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Specific leaf area and leaf area index in developing stands of *Fagus* sylvatica L. and *Picea abies* Karst.

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4 Bohdan Konôpka^{1,2}, Jozef Pajtík^{1,2}, Róbert Marušák², Michal Bošeľa^{2,3}, Martin

- 5 Lukac^{2,4,*}
- 6 ¹National Forest Centre, T. G. Masaryka 2175/22, 960 92 Zvolen, Slovak Republic
- 7 ²Czech University of Life Sciences in Prague, Kamýcká 129, 165 21 Praha 6 Suchdol, Czech Republic
- 8 ³ Faculty of Forestry, Technical University in Zvolen, T.G.Masaryka 24, 960 53 Zvolen, Slovak Republic
- ⁹ ⁴School of Agriculture, Policy and Development, University of Reading, RG6 6AR, UK
- 10 **corresponding author, m.lukac@reading.ac.uk*

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13

14 Abstract

European beech (Fagus sylvatica L.) and Norway spruce (Picea abies Karst.) are two of the 15 16 most ecologically and economically important forest tree species in Europe. These two species co-occur in many locations in Europe, leading to direct competition for canopy space. Foliage 17 18 characteristics of two naturally regenerated pure stands of beech and spruce with fully closed 19 canopies were contrasted to assess the dynamic relationship between foliage adaptability to 20 shading, stand LAI and tree growth. We found that individual leaf size is far more conservative 21 in spruce than in beech. Individual leaf and needle area was larger at the top than at the bottom 22 of the canopy in both species. Inverse relationship was found for specific leaf area (SLA), 23 highest SLA values were found at lowest light availability under the canopy. There was no 24 difference in leaf area index (LAI) between the two stands, however LAI increased from 10.8 25 to 14.6 m²m⁻² between 2009 and 2011. Dominant trees of both species were more efficient in converting foliage mass or area to produce stem biomass, although this relationship changed 26 27 with age and was species-specific. Overall, we found larger foliage plasticity in beech than in 28 spruce in relation to light conditions, indicating larger capacity to exploit niche openings.

30 Introduction

31

32 Competition for light and resulting mortality are the most critical processes driving 33 development of young forest stands (King, 1990). As the canopy closes, in particular in stands 34 originating from natural regeneration, tree height relative to neighbours, but also position 35 within a crown dictate light availability to foliage (Niinemets et al., 2001; Richardson et al., 36 2001). Leaves and needles are the only tissues with the capacity to capture energy and thus 37 drive forest growth. Foliage responds very sensitively to growth conditions within a stand and 38 has been shown to adapt its morphology (Bussotti et al., 2000), structure (Kull et al., 1999) 39 and metabolism (Hallik et al., 2009) in response to light intensity. 40 41 Vertical distribution of foliage, but also changes in the size of individual leaves, have both 42 been attributed to relative light conditions within the stand (Johansson, 1996). The 43 relationship between light availability and specific leaf area (SLA, defined as leaf area per 44 unit leaf mass) varies among tree species (Niinemets, 2010). No consensus currently exists as 45 to whether different tree species exhibit greater leaf size plasticity in relation to light 46 availability. Several studies report larger plasticity of leaf morphology in shade-intolerant 47 than in shade-tolerant species (Oguchi et al., 2005; Sanchez-Gomez et al., 2006; Portsmuth 48 and Niinemets, 2007), while others found the reverse (Paz, 2003; Delagrange et al., 2004) or 49 no variation (Paquette et al., 2007). In addition to shade tolerance, SLA in trees is also 50 influenced by tree age and size (Steele et al., 1990; Niinements and Kull, 1995). It is 51 important to point out that tree age, size and irradiation gradient along the canopy are strongly 52 correlated. Older and taller trees are likely to generate deeper canopies, which expose foliage 53 to irradiation levels ranging from full to a fraction of full sunlight (Niinemets, 2010). 54

55 Efficient light capture is of crucial importance to trees growing in dense stands with high 56 level of competition for light (Pearcy et al., 2005). Trees maximise capture of available light 57 by developing layered canopies with several strata of overlapping leaves. Defined as the total 58 one-sided foliage area per unit ground area, Leaf Area Index (LAI) controls light interception, 59 but also acts as a determinant of carbon and water exchange between forest canopy and the 60 atmosphere (Leuschner et al., 2006), primary production (Long and Smith, 1990) and rainfall interception (Herbert and Fownes, 1999). Whilst the definition and measurement of leaf area is 61 62 fairly straightforward in broadleaves, three different definitions have been proposed for 63 conifers: total needle surface area, half of the total needle surface area, and projected needle 64 area. The latter definition was used in this paper as it is commonly accepted as the most 65 practical, but also indicative of the needle surface involved in light interception at any one time 66 (Chen *et al.*, 1997). Forest stand LAI is determined by leaf size, total number of leaves per tree, 67 but also by stem density (Leuschner et al., 2006).

68

69 Despite a considerable amount of literature describing foliage characteristics and the 70 development of LAI in growing forests, studies investigating the relationship between LAI and 71 foliage and stem biomass allocation are rare. Although LAI plays a key role in the conversion 72 of radiative energy to biomass, little is known about the mechanisms linking light interception 73 and biomass allocation in trees. Description of foliage mass and foliage area, together with data 74 on mass of wood compartments may allow for an investigation of growth efficiency of foliage. 75 Several authors (e.g. (Pickup et al., 2005; Shipley, 2006; Milla et al., 2008) used the ratio 76 between leaf and total plant dry plant mass (leaf mass ratio - LMR) or between leaf area and of 77 total plant dry mass (leaf area ratio - LAR) to describe ecological and production interactions. 78 However, none of these studies allow for the description of the dynamic relationship between foliage plasticity, stand LAI and tree growth. Konôpka et al. (2010) and Jack et al., (2002) 79

described growth efficiency in temperate trees, albeit only on the basis of biomass partitioningand did not describe foliage dynamics.

82

83 Allometric relations are frequently used to assess biomass partitioning to various pools in 84 forests (Claesson et al., 2001; Wirth et al., 2004; Fehrmann and Kleinn, 2006; Cienciala et al., 85 2008; Pajtík et al., 2011). In general, biomass contained in a particular tree compartment can 86 be predicted by an allometric equation usually using tree height or diameter (at breast height, 87 *i.e.* DBH) as predictor variables (West *et al.*, 2009). Estimates of foliage biomass in young 88 beech and spruce stands were put forward by Kantor et al. (2009), whilst Xiao and Ceulemans 89 (2004) established allometric equations for foliage at both branch and tree levels in young 90 Scots pine. The latter study attempted to consider the vertical position of a whorl as one of the 91 independent variables predicting foliage biomass. Having said that, biomass allometric 92 equations by definition predict only foliage mass and do not offer any information about 93 foliage area. Since leaf area and its stratification is key determinant of forest stand 94 productivity, this paper aims to link allometric relations to foliage area and stand LAI. 95 Developing stands of pure Fagus sylvatica L. (beech) and pure Picea abies Karst. (spruce) 96 with fully closed canopy are contrasted and their growth performance compared.

97

In particular, this paper links up information on foliage mass and foliage area for two of the most important European forest tree species growing on the same site. There are several levels at which these two species can be contrasted. As a deciduous tree, beech produced all of its foliage in current year, spruce on the other hand retains its needles for up to 5 years, possibly leading to higher LAI in spruce. Beech is shade-tolerant, while spruce is classified as intermediate in relation to its shade tolerance (Ellenberg and Leuschner, 1996). Lastly, since the selected site is located at the lower elevation limit of spruce in this area of Europe, climate

105 change is expected to favour beech leading to eventual replacement of spruce by beech at this

106 elevation. Thus this study offers insights into effects of climatic warming on growth

107 performance of forests currently occupying the climatic boundary between the two species.

108 In this study, we hypothesised that (i) spruce has higher LAI than beech, (ii) dominant trees of

109 both species have higher leaf mass ratio.

110

111 Materials and methods

112 Site description

113 The experimental site was located in the southern part of Slovak Ore Mountains, 977 m above 114 sea level (48°38'50" N and 19°36'12" E). Annual sum of precipitation is close to 900 mm, 115 while mean temperature is 5.2 °C. The soil type has been classified as Humic Cambisol on 116 granodiorit bedrock, with a high stone content and pH (H₂0) of 5.1, 5.2, and 5.3 in the soil 117 depths 0-10, 10-35 and 35-65 cm, respectively. Two neighbouring stands of pure beech and 118 spruce were examined. Both stands originate from natural regeneration following a clearcut of 119 preceding high forest. At the start of measurements presented in this study, both stands featured 120 trees about 12-14 years of age. The beech stand was composed of dense groups of beech trees 121 with a few scattered gaps colonised by Calamagrostis epigejos L. The structure of the spruce 122 stand was similar to that of beech, however no grass cover was present due to shading by spruce 123 trees. Detailed description of the site characteristics can be found in Konôpka et al.(2013).

124

125 Size distribution, biomass and foliage sampling

Five circular experimental plots were established in each stand in March 2009. The plots were not compare the plots were randomly positioned within each stand, but at a distance of at least 5 canopy heights from each other. All trees within 129 each plot (PlotTrees thereafter) were tagged. Height and diameter d₀ (diameter at ground level)
130 of all tagged trees were measured in September of 2009, 2010 and 2011.

131 During late summer 2011, 40 beech and 40 spruce trees were selected just outside the 132 experimental plots and their foliage sampled from upper, middle and lower crown sections 133 (FolTrees thereafter). Ten trees each were selected from the following four crown classes within 134 the canopy: dominant, co-dominant, intermediate and overtopped (Kraft, 1884). Care was taken 135 to cover the entire diameter distribution found in experimental plots. Then, three leaves were 136 randomly selected and taken from each crown section of beech trees (9 leaves per tree). In 137 spruce, 30 needles were collected from each crown section and in each of the following age 138 cohorts (by year of needle set): 2011, 2010, 2009, 2008 and, rarely, 2007. All foliage samples 139 were scanned on a flatbed scanner (EPSON Expression 10000), oven-dried and weighed. Leaf 140 area of sampled foliage was established by WinFOLIA (Regent Instruments Inc., Quebec). 141 Specific leaf area (SLA) of individual leaves or needles was calculated as a ratio of foliage area 142 and dry mass.

143 A further 80 beech and 100 spruce trees were selected from each stand for destructive sampling 144 in early September 2011 (BiomTrees thereafter). All tree compartments with the exception of 145 fine roots thinner than 2 mm were harvested. Slightly fewer beech trees were sampled as the 146 population of this species was more uniform in size than spruce. Height and d_0 of all sampled 147 trees were established prior to destructive sampling. Harvested trees were divided into foliage 148 and other (woody) compartments, dried until constant weight and weighed. Out of the 100 149 harvested spruce trees, 30 were randomly selected to establish the contribution of needle cohorts 150 to total needle mass. All needles found on these trees were collected, divided to 5 cohorts (2011 151 through 2007), dried and weighed

Stocking density and size distribution data from experimental plots were used for inter-specificcomparisons between beech and spruce stands. Foliage size distribution data formed the basis

154 for characterisation of vertical leaf size profiles of the canopy. Data on biomass compartment 155 and foliage characteristics gathered outside experimental plots were used for biomass model 156 parameterisations.

157

158 Estimations of biomass equations, LA and LAI

Dry weight data of biomass compartments measured in BiomTrees were used to construct
biomass equations after Pajtík *et al.* (2008). The following model was used to estimate dry
weights of stems, branches, coarse roots and foliage of individual trees:

162

163
$$W_i = e^{(b_0 + b_1 \ln d_0)} \lambda$$
 (1)

164

165 Where W_i is dry biomass of a tree component *i*, d_0 is stem diameter at base, b_0 , and b_1 are 166 coefficients to be estimated and λ is logarithmic transformation bias. Site-specific biomass 167 equation parameters are listed in Table 2.

168

169 Specific leaf area (SLA) of foliage taken from FolTrees was calculated as the ratio between

170 projected leaf area of foliage sample and its dry mass. Once the relationship between foliage

171 biomass and tree size has been established, total leaf area of an individual tree (LA)

172 dependent on d_0 can be calculated as follows:

173

174
$$LA = SLA^* (e^{(b_0 + b_1 \ln d_0)} \lambda)$$
(2)

175

176 Where *LA* is total leaf area per tree, *SLA* is specific leaf area in m^2g^{-1} , b_0 and b_1 are site-

177 specific coefficients of leaf biomass equation (1), d_0 is stem diameter at the base and λ is

178 logarithmic transformation bias.

In each circular permanent experimental plot, we calculated LAI by dividing the sum of LAof all PlotTrees found within the plot by its area:

182

183
$$LAI_{j} = \frac{\sum_{i=1}^{n} LA_{ij}}{S_{j}}$$
 (3)

184 where *n* is the number of trees within a plot, LA_{ij} is the leaf area of *i*-th tree on *j*-th plot, and S_j 185 is the area of the *j*-th plot. This calculation is fairly straightforward in European beech due to 186 the presence of a single cohort of leaves. In Norway spruce, however, the variation of *SLA* in 187 needle cohorts was also taken into account. For each needle cohort, *LA* of needles from all 188 trees present within a permanent plot can be calculated as follows:

189

190
$$LA_{jk} = \sum_{i=1}^{n} (W_i * P_k * SLA_k)$$
 (4)

191

where *n* is the number of trees within a plot, W_i is the dry needle biomass of *i*-th tree, P_k is the proportion of *k*-th cohort from the total and SLA_k is the specific leaf area of the *k*-th needle cohort. Mean *SLA* for the entire vertical profile of the canopy was used for each cohort. Based on an analysis of trees harvested at the site, we established that P_k in spruce is stable and independent of d_0 (Supplementary Figure S1), therefore mean values of P_k were used across all diameter classes. *LA* of all spruce trees found within each plot was then obtained by summing up leaf areas of the five needle cohorts.

199

200 Data analysis

201 All stand-level observations were averaged to plot level (N=5), differences between species 202 were compared by one-way ANOVA and considered significant at P<0.05. All variables were 203 checked for the homogeneity of variance (Levene's test) and normality of distribution 204 (D'Agostino and Pearson Test). Repeated measures ANOVA was used to compare the 205 development of LAI in the two stands over the period of observation. Two-way ANOVA was 206 used to assess the effect of species and canopy position on SLA, followed by Tukey's 207 multiple comparison test. Data were log-transformed where they did not satisfy ANOVA 208 assumptions. Linear or exponential equations were fitted to individual tree data where 209 appropriate, using least squares fit. Model parameters were assessed for differences between 210 species (stem increment per unit leaf mass) or from specific value (needle cohort contribution 211 to total) by extra sum-of-squares F test.

212 Results

Although very similar in age, the two studied ecosystems did differ in several standard forest stand characteristics (Table 1). Beech trees were significantly taller than spruce, while spruce trees had a larger diameter at base, resulting in a significantly larger height/diameter ratio in beech than in spruce. The difference in height to diameter ratio between the two species is evident throughout the diameter size distribution (Supplementary Figure S2). On the other hand, there was no difference in the number of trees per unit area, mean stem volume and basal area.

220 Measurements of foliage collected from 40 beech and 40 spruce FolTrees reveal that individual 221 leaf size is far more conservative in spruce than in beech (coefficient of variance 0.29 and 0.53 222 respectively, Figure 1). Within the stem diameter distribution present in the stands at the time 223 of measurement, beech leaf area increases by 83% from the 25^{th} to the 75^{th} d₀ percentile. In 224 spruce, however, the comparable increase in individual needle area is only 38%. Similarly, the spread of leaf size between leaves collected at the top and bottom of the canopy represents 84%of mean leaf size at mean d₀ in beech, but only 28% in spruce.

227

Analogous to foliage area, we observed how SLA is affected by tree size and foliage position within the canopy (Figure 2). The highest values for both species were recorded in overtopped trees growing underneath the main canopy. In contrast to leaf area, we did not observe such a strong effect of d_0 on the differentiation of SLA values between the two species. The spread of mean SLA at the top and bottom of the canopy represents 43% of mean SLA in beech and 33% in spruce. SLA of foliage growing in the middle of the canopy on the mean tree was 273 cm².g⁻ ¹ in beech and 87 cm².g⁻¹ in spruce.

235

236 Ten beech and ten spruce FolTrees were selected from each of the four crown classes considered 237 in this study to evaluate the effect of tree size on SLA (Figure 3). We observed no difference 238 between the two species in the effect of crown class on SLA. Dominant and co-dominant trees 239 show the same SLA in beech (p=0.812) and in spruce (p=0.986). Intermediate and suppressed 240 trees, however, have higher SLA than dominant trees in both species (p<0.001). Foliage 241 position within an individual tree crown does not affect SLA in overtopped trees in beech 242 (p=0.856) or spruce (p>0.999), trees in other canopy classes however do show a significant 243 effect of foliage position on SLA (p<0.026).

244

Destructively sampled BiomTrees were used to construct biomass equations linking biomass compartments to diameter at base (Supplementary Table 1). Figure 4A shows total foliage mass of beech and spruce trees across the diameter distribution observed in this study. It is clear that spruce trees of the same size support more foliage mass than beech trees. At the same time, beech foliage has greater SLA in all tree sizes under investigation (Figure 4B). Using data for whole tree foliage mass in combination with mean SLA, we observe that there is very little difference in whole tree leaf area between beech and spruce trees (Figure 4C).

252

The observed similarity of whole tree leaf area of beech and spruce trees was reflected in calculated LAI. As already mentioned, basal area and tree density did not differ between the two stands. Coupled with very similar total leaf area of individual trees, it is not surprising that LAI did not differ between beech and spruce (p=0.865, Figure 5). From 2009 through 2011, modelled LAI increased from 11.4 to 14.9 m²m⁻² in beech and 10.3 to 14.2 m²m⁻² in spruce.

258

259 Finally, biomass equations and data describing foliage cover in both species were used to 260 compare wood production efficiency expressed as wood mass produced per unit foliage mass 261 or unit foliage area. Taller trees were always more efficient in using unit foliage to produce unit 262 stem biomass (Figure 6). The slope of linear regressions describing the relationship between 263 foliage efficiency and tree height of all trees measured in permanent plots was always positive 264 and significantly different from zero (P<0.0001). Interestingly, as the stands grew older, the 265 advantage of dominant and co-dominant trees in terms of wood production efficiency was 266 slowly eroding – but only in beech. A tree 1 m taller than its competitors produced 0.63 more 267 grams of stem wood per gram foliage in 2009, but this advantage in foliage productivity 268 decreased to 0.33 g g⁻¹ in 2011 (P=0.0007). This decrease was not evident in spruce, where the 269 slope of the linear regression was 0.11 in 2009 and 0.09 in 2011 (P=0.304). Similar to foliage 270 mass, we observed the same dynamic when plotting the stem production efficiency expressed 271 per unit of foliage area against tree height; a decrease of regression slope from 36.3 g of stem 272 mass per metre square of foliage for every metre of height in 2009 to 22.7 in 2011 in beech (P<0.0001). In spruce, the slope did not change over the period of observation; 19.2 g $m^{-2} m^{-1}$ 273 274 in 2009 and 10.1 g m⁻² m⁻¹ in 2011 (P=0.424).

276 **Discussion**

277

278 Both stands considered in this study originate from natural regeneration following the removal 279 of original tall forest. It is to be expected that not all trees are of the same age, however we 280 established that the cohort of trees currently growing on the site is between 12 and 14 years old. 281 The stands were dense, at the time of the study there was no difference in the number of trees 282 per hectare. Beech trees were marginally taller, while spruce trees had thicker stems at the base. 283 However, there was no difference in basal area, leading us to the assertion that any differences 284 in foliage characteristics are due to differences in genetics and growth habit, rather than because 285 of different tree size or stocking density and resulting competition.

286

287 *Leaf level*

288 Individual leaves with the largest area were always found at the top of the canopy, both in beech 289 and in spruce. Leaves and needles were progressively smaller with increasing canopy depth, 290 this differentiation of single leaf size appears to be stronger in beech than in spruce. Shorter 291 trees had smaller leaves than taller trees, owing to the shading of their entire crowns by taller 292 trees. Contrasting evidence exists on the effect of shading on individual leaf size. Our results in 293 beech are in disagreement those of Barna et al. (2004) and Tognetti et al. (1998) who found 294 that the size of foliage increased with shading, but correspond with the study by Carnham 295 (1988) who found larger leaf size at high light levels in Acer saccharum Marsh. and Fagus 296 grandiflora Ehrh. Similarly, Niinemetz and Kull (1995) found no effect of irradiance on 297 projected needle area in spruce and Gebauer et al. (2011) indicate that needle size increases 298 with light intensity in most but not all of the 57 spruce provenances they compared. The wide 299 variation in the relationship between leaf size and light intensity points to substantial plasticity in both species considered in this study. In general, Dombroskie *et al.* (2012) propose that smaller leaves are favoured under low light exposure because they minimize overlap of closely spaced adjacent leaves and they are subject to a trade-off of selection favouring high number/low size strategy which in turn maximizes the size of the reserve bud bank.

304

305 SLA, calculated as leaf area per unit mass, was found to be the smallest at the top of the 306 canopy in full light conditions and increases with shading both in beech and in spruce. Larger 307 SLA with increasing shading is likely an adaptation for more efficient light interception in 308 low light conditions (Niinemets et al., 2001). SLA was found to be greatest at the bottom 309 compared to the top of the crown in 13- to 82-year-old Norway spruce (Merilo et al., 2009) 310 and in spruce, beech and fir seedlings (Stancioiu and O'hara, 2006). In this study, both beech 311 and spruce show the same decreasing trend, graphs in Figure 2 indicate that leaf and needle 312 SLA will decrease further as the stands mature before stabilising. Significant differences in 313 SLA between socio-ecological classes of trees within the canopy were found. Dominant, co-314 dominant and intermediate crown have shown strong effect of crown depth on SLA. Foliage 315 SLA of overtopped trees, owing to growth in share conditions, did not differ between the top 316 and the bottom parts of tree crowns. The optimal partitioning theory suggests that a plant 317 invests primarily to those compartments which are crucial for acquiring the most limiting 318 resource (Niklas, 2004). Maximum available light must be captured by overtopped trees, 319 leading to construction of large foliage area with minimum mass invesment.

320

321 Tree level

As expected, beech trees had substantially smaller foliage mass than spruce trees of comparable size. However, we have established that the latest cohort of spruce needles constituted only 34% of the total needle mass. Comparing only the current year beech and

325 spruce foliage mass shows that the two species invest exactly the same amount of resource to building their photosynthetic apparatus in a given year. Considering the effect of shading on 326 327 SLA, mean SLA per tree is far larger in small (overtopped) trees, but approximates to that of 328 spruce in lager (dominant) individuals. Higher SLA of shaded foliage in young beech stands 329 was recorded by Closa et al. (2010). Barna (2004) showed lower values of SLA in dominant 330 and co-dominant beech trees than in subdominant individuals. Our observations of beech SLA are in the same range as those of Barna (2004) who report 120 to 460 cm².g⁻¹, Closa *et al*. 331 (2010) with values between $180 - 480 \text{ cm}^2\text{.g}^{-1}$, and those of Leuschner *et al.* (2006) who 332 report a range between 190-240 cm².g⁻¹. As for the SLA of spruce needles, our values are 333 higher than those of Heger and Sterba (1985) who found SLA of 30-70 cm².g⁻¹ in a 17-year-334 335 old stand, however the needles in the upper and middle part of the largest trees in our study 336 were inside this range. Taken together, the observed contrasting trends of leaf area per tree 337 and SLA mean that there is little difference in total leaf area per tree between beech and 338 spruce trees of the same size. Despite one being conifer and the other broadleaf, beech and 339 spruce trees of the same diameter class support nearly identical leaf area.

340

341 Stand level

342 Reflecting foliage area per tree and stand density, LAI indicates the potential of forest 343 stands to utilise incident radiation to produce biomass. Stand LAI was steadily increasing during 344 the period of observation, reaching approximately 15 m²m⁻² in 2011. Most studies indicate LAI in a variety of forest tree species below 10 m².m⁻² (e.g. (Bréda, 2003; Leuschner et al., 2006), 345 346 but higher values are also reported, albeit considered as extreme (e.g. (Ford, 1982; Albrektson, 347 1984; Bolstad and Gower, 1990). Waring and Schlesinger (1985) posit that very dense foliage 348 can be found in some coniferous forests, exceptionally reaching LAI values as high as 20 m².m⁻ 349 ². Nock et al. (2008) found a relationship between tree size and LAI in Acer saccharum and

Betula alleghaniensis, trees with DBH of 30 cm had LAI of 7.5 and 8.5 m².m⁻², but trees with 350 DBH of 70 cm showed LAI of 4.0 and 6.0 m².m⁻² in Acer and Betula, respectively. There are 351 352 several reasons why we observed very high LAI values; methodological, ontogenetic and 353 possibly climatic. The diameter-based allometric method of LAI estimation has been reported 354 to show values higher than other methods (Marshall and Waring, 1986). The aggrading nature 355 of our naturally regenerated stands may have caused the increasing LAI, which may reverse in 356 the future as the stands age and self-thin. Finally, the exposition of the site is south-westerly, 357 providing optimal insolation for these young stands.

358

359 Wood production efficiency, expressed as unit stem increase per unit foliage, did differ between 360 beech and spruce. Stem increment per unit leaf mass was far higher in beech compared to 361 spruce, driven by retention of older needle cohorts in spruce which increases foliage mass. 362 Dominant beech trees had significantly higher efficiency than overtopped trees, this advantage 363 of taller trees was not as evident in spruce. A possible explanation for this difference can be 364 that needle-shaped leaves can be arranged in a pattern that bounces the incoming radiation over 365 a larger number of leaves deeper in the canopy (Sprugel, 1989). This effects increases 366 photosynthesis of overtopped spruce trees by reducing the energy wastage that occurs when 367 light falls on horizontally positioned beech leaves at the top of the canopy that are already light-368 saturated. However, when plotted against leaf area, wood production efficiency did not differ 369 between the two species. This suggests that it is higher leaf thickness (Aranda et al., 2004) 370 rather than leaf area at the top of the canopy that confers stem growth advantage in dominant 371 beech trees.

372

373 Conclusion

Stands of beech and spruce around Central Europe occur as monospecific stands, but also as various mixtures of the two species. This study compared the development of foliage and canopy in the two species, with the view of contrasting their light utilisation strategies. Leaf area per tree, stand LAI and stem increment per unit leaf area were found not to differ between beech and spruce in our stands, suggesting that stands of both species are utilising close to

- 379 maximum available light energy. We found that morphology of beech foliage is more plastic
- that than of spruce, possibly contributing to the competitive advantage of beech in locations
- 381 where the two species co-occur.
- 382

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523 Tables

- 524
- **Table 1** Stand characteristics for European beech and Norway spruce in November 2011,
- 526 approximately 13 years after natural regeneration (means \pm se, p values at N=5).

	European beech	Norway spruce	d.f./F	Р
Number of trees (ths. ha ⁻¹)	124.3 ±11.6	99.4 ±6.6	1/3.45	0.100
Mean tree height (cm)	295.3 ±22.2	$213.0\pm\!\!5.3$	1/13.63	0.006
Mean diameter d_0^* (cm)	2.42 ±0.11	3.19 ±0.11	1/25.57	0.001
H/D ratio (cm.cm ⁻¹)	125.9 ±8.5	76.3 ±2.5	1/31.50	0.001
Mean stem volume (cm ³)	526.6 ± 56.7	645.9 ± 34.5	1/3.22	0.110
Basal area d ₀ * (m ² .ha ⁻¹)	356.2 ±35.5	334.6 ±28.5	1/0.22	0.648

527 * Stem diameter and basal area measured at ground level

- 529 **Table 2** Site-specific biomass equation parameters for European beech (*Fagus sylvatica*) and
- 530 Norway spruce (*Picea abies*). Diameter at based is used as the single predictor in all models

b1 (S. E.) P \mathbb{R}^2 MSE Λ (S. D.) Species Compartment b_o (S. E.) P 3.085 (0.081) < 0.001 0.960 0.102 1.047 (0.308) Leaves -6.576 (0.256) < 0.001 Beech Woody mass -3.357 (0.191) < 0.001 2.889 (0.060) < 0.001 0.974 0.056 1.027 (0.238) 2.900 (0.060) < 0.001 0.975 Whole tree -3.318 (0.188) < 0.001 0.055 1.026 (0.234) Needles -3.079 (0.171) < 0.001 2.432 (0.054) < 0.001 0.964 0.106 1.053 (0.366) Woody mass -1.719 (0.138) < 0.001 2.283 (0.043) < 0.001 0.974 0.069 1.035 (0.296) Spruce Whole tree -1.489 (0.134) < 0.001 2.321 (0.042) < 0.001 0.976 0.065 1.034 (0.295)

531 estimating compartment biomass.

533 Figures

Figure 1 Single leaf and needle area at three different canopy levels in European beech (A) and
Norway spruce (B). Solid lines represent best fit models for top, middle and bottom of individual tree
crown.

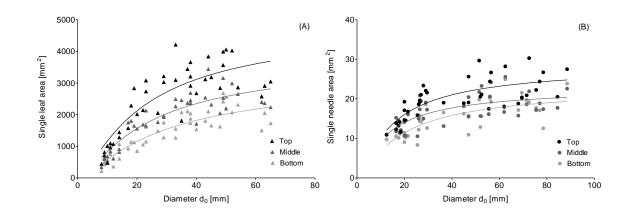
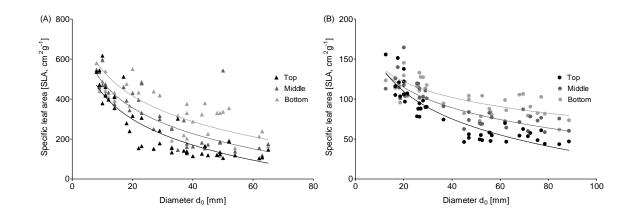




Figure 2 Specific leaf area at three different canopy levels in European beech (A) and
Norway spruce (B). Solid lines represent best fit models for top, middle and bottom of individual
tree crown.



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544 **Figure 3** Specific leaf area of European beech (A) and Norway spruce (B) foliage as affected by 545 canopy position and socio-ecological status of individual trees (cm^2g^{-1} , N=10 in each class, mean ± 546 sd).

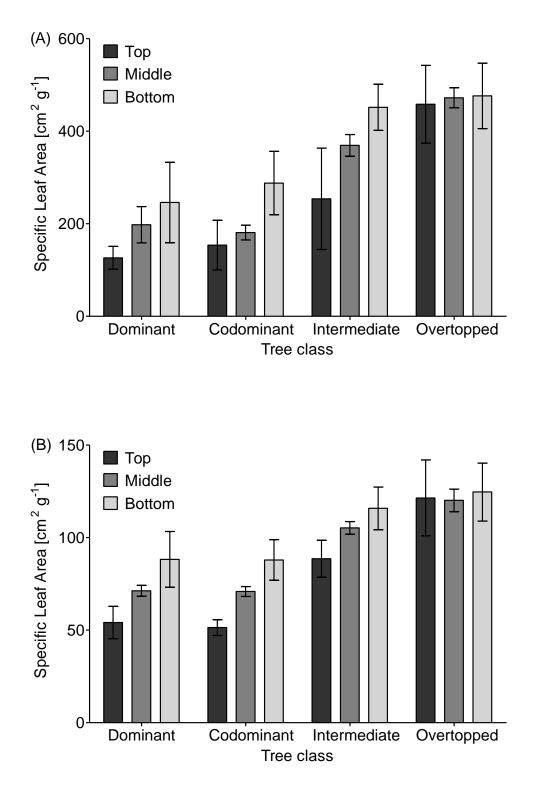


Figure 4 Whole tree foliage mass (A), specific leaf area (B) and whole tree leaf area (C) of naturally
regenerated European beech and Norway spruce trees. Dotted lines in pane C represent 95% confidence
interval of fitted line.

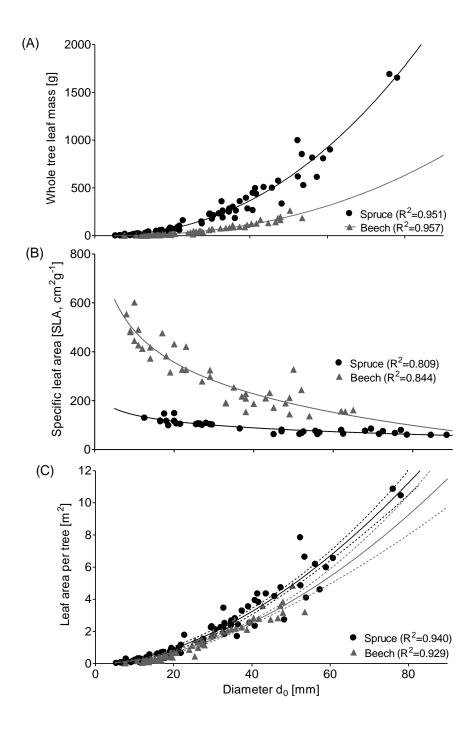


Figure 5 Leaf Area Index (LAI) in naturally regenerated stands of European beech and Norway spruce
trees measured in permanent plots (mean ±SD, N=5).

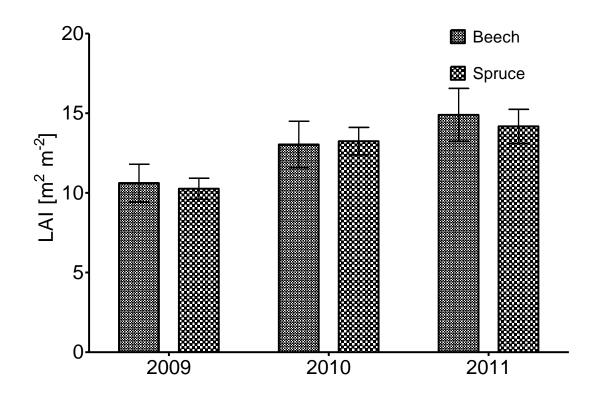


Figure 6 Stem mass increment per unit foliage mass (A) and area (B) plotted against stem height ofindividual European beech and Norway spruce in 2011.

