

# *Evaluation of 2-acetyl-1-pyrroline in foods, with an emphasis on rice flavour*

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1 Evaluation of 2-acetyl-1-pyrroline in foods, with an emphasis on rice  
2 flavour

3 Xuan Wei<sup>a</sup>, Dody D. Handoko<sup>b</sup>, Leela Pather<sup>a</sup>, Lisa Methven<sup>a</sup>, J. Stephen Elmore<sup>a\*</sup>

4 <sup>a</sup> Department of Food and Nutritional Sciences, University of Reading, Whiteknights,  
5 Reading RG6 6AP, UK

6 <sup>b</sup> Indonesian Centre for Rice Research, Cikampek, Subang 41256, West Java, Indonesia

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8 \* Corresponding author. Tel.: +44 118 3787455; fax: +44 118 3787708.

9 E-mail address: [j.s.elmore@reading.ac.uk](mailto:j.s.elmore@reading.ac.uk) (J.S. Elmore).

10

11        **ABSTRACT**

12        The popcorn-like aroma compound 2-acetyl-1-pyrroline (2-AP) is a key contributor to the  
13        desirable aroma of fragrant rice and is also important in the aroma of other foods, such as  
14        pandan leaf, popcorn and Mediterranean sausage. It can be formed enzymatically in the rice  
15        grain as it grows and is also formed, as part of the Maillard reaction, when rice is heated. This  
16        review examines the formation of 2-AP in rice and other foods, particularly its formation  
17        during cooking, focusing on the importance of the Maillard reaction between reducing sugar  
18        breakdown products and 1-pyrroline derived from the amino acids proline and ornithine. The  
19        synthesis of 2-AP is discussed alongside the attempts that have been made to stabilise this  
20        relatively unstable compound. The analysis of 2-AP by instrumental techniques, particularly  
21        gas chromatography-mass spectrometry and gas chromatography-olfactometry, alongside the  
22        use of sensory studies, is also discussed.

23

24        Keywords: 2-acetyl-1-pyrroline, 2-AP, flavour, rice, pandan, popcorn, Maillard reaction,  
25        biosynthesis, analysis

26

## 27 **1. Introduction**

28 The IUPAC name of 2-acetyl-1-pyrroline (2-AP) is 1-(3,4-dihydro-2*H*-pyrrol-5-  
29 yl)ethanone, its CAS number is 85213-22-5 and its FEMA (Flavor and Extract  
30 Manufacturers Association) number is 4249. 2-AP was first identified in rice by  
31 Buttery, Ling, and Juliano (1982), and is regarded as the most important aroma  
32 compound in rice, especially fragrant rice (Buttery, Ling, Juliano, & Turnbaugh,  
33 1983). In that study, 0.05 ppm 2-AP was described as popcorn-like and its odour  
34 threshold in water was measured as 0.1 nL/L, while its odour threshold in air was  
35 reported by Schieberle (1991) as 0.02 ng/L; this very low threshold makes it an  
36 important contributor to a food's aroma when present. As well as rice, it is also a key  
37 flavour compound in many cereal products, as well as some vegetable and animal  
38 products (Adams & De Kimpe, 2006; Wakte, Zanan, Hinge, Khandagale, Nadaf, &  
39 Henry, 2016).

40 Bioformation of 2-acetyl-1-pyrroline in both plants and microorganisms has been  
41 studied and several types of bacteria are able to form this compound (see Part 3 of this  
42 review). 2-Acetyl-1-pyrroline has also been shown to form in the Maillard reaction; it  
43 can be formed from the reaction between proline and reducing sugars/sugar  
44 degradation products upon heating (Schieberle, 1989).

45 Although there is a high commercial interest in 2-AP because of its desirable  
46 sensory attributes, the instability of this compound is a significant problem for its  
47 commercial application. Pure 2-AP will turn red and degrade within 10 minutes at

48 room temperature (Fang & Cadwallader, 2014), and there is significant short-term  
49 reduction of 2-AP concentration in food products, such as popcorn (Schieberle, 1995)  
50 and raw fragrant rice (Widjaja, Craske, & Wootton, 1996a).

51 The occurrence of 2-acetyl-1-pyrroline in food products, its bioformation and  
52 thermal formation, synthesis, stabilisation, analysis and sensory evaluation will be  
53 reviewed in this paper, with particular emphasis on the role of 2-AP in fragrant rice  
54 aroma.

55

## 56 **2. Food sources of 2-acetyl-1-pyrroline**

### 57 *2.1. Rice*

58 Non-fragrant rice (long and medium grain *indicas* and short grain *japonicas*),  
59 mainly grown in USA, Vietnam, Thailand and Australia, constitutes around 80% of  
60 the world rice trade (Singh, Singh, & Khush, 2000). Major producers of fragrant rice  
61 are India, Pakistan and Thailand. Most of the fragrant rice exported from India and  
62 Pakistan is basmati, while fragrant jasmine rice is a major export of Thailand (Singh  
63 et al., 2000). In 2010, Thailand was the biggest exporter of fragrant rice: 2.65 million  
64 tonnes of jasmine rice were exported, followed by India (1.80 million tonnes basmati)  
65 and Pakistan (1.05 million tonnes basmati) (Slayton & Muniroth, 2011).

66 The price of fragrant rice is much higher than that of non-fragrant rice. For  
67 example, high-quality fragrant basmati rice has a three times higher price than high  
68 quality non-fragrant rice. The commercial value of fragrant rice is higher than that of

69 non-fragrant rice, partly because fragrant rice varieties are relatively low yielding.  
70 Fragrant rice is less resistant to disease and insect pests and is prone to high shedding,  
71 leading to losses in yield (Berner & Hoff, 1986; Golam et al., 2011). It has been  
72 shown that higher quality grains with stronger aromas are generated in crops grown in  
73 drought and saline conditions (Yoshihashi, Nguyen, & Kabaki, 2004). These adverse  
74 conditions do not favour high yields.

75 2-AP is the key discriminator between fragrant and non-fragrant rice and many  
76 studies have focused on the concentration of 2-AP in different rice cultivars. 2-AP  
77 concentrations in different fragrant cultivars vary substantially (Table 1). For example,  
78 2-AP was present in milled Fowler Gourmet Aromatic rice (a US-grown aromatic  
79 rice) at 999  $\mu\text{g}/\text{kg}$ , while, in a set of five basmati samples, levels of 2-AP from 19  
80  $\mu\text{g}/\text{kg}$  to 342  $\mu\text{g}/\text{kg}$  were measured (Bergman, Delgado, Bryant, Grimm, Cadwallader,  
81 & Webb, 2000).

82 Milled rice (commonly referred to as white rice) is obtained from the milling of  
83 brown rice to remove the outer bran layer. Whole rice grains are dehulled; then the  
84 dehulled (brown) rice is milled twice. Generally, 20–22% of the rice grain is hull, and  
85 another 8–10% is bran and embryo; therefore, the yield of milled rice is around 70%  
86 (Singh et al., 2000). As can be seen in Table 1, in most cases more 2-AP is present in  
87 brown rice compared to milled rice.

88 Caution should be applied when comparing data acquired by different authors. In  
89 some cases 2-AP was measured in uncooked rice (e.g., Hopfer, Jodari, Negre-  
90 Zakharov, Wylie, & Ebeler, 2016), while in other cases the rice was cooked before

91 analysis (e.g., Widjaja et al., 1996a; Widjaja, Craske, & Wootton, 1996b) and even  
92 during analysis (e.g., Buttery et al., 1983). The effect of sample preparation on 2-AP  
93 content in rice is covered in more detail in Part 7 of this review.

94 Soil and climate conditions during cropping can also influence 2-AP concentration  
95 in rice cultivars. During cultivation, a dry climate or sandy soil with low moisture  
96 retention can induce the fragrant rice cultivar Khao Dawk Mali 105 to produce more  
97 2-AP (Yoshihashi et al., 2004). It appears that moisture during cultivation could be  
98 one of the most important factors affecting 2-AP formation when rice grows.

99 Due to the instability of 2-AP, drying and storage of rice can also influence the 2-  
100 AP content of the final product (Wongpornchai et al., 2004). The unstable nature of 2-  
101 AP will be discussed in detail in Part 6 of this review.

## 102 *2.2. Pandan*

103 2-AP is an important component of pandan leaf; the aroma of 2-AP is often  
104 described as pandan-like. Pandan plays an important role in south-east Asian cookery.  
105 The leaf of this plant is often boiled with rice to enhance flavour. When boiled with  
106 non-fragrant rice, it can provide the popcorn-like flavour associated with boiled  
107 fragrant rice, allowing cheap non-fragrant cultivars to possess similar aroma to higher  
108 value fragrant rice cultivars (Peter, 2006). The treatment of pandan leaf can affect 2-  
109 AP content. The fresh or slightly withered leaf is normally torn into strips, tied in a  
110 bunch and then boiled together with rice. The pandan leaves are removed from the  
111 rice after cooking.



112 The concentration of 2-AP in pandan leaves ranges from  $40 \pm 10$  to  $450 \pm 10$   
113  $\mu\text{g}/\text{kg}$  (Yahya, Lu, Santos, Fryer, & Bakalis, 2010). Dried and ground pandan leaves  
114 were extracted in this study. However, those treatments disrupted the papillae  
115 structure in epidermal cells on the surface of the pandan leaves. 2-AP is contained in  
116 the papillae; therefore, a proportion of 2-AP is lost during drying and grinding.

### 117 *2.3. Cereal products*

118 2-Acetyl-1-pyrroline has also been detected in cooked cereal-based products.  
119 Wheat bread crusts contain around  $75 \mu\text{g}/\text{kg}$  2-AP compared to  $1\text{--}4 \mu\text{g}/\text{kg}$  in  
120 sourdough processed rye bread (Schieberle & Grosch, 1987). Popcorn-like aroma  
121 compound 2-AP is, unsurprisingly, present in popcorn. However, in popcorn 2-  
122 acetyltetrahydropyridine and 2-propionyl-1-pyrroline also contribute roasty and  
123 popcorn-like flavour. The alkyl side chains of those compounds are short; only one or  
124 two carbon atoms length. In contrast, 2-butanoyl-1-pyrroline and 2-hexanoyl-1-  
125 pyrroline, compounds with similar structure but with longer alkyl side-chains, do not  
126 possess roasty or popcorn-like aroma (Schieberle, 1991).

127 2-AP was also identified in a cereal coffee brew at  $8 \mu\text{g}/\text{L}$  and contributed intense  
128 popcorn-like odour attributes when analysed by gas chromatography-olfactometry  
129 (Majcher, Klensporf-Pawlik, Dziadas, & Jeleń, 2013). The cereal coffee was a roasted  
130 mixture of 40% barley, 25% rye, 25% chicory, and 10% sugar beet.

### 131 *2.4. Other foods*

132 2-AP has also been detected in non-cereal-based food. A high concentration of 2-

133 AP of up to 750 µg/kg was found on the surface of Mediterranean dried sausages,  
134 while values at the core were up to 100 µg/kg. *Penicillium nalgiovense*, the dominant  
135 mould species present, was shown to synthesise 2-AP during sausage processing  
136 (Stahnke, 2000). Using gas chromatography-olfactometry (GC-O), Blank, Devaud,  
137 Fay, Cerny, Steiner, and Zurbriggen (2001) identified 2-AP as a key contributor to the  
138 aromas of both Parma ham and Italian-type salami. They described the compound as  
139 it eluted from the GC column as having a ‘roasty’ aroma in the Parma ham and a  
140 ‘roasty, popcorn’ aroma in the salami.

141 2-AP was also isolated in Manuka honey at concentrations of 80–450 µg/kg. It  
142 was formed from methylglyoxal, which is responsible for the antibacterial activity in  
143 Manuka honey. Reaction of methylglyoxal with proline through the Strecker reaction  
144 can form 2-AP (Ruckriemen, Schwarzenbolz, Adam, & Henle, 2015). In addition, 2-  
145 AP was also isolated from two kinds of cooked edible fungus: huitlacoche and austern  
146 pilzen (Lizarraga-Guerra, Guth, & Lopez, 1997), but the compound was mistakenly  
147 identified as 2-acetyl-2-pyrroline (Adams & De Kimpe, 2006). The importance of 2-  
148 AP in mushroom (*Agaricus bisporus*) aroma increased significantly as a result of pan-  
149 frying (Grosshauser & Schieberle, 2013), its concentration rising from 0.4 to 5.3  
150 µg/kg. Similarly, 2-AP was also detected in both raw and roasted hazelnuts; a  
151 significant increase of 2-AP concentration was observed, from trace levels (< 3 µg/kg)  
152 to 85 µg/kg, when hazelnuts were roasted (Kiefl, Pollner, & Schieberle, 2013).

153 2-AP may not always make a positive contribution to food aroma. An undesirable  
154 ‘mousy’ flavour in wetted raw pearl millet grits was attributed to 2-AP. Although 2-

155 AP concentration was not quantified in this study, it was implied that there was a  
156 higher concentration of 2-AP in millet than in rice, which was reflected in the  
157 difference in their odour quality (Seitz, Wright, Waniska, & Rooney, 1993).

158 2-AP has been identified and quantified in many food products. Table 2 shows  
159 those foods other than rice where 2-AP has been quantified. Even at a very low  
160 concentration, such as 3 µg/kg in milk chocolate (Liu, et al., 2015), this compound  
161 can still be considered a key odorant. In a recent review, a comprehensive list of food  
162 sources of 2-AP was provided, which included fruit and vegetables, fungi, cooked  
163 meat and fish, dairy and egg products (Wakte et al., 2016).

#### 164 *2.5. 2-AP as a flavouring*

165 Several patents have suggested that 2-AP could be applied as a food flavouring. A  
166 food coating with a content of at least 40 ppb 2-AP, made from fragrant rice, was  
167 applied to increase popcorn odour in several products (Richard, 2001). In distilled  
168 alcoholic beverages, 0.2 to 200 ppb 2-AP contributed to a fragrant rice flavour (Asano  
169 et al., 2000). 2-AP was included in GRAS 22 (Smith et al., 2005) and the average and  
170 maximum levels for its addition to various food products have been summarised  
171 (Adams & De Kimpe, 2006).

172

### 173 **3. Biological formation of 2-acetyl-1-pyrroline**

#### 174 *3.1. Fragrant rice*

175 It was originally thought that 2-AP was only produced during the cooking of rice

176 *via* the Maillard reaction (Buttery et al., 1982). However, further research has shown  
177 that 2-AP is produced by the rice plant, and is detected in the majority of plant tissues  
178 (Sakthivel, Sundaram, Rani, Balachandran, & Neeraja, 2009; Sood & Siddiq, 1978;  
179 Yoshihashi, Huong, & Inatomi, 2002). It is now generally accepted that although  
180 some 2-AP in rice is produced during cooking, 2-AP is predominantly biosynthesised  
181 in rice.

182 Yoshihashi (2002) reported that 2-AP cannot be formed during the cooking of  
183 fragrant rice (when heated with or without water at 90 °C for 8, 10, 12, 14 min, the  
184 concentration of 2-AP showed a slight decrease), nor in postharvest processes like  
185 drying and storage. It can only be formed in the aerial parts of plants during growing  
186 in paddy fields. In a later paper by the same author, excised callus (cells covering a  
187 plant wound) and seedlings were floated on labelled amino acid (200 ppm <sup>15</sup>N-  
188 glycine, <sup>15</sup>N-L-proline or 1-<sup>13</sup>C-L-proline; pH 5.5) solutions. After incubation at 27 °C  
189 in darkness for 8 hours, increasing 2-AP concentrations were detected. Results  
190 showed clearly that the labelled derivative was only found in seeding and callus  
191 incubated with <sup>15</sup>N-L-proline. This result indicated that one of the precursors in 2-AP  
192 biosynthesis could be proline but not glycine and that the nitrogen source of 2-AP is  
193 proline. On the other hand, because no labelled derivative was found in the 1-<sup>13</sup>C-L-  
194 proline sample, the acetyl group in 2-AP could not be provided by proline  
195 (Yoshihashi, Huong, & Inatomi, 2002).

196 It appears that moisture during cultivation could be one of the most important  
197 factors affecting 2-AP formation when rice grows. 2-AP concentrations in fragrant

198 rice Khao Dawk Mali 105 from the Tung Kula Rong Hai region in north-east  
199 Thailand, where there is a drought-prone climate with sandy soil, were much higher  
200 than in the same rice grown in other areas of Thailand. Rice samples planted in clay  
201 soil can retain moisture during growth, resulting in lower 2-AP concentrations than  
202 those grown in sandy soil (Yoshihashi et al., 2004). Numerous studies have shown  
203 that proline accumulation occurs in higher plants due to different environmental  
204 stresses, such as drought, high salinity, high light and UV irradiation, heavy metals,  
205 oxidative stress and in response to biotic stresses (Szabados & Savouré, 2010). For  
206 example, Rhodes, Handa, and Bressan (1986) showed that proline will accumulate in  
207 water-deficient plant cells through the glutamate pathway. In this study, tomato cells  
208 adapted to water stress induced with polyethylene glycol (PEG); a tenfold increase of  
209 proline synthesis was observed in the water-stressed cells. This research and that of  
210 Yoshihashi et al. (2004) suggest that more 2-AP will be synthesised when rice is  
211 grown in a dry climate, due to increased accumulation of its precursor proline.

212 In addition, a cool climate and early harvest could increase 2-AP concentration in  
213 fragrant rice varieties. Between 1992 and 1994, three brown fragrant rice cultivars  
214 from Japan (Hieri, Miyakaori and Sari Queen) were harvested once a year and their 2-  
215 AP concentration was analysed. It was found that 2-AP was higher in rice crops  
216 exposed to low temperature (day 25 °C/ night 20 °C) than high (day 35 °C/night  
217 30 °C) or moderate temperature (day 30 °C/night 25 °C). In addition, from results  
218 across three years, 2-AP concentrations of early harvest samples were higher than  
219 samples harvested at normal time (Itani, Tamaki, Hayata, Fushimi, & Hashizume,

220 2004).

221 In a recent study, a partial least squares model was built, based on planting and  
222 harvesting conditions, that could predict 2-AP concentrations in Thai Jasmine  
223 Pathumthani 1 rice (Funsueb, Krongchai, Mahatheeranont, & Kittiwachana, 2016).  
224 The status of the rice plants was recorded during cultivation and after harvest, such as  
225 number of tillers (grain-bearing branches), plant height, root length, number of grains  
226 per plant and grain weight. Nitrogen and sodium concentrations, rice yield, shoot dry  
227 weight and number of tillers per plant all had significant influences on 2-AP  
228 concentration.

229 There are two mechanisms proposed for the accumulation of 2-AP in mature  
230 grains. In the first 2-AP is synthesised in leaves and stem sheaths and transported to  
231 mature grains, while in the second proline translocates from leaves into grains and 2-  
232 AP synthesis occurs in grains. Hinge, Patil, and Nadaf (2016) showed maximum 2-AP  
233 concentrations in mature grains, with less proline in the grain at that time than at other  
234 developmental stages. These results suggested that the first mechanism was more  
235 likely in the fragrant rice cultivars they were studying (Ambemohar-157 and Basmati-  
236 370).

237 The gene *BADH2* encodes an enzyme, betaine aldehyde hydrogenase (BADH2)  
238 (Bradbury, Fitzgerald, Henry, Jin, & Waters, 2005), which catalyses the oxidation of  
239 4-aminobutanal to 4-aminobutanoic acid (GABA). 4-Aminobutanal is a high affinity  
240 substrate for the BADH2 enzyme (Oishi & Ebina, 2005; Trossat, Rathinasabapathi, &  
241 Hanson, 1997). In solution 4-aminobutanal exists in equilibrium with its cyclic form,

242 1-pyrroline (Struve & Christophersen 2003), a 2-AP precursor. Hence the oxidation of  
243 4-aminobutyraldehyde reduces the potential for 2-AP synthesis (Kovach, Calingacion,  
244 Fitzgerald, & McCouch, 2009). Bradbury et al. (2005) identified a mutated version of  
245 the *BADH2* gene as being responsible for determining fragrance in rice, which has  
246 since been confirmed (Arikrit et al., 2011; Fitzgerald, Waters, Brools, & Henry, 2010;  
247 Kovach et al., 2009; Siddiq, Vemireddy, & Nagaraju, 2012). The mutated *BADH2*  
248 gene incurs a deletion of eight base pairs in exon 7, leading to early gene termination  
249 and production of a truncated non-functional BADH2 enzyme (Bradbury et al., 2005).  
250 Non-fragrant rice cultivars contain the *BADH2* gene and hence a functional BADH2  
251 enzyme; whereas fragrant cultivars have the mutated *BADH2* gene and so produce a  
252 non-functional enzyme (Bradbury, Gillies, Brusheet, Waters, & Henry, 2008). This  
253 non-functional enzyme will not be able to oxidise 4-aminobutyraldehyde, leading to a  
254 build-up of 1-pyrroline and hence increased 2-AP synthesis. Recent studies have  
255 shown that there are various other mutations in the *BADH2* gene that may also lead to  
256 increased 2-AP production, such as a deletion of seven base pairs in exon 2  
257 (Amarawathi, Singh, Singh, Singh, Mohaoatra, & Sharma, 2008; He & Park, 2015).  
258 Similar biosynthetic pathways for the formation of 2-AP have been reported in soy  
259 beans (Arikrit et al., 2011) and sorghum (Zanan, Khandagale, Hinge, Elangovan,  
260 Henry, & Nadaf 2016).

261 Another biosynthetic pathway of 2-AP was proposed by Huang, Teng, Chang,  
262 Chuang, Ho, and Wu (2008) that did not involve BADH2. Higher levels of pyrroline-  
263 5-carboxylate synthase enzyme, and hence increased conversion of glutamate to 1-

264 pyrroline-5-carboxylate, occurred in fragrant cultivars, in comparison to non-fragrant  
265 cultivars. It was suggested that the 1-pyrroline-5-carboxylate undergoes a reaction  
266 with methylglyoxal, giving rise to 2-AP, either directly or *via* degradation to 1-  
267 pyrroline.

268 Although very different (a comparison can be seen in Figure 1), both pathways  
269 could require 1-pyrroline in order to produce 2-AP. 1-Pyrroline has been shown to be  
270 a limiting substrate of the biosynthesis of 2-AP in a recent study, where both fragrant  
271 and non-fragrant rice calli were incubated with 1-pyrroline. In both cases, a  
272 significant increase in 2-AP production was observed, proving 1-pyrroline to be a key  
273 intermediate of 2-AP biosynthesis (Poonlaphdecha et al., 2016).

### 274 *3.2. Formation of 2-AP by microorganisms*

275 Microorganisms could also play an important role in 2-AP formation. During  
276 cocoa bean fermentation, yeasts, lactic acid, acetic acid, and various spore-forming  
277 bacteria, such as *Bacillus cereus*, are involved in the flavour-forming reactions. Some  
278 *Bacillus cereus* strains produce popcorn-like notes and 2-AP was produced by several  
279 of these strains incubated on standard plate count agar at 35 °C; 30–75 µg/kg 2-AP  
280 was produced after 2 days. A series of <sup>13</sup>C and <sup>15</sup>N experiments showed that 2-AP  
281 could be formed from glucose as carbon source, and glutamic acid and proline as  
282 nitrogen sources, through *Bacillus cereus* metabolism (Romanczyk, McClelland, Post,  
283 & Aitken, 1995).

284 In Mediterranean dried sausages, which have a popcorn-like odour and are very



285 different from Northern European sausages, 2-AP is also regarded as the key aroma  
286 compound. The main difference between Northern European sausages and  
287 Mediterranean dried sausages is a coverage of mould on the latter. 2-AP concentration  
288 on the surface of Mediterranean dried sausages is much higher than at the core.  
289 Therefore, it was suggested that the mould on the surface of Mediterranean dried  
290 sausages is able to produce 2-AP. *Penicillium nalgiovense* was isolated from the  
291 sausage surface and it was the dominating mould species. When incubated with and  
292 without various supplements, it was found that *Penicillium nalgiovense* could only  
293 produce popcorn odour when the sausage was present (Stahnke, 2000).

294 2-AP, together with other *N*-heterocyclic compounds 2-ethyltetrahydropyridine  
295 and 6-acetyl-1,2,3,4-tetrahydropyridine, could cause mousy off-flavour in wine  
296 (Herderich, Costello, Grbin, & Henschke, 1995; Strauss & Heresztyn, 1984), through  
297 the action of lactic acid bacteria (LAB). *Lactobacillus hilgardii* DSM 20176 was  
298 incubated with a defined *N*-heterocycle assay medium, which included D-fructose,  
299 ethanol, L-lysine, L-ornithine and mineral salts. It was found that L-ornithine  
300 stimulated 2-AP formation and repressed 6-acetyl-1,2,3,4-tetrahydropyridine  
301 formation, while L-lysine had the opposite effect (Costello & Henschke, 2002). It had  
302 previously been suggested that D-fructose and ethanol could provide the acetyl side-  
303 chain for 2-AP and 6-acetyl-1,2,3,4-tetrahydropyridine (Strauss & Heresztyn, 1984).  
304 A possible mechanism of fermentable carbohydrate and amino acid, forming 2-AP  
305 and 6-acetyl-1,2,3,4-tetrahydropyridine through LAB fermentation was proposed by  
306 Costello and Henschke (2002). This pathway is shown in Figure 2. L-Lysine could

307 form the intermediate 1-piperideine *via* cadaverine pathways, with the enzymes L-  
308 lysine decarboxylase and cadaverine aminotransferase involved (Fothergill & Guest,  
309 1977). Pathways from putrescine to succinate *via* 1-pyrroline in *P. fluorescens* and *E.*  
310 *coli* (Jacoby & Fredericks, 1959; Kim, 1964) have been reported. Putrescine is the  
311 decarboxylation product of ornithine (Fothergill & Guest, 1977); hence, 1-pyrroline  
312 could be formed through the putrescine pathway from L-ornithine. Due to the  
313 presence of carbohydrates, such as ethanol and glucose/fructose, acetyl-CoA  
314 accumulated through the heterolactic pathway and reacted with intermediates 1-  
315 pyrroline and 1-piperideine to form 2-AP and 6-acetyl-1,2,3,4-tetrahydropyridine ,  
316 respectively.

317 Adams and De Kimpe (2007) reproduced the work of Romancyk et al. (1995) and  
318 suggested *B. cereus* formed 2-AP by enzymatic acetylation of 1-pyrroline. 1-Pyrroline  
319 was formed from the degradation of ornithine and proline, as proposed by Costello  
320 and Henschke (2002; see above and Figure 2).

321

#### 322 **4. Formation of 2-acetyl-1-pyrroline through the Maillard reaction**

323 2-AP is not only present in raw food, like rice and pandan leaf, but is also formed  
324 in many cooked products. Therefore, the Maillard reaction is also an important route  
325 to 2-acetyl-1-pyrroline. Schieberle (1988) tested several model systems containing  
326 different amino acids, and showed that, when heated with reducing sugars, only  
327 proline, lysine and alanine could form 2-AP, with proline giving the highest yield.  
328 Two <sup>13</sup>C-labelling experiments were designed: in the first experiment, 1-<sup>13</sup>C-proline

329 reacted with unlabelled glucose, and in the second experiment, unlabelled proline  
330 reacted with U-<sup>13</sup>C-glucose (all six carbon atoms are labelled with <sup>13</sup>C). Both  
331 experiments were carried out at 170 °C for 30 min. In both experiments labelled  
332 carbon was only found in the acetyl group of 2-AP and much more <sup>13</sup>C was detected  
333 in the second experiment, which indicated that glucose could provide the acetyl group  
334 in 2-AP formation (Schieberle, 1988).

335 Schieberle (1990) showed that both proline and ornithine could react with 2-  
336 oxopropanal to form 1-pyrroline, the most important intermediate in 2-AP formation,  
337 and there was a higher yield with ornithine than with proline. Figure 3a shows the  
338 formation of 1-pyrroline *via* Strecker degradation of ornithine; both ornithine and  
339 citrulline, another amino acid, can generate 4-aminobutanal. Schieberle (1995) also  
340 hypothesised a mechanism of 1-pyrroline formation from proline and 1-deoxyosone  
341 through Strecker degradation (Figure 3b). This reaction starts with the formation of an  
342 iminium ion. After decarboxylation and water elimination, 1-pyrroline can be  
343 generated from hydrolysis of the iminium ion.

344 Rewicki et al. (1993) reacted unlabelled proline with 1-<sup>13</sup>C-glucose, and noted the  
345 formation of a 1:1 mixture of unlabelled and labelled 2-AP. A proposed mechanism is  
346 shown in Figure 3c. Two isomers of 1-deoxy-2,3-glucosone form in a ratio of 1:1  
347 from the labelled sugar. They are converted to the dihydro form of diacetylformoin,  
348 which reacts with 1-pyrroline, to form 2-acetylpyrrolidine, which then oxidises to 1:1  
349 labelled and non-labelled 2-AP (Rewicki et al., 1993). The 1:1 <sup>13</sup>C label was due to  
350 the 1:1 ratio of the reducing sugar fragments. The <sup>13</sup>C from labelled proline did not

351 exist in the final 2-AP product; supporting the theory that 2-AP is formed by acylation  
352 of 1-pyrroline by a two-carbon sugar fragment. Hofmann and Schieberle (1998a) also  
353 reported that 2-acetylpyrrolidine could oxidise to 2-AP and proposed that 1-pyrroline  
354 and 2-oxopropanal formed 2-acetylpyrrolidine *via* a number of steps. 2-  
355 Acetylpyrrolidine was then readily oxidised to 2-AP.

356 Phosphate ion could significantly increase the yield of 2-AP, through increased  
357 formation of 2-oxopropanal *via* 1,3-dihydroxyacetone phosphate (Schieberle, 1989).  
358 If malonate buffer replaced phosphate buffer, there was a one-third reduction of 2-AP  
359 formation (Schieberle, 1995). Blank, Devaud, Matthey-Doret, and Robert (2003)  
360 examined the effect of pH and heating time on the formation of various Maillard-  
361 derived compounds in two phosphate-buffered model systems: one an equimolar  
362 mixture of proline and glucose, the other the Amadori compound fructose-proline. 2-  
363 AP yield was similar in both systems across all treatments and was shown to increase  
364 with increasing pH and heating time, when samples were refluxed for 1, 2 and 4 hours  
365 at pH 6, 7 and 8.

366 From the above hypothesised mechanisms of 2-AP thermal formation, it is agreed  
367 that 2-AP is formed through an acylation of 1-pyrroline. Certain amino acids, i.e.,  
368 proline, ornithine and citrulline, reacting with 2-oxopropanal from reducing sugar  
369 fragmentation, are the most important intermediates of 2-AP formation during the  
370 Maillard reaction (Adams & De Kimpe, 2006).

371

## 372 **5. Synthesis of 2-acetyl-1-pyrroline**

373 The first synthesis of 2-AP was reported by Buttery et al. (1983) and is shown in  
374 Figure 4a. This method is based on an earlier synthesis of a six-membered ring  
375 compound 2-acetyl-1,4,5,6-tetrahydropyridine (Buchi & Wuest, 1971). However, the  
376 yield of 2-AP from this reaction was only around 10%.

377 The first large-scale method for 2-AP synthesis was developed in 1993. Methyl  
378 proline is oxidised to 2-(methoxycarbonyl)-1-pyrroline, which then reacts with  
379 methylmagnesium iodide in a Grignard reaction. However, this is not a completed  
380 reaction with a 45–83% yield and 8–39% starting material in the final product (De  
381 Kimpe, Stevens, & Keppens, 1993). Methyl lithium in ether also converted 2-  
382 (methoxycarbonyl)-1-pyrroline into a mixture of 2-acetyl-1-pyrroline (47%) and a  
383 side-product, 2-(1-hydroxy-1-methylethyl)-1-pyrroline (32%) (Fig. 4b). To prevent  
384 formation of this side-product, which was also formed in the methylmagnesium iodide  
385 reaction, a cyanide functional group can replace the ester (Figure 4c). In this modified  
386 method, the reaction started with oxidation of pyrrolidine to tripyrroline. The  
387 tripyrroline was hydrocyanated into 2-cyanopyrrolidine, which can form 2-cyano-1-  
388 pyrroline through the Grignard reaction. 2-Cyano-1-pyrroline can form 2-AP with a  
389 yield of 60% when treated with methylmagnesium iodide (De Kimpe et al., 1993).

390 Over subsequent years, other synthesis methods focused on the stabilisation of 2-  
391 AP during the reaction, by using protected carbonyl groups, e.g., Duby and Huynh-Ba  
392 (1993), or amino groups, e.g., De Kimpe and Keppens (1996). De Kimpe and  
393 Keppens (1996) used diacetyl as a starting material to generate an  $\alpha$ -diimine, which  
394 then reacted with a stabase derivative to form the 1-pyrroline ring structure (Fig. 4d).

395 Another synthesis method applied the high substrate selectivity of immobilised  
396 penicillin G acylase (PGA) as the catalyst in the last reaction step (Favino, Fronza,  
397 Fuganti, Fuganti, Grasselli, & Mele, 1996). 1-Aminohex-4-yne reacted with  
398 phenylacetyl chloride, and then ozone oxidised the product to form 1-[*N*-  
399 (phenylacetyl)amino]-4,5-dioxohexane, which when treated with PGA could form 2-  
400 AP spontaneously, as shown in Figure 4e. An 80% yield of 2-AP could be achieved  
401 using this method.

402 A four-step synthesis was reported by Hofmann and Schieberle (1998b) starting  
403 from *N-tert*-butoxycarbonyl-protected proline, while 2-pyrrolidinone was selected as a  
404 raw material for 2-AP synthesis by Harrison and Dake (2005). Another ‘popcorn’  
405 compound, 2-acetyl-1,4,5,6-tetrahydropyridine, was a by-product of this latter  
406 method. A three-step synthesis was reported by Fuganti, Gatti, and Serra (2007),  
407 starting from the reaction of *N*-Boc-pyrrolidinone with ethylmagnesium bromide. The  
408 yield of 2-AP was only 20–30% but with 98% purity. Maraval et al. (2010) formed  
409 *N*,5-diacetylpyrrolidin-2-one from L-glutamic acid and acetic anhydride with 78%  
410 yield. Sodium carbonate was used for deacetylation to form 5-acetylpyrrolidin-2-one;  
411 then lithium aluminium hydride was used for reduction to form 2-(1-hydroxyethyl)-  
412 pyrrolidine, which was oxidised by silver carbonate to 2-AP. The overall yield of 5-  
413 acetylpyrrolidin-2-one formation was 37% but the yield of 2-AP was not reported.

414 A recent publication reported the synthesis of three major popcorn-like Maillard  
415 aroma compounds, 2-acetyl-1-pyrroline, 2-acetyl-1,4,5,6-tetrahydropyridine and 2-  
416 acetyl-5,6-dihydro-4*H*-1,3-thiazine (Deblander, Van Aeken, Adams, De Kimpe, &

417 Abbaspour Tehrani, 2015). The authors noted that existing synthetic procedures for  
418 these compounds suffered a number of problems, including extensiveness of some  
419 reaction pathways, and the use of costly and/or harmful reagents. The 2-AP synthesis  
420 they proposed started from *N*-Boc-prolinate to give a final yield of 2 AP of 28%, *via* a  
421 four-step reaction (Fig. 4f). The authors considered this method to be relatively  
422 straightforward, as the starting materials were readily available, only one general  
423 procedure was involved and the vinyl ether intermediate prepared was a stable  
424 precursor, which could be readily converted to 2-AP.

425 Although numerous procedures have been published for the synthesis of 2-AP,  
426 these methods all require an experienced organic chemist to make them work.  
427 Synthesis of 2-AP is difficult, due to the unstable nature of this compound, which  
428 degrades very rapidly upon standing (see Part 6). This is reflected in the high price of  
429 commercial 2-AP and the small number of 2-AP suppliers.

430

## 431 **6. Stability and stabilisation of 2-acetyl-1-pyrroline**

432 Stability is very important for a flavour compound in food products.  
433 Unfortunately, 2-acetyl-1-pyrroline has limited stability. Pure 2-AP will turn red and  
434 degrade within 10 min at room temperature (Fang & Cadwallader, 2014). This  
435 instability of 2-AP was noticed when it was first identified by Buttery et al. (1982),  
436 and this instability was assumed to be due to polymerisation. Loss of 2-AP in stored  
437 foods could be due to complexation, decomposition, diffusion to the environment and

438 generation of other compounds (Adams & De Kimpe, 2006).

439 An experiment was designed to investigate the effect of storage on 2-AP levels in  
440 rice (Widjaja et al., 1996a). In this experiment, using the fragrant cultivar YRF9,  
441 paddy (rice with husk and rice bran), brown (rice without husk but with rice bran) and  
442 white (rice without husk and rice bran) rice samples were stored under two conditions:  
443 atmospheric pressure and reduced pressure, at 84% RH and 30 °C. After three months'  
444 storage, 2-AP level was reduced by 40–50% in all cases. Another study aimed to  
445 compare the effect of different drying methods and storage time on 2-AP reduction in  
446 fragrant rice (Wongpornchai et al., 2004). Six different drying methods (sun drying,  
447 30 °C modified air, 40 °C modified air, 40 °C air, 50 °C air and 70 °C air) were  
448 applied to fresh paddy rice, to reduce moisture content from 28% to below 14%, and  
449 then the rice was stored in gunnysacks at 20–35 °C. 2-AP concentration in 10 months  
450 stored rice was only 25% of freshly dried rice and it was shown in a concentration–  
451 storage time curve that a significant decrease occurred at the beginning of storage.  
452 The sun-dried sample retained less 2-AP than the other drying methods; this could be  
453 due to the longer drying time. Sun drying took 54 hours in this study, while the  
454 average time for the other drying methods was 10 hours. Although the authors did not  
455 provide details of the modified air used, drying with this kind of air maintained 2-AP  
456 in rice better than normal hot air drying, while lower air temperatures also resulted in  
457 less 2-AP loss (Wongpornchai et al., 2004).

458 In addition to rice, 2-AP decreases in other food products during storage. Hot air  
459 popped popcorn was sealed in commercial polyethylene food bags and stored in the



460 dark at room temperature. After two days, the 2-AP level reduced by 20% and after  
461 seven days storage, it reduced by 75% (Schieberle, 1995).

462 Therefore, it is important to develop a stabilisation method to defer 2-AP  
463 breakdown. Encapsulation is a popular technique to protect unstable volatile  
464 compounds for commercial processing, and several studies have applied this  
465 technique. Encapsulation of 2-AP by  $\beta$ -cyclodextrin (Duby & Huynh-Ba, 1996)  
466 showed some success. When stored at room temperature (20 °C), 99% 2-AP  
467 decomposed after 110 days' storage, when the 2-AP load of the  $\beta$ -cyclodextrin was  
468 1%. However, if the storage temperature decreased, encapsulation performed better,  
469 with 10% losses at 4°C and no losses at -20 °C. If the loading of cyclodextrin was  
470 increased to 10%, the stability of the 2-AP was reduced.

471 Apintanapong and Noomhorm (2003) extracted 2-AP from pandan leaves and  
472 examined its stability at 30 ppm in acidic and basic solution at room temperature.  
473 They also microencapsulated 2-AP in various maltodextrin and gum acacia mixtures.  
474 In basic solution, 2-AP was reduced by 63% after 7 days, and in acidic solution by  
475 30% after 35 days. When 2-AP was microencapsulated with 70:30 gum  
476 acacia:maltodextrin, only 28% of the encapsulated 2-AP was lost after 72 days at  
477 room temperature. Gum acacia and/or starch mixed materials were used in a patented  
478 form by Srinivas, Sulochanamma, Raghavan, and Gurudutt (2006), to form a stable 2-  
479 AP powder using spray drying, but the stability of this powder was not reported.

480 Fang and Cadwallader (2014) recently reported a novel stabilisation method, using  
481 zinc ions to solve 2-AP powder storage problems. Anhydrous 2-AP and ZnI<sub>2</sub> were

482 added into diethyl ether to form a yellowish precipitate, which was the desired 2-AP-  
483 ZnI<sub>2</sub> complex. Excess ZnI<sub>2</sub> and other impurities were removed through dissolving the  
484 complex in anhydrous diethyl ether. The complex compound was obtained as a  
485 powder after drying through nitrogen evaporation. Other 2-AP-zinc halide complexes  
486 could be obtained in the same way. When stored at 25 °C, there was only 6% loss of  
487 2-AP from a 2-AP-ZnI<sub>2</sub> complex (2-AP content = 14.4%) after 3 months, and 3%  
488 reduction of 2-AP after 3 months when a 12.5% 2-AP content complex was stored at –  
489 20 °C. It was found that compared with ZnI<sub>2</sub>(2-acetyl-1-pyrroline)<sub>n</sub>, which had a yield  
490 of 62%, complexes of ZnBr<sub>2</sub>(2-acetyl-1-pyrroline)<sub>n</sub> and ZnCl<sub>2</sub>(2-acetyl-1-pyrroline)<sub>n</sub>  
491 had better yields of 96% and 86%, respectively. A ZnCl<sub>2</sub>-2-AP complex would be the  
492 preferred food agent because ZnCl<sub>2</sub> has been approved for food use (CFR – Code of  
493 Federal Regulations Title 21; April 1<sup>st</sup>, 2016). This method can also applied to similar  
494 volatile compounds, such as 2-propionyl-1-pyrroline, 2-acetyl-1,4,5,6-  
495 tetrahydropyridine, 2-acetyl-2-thiazoline, 2-acetylthiazole, 2-acetylpyrazine and 2-  
496 acetylpyridine. Although this is an effective technique for 2-AP stabilisation compared  
497 with others, this high yield was only confirmed in the dry powdered complex. It may  
498 be reduced by moisture, temperature and other conditions when applied in food.  
499 Therefore, it may be necessary to combine this technique with an encapsulation  
500 technique to protect the 2-AP-zinc halide complex in a changeable food environment  
501 (Fang & Cadwallader, 2014).

502

## 503 **7. Extraction and instrumental analysis of 2-acetyl-1-pyrroline**

504        *7.1 Solvent-based extraction techniques*

505        Simultaneous distillation extraction (SDE) was used as the extraction method  
506 when 2-AP was first discovered by Buttery et al. (1982). SDE was widely used in the  
507 1980s and 1990s for volatile compound extraction (Chi, Yeung, & MacLeod, 1981).  
508 The sample is heated in water to produce steam and the steam transfers volatile  
509 material to a boiling non-polar solvent, which condenses to give an aroma extract  
510 (Likens & Nickerson, 1964). However, this vigorous heating process may cause  
511 volatile compound formation or breakdown. Buttery et al. (1983) reported that the  
512 boiling conditions used in this extraction may decompose 2-AP in rice and cause a  
513 lower concentration than in raw samples.

514        In Buttery's study, 500 g rice were extracted with 6 L water in a Likens-Nickerson  
515 type extraction equipment (Likens & Nickerson, 1964); diethyl ether was used as  
516 solvent and the isolation process was carried out at atmospheric pressure for 2 hours.  
517 After concentration, the solvent extract was dissolved in hexane and then extracted  
518 with 3 N hydrochloric acid and then ether. The ether extract was then concentrated to  
519 a small amount for analysis. For subsequent quantitative measurements (Buttery,  
520 Ling, & Mon, 1986), an internal standard (5 mL of 30 ppm 2,4,6-trimethylpyridine  
521 (collidine) solution) was added to the rice before extraction. Buttery's group published  
522 a number of papers on 2-AP in fragrant rice. They detected 2-AP in 10 different  
523 varieties of cooked rice (both milled and brown) using SDE and found that the  
524 concentrations of 2-AP in brown rice were much higher than in white rice (Buttery et  
525 al., 1983).

526 Likens-Nickerson extraction was continuously developed and used in the  
527 following decade for 2-AP extraction in rice, pandan leaf and other food samples.  
528 Addition of magnesium sulfate ( $MgSO_4$ ) during rice SDE inhibited starch  
529 gelatinisation, water absorption, swelling of rice and foaming of the mixture during  
530 distillation (Widjaja et al., 1996a & b). Dichloromethane (DCM) has also been used as  
531 the extraction solvent in SDE of 2-AP (Nadaf, Krishnan, & Wakte, 2006).

532 Rice was boiled before extraction in some studies and in others the rice was boiled  
533 during SDE. For example, when fresh and stored brown and white fragrant YRF9 rice  
534 were compared, samples were boiled during the SDE process (Widjaja et al., 1996a &  
535 b). When white Italian Line B5-3 and basmati, two fragrant rice species, were  
536 compared, they were also boiled during SDE. A 4-fold higher 2-AP concentration was  
537 found in the Italian variety than in basmati (Tava & Bocchi, 1999). Several different  
538 varieties of brown fragrant rice (Malagkit Sungsong, 370 basmati, Khashkani and  
539 Indica) were boiled for 25 min in tap water before SDE analysis. 2-AP was found in  
540 all four species but the concentration in Indica was much lower than in the others  
541 (Jezussek, Juliano, & Schieberle, 2002). 2-AP was also detected in five boiled fragrant  
542 rice cultivars; four of them were white rice (Hyangmibyeo 1, Hyangmibyeo 2, Royal,  
543 Golden Elephant) and one a Korean black rice called Goemjeongssal. Boiled non-  
544 fragrant rice Jeongilpum also contained 2-AP. Those six cultivars were boiled 30 min  
545 with distilled water (Yang, Shewfelt, Lee, & Kays, 2008). Three white fragrant  
546 cultivars (Aychade, Fidji, and Giano) and one white non-fragrant cultivar (Ruille)  
547 were boiled for 20 min. 2-AP was found in all four cultivars, but the concentration in

548 Ruille was too low to quantify; it was lower than 2 µg/kg while the concentrations in  
549 the other fragrant cultivars were 150–300 µg/kg (Maraval et al., 2008).

550 When using SDE at atmospheric pressure, sample and water mixture are boiled.  
551 Therefore, this kind of extraction technique cannot be used to study uncooked foods.  
552 Buttery et al., when first identifying 2-AP, used simultaneous distillation/extraction  
553 under vacuum (V-SDE) to study rice aroma. However, compared to SDE at  
554 atmospheric pressure (A-SDE), V-SDE showed a low efficiency of extraction  
555 (Buttery et al., 1983). Levels of 2-AP in rice extracted by A-SDE were 10 times  
556 higher than in rice that was cooked and then extracted with V-SDE. The authors  
557 suggested that most of the 2-AP may be lost during cooking. Therefore, compared  
558 with A-SDE, where rice is cooked during the isolation process, less 2-AP is present in  
559 the already cooked sample in V-SDE. In addition, 2-AP may be generated during  
560 cooking, which can also cause the significant difference in concentrations obtained  
561 between V-SDE and A-SDE.

562 Another solvent-based extraction technique, solvent-assisted flavour evaporation  
563 (SAFE), first introduced in 1999 (Engel, Bahr, & Schieberle, 1999), is a useful  
564 technique for 2-AP extraction. The volatile compounds in a solvent extract, usually in  
565 diethyl ether or dichloromethane, are removed from non-volatile material using high-  
566 vacuum distillation. The procedure takes place at around 30 °C, keeping sample  
567 decomposition to a minimum. When using 1:1 diethyl ether:dichloromethane as the  
568 solvent, 2-AP was isolated from cereal coffee brew (Majcher et al., 2013) and this  
569 compound was also isolated from hazelnut when using diethyl ether as solvent (Kiefl

570 et al., 2013).

571 Solid-phase extraction (SPE) can also be applied for 2-AP extraction. Several  
572 commercial SPE cartridges (Strata™ X from Phenomenex, LiChrolut® EN from  
573 Merck Millipore and Isolute® ENV+ from Biotage) have been successfully used for  
574 volatile compound extraction (Du & Qian, 2008; Metafa & Economou, 2013),  
575 particularly for isolation of relatively polar aroma compounds, such as 2-AP. An  
576 advantage of SPE is that no heating is applied when using this technique, which is the  
577 same as SAFE, but SPE is much easier to perform than SAFE. 2-AP was generated  
578 during high-temperature cooking of fragrant rice (180 °C for 20 min in an open  
579 system), using SPE, followed by GC-MS (Handoko, 2014). This result suggests that  
580 there may be a component of fragrant rice that is formed enzymatically, which can be  
581 converted to 2-AP by the application of higher temperatures. Although there was no 2-  
582 AP detected in Ciherang rice (a non-fragrant rice) heated under the same conditions, a  
583 sensory panel perceived popcorn-like odour (Handoko, 2014), suggesting that  
584 compounds besides 2-AP that could cause popcorn-like odour in rice heated at  
585 180 °C.

## 586 *7.2 Headspace techniques*

587 Dynamic headspace extraction using an adsorbent polymer such as Tenax can also  
588 be used in 2-AP extraction, Buttery, Turnbaugh, and Ling (1988) used this technique  
589 to analyse the volatile compounds in cooked rice. Seventeen volatile compounds  
590 include 2-AP were identified through this method. Around 0.6 µg/kg 2-AP were found  
591 in white Californian long-grain rice (a kind of non-fragrant rice) boiled in water for 20

592 min before Tenax trapping (Buttery et al., 1988). Around 30 odorants were identified  
593 in cooked rice using the same technique (Yang et al., 2008).

594 Headspace solid-phase microextraction (HS-SPME) is now the most widely used  
595 extraction method for 2-AP. As with all extraction techniques, increased extraction  
596 time or higher temperatures could result in better release of 2-AP from the sample.  
597 However, the reported instability of 2-AP may cause its loss during isolation at higher  
598 temperatures, while at lower temperatures enzymatic changes may occur during this  
599 extraction. These conflicting reactions make 2-AP quantification difficult.

600 One large study used manual SPME to analyse 91 different uncooked cultivars,  
601 including 77 non-basmati fragrant cultivars, 9 basmati fragrant cultivars and 5 non-  
602 fragrant cultivars. A 1-cm divinylbenzene/Carboxen/polydimethylsiloxane  
603 (DVB/CAR/PDMS) fibre was used in this experiment. Samples were extracted for 15  
604 min at 80 °C after a 30-min equilibration period. 2-AP was detected in some non-  
605 fragrant cultivars but its average concentration was around 10-fold higher in basmati  
606 fragrant cultivars and around 20-fold higher in selected non-basmati fragrant cultivars  
607 (Mathure, Wakte, Jawali, & Nadaf, 2011; Mathure, Jawali, Thengane, & Nadaf,  
608 2014). Bryant and McClung (2011) used an automated SPME system to compare  
609 seven uncooked fragrant and two uncooked non-fragrant rice samples extracted with a  
610 1-cm DVB/CAR/PDMS SPME fibre at 80 °C for 18 min after a 5-min equilibration  
611 period. 2-AP was only found in the fragrant rice samples.

612 A recent study measured 2-AP in 48 fragrant rice samples using manual SPME,  
613 followed by GC-MS/MS. The ion transition from  $m/z$  111 to  $m/z$  82 was used to

614 quantify 2-AP. Optimised conditions were 10 minutes extraction at 40 °C after a 5-  
615 min thermostating period. The technique was sensitive enough both to quantify 2-AP  
616 below its odour threshold concentration (Buttery et al., 1983) and to obtain successful  
617 2-AP measurements using a single grain of rice (Hopfer et al., 2016). Although  
618 DVB/CAR/PDMS fibres performed better than DVB/PDMS fibres, the latter were  
619 preferred, because carry-over (the presence of volatile material from the fibre in a  
620 subsequent blank analysis) observed when using the DVB/CAR/PDMS fibre was not  
621 observed with the DVB/PDMS fibre. A limit of quantification of 103 ng per kg was  
622 reported for 2-AP in this work.

### 623 *7.3 Gas chromatography-mass spectrometry*

624 Gas chromatography-mass spectrometry (GC-MS) is the most common technique  
625 used for volatile compound analysis. Column choice in GC-MS analysis of 2-AP is  
626 very important. A polar phase (e.g., Carbowax) is normally chosen; 2-AP is a  
627 relatively polar compound and its peak shape is more symmetrical and sharper on a  
628 polar column. The use of base-deactivated phases is recommended for basic  
629 compounds that may possess poor peak shape under normal GC conditions (De  
630 Zeeuw, Stricek, & Stidsen, 2011). A base-deactivated column may also be useful for  
631 quantifying 1-pyrroline (Poonlaphdecha et al., 2016). Because of the instability of 2-  
632 AP, Buttery et al. (1986) recommended a relatively low injector temperature of 150-  
633 170 °C to minimise its decomposition. Base-deactivated injection port liners may also  
634 have a protective role (De Zeeuw et al., 2011).

635 When quantifying 2-AP using GC-MS, separation of 2-AP from other compounds



636 is a common challenge. This interference problem was first reported by Paule and  
637 Powers (1989) when using a packed column coated with 10% Carbowax 20M on  
638 Chromosorb® W; 1-hexanol eluted very close to 2-AP and could interfere in its  
639 quantification. The mass spectrum of 6-methyl-5-hepten-2-one contains all the major  
640 ions present in the mass spectrum of 2-AP ( $m/z$  43, 41, 111, 83, 68, 69); these two  
641 compounds often co-elute in fragrant rice extracts run on polar columns, affecting the  
642 quantification of 2-AP, especially when the concentration of 2-AP is similar to or  
643 lower than that of 6-methyl-5-hepten-2-one. A long isothermal stage of 65 °C for 70  
644 min at the start of the GC run was reported by Tanchotikul and Hsieh (1991) when  
645 performing sample analysis by GC-MS using a 60-m length Supelcowax® 10  
646 (Supelco, Bellefonte, PA) column. A similar method was reported by Seitz et al.  
647 (1993), to obtain better separation of 2-AP and 6-methyl-5-hepten-2-one. They used a  
648 shorter, 30 m Supelcowax 10 column in this analysis at an initial temperature of 60 °C  
649 for 15 min.

650       Although electron ionisation (EI) is the usual ionisation mode used for GC-MS,  
651 chemical ionisation (CI) is an option. CI is a softer ionisation technique, which can  
652 reduce interference during MS analysis compared with EI, and hence could result in  
653 increased signal-to-noise ratio for compounds of interest. In a study on bread flavour  
654 by Schieberle and Grosch (1987), 2-AP was analysed in CI mode using isobutane as  
655 reagent gas. Compared with EI mode in GC-MS analysis, Maraval et al. (2010)  
656 reported that positive ion CI mode could be better for 2-AP quantification in rice,  
657 especially when MS-MS was applied for analysis. The EI mass spectrum of 2-AP

658 possesses few defining peaks: a characteristic ion at  $m/z$  83 and a less intense  
659 molecular ion at  $m/z$  111. However, in PCI mode, using acetonitrile as the reagent gas,  
660 only an intense pseudomolecular ion at  $m/z$  112 was observed. Under MS-MS  
661 conditions,  $m/z$  112 ion yielded a fragment ion at  $m/z$  70 and this transformation was  
662 used for 2-AP quantification, with a low limit of quantification of 0.4  $\mu\text{g}/\text{kg}$ .

#### 663 *7.4 Quantification of 2-acetyl-1-pyrroline*

664 When Buttery et al. (1983) first quantified 2-AP in rice they measured peak areas  
665 by flame ionisation detector and performed an approximate quantification, in order to  
666 determine the relative amounts of 2-AP in the 16 types of rice that they analysed. In  
667 subsequent work they used collidine (2,4,6-trimethylpyridine) as an internal standard,  
668 adding it in solution to the rice/water mixture prior to extraction. Collidine was  
669 chosen because it has similar physicochemical properties to 2-AP (basic, similar water  
670 solubility, similar volatility), is stable, has a GC retention time similar to 2-AP on a  
671 wax column and is commercially available (Buttery et al., 1986). Known amounts of  
672 2-AP were added to the rice prior to extraction alongside a fixed amount of collidine,  
673 in order to provide a calibration curve for quantification. Collidine was subsequently  
674 used as the internal standard in a number of papers where 2-AP was quantified in rice  
675 (Tanchotikul & Hsieh, 1991; Widjaja, et al. 1996a & b; Tava & Bocchi, 1999;  
676 Bergman et al., 2000).

677 Stable isotope dilution assays (SIDA) are now widely used in flavour science. An  
678 isotopomer of the compound of interest is added to the sample under study, in order to  
679 permit accurate quantification of the compound of interest. The quantification of 2-AP

680 by SIDA was carried out for the first time by Schieberle and Grosch (1987). They  
681 prepared a 2-AP analogue, which was partially deuterated in the heterocyclic ring,  
682 giving a product with a range of molecular masses from 113 to 116. They then used  
683 the deuterated isotopomer to quantify 2-AP in wheat and rye bread.

684 SIDA was used to measure 2-AP in rice for the first time by Yoshihashi et al.  
685 (2004). Instead of deuteration, a  $^{13}\text{C}$  atom was introduced in the methyl position of the  
686 acetyl side-chain giving an isotopomer with a mass of 112. Naturally-occurring 2-AP  
687 has an M+1 ion with a mass of 112, which has 7% of the intensity of its molecular  
688 ion. It is not clear if this was taken into account by the authors in their calculations.  
689 This issue was highlighted by Maraval et al. (2010), who used SPME with deuterated  
690 2-AP to quantify 2-AP in rice. Unlike Schieberle and Grosch (1987), the deuteration  
691 was defined. Deuterium-hydrogen exchange can occur in aqueous solution and to  
692 reduce the chances of this happening the authors replaced both hydrogen atoms at the  
693 5-position of the heterocyclic ring with deuterium. In order to provide a calibration  
694 curve for quantification, ground leaves from a non-scented rice cultivar were spiked  
695 with nine different amounts of 2-AP in solution.

696 The key reason for performing SIDA is that several steps of enrichment of the  
697 compounds can be performed without losses in accuracy, provided that the initial ratio  
698 between the compound and its labelled analogue remains unchanged during the entire  
699 procedure (Schieberle & Grosch, 1987). As the compound of interest and its  
700 isotopomer should have the same physicochemical properties, SIDA provides a degree  
701 of confidence that is lacking when other internal standards are used. The incompletely

702 deuterated 2-AP synthesised by Schieberle and Grosch is now available commercially  
703 from AromaLab AG (Planegg, Germany) and has been used to quantify 2-AP in rice  
704 (Hopfer et al., 2016).

705

## 706 **8. Sensory evaluation of 2-acetyl-1-pyrroline**

707 2-AP is described as a popcorn-like odour compound and it has a very low odour  
708 threshold. When Buttery et al. (1983) first identified this compound, they ranked the  
709 amount of popcorn-like odour in different rice varieties. Malagkit Sungsong, a kind of  
710 Philippine fragrant rice, had the greatest popcorn aroma and Texas Long Grain was  
711 determined as the rice with the least popcorn aroma. The most famous fragrant rice,  
712 basmati, was ranked in the middle of this list. When the Malagkit Sungsong was  
713 compared with Calrose (a non-fragrant rice), it was easy to distinguish them.  
714 However, when a 2-AP solution was added to the Calrose rice, they became much  
715 more difficult to tell apart. It was clear that the popcorn aroma of 2-AP is a key  
716 component of rice flavour.

717 Lexicons of aroma and flavour of rice are being continuously developed by  
718 researchers (Goodwin et al., 1996; Piggott, Morrison, & Clyne, 1991; Yau & Liu,  
719 1999). When comparing these studies, some descriptors are similar, but some are  
720 different; it is difficult to estimate which research has an intact lexicon and which  
721 needs more development. The choice of descriptors depends on the culture and  
722 familiarity with the sample of the panellists in each study (Paule & Powers, 1989). A  
723 study aiming to build an intact lexicon tested 36 different varieties of rice, which were

724 mainly jasmine and basmati rice samples from different regions, but also included  
725 many other fragrant and non-fragrant rice species (Limpawattana & Shewfelt, 2010).  
726 Twenty-four attributes were listed by 8 trained panellists, of which 6 did not vary  
727 across the 36 varieties. The 18 attributes finally used in this study were ‘popcorn’,  
728 ‘starchy’, ‘woody’, ‘cooked-grain’, ‘grain’, ‘sulfury’, ‘corn’, ‘nutty’, ‘floral’, ‘dairy’,  
729 ‘hay-like’, ‘barny’, ‘buttery’, ‘green’, ‘rancid’, ‘waxy’, and ‘earthy’. A standard and  
730 intensity of standard for each attribute was also defined. Of the 18 significant  
731 attributes, ‘popcorn’, which was mainly attributed to 2-AP, was positively correlated  
732 with ‘buttery’ and ‘corn’ and negatively correlated with ‘earthy’ and ‘smoky’.

733 In an earlier study from Limpawattana’s group, sensory profiling was conducted  
734 on 13 varieties of rice by using trained panels, and aroma-active compounds were  
735 analysed by GC-olfactometry (GC-O) and GC-MS (Limpawattana, Yang, Kays, &  
736 Shewfelt, 2008). In this study, a predictive model was built for correlation analysis of  
737 attributes and volatile compounds. Unexpectedly, ‘popcorn’ in this model was  
738 negatively correlated with guaiacol and (*E,E*)-2,4-decadienal, while 2-AP was not  
739 present in this model as a ‘popcorn’ descriptor. Guaiacol and (*E,E*)-2,4-decadienal  
740 contributed smoky and fatty attributes, respectively. The authors suggested that the  
741 thermal process of reference standard preparation may have influenced the ‘popcorn’  
742 descriptor analysis. In addition, these authors suggested that the contribution of 2-AP  
743 to popcorn-like odour was always overemphasised relative to many other compounds  
744 which also contribute to this aroma in fragrant rice.

745 Three types of fragrant rice (jasmine, basmati, and Jasmati) were studied in a

746 recent paper, to compare their main aroma active compounds using GC-O and GC-  
747 MS (Mahattanatawee & Rouseff, 2014). Hexanal, octanal, 2-AP, (*E,E*)-2,4-  
748 nonadienal, (*E*)-2-nonenal, 4-vinyl-2-methoxyphenol and indole were identified as the  
749 aroma-active compounds common to all three species. Across all three types of rice,  
750 30 compounds were identified as aroma-active compounds and were described by 8  
751 attributes. Jasmati contained 35% less ‘roasty/nutty’ total aroma intensity than jasmine  
752 and basmati, while ‘medicine’ flavour was not detected in jasmine rice. Jasmine  
753 contained 35% more ‘sweet fruity/floral’ total intensity than basmati and 79% more  
754 than Jasmati.

755

## 756 **9. Conclusions**

757 2-AP contributes important aroma in many foods, like pandan leaf, mushroom and  
758 especially fragrant rice. Amino acids, in particular proline and ornithine, have been  
759 identified, alongside reducing sugars, as precursors of 2-AP in both biosynthesis and  
760 Maillard reaction. The presence of a non-functional betaine aldehyde dehydrogenase  
761 (non-functional BADH2) allows the formation of 2-AP in fragrant rice and several  
762 bacteria like *Bacillus cereus* and *Penicillium nalgiovence* may also form 2-AP.

763 It appears that 1-pyrroline is a key intermediate in both biosynthesis and thermal  
764 formation of 2-AP, and this intermediate could form 2-AP through an acylation  
765 reaction. 2-Oxopropanal and 2-acetylpyrrolidine are other intermediates hypothesised  
766 to form 2-AP during the Maillard reaction and the presence of phosphate ion could  
767 increase yields of 2-AP. 2-AP formation mechanisms, particularly in rice, still need to

768 be researched. The work of Poonlaphdecha et al. (2016) showed the importance of 1-  
769 pyrroline in 2-AP formation, and future work with this intermediate may provide  
770 useful information.

771       Synthesis of 2-AP is still difficult but its stabilisation in a zinc halide complex has  
772 increased its applicability. New synthesis strategies and stabilisation techniques could  
773 reduce the cost of 2-AP, which may increase its use in the food industry, adding  
774 desirable popcorn-like aroma to rice products such as rice cakes. Another possibility  
775 is the addition of 2-AP intermediates, such as 1-pyrroline, to rice, which can then  
776 readily form 2-AP during processing, providing a desirable fragrance to rice products.

777

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1144



1145 **FIGURE CAPTIONS**

1146 **Figure 1.** A comparison of the (a) BADH2-dependent 2AP biosynthetic pathway  
1147 (Bradbury, Gillies, Brusheet, Waters, & Henry, 2008) and the (b) BADH2-  
1148 independent 2AP biosynthetic pathway (Sakthivel et al., 2009; Huang et al.,  
1149 2008).

1150 **Figure 2.** Mechanism of 2-AP formation through the heterolactic pathway (Costello  
1151 & Henschke, 2002)

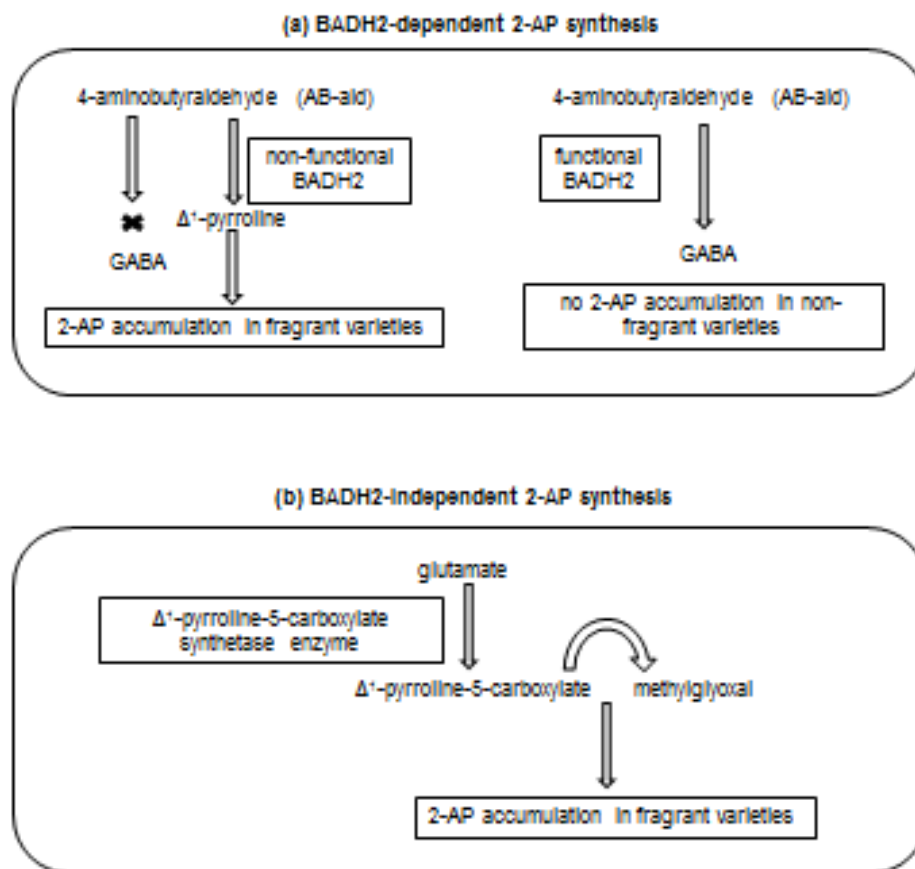
1152 **Figure 3.** 2-AP Maillard Reaction formation pathways: a) formation of 1-pyrroline  
1153 from ornithine (Schieberle, 1990); b) formation of 1-pyrroline from proline  
1154 and 1-deoxyosone (Schieberle, 1995); c) <sup>13</sup>C-labelled and unlabelled 2-AP  
1155 formation from 1-pyrroline and <sup>13</sup>C-glucose (Rewicki et al., 1993).

1156 **Figure 4.** 2-AP synthesis strategies: a) Buttery, Ling, Juliano, and Turnbaugh, 1983;  
1157 b) De Kimpe, Stevens, and Keppens, 1993; c. De Kimpe, Stevens, and  
1158 Keppens, 1993; d) De Kimpe, and Keppens, 1996; e) Favino, Fronza, Fuganti,  
1159 Fuganti, Grasselli, and Mele, 1996; f) Deblander, Van Aeken, Adams, De  
1160 Kimpe, & Abbaspour Tehrani (2015).

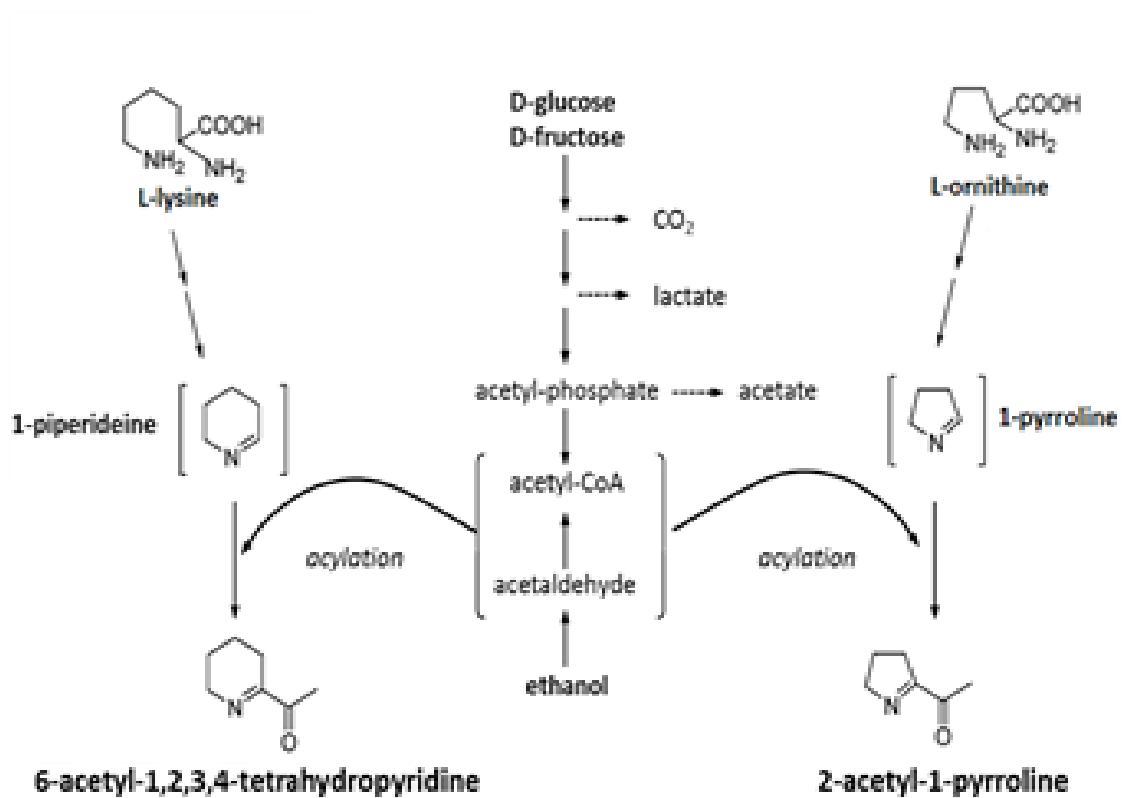
1161 LDA: lithium diisopropylamide; THF: tetrahydrofuran; PGA: immobilised penicillin  
1162 G acylase; DMT: dimethyltitanocene; TFA: trifluoroacetic acid

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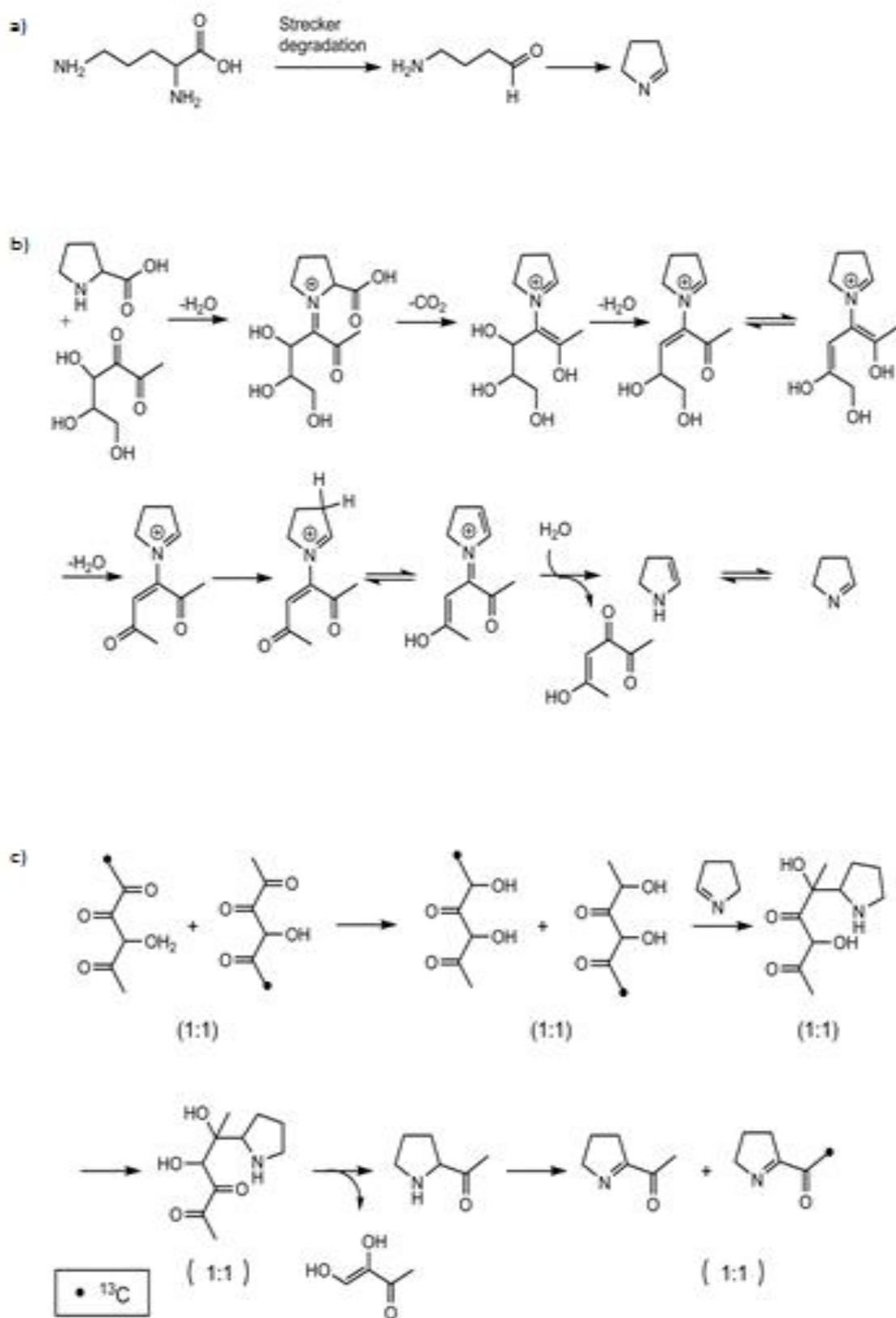


1165 Figure 1



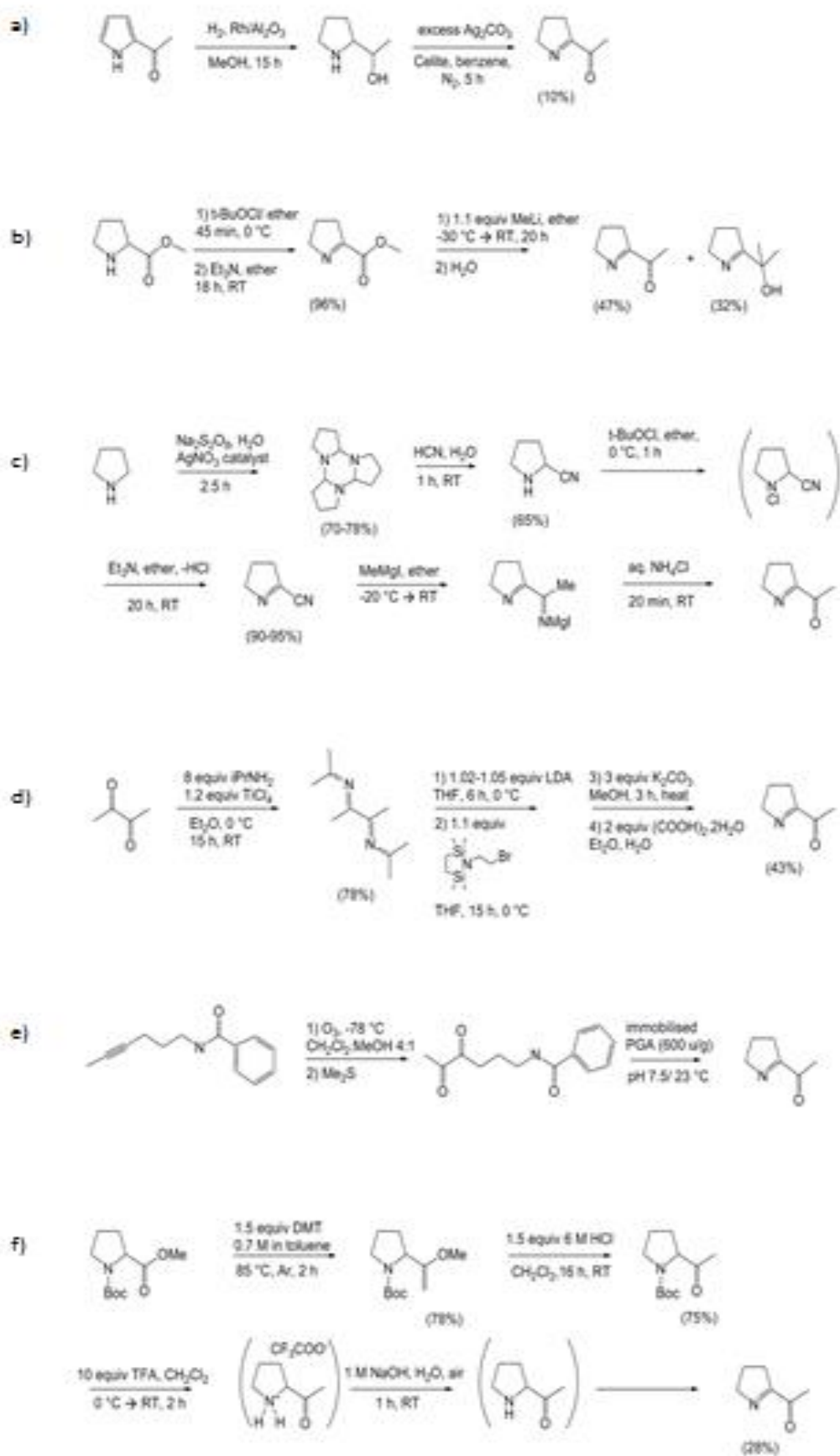
1166

1167 Figure 2



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1169 Figure 3



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1171 Figure 4

1172 **Table 1:** 2-AP concentrations in fragrant and non-fragrant rice  
 1173

rice variety	2-AP concentration ( $\mu\text{g}/\text{kg}$ )	
	milled	brown
<i>fragrant rice</i>		
Basmati	60 <sup>a</sup>	170 <sup>a</sup>
	87 <sup>d</sup>	610 <sup>b</sup>
	588 <sup>g</sup>	119 <sup>h</sup>
	19–342 <sup>h</sup>	
	434 <sup>k</sup>	
Khao Dawk Mali 105	70 <sup>a</sup>	200 <sup>a</sup>
	87–532 <sup>i</sup>	
Malagkit Sungsong	90 <sup>a</sup>	200 <sup>a</sup>
		760 <sup>b</sup>
Milagross	70 <sup>a</sup>	
Seratus Malam	60 <sup>a</sup>	
Azucena	40 <sup>a</sup>	160 <sup>a</sup>
Hieri	40 <sup>a</sup>	100 <sup>a</sup>
Ir841-76-1	70 <sup>a</sup>	200 <sup>a</sup>
		560 <sup>b</sup>
Jasmine	156 <sup>d</sup>	550 <sup>h</sup>
	810 <sup>h</sup>	
Della	76 <sup>d</sup>	
Goolarah	691 <sup>e</sup>	
Yrf9	670 <sup>e</sup>	344 <sup>f</sup>
B5-3	2746 <sup>g</sup>	
Amber Aromatic (Lundberg)		345 <sup>h</sup>
Aromatic (Fowler Gourmet)	999 <sup>h</sup>	
Black Thai (Bulk)		259 <sup>h</sup>
Jasmati (Rice Tec)	526 <sup>h</sup>	
Kasmati (Rice Tec)	496 <sup>h</sup>	
Texmati (Rice Tec)	266 <sup>h</sup>	
Aychade	575–638 <sup>j</sup>	
Fidji	45–475 <sup>j</sup>	
Giano	28–336 <sup>j</sup>	
Kala Bhat	920 <sup>k</sup>	
Kali Kumud	732 <sup>k</sup>	
Amritbhog	787 <sup>k</sup>	
<i>non-fragrant rice</i>		
Calrose	<6 <sup>a</sup>	
California Long-Grain	0.6 <sup>c</sup>	
Pelde	15 <sup>e</sup>	

Texas Long Grain	<8 <sup>a</sup>
	6 <sup>b</sup>
Ariette	10.6 <sup>j</sup>
Ruille	24.7 <sup>j</sup>
Sonsali	72 <sup>k</sup>
Kolamb	125 <sup>k</sup>

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1175

1176 Data are from the following references: <sup>a</sup>Buttery et al., 1983; <sup>b</sup>Buttery et al., 1986;

1177 <sup>c</sup>Buttery et al., 1988; <sup>d</sup>Tanchotikul and Hsieh, 1991; <sup>e</sup>Widjaja et al., 1996a; <sup>f</sup>Widjaja et

1178 al., 1996b; <sup>g</sup>Tava and Bocchi, 1999; <sup>h</sup>Bergman et al., 2000; <sup>i</sup>Yoshihashi et al., 2004;

1179 <sup>j</sup>Maraval et al., 2010; <sup>k</sup>Mathure et al., 2014.

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1181 **Table 2:** 2-AP concentrations in foods other than rice  
 1182

food sample	2-AP concentration ( $\mu\text{g}/\text{kg}$ )
wheat bread crusts	75 <sup>a</sup>
Mediterranean dried sausages	750 <sup>b</sup>
bread flowers ( <i>Vallaris glabra</i> ktze)	3.36 (fresh) 26.1 (dry) <sup>c</sup>
palm wine	11.4 <sup>d</sup>
roasted Criollo cocoa beans	4.2 <sup>e</sup>
pandan leaves	40–450 <sup>f</sup>
pan-fried mushrooms	4.2–7.0 <sup>g</sup>
roasted in-shell peanuts	1920 <sup>h</sup>
roasted hazelnuts	85 <sup>i</sup>
cereal coffee brew	8 <sup>j</sup>
squid broth	97.3 <sup>k</sup>
dark chocolate	21 <sup>l</sup>
milk chocolate	3 <sup>l</sup>
cocoa liquor	11 <sup>l</sup>
Manuka honey	80–450 <sup>m</sup>
raw licorice	9.41 <sup>n</sup>
roasted almonds	12 (dry roasted) <sup>o</sup> 30 (oil roasted) <sup>o</sup>

1183  
 1184 Data are from the following references: <sup>a</sup>Schieberle and Grosch, 1987; <sup>b</sup>Stahnke,  
 1185 2000; <sup>c</sup>Wongpornchai et al., 2003; <sup>d</sup>Lasekan et al., 2007; <sup>e</sup>Frauendorfer and  
 1186 Schieberle, 2008; <sup>f</sup>Yahya et al., 2010; <sup>g</sup>Grosshauser & Schieberle, 2013; <sup>h</sup>Kaneko et  
 1187 al., 2013; <sup>i</sup>Kiefl et al., 2013; <sup>j</sup>Majcher et al., 2013; <sup>k</sup>Carrascon et al., 2014; <sup>l</sup>Liu et al.,  
 1188 2015; <sup>m</sup>Ruckriemen et al., 2015; <sup>n</sup>Wagber et al., 2016; <sup>o</sup>Erten et al., 2017.

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