrt{5}}{2}, q_{\mathbb{N}_0})$ .

□

By Proposition 3.4 we can deduce that (3.4) does not hold and we have our desired contradiction, we may therefore conclude  $(\frac{1+\sqrt{5}}{2}, q_{\mathbb{N}_0}) \cap \mathcal{B}_{\mathbb{N}_0} = \emptyset$ .

**3.2. Proof that  $q_{\mathbb{N}_0} \in \mathcal{B}_{\mathbb{N}_0}$ .** By the above remarks to conclude Theorem 1.2 it suffices to show that  $q_{\mathbb{N}_0} \in \mathcal{B}_{\mathbb{N}_0}$ . The proof of this statement is contained within the following proposition.

**Proposition 3.5.**  $\frac{q_{\mathbb{N}_0} + q_{\mathbb{N}_0}^2}{q_{\mathbb{N}_0}^4 - 1}$  and  $\frac{1 + q_{\mathbb{N}_0}^3}{q_{\mathbb{N}_0}^4 - 1}$  have countably infinite  $q_{\mathbb{N}_0}$ -expansions.

*Proof.* To begin with we recall that

$$T_{q,1}\left(T_{q,0}\left(\frac{q+q^2}{q^4-1}\right)\right) = \frac{1+q^3}{q^4-1} \text{ and } T_{q,0}\left(T_{q,1}\left(\frac{1+q^3}{q^4-1}\right)\right) = \frac{q+q^2}{q^4-1},$$

for all  $q \in (1, 2)$ . As stated in the proof of Proposition 3.2

$$T_{q_{\mathbb{N}_0},0}\left(\frac{1+q_{\mathbb{N}_0}^3}{q_{\mathbb{N}_0}^4-1}\right) = (111(10)^\infty)_{q_{\mathbb{N}_0}} \text{ and } T_{q_{\mathbb{N}_0},1}\left(\frac{q_{\mathbb{N}_0} + q_{\mathbb{N}_0}^2}{q_{\mathbb{N}_0}^4-1}\right) = (000(01)^\infty)_{q_{\mathbb{N}_0}}.$$

Since

$$T_{q_{\aleph_0},0}\left(\frac{q_{\aleph_0} + q_{\aleph_0}^2}{q_{\aleph_0}^4 - 1}\right) \in \left(\frac{1}{q_{\aleph_0}(q_{\aleph_0} - 1)}, \frac{1}{q_{\aleph_0} - 1}\right] \text{ and } T_{q_{\aleph_0},1}\left(\frac{1 + q_{\aleph_0}^3}{q_{\aleph_0}^4 - 1}\right) \in \left[0, \frac{1}{q_{\aleph_0}}\right),$$

it follows that

$$\Sigma_{q_{\aleph_0}}\left(\frac{1 + q_{\aleph_0}^3}{q_{\aleph_0}^4 - 1}\right) = \left\{ (1001)^\infty, (1001)^k 0111(10)^\infty, (1001)^k 101000(01)^\infty \mid \text{for some } k \geq 0 \right\}$$

and

$$\Sigma_{q_{\aleph_0}}\left(\frac{q_{\aleph_0} + q_{\aleph_0}^2}{q_{\aleph_0}^4 - 1}\right) = \left\{ (0110)^\infty, (0110)^k 1000(01)^\infty, (0110)^k 010111(10)^\infty \mid \text{for some } k \geq 0 \right\}.$$

□

It is immediate from the proof of Proposition 3.5 that both  $\frac{q_{\aleph_0} + q_{\aleph_0}^2}{q_{\aleph_0}^4 - 1}$  and  $\frac{1 + q_{\aleph_0}^3}{q_{\aleph_0}^4 - 1}$  are  $q_{\aleph_0}$  null infinite points. By Proposition 3.5 we have  $q_{\aleph_0} \in \mathcal{B}_{\aleph_0}$  and by our earlier remarks we may conclude Theorem 1.2.

#### 4. GENERAL RESULTS

In this section we shall prove some general results that arose from our proof of Theorem 1.2.

**4.1. The continuum hypothesis for  $\Sigma_q(x)$ .** In this section we show that the following theorem holds.

**Theorem 4.1.** *Let  $q \in (1, 2)$  and  $x \in I_q$ , if  $\Sigma_q(x)$  is uncountable then  $\text{card } \Sigma_q(x) = 2^{\aleph_0}$ .*

To prove this statement we need to construct another infinite tree in a similar way to how we constructed the branching tree corresponding to  $x$  and the infinite branching tree corresponding to  $x$ . We define the  $2^{\aleph_0}$  branching tree corresponding to  $x$  as follows. Suppose  $x \in I_q$  satisfies  $\Omega_q(x)$  is uncountable, if for each branching point of  $x$  we have  $\text{card } \Omega_q(T_{q,i}(a(x))) \leq \aleph_0$  for some  $i \in \{0, 1\}$ , then the  $2^{\aleph_0}$  branching tree corresponding to  $x$  is an infinite horizontal line. If this is not the case then there exists a unique minimal branching sequence  $a$  such that  $\Omega_q(T_{q,0}(a(x)))$  and  $\Omega_q(T_{q,1}(a(x)))$  are both uncountable, in this case we draw a finite horizontal line that then bifurcates with upper branch corresponding to  $T_{q,0}(a(x))$  and lower branch corresponding to  $T_{q,1}(a(x))$ . Applying these rules to the branches corresponding to  $T_{q,0}(a(x))$ ,  $T_{q,1}(a(x))$  and all subsequent branches we obtain an infinite tree. We refer to the infinite tree we obtain through this construction as the  $2^{\aleph_0}$  branching tree corresponding to  $x$ . Where appropriate we denote the  $2^{\aleph_0}$  branching tree corresponding to  $x$  by  $\mathcal{T}_{2^{\aleph_0}}(x)$ .

*Remark 4.2.* As was the case for  $\mathcal{T}(x)$  and  $\mathcal{T}_\infty(x)$  each infinite path in  $\mathcal{T}_{2^{\aleph_0}}(x)$  can be identified with a unique element of  $\Omega_q(x)$ .

By Remark 4.2 to prove that if  $\Sigma_q(x)$  is uncountable then  $\text{card } \Sigma_q(x) = 2^{\aleph_0}$  it suffices to show that  $\mathcal{T}_{2^{\aleph_0}}(x)$  is always the full binary tree. We will show that whenever  $x \in I_q$  satisfies  $\Sigma_q(x)$  is uncountable then there exists a branching sequence for  $x$  such that  $\Omega_q(T_{q,0}(a(x)))$  and  $\Omega_q(T_{q,1}(a(x)))$  are both uncountable. Repeatedly applying this result to successive branches in our construction will imply that every branch bifurcates and that  $\mathcal{T}_{2^{\aleph_0}}(x)$  is the full binary tree.

**Lemma 4.3.** *Let  $q \in (1, 2)$  and  $x \in I_q$ . If  $\Omega_q(x)$  is uncountable or equivalently  $\Sigma_q(x)$  is uncountable, then there exists a branching point of  $x$ ,  $a(x)$ , such that  $\Omega_q(T_{q,0}(a(x)))$  and  $\Omega_q(T_{q,1}(a(x)))$  are both uncountable.*

*Proof.* Suppose that for every branching point of  $x$  we have  $\text{card } \Omega_q(T_{q,i}(a(x))) \leq \aleph_0$  for some  $i \in \{0, 1\}$ . We let  $a^1 = (a_1^1, \dots, a_{n_1}^1)$  denote the unique minimal branching sequence of  $x$ , by our assumption  $\text{card } \Omega_q(T_{q,i_1}(a^1(x))) \leq \aleph_0$  for some  $i_1 \in \{0, 1\}$ , as  $\Omega_q(x)$  is uncountable we must have  $\Omega_q(T_{q,1-i_1}(a^1(x)))$  is uncountable. It is a consequence of  $\Omega_q(T_{q,1-i_1}(a^1(x)))$  being uncountable and our assumption, that there exists a unique minimal branching sequence  $a^2 = (a_1^2, \dots, a_{n_2}^2)$  and  $i_2 \in \{0, 1\}$  satisfying:  $n_2 > n_1$ ,  $a_j^1 = a_j^2$  for  $1 \leq j \leq n_1$ ,  $\Omega_q(a^2(x))$  is uncountable,  $\text{card } \Omega_q(T_{q,i_2}(a^2(x))) \leq \aleph_0$  and  $\Omega_q(T_{q,1-i_2}(a^2(x)))$  is uncountable. Moreover, for  $k \geq 2$  we define  $a^k = (a_1^k, \dots, a_{n_k}^k)$  and  $i_k \in \{0, 1\}$  inductively as follows, let  $a^k$  denote the unique minimal branching sequence of  $x$  such that  $n_k > n_{k-1}$ ,  $a_j^{k-1} = a_j^k$  for  $1 \leq j \leq n_{k-1}$  and  $\Omega_q(a^k(x))$  is uncountable, we let  $i_k$  denote the unique element of  $\{0, 1\}$  such that  $\text{card } \Omega_q(T_{q,i_k}(a^k(x))) \leq \aleph_0$  and  $\Omega_q(T_{q,1-i_k}(a^k(x)))$  is uncountable.

To each  $a^k$  we associate the set

$$\Omega_{a^k}(x) = \left\{ a \in \Omega_q(x) \mid a_j = a_j^k \text{ for } 1 \leq j \leq n_k \text{ and } a_{n_k+1} = T_{q,i_k} \right\}.$$

Clearly  $\text{card } \Omega_{a^k}(x) \leq \aleph_0$ . Letting  $a^\infty \in \{T_{q,0}, T_{q,1}\}^{\mathbb{N}}$  denote the unique infinite sequence obtained as the componentwise limit of  $(a^k)_{k=1}^\infty$ , it is an immediate consequence of our construction that

$$\Omega_q(x) = \{a^\infty\} \cup \left( \bigcup_{k=1}^{\infty} \Omega_{a^k}(x) \right)$$

and that  $\text{card } \Omega_q(x) \leq \aleph_0$ , a contradiction. Therefore there must exist a branching point of  $x$  such that both  $\Omega_q(T_{q,0}(a(x)))$  and  $\Omega_q(T_{q,1}(a(x)))$  are uncountable.  $\square$

Theorem 4.1 follows from our earlier remarks.

**4.2. Properties of  $\mathcal{B}_{\aleph_0} \cap ([\frac{1+\sqrt{5}}{2}, q_f) \setminus \{q_2\})$ .** It is clear from the proof of Theorem 1.2 that the interval  $J_q$  is an appropriate object of study, in particular, we are interested in its subset  $(T_{q,0}^{-1}(U_q) \cap J_q) \cup (T_{q,1}^{-1}(U_q) \cap J_q)$ . For  $k \geq 3$  we let  $\alpha_k$  denote the unique  $q \in (1, 2)$  such that

$$T_{q,0} \left( \frac{1+q^3}{q^4-1} \right) = ((1)^k(10)^\infty)_q,$$

the appropriate root of  $x^{k+4} = x^{k+3} + x^{k+2} + x^k - x^2 - 1$ . In particular  $\alpha_3 = q_{\aleph_0}$ . It is a simple exercise to show that  $\alpha_k \in [q_{\aleph_0}, q_f)$  for all  $k \geq 3$  and  $\alpha_k \nearrow q_f$ . Adapting the proof of Proposition 3.5 it can be shown that  $\alpha_k \in \mathcal{B}_{\aleph_0}$ , for all  $k \geq 3$ . The significance of  $\alpha_k$  follows from the fact that for  $q \in [\alpha_k, \alpha_{k+1})$  we have

$$(4.1) \quad (T_{q,0}^{-1}(U_q) \cap J_q) \cup (T_{q,1}^{-1}(U_q) \cap J_q) = \left\{ (1(0)^j(01)^\infty)_q, (0(1)^j(10)^\infty)_q \mid \text{for } 1 \leq j \leq k \right\}.$$

In what follows we let

$$P_q = (T_{q,0}^{-1}(U_q) \cap J_q) \cup (T_{q,1}^{-1}(U_q) \cap J_q)$$

and

$$U_{k,q} = \left\{ (1(0)^j(01)^\infty)_q, (0(1)^j(10)^\infty)_q \mid 1 \leq j \leq k \right\}.$$

The following result is implicit in our proof of Theorem 1.2 and therefore stated without proof.

**Proposition 4.4.** *Let  $q \in [q_{\mathbb{N}_0}, q_f) \setminus \{q_2\}$ , then  $q \in \mathcal{B}_{\mathbb{N}_0}$  if and only if  $P_q$  contains a  $q$  null infinite point.*

Suppose  $q \in [q_{\mathbb{N}_0}, q_f) \setminus \{q_2\}$ , then  $q \in [\alpha_k, \alpha_{k+1})$  for some  $k \geq 3$ , it follows from (4.1) and Proposition 4.4 that to determine whether  $q \in \mathcal{B}_{\mathbb{N}_0}$  we only have to verify whether  $U_{k,q}$  contains a  $q$  null infinite point. This statement makes determining whether  $q \in \mathcal{B}_{\mathbb{N}_0}$  a reasonably straightforward computation as we only have finitely many cases to consider. Proposition 4.4 also yields the following result.

**Theorem 4.5.**  $\mathcal{B}_{\mathbb{N}_0} \cap ([\frac{1+\sqrt{5}}{2}, q_f) \setminus \{q_2\})$  is a discrete set.

*Proof.* As  $\mathcal{B}_{\mathbb{N}_0} \cap [\frac{1+\sqrt{5}}{2}, q_{\mathbb{N}_0}) = \{\frac{1+\sqrt{5}}{2}\}$  it suffices to show that  $\mathcal{B}_{\mathbb{N}_0} \cap ([q_{\mathbb{N}_0}, q_f) \setminus \{q_2\})$  is a discrete set. For each  $q^* \in \mathcal{B}_{\mathbb{N}_0} \cap ([q_{\mathbb{N}_0}, q_f) \setminus \{q_2\})$ , we shall construct an open interval  $I_{q^*}$  satisfying:  $q^* \in I_{q^*}$  and  $(I_{q^*} \setminus \{q^*\}) \cap \mathcal{B}_{\mathbb{N}_0} = \emptyset$ , this will imply  $\mathcal{B}_{\mathbb{N}_0} \cap ([q_{\mathbb{N}_0}, q_f) \setminus \{q_2\})$  is a discrete set.

Suppose  $q^* \in \mathcal{B}_{\mathbb{N}_0} \cap ([q_{\mathbb{N}_0}, q_f) \setminus \{q_2\})$ , then  $q^* \in [\alpha_k, \alpha_{k+1})$  for some  $k \geq 3$  and  $P_{q^*} = U_{k,q^*}$ . By a continuity argument there exists an open interval  $I_1$  satisfying:  $q^* \in I_1$  and  $P_q \subseteq U_{k,q}$ , for all  $q \in I_1$ . We let

$$\Sigma_{null} = \left\{ (\epsilon_i)_{i=1}^\infty \in \{1(0)^j(01)^\infty, 0(1)^j(10)^\infty \mid 1 \leq j \leq k \} \mid ((\epsilon_i)_{i=1}^\infty)_{q^*} \text{ is a } q^* \text{ null infinite point} \right\},$$

and

$$\Sigma_{bif} = \left\{ 1(0)^j(01)^\infty, 0(1)^j(10)^\infty \mid 1 \leq j \leq k \right\} \setminus \Sigma_{null}.$$

For ease of exposition we let  $\Sigma_{null} = \{(\epsilon_i^m)_{i=1}^\infty\}_{m=1}^M$  and  $\Sigma_{bif} = \{(\epsilon_i^n)_{i=1}^\infty\}_{n=1}^N$ . We will show that for each  $(\epsilon_i^m)_{i=1}^\infty \in \Sigma_{null}$  there exists a finite sequence of transformations  $a$  and an open interval  $I_m$  such that,  $q^* \in I_m$  and for each  $q \in I_m \setminus \{q^*\}$  we have  $T_{q,i}(a(((\epsilon_i^m)_{i=1}^\infty)_q)) \notin U_q$ , for  $i \in \{0, 1\}$ . Similarly, we will show that for each  $(\epsilon_i^n)_{i=1}^\infty \in \Sigma_{bif}$  there exists a finite sequence of transformations  $a$  and an open interval  $I_n$  such that,  $q^* \in I_n$  and for all  $q \in I_n$  we have  $T_{q,i}(a(((\epsilon_i^n)_{i=1}^\infty)_q)) \notin U_q$ , for  $i \in \{0, 1\}$ . Taking

$$I_{q^*} = I_1 \cap \left( \bigcap_{m=1}^M I_m \right) \cap \left( \bigcap_{n=1}^N I_n \right),$$

it will follow from our construction that if  $q \in I_{q^*} \setminus \{q^*\}$  then every element of  $P_q$  cannot be a  $q$  null infinite point, which by Proposition 4.4 implies  $(I_{q^*} \setminus \{q^*\}) \cap \mathcal{B}_{\mathbb{N}_0} = \emptyset$  and  $\mathcal{B}_{\mathbb{N}_0} \cap ([q_{\mathbb{N}_0}, q_f) \setminus \{q_2\})$  is a discrete set.

To begin with let us consider  $(\epsilon_i^m)_{i=1}^\infty \in \Sigma_{null}$ , by an application of Lemma 3.1 there exists a finite sequence of transformations  $a$  such that  $a(((\epsilon_i^m)_{i=1}^\infty)_{q^*}) \in P_{q^*}$ ,  $T_{q^*,i}(a(((\epsilon_i^m)_{i=1}^\infty)_{q^*})) \notin U_{q^*}$  and  $T_{q^*,1-i}(a(((\epsilon_i^m)_{i=1}^\infty)_{q^*})) = ((\delta_i)_{i=1}^\infty)_{q^*} \in U_{q^*}$ , for some  $i \in \{0, 1\}$ . By continuity we can assert that there exists an open interval  $I'_m$  satisfying:  $q^* \in I'_m$ ,  $a(((\epsilon_i^m)_{i=1}^\infty)_q) \in S_q$  and  $T_{q,i}(a(((\epsilon_i^m)_{i=1}^\infty)_q)) \notin U_q$  for all  $q \in I'_m$ . Since  $q^* \in [\alpha_k, \alpha_{k+1})$  we have

$$(\delta_i)_{i=1}^\infty \in \{(0)^j(01)^\infty, (1)^j(10)^\infty \mid 1 \leq j \leq k\},$$

from which it follows that satisfying  $T_{q,1-i}(a(((\epsilon_i^m)_{i=1}^\infty)_q)) = ((\delta_i)_{i=1}^\infty)_q$  is equivalent to satisfying  $f(q) = 0$  for some nontrivial polynomial  $f(q) \in \mathbb{Z}[q]$ . Clearly  $f(q^*) = 0$ , however, since  $f(q) = 0$  has a finite number of solutions there exists an open interval  $I_m''$  satisfying:  $q^* \in I_m''$ ,  $a(((\epsilon_i^m)_{i=1}^\infty)_q) \in S_q$  and  $f(q) \neq 0$  for all  $q \in I_m'' \setminus \{q^*\}$ . Moreover, by continuity we may assume that  $I_m''$  is sufficiently small such that  $T_{q,1-i}(a(((\epsilon_i^m)_{i=1}^\infty)_q)) \notin U_q \setminus \{((\delta_i)_{i=1}^\infty)_q\}$ , for all  $q \in I_m''$ . Taking  $I_m = I_m' \cap I_m''$ , we may conclude that for all  $q \in I_m \setminus \{q^*\}$  we have  $T_{q,i}(a(((\epsilon_i^m)_{i=1}^\infty)_q)) \notin U_q$ , for  $i \in \{0, 1\}$ .

It remains to consider  $(\epsilon_i^n)_{i=1}^\infty \in \Sigma_{bif}$ , as  $((\epsilon_i^n)_{i=1}^\infty)_{q^*}$  is not a  $q^*$  null infinite point there exists a finite sequence of transformations  $a$  such that  $a(((\epsilon_i^n)_{i=1}^\infty)_{q^*}) \in S_{q^*}$  and  $T_{q^*,i}(a(((\epsilon_i^n)_{i=1}^\infty)_{q^*})) \notin U_{q^*}$ , for  $i \in \{0, 1\}$ . By continuity it follows that there exists an open interval  $I_n$  such that,  $q^* \in I_n$ ,  $a(((\epsilon_i^n)_{i=1}^\infty)_q) \in S_q$  and  $T_{q,i}(a(((\epsilon_i^n)_{i=1}^\infty)_q)) \notin U_q$ , for  $i \in \{0, 1\}$ , for all  $q \in I_n$ .  $\square$

The discreteness of  $\mathcal{B}_{\mathbb{N}_0} \cap ([\frac{1+\sqrt{5}}{2}, q_f) \setminus \{q_2\})$  leads to some interesting questions that we state in the next section.

## 5. OPEN QUESTIONS

To conclude we shall pose some open questions.

- In [13] Sidorov constructs a sequence  $(q_k)_{k=1}^\infty$  such that,  $q_k \in \mathcal{B}_{\mathbb{N}_0}$  for all  $k \geq 1$  and  $q_k \searrow q_2$ . As stated at the start of Section 4  $\alpha_k \nearrow q_f$ , as such the following question seem natural. Suppose  $q \in \mathcal{B}_m$  for some  $m \geq 2$ , is  $q$  a limit point of  $\mathcal{B}_{\mathbb{N}_0}$ ? Moreover is the converse true, that is, if  $q$  is a limit point of  $\mathcal{B}_{\mathbb{N}_0}$  does that imply  $q \in \mathcal{B}_m$  for some  $m \geq 2$ ? The discreteness of  $\mathcal{B}_{\mathbb{N}_0} \cap ([\frac{1+\sqrt{5}}{2}, q_f) \setminus \{q_2\})$  guaranteed by Theorem 4.5 might seem to suggest so.
- Is  $\mathcal{B}_{\mathbb{N}_0}$  closed?
- Is  $q_2 \in \mathcal{B}_{\mathbb{N}_0}$ ? If  $q_2 \in \mathcal{B}_{\mathbb{N}_0}$  then it would be a consequence of our above remarks, Theorem 4.5 and [3, Proposition 2.1] that  $\mathcal{B}_{\mathbb{N}_0} \cap [\frac{1+\sqrt{5}}{2}, q_f]$  is a closed set.
- Given  $q \in \mathcal{B}_{\mathbb{N}_0}$ , what is the topology of the set of  $q$  null infinite points?

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