

The resolution sensitivity of Northern Hemisphere blocking in four 25-km atmospheric global circulation models

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1	The resolution sensitivity of Northern Hemisphere blocking in four 25-km
2	atmospheric global circulation models
3	Reinhard Schiemann*, Marie-Estelle Demory, Len C. Shaffrey, Jane Strachan [†] , Pier Luigi Vidale
4	National Centre for Atmospheric Science, Department of Meteorology, University of Reading,
5	United Kingdom
6	Matthew S. Mizielinski and Malcolm J. Roberts
7	Met Office Hadley Centre, Exeter, United Kingdom
8	Mio Matsueda
9	Center for Computational Sciences, University of Tsukuba, Japan, and Department of Physics,
10	University of Oxford, United Kingdom
11	Michael F. Wehner
12	Lawrence Berkeley National Laboratory, Berkeley, California, USA
13	Thomas Jung
14	ECMWF, Reading, United Kingdom, and AWI, Bremerhaven, Germany
15	*Corresponding author address: R. Schiemann, NCAS-Climate, Department of Meteorology, Uni-
16	versity of Reading, Earley Gate, PO Box 243, Reading RG6 6BB, United Kingdom.
17	E-mail: r.k.schiemann@reading.ac.uk

¹⁸ [†]Current affiliation: Met Office Hadley Centre, Exeter, United Kingdom.

ABSTRACT

The aim of this study is to investigate if the representation of Northern Hemi-19 sphere blocking is sensitive to resolution in current-generation atmospheric 20 global circulation models (AGCMs). An evaluation is conducted of how well 2 atmospheric blocking is represented in four AGCMs whose horizontal reso-22 lution is increased from a grid spacing of more than 100 km to about 25 km. 23 It is shown that Euro/Atlantic blocking is simulated overall more credibly at 24 higher resolution, i.e. in better agreement with a 50-year reference blocking 25 climatology created from the ERA-40 and ERA-Interim reanalyses. The im-26 provement seen with resolution depends on the season and to some extent on 27 the model considered. Euro/Atlantic blocking is simulated more realistically 28 at higher resolution in winter, spring and autumn, and robustly so across the 29 model ensemble. The improvement in spring is larger than that in winter and 30 autumn. Summer blocking is found to be better simulated at higher resolution 3 by one model only, with little change seen in the other three models. The 32 representation of Pacific blocking is not found to systematically depend on 33 resolution. Despite the improvements seen with resolution, the 25-km mod-34 els still exhibit large biases in Euro/Atlantic blocking. For example, three 35 of the four 25-km models underestimate winter northern European blocking 36 frequency by about one third. The resolution sensitivity and biases in the sim-37 ulated blocking are shown to be in part associated with the mean-state biases 38 in the models' mid-latitude circulation. 39

40 1. Introduction

Blocking refers to the occurrence of quasi-stationary high-pressure systems at mid-latitudes and 41 can be described by a number of key characteristics (Barriopedro et al. 2010): blocking highs per-42 sists for several days to weeks and often divert cyclones travelling in the stormtrack poleward or 43 equatorward (Rex 1950; Woollings et al. 2010; Zappa et al. 2014). Preferred regions of blocking 44 occurrence are the eastern sides of the Atlantic and Pacific Oceans. Blocks are observed through-45 out the year with a peak occurrence in winter and spring (Tibaldi et al. 1994). The persistent 46 circulation during blocking episodes causes anomalous surface weather conditions and possibly 47 extreme events. Recent examples include the cold European winter 2009/2010 (Cattiaux et al. 48 2010) and the 2010 Russian heatwave (Barriopedro et al. 2011; Matsueda 2011; Otto et al. 2012). 49 Despite the lack of a single unified blocking theory, a number of detailed studies of the mecha-50 nisms responsible for blocking formation and maintenance have been conducted. Croci-Maspoli 51 (2005) provide a brief overview of these studies and classify them into theories based on low-52 frequency/planetary-scale and high-frequency/synoptic-scale dynamics. An example of the low-53 frequency class is the study by Charney and DeVore (1979). Using a quasi-geostrophic zonal 54 channel model, it is shown in this study that there are two equilibrium states for the topographi-55 cally driven disturbances of a zonal flow, a flow with a strong wave component (blocked situation) 56 and a flow with a stronger zonal component. In contrast to the low-frequency class, studies of 57 the high-frequency class include high-frequency activity such as transient eddies in the vicinity 58 of blocking formation and maintenance. These small-scale eddies are shown to be important for 59 the maintenance of blocking (Shutts 1983, 1986) and for sustaining low-frequency flow in general 60 (Kug and Jin 2009). Shutts (1983) show that the eddies transfer energy to the larger-scale split-jet 61

flow in a blocking situation and that the vorticity transport by the eddies can maintain blocking patterns against advection by the mean flow.

Both coupled and atmosphere-only general circulation models (GCMs) tend to underestimate 64 the occurrence frequency and persistence of blocking events (D'Andrea et al. 1998; Boyle 2006; 65 Anstey et al. 2013; Masato et al. 2013). These biases are long-standing and the reasons for the 66 models' shortcomings are not fully understood. Several studies have shown that increasing the 67 horizontal resolution in an atmospheric model is beneficial for the representation of blocking in 68 the Northern (e.g., Matsueda et al. 2009; Jung et al. 2012) and Southern (Matsueda et al. 2010) 69 Hemisphere, consistent with the notion that the better representation of small-scale eddies and 70 orography (Berckmans et al. 2013) at higher resolution allow for a better simulation of blocking. 71 Other authors have emphasised the importance of improved physical parameterisations (Jung et al. 72 2010) and of vertical model resolution (Anstey et al. 2013). 73

Moreover, different arguments have been put forward to interpret the improvement in blocking 74 due to increased horizontal resolution. One possibility is that the simulation of blocking as a pro-75 cess can be thought to be sensitive to model resolution. Another possibility is that it is mainly the 76 mean state of the model that is sensitive to resolution, and any improvement seen in the blocking 77 climatology is largely a reflection of the improvement of the mean state due to higher resolution 78 (Woollings 2010; Scaife et al. 2010). These two possibilities cannot be fully disentangled due to 79 the interaction between the mean state and eddies. However, some insight into the relevance of 80 the mean-state bias can be gained by correcting the mean bias in model data before the blocking 81 identification is applied (Scaife et al. 2010). 82

A robust assessment of blocking biases in models requires ensembles of multi-decadal simulations because of the large variability of blocking on interannual and longer timescales. This implies particular computational challenges when investigating the sensitivity to model resolution

since the required sampling statistics need to be accumulated at the highest desired resolution. 86 Therefore, investigations into the role of model resolution for blocking have relied either on the 87 ensembles of opportunity offered, for example, by the fifth phase of the Coupled Model Intercom-88 parison Project (CMIP5; Anstey et al. 2013; Masato et al. 2013), or on the controlled increase of 89 resolution in individual GCMs (Matsueda et al. 2009; Jung et al. 2012; Berckmans et al. 2013). 90 Recent advances in computing power and investment in higher model resolution have enabled 91 several modelling centres to run atmospheric GCMs (AGCMs) at about 25 km grid spacing for the 92 simulation lengths/ensemble sizes required for the evaluation of blocking in these higher resolution 93 climate models. These advances allow the question of the resolution sensitivity of blocking to be 94 systematically revisited in a multi-model study. This study aims to use an ensemble of present-95 day climate simulations from four AGCMs with about 25 km grid spacing at mid-latitudes to 96 (i) quantify biases in the representation of blocking throughout the year and (ii) to assess the 97 sensitivity of these biases to the model resolution. Furthermore, we follow the method suggested 98 by Scaife et al. (2010) to determine to what extent any blocking bias and resolution sensitivity are 99 associated with the mean-state bias of the models. 100

The outline of this paper is as follows: section 2 describes the blocking identification method, the models and model experiments, and the reference reanalysis data against which we perform evaluation. Section 3 illustrates the blocking climatology in reanalysis data and thereafter the main results of this study regarding model performance and resolution sensitivity are presented in section 4. Section 5 assesses the role of mean-state biases and the paper is concluded in section 6.

106 2. Methods, models and data

¹⁰⁷ a. Model ensemble and reanalyses

This study is based on an ensemble comprising high-resolution AGCM simulations conducted 108 independently at four different modelling centres. The four models are the Community Atmo-109 spheric Model (CAM5.1), the European Centre for Medium-Range Weather Forecasts (ECMWF) 110 Integrated Forecasting System (IFS), the Meteorological Research Institute model MRI-AGCM3.2 111 and the Met Office Hadley Centre Global Environmental Model (HadGEM3-GA3.0). Table 1 112 provides an overview of the four models and corresponding references, and Table 2 shows the 113 simulations that have been conducted with each model. For all four models, these experiments 114 are designed to test the sensitivity of the simulated climate to horizontal resolution only, i.e. re-115 tuning at the different resolutions has been kept to a minimum (see, e.g., discussion in Demory 116 et al. 2014). Blocking climatologies are calculated for the full simulation period of each model 117 (Table 2) and evaluated against a 50-year reanalysis climatology (see also section 3). 118

The ECMWF Retrospective Analyses ERA-40 and ERA-Interim are used to evaluate the model simulations. Additionally, blocking in these two reanalyses is compared with that in NASA's Modern-ERA Retrospective Analysis for Research and Applications (MERRA) to assess the agreement of different reanalyses on blocking climatologies. The three reanalyses are overviewed in Table 3.

124 b. Blocking identification

¹²⁵ We follow the blocking identification method used by Scherrer et al. (2006) using the absolute ¹²⁶ geopotential height index (AGP). The AGP index is an extension of the blocking index used by ¹²⁷ Tibaldi and Molteni (1990) to a two-dimensional map of blocking frequencies at every grid point. In the AGP index, three conditions need to be fulfilled for a point at latitude ϕ_0 to be identified as blocked. The first condition is a reversal of the climatological equator-pole gradient of the 500-hPa geopotential height *Z* to the south of ϕ_0 :

$$\frac{Z(\phi_0) - Z(\phi_S)}{\phi_0 - \phi_S} > 0,$$
(1)

where $\phi_{\rm S}$ is 15° south of ϕ_0 . The second condition requires westerly flow to the north of ϕ_0 :

$$\frac{Z(\phi_{\rm N}) - Z(\phi_0)}{\phi_{\rm N} - \phi_0} < -10 \text{ m/}^{\circ} \text{latitude},$$
(2)

where ϕ_N is 15° north of ϕ_0 . The third condition is that the point is only considered blocked 132 if the first two conditions are met for five consecutive days or more. As described by Scherrer 133 et al. (2006), this persistence criterion is stricter than in some other studies (e.g., D'Andrea et al. 134 1998; Doblas-Reyes et al. 2001) so that the AGP typically captures mature blocking states and 135 AGP blocking frequencies are comparatively low. We apply the blocking index to daily instanta-136 neous 12 UTC geopotential height fields from models and reanalyses for all Northern Hemisphere 137 grid points between 35°N and 75°N. All model and reanalysis fields are regridded to a common 138 $1.875^{\circ} \times 1.25^{\circ}$ grid before the blocking identification is applied. 139

The AGP blocking index we use is a common (Scherrer et al. 2006; Anstey et al. 2013; Berck-140 mans et al. 2013), albeit to some extent subjective choice, and other indices have been suggested in 141 the literature (see, e.g., Barriopedro et al. 2010, for an overview). An intercomparison of blocking 142 identification methodologies is outside the scope of this study, but we recognise that the existence 143 of different blocking indices may make it more difficult to directly compare between different 144 studies. We refer to Scherrer et al. (2006) for a comparison of the AGP index with two other 145 blocking indices. Additionally, the supplemental material shows examples of composites illustrat-146 ing how blocking is captured with the AGP index for different seasons and locations, and what the 147 associated anomalies in surface pressure, temperature and precipitation are. 148

3. Blocking in reanalyses

In this preliminary section, we show how blocking is represented by the different reanalyses that 150 serve as the reference for the model simulations evaluated in section 4. Fig. 1 shows the clima-151 tological blocking frequency from ERA-40 and ERA-Interim for the four seasons. During winter 152 (Fig. 1a), we reproduce the well-known (e.g., Anstey et al. 2013) distribution with blocking pre-153 dominantly occurring in the Atlantic/European and Pacific sectors. Within the Atlantic/European 154 sector, preferred regions of blocking occurrence are over southeast Greenland, the North Sea, and 155 the Ural Mountains. In spring (Fig. 1b), two maxima of blocking frequency over Europe can be 156 seen to the west and north of the British Isles and to the east of the Baltic Sea. In summer, blocking 157 events are identified over a wide range of longitudes spanning Greenland, Eurasia and Alaska, and 158 there is no clear distinction between a region of Atlantic and Pacific blocking. Finally, during au-159 tumn, the spatial distribution of blocking occurrence is similar to that in spring, but the frequency 160 is smaller than in spring throughout the Northern Hemisphere. 161

We use Fig. 1 to introduce some regions, outlined by the blue boxes, which will be used to calculate area-averaged blocking statistics presented later in the paper. We refer to these regions as Greenland (GL), Atlantic (ATL), Baltic (BAL), and Pacific (PAC). We also consider a Northern Europe (NEU) area which is the joint area of ATL and BAL and better corresponds to the climatological spatial distribution of blocking frequency during winter.

Time series of the interannual variability of blocking frequency are shown in Fig. 2. It can be seen that there is very close agreement between the ERA-40, ERA-Interim and MERRA reanalyses products in Europe (Fig. 2a,b) and also close agreement in the PAC and GL regions (Fig. 2c,d) where fewer in-situ observations are assimilated by the reanalyses. This close agreement is not surprising since blocking anticyclones are slow-moving synoptic-scale systems that should be captured by all of the reanalyses. This agreement also justifies using a concatenated dataset from
 two reanalyses (Fig. 1) as the reference against which model simulations are evaluated.

Also evident from Fig. 2 is the large variability of blocking frequency at interannual and possibly 174 longer timescales. This large internal variability needs to be accounted for in the identification of 175 model biases. For the examples shown in Fig. 2, the coefficient of variation of the time series takes 176 values between about 0.5 and 1. A rough estimate of the minimal time series length n necessary to 177 identify a statistically significant difference in the mean blocking frequency can be obtained under 178 the simple assumptions of a z-test. A brief calculation shows that then $n = (1.96 c_{\text{var}}/\beta)^2$, where 179 $\beta = 1 - (\mu_1/\mu_2), \mu_1 \le \mu_2$, is the relative difference between the two time series means μ_1 and μ_2 , 180 $c_{\rm var}$ is the coefficient of variation of time series 2, and 1.96 is the quantile of the standard Gaussian 181 corresponding to the customary confidence level of 95%. Taking $\beta = 0.2$, i.e. an underestimation 182 of the mean blocking frequency by 20%, yields n = 24 years for $c_{var} = 0.5$, and n = 96 years 183 for $c_{var} = 1$. These estimates show that the model ensemble used here (Table 2) is suitable for 184 identifying any large biases with respect to the 50-year reanalysis climatology shown in Fig. 1, as 185 well as large sensitivities to model resolution. 186

4. Resolution sensitivity

188 a. Winter

Fig. 3b–k shows the blocking frequency for the different models and resolutions in winter. The reference reanalysis field already shown in Fig. 1a is repeated here for convenience in Fig. 3a. All models represent the hemispheric-scale pattern of blocking frequency maxima in the Atlantic/European and Pacific sectors, yet they exhibit biases in the details of the spatial distribution and have a tendency to underestimate the blocking frequency at all resolutions. Two regions of

high blocking frequency over Greenland and in the region of the Ural Mountains are captured by 194 all of the models. In contrast, the low-resolution models (Fig. 3b,d,g,j) underestimate the blocking 195 frequency over the North Sea and show comparatively high blocking frequency over the south of 196 the British Isles and the Celtic Sea instead. This bias is reduced. in the high-resolution models 197 (Fig. 3c, f, i, k). The winter domain-mean blocking frequencies are shown in Fig. 4. The main result 198 of this figure is that three out of the four models (CAM5, IFS and UM) strongly underestimate the 199 winter blocking frequency. There is a slight improvement with resolution in the NEU domain for 200 the CAM5 and IFS models, yet considerable negative biases remain for most of the high-resolution 201 models: the NEU underestimation is 43% for CAM5, 28% for IFS, 9% for MRI and 30% for the 202 UM. 203

²⁰⁴ b. Spring

Figures 5 and 6 show that the resolution sensitivity is larger in spring (March–May) than in win-205 ter. This is seen robustly across the ensemble: comparing the low-resolution results (Fig. 5b,d,g,j) 206 with the high-resolution results (Fig. 5c, f, i, k) in the Euro/Atlantic sector shows an increase in sim-207 ulated blocking and a reduction of the bias with resolution. The domain-mean values shown in 208 Fig. 6 confirm that this increase is significant in three models (IFS, MRI, UM) in the NEU domain. 209 The spatial pattern of blocking frequency also agrees better with the reanalyses in the high resolu-210 tion models. In the Euro/Atlantic sector, two distinct regions of high blocking frequency (i) over 211 Greenland and (ii) over an arc-shaped region stretching from west of Scotland to east of the Baltic 212 Sea are more markedly represented in the higher resolution models. Pacific blocking is captured 213 fairly well overall and at all resolutions, but underestimated by about 20% in the UM. Figures 5 214 and and 6 also show that, while there are clear limitations in how the models represent blocking 215

during the spring, the domain-mean biases are smaller than during winter. This is also seen in the low-resolution models.

218 C. Summer

During summer (June–August, Fig. 7), there is no systematic sensitivity in the model biases to 219 resolution both in the Euro/Atlantic and Pacific sectors. The pattern of the biases differs some-220 what between the models, however. In the IFS, the blocking frequency is underestimated nearly 221 everywhere and blocking is restricted to too high latitudes. In the MRI model, the geographical 222 distribution of blocking is in fairly close agreement with the reanalyses, but the blocking fre-223 quency is underestimated in the PAC region. In the UM, the spatial distribution agrees closely 224 with the reanalysis blocking, but the blocking frequency is underestimated throughout the North-225 ern Hemisphere. There is close agreement between the CAM5 blocking frequency pattern and 226 the reanalyses, and small-scale differences especially between the high-resolution CAM5 model 227 (Fig. 7k) and the reanalyses may be due to sampling variability for this single simulation. The 228 domain-mean blocking frequencies are shown in Fig. 8. The two regions with high reanalysis 229 summer blocking frequency are PAC and BAL. In the PAC region, blocking is considerably un-230 derestimated by all four models, by between 58% (IFS T159) and 28% (CAM5 1°). The IFS and 231 UM also significantly underestimate blocking in the BAL region, both by approximately 50%, 232 whereas CAM5 and MRI agree fairly closely with the reanalysis in BAL. 233

234 d. Autumn

Finally, during autumn (September–November, Figures 9 and 10), the blocking frequency biases are comparatively small for all resolutions and models, and accordingly the domain-mean biases and resolution sensitivity are not significant for many of the regions/models. The most apparent ²³⁸ bias is the underestimation of PAC blocking in the CAM5 0.25° model (Figures 11k and 12d) by
²³⁹ about 60%.

e. Pattern correspondence

A quantitative assessment of the overall correspondence of the simulated and reanalysis block-241 ing frequency patterns in the Atlantic/European sector is provided in Fig. 11. This figure shows 242 scatter plots of the root-mean-square-error (RMSE) and the spatial correlation of the model sim-243 ulated blocking frequency pattern with the reanalysis pattern shown in Fig. 1. As the interannual 244 variability is better sampled in the ensemble-mean blocking-frequency pattern, the pertaining val-245 ues of the RMSE (the spatial correlation) tend to be smaller (larger) than for individual ensemble 246 members. This fact needs to be considered for models where the ensemble size differs at the 247 different resolutions (Table 2). 248

The scatter plots in Fig. 11 confirm and in some cases show more clearly if there is a significant 249 improvement in the representation of Atlantic blocking with resolution. For example, for the UM 250 (Fig. 11d) an improvement with resolution is seen in the ensemble mean for all four seasons, yet 251 only during spring and summer this improvement is large compared with the typical difference 252 between ensemble members as shown by the fairly good separation of the "clouds" of points 253 corresponding to the low and high-resolution ensembles. This separation provides a qualitative 254 evaluation of the statistical significance of the differences in RMSE and correlation coefficient 255 between simulations at different resolutions. While all models show an improved representation of 256 blocking during spring, as was also shown in Fig. 5, they do not necessarily agree on improvements 257 in other seasons. For example, while there is a clear improvement during summer for the UM, the 258 MRI and IFS show improved Atlantic blocking in winter and little change or even a deterioration 259 during summer. Despite the biases remaining in the high resolution models, Fig. 11 shows an 260

overall improvement in the representation of blocking in the Atlantic sector with higher resolution.
 Additionally, this figure also illustrates how a sufficient number of models/ensemble members are
 needed in order to assess the sensitivity to resolution unequivocally.

Analogous scatter plots for the Pacific sector (not shown) do not reveal any systematic sensitivity to resolution. This is consistent with results showing that the simulation of Pacific blocking is not sensitive to horizontal resolution, for example in the CMIP5 ensemble (Anstey et al. 2013) and in MRI AGCM3.1 (Matsueda et al. 2009). The sensitivity to resolution seen here for the European region in winter, and possibly in spring, is also consistent with the findings that for CMIP5 models (i) European blocking and storm-track biases are closely associated (Zappa et al. 2014) and (ii) winter storm-track biases in the North Atlantic are reduced at higher resolution (Zappa et al. 2013).

5. Blocking and mean-state biases

In this section, we follow the approach of Scaife et al. (2010) to determine the degree to which 272 the blocking bias in the models is associated with their mean-state bias. We apply a correction to 273 the mean of the model 500-hPa geopotential height output and then re-calculate the blocking index 274 based on the bias-corrected height field. The procedure is illustrated in Fig. 12 for a single model 275 and grid point: the thin red line shows the daily climatological-mean geopotential height for the 276 UM at N96 resolution at this grid point. The bold red line is obtained by low-pass filtering this 277 data with a cutoff frequency at $(90 \text{ days})^{-1}$. The bold black line shows the same daily low-pass 278 filtered climatology for the reanalysis data, and the difference between the two bold lines defines 279 the model 'mean' bias on each day. Repeating this at each grid point defines the model bias at 280 each grid point and for each day of the year, and the model geopotential height is now corrected 281 for this bias before calculating the blocking climatology. 282

The middle column of Figure 13 (panels b,e,h,k) shows the winter blocking climatology ob-283 tained after correcting the mean geopotential height to reanalysis in the lowest-resolution version 284 of the four models. This can be compared with the uncorrected blocking frequency and the refer-285 ence reanalysis climatology shown in Fig. 3. It can be seen that the bias correction yields higher 286 blocking frequencies over north and west Europe in better agreement with the reanalysis (Fig. 3a) 287 than the uncorrected low-resolution models (Fig. 3b,d,g,j). There is some consistency between the 288 winter mean geopotential height bias of the four low-resolution models (shown in the left column 289 of Fig. 13, panels a,d,g,j) and the effect of bias correction on the blocking climatology. All models 290 have a low height bias over northwest Europe consistent with the general increase in blocking 291 frequency upon bias correction. For the MRI model whose height bias over northwest Europe is 292 fairly small, the effect of bias correction is fairly small as well. 293

Similarly to the uncorrected climatologies, however, the bias-corrected climatologies misplace the North-Sea maximum of blocking occurrence southwestward over the south of the British Isles and the Celtic Sea. This shows that the mean state bias, defined as described above, can only partly account for the blocking biases seen in the low-resolution models.

We also show the resolution sensitivity in the winter mean 500 hPa geopotential height for the 298 four models on the right of Fig. 13, panels c,f,i,l. Over the Atlantic and Eurasia, the increase in 299 resolution largely reduces the biases in the low-resolution models. This is consistent with the slight 300 enhancement in Euro-Atlantic blocking seen with resolution. Yet again, the resolution sensitivity 301 of the mean geopotential height cannot fully explain the change in the blocking climatology with 302 resolution. For example, both the IFS and MRI models simulate higher occurrence of blocking 303 over the North Sea at higher resolution, while the geopotential height field in this area changes 304 strongly with resolution in the IFS model, but not so in the MRI model. 305

15

Moving on to spring (Fig. 14), we find that the blocking climatologies based on bias-corrected 306 height data agree overall better with the reanalyses (Fig. 5a) than the uncorrected climatologies of 307 the low-resolution models (Fig. 5b,d,g,j). As in winter, however, the association between mean-308 state and blocking biases is far from perfect and varies strongly between the models: in the low-309 resolution UM, for example, there is a pronounced negative height bias over central/northern Eu-310 rope (Fig. 14g) and correcting for this height bias yields a strongly improved blocking climatology 311 and higher blocking frequency in the NEU area (Fig. 14h). Also, at high resolution this negative 312 height bias is smaller than at low resolution (Fig. 14i), which is consistent with the improvement 313 in the simulated blocking seen with resolution (Fig. 5g,h,i). In the low-resolution IFS model, there 314 is a negative height bias in the North-Atlantic/European midlatitudes and a positive bias in the 315 Arctic, particularly in the region of the Baffin Bay (Fig. 14a). Correcting for this bias has the 316 expected mixed effect on the blocking climatology, namely more frequent NEU blocking in better 317 agreement with the reanalyses and less frequent GL blocking in worse agreement with the reanaly-318 ses (Fig. 14b, Fig. 5a,b). Also the change in the geopotential height bias with resolution (Fig. 14c) 319 is significant over the ATL area and very small over the BAL area, while the improvement in the 320 simulated blocking (Fig. 5c) can be seen in both areas and does not seem to be closely associated 321 with the mean-state bias. 322

In the summer, the low-resolution blocking biases appear to be more closely associated with the mean-state biases than during winter and spring (Fig. 15 and Fig. 7): for example, all four models have a positive height bias over the Gulf of Alaska whose correction yields more frequent PAC blocking, in better agreement with the reanalyses. Also, with the exception of CAM5, the models have a negative height bias in the BAL region and a positive bias over the Arctic, leading to more frequent and more realistic blocking frequency when corrected. As discussed previously, however, the improvement in the simulated blocking with higher resolution is fairly small. Even in the case ³³⁰ of the MRI model, whose mean-state bias is considerably smaller at high resolution (Fig. 15d,f), ³³¹ there is only a slight improvement in the simulated blocking (Fig. 7d,e,f). Large biases remain ³³² at the high resolution, showing that the reduction of a mean-state bias does not always imply a ³³³ similar reduction of the blocking bias.

As shown previously (Fig. 9), both the blocking biases and their resolution sensitivity are smaller in autumn than in the other seasons. Here, we find that also the effect of bias-correcting the geopotential height field has a fairly small, but beneficial, effect on the blocking climatology (not shown). The height biases themselves and their resolution sensitivity, however, are of similar magnitude to those in the other seasons.

6. Conclusions

We have evaluated the representation of Northern Hemisphere blocking in an ensemble of four 340 AGCMs whose atmospheric resolution is increased from more than 100 km to about 25 km hor-341 izontal grid spacing. Simulations at this high resolution are still difficult and costly to carry out, 342 and few such simulations of sufficient length are available. We have analysed here, for the first 343 time, a multi-model ensemble of such simulations, and are therefore, for the first time, able to 344 document how robust the resolution sensitivity of blocking is at this scale. Overall, there is a clear 345 improvement in the simulated Euro/Atlantic blocking with resolution. At the same time, consid-346 erable blocking frequency biases remain in the high-resolution models. For example, three of the 347 four high-resolution models (CAM5, IFS, UM) continue to underestimate European winter block-348 ing frequency by about one third, and two models (IFS, UM) underestimate summer blocking 349 frequency in the Baltic area by about 50%. 350

The degree to which simulated Euro/Atlantic blocking improves with resolution depends on the season, and in some cases on the particular model. The clearest improvement is seen in spring and it is robust across the ensemble, eliminating most of the bias. Smaller improvements, which are also robust across the ensemble, are seen in winter and autumn, whereby it should be noted that the biases in autumn are smaller than those in the other seasons for all models, even at the low resolutions. In summer, the resolution sensitivity is small and a significant improvement is only found for the UM. In the Pacific, we do not find a systematic sensitivity to resolution, except for CAM5 where there is some deterioration with increasing resolution in all seasons.

We have investigated the relationship between mean-state and blocking biases. This has been 359 done by correcting the model mean geopotential height field to the corresponding reanalysis value 360 while retaining the model geopotential height variability, and then recalculating the blocking cli-361 matology. This separation is approximate due to the interaction between the mean state and eddies 362 but can still provide a qualitative idea of how closely mean-state and blocking biases are asso-363 ciated with one another (Scaife et al. 2010). In agreement with previous studies (Scaife et al. 364 2010; Berckmans et al. 2013) we find that blocking biases are in part associated with mean-state 365 biases, and indeed we also find some improvement with resolution in the simulated mean state 366 of the extratropical atmosphere. Nonetheless, we also show that the agreement between mean-367 state and blocking biases is far from perfect illustrating the need for further investigation into the 368 representation of blocking in climate models separate from biases in the mean circulation. 369

In summary, we show that AGCMs simulate atmospheric blocking more realistically as their grid spacing is reduced to 25 km, yet considerable biases remain also at that resolution. Our results are therefore consistent with previous studies pointing to the importance of model horizontal resolution, which are based on theoretical and numerical studies into the roles of small-scale eddies and orography. At the same time, our results also support previous studies (Jung et al. 2010; Anstey et al. 2013) showing that there are other factors than horizontal resolution limiting the representation of blocking in models. Future efforts should include research into (i) how further increases

in resolution and the simulation of coupled atmosphere-ocean processes (e.g., Minobe et al. 2008; 377 Hirons et al. 2015) might allow for a more credible simulation of blocking by climate models, the 378 reasons for (ii) the different resolution sensitivity for Atlantic and Pacfic blocking and (iii) the sea-379 sonality of the sensitivity to resolution over Europe, and (iv) how the model spread in the sensitivity 380 to resolution is related to the structure, physical parameterisations, and numerics of the individ-381 ual models. The model experiments currently conducted in the European Horizon 2020 project 382 PRIMAVERA (PRocess-based climate sIMulation: AdVances in high-resolution modelling and 383 European climate Risk Assessment) and contributing to HighResMIP (Haarsma et al. 2016) will 384 offer the possibility to study some of these questions in a well-designed multi-model ensemble of 385 coupled (atmosphere, ocean, sea ice, land) climate models. 386

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for Computational Sciences (NICS), under the auspices of the National Science Foundation (NSF). 400 Support provided by NICS and the NSF are gratefully acknowledged. The MRI model integra-401 tions were performed using the Earth Simulator under the framework of the project "Projection 402 of the Change in Future Weather Extremes using Super-High-Resolution Atmospheric Models" 403 supported by the SOUSEI programs of the Ministry of Education, Culture, Sports, Science and 404 Technology (MEXT) of Japan. MFW was supported by the Regional and Global Climate Model-405 ing Program of the Office of Biological and Environmental Research in the Department of Energy 406 Office of Science under contract number DE-AC02-05CH11231. 407

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TABLE 1. AGCMs used in this study.

Acronym	Model	Centre	Vertical levels	References
CAM5	CAM5.1	National Center for Atmospheric Research (USA)	30	Neale (2012)
IFS	IFS (Athena)	European Centre for Medium-Range	91	Jung et al. (2012),
		Weather Forecasts (United Kingdom)		Kinter et al. (2013)
MRI	MRI-AGCM3.2	Meteorological Research Institute (Japan)	64	Mizuta et al. (2012)
UM	HadGEM3-GA3.0	Met Office Hadley Centre (United Kingdom)	85	Walters et al. (2011)

TABLE 2. Model experiments. Grid spacings are given at 50°N for CAM5 and UM (square root of gridbox area and zonal × meridional spacing in parenthesis). IFS and MRI are spectral models. The sea surface temperature (SST) forcing data sets are monthly Atmospheric Model Intercomparison Project I (AMIP I, Gates 1992), three different SST products for the Athena IFS simulations (see Jung et al. 2012, for details), monthly HadISST1 (Rayner et al. 2003), and daily OSTIA forcing (Donlon et al. 2012).

Model	Resolution	Grid spacing (km)	Ensemble (size \times years)	Period	SST forcing
CAM5	$1.3^\circ\!\times 0.9^\circ$	96 (93 × 100)	3 × 27	1979–2005	AMIP I
CAM5	$0.31^{\circ} imes 0.23^{\circ}$	24 (22 × 26)	1 × 27	1979–2005	AMIP I
IFS	T159	126	1×46	1962–2007	Athena
IFS	T1279	16	1×46	1962–2007	Athena
MRI	Т95	208	4×25	1979–2003	HadISST1
MRI	T319	63	4×25	1979–2003	HadISST1
MRI	Т959	21	2×25	1979–2003	HadISST1
UM	N96	136 (134 × 139)	5 x 26	1986–2011	OSTIA
UM	N216	61 (60 × 62)	3 x 26	1986–2011	OSTIA
UM	N512	26 (25 × 26)	5 x 26	1986–2011	OSTIA

TABLE 3. Reanalyses used in this study. The grid spacing is given at 50°N for MERRA (square root of grid-box area and zonal \times meridional spacing in parenthesis).

Reanalysis	Resolution	Grid spacing (km)	Period	SST forcing	Reference
ERA-40	T159	126	1958–2001	HadISST1 (Rayner et al. 2003), Reynolds et al. (2002)	Uppala et al. (2005)
ERA-Interim	T255	79	1979 to present	(several, see reference)	Dee et al. (2011)
MERRA	$2/3^{\circ} \times 1/2^{\circ}$	51 (48 × 56)	1979 to present	Reynolds et al. (2002)	Rienecker et al. (2011)

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570	Fig. 6.	As Fig. 4 but for spring (March–May).			39
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FIG. 1. Climatological-mean reanalysis blocking frequency (fraction of blocked days) based on concatenating ERA-40 (1962–1978) and ERA-Interim (1979-2011) for (a) December–February, (b) March–May, (c) June– August, and (d) September–November. The lightblue lines show five regions: ATL (-16–7.5°E, 47–63°N), BAL (7.5–40°E, 53–67°N), PAC (145–225°E, 64–75°N), GL (295–0°E, 63–75°N), and NEU which is the joint area of ATL and BAL.



⁶⁰⁰ FIG. 2. Examples of 50-year time series of blocking frequency, spatially averaged over the regions shown ⁶⁰¹ in Fig. 1, and for boreal winter or summer. Symbols show ERA-Interim (triangles, 1979–2011) and MERRA ⁶⁰² (crosses, 1979–2011), and ERA-40 (circles, 1962–2001). The solid line shows the concatenated reference time ⁶⁰³ series composed of ERA-40 (1962–1978) and ERA-Interim (1979–2011). The inset shows the mean (μ), stan-⁶⁰⁴ dard deviation (σ), and coefficient of variation (c_{var}) of this reference time series.



FIG. 3. December–February climatological and ensemble-mean blocking frequency (fraction of blocked days). (a) ERA reanalyses as in Fig 1. (b) IFS at T159 resolution, (c) IFS at T1279, (d) MRI at TL95, (e) MRI at T319, (f) MRI at T959, (g) UM at N96, (h) UM at N216, (i) UM at N512, (j) CAM5 at $1.3^{\circ} \times 0.9^{\circ}$, (k) CAM5 at $0.31^{\circ} \times 0.23^{\circ}$.



FIG. 4. December-February climatological and ensemble-mean blocking frequency for regions defined in 609 Fig. 1. ERA-40/ERA-Interim reanalysis values (as in Fig. 1) are shown for 1962–2011 on the left in terms of 610 the mean (black dot and horizontal dashed line) \pm the ensemble mean of one standard deviation of interannual 611 variability (grey bar). Reanalysis blocking frequencies are also shown for each of the simulation periods of the 612 four models. Coloured green/blue dots and bars show the same information for the four models at different 613 resolutions. Triangles indicate significant test results for differences, e.g. the downward triangles in (a) for 614 CAM5 1° and 0.25° indicate that the blocking frequency in these two models is significantly smaller than in the 615 reanalysis. In the same way, coloured triangles show significant differences between different resolutions of a 616 model. The test employed is a t-test comparing the mean of two samples composed of the yearly ensemble-mean 617 blocking frequencies of the two datasets at hand. 618



FIG. 5. As Fig. 3 but for spring (March-May).



FIG. 6. As Fig. 4 but for spring (March-May).



FIG. 7. As Fig. 3 but for summer (June-August).



FIG. 8. As Fig. 4 but for summer (June-August).



FIG. 9. As Fig. 3 but for autumn (September–November).



FIG. 10. As Fig. 4 but for autumn (September–November).



FIG. 11. Blocking frequency root-mean-square error and spatial correlation with respect to the reanalysis blocking frequency field shown in Fig. 1 for the Atlantic/European sector (80°W–80°E, 45–75°N). Panels (a– d) are for the four different models, small symbols correspond to ensemble members and bold symbols to the ensemble mean (see Table 2).



⁶²³ FIG. 12. Illustration of bias correction of the 500-hPa geopotential height field for a single grid box at 0°E ⁶²⁴ 56.25°N and for the UM at N96 resolution (red) with respect to ERA-40/ERA-Interim reanalysis data as in Fig. 1 ⁶²⁵ (black). Thin lines show the daily climatological-mean value, and bold lines show the daily climatological-mean ⁶²⁶ value after lowpass-filtering with a cutoff frequency at (90 days)⁻¹. Vertical dashed lines show the canonical ⁶²⁷ northern-hemisphere seasons.



FIG. 13. December–February (a,d,g,j) 500-hPa geopotential height bias (m), (b,e,h,k) blocking frequency calculated from bias-corrected geopotential height data for lowest resolution model (e.g., N96 for the UM), (c,f,i,l) 500-hPa geopotential height difference (m) for highest minus lowest resolution model (e.g., N512 - N96 for the UM). The models are (a,b,c) IFS, (d,e,f) MRI, (g,h,i) UM and (j,k,l) CAM5. Grey lines enclose areas of statistically significant geopotential height differences. Stippling shows regions where correcting the height bias reduces the blocking bias (b,e,h,k), and where the height bias decreases with the resolution increase (c,f,i,l).



FIG. 14. As Fig. 13 but for spring (March-May).



FIG. 15. As Fig. 13 but ftp summer (June-August).