

In vitro fermentation and prebiotic potential of selected extracts from seaweeds and mushrooms

Article

Accepted Version

Creative Commons: Attribution-Noncommercial-No Derivative Works 4.0

Rodrigues, D., Walton, G. ORCID: https://orcid.org/0000-0001-5426-5635, Sousa, S., Rocha-Santos, T. A. P., Duarte, A. C., Freitas, A. C. and Gomes, A. M. P. (2016) In vitro fermentation and prebiotic potential of selected extracts from seaweeds and mushrooms. LWT Food Science and Technology, 73. pp. 131-139. ISSN 0023-6438 doi: 10.1016/j.lwt.2016.06.004 Available at https://centaur.reading.ac.uk/65834/

It is advisable to refer to the publisher's version if you intend to cite from the work. See <u>Guidance on citing</u>.

To link to this article DOI: http://dx.doi.org/10.1016/j.lwt.2016.06.004

Publisher: Elsevier

All outputs in CentAUR are protected by Intellectual Property Rights law, including copyright law. Copyright and IPR is retained by the creators or other copyright holders. Terms and conditions for use of this material are defined in the <u>End User Agreement</u>.

www.reading.ac.uk/centaur



CentAUR

Central Archive at the University of Reading

Reading's research outputs online

1	In vitro	fermentation	and	prebiotic	potential	of	selected	extracts	from
2	seaweeds and mushrooms								

- 3
- 4 Dina Rodrigues^{a*}, Gemma Walton^b, Sérgio Sousa^c, Teresa A.P. Rocha-Santos^a,
 5 Armando C. Duarte^a, Ana C. Freitas^{a,d}, Ana M.P. Gomes^c
- 6

^a CESAM - Centre for Environmental and Marine Studies & Department of
 Chemistry, University of Aveiro, Campus Universitário de Santiago, 3810-193
 Aveiro, Portugal

^b Department of Food and Nutritional Sciences, The University of Reading, RG6
6AP, UK

¹² ^c Universidade Católica Portuguesa, CBQF – Centro de Biotecnologia e Química

Fina – Laboratório Associado, Escola Superior de Biotecnologia, Rua Arquiteto
Lobão Vital, Apartado 2511, 4202-401 Porto, Portugal

^d ISEIT/Viseu, Instituto Piaget, Estrada do Alto do Gaio, Galifonge, 3515-776
 Lordosa, Viseu, Portugal

17

18 * Corresponding author: Mailing address: CESAM & Department of Chemistry,

- 19 University of Aveiro, 3810-193 Aveiro, Portugal. E-mail: dinarodrigues@ua.pt
- 20

21 This manuscript has been submitted for publication in LWT-Food Science and

22 Technology

- 23 It is not to be reproduced or cited without the written permission of the authors.
- 24 This article does not contain any studies with human or animal subjects.
- 25 The authors declare no conflict of interest.

26 Abstract

27 Extracts with prebiotic activity or bioactive compounds from natural sources such as seaweeds or mushrooms, combining a broad spectrum of biological properties, 28 may offer great potential for their use as functional ingredients enabling intestinal 29 microbiota modulation. In this context, selected enzymatic extracts from 30 Sargassum muticum. Osmundea pinnatifida and Pholiota nameko were evaluated 31 32 in vitro. Faecal fermentations were conducted anaerobically under controlled temperature and pH over 24 h. Enzymatic extracts of Ph. nameko and of O. 33 pinnatifida at 1% (w/v), lead to increases in Bifidobacterium spp. after 6 h of 34 35 fermentation in comparison to negative control, suggesting a stimulatory effect. No significant changes over 24 h were observed of Lactobacillus spp. In 36 particular, the Ph. nameko extract obtained with Flavourzyme not only stimulated 37 38 growth and/or activity of Bifidobacterium spp. but also led to a decrease of Clostridium histolyticum group upon 24 h, thus potentially benefiting colonic 39 health. Higher percentages of this extract (2 and 3%) impaired a C. histolyticum 40 reduction confirming this selective action and prebiotic potential. Differences in 41 42 short chain fatty acids (SCFA) and lactic acid production between the four extracts 43 may indicate a potential relationship between their physico-chemical properties, which differ in composition and structures, and modulation of gut bacterial 44 species. 45

Keywords: Seaweeds, mushrooms, enzymatic extracts, fluorescence *in situ*hybridization (FISH), prebiotic activity

- 48
- 49
- _
- 50

51 **1. Introduction**

Edible seaweeds and mushrooms are an excellent source of bioactive compounds (Pádua, Rocha, Gargiulo, & Ramos, 2015; Ruthes, Smiderle, & lacomini, 2016) and therefore research into the biological potential of enzymatic extracts of seaweeds *S. muticum* and *O. pinnatifida* and of mushroom *Ph. nameko* to be explored within the functional food perspective, were selected for *in vitro* fermentation study to consolidate their prebiotic potential (Rodrigues et al., 2015,2016).

Prebiotics are defined as substrates that improve the host health by selectively 59 60 stimulating the growth and/or metabolic activity of one or a limited number of beneficial bacteria in the colon (Roberfroid et al., 2010). The potential prebiotic 61 effect of the selected extracts has been evaluated by comparison with 62 63 fructoligosaccharides (FOS), the gold standard in comparison studies, using pure cultures (Lactobacillus acidophilus La5; Bifidobacterium animalis BB12) 64 (Rodrigues et al., 2015,2016). The human gastrointestinal tract represents a 65 complex ecosystem where the available nutrients and the diverse microbiota will 66 influence changes within the community (Roberfroid et al., 2010). Hence, to 67 68 assess the possible importance that colonic catabolism of these extracts may have on human gut microbiota, in vitro batch culture fermentation experiments 69 conducted with faecal inoculum from healthy volunteers, are in order to observe 70 changes in the main bacterial groups present within (Eid et al., 2014; Sánchez-71 Patán et al., 2012). The human colon is considered the most metabolically active 72 site in the human body with over 1000 species of microorganisms reaching up to 73 10¹²-10¹³ bacteria per gram dry weight (Roberfroid et al., 2010). To study this 74 diverse community pH controlled, anaerobic faecal batch cultures enable 75

assessment of the fermentability of substrates in the intestinal lumen, whilst 76 77 simulating the conditions in the human distal colon (Bergillos-Meca, Costabile, Walton, Moreno-Montoro, Ruiz-Bravo, & Ruiz-López, 2015). A growing body of 78 evidence suggests that the gut microbiota impacts on a wide range of host 79 metabolic pathways, barrier function and immune modulatory function influencing 80 the prevention and risk of a wide range of diseases, including inflammatory bowel 81 82 disease, diarrhoea and colorectal cancer. Much of this impact is mediated through diet and the consumption of specific health-related foods, justifying the constant 83 need to modulate diet or identify compounds that can positively modify the gut 84 85 microbiota (Gibson, Scott, Rastall, & Tuohy, 2010).

Research has been focused on 'prebiotics', and in particular the ability of certain 86 types of dietary fibre, especially indigestible oligosaccharides, to stimulate the 87 88 growth of and/or activity of beneficial gut bacteria such as bifidobacteria and lactobacilli while retarding the development of C. histolyticum, leading to a 89 concomitant positive effect on colonic health (Gibson et al., 2010; Aida, Shuhaimi, 90 Yazid & Maaruf, 2009). Better understanding of the benefits of prebiotics has 91 urged a need to search for and develop new and alternative sources of prebiotics. 92 93 According to Zaporozhets et al. (2014), the prebiotic activity of extracts or of polysaccharides from marine seaweeds, combined with a broad spectrum of 94 biological properties, evidences great potential for their use as functional nutrition 95 ingredients enabling modulation of intestinal microbiota and of gastrointestinal 96 tract (GIT) inflammation as well as normalization of the immune system. Therefore 97 the main objective in this study was to evaluate the potential of the digested 98 (closely simulating physiological conditions) seaweed and mushroom extracts 99 containing different polysaccharide and oligosaccharide structures on gut 100

101 microbial ecology. To our best knowledge there are no studies regarding the 102 impact of water-based enzyme-assisted extracts for seaweeds *S. muticum*, *O.* 103 *pinnatifida* or mushroom *Ph. nameko* on gut microbial ecology confirm and 104 consolidate the biological potential of these selected extracts, for their application 105 as functional food and bioactive ingredient sources.

106

107 **2. Material and methods**

108 2.1. Selected seaweeds and mushrooms extracts

In this study water-based enzyme-assisted extracts from two seaweeds and one 109 110 mushroom were selected following demonstration of potential prebiotic effect with pure cultures (Rodrigues et al., 2015,2016). Selected extracts included extracts of 111 S. muticum obtained with Alcalase, O. pinnatifida obtained with Viscozyme and 112 *Ph. nameko* obtained with Cellulase and with Flavourzyme. For each extract, 1g 113 of dried mushroom or 2 g of dry seaweed was dispersed in 50 mL of deionised 114 water and incubated in an agitated water bath for 10 min. After adjusting pH to 115 specific enzyme optimum conditions (Alcalase: pH=8 - 50 °C; Flavourzyme: 116 pH=7.0 - 50 °C; Cellulase: pH=4.5 - 50 °C; Viscozyme® L: pH=4.5 - 50 °C; All 117 118 enzymes were obtained from Sigma-Aldrich), 100 mg of enzyme was added and incubated for enzymatic hydrolysis for 24h at 50 °C. The enzymatic reaction was 119 stopped by heating the sample at 90-100 °C for 10 min followed by immediate 120 cooling in an ice bath. The pH of enzymatic extracts was adjusted to pH 7.0 with 121 1M HCl and/or NaOH and then centrifuged, filtered and freeze-dried according to 122 Rodrigues et al. (2015, 2016). 123

124

125 2.2. *In vitro* fermentation by human gut microbiota

Samples of the selected extracts were submitted to three consecutive steps: 1)
Simulated gastrointestinal digestion; 2) Faecal batch-culture fermentation and, 3)
Bacterial enumeration using FISH.

129 2.2.1. Simulated gastrointestinal digestion

To simulate the digestion of the selected seaweed and mushroom extracts 130 131 through the gastrointestinal tract and therefore evaluate the main effects of the 132 digested extracts on human microbiota, samples were treated according to Mills et al. (2008), with slight alterations. Water (25 mL) was added to 10 g of 133 lyophilized extract, and the mixture was stomached (Seward, UK) for 5 min using 134 200 paddle-beats per min. The extract solution was then mixed with α -amylase 135 (A4551, Sigma; 3.33 mg) in CaCl₂ (0.001 M, pH 7.0; 1.04 mL) and incubated at 37 136 °C for 30 min and at 130 rpm in a shaker. Afterwards, the pH was decreased to 137 2.0 with 6 M HCl and pepsin (P 7000, Sigma; 0.45 g) dissolved in HCl (0.1 M; 138 139 4.16 ml) was added. The sample was incubated at 37 °C for 2 h and at 130 rpm in a shaker. After this period, the pH was increased to 7 with 6 M NaOH, and 140 pancreatin (P 8096, Sigma; 0.93 g) and bile (B 8631, Sigma; 0.58 g) in NaHCO₃ 141 142 (0.5 M; 20.8 mL) were added. The extract solution was then incubated at 37 °C and at 45 rpm in a shaker for 3 h and afterwards transferred to 1 kDa molecular 143 weight cut-off regenerated cellulose dialysis tubing (Spectra/Por® 6, Spectrum 144 Europe, Netherlands) and dialyzed against NaCl 0.01 M at 5 °C, to remove low 145 molecular mass digestion products. After 15 h the NaCl dialysis fluid was changed 146 147 and dialysis continued for an additional 2 h. Afterwards the digested samples were frozen at -80 °C and lyophilized in a freeze dryer (Armfield SB4 model, 148 Ringwood, UK). All chemicals were purchased from Sigma (St Louis, USA). 149

150 2.2.2. Faecal batch-culture fermentation

Three independent fermentation experiments were carried out. Faecal samples 151 152 were obtained fresh at the premises of the Department of Food and Nutritional Sciences from 3 apparently healthy adult volunteers who ingested a normal diet, 153 had not ingested any antibiotics for at least 6 months and were not regular 154 consumers of pre or probiotics. Samples were collected into sterile vials and kept 155 156 in an anaerobic cabinet and used within 30 min of collection. A 1/10 (w/w) dilution in phosphate buffer saline (PBS) was prepared and homogenized using a 157 stomacher (Serward, Worthing, UK) for 2 min at 460 paddle-beats per min. 158

Sterile stirred batch culture fermentation vessels (50 mL working volume) were set 159 160 up and aseptically filled with 45 mL sterile, pre-reduced, basal medium [peptone water 2 g/L, yeast extract 2 g/L, NaCl 0.1 g/L, K₂HPO₄ 0.04 g/L, KH₂PO₄ 0.04 g/L, 161 MgSO₄.7H2O 0.01 g/L, CaCl₂.6H₂O 0.01 g/L, NaHCO₃ 2 g/L, Tween 80 2 mL/L 162 163 (BDH, Poole, UK), Hemin 0.05 g/L, vitamin K1 10 µL/L, L-cysteine-HCl 0.5 g/L, bile salts 0.5 g/L, pH 7.0] and gassed overnight with O₂-free N₂ (15 mL/min) with 164 constant agitation. All media and chemicals were purchased from Oxoid 165 (Basingstoke, UK) and Sigma (St Louis, USA). The temperature was kept at 37 °C 166 and pH was controlled between 6.7 and 6.9 using an automated pH controller 167 168 (Fermac 260, Electrolab, Tewkesbury, UK), which added acid or alkali (0.5 M HCI and 0.5 M NaOH) in order to mimic conditions that resemble the distal region of 169 the human large intestine (Sánchez-Patán et al., 2012). 170

Six stirred pH-controlled batch fermenters were run in parallel. The different digested extracts (1% w/v) were aseptically added to four vessels, the other two vessels were used as controls. For the positive control vessel 1% (w/v) of FOS (95% oligofructose, 0.5 kDa dextran with 25% α -1,2 branching, 1 kDa dextran with 32% α -1,2 branching; Orafti®*P95*, Oreye, Belgium) was used. To the negative

control vessel no source of carbon was added. The experiment was performed in 176 177 triplicate, using one faecal sample given by a different donor for each run of six batch fermenters. Each vessel, with 45 mL sterile medium and digested extract, 178 was inoculated with 5 mL of fresh faecal slurry (1/10 w/w). The batch cultures 179 were run under anaerobic conditions for a period of 24 h, during which 5 mL 180 samples were collected from each vessel at 0, 6, 12 and 24 h for FISH and 181 182 analysis of lactic acid and short chain fatty acids (SCFA). For this latter analysis, samples were stored at -70 °C until required. 183

In order to assess the effect of <u>the</u> addition of 2 and 3% of digested extracts of *O. pinnatifida* obtained with Viscozyme and of *Ph. nameko* obtained with Flavourzyme, faecal batch-culture fermentations were repeated, in triplicate, under similar conditions but non-pH controlled and at lower volumes (10 mL) and for a period of 12 h.

189 2.2.3. Bacterial enumeration using FISH.

To assess differences in bacterial composition, FISH was used with 190 oligonucleotide probes designed to target specific diagnostic regions of 16S rRNA 191 192 based on the method described by Daims, Stoecker, & Wagner (2005). A total of 193 6 different probes commercially synthesized and 5'-labelled with the fluorescent dye (Sigma Aldrich, St Louis, USA) were used in addition to an overall stain with 194 4,6-diamidino-2-phenylindole (DAPI), which measures all cells by staining DNA 195 (Harmsen, Wildeboer-Veloo, Grijpstra, Knol, Degener, & Welling, 2000a; Harmsen 196 et al., 2000b). 197

Samples (375 μ L) obtained from each vessel and sampling time were fixed for a minimum of 4 h (4 °C) in 1125 μ L 4% (w/v) paraformaldehyde. Fixed cells were centrifuged at 13,000 g for 5 min and washed twice in 1 mL filtered sterilized PBS. The washed cells were re-suspended in 150 μ L filtered PBS and stored in 150 μ l ethanol (99%) at -20 °C until further processing. Samples were then diluted in a suitable volume of PBS in order to obtain countable fluorescent cells in each field of view and 20 μ l of the above solution was added to each well of a 6 well PTFE/poly-L-lysine coated slide (Tekdon Inc., Myakka City, USA). The samples were dried for 15 min in a drying chamber (46 °C).

207 To permeabilize cells for use with probes Bif164 and Lab 158 (Table 1), samples were treated with 20 µL of lysozyme at room temperature for 15 min before being 208 209 washed briefly in water. Slides were dehydrated, using an alcohol series (50, 80 and 96% (v/v) ethanol) for 3 min in each solution. Slides were returned to the 210 drying oven for 2 min to evaporate excess ethanol before adding the hybridization 211 mixture to each well [(0.9 M NaCl, 0.02 M Tris/HCl (pH 8.0), 0.01% sodium 212 dodecyl sulphate and 4.55 ng/mL probe]. For probes EUB338 I-II-III, the 213 214 hybridization mixture contained formamide [(0.9 M NaCl, 0.02 M Tris/HCl (pH 8.0), 35% formamide, 0.01% sodium dodecyl sulphate and 4.55 ng/mL probe]. 215 Hybridization occurred for 4 h in a microarray hybridization incubator (Grant-216 217 Boekel, Cambridge, UK). After hybridization, slides were washed in 40 mL washing buffer [0.9 M NaCl and 0.02 M Tris/HCl (pH 8.0)], and 0.005 M 218 ethylenediaminetetraacetic acid for the EUB338 I-II-III probes with 20 µl nucleic 219 acid stain 4', 6-diamidino-2- phenylindole (DAPI; 50 ng/µL) for 15 min. They were 220 then dipped in cold water for a few seconds and dried with compressed air. Five 221 222 microlitres of polyvinyl alcohol mounting medium with 1,4diazabicyclo(2,2,2)octane (DABCO) was added onto each well and a cover slip 223 was placed on each slide (20 mm, thickness No 1, VWR, Lutterworth, UK). Slides 224 were examined by epifluorescence microscopy (Eclipse 400, Nikon, Surrey, UK) 225

using the Fluor 100 lens. For each well, 15 fields with a maximum of 300 positivecells were counted.

228 2.2.4. Lactic acid and SCFA analysis

Samples were collected from each batch culture at each sampling point (0, 6, 12) 229 and 24 h) and frozen at -70 °C until required. Samples were assessed for lactic 230 231 acid and SCFA (acetic, propionic, butyric, isobutyric and isovaleric acids) using an 232 HPLC apparatus from Merck LaChrom (Fullerton CA, USA), in a single run, based on calibration curves previously prepared with appropriate chromatographic 233 standards; an Aminex HPX-87X cation exchange column from BioRad (Richmond 234 235 CA, USA) was used for separation; the eluant was pumped at 0.8 mL/min and 236 consisted of 13 mM H_2SO_4 (Merck); and detection was by UV absorbance at 220 nm. Prior to analysis, samples were defrosted, centrifuged (13,000 g for 10 min at 237 238 4 °C) and filtered through a 0.22-µm membrane filter (Millipore, USA) to remove 239 all particulate matter.

240 2.3. Statistical Analysis

A paired Student's *t* test was used to test for significant differences in the bacterial group populations between extracts and controls as well as for time *in vitro* fermentation experiments by human gut microbiota. All data of bacterial populations (Log₁₀ cell/mL) are expressed as average of three replicates (donors) plus or minus standard deviation, justifying the high variability reported in several cases.

247

248 **3. Results and discussion**

3.1. Modulation of intestinal microbiota by seaweed and mushroom digestedextracts.

Assessment of the prebiotic potential of bioactive compounds or extracts by in 251 252 vitro fermentation with human faecal microbiota provides a cost-effective and rapid alternative to assess the fermentation and modulation capacity of different 253 substrates on a laboratory scale comparative basis (Gullon, Gullon, Tavaria, 254 Pintado, Gomes, Alonso, & Parajo, 2014). It is important to note that before 255 performance of in vitro fermentations care was taken to submit each extract to 256 simulated gastrointestinal digestion because resistance to gastric acidity and 257 hydrolysis by mammalian enzymes are limiting factors that have to be assured in 258 order to enable the substrate to reach the colon and be fermented by intestinal 259 260 microbiota, meeting the pre-requisite for a prebiotic effect or gut modulation effect. According to Gibson et al. (2010) any dietary material that is non-digestible and 261 enters the large intestine is a candidate prebiotic. The few studies that have 262 263 evaluated the prebiotic potential of seaweed polysaccharides using in vitro fermentation (laminarin and low molecular weight polysaccharides from agar and 264 alginate) did not undergo previous gastrointestinal digestion, hindering analysis of 265 true effectiveness of intact compounds (Devillé, Gharbi, Dandrifosse, & Peulen, 266 267 2007; Ramnani et al., 2012). During the experimental time course (0, 6, 12 and 24 268 h) of the *in vitro* fermentation of the digested seaweed and mushroom extracts at 1% (w/v) changes in the different bacterial populations and accumulation of lactic 269 acid and SCFA (acetic, propionic, butyric acids) were assessed. For comparative 270 271 purposes, the same experimental strategy was used with the well-established prebiotic FOS (positive control) and with medium without carbon source present 272 (negative control) (Fig. 1). 273

FISH was used to monitor the modifications among populations of selected bacterial species caused by the different digested *S. muticum*, *O. pinnatifida* and

Ph. nameko enzymatic extracts added at 1%, on a comparative basis. Depending 276 277 on the bacterial group different effects were observed. Both enzymatic extracts of Ph. nameko and that of seaweed O. pinnatifida obtained with Viscozyme lead to 278 overall increases in *Bifidobacterium* spp. populations as compared to the negative 279 control between 6 and 24 h of fermentation, confirming a stimulatory effect (Fig. 280 1.a). Highest shift was observed for medium containing Ph. nameko extract 281 282 obtained with Flavourzyme raising bifidobacterial counts from 8.06±0.66 Log₁₀ cell/mL at 0h to 8.49 ± 0.06 Log₁₀ cell/mL at 24 h (p=0.391) in comparison to the 283 negative control at 24 h (p=0.021). Evidence for a potential prebiotic effect for 284 285 pure culture of *B. animalis* BB12 was observed for undigested *Ph. nameko* enzymatic extracts (Rodrigues et al., 2016). The positive control FOS, did 286 however induce a higher increase in bifidobacterial numbers after 24 h of 287 288 fermentation; from 8.04 \pm 0.47 at 0 h to 8.98 \pm 0.13 at 24 h (p=0.017). Indeed a twofold higher increase was observed for FOS (0.94 log₁₀) when compared to Ph. 289 290 nameko extract obtained with Flavourzyme (0.43 log₁₀).

No significant lactobacilli populations changes were observed over the 24 h in 291 292 comparison to the negative control for any of the four extracts tested at 1% except 293 for FOS (Fig. 1.b). These results contrast with those reported in previous studies obtained with pure cultures of L. acidophilus La-5; significant higher values 294 (p<0.05) of viable cells were observed for the majority of culture media enriched 295 with seaweed water-based extracts (Rodrigues et al., 2015) and with Ph. nameko 296 extracts (Rodrigues et al., 2016) after 24 h of incubation in comparison to growth 297 in media with glucose or FOS. Ramnani et al. (2012) also reported absence of 298 effect on gut lactobacilli populations by low molecular weight polysaccharides 299 300 from agar and alginate seaweeds.

The stimulation of growth and/or activity of beneficial gut bacteria such as 301 302 Bifidobacterium by the digested extract of Ph. nameko obtained with Flavourzyme was associated with a decrease in numbers of the C. histolyticum after 24 h 303 fermentation, in comparison to the negative control (Fig. 1.e). Such a change can 304 be considered a concomitant positive effect on colonic health (Gibson et al., 2010; 305 Aida et al., 2009). Furthermore, it can also be highlighted that although not 306 307 statistically significant, the positive control FOS was associated with an increased number of cells of C. histolyticum after 12 and 24 h in comparison to the negative 308 control (Fig. 1.e); in contrast, decreased numbers of C. histolyticum were 309 310 observed in particular for the extracts of O. pinnatifida, S. muticum and Ph. nameko obtained with Flavourzyme. Although there is a clear difference in 311 response between FOS and studied extracts, it must be mentioned that some 312 313 authors have mentioned that an increase in C. histolyticum numbers may be a consequence of culture conditions rather than a specific effect mediated by the 314 315 tested prebiotic compounds (Bergillos-Meca et al., 2015). Bergillos-Meca et al. (2015) reported such an increase in C. histolyticum numbers for both positive 316 control (FOS) and for tested probiotic/prebiotic conditions which is not the case 317 presented herein. The digested extract of S. muticum obtained with Alcalase 318 seems to be the less promising of the tested extracts considering the absence of 319 a positive shift for both the Bifidobacterium and lactobacilli groups and their 320 positive influence on the Clostridium groups at 6 and 12 h (Fig 1.a,b and e). 321

The four digested extracts at 1% led to similar increases in numbers of total bacteria after 24 h fermentation in comparison to the negative control, which y reported a slight reduction in numbers by 24 h (Fig. 1.f). Furthermore, the four digested extracts at 1%, as well as the negative and positive controls, all led to a decrease in numbers of the *Clostridium cocoides/E. rectale* group (Fig. 1.d), which is a major anaerobic population in the human gut. Statistically significant decreases (p<0.05) were observed for *S. muticum* extract alongside both negative and positive controls for *C. cocoides/E. rectale*.

Bacteroides/Prevotella population (Bacteroides group) showed an increase over 330 the 24 h of fermentation for all the four digested extracts, being statistically 331 332 significant for the S. muticum extract and for both extracts of Ph. nameko in comparison to the negative control or to the positive FOS which revealed no 333 significant shift in numbers over the 24 h fermentation period (Fig. 1c). It is known 334 335 that these genera vary greatly with the nature of the diet and while studies have 336 revealed increased proportions of *Bacteroides* in vegetarians (Matijasic, Obermajer, Lipoglavsek, Grabnar, Avgustin, & Rogelj, 2014), or upon ingestion of 337 338 resistant starch type 4 (Martinez, Kim, Duffy, Schlegel, and Walter, 2010), further studies have detected no alterations in Bacteroides upon ingestion of formula diet 339 containing FOS and pea fibre (Benus et al., 2010) or upon blueberry drink 340 consumption (Vendrame, Guglielmetti, Riso, Arioli, Klimis-Zacas, & Porrini, 2011). 341 In contrast, in a study by Vulevic, Juric, Tzortzis, & Gibson (2013), 342 343 galactooligosaccharide consumption by overweight adults led to a reduction in the Bacteroides population. Although Bacteroides/Prevotella populations increased 344 with the addition of the digested extracts to the medium it is important to correlate 345 shift in population with the production of SCFA. Bacteroides and Prevotella 346 genera are organisms capable of using a very wide range of substrates and are 347 major producers of propionic acid. As may be seen from data listed in Table 2 and 348 discussed further, propionic production is significant by 24 h fermentation, and of 349 the same order of magnitude, for the positive control FOS and for both the Ph. 350

nameko extracts, yet branched-chain fatty acids are reduced, which is concurrent
 with a decrease in protein fermentation and of positive influence as far as
 Bacteroides modulation is concerned.

In order to observe if increasing concentrations of the digested enzymatic 354 seaweeds and mushroom extracts would have a higher impact on gut microbiota 355 356 modulation, similar in vitro fermentations were repeated with 2 and 3% (w/v) of 357 extracts of O. pinnatifida obtained with Viscozyme and of Ph. nameko obtained with Flavourzyme. The selection of these two extracts was based on the best 358 prebiotic potential selectivity effect demonstrated within each group of extracts, 359 360 seaweeds and mushroom. The respective results are displayed in Figure 2. Interesting results were obtained for *Bifidobacterium* spp., *Lactobacillus* spp. and 361 C. histolyticum group (Fig. 2.a, 2.b and 2.e), respectively; - an increase in the 362 363 concentration of the digested extracts did not bring about a higher impact on Bifidobacterium spp. abundance and increases in population numbers were 364 similar between digested extracts and the positive control FOS in comparison to 365 the negative control which registered no alteration over 12 h fermentation; -366 367 abundance in the lactobacilli group was significantly increased with 2 and 3% 368 digested extracts in comparison to the digested extracts at 1% fermentation (Fig. 1.b) where no significant increases had been observed for the extracts; - higher 369 increases in lactobacilli populations, although not statistically significant (p>0.05), 370 371 were observed between 0 and 6h for both concentrations of Ph. nameko extracts than with FOS at 2% and at 12 h of fermentation similar numbers of cells were 372 observed for both Ph. nameko extracts and these were higher than those 373 obtained with FOS 2% and with the negative control; - although the experiments 374 with 2 and 3% Ph. nameko extract started with the lowest level of C. histolyticum 375

in the faecal inocula these extracts brought about the only statistical significant 376 377 decreases of C. histolyticum by 12 h (p=0.0003 for 2% and p=0.028 for 3%, respectively) in comparison to 0 h and in comparison to FOS (p>0.05) and 378 379 negative control (p>0.05). Numbers of C. histolyticum continued to diminish with higher percentages of *Ph. nameko* Flavourzyme extracts (in comparison to 1%) 380 extract) confirms their selectivity properties and prebiotic potential, although this 381 382 result could be a consequence of the lower pH (non-pH controlled experiment) inhibitory effect on the microbial group, must not be overlooked. At higher 383 percentages cross-feeding may become more predominant and selectivity could 384 385 in fact be lost, yet this is not the case since *C. histolyticum* showed no proliferation 386 and decreased in numbers.

387 3.2 Lactic acid and SCFA production

388 Lactic acid and SCFA, the main products arising from the microbial fermentation of carbohydrates, can provide energy to the colonic epithelium, modulate 389 cholesterol and lipid metabolism, suppress pathogenic intestinal bacteria and 390 modulate the immune system (Salazar, Prieto, Leal, Mayo, Bada-Gancedo, & 391 392 Madiedo, 2009). Furthermore, they act as electron sinks of anaerobic respiration 393 in the gut and decrease the intestinal pH, thus enhancing the bioavailability of 394 minerals such as calcium and magnesium (Gullon et al., 2014). The concentration of acetic, propionic, butyric, isobutyric and isovaleric acids as well as lactic acid 395 396 produced during 24 h fermentation of the different extracts added at 1% is shown in Table 2. Significant differences were found between donors with respect to the 397 398 levels of the different SCFA, in particular with acetic and butyric acids. In some cases the SCFA were detected in only one donor, particularly for propionic, 399

400 butyric and branched-chain fatty acids. It is estimated that 90% of SCFA are
401 absorbed and therefore low levels may be found in faeces

, SCFA production in the negative control was the lowest (14.3 mM by 24 h) in 402 comparison with the media containing either the seaweed or the mushroom 403 extracts. The lack of a carbohydrate may ascribe SCFA production in the negative 404 control to protein degradation by putrefactive bacteria (Gullon et al., 2014) or to 405 406 residual undigestive components within the faeces. The total SCFA concentrations achieved were highest for medium containing the positive control 407 FOS (75.1 mM by 24 h), followed by medium added with the *Ph. nameko* extracts 408 409 (50.9 and 50.5 mM by 24 h for *Ph. nameko* Flavourzyme extract and *Ph. nameko* Cellulase extract, respectively) and lastly by media added with O. pinnatifida 410 Viscozyme extract (26.7 mM) and S. muticum Alcalase extract (20.0 mM by 24 h). 411 412 These observations correlate well with the observed modulation by the associated extracts of SCFA producer bifidobacterial and lactobacilli bacterial populations 413 414 discussed in the previous section. Importantly, most of the SCFA production occurred during the first 12 h of fermentation. Acetic and propionic acids were the 415 main SCFA produced in all media containing any of the four extracts or FOS. 416 417 Highest values for both acetic and propionic acids were observed in media containing FOS, yet importantly concentrations were also produced in media 418 containing either of the Ph. nameko extracts. Propionic acid concentrations were 419 highest for media containing FOS or the Ph. nameko extract obtained with 420 Flavourzyme. These concentrations may be related to the high numbers of 421 422 Bacteroides present or to the presence of specific compounds in the extracts. Broekaert, Courtin, Verbeke, Van de Wiele, Verstraete, & Delcour (2011), 423 associated propionic acid production with the side chains found in 424

xilooligosaccharides. The acetic-to-propionic ratio increased along fermentation 425 426 for all substrates except for the Ph. nameko Flavourzyme extract, which registered an effective decrease between 12 and 24 h (1.1 to 0.8). Low acetic-to-427 propionic ratios have been proposed as a positive marker for a hypolipidemic 428 effect consequence of cholesterol biosynthesis inhibition (Salazar, Gueimonde, 429 430 Hernández-Barranco, Ruas- Madiedo, & de los Reves-Gavilán, 2008). All tested 431 extracts led to the production of low levels of butyric acid by 24 h fermentation in comparison to the positive control FOS. In general, levels of butyric acid were 432 either similar to those obtained by FOS, as is the case of O. pinnatifida extract 433 434 (average values of 5.9 mM against 6.6 mM FOS) or ca. two-fold lower as for Ph. nameko extracts (average values of 3.0 and 2.3 mM); values reported for FOS 435 were however quite moderate in comparison to values reported for other studies 436 437 (for example 12-24 mM in Gullon et al., 2014) albeit a high variability between donors must be highlighted in this latter case. Related results were reported by 438 439 Benus et al. (2010) who showed that butyric acid was reduced following the fibresupplemented diet (FOS and pea fibre). 440

441 Concentrations of the branched chain fatty acids, isobutyric and isovaleric acids 442 were either below detection limit or, in many cases, were detected in only one 443 donor.

Lactic acid production was highest when FOS was used as a substrate correlating well with the predominant *Bifidobacterium/Lactobacillus* populations. Lactic acid was also produced in the media containing the tested substrates during the first 6 h of fermentation, in contrast to medium with FOS which achieved maximum lactic acid production by 12 h fermentation. Thereafter, lactic acid was consumed independently of the substrate in question. Consumption rate was highest in 450 media containing FOS. This observation may eventually suggest a cross-feeding
451 mechanism (Gullon et al., 2014).

The differences observed in SCFA and lactic acid production for the four 452 substrates tested tend to indicate that a relationship may exist between physico-453 chemical properties of extracts and modulation of individual bacterial species and 454 SCFA production in the gut. The four extracts tested displayed different 455 456 composition (for example, higher content of sugars was observed in extracts obtained with Viscozyme and Cellulase) and structures (less sulphated 457 458 polysaccharides in O. pinnatifida extract or presence of α and β -glycosidic 459 structures such as glucans and glucan-protein complexes in both Ph. nameko extracts) some of which may be more accessible for use (Rodrigues et al., 460 2015,2016). The different enzymatic treatments on the different seaweed or 461 462 mushroom sources lead to the release of different oligomer residues from the 463 structural and storage polysaccharides making these susceptible to degradation. 464 Similarly, Ramnani et al. (2012) showed that low molecular weight extracts derived from agar and alginate seaweeds were fermentable by gut microbiota 465 466 leading to important increases in acetate and propionate.

467

468 **4. Conclusions**

All tested extracts had an influence on <u>the</u> composition of human gut microbiota, albeit to different extents. The digested *Ph. nameko* extract obtained with Flavourzyme was found to hinder growth of *C. histolyticum* and growth of *members* of the *C. coccoides–E. rectale* group, while growth of *Bifidobacterium* spp. was enhanced and *Lactobacillus* spp. remained relatively unaffected. This 474 selective increase in bifidobacteria coupled to a consistent increase in total SCFA
475 and lactic acid production suggest its potential prebiotic character.

Seaweed extracts, in particular that of *O. pinnatifida* obtained with Viscozyme,
were fermentable by gut microbiota as indicated by an increase in SCFA.
Increase in SCFA was not always correlated with an increase in bacterial
populations for the seaweed extracts.

480

481 Acknowledgments

This work forms part of a collaboration with the Food Microbial Sciences Unit 482 Laboratory at the University of Reading and was supported by Portuguese 483 Science Foundation (FCT - Fundação para a Ciência e Tecnologia) through 484 485 individual research grants references SFRH/BPD/73781/2010 and SFRH/BD/77647/2011 under QREN - POPH funds, co-financed by the European 486 Social Fund and Portuguese National Funds from MCTES. Thanks are due, for 487 the financial support to CESAM (UID/AMB/50017), to FCT/MEC through national 488 funds, and the co-funding by the FEDER, within the PT2020 Partnership 489 490 Agreement and Compete 2020. For CBQF, this work was supported by National Funds from FCT through project UID/Multi/50016/2013. 491

492

493 **References**

Aida, F. M. N. A., Shuhaimi, M., Yazid, M., & Maaruf, A. G. (2009). Mushroom as
a potential source of prebiotics: a review. *Trends in Food Science and Technology, 20*, 567-575.

Benus, R. F., van der Werf, T. S., Welling, G. W., Judd, P. A., Taylor, M. A.,
Harmsen, H. J., & Whelan, K. (2010). Association between *Faecalibacterium*

prausnitzii and dietary fibre in colonic fermentation in healthy human subjects. *British Journal of Nutrition*, *104*, 693-700.

Bergillos-Meca, T., Costabile, A., Walton, G., Moreno-Montoro, M., Ruiz-Bravo,
A., & Ruiz-López, M. D. (2015). In vitro evaluation of the fermentation
properties and potential probiotic activity of *Lactobacillus plantarum* C4 in
batch culture. *LWT-Food Science and Technology*, 60, 420-426.

Broekaert, W. F., Courtin, C. M., Verbeke, K., Van de Wiele, T., Verstraete, W., &
Delcour, J. A. (2011). Prebiotic and other health-related effects of cerealderived arabinoxylans, arabinoxylan-oligosaccharides, and
xylooligosaccharides. *Critical Reviews in Food Science and Nutrition, 51*, 178194.

Daims, H., Brühl, A., Amann, R., Schleifer, K. -H., & Wagner, M. (1999). The
domain specific probe EUB338 is insufficient for the detection of all bacteria:
development and evaluation of a more comprehensive probe set. *Systematic and Applied Microbiology*, *22*, 434-444.

Daims, H., Stoecker, K., & Wagner, M. (2005). Fluorescence in situ hybridization
for the detection of prokaryotes, In Molecular Microbial Ecologym Chapter 9m
pp. 213. Edited by A. M. Osborn & C. J. Smith. Abingdon, UK: Garland
Science.

Devillé, C., Gharbi, M., Dandrifosse, G., & Peulen, O. (2007). Study on the effects
of laminarin, a polysaccharide from seaweed, on gut characteristics. *Journal*of Science of Food and Agriculture, 87, 1717-1725.

Eid, N., Enani, S., Walton, G., Corona, G., Coabile, A., Gibson, G., Rowland, I., & 521 522 Spencer, J. P. E. (2014). The impact of date palm fruits and their component polyphenols, in gut microbiota ecology, bacterial metabolites and colon cancer 523 cell proliferation. Journal of Nutritional Science, 9 pages. 10.1017/jns.2014.16 524 Franks, A. H., Harmsen, H. J. M., Raangs, G. C., Jansen, G. J., Schut, F., & 525 Welling, G. W. (1998). Variations of bacterial populations in human feces 526 527 measured by fluorescent in situ hybridization with group-specific 16S rRNA targeted oligonucleotide probes. Applied Environmental Microbiology, 64, 528 3336-45. 529

Gibson, G. R., Scott, K. P., Rastall, R. A., & Tuohy, K. M. (2010). Dietary
prebiotics: current status and new definition. *Food Science and Technological Bulletin: Functional Foods, 7*, 1-19.

Gullon, B., Gullon, P., Tavaria, F., Pintado, M., Gomes, A. M., Alonso, J. L., &
Parajo, C. J. (2014). Structural features and assessment of prebiotic activity of
refined arabinoxylooligosaccharides from wheat bran. *Journal of Functional Foods, 6*, 438-449.

Harmsen, H. J. M., Elfferich, P. Schut, F., & Welling, G. W. (1999). A 16S rRNAtargeted Probe for Detection of Lactobacilli and Enterococci in Faecal
Samples by Fluorescent In Situ Hybridization. *Microbial Ecology in Health and Disease*, 3-12.

Harmsen, H. J. M., Wildeboer-Veloo, A. C. M., Grijpstra, J., Knol, J., Degener, J.
E., & Welling, G.W. (2000a). Development of 16S rRNA ebased probes for
the Coriobacterium group and the Atopobium cluster and their application for

enumeration of Coriobacteriaceae in human feces from volunteers of different
aged groups. *Applied and Environmental Microbiology*, 66, 4523-7.

Harmsen, H. J. M., Wildeboer-Veloo, A. C. M., Raangs, G. C., Wagendorp, A. A.,
Klijn, N., Bindels, J. G., & Welling, B.W. (2000b). Analysis of intestinal flora
development in breast-fed and formula-fed infants using molecular
identification and detection methods. *Journal of Pediatric Gastrenterology and Nutrition, 30*, 61-7.

Langendijk, S. P., Schut, F., Jansen, J., Raangs, C. G., Kamphuis, R. G.,
Wilkinson, F. H. M., & Welling, G. W. (1995). Quantitative fluorescence in situ
hybridization of Bifidobacterium sp with genus-specific 16S rRNA-targeted
probes and its application in fecal samples. *Applied Environmental Microbiology*, *61*, 3069-75.

Manz, W., Amann, R. R., Ludwig, W., Vancanneyt, M., & Schleiffer, H. K. (1996).
Application of a suite of 16S rRNA-specific oligo nucleotide probes designed
to investigate bacteria of the phylum cytophaga-flavobacter-bacteroides in the
natural environment. *Microbiology, 142*, 1097-1106.

Martinez, I., Kim, J., Duffy, P. R., Schlegel, V. L., & Walter, J. (2010). Resistant
starches types 2 and 4 have differential effects on the composition of the fecal
microbiota in human subjects. *PLoS One,* 5: e15046.

Matijasic, B. B., Obermajer, T., Lipoglavsek, L., Grabnar, I., Avgustin, G., &
Rogelj, I. (2014). Association of dietary type with fecal microbiota in
vegetarians and omnivores in Slovenia. *European Journal of Nutrition, 53*,
1051-64.

Mills, D. J. S., Tuohy, K. M., Booth, J., Buck, M., Crabbe, M. J. C., Gibson, G. R.,
& Ames, J. M. (2008). Dietary glycated protein modulates the colonic
microbiota towards a more detrimental composition in ulcerative colitis
patients and non-ulcerative colitis subjects. *Journal of Applied Microbiology,*105, 706-714.

Pádua, D., Rocha, E., Gargiulo, D., & Ramos, A. A., (2015). Bioactive compounds
from brown seaweeds: Phloroglucinol, fucoxanthin and fucoidan as promising
therapeutic agents against breast cancer. *Phytochemistry Letters, 14*, 91-98.

Ramnani, P., Chitarrari, R., Tuohy, K., Grant, J., Hotchkiss, S., Philp,
K., Campbell, R., Gill, C., & Rowland, I. (2012). In vitro fermentation and
prebiotic potential of novel low molecular weight polysaccharides derived from
agar and alginate seaweeds. *Anaerobe*, *18*, 1-6.

Ruthes, A. C., Smiderle, F. R., & Iacomini, M. (2016). Mushroom
heteropolysaccharides: A review on their sources, structure and biological
effects. *Carbohydrate Polymers, 136*, 358-375.

Roberfroid, M., Gibson, G. R., Hoyles, L., McCartney, A. L., Rastall, R., Rowland, 582 583 I., Wolvers, D., Watzl, B., Szajewska, H., Stahl, B., Guarner, F., Respondek, K.. F.. Whelan. Coxam. V., Davicco, M. J., Léotoing, L., Wittrant. 584 Y., Delzenne, N. M., Cani, P. D., Neyrinck, A. M., & Meheust, A. (2010). 585 Prebiotic effects: metabolic and health benefits. British Journal of Nutrition, 586 *104*, S1-S63. 587

Rodrigues, D., Sousa, S., Silva, A. G., Amorim, M., Pereira, L., Rocha-Santos, T.
A. P., Gomes, A. M. P., Duarte, A. C., & Freitas, A. C. (2015). Impact of
Enzyme- and Ultrasound-Assisted Extraction Methods on Biological

591 Properties of Red, Brown, and Green Seaweeds from the Central West Coast 592 of Portugal. *Journal of Agriculture and Food Chemistry*, 63, 3177-3188.

Rodrigues D, Freitas AC, Sousa S, Amorim M, Vasconcelos MW, Costa J, Silva
 AMS, Rocha-Santos TAP, Duarte AC, Gomes AMP. 2016. Chemical and
 structural characterization of Pholiota nameko extracts with biological
 properties. Food Chemistry, Submitted, FOODCHEM D-16-01609.

Salazar, N., Gueimonde, M., Hernández-Barranco, A. M., Ruas- Madiedo, P., &
de los Reyes-Gavilán, C. G. (2008). Exopolysaccharides produced by intestinal
Bifidobacterium strains act as fermentable substrates for human intestinal
bacteria. *Applied and Environmental Microbiology*, *74*, 4737-4745.

Salazar, N., Prieto, A., Leal, J. A., Mayo, B., Bada-Gancedo, J. C., & Madiedo, C.
G. (2009). Production of exopolysacharides by *Lactobacillus* strains of human
origin, and metabolic activity of the producing bacteria in milk. *Journal of Dairy Science*, *92*, 52-58.

Sánchez-Patán, F., Cueva, C., Monagas, M., Walton, G. E., Gibson, G. R.,
Quintanilla-López, J. E., Lebrón-Aguilar, R., Martin-Álvarez, P. J., MorenoArribas, M. V., & Bartolomé, B. (2012). In vitro fermentation of a red wine
extract by human gut microbiota: Changes in microbial groups and formation
of phenolic metabolites. *Journal of Agricultural and Food Chemistry, 60*, 21362147.

Vendrame, S., Guglielmetti, S., Riso, P., Arioli, S., Klimis-Zacas, D., & Porrini, M.
(2011). Six-week consumption of a wild blueberry powder drink increases
bifidobacteria in the human gut. *Journal of Agricultural and Food Chemistry*,
59, 12815-20.

615	Vulevic, J., Juric, A., Tzortzis, G., & Gibson, G. R. (2013). A mixture of
616	transgalactooligosaccharides reduces markers of metabolic syndrome and
617	modulates the fecal microbiota and immune function of overweight adults.
618	Journal of Nutrition, 143, 324-31.

- Zaporozhets. T. S., Besednova, N. N., Kuznetsova, T. A., Zvyagintseva, T. N.,
 Makarenkova, I. D., Kryzhanovsky, S. P., & Melnikov, V. G. (2014). The
 prebiotic potential of polysaccharides and extracts of seaweeds. *Russian Journal of Marine Biology, 40*, 1-9.
- 623

624 Figure captions

625 Figure 1. Bacterial populations (a) Bifidobacterium spp.; b) Lactobacillus 626 spp./*Enterococcus* spp.; c) Bacteroides group; d) *C. cocoides/E. rectale* group; e) C. histolyticum group; f) Total bacteria) analysed by FISH in batch cultures 627 628 containing 1% (w/v) of digested extracts of seaweeds O. pinnatifida obtained by Viscozyme (O.pin_Visc) and S. muticum obtained by Alcalase (S.mut_Alc) and of 629 mushroom Ph. nameko obtained by Flavourzyme (Ph.nam Flav) and by Cellulase 630 (Ph.nam_Cell) and the respective controls. Error bars indicate SD of the replicates 631 involving 3 adult donors. ^ap<0.05; significantly different compared to 0h within the 632 633 same substrate. *p<0.05; significantly different compared to negative control. [#]p<0.05; significantly different compared to FOS, positive control. 634

635

Figure 2. Bacterial populations (a) *Bifidobacterium* spp.; b) *Lactobacillus*spp./*Enterococcus* spp.; c) Bacteroides group; d) *C. cocoides/E. rectale* group; e) *C. histolyticum* group; f) Total bacteria) analysed by FISH in batch cultures
containing 2 and 3% (w/v) of digested extracts of seaweed *O. pinnatifida* obtained

by Viscozyme (O.pin_Visc) and of mushroom *Ph. nameko* obtained by Flavourzyme (Ph.nam_Flav) and the respective controls. Error bars indicate SD of the replicates involving 3 adult donors. $^{a}p<0.05$; significantly different compared to Oh within the same substrate. $^{*}p<0.05$; significantly different compared to negative control. $^{\#}p<0.05$; significantly different compared to 2% FOS, positive control.