

Analysis of the genetic diversity and structure across a wide range of germplasm reveals prominent gene flow in apple at the European level

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1 Analysis of the genetic diversity and structure across a wide range of

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71 Abstract

Background: The amount and structure of genetic diversity in dessert apple germplasm conserved at a European level is mostly unknown, since all diversity studies conducted in Europe until now have been performed on regional or national collections. Here, we applied a common set of 16 SSR markers to genotype more than 2,400 accessions across 14 collections representing three broad European geographic regions (North+East, West and South) with the aim to analyze the extent, distribution and structure of variation in the apple genetic resources in Europe.

80

81 **Results:** A Bayesian model-based clustering approach showed that diversity was organized in 82 three groups, although these were only moderately differentiated ($F_{ST}=0.031$). A nested Bayesian clustering approach allowed identification of subgroups which revealed internal 83 84 patterns of substructure within the groups, allowing a finer delineation of the variation into eight subgroups (F_{ST}=0.044). The first level of stratification revealed an asymmetric division of the 85 germplasm among the three groups, and a clear association was found with the geographical 86 87 regions of origin of the cultivars. The substructure revealed clear partitioning of genetic groups among countries, but also interesting associations between subgroups and breeding purposes of 88 89 recent cultivars or particular usage such as cider production. Additional parentage analyses 90 allowed us to identify both putative parents of more than 40 old and/or local cultivars giving 91 interesting insights in the pedigree of some emblematic cultivars.

92

93 Conclusions: The variation found at group and sub-group levels may reflect a combination of 94 historical processes of migration/selection and adaptive factors to diverse agricultural 95 environments that, together with genetic drift, have resulted in extensive genetic variation but 96 limited population structure. The European dessert apple germplasm represents an important 97 source of genetic diversity with a strong historical and patrimonial value. The present work thus 98 constitutes a decisive step in the field of conservation genetics. Moreover, the obtained data can 99 be used for defining a European apple core collection useful for further identification of 100 genomic regions associated with commercially important horticultural traits in apple through 101 genome-wide association studies.

102

103 Keywords: *Malus* x *domestica* Borkh., genetic resources, population structure, variability, SSR
 104 markers, differentiation, parentage analysis.

106 Background

107 Cultivated apple (Malus x domestica Borkh.) is one of the most important fruit crops 108 grown in temperate zones and the most important in the Rosaceae family [1]. Although there 109 are more than 10,000 documented apple cultivars worldwide and the apple production area is 110 widespread geographically, the global production is dominated by relatively few cultivars, many 111 of which are closely related [2, 3]. Moreover, in the last century, despite the existence of a large 112 number of apple breeding programs worldwide, only a few well-adapted genotypes (e.g., 'Red Delicious', 'Golden Delicious', 'Jonathan', 'McIntosh' or 'Cox's Orange Pippin') were 113 114 extensively used in apple breeding to release new varieties with desirable traits [2, 4, 5]. The 115 additional release of clonal selections of the most popular and widely grown varieties has further contributed towards the uniformity of commercial apple orchards [6–8]. The gradual 116 117 replacement of the traditional and locally well-adapted cultivars by a few wide-spread modern 118 varieties has led to a dramatic loss of genetic diversity in the orchards and may also hamper 119 future plant breeding.

120 The recognition of this situation has encouraged the establishment of action towards the 121 preservation of apple genetic resources worldwide. Multiple apple collections are presently 122 maintained in Europe, preserving mainly old cultivars which have been grown traditionally in 123 their respective regions, but also other cultivars with diverse geographic origins introduced a 124 long time ago, that represent elite selections from before the time of formal breeding. Most of 125 these existing collections were established before molecular identification became available, 126 and in the absence of marker data, the criteria used in the past for selecting the germplasm to be 127 preserved in collections focused mainly on morphology (pomology), eco-geography and/or 128 passport information [9]. The effectiveness of these conservation approaches depends upon the 129 criteria used for selecting germplasm and it has been suggested that genetic diversity may not 130 always be optimal in these, or equivalent collections in other crops [10, 11], and therefore, unintended internal redundancies are expected. Assessment of the genetic diversity in fruit tree 131 132 species is nowadays mainly performed by marker genotyping techniques [12]. Molecular 133 markers have therefore become an indispensable tool in the management of germplasm 134 collections, and their use is widely applied in characterization to assist and complement 135 phenotypic assessments and to re-examine the composition of the collections [11, 13–16]. The 136 use of molecular markers has not only important implications with regard to the efficiency of 137 the management of the genetic resources, but constitutes a key instrument to evaluate diversity, to elucidate the underlying genetic structure of the germplasm and to quantify relatedness and 138 139 differentiation between populations among other multiple applications [17-20]. Such 140 knowledge is of high relevance since the conservation of plant genetic resources only fulfills its full potential when they are used effectively, which requires knowledge of the extent and 141 142 structure of the variation occurring within the material preserved [21].

143 Until now, the studies of diversity and genetic structure conducted in European apple have 144 been based on the analyses of material from limited geographic areas (mostly nation-scale) [11, 145 14, 22–26]. By contrast, the extent and structure of the apple genetic diversity conserved at a 146 European level have remained largely unknown. The main obstacle is the different sets of SSR 147 markers used in the different European collections preventing an overall comparison [27]. Thus, 148 in the frame of the EU-FruitBreedomics project [28] a single set of 16 SSR markers was used in 149 a very broad set of apple germplasm (~2440 accessions, mostly of dessert use) preserved in 150 collections located in eleven countries and representing three broad European geographical 151 regions (North+East, West and South) in order to determine the diversity in apple collections at 152 a European scale, to evaluate gene flow in cultivated apple across Europe, as well as to elucidate 153 the stratification of germplasm into population subdivisions and finally, to perform parentage 154 analysis. This is the largest study of apple genetic resources at the pan-European level.

155 **Results**

156 SSR polymorphism – identification and redundancy

Among the 2,446 accessions, ten accessions did not show clear PCR amplifications and were discarded from the analysis. Pairwise comparison of multilocus profiles revealed 219 groups of redundancies (Additional file 1), leading to the removal of 405 redundant accessions

before further analyses (16% of redundancy). The number of accessions in each of these 160 161 identical SSR profile groups varied from two to nine. The cumulative probability of identity (P_{ID}) was extremely low: $P_{ID} = 1.3 \times 10^{-22}$, thus highlighting the low risk of erroneous attribution 162 of accessions to duplicate groups. Redundancies were found both within and between 163 164 collections, leading to the confirmation of numerous previously documented synonyms (e.g., 165 'Papirovka' and 'White Transparent', 'London Pippin' and 'Calville du Roi', or 'Président van 166 Dievoet' and 'Cabarette') and allowing the putative identification of numerous unknown synonyms or mutant groups (e.g., 'Gloria Mundi' = 'Mela Zamboni' = 'Audiena de Oroz' = 167 'Belle Louronnaise', 'Court-Pendu Plat/Doux/Gris' = 'Krátkostopka královská', 'Reinette de 168 Champagne' = 'Maestro Sagarra' or 'Reinette Simirenko' = 'Renetta Walder' = 'Burdinche'). 169 170 Redundancy groups also supported the notion of several national/local name translations such as the English cultivar 'Cornish Gilliflower' translated into 'Cornwallské hřebíčkové' (i.e., 171 172 'Cornish clove'), or 'White Transparent' and 'Skleněné žluté' (i.e., 'yellow glass') in Czech and 173 'Transparente Blanca' in Spanish, the Russian cultivar 'Korichnoe polosatoe' translated into 174 'Kaneläpple' in Swedish (i.e., 'cinnamon apple'), or the cultivar 'La Paix' translated into 175 'Matčino' (i.e., 'Mother', a synonym of 'La Paix') in Czech. Several cases of homonymy (i.e., 176 accessions with the same name but different SSR profiles) were also found, e.g., three different 177 SSR profiles for the same accession names 'Pomme Citron' or 'Charles Ross'. Data allowed 178 identifying some obvious labeling errors, e.g., X2698 'Court Pendu Plat' which was shown to 179 be the rootstock 'MM106', or CRAW-0362 'Transparente de Croncels' which was found likely 180 to actually be 'Filippa' (Additional file 1). Following these observations, the apple germplasm 181 dataset was reduced to 2,031 unique genotypes (i.e., exhibiting distinct SSR profiles). Among 182 these individuals, 162 (8% of the different genotypes) were removed since they had a putative 183 triploid profile, while another ten were discarded because of too much missing SSR data, or 184 because further identified as rootstock or outliers in a preliminary Principal Coordinate 185 Analysis. The final number of unique diploid genotypes further analyzed was therefore 1,859. 186 Using passport data and other accessible information, it was possible to attribute geographical regions of origin (either for three broad designated European regions or, when possible, specific 187

countries) for a large part of the unique genotypes. Roughly 89% (1,653) of these genotypes 188 could be geographically assigned, with 261, 1,074 and 318 genotypes assigned to 189 190 Northern+Eastern, Western and Southern historical regions of origin, respectively (Additional 191 file 1). In brief, the Northern+Eastern region was composed of germplasm originating in Nordic 192 European countries plus Russia, the Western region was composed of germplasm originating in 193 Western and Central European countries and the Southern region was composed of germplasm 194 from Spain and Italy (see Methods for more details). The remaining 11% consisted of either 195 genotypes lacking passport information or genotypes with contradictory information in passport 196 data from different origins. Similarly, the specific country of origin could be attributed to 1,550 197 genotypes out of the 1,653 geographically assigned (Additional file 1). It is important to note 198 that the European region or country of origin assigned to a genotype was independent from the 199 location of the collection where the sampled accession was maintained, since many collections 200 contained accessions from various origins.

201

202 Genetic diversity across and within European regional groups

203 The 16 SSR markers amplified a total of 369 alleles across the 1,859 apple accessions used 204 for diversity analysis, ranging from 17 (CH02c09 and CH05f06) to 35 (CH02c06) alleles per 205 locus. The average number of alleles per locus was 23.06, whereas the mean effective number 206 of alleles per locus was 6.59 (Table 1). High average number of alleles per locus and almost 207 identical mean effective number of alleles per locus were noted for the three geographical 208 regions of origin of the germplasm. Allelic richness was normalized to the smallest group (i.e., 209 North+East) to avoid a group size-dependent bias of results. Overall, the results obtained for the 210 material of the three designated regions of origin suggested the existence of a high and 211 relatively homogeneous allelic diversity across Europe (Table 1). Within the 369 alleles identified in the overall set (i.e., across Europe), 73.4% and 52.0% were found at frequencies 212 213 below 5% and 1%, respectively (Table 1; data not shown for 1%). A similar proportion of rare 214 alleles was obtained for the material from the three designated geographical regions of origin, 215 with the exception of alleles detected at a frequency < 1% with Northern+Eastern and Southern 216 European origins, for which slightly lower percentages were identified ($\approx 38\%$). Almost 217 identical mean He values were obtained for the overall dataset (0.83) and for the germplasm 218 from each of the three geographical groups (Table 1). Cross-comparison of the allelic 219 composition for the accessions classified into geographic categories showed that 221 out of the 220 362 alleles (seven alleles appeared only in accessions that could not be classified into 221 geographic groups) were detected in all three geographical groups, 59 alleles (16.3%) were 222 identified in two geographic groups only, whereas 82 alleles (22.6%) were specifically found 223 only in one geographic group (i.e., private alleles). At the national level (i.e., countries of origin 224 of the unique genotypes), some countries exhibited a higher rate of private alleles than others: 225 especially, genotypes assigned to Switzerland, Italy and Russia harboured 15, 14 and 14 private 226 alleles (respectively), genotypes from Spain and France harboured 7 private alleles each, 227 whereas genotypes from the Netherlands, Belgium, Great Britain or Sweden had a maximum of 228 one private allele. The pattern of distribution of the frequent alleles (frequency > 0.05) between 229 Southern, Northern+Eastern and Western germplasm was analyzed for each locus separately 230 using Chi^2 tests. Highly significant differences in the allelic distributions (P < 0.001) were found 231 between all the geographic groups for all markers except for the CH-Vf1 locus when comparing 232 Southern and Western germplasm (data not shown).

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234

Genetic structure and differentiation

A Bayesian model-based clustering method was applied to the 1,859 unique diploid genotypes in order to elucidate the underlying genetic structure at a European scale. The analysis of Evanno's ΔK statistic indicated unambiguously K=3 as the most likely level of population stratification (Fig. 1 a1). The mean proportion of ancestry of the genotypes to the inferred groups was 0.81. Using the threshold of $qI \ge 0.80$ to define strong assignments to groups, 1,175 genotypes (63%) were identified as strongly associated to a group. This 241 partitioning level corresponded to an asymmetric division of the material into three groups: K1 composed of 506 genotypes, K2 containing 401 genotypes, and K3, the largest group, 242 243 comprising 952 genotypes. Diversity estimates revealed high levels of allelic variation within 244 each group, with allelic richness ranging between 16.0 (K3) and 18.6 (K1) (Table 2). Genetic 245 discrimination between the three groups was confirmed through a multivariate Principal 246 Coordinate Analysis (PCoA) (Fig. 2). In the bi-dimensional plot, K1 was located mostly to the 247 left of the Y axis, and K2 mostly below the X axis, while K3 occurred to the right of the Y axis 248 and mostly above the X axis. A Neighbor-joining tree also showed three different main clusters 249 (Fig. 3), supporting the identification of the three groups by the Bayesian method.

250 The genetic differentiation between the three designated geographic regions of origin was low ($F_{ST} = 0.021$, P<0.001, Table 3), suggesting a weak genetic structure for this crop at a 251 252 European scale in terms of geographical origin. The level of genetic differentiation between the 253 three groups inferred by Structure was only slightly higher ($F_{ST} = 0.031$, P<0.001). The largest 254 differentiation between pairs of groups was found between Northern+Eastern and Southern 255 germplasm ($F_{ST} = 0.042$, P<0.001), whereas much lower F_{ST} values were found between the 256 Western and each of the Northern+Eastern ($F_{ST} = 0.023$, P < 0.001) and Southern ($F_{ST} = 0.015$, 257 P < 0.001) materials.

258

259 The relationship between membership of accessions within the three groups defined by Structure and their geographical regions of origin was also analyzed. 80% and 75% of the 260 261 accessions from Northern+Eastern and Southern Europe clustered in K2 and K1 respectively. The relationship between the material with Western European origin and the third group (K3) 262 was less evident (63%), but still visible by comparison (Fig. 1b). Although the genetic 263 264 differentiation revealed between the three groups defined by Structure was not very high, the 265 existence of a relationship between the grouping by geographical regions of origin of the 266 accessions and the three inferred groups is noteworthy. Furthermore, when considering the 267 specific country of origin attributed to the cultivars, the distribution within the three Structure268 defined groups appears to follow a clear gradient from North(East) to South of Europe (Fig. 4); 269 the cultivars from Northern Europe and Russia were mainly assigned to the K2 group and the 270 Spanish and Italian cultivars were mainly assigned to the K1 group, with intermediate patterns 271 found for those countries located at the interfaces of the broad regions.

272

273 Within the admixed accessions (i.e., qI < 0.8) for which the geographical regions of origin 274 (Northern+Eastern, Southern and Western) was known, we defined a membership coefficient 275 threshold (qI < 0.55) with the aim of identifying genotypes unambiguously in *admixis*, in order to 276 examine whether a supplemental relationship could be found between geographical region and 277 grouping by Structure for the admixed material. For the unambiguously admixed material (i.e., 278 qI < 0.55) of Southern European origin, the average proportion of ancestry (qI) was 0.45 to K1 279 (the group mostly associated with material from Southern Europe), followed by 0.42 to K3 and 280 0.13 to K2, the groups mostly composed by material from Western and Northern+Eastern 281 European origins, respectively (data not shown); a slightly less pronounced, but complementary, 282 pattern was observed for the unambiguously admixed germplasm (i.e., qI<0.55) of 283 Northern+Eastern Europe with average proportions of ancestry of 0.43, 0.35 and 0.22 to K2, K3 284 and K1, respectively. For the unambiguously admixed material (i.e., qI < 0.55) of Western origin 285 the average proportion of ancestry to each of these three groups was almost identical 286 (approximately 1/3). This result was in line with the lower F_{ST} values found between the groups 287 K1 / K3 ($F_{ST} = 0.024$, P<0.001) in comparison with the slightly higher differentiation between 288 the groups K1 / K2 ($F_{ST} = 0.039$, P<0.001) and K2 / K3 ($F_{ST} = 0.036$, P<0.001). The dispersion 289 of the three groups in the PCoA plot was also in agreement with these results, showing the 290 highest overlap between K1 and K3 followed by K2 and K3.

291

292

Nested-Bayesian clustering approach: substructuring of the diversity

293 In order to investigate the substructuring of the diversity within each of the three groups 294 identified in the initial analysis we used a nested application of the Structure software. To do 295 this, the three groups were analyzed independently. To evaluate the strength of the hypothetical subdivisions (i.e., subgroups) within each group, simulations for each *K* value were examined, paying attention to the internal consistency between the runs, the mean proportion of ancestry of accessions within each subgroup, and the proportion of accessions unequivocally assigned ($qI \ge$ 0.80).

300 The analysis of the relationships between K and ΔK for K1 suggested a probable subdivision of this material into three subgroups and the assignment of genotypes was well 301 302 correlated between runs. The average proportion of ancestry for the accessions clustered in the 303 three subgroups of K1 was 0.75, with 44% of the accessions showing strong assignments. Two 304 subgroups for K2 and three for K3 were similarly established. In both cases, the assignment of 305 genotypes was well correlated between runs, and almost identical average proportions of 306 ancestry to those for the subgroups of K1 were obtained with slightly higher proportions of 307 strongly assigned accessions (47% and 50% respectively). Secondary peaks at other K values 308 were also explored but these subdivisions had less statistical support (data not shown). 309 Therefore, we adopted eight subgroups as the most suitable partitioning degree of substructuring 310 (Fig. 1 a2). For these eight subgroups the affinity of almost half of the individuals (47%) to their 311 respective subgroups was strong and the assignment of admixed accessions was consistent 312 between runs. The examination of the eight subgroups showed considerable differences in size, 313 ranging from 148 (K1.3) to 415 (K3.3) genotypes, and variable proportion of accessions 314 strongly assigned to the inferred subgroups (Table 2). K3.2 was the subgroup with the highest 315 proportion of strongly assigned genotypes (57%), whereas K1.3 had the highest proportion of 316 admixed accessions. The proportion of accessions unambiguously assigned for the remaining 317 six subgroups ranged from 41% to 54%, whereas the mean proportion of ancestry for the 318 accessions clustered in each one of the eight subgroups was very stable (≈ 0.75).

The analysis of the relationship between the different subgroups and the putative countries of origin of the germplasm indicated potentially interesting correlations, especially for groups K1 and K3. About 70% of the subgroup K1.2 consisted of germplasm originating from Spain. Similarly, 46% of the subgroup K1.1 and 50% of the subgroup K1.3 consisted of germplasm 323 originating from Switzerland and Italy, respectively (Additional file 2); the latter subgroup was 324 also composed of a further 39% of the cultivars with a French origin and interestingly, a 325 significant proportion of these were attributed to Southeastern France (data not shown). The 326 disentangling of the substructuring pattern therefore allowed not only the dissection of the 327 internal distribution of the diversity within group K1, but also the detection of three subgroups 328 strongly associated with some particular countries of origin. With respect to the collections from 329 the Northern+Eastern part of Europe (Sweden, Finland and Russia), no clear differentiation of 330 the germplasm in the two subgroups of K2 was observed (Additional file 2). For the subgroup 331 K3.1, about half of the germplasm consisted of cultivars from either the United Kingdom or 332 France. All of the 40 cultivars selected in the French collection as being recently bred, clustered 333 in a single small subgroup (K3.2) which was mostly composed of English, US and, perhaps 334 more surprisingly, Spanish cultivars. Major standard cultivars such as 'Golden Delicious', 'Red 335 Delicious', 'Jonathan' and 'Ingrid Marie' were also assigned to this subgroup, as well as 'Cox's 336 Orange Pippin' and 'James Grieve'. Interestingly, most of the 40 cider apple cultivars (87%) 337 were assigned to one subgroup (K3.3) which was mostly composed of French, English, and 338 Swiss cultivars. The other standard cultivars were assigned to the latter subgroup and to 339 subgroup K3.1.

340

341 Genetic diversity estimates were calculated for all the subgroups obtained by the nested Bayesian model-based clustering (Table 2). While He ranged from 0.76 (K1.3 and K3.2) to 0.84 342 343 (K1.1), indicating a high level of heterozygosity contained in all the subgroups, the percentage 344 of alleles represented in each one of the eight subgroups was very variable, ranging from 46% 345 (K3.2) to 76% (K3.1). Some private alleles were identified in all subgroups except for K3.2. 346 They were most abundant in K1.1, but a considerable number of them were found also in K3.3347 and K2.1. Most of the private alleles (approx. 72%) were also unique as they were identified in 348 only one accession. To properly evaluate the allelic diversity between the eight subgroups, we 349 applied a rarefaction approach to compensate for the differences in subgroup size. The allelic richness obtained for the eight subgroups supported the previous results, confirming the highestdiversity in K1.1 and the lowest diversity in K3.2.

352 Estimates of genetic differentiation showed that only 3.7% (K1) and 3.4% (K2) accounted 353 for variation among subgroups within groups (Table 3). The genetic differentiation between the subgroups into which K3 was subdivided was considerably lower (Table 3). Considering the 354 355 eight subgroups obtained by the overall Nested Bayesian approach, the results showed that 356 variation among subgroups accounted for 4.4% of the total variation. Regarding the F_{ST} pairwise 357 tests between subgroups (Table 4), irrespective of whether they belonged to the same group or 358 not, the highest F_{ST} corresponded to the pair K1.3 / K2.2 (F_{ST}=0.087, P<0.001), followed by 359 $K_{1.3} / K_{2.1}$ (F_{ST}=0.077, P<0.001), and the lowest to the pairs $K_{1.1} / K_{3.3}$ (F_{ST}=0.016, P<0.001) 360 and K3.1 / K3.3 (F_{ST}=0.023, P<0.001).

361 **Parentage reconstruction**

Two-parents-offspring relationships within the 1,859 diploid genotypes were explored 362 363 using CERVUS software. A total of 46 putative trios (offspring and two inferred parents) were 364 identified with high (95%) confidence level. These consisted of two already documented trios, 365 ('Calville Rouge du Mont Dore' and 'Belle de Mleiev' and their parents; [23]), as well as 366 another 10 recent and 34 old cultivars (Table 5). The two parents of the 10 modern cultivars, for 367 which full parentage was already documented were correctly inferred (e.g., 'Heta', 'Jaspi' and 'Pirkko' = 'Lobo' x 'Huvitus', 'Pirja' = 'Huvitus' x 'Melba', or 'Mio' = 'Worcester Pearmain' 368 369 x 'Oranie'). In most cases, the two parents of the older cultivars were not known and thus newly 370 inferred (Table 5). Inferred parentage was found for old cultivars from various European 371 countries (6x for Italy; 4x for Great Britain, Switzerland, Czech Republic, and Sweden; 3x for 372 Germany and Spain; 2x for Belgium). Perhaps unsurprisingly, some accessions were more 373 frequently inferred as parents, such as the two French cultivars 'Reine des Reinettes' (= 'King 374 of the Pippins') or 'Transparente de Croncels' which were each identified three times. 375 Geographic convergence of parentage was frequently observed (e.g., 'Kramforsäpple' = 'Sävstaholm' x 'Åkerö', all three from Sweden; 'Beauty of Moray' = 'Keswick Codlin' x 376

Stirling Castle', all three from Great Britain; 'Roja de Guipuzcoa' = 'Urte Sagarra' x 'Maxel
Gorri', all three from Spain; or 'Scodellino' = 'Abbondanza' x 'Decio', all three from Italy).
But hybridizations between cultivars from distant countries were also observed (e.g., 'Rotwiler'
presumably from Switzerland = 'King of the Pippins' x 'Alexander' from France and Ukraine,
respectively; or 'Godelieve Hegmans' from Belgium = 'Red Astrakan' x 'Transparente de
Croncels' from Russia and France, respectively). It should be noted that the female and male
status of the inferred parents could not be specified from the available SSR markers.

384 **Discussion**

385

386 Identification and redundancy

387 The exchange of genotyping data between research units has increased considerably in 388 recent years, with the aim to investigate the extent and distribution of diversity for specific 389 crops at a wide geographic scale. In this study, the application of a common set of 16 SSR 390 markers on a wide set of dessert apple cultivars distributed across three broad European regions 391 allowed the detection of redundant accessions and duplicated genotypes between and within 392 collections, and the description of the structuration of a significant part of the European apple 393 diversity. Cross-comparison of SSR data in attempts to combine datasets from multiple sources 394 has often been problematic due to challenges in harmonizing the allelic sizes between different laboratories [18, 29, 30]. By combining existing data over numerous shared reference 395 396 accessions in our collections with the re-genotyping of a subset of the accessions, we were able 397 to strongly secure the SSR allele adjustment over sites. This dataset represents a highly valuable 398 resource for the comparison of apple germplasm collections throughout Europe and the rest of 399 the world. Taking into consideration the rich allelic diversity present in the European apple 400 germplasm, it would be useful to identify a relatively small set of varieties that offer a good 401 representation of the allelic variability identified in this germplasm to act as an internal control 402 (i.e., a reference set) between laboratories for future use.

403 Interestingly, duplicate groups involving accessions from different collections underlined404 some putative drift in the cultivar denomination. Some good examples were 'Pott's seedling'

405 and 'Pottovo' (FBUNQ14), or 'Signe Tillish' and 'Signatillis' (FBUNQ34). In addition, 'sports' 406 are often given derivative names (e.g., 'Crimson Peasgood' as a sport of 'Peasgood's Nonsuch') 407 but the current analysis was not set up to distinguish between clones and 'sports' of cultivars 408 with potential morphological differences. Many likely errors in denomination of genotypes were 409 also detected when multiple representatives of a given cultivar were detected within a group, but 410 a single supposed representative was obviously outside of the group and was often associated 411 with representatives of a different cultivar. For example, 'Drap d'Or' and 'Chailleux' 412 (FBUNQ92) are known to be synonyms used in France for the same cultivar, and accession 413 DCA D35 'Drap Dore', which was found to belong to the group FBUNQ50, was most likely a 414 denomination error since almost all other members of this group were 'Winter Banana'. In other 415 cases, accessions with uncertain denomination could be resolved, such as CRAW-1858 416 'Reinette Baumann?' (FBUNQ21) and accession CRAW-1108 'Peasgood Nonsuch?' 417 (FBUNQ51) for which the molecular analyses confirmed that they were most likely 'true-to-418 type' cultivars. The question of 'trueness-to-type' is a major issue in apple germplasm 419 management where extensive budwood exchange between regions and countries has occurred 420 for centuries. Indeed, an erroneously denominated accession can be transmitted from collection 421 to collection for years, such that a large number of representatives within a duplicate group (as 422 per the present study) should not always be considered definitive proof of the trueness-to-type 423 of accessions but this objective evidence is extremely valuable in highlighting issues to resolve. 424 Since genebank curators have often collected material of old cultivars from private gardens or 425 from tree pasture orchards, unidentified or misidentified material can later be detected either by classical phenotypic characters and/or by using genetic markers. As an example, this study 426 427 showed that an old so called local cultivar 'Madame Colard' (CRAW-0365 - FBUNQ72), 428 described to have been raised in 1910 by the nurseryman Joseph Colart at Bastogne (Belgium), 429 exhibited the same SSR profile as the old English apple cultivar called 'Royal Jubilee' (UK-430 NFC 2000085) raised already in 1888. Further comparison with historical descriptions could conclude that they are the same cultivar. Additional insights from the passport data of 431 432 accessions would be needed to help in tracing the transmission of the material from collection to

433 collection and pomological characterization will be required to compare accessions to published
434 descriptions of the variety. This will remain a task for the curators of collections, in order to
435 improve curation of germplasm in a coordinated way.

436 It is important to note that the criteria used to select the accessions at the country-level 437 were not always the same. For instance, the INRA and UNIBO material corresponded to former 438 "core collections" built to encompass a large variability not restricted to the national/local 439 accessions [23, 24]. Conversely, the UK-NFC and FRUCTUS material was restricted to older 440 diploid accessions considered to derive from UK and Switzerland, respectively. A similar, 441 despite less stringent situation was applied also for CRA-W, RBIPH, SLU, and the Spanish 442 accessions (UPNA, UDL and EEAD). For MTT, NCRRI, VNIISPK, and KNAU, the national 443 representativeness was more limited and strictly restricted to accessions considered to be 444 emblematic landrace cultivars. The germplasm was thus somewhat heterogeneous in nature, but 445 still allowed a broad examination of the European dessert apple diversity. In the future, it will 446 be useful to enlarge the dataset to include additional accessions from the collections considered 447 here as well as other European collections [11, 31] or collections from other regions worldwide 448 [32–34] to provide a wider perspective on genetic resource conservation of apple worldwide.

449 Genetic diversity

450 The high level of diversity and heterozygosity in apple germplasm at a European level 451 agreed with previous results obtained at collection-scale in several European countries, e.g., 452 Italy [24], Spain [26], France [23], Sweden [22], Czech Republic [25] or Switzerland [14]. The 453 large diversity found is consistent with the weak bottleneck effect reported in connection with 454 the domestication of this species [35-37]. Probably a combination of factors are involved: i) vegetative propagation methods that have been adopted since ancient times favoring the 455 456 dispersal of cultivars across geographic regions [38, 39], ii) forced allogamy due to the self-457 incompatibility system of Malus \times domestica [40], iii) multiple hybridization events at each geographical region combined with human activities, e.g., selection and breeding [36, 37] and, 458 iv) diversifying selection associated with adaptive criteria for the subsistence in diverse 459

460 agricultural environments [41, 42]. Interestingly, the distribution of private SSR alleles over the 461 countries of origin of the unique genotypes was somewhat unbalanced at the European level 462 with much higher occurrences in genotypes assigned to Switzerland, Italy or Russia than in 463 genotypes originating from Northern-Western Europe. Whilst these findings should be considered with caution because of possible biases linked to the initial sampling or to the size 464 465 differences of the genotype sets, this study underlines that accessions originating from Southern Europe and Russia could be expected to bring original genetic diversity into modern breeding 466 467 programs especially for traits related to more extreme climate adaptation. Overall, the highly 468 diverse germplasm studied here contains much more genetic variation than do modern apple 469 cultivars, many of which having been selected for optimal performance within a narrow range 470 of environmental conditions [5, 37, 42].

471 Coordinated actions: a key point for better knowledge of the resources conserved

472 This large-scale analysis in apple germplasm constitutes a good example of the efficiency 473 and value of coordinated international actions to enhance the knowledge of diversity conserved 474 at a European level. The results obtained offer a valuable step to undertake actions to coordinate 475 European resources towards optimizing the management of apple germplasm across Europe in 476 line with the aspirations of the European Cooperative program on Plant Genetic Resources 477 (ECPGR). The results also offer a potential starting point that may open new opportunities for 478 apple breeding in the near future. All breeding advances are built upon the diversity available, 479 and a key role of the germplasm collections is to help safeguard natural forms of genetic 480 variation and to make them accessible to plant biologists, breeders, and other key users [15]. 481 The extensive germplasm evaluated in this study consisted mainly of old and/or locally grown 482 accessions across Europe, many of which remain underutilized in cultivation or breeding 483 programs. The preservation of traditional cultivars in living germplasm collections must be 484 regarded as an invaluable reservoir of insufficiently explored genetic diversity that may become 485 useful for apple breeding in a near future, and the establishment of coordinated genetic data is 486 hoped to increase the accessibility of this material to breeding programs. From the perspective 487 of modern-day fruit production, most of these old varieties would now be considered as obsolete 488 since they are not particularly well-adapted to current agricultural practices and marketing. 489 Nevertheless, this material should be considered as a reservoir of potentially interesting genes to 490 be used for further improvement. This is particularly relevant in a crop like apple, for which the 491 current production is highly dependent on a very limited number of cultivars with a narrow 492 genetic basis for the bulk of current production [5]. As an example, it can be mentioned that 493 50% of the commercially marketed apple production in the European Union consists of only 494 four cultivars, 'Golden Delicious', 'Gala', 'Idared' and 'Red Delicious' [43]. The low diversity 495 of the subset of elite cultivars used for commercial production during recent decades is likely to 496 result in a bottleneck hampering future genetic improvement [37]. The recognition of this 497 situation should encourage the establishment of coordinated actions across different levels 498 (regional, national and international scales) to define strategies for the efficient conservation of 499 the genetic resources of this species.

500 Genetic structure: major divisions and substructuring of the diversity

501 The attribution of country of origin to traditional cultivars can be a matter of endless 502 debate, especially for those dating back two-three centuries or more. Initial descriptions in 503 pomologies and booklets can be subject to errors in denomination confused by historical 504 distribution and renaming, resulting in synonymy, as well as the re-use of old names for more 505 recent findings or misidentifications. This is less problematic for the better known old cultivars 506 as many of them have been widely documented and monitored over years in several countries. 507 However, for local cultivars and/or landraces where less information is available, the correct 508 attribution can be complicated, especially between neighboring countries. It is also worthy of 509 note that the 'country of origin' relies on a political construct, which can be prone to significant 510 change within the potential lifetime of many varieties of apple (and other long lived perennial 511 crops). Therefore, we first used a conservative approach and discussed our findings in terms of 512 three broad European regions of origin. Then, we analyzed the structuration at a country-scale, 513 but noting that the exact attribution of a given country to a genotype was not always unanimously agreed so that this finer level of analysis should be considered with an element ofcaution.

516 Using a Bayesian model-based clustering method we were able to initially discern the 517 existence of three robust groups reflecting major divisions of the germplasm. These groups were 518 linked with the three geographical regions of origin, although differentiated only to a low 519 degree. This would reflect a situation whereby the cultivars from a given region were more 520 frequently derived from crosses between parental cultivars from the same region than from 521 cultivars from elsewhere. Nevertheless, the migration of the plant material associated to human 522 movement together with hundreds of years of empirical selection may have caused a significant 523 gene flow across Europe. This is clearly indicated by the low genetic differentiation between 524 groups and has shaped the overall pattern of genetic diversity. A spatially and temporally 525 dynamic process where seeds and mainly graftwood were exchanged between geographically 526 distinct populations has contributed to the increase of the genetic diversity in each area through 527 unintentional gene flow or human-mediated intentional crosses [35, 36, 44]. The background 528 common to other long lived tree fruits, including factors such as multiple origins of cultivated 529 populations, ongoing crop-wild species gene flow and clonal distribution of genotypes together 530 with the features associated with fruit tree species (lengthy juvenile phase, extensive 531 outcrossing, widespread hybridization or mechanisms to avoid selfing) has defined the way they 532 evolve in nature and resulted in extensive population genetic variation, but limited population 533 structure [44]. A possible cause of divergence between the three identified groups could be the 534 differential adaptation to distinct environmental conditions as are the case between Southern, 535 Western and Northern+Eastern Europe. A similar situation was postulated for grapevine 536 cultivars where the genetic structure appeared to be strongly shaped by geographic origin and 537 intentional selection [13]. But since selection causes differentiation in particular regions of the 538 genome on which selection pressure is acting [45], another likely cause of the population 539 structure is genetic drift (i.e., changes in allelic frequencies caused by chance events) as also

shown in e.g., apricot [46]. Together with selection, migration and drift can shape the localadaptation of species [47].

542 Although there may have been some mistakes in attributing cultivars to country of origin, 543 the genetic makeup of the cultivars at the European level clearly appeared to show a North-East 544 to South gradient. Interestingly, some countries exhibit intermediate marker data patterns in 545 consistency with their intermediate geographic positions. This was clearly manifested at the 546 national scale for the German and Czech cultivars which were shared between K2 and K3 547 groups. Similarly, the French and Swiss cultivars were shared between K1 and K3 groups. By 548 contrast, cultivars from Southern Europe (Spain and Italy), from Northwestern Europe (United 549 Kingdom and Ireland, Belgium, the Netherlands), and from North+Eastern Europe (Sweden and 550 Finland) and Russia were mostly assigned to a single group (K1, K3, and K2, respectively). For 551 the admixed germplasm from Southern and Northern+Eastern European geographical regions of 552 origin, a certain degree of introgression with the Western germplasm was also indicated in 553 contrast to the low contribution of the Northern+Eastern germplasm into the Southern germplasm and viceversa. Thus, in agreement with the correspondence between clustering and 554 555 regions or countries of origin of the germplasm, the geographical proximity appears to align 556 with the patterning observed in the admixed accessions.

557 In cases demonstrating the presence of a significant hierarchical population structure as this 558 study suggests, this method preferentially detects the uppermost level of structure [26, 48–50]. 559 As a consequence, when large datasets in species with a complex background are analyzed, it is 560 possible for an underlying substructure to remain undetected within the major divisions of the 561 germplasm. In this context, the "nested (or two-steps) Structure" clustering method has been 562 shown to be an efficient tool to delineate further levels of substructure in both apple and other 563 plant species [10, 24, 26, 49–52]. In this study, the three groups inferred from the first round of 564 Structure analysis were used as the starting point for revealing internal substructuring. Eight 565 subgroups were identified with remarkable differences in both allelic composition and richness, 566 as well as a considerable number of private alleles associated to particular subgroups. 567 Nevertheless, the relationship between the placement of the genotypes in the subgroups and 568 their country of origin varied considerably between subgroups in contraposition to the clearer 569 and more consistent clustering trend within the three groups. As discussed earlier, this 570 stratification may reflect historical processes of selection and adaptation to local conditions that 571 might suggest a "fine-delineation" of the intra-variation within each main geographical region 572 of origin. This is most probably the case for the K1.1 subgroup which mainly consists of 573 Spanish cultivars and could reflect a process of both local adaption and isolation by distance 574 related to the Pyrenean barrier. For the K1.3 subgroup, local adaptation to the Southern region 575 could be inferred together with a potential for more intense commercial exchange between Italy 576 and Southern France. For other subgroups, the relationship with particular countries or small 577 regions was not obvious, but some interesting associations between subgroups of group K3 and 578 recent cultivars and some of their founders or particular usage (cider apple cultivars) could be 579 noticed.

580 **Relatedness and family relationships**

581 The previously reported parentage of 10 recent cultivars was correctly inferred in all cases. 582 These results served as a control and validated the parentage assignment obtained with the 583 CERVUS software [53] indicating that the number and informativeness of SSR markers were 584 sufficient at least for these cultivars. The 16 SSR markers were nevertheless limited in their 585 ability to infer parentages, and additional cases might have been detected with a larger number 586 of SSR markers. In a recent paper [54], it was suggested that the number of 27 SSR loci used in 587 that study was a minimum to be utilized for full parentage reconstruction. Basically, the LOD score tests used in the CERVUS software are computed according to the SSR allelic 588 frequencies, and thus, parentages involving common alleles are more difficult to detect. By 589 590 contrast, parentages involving low frequency and rare alleles are more easily detected. On that 591 basis, it is worthy to note that the more frequently detected parents (i.e., 'Reine des Reinettes' = 592 'King of the Pippins', and 'Transparente de Croncels') are possibly representing a biased view 593 of the frequently involved parents, as they most probably carry rare or low frequency alleles in

at least some SSR loci. Putative parents present in the dataset but carrying more common alleles
may have been hidden because of the statistical limits of their detection with 16 SSR markers. A
similar situation was observed by [23] with the frequent appearance of 'Reine des Reinettes' as
a parent of four old cultivars out of 28, using 21 SSR markers. In the near future, medium and
high density SNP arrays [55–57] will provide much more power to infer parentages.

The parentage of some old cultivars was either confirmed, in the case of 'Ernst Bosch' = 'Ananas Reinette' x 'Mank's Codlin' (synonym: 'Evino') or augmented, in the case of 'Ben's Red' = 'Devonshire Quarrenden' x 'Box Apple' (Table 5) where the second parent was initially hypothesized to be 'Farleigh Pippin' [58]. Distances between the geographic origins of the inferred parents (when known), ranged from crosses between geographically close cultivars to crosses between very distant cultivars, reflecting the large gene flow across Europe caused by, e.g., extensive exchange of budwood over centuries.

606 Some traditional folklore about the origination of old apple cultivars could be either 607 substantiated or refuted by the SSR-based parentage information. As one example, the old 608 Swedish cultivar 'Förlovningsäpple' is said to derive from a locally acquired seed in Northern 609 Sweden where only a few cold-hardy apples can be grown. The two unknown parents were here 610 inferred to be the Swiss cultivar 'Heuapfel' and the wide-spread cultivar 'Saint Germain' (X1646) also known as 'Vitgylling' in Sweden, a name used for a group of more or less similar, 611 612 white-fruited, early-ripening and winter-hardy cultivars. Interestingly, the 'Vitgylling' accession 613 included in the present study (BAL072) did not have the same SSR profile as 'Saint Germain', 614 but they share one allele for all 16 SSR loci and may therefore be related. In two other cases, 615 traditional Swedish folklore indicated that a sailor brought an exotic seed to the island of 616 Gotland and to Kramfors in Northern Sweden, respectively, resulting in 'Stenkyrke' and 617 'Kramforsäpple'. For 'Stenkyrke', one parent is the Swedish 'Fullerö' and the second is the 618 German cultivar 'Danziger Kantapfel' which has been much grown in Sweden. The origin of 619 'Stenkyrke' is thus probably much more local than anticipated. Similarly, the surmised

American sailor origin of the seed giving rise to 'Kramforsäpple' is refuted by the fact that theparents of this cultivar are the Swedish 'Sävstaholm' and 'Åkerö'.

It is important to keep in mind that trueness-to-type of the accessions is not guaranteed, thus the labeling of the offspring or the parents can be erroneous in some cases. Conversely, the inferred parentages are robustly established so that the genetic relationships between the accessions are valid independently of their names. Crosses between the two inferred parents could be performed to reproduce the cross which gave birth to the offspring cultivar, especially if genetic analysis of some particular traits of the latter genotype indicates an interesting application in plant breeding.

629 **Conclusions**

630 The analysis of a large and representative set of Malus x domestica genotypes indicated 631 that apple germplasm diversity reflects its origination within three main geographic regions of 632 Europe, and that a weak genetic structure exists at the European level. This structuring of 633 genetic variation in European dessert apple is caused by evolutionary processes relevant to the 634 domestication of perennial fruit species with factors such as gene flow created by, e.g., ancient 635 roads of commerce across the continent, other human activities like intentional selection and 636 later breeding, and genetic drift. The remarkable differences in the allelic variation found at group and subgroup levels of germplasm stratification constitute a strong indication of that the 637 638 diversity is hierarchically organized into three genepools, with consistent evidence of a pattern of internal substructure. The potential value for modern fruit production is mostly unknown 639 640 since a majority of the accessions are poorly evaluated from an agronomic point of view. Thus, 641 phenotypic data obtained with standardized methods is required to determine the commercial 642 potential of the preserved material and to enable its use in new crosses to increase the genetic 643 basis of the cultivated apple.

644 The integration of data for collections from different European geographic regions using645 standardized methods will undoubtedly form an important step in developing the European

646 strategy for conservation of apple germplasm and constitute the starting point to define a 647 European "apple core collection". This will constitute a decisive step in the field of conservation 648 genetics, and may also have direct implications on the improvement of our understanding of the 649 species, including i) the identification of genomic regions associated with commercially 650 important horticultural traits, ii) the discovery of new germplasm features that may be taken 651 advantage of for efficient breeding and iii) the analysis of genotype x environmental interactions 652 for studying the stability of the most economically important traits for this species.

653 Methods

654 Plant material

655 Apple germplasm collections from nine European countries, plus Western part of Russia 656 and Kyrgyzstan, were available for this study (Additional file 1): France (INRA, Institut National de la Recherche Agronomique, 399 accessions), Italy (UNIBO, University of Bologna, 657 658 216 acc.), Belgium (CRA-W, Centre Wallon de Recherche Agronomique, 408 acc.), Czech 659 Republic (RBIPH, Research and Breeding Institute of Pomology Holovousy, 263 acc.), United 660 Kingdom (UK-NFC, University of Reading, 310 acc.), Sweden (SLU, Swedish University of 661 Agricultural Sciences, 199 acc.), Finland (MTT Agrifood Research, 50 acc.), Spain (UPNA, 662 Public University of Navarre, UDL, University of Lleida, and EEAD, Aula Dei Experimental 663 Station, 269 acc.), Switzerland (FRUCTUS, Agroscope, 237 acc.), Russia (NCRRIHV, North 664 Caucasian Regional Research Institute of Horticulture and Viticulture, and VNIISPK, The All 665 Russian Research Institute of Horticultural Breeding, 83 acc.), Kyrgyzstan (KNAU, Kyrgyz 666 National Agrarian University, 12 acc.). In all countries, the accessions were mostly chosen as 667 old local/national dessert cultivars (registered or at least known before 1950), but 12 standard 668 dessert cultivars were also included to strengthen comparisons between collections, namely 669 'Golden Delicious', 'Red Delicious', 'McIntosh', 'Rome Beauty', 'Granny Smith', 'Jonathan', 670 'Winter Banana', 'Ingrid Marie', 'Ananas Reinette', 'Reinette de Champagne', 'Discovery' and 671 'Alkmene'. Moreover, 40 old cider apple cultivars and 40 recently-bred dessert cultivars were 672 sampled in the INRA collection in order to investigate particular patterns. Altogether, 2,446 673 accessions were thus considered (Additional file 1). Available collections were somewhat 674 heterogeneous in nature as some of them corresponded to already established core collections 675 (INRA and UNIBO) whereas others were selected for the present study thanks to available SSR 676 marker data (UK-NFC and FRUCTUS, see below), or were chosen as a subset of mainly local 677 cultivars (CRA-W, RBIPH, SLU, MTT, UPNA, UDL, EEAD, NCRRIHV, VNIISPK and 678 KNAU). Cultivars that were known to be triploid or duplicated were avoided since this analysis 679 was performed with an aim to subsequently use a major part of the material in a Genome Wide 680 Association Study to be carried out within the EU FruitBreedomics project [28].

681 SSR genotyping

682 A set of 16 SSR markers developed by different groups [59-62] was used to genotype the 683 2,446 accessions (Additional file 3). These SSR markers are distributed over 15 out of the 17 684 apple linkage groups, and 15 of them are included in a former list recommended by the ECPGR 685 Malus/Pyrus working group [63]. The 16th marker of this list, NZ05g08, was replaced by the 686 marker CH-Vf1 because the former showed either complex scoring pattern or low level of 687 polymorphism in previous studies [23, 26]. SSR marker data were fully available for the 688 collection from INRA [23]. SSR data were available (i.e., for some, but not all of the 16 SSR 689 markers) for collections from UK-NFC [64], FRUCTUS [14], UPNA, UDL and EEAD [26], 690 and UNIBO [24], so that only the missing SSR marker data were generated in the present study. 691 Fully new SSR datasets were generated for collections from CRA-W, RBIPH, SLU, MTT, 692 NCRRIHV, VNIISPK, and KNAU.

Forward primers were labeled with four different fluorescent dyes (6-FAM, VIC, NED, or PET) in order to be combined into four different multiplexed reactions (Additional file 3). Polymerase chain reactions (PCR) for the four multiplex PCRs were performed in a final volume of 11 μ L using 10 ng of DNA template, 0.18 μ M of each primer (with the exception of some markers as described in Additional file 3), and 1× PCR Master mix of QIAGEN kit multiplex PCR (Qiagen, Hilden, Germany). PCR cycling conditions were as follows: preincubation for 15 min at 94°C, followed by 4 cycles using a touchdown amplification program 700 with an annealing temperature reduced by 1°C per cycle from 60°C to 55°C, followed by 34 cycles, each consisting of 30 s denaturing at 94°C, 90 s annealing at 55°C, and 60 s elongation 701 702 at 72°C, the last cycle ending with a final 15-min extension at 72°C. SSR amplification products 703 were analyzed with an ABI3730 XL sequencing system (Applied Biosystems, Foster City, CA, 704 USA). Fragment analysis and sizing were carried out using GeneMapper v.4.0 software 705 (Applied Biosystems, Foster City, CA, USA); chromatograms were independently read by two 706 operators. When SSR marker data were already available and obtained at different sites, SSR 707 allele sizes were carefully adjusted between collections, both by use of reference accessions 708 known to be in common between collections and by re-genotyping a subset of each collection 709 with the full set of 16 SSR markers to confirm the allele adjustment.

710

711 Diversity assessments

712 The multilocus SSR profiles were compared pairwise in order to establish the genetic 713 uniqueness of each accession. Accessions were considered as duplicates if they had identical 714 SSR fingerprints, or if they had one allelic difference for a maximum of two SSR loci thus 715 making room for some genotyping errors and/or spontaneous SSR mutations. On this basis, 716 redundant profiles were removed from the dataset to avoid bias in genetic analyses and 717 duplicate groups were labeled with unique group ID codes (FBUNQ codes). An accession was 718 declared as a putative triploid when at least three of the 16 SSR loci exhibited three distinct 719 alleles. Analyses of descriptive diversity statistics were conducted at locus level. For each SSR 720 marker, SPAGeDi v.1.3 software [65] was used to estimate the number of alleles (N_A) , the number of alleles with a frequency below 5% (N_B), the number of effective alleles (N_E), and the 721 722 observed (Ho) and expected (He) heterozygosity. The probability of identity (P_{ID}) was 723 calculated as follows [66]:

724
$$P_{ID} = \sum p_i^4 + \sum \sum (2p_i p_j)^2$$

where *pi* and *pj* are the frequencies of the ith and jth alleles and $i \neq j$. The cumulative P_{*ID*} over the 16 SSR was computed as the product of the P_{*ID*} of each individual marker.

728 Determination of the geographical regions of origin of the unique genotypes

729 Using passport data along with reviewing published records with a focus on old literature 730 (national compilations/varietal catalogues/reports) and specialized websites we were able to 731 discern the geographical regions of origin for a large part of the unique genotypes analyzed. 732 This was further helped by the resolution of identified duplicates and comparison of accessions 733 against additional SSR data of the whole UK-NFC apple collection kindly made available from 734 the UK-NFC database [64] and of the whole FRUCTUS collection kindly made available by 735 Agroscope [14]. We first decided to define three broad historical European regions of origin of 736 the germplasm according to geographical proximity and traditional agricultural relations 737 between them: North+East (Sweden, Norway, Finland, Denmark, Baltic countries, plus Russia, 738 Ukraine and Kyrgyzstan), West (Ireland, United Kingdom, France, Belgium, the Netherlands, 739 Switzerland, Germany, Czech Republic) and South (Spain and Italy). When available, countries 740 of origin of the cultivars were also documented although, this information should be considered 741 with caution since the information on the countries of origin was not always fully consistent 742 within duplicates groups.

743

744 Analysis of the genetic structure

745 The software Structure v.2.3.4 [67] was used to estimate the number of hypothetical 746 subpopulations (K) and to quantify the proportion of ancestry of each genotype to the inferred 747 subpopulations. No prior information about the geographical origin of the accessions was 748 considered in the analysis. Ten independent runs were carried out for K values ranging from two 749 to 10 using 500,000 Markov Chain Monte Carlo (MCMC) iterations after a burn-in of 200,000 750 steps assuming an admixture model and allelic frequencies correlated. In order to assess the best 751 K value supported for our dataset, the ΔK method [68] was used through the Structure harvester 752 v.0.6.93 website [69] to examine the rate of change in successive posterior probabilities over the range of K values. When the results described above suggested additional substructuring of the 753 diversity in subgroups, a second-level (nested) application of the Structure clustering method 754

755 was carried out analyzing separately each of the K major groups previously obtained [10, 24, 756 26, 50, 51]. Genotypes were assigned to the group (or sub-group) for which they showed the 757 highest membership coefficient, considering an accession strongly assigned to each partitioning 758 level if its proportion of ancestry (qI) was ≥ 0.80 [70–72]; otherwise they were considered as 759 "admixed". The placement of genotypes on groups (or sub-groups) was determined using 760 CLUMPP v.1.1 [73], which evaluates the similarity of outcomes between population structure 761 runs. CLUMPP output was used directly as input for Distruct v1.1 [74] in order to graphically 762 display the results.

To validate the genetic structure revealed by the Bayesian model-based clustering two complementary approaches using the Darwin software package v6.0.10 [75] were considered: i) an unweighted neighbor-joining tree constructed based on dissimilarities between the unique genotypes (using a Simple Matching coefficient), and ii) a multivariate Principal Coordinate Analysis (PCoA).

768

769 Genetic differentiation

770 Population differentiation was estimated by analyses of molecular variance (AMOVA) 771 through Genodive [76] under two scenarios: i) three broad European geographic regions of 772 origin of the material (North+East, West and South); and ii) the major groups (and sub-groups) 773 defined by Structure. Pairwise F_{ST} estimates for the different partitioning levels considered in 774 each case were also obtained using Genodive [76]. Descriptive statistics were calculated for the 775 material clustered according to geographical regions of origin as well as for each group (or sub-776 group) identified by the Bayesian model-based clustering method, including Ho and He, number 777 of total alleles, number of private alleles, i.e., those only found in one (sub)division level, and 778 number of unique alleles, i.e., those only detected in one unique accession. The software 779 FSTAT v.2.9.3.2 [77] was applied to compute the allelic richness after scaling down to the 780 smallest partitioning level in the different scenarios considered.

781

782 Parentage reconstruction and relatedness between the accessions

On the basis of the SSR profiles of the unique genotypes, accessions were analyzed to infer 783 784 possible parent-offspring relationships using Cervus v.3.0 software [53]. In order to reveal only 785 robust parentages, we limited the study to the inferences of 'two-parents offspring' relationships 786 and did not consider inferences of 'one-parent offspring' relationships where the lacking parent 787 offers more flexibility but more speculative assignments as well, especially with only 16 SSR 788 markers. Two criteria were considered to establish strict parentage relationships: i) a confidence 789 level of the LOD score and ii) the Delta LOD value (defined as the difference in LOD scores 790 between the first and second most likely two-candidate parents inferred) both higher than 95%. 791 Finally, an additional constraint was added to strengthen the results by limiting the maximum 792 number of tolerated locus mismatches to only one in any inferred two-parents offspring trio, 793 assuming that such a slight difference may be attributable to possible scoring errors, occurrence 794 of null alleles or occasional mutational events [54, 78].

- 796 **Declarations**
- 797 List of abbreviations
- 798 SSR: Simple Sequence Repeat
- 799 **PCR:** Polymerase Chain Reaction
- 800 LOD: Logarithm of Odds ratio
- 801 **F**_{ST}: fixation index 'F-statistics'
- 802 ECPGR: European Cooperative Programme for Plant Genetic Resources European.
- 803
- 804 Ethics approval and consent to participate
- 805 Not applicable.
- 806
- 807 Consent for publication
- 808 Not applicable.
- 809

810 **Competing interests**

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827

828 Authors' contributions

829 JU and CD carried out the statistical analyses. CD and ER carried out the molecular analysis of the accessions not previously genotyped and CD performed the alignment of the SSR profiles of 830 the whole dataset. CP coordinated the fragment analyses of the accessions not previously 831 832 genotyped. ML, PH, MO, FP, JS, HN, LGG, CM, JG, IS, AVP, LD, and ST contributed in the 833 classification of the plant material in the three broad European geographic regions and national 834 origins, and in the interpretation of the SSR duplicated groups, synonyms and parentages 835 inferred. AG, RG, LF, ML, PH, MO, FFF, KME, FP, JS, HN, LGG, CM, JG, MK, IS, AVP, NGK, ET, LD and ST provided plant material, DNA or SSR profiles of accessions preserved in 836 837 the studied germplasm. CED conceived and coordinated the study. FL coordinated the EU

838	FruitBreedomics project. JU and CED wrote the manuscript.	. ML, MO, HN, LGG, CM, MK, IS,

AVP, and ST critically reviewed the manuscript. All authors read and approved the finalmanuscript.

841

842 Authors' information

843 Jorge Urrestarazu and Caroline Denancé share first authorship.

844

845 Availability of supporting data

846 The dataset supporting the conclusions of this article will be available in the Genome Database

847 for Rosaceae (GDR) (<u>https://www.rosaceae.org/</u>).

848

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1159 **Tables**

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Table 1 Average measures of genetic diversity at two different levels: overall set of accessions and according to the three geographical regions of origin (North+Eastern, Southern and Western). Number of alleles per locus (NA), number of rare alleles (NB), effective number of alleles (NE), allelic richness (AR), and observed (Ho) and expected (He) heterozygosity are included

Material	NA	NB ^a	NE	AR ^b	Но	He
Overall set (1859 genotypes)	23.06	16.94	6.59	-	0.81	0.83
European regions of origin						
Northern+Eastern Europe	16.75	10.87	6.24	16.57	0.83	0,82
Southern Europe	17.50	11.87	6.29	16.95	0.81	0.82
Western Europe	20.31	13.94	6.18	16.36	0.81	0.82

^a Rare alleles were considered if they appeared in a frequency below 5%

^b For the geographical European regions of origin, allelic richness was computed after normalization according to the smallest population size (i.e., Northern+Eastern Europe)

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Table 2 Descriptive information for each of the three major groups and eight subgroups of
genotypes identified by the Bayesian model-based clustering method. Summary statistics
include the partitioning of number of individuals in each group, expected heterozygosity (He),
total, private, unique, and average number of alleles (A). Allelic richness is scaled to the
smallest group (K2; N=401) or subgroup (K1.3; N=148)

	Number gro	of genotypes in the oup/subgroup	Но		Allelic			
Group/Subgroup	Number Genotypes	Frequency of genotypes with <i>qI</i> ≥0.8	IIC	Total	Private	Unique	А	richness
K1	506	60%	0,823	307	34	16	19.19	18.63
K2	401	57%	0,816	287	23	15	17.94	17.76
K3	952	67%	0.801	294	22	14	18.36	15.99
K1.1	209	42%	0.842	282	17	12	17.63	16.38
K1.2	149	54%	0.789	215	3	1	13.44	13.20
K1.3	148	36%	0.761	228	6	3	14.25	13.86
K2.1	244	48%	0.818	268	14	11	16.75	14.73
K2.2	157	53%	0.778	211	5	4	13.19	12.67
K3.1	375	41%	0.775	242	7	6	15.13	12.32
K3.2	162	57%	0.760	171	0	0	10.69	10.31
K3.3	415	51%	0.809	255	14	8	15.94	13.43

- **Table 3** Analysis of molecular variance (AMOVA) based on the 16 SSR loci of the apple germplasm evaluated in this study corresponding to three regions of
- 1177 origin (Northern+Eastern, Southern and Western Europe) and groups and subgroups defined by Structure analysis

Populations	dfa		Variance components (%)				
	$\mathbf{W}^{\mathbf{b}}$	Α	W	Α	p value		
3, geographic origins	1653	2	97.9	2.1	0.001		
3, groups defined by Structure	1859	2	96.9	3.1	0.001		
3, subgroups of K1	506	2	96.3	3.7	0.001		
2, subgroups of K2	401	1	96.6	3.4	0.001		
3, subgroups of K3	952	2	97.3	2.7	0.001		
8, subgroups (K1+ K2+ K3)	1859	7	95.6	4.4	0.001		

^adf: degrees of freedom,^bW: within populations, ^cA: among populations

Table 4 Pairwise estimates of F_{ST} among the eight subgroups obtained by the nested Bayesian

1181	clustering	approach.
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Subgroup	K1.1	K1.2	K1.3	K2.1	K2.2	K3.1	K3.2	K3.3
K1.1	_							
K1.2	0.030	_						
K1.3	0.035	0.051						
K2.1	0.028	0.067	0.077	_				
K2.2	0.049	0.076	0.087	0.035				
K3.1	0.034	0.051	0.061	0.061	0.055			
K3.2	0.051	0.065	0.070	0.070	0.058	0.029	_	
K3.3	0.016	0.042	0.060	0.038	0.051	0.023	0.038	
All the estimate	tes were hig	ghly signi	ficant (P-	< 0.001)				

1184	Table 5 Full parentages	of 46 apple cu	ltivars inferred w	vithin the set of the	1859 apple uniqu	e accessions using	g 16 SSR marker	s with their	accession codes,

1185	accession names (AcceNumber), their of	uplicate codes a	ccording to the SSR	profile (FBUNO) a	and their putative	country of origi	n (OriginHist)
			1	0		1	5 0	` 0 /

Offspring ID	Accename	FBUNQ	OriginHist	First candidate ID	Accename	FBUNQ	OriginHist	Second candidate ID	Accename	FBUNQ	OriginHist	Status ^j
X1618	Calville Rouge du Mont Dore	963	FRA	BAL086	Alexander	30	UKR	DCA_I05	Mele Ubriache ^a	361	FRA	doc.
X1846	Belle de Mleiev	1563	-	X0557	Mc Intosh	508	CAN	1957218	King of the Pippins	37	FRA	doc.
BAL035	Heta	1774	FIN	CRAW-0433	Lobo	788	CAN	FIN09	Huvitus	4922	FIN	recent
BAL039	Jaspi	1776	FIN	CRAW-0433	Lobo	788	CAN	FIN09	Huvitus	4922	FIN	recent
FIN18	Pirkko	4930	FIN	CRAW-0433	Lobo	788	CAN	FIN09	Huvitus	4922	FIN	recent
BAL010	Rödluvan	107	SWE	CRAW-0433	Lobo	788	CAN	BAL023	Barchatnoje	1768	RUS	recent
BAL109	Arona	1819	LVA	CRAW-0433	Lobo	788	CAN	BAL112	Iedzenu	1822	LVA	recent
BAL176	Nyckelby	1861	SWE?	CRAW-0433	Lobo	788	CAN	1957188	Cox's Pomona	2033	GBR	recent?
BAL059	Pirja	444	FIN	FIN09	Huvitus	4922	FIN	CRAW-0836	Melba	167	CAN	recent
FIN43	Pirkkala	4949	FIN	BAL042	Kaneläpple	512	RUS	FIN14	Lavia	4926	FIN	recent
BAL154	Mio	543	SWE	CZ_G2D_0045	Worcester parména	550	GBR	BAL056	Oranie	48	SWE	recent
BAL052	Oberle	1784	CAN	BAL027	Early Red Bird	236	CAN	CRAW-0266	Stark Earliest	468	USA	old
BAL091	Förlovningsäpple	1804	SWE	CHE0893	Heuapfel	1248	CHE	X1646	Saint Germain	31	-	old
BAL167	Valldaäpple	1853	SWE	CHE0893	Heuapfel	1248	CHE	BAL179	Göteborgs Flickäpple	1863	SWE	old
BAL099	Kramforsäpple	1811	SWE	BAL161	Sävstaholm	573	SWE	BAL195	Åkerö	308	SWE	old
BAL158	Stenkyrke	463	SWE	BAL171	Fullerö	1857	SWE	CZ_LJ_0045	Malinové podzimní ^b	722	POL	old
FIN07	Finne	4920	FIN	BAL161	Sävstaholm	573	SWE	FIN08	Grenman	4921	FIN	old
1942035	Beauty of Moray	1925	GBR	2000053	Keswick Codlin	1438	GBR	2000090	Stirling Castle	2103	GBR	old
1951242	Brighton	2011	NZL?	X4915	Red Dougherty	939	NZL	CZ_LC_0411	Hlaváčkovo ^c	23	USA	old
1957208	Ben's Red	2035	GBR	CRAW-0020	Devonshire Quarrenden	622	GBR	1955077	Box Apple	2025	GBR	old
1965004	Fred Webb	2054	GBR	1946088	Winter Marigold	324	GBR	1957181	Gascoyne's Scarlet	45	GBR	old
2000083	Rivers' Early Peach	2099	GBR	2000051	Irish Peach	2093	IRL	BAL169	Aspa	1855	SWE	old
BMN0011	Roja de Guipuzcoa	3854	ESP	BMN0017	Urte Sagarra	956	ESP	BMN0171	Maxel Gorri	3896	ESP	old
BMZ016	Cella	3935	ESP	BMN0022	Erreka Sagarra	957	ESP	X5102	Bisquet	535	FRA	old
BMN0070	Madotz-01	3869	ESP	1957218	King of the Pippins	37	FRA	X7201	Transparente de Croncels	62	FRA	old
CHE1322	Rotwiler	1271	CHE?	1957218	King of the Pippins	37	FRA	BAL086	Alexander	30	UKR	old

CHE1788	Roseneggler	3718	CHE	1957218	King of the Pippins	37	FRA	CZ_BoN_0429	Trat. Laze	2284	CZE	old
CHE0032	Ernst Bosch	1003	DEU	1947074	Ananas Reinette	69	NLD	CZ_GF_0415	Evino ^d	7	GBR	old
CHE0168	Eibner	3258	CHE	CRAW-0836	Melba	167	CAN	CZ_BoN_0424	Trevínské červené ^e	71	USA	old
CHE1390	Klefeler	3589	CHE	KRAS123	Papirovka	25	RUS	X7199	Rose de Berne	83	CHE	old
CRAW-0226	Laubain n°1	2126	BEL	CRAW-0086	Bismarck	3	AUS	CZ_GS_0478	Ušlechtilé žluté ^f	90	GBR	old
CRAW-0105	Godelieve Hegmans	2116	BEL	BAL175	Röd Astrakan	82	RUS	X7201	Transparente de Croncels	62	FRA	old
CZ_BB_0442	Nathusiovo	2268	DEU	CZ_GL_0464	Bláhovo Libovické	2311	CZE	X7201	Transparente de Croncels	62	FRA	old
CZ_BB_0434	Panenské veliké	2265	CZE	CZ_GP_0469	Panenské české	1529	CZE	X1344	Reinette de Landsberg	61	DEU	old
CZ_GK_0412	Proche	2308	CZE	CRAW-0425	Calville Rouge d'Automne	13	FRA	X1344	Reinette de Landsberg	61	DEU	old
CZ_BoN_0421	Moravcovo	2283	CZE	CZ_GP_0469	Panenské české	1529	CZE	CHE0269	Pomme Bölleöpfel	1377	-	old
CZ_GL_0456	Bláhův poklad	694	-	CZ_GL_0464	Bláhovo Libovické	2311	CZE	CZ_GG_0438	Malinové hornokrajské ^g	47	NLD	old
CZ_GP_0473	Petr Broich	2321	DEU	1957175	Annie Elizabeth	15	GBR	2000075	Peasgood's Nonsuch	51	GBR	old
CZ_BB_0458	Šarlatová parména	2269	CZE	CZ_GG_0442	Malinové holovouské	452	CZE	X8233	Petite Madeleine	24	-	old
CZ_BB_0466	Podzvičinské ^h	231	-	X0691	Boiken	108	DEU	X1071	Reinette de Caux	629	NLD	old
DCA_017	S.Giuseppe	1646	ITA	DCA_090	Abbondanza	327	ITA	DCA_C44	Rambour Frank (MI)	493	FRA	old
DCA_H03	Scodellino	1642	ITA	DCA_090	Abbondanza	327	ITA	DCA_E52	Decio	397	ITA	old
DCA_E72	Gelato Cola	330	ITA	DCA_E69	Gelato (CT)	780	-	DCA_F74	Limoncella (TN) ⁱ	708	ITA	old
DCA_H62	Liscio di Cumiana	1713	ITA	DCA_H29	Carla	114	-	DCA_C21	Renetta di Grenoble	263	ITA	old
DCA_I96	Ros Magior	1658	ITA	DCA_I80	Rus d' Muslot	321	-	X1115	Rome Beauty	334	USA	old
DCA_F47	Mela Golden Simile di Villa Collemandina	1692	ITA	DCA_A20	Rosa Mantovana (TN)	101	ITA	CRAW-0025	Yellow Bellflower	77	USA	old

1187 ^a DCA_I05 'Mele Ubriache' duplicate with 'Calville Rouge d'Hiver' [23]

^b based on 11 SSR [64] the accession CZ_LJ_0045 'Malinové podzimní' was shown to be duplicated with 'Danziger Kantapfel'

^c based on 11 SSR [64] the accession CZ_LC_0411 'Hlaváčkovo' duplicate with 'Northen Spy'

^d based on 11 SSR [64] the accession CZ_GF_0415 'Evino' duplicate with 'Mank's Codlin'

^e based on 11 SSR [64] the accession CZ BoN 0424 'Trevínské červené' duplicate with 'King David'

^f based on 11 SSR [64] the accession CZ GS 0478 'Ušlechtilé žluté' duplicate with 'Golden Noble'

^g based on 11 SSR [64] the accession CZ_GG_0438 'Malinové hornokrajské' duplicate with 'Framboise'

^h based on 11 SSR [64] and on 13 SSR [14] the accession CZ_BB_0466 'Podzvičinské' duplicate with 'Altlander Pfannkuchenapfel' and 'Thurgauer Kent'

ⁱ based on 11 SSR [64] the accession DCA_F74 'Limoncella' (TN) duplicate with 'Cola'

^j recent or old cultivars ; doc. = inferred parentage already documented in [23]

1198 **Caption for Figures**

1199

1200 Figure 1 Graphical display of the results of the Structure analyses. a1) Proportions of ancestry 1201 of 1859 unique diploid apple genotypes for K=3 groups inferred with Structure v.2.3.4 software 1202 [67]. Each genotype is represented by a vertical bar partitioned into K=3 segments representing 1203 the estimated membership fraction in three groups. The three groups are depicted using the 1204 following color codes: Red = group K1; Blue = group K2; Green = group K3. a2) Proportions of ancestry of the same 1859 genotypes following a nested Structure analysis within each 1205 previously defined group. For K1 and K3 three subgroups are shown and for K2 two subgroups 1206 1207 are shown. Each genotype is represented by a vertical bar partitioned into K=2 or 3 subgroups 1208 representing the estimated membership fraction in each subgroup. Genotypes are presented in 1209 the same order in a1. The subgroups are depicted using the following color codes: light Pink = 1210 K1.1; Purple = K1.2; dark Pink = K1.3; light Blue = K2.1; dark Blue = K2.2; fluorescent Green 1211 = K3.1; dark Green = K3.2; light Green = K3.3. b) Proportions of ancestry of 1653 unique diploid apple genotypes with known European region of origin for K=3 groups inferred with the 1212 1213 same Structure analysis as in a. The genotypes are sorted according to their European region of 1214 origin (North+East, West, and South).

1215

Figure 2 Scatter plot of the Principal Coordinate Analysis (PCoA) of the 1859 apple accessions
based on the 16 SSR data. The three groups are depicted using the following color codes: Red =
group K1; Blue = group K2; Green = group K3.

1219

Figure 3 Neighbor-joining dendrogram based on simple matching dissimilarity matrix
calculated from the dataset of 16 SSR markers for the 1859 genotypes clustered in the three
groups revealed by the Bayesian model-based clustering method. The three groups are depicted
using the following color codes: Red = group K1; Blue = group K2; Green = group K3.

- **Figure 4** Genetic composition of the groups of cultivars clustered by country of origin for *K*=3
- 1226 groups inferred with Structure. For the detailed country list, see Additional file 1. The pies
- 1227 represent the proportion of each group in each country: color codes are as per Figure 1a1.

1230 Caption for Additional files

1231

1232 Additional file 1 (.xls file) List of the 2446 accessions considered in the present study with their 1233 accession code (AcceNumber), name (AcceName), the name of the providing collection 1234 (Collection), their duplicate code according to the SSR profile (FBUNQ, see text), their ploidy 1235 level (Ploidy) determined according to the occurrences of three alleles per locus (see text), their status (Analyzed) as analyzed or not-analyzed within the duplicate group (when adequate), their 1236 documented European geographic region of origin (Eur reg orig), their putative country of 1237 origin (Country_orig), their group assignment (Group) inferred by the Structure analysis with 1238 1239 the highest proportion of ancestry (qImax), and their subgroup assignment (Subgroup) inferred by the nested Structure analysis with the highest proportion of ancestry (qImax nested). In the 1240 1241 'qImax' and 'qImax nested' columns, a bold number indicates that the highest subgroup 1242 proportion of ancestry (qI) is equal to or greater than 0.8. The proportions of ancestry for each 1243 of the 3 groups (qI K1, qI K2, qI K3) and for either the 3 (qI K1.1, qI K1.2, qI K1.3), the 2 (qI K2.1, qI K2.2), or the 3 (qI K3.1, qI K3.2, qI K3.3) subgroups of groups K1, K2, or K3 1244 1245 (respectively) are then given, the latter subgroups corresponding to the group exhibiting the 1246 highest proportion of ancestry (qImax).

1247 In the 'Analyzed' column:

1248 - 'A' indicates an accession that has been considered in the statistical analyses;

'E' indicates an accession that has been excluded from the statistical analyses (mostly
 because another duplicated accession has been retained; in that case, the group or
 subgroup membership and the *qI* max probability have been imputed according to the
 analyzed duplicated accession);

1253 - 'E (SSR)' indicates an accession that has been excluded from the statistical analyses
1254 because of low number of SSR marker data (< 12 SSR);

1255 - 'E (Ext.)' indicates an accession that has been excluded from the statistical analyses
1256 because of its status as outlier in a preliminary Principal Coordinate Analysis;

1257 - 'E (Rs)' indicates an accession that has been excluded from the statistical analyses
1258 because of its status as a rootstock identified using the SSR profile (e.g., M9, MM106
1259 or MM111 instead of the expected accession).

1260 In the 'AcceNumber' column, the various colors are only attributed to distinguish the various 1261 collections under study. In the 'FBUNQ' column, the water-green color is attributed to the even 1262 numbers to ease the duplicate group visualization.

1263

Additional file 2 (.TIFF file) Genetic composition of cultivars clustered by country of origin for
the eight subgroups inferred with Structure. For the detailed country list, see Additional file 1.
The pies represent the proportion of each subgroup in each country: color codes are as per
Figure 1a2.

1268

Additional file 3 (.xls file) Characteristics of the 16 SSR markers used in this study withindication of the corresponding multiplex and dye.

1271

1272 Footnotes:

^a [61]; ^b [60]; ^c [59]; ^d [62]; ^e Primer concentration within a given multiplex has been adjusted to

1274 get more homogeneous SSR marker amplification intensities.