

The NSPCC UK Minding the Baby® (MTB) home visiting programme, supporting young mothers (aged 14-25) in the first two years of life: study protocol for a randomized controlled trial

Article

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- 44

46 Abstract

47 **Background:** Young mothers living in low income urban settings often are exposed to significant and chronic environmental difficulties including poverty, social isolation and 48 49 poor education and typically also have to cope with personal histories of abuse and 50 depression. Minding the Baby[®] (MTB) is an interdisciplinary home visiting programme 51 developed to support first time young mothers, which integrates primary care and 52 mental health approaches into a single intensive intervention from the last trimester of 53 pregnancy to the child's second birthday. The primary aim of the intervention is to 54 promote caregiver sensitivity, and, secondarily, to promote both child and maternal 55 socio-emotional outcomes 56 Methods/Design: This is a multi-site randomised controlled trial (RCT) with a target 57 recruitment of 200 first time adolescent mothers (<26 years old). 100 participants will 58 be randomised to the MTB group and they will receive the MTB programme in addition 59 to the usual services available in their areas. Those participants not allocated to MTB 60 will receive Treatment as Usual (TAU) only. Researchers will carry out blind 61 assessments at Baseline (before the birth of the baby), and outcome assessments around the child's first and second birthdays. The primary outcome will be the quality of 62 63 maternal sensitivity and the secondary outcomes will focus on attachment security, 64 child cognitive/language development, behavioural problems, postponed childbearing, 65 maternal mental health and incident of child protection interventions. 66 **Discussion**: This study evaluates the Minding the Baby[®] programme in the UK. In 67 particular, this RCT explores the effectiveness of this integrative approach, which 68 focuses on maternal mental issues as well as parent-infant interaction, parental 69 concerns and developmental outcomes.

70 **Trial registration:** ISRCTN08678682 (date of registration 03/04/2014)

- 71 Keywords: Minding the Baby[®], home-visiting programme, first-time mothers,
- 72 attachment, reflective functioning

90 Background

91 **Overview and Rationale:** The NSPCC, in collaboration with University College London 92 the University of Reading, the Yale Child Study Center and the Yale School of Nursing, is 93 initiating a multi-site study of the effectiveness of a targeted prevention programme that 94 incorporates well established principles of home visiting with a more comprehensive 95 package of care for the developing mother-infant relationship. The programme 96 represents an important opportunity to advance the UK's provision of evidence-based 97 support for at-risk families and to intervene effectively in the intergenerational cycle of 98 disadvantage. The Minding the Baby[®] (MTB) programme is an interdisciplinary 99 intervention that was developed and tested by a team of researchers and clinicians at 100 the Yale Child Study Center and Yale School of Nursing [1]. MTB combines many of the 101 benefits of home visiting programmes - particularly their relative cost-effectiveness, 102 client acceptability and accessibility – with a coherent, evidence-based clinical 103 dimension that is informed by, and directly targets, well studied mechanisms of risk in 104 early child development. In focusing on key domains of parent-child relationships where 105 disturbances are known risk factors for later child maladjustment, particularly the 106 sensitivity of parental care, the security of infant-parent attachment and the parent's 107 capacity to reflect on the child as an autonomous agent with needs, feelings and 108 thoughts, the programme aims to combine best clinical practice in early prevention with 109 scientific evidence regarding the developmental processes that promote optimal child 110 outcomes. Currently, the UK health and social care systems offer a range of services to 111 young families targeting mental health or promoting family relationships from birth, 112 which are not always evidence-based and vary considerably from region to region. 113 Home visiting programmes are characterised by the presence of consistent and reliable 114 support figures with high quality training who are capable of addressing a broad range 115 of parenting concerns from the practical to the emotional [2]. The highly influential 116 Nurse Family Partnership model is a well-known example that has been found to be

117 effective for several important early child and maternal outcomes [3]. A notable 118 limitation of existing home visitation programmes, however, is the relative lack of focus 119 on supporting parent-child interaction and particularly attachment. This is a central 120 target of MTB [4, 5]. Longitudinal outcome studies clearly show that disturbances in the 121 quality of care can have lasting negative consequences for children's development, and 122 the long-term social and financial costs associated with these poor outcomes are likely 123 to be considerable $[\underline{6}]$. The potential value of effective early intervention focused on 124 sensitivity of care, particularly for parents experiencing multiple social adversities, 125 therefore cannot be overstated.

This randomized clinical trial will test the hypothesis that an intensive home visiting programme focused on promoting young parents' sensitive attunement to their infants and their ability to mentalize on their baby's thoughts, feelings and needs, will lead to improvements in the sensitivity of parenting at age 2 years compared to parents who receive routine care. The study will also examine several secondary hypotheses, including that the programme will increase offspring rates of secure attachment, improve cognitive and behavioural outcomes and promote maternal mental health.

133

134 Background and significance

135 Although rates of teenage pregnancies have been dropping in the UK over the last 10 136 years, it remains the case that such pregnancies are greatly over-represented in low-137 income urban populations [7]. The many environmental stressors that these young 138 parents face (poverty, single parenthood, social isolation and poor educational 139 achievement $[\underline{8}]$) are often amplified by personal histories of abuse, depression and 140 post-traumatic stress (PTSD) [9, 10]. These parents may find themselves not only having 141 to deal with their own developmental needs but also trying to take on the complex roles 142 and responsibilities of parenting. It is perhaps not surprising that young parents living 143 in these circumstances are more susceptible to mental health problems and may

144 struggle to become responsive nurturing parents [<u>11</u>, <u>12</u>]. Social disadvantage more 145 generally represents a broad but very reliable marker of a host of contextual, 146 psychological and developmental risk factors that have well-established negative 147 impacts on the quality of parenting and on child development [13-15]. The Minding the 148 Baby[®] (MTB) programme is aimed at supporting young parents facing multiple social 149 stressors, and raising their first infant in adverse social circumstances, in order to 150 promote positive parenting, raise rates of secure attachment, and improve child 151 developmental outcomes.

152

The MTB programme is the result of an interdisciplinary collaboration between Yale 153 154 School of Nursing and Yale Child Study Centre. MTB is an intensive and preventive home 155 visitation programme for young first time parents. MTB primarily evolved from two 156 home visiting models that originated in the US; the Nurse Family Partnership (NFP) and 157 the infant-parent psychotherapy model. David Olds and colleagues developed the Nurse 158 Family Partnership programme [3], which involves home visits by highly trained nurses 159 to vulnerable high-risk for time mothers. Home visits begin at the end of the second 160 trimester of pregnancy and continue through the child's second birthday. Extensive 161 research on the effectiveness of the NFP programme in high risk population showed 162 improved health, parenting and developmental outcomes [16-23, 2, 3]. A different 163 emphasise is on the infant-parent psychotherapy model which was developed to protect 164 infants and help parents with mental health problems, often as a result of on-going 165 trauma. Although this model has been less rigorously tested than the NFP programme, 166 positive child outcomes were found. In particular, this programme appears to supports 167 the development of healthy mother-child relationships and secure attachment [24], both 168 of which are prognostic indicators of longer-term positive developmental outcomes in 169 the child [25].

170 The MTB programme brings together both these models, providing a holistic

171 intervention that not only addresses maternal mental health issues but also health,

attachment and life course outcomes for mother, child and family. Thus MTB aims to

173 bring together health, developmental, attachment and mental health approaches. By

174 incorporating both nursing and mental health approaches, MTB serves to address some

175 of the more complex needs of mothers and families at risk.

176

177 Attachment and Reflective Functioning:

It is firmly established in the attachment field that the quality of the infant's attachment 178 179 to their primary caregiver is robustly related to a range of child outcomes [5, 26]. MTB 180 builds on this evidence and makes the promotion of secure attachment a primary 181 clinical objective as a means of bringing about positive changes in the infant's social, 182 emotional and cognitive development. Originally, Ainsworth and colleagues [27] 183 suggested that a mother's ability to respond sensitively to her child's cues would be 184 crucial for the development of secure mother- infant attachment. Later research [28] 185 empirically tested this hypothesis and found broad support for the role of sensitivity in 186 secure attachment. Furthermore, recent work has highlighted the role of the mother's 187 own mental state with respect to attachment - referred to as her internal working 188 model (IWM) of attachment, in shaping the sensitivity of care, and thus her child's 189 attachment security [29]. These attachment representations are thought to shape how a 190 parent perceives their child and, accordingly, how they respond to the child's behaviour, 191 cues and communications [30].

A critical feature of the way in which parents think about their children is their ability to consider the child's thoughts, feelings and beliefs, and to treat the child therefore as an individual with a mind. Crucially, research indicates that this ability not only to think of the child as an individual with their own thoughts and feelings, but also to understand

and make a causal connection between the child's behaviours and their underlying
feelings and experiences, is crucial in the development of a secure attachment [31, 32].
This capacity has been termed by Fonagy and colleagues as 'mentalization' or 'reflective
functioning' (RF) [33]. Slade and colleagues' research in this area has demonstrated
consistent relationships between mother's ability to mentalize, maternal behaviour, and
child attachment [34, 26, 31].

202 The MTB programme is rooted in this developmental theory and, at its core, the MTB

203 programme aims to increase the parent's capacity to think about their child and reflect

204 upon his/her thoughts, and feelings, and to respond in a sensitive and attuned way to205 the child's cues and communications.

206

207 Minding the Baby®: An Interdisciplinary Approach

208 The home visiting intervention programmes presented above have mostly focused on

209 either the practical aspects of parenting or the quality of mother-child relationship and

210 attachment. Minding the Baby[®] aims to address both these elements of parenting.

211 The UK MTB clinical team includes two qualified practitioners: a nurse or health visitor

and a social worker who are both highly trained and supervised in particular techniques

and developmental approaches tailored for working with vulnerable young mothers.

214 The nurse provides advanced levels of practical parenting support including individual

and family health assessments, nutrition advice and family planning. The social worker

216 provides mental health support to mother and baby, in-home assessment and

217 intervention for mild to moderate mental health problems like depression, anxiety and

218 post-traumatic stress symptoms the mother might be affected by. Crucial to the success

219 of the MTB programme is the mother's relationship with the MTB practitioners. Their

220 engagement and fostering of on-going relationships with these at-risk first-time young

mothers, as well as having the professional expertise that matches their complex health,
social and mental health needs, is aimed to diminish attrition from the programme. This
kind of integrative model is considered to be crucial for maximising both parental and

child outcomes across a range of domains.

Following the Yale model, the UK MTB is grounded in well-established developmental

research, builds on the experience of similar successful programme, is a relationship-

based model, delivers a flexible model of care design to match the varying and often

228 complex needs of at-risk families, and has a robust, manualized system of training and

230

229

231 Aims and Objectives

supervision.

Aim 1: The primary aim of this study is to test whether participation in the MTB

programme leads to improvements in the quality of parenting and specifically the

234 degree of maternal sensitivity.

Aim 2: The secondary aims of the study are to measure the effects of the MTB

236 programme in relation to a) maternal outcomes including maternal mental health,

237 maternal reflective functioning (RF) and postponed subsequent child bearing; and b)

238 infant outcomes including incidents of child protection intervention, attachment

security to the parent, cognitive and language development and behavioural problems.

Aim 3: A further key secondary aim is to assess the cost benefit/effectiveness of the MTB

241 programme in order to sustain future programmes.

242

243 Methods

244 **Design**:

- 245 This is a multi-site randomised controlled trial, with randomization at the case level.
- 246 This trial will utilize a two-arm design, with random allocation to either MTB plus
- treatment as usual or a Treatment as Usual only control condition. Allocation will be by
- 248 minimisation, controlling for maternal age, maternal depression and study site. Figure 1
- shows a flow chart of the study design, and the SPIRIT checklist is presented in
- 250 Additional file 1 [<u>35</u>, <u>36</u>].
- 251

252 **Outcome measures:**

| 253 | Primary outcome: | The primary outcome is the quality of parenting, operationalized as |
|-----|------------------|---|
| | | |

254 maternal sensitivity [27]. Maternal sensitivity will be measured at ages 1 and 2 and will

255 be treated as a continuous score, with both time-points included in the primary analysis.

- 256 In order to measure parenting sensitivity at ages 1 and 2, we will use several short tasks
- 257 from our existing studies of attachment and another on-going clinical trial. The first task

258 focuses on mother-infant interaction in the context of free-play. Known as the 'three-

- 259 boxes procedure', the mother shows the child experimenter-provided toys in three
- 260 containers in a set order [<u>37</u>, <u>38</u>] [<u>39</u>]. The second is a procedure pioneered by Smith
- and Pederson [<u>38</u>]. In this task, mother and infant are left to explore a relatively empty
- 262 room, while the mother must also complete a distracting questionnaire. Another task
- 263 involves brief observations, one focusing on book sharing and the other on a difficult to
- 264 manipulate toy. Finally, we are using a separate joint book-reading observation in which
- 265 the content of the book involves strong attachment related scenarios. In each case,
- 266 maternal sensitivity will be rated, using NICHD Sensitivity Scales [40], an observation
- 267 tool. The scales describe and assess four dimensions on the adult side (sensitivity,
- 268 structuring, nonintrusiveness, and nonhostility) and two dimensions on the child side

- 269 (responsiveness and involvement with the caregiver). Dimensions are measured on a
- 270 scale, with scores between 1 and 7. Scales will be standardized and summed to yield a
- 271 total score across all tasks for the main analysis. The use of specific contexts for mother-
- 272 infant interactions will also allow us to determine whether the intervention is changing
- 273 the particular processes associated with each domain of child development in tertiary
- 274 analyses.
- 275 Secondary outcomes
- 276 *Child attachment security*, measured with the Attachment Q-Set (AQS: [41]), which will
- 277 be administered at Year 2. The AQS is based on a set period of observation of children
- 278 aged 1 5 in the home environment. The AQS consists of a set of 90 cards with a
- 279 specific behavioural characteristic described on each card that is age-appropriate. The
- 280 cards are used as a standard vocabulary to describe the behaviour of a child in a home
- 281 setting, with an emphasis on secure-base behaviour. The researcher who has observed
- 282 the parent and child ranks the cards into several piles from "most descriptive of the
- 283 subject" to "least descriptive of the subject."The Q-set provides a score along a
- 284 continuum of secure to insecure. The Q-set has shown good convergent and
- 285 discriminate validity[42] and is a strong predictor of later developmental outcomes
- 286 [<u>43</u>].
- 287 *Child cognitive and language development* will be assessed at year 2 using the Bayley
- 288 Scales of Infant and Toddler Development, third edition [44]. The Bayley-III is an
- 289 assessment individually administered that evaluates the child's mental and motor
- 290 development. The Scales are administered when children are between the ages of 2
- 291 months and 42 months. This yields two separate continuous scales representing overall
- 292 Cognitive Development and Language Development. The Bayley-III is a standardized
- 293 instrument and the Cognitive Scales and Language Composite correlate respectively
- 294 (r=.79) and (r=.82) with the WPPSI-III Full Scale IQ, reported for children aged 28-42
- 295 months. Bayley-III is also UK validated [45].

- 296 *Behavioural problems* will be assessed with the Child Behaviour Checklist (CBCL[<u>46</u>])
- 297 questionnaire, at Year 2. This consists in a 100 items parent-report questionnaire and is
- 298 valid for children from 18 months and older. CBCL measure yields three age-normed
- 299 scales of Internalizing Problems (i.e., anxious, depressive, and over-controlled),
- 300 Externalizing Problems (i.e., aggressive, hyperactive, noncompliant, and under
- 301 controlled) and Total Problems. Parents record responses with: 0 (Not true, as far as I
- 302 know), 1 (Somewhat or Sometimes true), or 2 (Very true or Often true). The analysis
- 303 will focus on the Total Problem scale. The CBCL is one of the most widely-used
- 304 standardized measures in child psychology for evaluating maladaptive behavioural and
- 305 emotional problems [<u>47</u>].
- 306 *Postponed child bearing* will be assessed at each follow up when mothers will be asked
- 307 about their pregnancy status. The number of months from baseline to the next
- 308 pregnancy will be used for analysis.
- 309 *Maternal mental health* will be measured with the Edinburgh Post-Natal Depression
- 310 (EPDS: [48]) questionnaire which will be administered at baseline, Year 1 and Year 2.
- 311 EPDS is a ten-item questionnaire screening for post-natal depression. Mothers'
- responses are on a scale 0 to 3, and a score is calculated adding individual items. All 3
- 313 total scores from will be entered into the analysis, with change from baseline being the
- 314 outcome of interest. EPDS is a well validated measure of depression [49] that may be
- 315 used within 8 weeks postpartum but has also been applied for depression screening
- 316 during pregnancy [50].
- 317 *Child Quality of Life* will be assessed at Year 1 and Year 2 follow ups with the Warwick
- 318 Child Health and Morbidity Profile (WCHMP) questionnaire [51]. This consists in a 10-
- 319 item survey where parents are asked to report on health and morbidity in infancy and
- 320 childhood. The WCHMP has shown to be reliable and valid with low inter-observer
- 321 variation [51]. An incremental cost effectiveness ratio (ICER) will be calculated and the
- 322 two groups of mothers compared.

- 323 *Health and social care resource use* will be collected throughout the study using the
- 324 Service Use and Supports Questionnaire (SUS) [52]. This is a self-report questionnaire
- 325 administered at baseline and every subsequent follow up, i.e., 6 months, Year 1, 18
- 326 months, and Year 2. Mothers are asked to note whether they had any input from
- 327 professionals and voluntary agency in the previous 6 months, in four areas: 1) health
- 328 services, 2) mental health services, 3) support services and 4) childcare services.
- 329 Parents are also asked to note down the single most helpful service they have accessed
- 330 over the previous six months. Costs are applied to service use at each time point. Total
- 331 costs per patient will be calculated from the total across all follow-up points and
- adjusted for by baseline values. Unit cots will be obtained from the Personal Social
- 333 Services Unit (PSSRU) nationally published reference costs and published studies.
- 334 Additional outcome measures
- 335 *Infant Behaviour Questionnaire Revised* (IBQ- R; [53]) is a parent- report questionnaire
- 336 that ask parents to rate the frequency of specific temperament-related behaviour's
- 337 observed over the past week (or sometimes 2 weeks). The IBQ-R assesses the child's
- 338 temperament on six dimensions including activity level, sooth ability, fear, smiling and
- approach behaviours. Parents rate the frequency of specific temperament related
- 340 behaviours on a scale 1 to 7. The IBQ-R has demonstrated good internal consistency
- reliability and convergent validity[54]. The IBQ-R will be administered at the 1 and 2-
- 342 year follow-ups.
- 343 *Infant Health Outcome* Data will be collected at the end of the study through a review of
- 344 the infant/toddler's health records. Data will be collected on birth outcomes, routine
- 345 hospital visits, completeness of immunizations, Accident & Emergency (A&E) visits,
- 346 presence of chronic health problems, number of Social Services referrals. Unit costs will
- 347 **be applied to calculate the cost per infant.**
- 348 *Maternal Sense of Mastery* is measured by the Pearlin and Schooler 7-item scale.
- 349 Women are asked to measure the degree to which they perceive they can control their

- 350 life's chances [55]. Responses are based on a 7-item scale (agreement to disagreement),
- 351 and higher scores reflect greater level of mastery. This scale has been used extensively
- 352 with similar samples of young women [56]. It will be administered at baseline, 1 and 2-
- 353 year follow ups.
- 354 Norbeck Social Support Questionnaire (NSSQ [57] [58] measures multiple functional
- 355 dimensions of social support: (a) affect, (b) affirmation, and (c) aid. Participants are
- 356 instructed to list first names or initials for each significant person in their lives who
- 357 provides personal support to them. Participants are asked to identify their relationship
- 358 with the individual and finally use a 5-point rating scale to describe the amount of
- 359 support available from each person. The NSSQ has shown to be a valid and reliable
- 360 measure of all three functional types of social support as well as total network support
- 361 [59]. It will be administered at baseline, 1 and 2-year follow ups.
- 362 *Parent Development Interview Revised* (PDI[60] is a 20 question interview that assesses
- 363 parents' representations of their child, their relationships with them, and particularly
- 364 their capacity to reflect on their child's mental states. Transcribed interviews are scored
- 365 for Reflective Function. Initial studies testing the validity of this measure have linked it
- 366 to adult attachment, child attachment, and parental behaviour both in normal and drug
- 367 using samples [<u>34</u>, <u>30</u>, <u>61</u>, <u>4</u>, <u>62</u>] [<u>4</u>] [<u>63</u>]. RF is scored on a scale of 1-9 with higher
- 368 scores indicating greater levels of RF. It will be administered at 1 and 2-year follow-ups.
- 369 *Parenting Stress Inventory* (PSI) Short Form[64] is a 36-item questionnaire that
- 370 measures stress level experienced within the parenting role. Rated on a five-point scale
- 371 (agreement to disagreement), the measure contains three subscales pertaining to
- 372 parenting stress. The PSI short-form subscales have demonstrated concurrent validity
- 373 with the full-length PSI[65]. The PSI-SF will be administered at baseline, 1 and 2 year
- 374 follow-ups.
- 375 *PTSD Checklist-Civilian (PCL-5)* [66]. This is a 20-item PTSD screen that is closely based
- 376 on the DSM-V criteria for PTSD. Participants rate each item from 0 (not at all) to 4

- 377 (extremely) to indicate the degree to which they have been bothered by the index
- 378 symptom in the past month. The PCL-C has shown good psychometric properties, high
- 379 rates of internal consistency, test-retest reliability and is highly correlated with other
- 380 measures of trauma symptoms [67]. It will be administered at baseline, 1 and 2-year
- 381 follow-ups
- 382 State-Trait Anxiety Inventory (STAI) [68] is a 40 item questionnaire that uses a 4- point
- 383 Likert scale to address both state and trait anxiety. The construct and concurrent
- 384 validity of the measure has been robustly demonstrated [69, 68]. It will be administered
- 385 at baseline, 1 and 2-year follow-ups.
- 386 *Adult Quality of Life (QoL)* The EuroQol EQ-5D 3 level (EQ-5D-3L) is a health related
- 387 questionnaire assessing the quality of life through five dimensions (mobility, self-care,
- 388 usual activities, pain/discomfort, anxiety/depression). Each dimension is scored by
- 389 choosing one of three responses. The responses recorded are based on levels of severity
- 390 (no problems/some or moderate problems/extreme problems). Utility scores will be
- 391 calculated for each mother at each time point based on the algorithm developed by
- 392 Dolan [70]. Utility scores at each time point will be used to calculate total quality
- 393 adjusted life years (QALYs) for the duration of the trial calculated as the area under the
- 394 curve adjusting for baseline. It will be administered at baseline, 1 and 2-year follow-ups.
- 395 *Treatment Experience Questionnaire* (TEQ). This is a 15-item feedback questionnaire
- 396 based on questionnaires used for similar studies (e.g., [<u>71</u>, <u>72</u>]. This will be given to
- 397 participants in the MTB arm of the trial only, to record satisfaction with the service they
- 398 have received. Parents are asked to rate the treatment on a 5-point scale (disagreement
- 399 to agreement).
- 400 *Father outcome measures:* Where possible we aim to collect selected outcome
- 401 measurements from fathers at baseline, Year 1 and Year 2 follow ups. Some of the
- 402 outcome measures used for the mothers will be used for the fathers: quality of life (i.e.,
- 403 EQ-5D); mental health (i.e., EPDS, STAI and PCL-5), support and personal network (i.e.,

404 NSSQ), and paternal competence (i.e., SM and PSI), and the Treatment Experience

405 **Questionnaire (TEQ) for fathers in the MTB group.**

- 406 In Table 2 mother and child outcome measures are summarised and the time points of
- 407 their administration reported.
- 408

409 Sample size

- 410 A minimum of 200 participants (100 in each arm) will enter into the evaluation. The
- 411 sample size calculation is motivated by the effect size estimates on the primary outcome
- 412 (maternal sensitivity) and the attachment outcome at 1 year.
- 413 *Power Analysis:* We based our power analyses on previous interventions aimed at
- 414 improving parenting sensitivity. The overall meta-analytic average for sensitivity-
- 415 focused intervention trials in Bakermans-Kranenburg's (2003) review was d = .44 which
- 416 is equivalent to a correlation of r = .22. If we assume 4 covariates and a single df test of
- treatment effect, with a reduced model R-squared of .15 and a full model r-squared of
- 418 .20, then 129 participants would be required for 80% power at alpha = .05. Bakermans-
- 419 Kranenburg further reported that the meta-analytic average of randomized studies was
- 420 d = .36 (r = .18), which for the equivalent analysis and power would require a sample
- 421 size of 190. We also computed power to detect effects on attachment security. We
- 422 estimated the effect size based on meta-analytic data, based on the assumption that the
- 423 MTB intervention would be effective in enhancing parental sensitivity: such studies
- 424 yield average effect sizes of d = .45 in the aforementioned meta-analysis [73] and hence
- 425 the power for this outcome would be equivalent to that for sensitivity or greater.
- 426

427 **Recruitment:**

Recruitment will take place at three UK sites; York, Sheffield and Glasgow. Participants
in York and Sheffield will be screened if they live within a defined geographical area
around each site of approximately 15 miles of the city centre (the precise geographical
boundaries will vary in each site).

432

433 *Consent*:

434 *Overview*: Formal consent into this study will be taken by a member of the research

team. Prior to this, consent to be contacted by the research team will be obtained by

436 research midwives in antenatal clinics, by health, social care or voluntary sector

437 professionals or provided by interested families directly.

438

439 *Consenting procedures*

440 **Primary entry-point into the study**: At all three sites potentially eligible expectant 441 mothers will be informed about the Minding the Baby Study during an antenatal 442 appointment in the hospital or in the community. During this appointment expectant 443 mothers will be given a participant information sheet and a short leaflet and a research 444 midwife or member of the antenatal care team will provide a brief explanation of the 445 study. Potential participants will then be followed up by a research midwife, who will 446 check eligibility, provide them with written information about the study again 447 (Participant Information Sheet and a contact leaflet) and will verbally explain their 448 involvement. This will usually be done in person at the 20-week scan appointment, but 449 may also be done by telephone (with written material sent by post) or during another 450 antenatal appointment. If expectant mothers are then happy to consent to be contacted 451 by the research team, this will be obtained verbally, and formal written consent to

452 participation in the study will be obtained by the research team during an initial home453 visit

During the research home visit the researcher will explain the study in detail, answer
any further questions they might have, and, if they are willing to take part, obtain their
full written consent. At this research appointment baseline assessments will be carried
out for all consenting participants.

458

459 Alternative entry-points into the study: At all three sites, posters, 'Contact leaflets' 460 and Patient Information Sheets will be placed in antenatal waiting rooms so that 461 expectant parents can read about the study while they wait for their antenatal 462 appointment. Families who are interested in taking part in the study may self-refer by 463 filling in a contact leaflet and leaving it in a designated box which will be provided at the 464 clinic. These forms will then be collected by the research midwives, and passed to the 465 research team who will then get in touch to arrange a visit, following the same informed 466 consent procedures described above. Similar contact leaflets and Participant 467 Information Sheets will also be distributed to community midwives and other health, 468 social care and voluntary-sector professionals (e.g., GPs, local authority housing officers, 469 Shelter) in the area so that if they know of mothers meeting the eligibility criteria they 470 can make them aware of the study. Such mothers would be directed to the research 471 team's contact telephone number, or contact leaflets can be sent to the research team, 472 who will then call the participant. Professionals working with families, having obtained 473 verbal consent, may also contact the research team on behalf of the family. Once the 474 research team has obtained confirmation of a participant's wish to be contacted, the 475 research team would then arrange an initial visit, where the expectant mother would be 476 informed about the study, given an opportunity to ask questions and consented in the 477 standard way described above.

478 Sheffield and Glasgow Sites: FNP is offered as a clinical service to all mothers under the 479 age of 20 at the Sheffield and Glasgow sites. Both FNP and MTB have similar entry 480 criteria and a similar set of intervention procedures and as such it will not be possible 481 for parents to be involved in both programmes. As mentioned above, participants will be 482 recruited to the MTB trial at their 20 week scanning appointment. Both Sheffield and 483 Glasgow FNP enroll parents into the programme up until 20 weeks gestation and as 484 such, the MTB trial will not interfere with client accessibility to the FNP treatment. 485 However, participants will be excluded if they are receiving services from FNP. This 486 criterion is necessary to ensure the integrity of the Treatment as Usual arm of the trial. 487 Participation in FNP will be recorded in the mother's notes, so that the research midwife is able to selectively recruit non-FNP participants. 488 489 490 **Eligibility criteria:** 491 1. Inclusion: 492 Women expecting their first baby AND • 493 Aged 19 or under OR aged between 20 to 25 and any of the following 1) • 494 currently eligible for means-tested benefits (or someone they live with and 495 depend upon such as a partner or parent, is eligible for means tested benefits), 496 2) not entitled to employer maternity pay, 3) living in a postcode falling within 497 the highest quintile of social deprivation as defined by national government 498 statistics or living in sheltered accommodation. 499

- 500 2. Exclusion
- Expectant mothers with a psychotic illness

| 502 | • Expectant mothers with substance abuse disorders/ chronic drug |
|-------------|--|
| 503 | dependence |
| 504 | • Expectant mothers with profound or severe learning disabilities |
| 505 | • Expectant mothers who would require the use of an interpreter |
| 506 | • Expectant parents with a life-threatening illness |
| 507 | • Expectant parents whose baby is expected to be born with a life |
| 508 | threatening illness or profound disability |
| 509 | • The expectant mother has been accepted in a Family Nurse Partnership |
| 510 | Service (See Recruitment above) |
| E 11 | |

512 **Scope of consent to participation**

513 Consent forms signed by the mother will include permission to access health and social 514 care records, remaining in effect for three years (with the provision of course that 515 families may withdraw this consent at any time). Ethical issues are discussed in greater 516 depth below, but we note at this point that in addition to obtaining consent to access 517 medical and social care records, the recruiter will be obliged to explicitly explain the 518 limits of confidentiality in the event that a child protection concern arises. For those not 519 consenting to participate, we will nevertheless endeavour to obtain anonymised 520 summary data from primary care services to characterise these cases, as prior work by 521 our group has found that these missing cases over-represent populations in most need 522 [74]. For any families that drop out of the clinical project after randomization, we will 523 endeavour to retain them in the research study in order to minimise bias. In addition, 524 even families who drop out of the research study will be asked whether permission can 525 remain to access their medical and social care records so that data on child health 526 outcomes can nevertheless be obtained. Those who are allocated to the treatment arm

and later decide to withdraw from the research will still be able to receive MTB

528 treatment if they wish to.

529

530 **Randomization**

531 Eligible consenting participants will be randomised on a 1:1 basis by the randomisation

532 **centre (supervised by Peter Fonagy) at a** separate site, who will manage randomization.

- 533 Monitoring of data quality and integrity will be done separately by David Wellsted,
- 534 study statistician. Together they will act as DMEC and will have power to break
- 535 confidential ID codes should ethical concerns arise<mark>. A computer-generated adaptive</mark>
- 536 minimisation algorithm [75] that incorporates a random element will be used with the
- 537 following balancing factors: treatment centre, maternal age (<20 vs >=20) and current
- 538 depressive symptomatology (<10 versus >=10 on the EPDS). These minimization
- 539 factors have been selected because previous research has shown that these factors are
- 540 associated with poorer outcomes on some of our dependent measures or are highly
- 541 plausible treatment modifiers. Once a family has been approach and consented to take
- 542 part, anonymised screening data will be sent to the randomisation centre by the trial
- 543 coordinator. The randomisation centre will send the results of the randomization to the
- local clinical manager within 72 hours, ensuring that the research team is fully blind to
- 545 the condition that the family is allocated to. Participants will be informed about their
- 546 group allocation, as blinding to a psychosocial treatment of this nature is not possible.
- 547 The outcome assessors will be blind to the participants' allocation. During training, all
- 548 RAs will be briefed regarding the importance of blindness to condition, and RAs will
- record any instances where the participating family discloses condition inadvertently,
- so that the impact of this can be examined in the data analysis. Coding of the primary
- 551 outcome will be done independently from videorecordings by raters who have no
- 552 contact with the participants.

554 **Planned intervention**

555 *Minding the Baby*[®]:

556 Minding the Baby[®] is a home-visiting programme that helps vulnerable or high risk first 557 time mothers aged 14-25. The programme has been developed by the Yale Child Study 558 Centre and Yale School of Nursing, with the main focus being on the parent-child 559 relationship. The MTB programme is delivered by an interdisciplinary MTB team of 560 highly skilled practitioners, a nurse or health visitor experienced in parental, perinatal 561 and paediatric roles and a social worker or other suitably trained practitioner trained in 562 mental health assessment and intervention. 563 Mothers are visited weekly at home from the third trimester until the child's first 564 birthday, and then fortnightly until their second birthday. The two MTB practitioners' 565 visits are alternated weekly. Visits can be increased as required, particularly in times of 566 crisis. 567 The health practitioner's role will focus primarily but not exclusively on the following: 568 569 Parental care and health education 570 Practitioners provide ongoing support and information about maternal and infant nutrition and healthy child growth and development, including foetal and 571 572 postnatal brain development. Support is given regarding the prevention of 573 premature birth, and planning for labour and delivery. Practitioners also help 574 pregnant women begin to anticipate and imagine life with a newborn, what its needs might be, how one interacts and communicates with a young infant. 575 576 Practical and educational support is given to women pre- and postnatally

577 regarding breast feeding.

579 Child health and development

| 580 | • The health practitioners undertake routine assessments of the child's physical, |
|-----|--|
| 581 | cognitive and social development, and provide advice and guidance about the |
| 582 | child health, including advice regarding the identification and treatment of |
| 583 | illnesses. Practitioners also provide information and advice about a safe |
| 584 | environment for the child to reduce incidents of injury. Finally, practitioners |
| 585 | provide anticipatory and ongoing guidance about parenting of young infants and |
| 586 | toddlers. |
| 587 | |
| 588 | Mother's health |
| 580 | Dractitioners are trained to help women think about safe sex and future family |

| 589 | • | Practitioners are trained to help women think about safe sex and future family |
|-----|---|--|
| 590 | | planning, provide support and information regarding healthy lifestyles, |
| 591 | | including smoking cessation support and healthy nutrition and exercise. |
| 592 | | Practitioners also assist mothers in obtaining support when they experience |
| 593 | | physical or mental health difficulties (e.g., via primary care), or have ongoing |
| 594 | | problems with stress. |

595

596 The social/therapeutic role focuses primarily but not exclusively on the following:

597 Mental health promotion

Practitioners in this role are trained in psychosocial assessment, and will gather 598 • 599 a detailed: psychosocial history; explore the mother's feelings about her 600 pregnancy, her connection to unborn child, her own history of being raised, and 601 her expectations about the parenting role. Practitioners are trained to identify 602 and provide intervention (through direct working or signposting to others services as appropriate) for mental health problems antenatally and postnatally, 603

and are able to provide focused mother-infant dyadic interaction guidance,
drawing on principles from parent-infant psychotherapy, and using video
feedback to help mothers to be attuned to the infant's attachment cues, and
promote sensitive interactions.

608

609 Infant/Child and family assessment and intervention

As part of the dyadic work, practitioners also guide mothers in dyadic play and 610 611 provide developmental guidance, helping to broaden mothers' repertoire of 612 skills, teaching about typical developmental milestones and facilitating mothers' 613 creativity in parenting and self-efficacy. Where indicated, the social-therapeutic 614 practitioner will provide couples' and family counselling, and help families manage the complexities of formal, statutory/legal systems such as housing, 615 616 disputes around contact, or child protection intervention. The practitioners offer 617 a broad range of support to help families manage crises, and provide assistance in supporting the women's acquiring of key life skills through education and 618 619 employment.

620

621 Treatment as Usual (TAU):

622 TAU will be the standard care available in the local community, which will be 623 determined by the needs of each family and the local service provision. The first line of 624 services are provided at primary care level by universally available professionals such as GPs, health visitors and midwives. For individuals who require more support after 625 626 birth the help they can receive will vary depending on where they live and the degree of 627 their needs. In general, TAU is often a package of support from family support workers, 628 enhanced health visiting, social worker or midwifery services (listening visits), one to 629 one support from clinical psychologists (provided through local CAMHS services),

- 630 psychotherapists or counsellors, postnatal support groups, crèches providing respite,
- 631 parenting education workshops, peer-supported groups, home visiting services, child
- 632 psychiatry and family therapy. The Service Users and Support (SUS) questionnaire will
- 633 be used to check what usual care services both groups of participants receive during the
- 634 trial.
- 635
- 636 Intervention Fidelity:
- 637 Adherence to the MTB intervention protocol will be achieved in close collaboration with
- 638 the Yale team (including the primary developers) in the following ways:
- 639 1) All participant contact will be guided by the written intervention manual
 640 as well as other training materials.
 641 2) All clinicians will receive extensive training in the MTB model via in
 642 person, taped, or videoconference training sessions led by the Yale MTB trainers.
 643 Yale MTB trainers include senior nurse and mental health supervisors and home
- 644 visitors.
- 645 3) All MTB practitioners will record detailed information regarding their
 646 direct and indirect contact with families.
- 647 4) In order to ensure that home visits adhere to the Yale MTB intervention
- 648 programme after each visit practitioners will complete a Home Visit Form. This
- 649 aims to describe the visits in detail and compare them with the US MTB
- 650 intervention home visits. In particular practitioners record the length, nature
- 651 and focus of the visit and the families' level of engagement. It also summarises
- 652 the focus of the visit, e.g., parenting, health, mental health etc., and the time
- 653 spent on each topic.

| 654 | 5) Specially trained supervisors will undertake model fidelity monitoring |
|-----|--|
| 655 | by random sampling of families at each site and discussing the outcomes with |
| 656 | the relevant sites at compliance visits. |
| 657 | 6) All practitioners' regular supervision by Yale trained local UK |
| 658 | supervisors. These specially trained supervisors meet monthly via phone with |
| 659 | the Yale MTB trainers. |
| 660 | 7) Regular disciplinary and interdisciplinary supervision will be provided |
| 661 | by special trained supervisors and the Yale MTB team (in addition to supervision |
| 662 | provided as usual by the practitioners' line managers). |

664 Participant Retention

665 Dropping out of treatment is common in prevention studies in the perinatal period $[\underline{76}]$. In one of the key studies of the Nurse-Family Partnership programme, active refusals to 666 667 participate in the trial ran at approximately 20% (with a further 20% passively dropping out by not responding to mailed invitations to participate), which is higher 668 than the estimates from the Yale pilot study [23]. However, it is notable that a much 669 670 smaller proportion refused to participate in the research evaluation once they had 671 agreed to randomization (3.8%). From the outset of the FNP study to the 2-year 672 outcome phase, a further 21% were lost to follow up. In the UK, the Family-Nurse 673 Partnership programme had an initial uptake rate of 83% of eligible families, and a later 674 drop-out rate of 15%. We thus aim to over-recruit by 15% to take attrition into account, 675 leading to initial intake target of N = 240, so that 100 per arm is achieved at the year 2 676 outcome point. An overview of participant timeline is presented in Table 1.

677

678 Data Management

The data will be collected by experienced research assistants who have been trained to work with high-risk populations. Necessary safe guarding policies will be in place to ensure the safety of the research assistant collecting the data. In particular, contact information of the assessment location will be left with another member of staff before leaving for the assessment. Regular contact with the RA will be maintained at the start and end of the assessment. In situations where an RA feels immediate danger RA's will be instructed to follow safe-guarding policies to call the police.

686 Regular supervision with the trial management team, coordinator and the Principal

687 Investigators will ensure the reliability of data collection. Where necessary the RAs will

688 be fully trained and certified in administering and coding research measures.

All coding will be supervised by the Principal Investigators. Where standardized coding

690 measures are required the RAs will undertake full training courses and complete

691 necessary reliability checks. The data will be coded by an RA who does not know the

692 family and will be blind to the subject status (intervention or control). Inter-rater

693 reliability will be established for all instruments.

Every week, questionnaire data collected the previous week will be coded, verified and

double-entered directly into secure web databases. Audio interviews will be transcribed

and video-taped material downloaded, any personal identifiable information will be

removed and the data stored on a secure server ready for coding. To check the reliability

of the process, 10% of the records will be randomly selected and will be reviewed,

699 coded and entered independently by research assistants for calculation of inter-rater

agreement rates. The databases will be compared and checked for errors before

transferring to an SPSS (v. 21.0) file for analysis.

702

703 Data transfer:

In the study, all participant data as outlined previously in this protocol will be collected in accordance with the participant consent form and participant information sheet. All participant data will be appropriately sent to Dr. David Wellsted for statistical analysis, and UCL will act as the data controller of such data for the study. Professor Pasco Fearon will be responsible for the processing, storage and disposal of all participant data in accordance with all applicable legal and regulatory requirements, including the Data Protection Act 1998 and any amendments thereto.

711 Data will be stored on a secure server dedicated exclusively to this project that has encrypted access. Only the research team will have access to the data and to information 712 713 identifying participants. Research data and personally identifying data will be stored in 714 separate, web-accessible, secure databases. All research data will be stored in locked 715 filing cabinets in each site. Consent forms will be stored separately from the research 716 data in locked filing cabinets in each site. Risks to subject confidentiality will be 717 minimized by adopting suitable data storage procedures in accordance with best 718 practice guidelines and in accordance with the Data Protection Act. Subjects will be 719 assigned ID numbers. The master ID list that links subject names with ID numbers will be kept on a highly secure password-protected server. All information concerning 720 721 allocation to condition (TAU or MTB) will be held securely by the randomisation centre. 722 Clinical records and other relevant clinical information regarding participants in the 723 MTB arm will be held by the NSPCC, following their standard governance protocols.

724

725 Data Analysis:

The primary outcome, maternal sensitivity, is an average of several ordinal scores, and

is typically found to be approximately normally distributed. The primary analysis will be

728 a regression analysis testing group differences in mean sensitivity at year 1, after

- 729 adjustment for baseline characteristics. Clustering by therapist and site will be allowed
- for by computing robust standard errors [77]. Continuously distributed secondary
- outcomes will be treated in the same manner. The risk of child protection intervention
- will be described using the Kaplan-Meier method and summarised by the proportions of
- children with child protection intervention over 2 years. The primary analysis for this
- outcome will be Cox regression, adjusting for key baseline characteristics.
- 735 Where there are missing data, we will be evaluated either by multiple imputation or a
- 736 sensitivity analysis determined by the pattern of missing data. In doing so, we will
- follow the procedures and guidance outlined by Sterne and colleagues [78].
- 738 Mediational analyses of change mechanisms (e.g. age 12-months maternal sensitivity
- mediating treatment effects on age 2 attachment) will be tested using bootstrap
- 740 methods described by MacKinnon and Dwyer[<u>79</u>] and Preacher and Hayes[<u>80</u>].

742 Additional Data Analysis:

743 Economic Evaluation:

- 744 We will conduct a cost effectiveness analysis of Minding the Baby[®](MTB) relative to the
- control condition from a broad societal perspective.
- 746 Cost information: We propose two elements to the cost component of the cost
- 747 effectiveness analysis:
- 1) Cost of MTB: this will include fixed costs associated with the resources required
- to run the service as well as variable costs associated with training, staffing and related
- consumables. We will calculate a bottom up costing of the service and calculate a
- 751 weighted cost per case based on the caseload of each practitioner.

752 2) Costs of the use of other resources: we will use a self -completed Service User 753 and Support (SUS) questionnaire to collect other health and social care and out of 754 pocket costs for clients in the MTB and the control group. The retrospective self-755 completed questionnaire will provide information on resources accessed during the last 756 6 months. The SUS will be completed at enrolment, 6 months after the baby is born by 757 telephone and at each outcome assessment (infant age 1 and 2). Resource use will be 758 costed using Personal Social Services Unit (PSSRU) and national datasets wherever 759 possible.

We will provide summary statistics of the costs for the MTB and control group as well as
a comparison of the total cost per patient to society of MTB compared to controls for the
duration of the study.

763 Incremental cost effectiveness ratio (ICER): The incremental cost effectiveness ratio 764 (ICER) is the mean cost per mother/child in the intervention minus the mean cost per 765 patient the control group divided by the mean incremental gain per mother/child in 766 outcomes from the intervention compared to controls. If an intervention has a lower 767 cost to society and better outcomes it is considered dominant and likely to be adopted 768 by a decision maker if the evidence is satisfactory. If the intervention has higher cost to 769 society but is associated with better outcomes the decision maker needs adequate 770 information to determine if they are willing to pay the additional cost per outcome 771 gained.

We propose calculating a number of ICERs for MTB compared to controls and proposeusing the following outcomes in the denominator of the ICER for different analyses:

• Maternal sensitivity

• Infant QoL using the Warwick Child Health and Morbidity Profile[<u>81</u>, <u>20</u>].

Parental QoL using the EQ-5D, which is a brief questionnaire that measures
generic health related quality of life from the patient's point of view. EQ – 5D scores can
be converted to preference based utility scores that can be used to calculate quality
adjusted life years (QALYs) for use in cost effectiveness analyses using an algorithm
developed by Dolan [70].

781 • Mother-infant attachment

782 As the ICER does not easily allow for normal statistical tests we will use bootstrapping 783 methods, replications of the statistic of interest by sampling with replacement from the 784 original data, to calculate the confidence interval for the ICER. We will also use this data 785 and the net-monetary benefit approach to calculate the probability that MTB is cost 786 effective compared to the control group for a number of values of willingness to pay per 787 gain in outcome or the cost effectiveness acceptability curve (CEAC)[19]. This provides 788 more information to decision makers to help them decide if the outcomes achieved as a 789 result of the intervention are worth the additional cost.

790 Lifetime Model: Poor parent-child relationships, child abuse and neglect can have long 791 term negative impacts on children, their families and society. Poor parenting has 792 repeatedly been identified as being associated with antisocial behaviour and severe 793 behavioural problems [22, 23]. A long-term follow-up study of children with conduct 794 disorder suggested that the cost of unresolved conduct disorders can exceed £1 million 795 over an individual's lifetime [2]. There are obviously further costs and benefits to realise 796 as a result of preventing each case of child abuse and neglect. The ICERs proposed above 797 do not capture the full lifetime costs and outcomes that may be realised as a result of 798 MTB. As part of the project, we would therefore aim to investigate developing a decision 799 analytical model that uses information available from the evaluation as well as 800 published data sources to determine the cost-effectiveness of MTB over the lifetime of 801 the children.

803 Data Monitoring:

- 804 Data Monitoring
- 805 The Trial Steering Committee will take the role of monitoring trial safety and data
- 806 monitoring. The statistician will review the data on an on-going basis, including any
- 807 adverse event records, and report this Trial Steering Committee (TST). Detailed reports
- 808 will be prepared by the statistician for the TST to monitor safety/adverse event data,
- 809 recruitment and drop-out rates. The formal statistical interim analysis of the primary
- 810 outcome will be reported to the Trial Steering Committee after the end of the first
- 811 outcome phase.
- 812 Trial Steering Committee
- A Trial Steering Committee will be used to monitor the progress of the project and
- advise the research team on matters arising during subsequent phases of the study. The
- TSC will meet 6-monthly and perhaps more regularly during the preparatory and final
- 816 stages of the formal evaluation. The group will be made up of representatives from the
- 817 NSPCC, researchers, a statistician, service users and /or carers, and representatives of
- 818 professional/ provider organisations, including a link person from at least two local
- 819 clinical teams.
- 820

821 **Ethical Considerations**:

- 822 This trial has received a multi-site ethics approval from the NHS Health Research
- 823 Authority (NRES) Research Ethics Committee (London-Dulwich, the United Kingdom)
- 824 (REC reference: 13/LO/1651; IRAS project ID: 135643; protocol version 6.0,
- 11/01/2016). R&D approval is in place at all three sites. A formal amendment is needed

for any modification of the protocol and requires approval by the NHS REC as well as thelocal R&D offices approval.

828

829 Discussion

830 The study protocol presented in this paper explains how Minding the Baby[®] a 831 programme aimed to support young vulnerable first-time parents with their baby, will 832 be evaluated in a randomized trial in the UK. A key feature of this approach is the way in 833 which it combines health input from community nurses with mental health input from 834 social workers. Another key feature is the explicit focus on promoting sensitivity of 835 parenting, and a model of change based on the assumption, supported by developmental 836 research, that parental reflective functioning is critical in promoting sensitive and 837 attuned interactions between mother and infant. The trial represents the first UK study of Minding the Baby[®]. 838

839 Minding the Baby[®] programme was developed at Yale University where a pilot trial 840 produced encouraging results [1]. Positive outcomes emerged in relation to attachment 841 as well as health and mental health outcomes. In particular, infants allocated to Minding 842 the Baby group showed higher rates of secure attachment, and mothers showed 843 improvements in maternal reflective functioning as well as positive health outcomes 844 compared to the control group. Crucially, these outcomes appeared to be lasting as 845 benefits continued to be observed when the children were seen at ages of 3 and 5. 846 We predict similar outcomes will emerge from this intervention in the UK. In particular 847 mothers randomised to Minding the Baby group, compared to the mothers in the TAU

group, are expected to show higher observed sensitivity as well as more secure

attachment. Findings will be published in scientific journals, shared with stakeholders

and will inform child and maternal health policy. The study will have important

implications for how the delivery of early intervention to families who are potentially at
risk, especially during the crucial first months and years of life, from pregnancy to age 2.

853

854 Trial status

855 Recruiting of expectant mothers started in April 2014, and we are still recruiting.

856

857 List of abbreviations

- 858 MTB: Minding the Baby[®]; NSPCC: National Society for the Prevention of Cruelty to
- 859 Children; RF: Reflective Functioning; PTSD: Post-Traumatic Stress Disorder; NFP: Nurse
- 860 Family Partnership; DMEC: Data Management and Ethics Committee; CAMHS: Child and
- Adolescent mental Health Services; ICER: Incremental Cost Effectiveness Ratio; CEAC:
- 862 Cost Effectiveness Acceptability Curve; NRES: National Research Ethics Services; EPDS:
- 863 Edinburgh Postnatal Depression Scale; STAI: Spielberger State-Trait Anxiety
- questionnaire; EQ-5D EuroQol EQ-5D 3 level ; NSSQ: Norbeck Social Support
- 865 Questionnaire; SUS: Service Use and Support Questionnaire; TEQ: Treatment Experience
- 866 Questionnaire; MSM: Maternal Sense of Mastery; PSI : Parenting Stress Index; IBQ-R:
- 867 Infant Behaviour Questionnaire Revised; CBCL: Child Behaviour Check List.

868

869 **Declarations**

870 Ethical approval and Consent to participate

- 871 This study was approved by the NHS Health Research Authority (NRES) Research Ethics
- 872 Committee (London-Dulwich, the United Kingdom) (REC reference: 13/L0/1651; IRAS
- project ID: 135643). The study was approved also at each site by the following R&D

| 874 | offices: Learning and Research Centre, York Teaching Hospital NHS Foundation; Clinical |
|--|--|
| 875 | Research Office, Sheffield Teaching Hospitals NHS Foundation Trust; Research and |
| 876 | Development, West Glasgow Ambulatory Care Hospital. Informed written consent will |
| 877 | be obtained from all participants. |
| 878 | |
| 879 | Consent to Publish |
| 880 | Not Applicable |
| 881 | |
| 882 | Availability of supporting data |
| 883 | Not Applicable |
| 884 | |
| | |
| 885 | Funding |
| 885 886 | <i>Funding</i> This study is supported by a grant awarded from the National Society for the Prevention |
| | |
| 886 | This study is supported by a grant awarded from the National Society for the Prevention |
| 886 887 | This study is supported by a grant awarded from the National Society for the Prevention |
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| 886 887 888 888 | This study is supported by a grant awarded from the National Society for the Prevention of Cruelty to Children (NSPCC: contract number: 20130116). Competing Interests |
| 886 887 888 889 890 | This study is supported by a grant awarded from the National Society for the Prevention of Cruelty to Children (NSPCC: contract number: 20130116). Competing Interests |
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895 PF participated in the study design and revised the manuscript. LM conceived the study 896 and obtained the funding. RH contributed to the cost-effectiveness work. DW performed 897 the statistical analysis. KM participated in recruitment and data collection. SCT participated in the set up of the study and preparation of the manuscript. GR and RC 898 899 were responsible for managing the clinical delivery of the intervention, and the 900 coordination of the research with the clinical teams. PF participated in the preparation 901 of the manuscript. RMPF is the Principal investigator of the project, conceived and 902 designed the trial, obtained the funding, and supervised the implementation of the study 903 and prepared the manuscript. All authors read and approved the final manuscript.

904

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915

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1130 Figure Legends

- 1131 Figure 1. Flow diagram of the study design
- 1132
- 1133 Additional files
- 1134 Additional file 1: The SPIRIT checklist (DOC 126 KB)
- 1135
- 1136 Table 1
- 1137 Time requirement per participant:

| | | | Study Period | | | |
|------------------------------|------------------|----------|--------------|-----------|--------------|--------|
| | | | | Post Allo | ocation | |
| TIMEPOINT | Pre- Baseline | Baseline | 6 Month | Year 1 | 18 months | Year 2 |
| RECRUITMENT: | | | | | | |
| Eligibility screen | X | | | | | |
| Informed consent | X | | | | | |
| Allocation | | Х | | | | |
| RESEARCH ASSESMENT: | | | | | | |
| Questionnaires | | Х | X | X | X | Х |
| Reflective Functioning | | | | Х | | |
| Maternal sensitivity | | | | X | | X |
| Developmental Assessment | | | | | | Х |
| Attachment Classification | | | | X | | Х |
| Overall time involvement | 15 mins | 1hr | 15 mins | 2hrs | 15 mins | 2hrs |

1140 Table 2

1141 Outcome Measures: Description and validity of Measures as well as time points of their

1142 administration.

| Outcome Measures | Description of and Validity of Measures | Time points | | | |
|--|--|--|--|--|--|
| Primary Outcomes | | | | | |
| Maternal Sensitivity | Emotional Availability Scales (EA). Observation of behaviours. Score 6 dimensions on a 1 to 7 scale. Validated for international use [82] | Year 1 and Year 2 | | | |
| <mark>Secondary</mark> Outcomes | | | | | |
| <mark>Child Attachment</mark> security | Attachment Q-Set (Q-Set). Observation of behaviours. Score on a continuum of secure to insecure. Good convergent and discriminate validity [<u>42</u>] | <mark>Year 2</mark> | | | |
| Child Cognitive and Language development | Bayley Scales of Infant and Toddler Development Scales, third edition (Bayley-III). Individual administration. Continuous Scales produce scores. Validated for UK and Ireland use [<u>45</u>] | Year 2 | | | |
| <mark>Behavioural</mark> problems | Child Behaviour Checklist (CBCL).100-item questionnaire. Responses are on a scale 0 to 2. Validated for international use [<u>47</u>] | Year 2 | | | |
| Postponed child bearing | Mother asked about her pregnancy status. Number of months from baseline to the next pregnancy used for analyses. Extensive use with similar studies (e.g. [1]) | <mark>6 month, Year</mark> 1, 18 month and Year 2 | | | |
| <mark>Maternal mental</mark> health | Edinburgh Post-Natal Depression (EPDS).10-item questionnaire. Responses are on a scale 0 to 3. Validated measure of depression [49] | Baseline, Year 1 and Year 2 | | | |
| Child Quality of Life | Warwick Child Health and Morbidity Profile (WCHMP). 10-items survey. An incremental cost effectiveness ratio (ICER) calculated Validated with low inter-observer variation [51] | <mark>Year 1 and</mark> Year 2 | | | |
| Health and social care resource use | Service Use and Support (SUS). 36 item questionnaire. Cost of services calculated with Personal Social Services Unit (PSSRU). Extensive use in clinical studies (e.g., [83]) | Baseline, 6 month, Year 1, 18 month, and Year 2 | | | |

| <mark>Measurement of</mark> temperament | Infant Behaviour Questionnaire Revised (IBQ-R). 37 item questionnaire. Responses are on a scale 1 to 7. Good internal consistency reliability and convergent validity [<u>54</u>] | Year 1 |
|---|--|-----------------------------------|
| Sensitivity Scale | Maternal and paternal sense of mastery (MSM). 7- item questionnaire. Responses are on a 7=item scale (agreement to disagreement). Extensive use with similar sample of young women [55] | Baseline, Year 1 and Year 2 |
| Social support | Norbeck Social Support questionnaire (NSSQ). 9-item questionnaire. Responses are on a scale 0 to 4. Validity and reliability on all measures [59] | Baseline, Year 1 and Year 2 |
| Infant Health outcome | Health records reviewed at the end of the study and data collected on different issues, including hospitalisation and Social Services referrals. Extensive use with similar studies (e.g. [1]) | <mark>Year 1 and</mark> Year 2 |
| <mark>Parental</mark> representation of their child | Parent Development Interview (PDI). 20-item interview. Scores are on a scale 1 to 9. Validity shows links to adult attachment, and child attachment [34, 30, 61, 62] | <mark>Year 1</mark> |
| Stress within the parenting role | Parental Stress Inventory Short Form (PSI-SF). 36- item questionnaire. Responses are on a 5-point scale (agreement to disagreement). Short forms show concurrent validity with the full length PSI [65] | <mark>Year 1 and</mark> Year 2 |
| PTSD Checklist Civilian | Post Traumatic Stress Disorder (PCL-5). 20-item questionnaire Responses are on a scale 0 to 4. PCL-5 has good psychometric properties [67] | Baseline, Year 1 and Year 2 |
| <mark>State and trait</mark> anxiety | State-Trait Anxiety Inventory (STAI). 40-item questionnaire. Responses are on a 0 to 4 scale. Strong construct and concurrent validity [<u>69</u> , <u>68</u>] | Baseline, Year 1 and Year 2 |
| Adult Quality of Life (QoL) | EuroQol EQ-5D 3 level (EQ-5D) 6-item questionnaire. Responses are on 0 to 2 scale. Extensive use for similar study (e.g. [84, 85]) | Baseline, Year 1 and Year 2 |
| Treatment experience | Treatment Experience Questionnaire (TEQ). 15-item questionnaire. Responses are on a 5-point scale. Based on questionnaires used in similar studies [71] | <mark>Year 1 and</mark> Year 2 |