

Causes of differences in model and satellite tropospheric warming rates

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1 **Causes of Differences in Model and Satellite**
2 **Tropospheric Warming Rates**

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24 In the early 21st century, satellite tropospheric warming trends were gen-
25 erally smaller than trends estimated from a large multi-model ensemble.
26 Because observations and coupled model simulations do not have the same
27 phasing of natural internal variability, such decadal differences in sim-
28 ulated and observed warming rates invariably occur. Here we analyse
29 global-mean tropospheric temperatures from satellites and climate model
30 simulations to determine whether warming rate differences over the satel-
31 lite era can be explained by internal climate variability alone. We find
32 that in the last two decades of the 20th century, differences between mod-
33 eled and observed tropospheric temperature trends are broadly consistent
34 with internal variability. Over most of the early 21st century, however,
35 model tropospheric warming is substantially larger than observed; warm-
36 ing rate differences are generally outside the range of trends arising from
37 internal variability. There is a low probability (between zero and $\approx 9\%$)
38 that multi-decadal internal variability fully explains the asymmetry be-
39 tween the late 20th and early 21st century results. It is also unlikely that
40 this asymmetry is due to the combined effects of internal variability and
41 a model error in climate sensitivity. We conclude that model overestima-
42 tion of tropospheric warming in the early 21st century is partly due to
43 systematic deficiencies in some of the post-2000 external forcings used in
44 the model simulations.

45 The Fifth Assessment Report of the Intergovernmental Panel on Climate Change
46 (IPCC) contained prominent discussion of differences between warming rates in ob-
47 servations and model simulations [1, 2]. The focus of the discussion was on two issues:
48 the causes of a putative “slowdown” in observed surface and tropospheric warming
49 during the early 21st century, and the reasons for the inability of most climate model
50 simulations to capture this behavior. The IPCC defined the “slowdown” as a sub-
51 stantially reduced surface warming trend over 1998 to 2012 relative to the long-term
52 warming over 1951 to 2012 [2].

53 Since publication of the Fifth Assessment Report, at least three different interpre-
54 tations of the “slowdown” have emerged. One interpretation is that this phenomenon
55 is largely an artifact of residual errors in surface temperature data sets [3, 4, 5]. A
56 second school of thought holds that the “slowdown” is primarily a routine decadal
57 fluctuation in temperature [6], and is not statistically distinguishable from previous
58 manifestations of internal variability [7, 8, 9]. A third interpretation is that the “slow-
59 down” is attributable to the combined effects of different modes of internal variability
60 [10, 11, 12, 13, 14] and multiple external forcings [15, 16, 17].

61 It is of interest to examine some implications of these schools of thought. If the
62 reduction in early 21st century warming is mainly an artifact of errors in surface tem-
63 perature data [3, 5], independent, satellite-based measurements of tropospheric tem-
64 perature should show little evidence of a recent “slowdown” in warming – consistent

65 with corrected surface results. Current satellite datasets, however, provide support
66 for a reduced rate of tropospheric warming in the early 21st century [15, 16, 18].

67 If the “slowdown” is predominantly a routine manifestation of internal variability
68 (and if model-based estimates of the forced temperature signal and internal variability
69 are realistic), then the differences between simulated and observed warming rates arise
70 solely from different phasing of internal variability in “model world” and in the real
71 world. Under this interpretation, model-versus-observed warming rate differences
72 should be fully consistent with internal variability.

73 In the third school of thought, both internal variability and external forcing con-
74 tribute to the “slowdown” [2, 19]. The externally forced contribution is due to the
75 combined cooling effects of a succession of moderate early 21st century eruptions
76 [15, 20, 21, 22, 23, 24], a long and anomalously low solar minimum during the last so-
77 lar cycle [25], increased atmospheric burdens of anthropogenic sulfate aerosols [17, 26],
78 and a decrease in stratospheric water vapor [27]. There are known systematic errors
79 in these forcings in model simulations performed in support of the IPCC Fifth As-
80 sessment Report [2, 17, 19, 20, 27]. These errors arise in part because the simulations
81 were performed before more reliable estimates of early 21st century forcing became
82 available [20, 27]. The net effect of the forcing errors is that the simulations underes-
83 timate some of the cooling influences contributing to the observed “slowdown”.

84 We find that for tropospheric temperature, model-versus-observed warming rate

85 differences during most of the early 21st century cannot be fully explained by natural
86 internal variability of the climate system. We consider whether this result provides
87 support for the third school of thought, or if it could be plausibly explained by the
88 combined effects of a model error in climate sensitivity [28] and different phasing of
89 modeled and observed internal variability [10, 11, 12, 13, 14].

90 Our focus is on satellite- and model-based estimates of tropospheric temperature.
91 There are two reasons for this choice. First, satellite tropospheric temperature mea-
92 surements have time-invariant, near-global coverage [29, 30, 31]. In contrast, there
93 are large, non-random temporal changes in spatial coverage in the observed surface
94 temperature datasets used in most “slowdown” studies [3, 19, 32]. Second, satellite
95 tropospheric temperature datasets have been a key component of recent claims that
96 current climate models are too sensitive (by a factor of three or more) to human-
97 caused changes in greenhouse gases [28, 33]. Errors of this magnitude would diminish
98 confidence in model projections of future climate change. It is therefore critically
99 important to evaluate the validity of such claims.

100 **Satellite and model temperature data**

101 Our analysis primarily relies on satellite-based measurements of global-scale changes
102 in the temperature of the mid- to upper troposphere (TMT). TMT data with near-
103 global coverage are available from three groups: Remote Sensing Systems (RSS) [29],

104 the Center for Satellite Applications and Research (STAR) [31], and the University
105 of Alabama at Huntsville (UAH) [34]. Older and more recent dataset versions are
106 provided by each of these groups (see Methods). A fourth group (the University of
107 Washington; UW) [30] produces TMT data for a tropical domain. We briefly discuss
108 both tropical TMT changes and global-scale changes in the temperature of the lower
109 troposphere (TLT); the latter are provided by RSS and UAH only.

110 Model TMT data are from simulations of historical climate change (HIST) and
111 of 21st century climate change under Representative Concentration Pathway 8.5
112 (RCP8.5). These simulations yield information on the tropospheric temperature re-
113 sponse to combined anthropogenic and natural external forcing. To compare models
114 and observations over the full satellite temperature record (January 1979 to Decem-
115 ber 2016), HIST and RCP8.5 temperatures were spliced together (“HIST+8.5”). We
116 also analyze control runs with no changes in external forcings. Control runs are one
117 of a number of different sources of information on natural internal climate variability
118 [35, 36, 37, 38]. The HIST, RCP8.5, and control simulations were performed under
119 phase 5 of the Coupled Model Intercomparison Project (CMIP5) [39].

120 Because TMT receives a contribution from the cooling of the stratosphere, a
121 standard regression-based approach was employed to correct for this influence [40].
122 Correction yields a more representative measure of bulk changes in tropospheric tem-
123 perature [41, 42, 43], and was performed for both satellite and model TMT data.

124 Further information on the correction method and the satellite and model tempera-
125 ture data is provided in the Methods section and the Supplementary Information.

126 **Tropospheric temperature time series**

127 The multi-model average (MMA) of TMT changes in the HIST+8.5 simulations is
128 smoother than any individual observational TMT time series (see Fig. 1A). This
129 difference in the amplitude of variability is expected [12, 15, 44]. In “free running”
130 simulations with coupled models of the climate system, the phasing of internally gen-
131 erated climate variability is random. By averaging over 49 realizations of HIST+8.5
132 (performed with 37 different climate models), the amplitude of random variability is
133 reduced, more clearly revealing the underlying temperature response to external forc-
134 ings. The real world, however, has only one sequence of internal climate variability.

135 Tropospheric warming is larger in the MMA than in the satellite data [45] (Figs.
136 1A, B). Another prominent feature of the observed results is the large interannual
137 temperature variability arising from the internally generated El Niño/Southern Os-
138 cillation (ENSO). The positive (El Niño) phase of ENSO causes short-term warming.
139 The large 1982/83 El Niño partly obscured cooling caused by the 1982 eruption of
140 El Chichón. Because of the above-described noise reduction arising from averaging
141 over realizations and models, the cooling signatures of El Chichón and Pinatubo are
142 clearer in the MMA [15, 46]. Removal of temperature variability induced by ENSO

143 improves the agreement between volcanic cooling signals in the MMA and in satel-
 144 lite tropospheric temperature data, but does not fully explain mismatches between
 145 simulated and observed tropospheric warming during the early 21st century [15].

146 **Significance of individual difference series trends**

147 Next, we assess whether there are statistically significant differences between tropo-
 148 spheric temperature changes in models and individual satellite temperature datasets.
 149 We operate on the difference series $\Delta T_{f-o}(k, t) = \overline{\overline{T}}_f(t) - T_o(k, t)$, where k is an index
 150 over the number of satellite datasets, t is an index over time (in months), $\overline{\overline{T}}_f(t)$ is the
 151 MMA, and $T_o(k, t)$ is an individual observational temperature time series. The sub-
 152 scripts f and o denote results from forced simulations and observations (see Methods
 153 and statistical terminology section in the Supplementary Information).

154 Our significance testing procedure rests on two assumptions. First, we assume
 155 that the MMA provides a credible, “noise free” estimate of the true (but unknown)
 156 externally forced tropospheric temperature signal in the real world. If this assump-
 157 tion is valid, the difference series $\Delta T_{f-o}(k, t)$ should reflect the departures of the
 158 observed realization of internal variability from the externally forced signal. A sec-
 159 ond necessary assumption is that the CMIP5 control runs provide unbiased estimates
 160 of the amplitude, period, and frequency of major modes of natural internal variability,
 161 particularly on interannual to multi-decadal timescales. Whether this assumption is

162 justifiable is discussed in the final section of the paper.

163 Under these two assumptions, we formulate the null hypothesis that departures
164 between the expected and observed tropospheric temperature trends are consistent
165 with internal climate noise. Rejection of the null hypothesis can have multiple ex-
166 planations: systematic deficiencies in the external forcings applied in the HIST+8.5
167 simulations (such as neglect of moderate volcanic eruptions in the early 21st century
168 [20, 21, 22, 23]), errors in the climate sensitivity to external forcings, errors in the sim-
169 ulated spectrum of internal variability, and residual inhomogeneities in the satellite
170 temperature measurements. These explanations are not mutually exclusive.

171 Most previous studies of differences between simulated and observed warming
172 rates in the early 21st century focused on changes over specific periods [3, 16, 47, 48].
173 The appropriateness of different analysis period choices has been the subject of debate
174 [3, 16, 19]. To avoid such debate, we focus instead on L -year analysis timescales. We
175 consider five timescales here: $L = 10, 12, 14, 16,$ and 18 years. For each timescale,
176 an L -year “window” is advanced by one month at a time through $\Delta T_{f-o}(k, t)$. A
177 least-squares linear trend is calculated for each individual window.

178 These maximally overlapping trends are plotted in the left column of Fig. 2. As
179 expected, shorter L -year trends are noisier. For example, 10-year windows ending
180 close to the peak tropospheric warming caused by the 1997/98 El Niño have large
181 negative trends in the difference series. The use of longer trend-fitting periods damps

182 such end-point effects. Another noteworthy feature of Fig. 2 is that most L -year
 183 windows which sample a substantial portion of the early 21st century have large
 184 positive trends in $\Delta T_{f-o}(k, t)$. During this period, the average simulated warming is
 185 larger than the tropospheric warming in each satellite dataset. We use CMIP5 control
 186 runs to estimate the probability that trends in $\Delta T_{f-o}(k, t)$ are either unusually large or
 187 unusually small relative to unforced temperature trends (see Methods). The resulting
 188 empirical p -values are plotted in the right-hand column of Fig. 2.

189 For most L -year trends ending after 2005, model-versus-observed differences in
 190 tropospheric warming are significantly larger (at the 10% level or better) than can be
 191 explained by natural internal variability alone. This result holds for all six satellite
 192 TMT datasets examined here. In contrast, L -year difference series trends ending
 193 before 2005 are generally not significantly larger than unforced TMT trends in the
 194 CMIP5 control runs. Qualitatively similar results are obtained for TMT averaged
 195 over the tropics, as well as for near-global changes in TLT (see Supplementary Figs.
 196 S1 and S2, respectively).

197 In each panel in the right-hand column of Fig. 2, there are upper and lower rejec-
 198 tion regions for our stipulated null hypothesis. The upper (lower) rejection regions
 199 are for significant negative (positive) trends in $\Delta T_{f-o}(k, t)$. Under the null hypoth-
 200 esis, significant negative and positive trends in $\Delta T_{f-o}(k, t)$ should be equally likely.
 201 We find, however, that significant positive trends dominate. There is only one small

202 group of significant negative trends in $\Delta T_{f-o}(k, t)$ – the group with end points close
 203 to the anomalous warmth of the 1997/98 El Niño.

204 Other features of Fig. 2 are also of interest. Consider, for example, the group of
 205 positive 10-year trends ending between approximately 1990 and 1993 (Fig. 2B). As
 206 noted above, El Chichón’s cooling signal is larger and clearer in the MMA than in
 207 satellite TMT data, where it was partly masked by the 1982/83 El Niño. This explains
 208 why simulated TMT trends commencing close to the Chichón eruption tend to show
 209 a larger post-eruption recovery (and larger warming) than in the observations (Figs.
 210 1A and B). The influence of the 1982/83 El Niño on trends in $\Delta T_{f-o}(k, t)$ diminishes
 211 as the trend fitting period is increased.

212 The large tropospheric warming caused by the 2015/16 El Niño event also has a
 213 pronounced effect. As shorter (10- to 12-year) sliding windows sample this observed
 214 warming spike, the size of trends in the $\Delta T_{f-o}(k, t)$ difference series decreases, and p -
 215 values increase (Figs. 2B, D). However, as the longer 16- and 18-year sliding windows
 216 approach the end of the TMT records, even the anomalous observed warmth of late
 217 2015 and early 2016 does not negate the larger simulated warming during most of
 218 the “slowdown” period – *i.e.*, trends in $\Delta T_{f-o}(k, t)$ remain significantly larger than
 219 unforced trends (Figs. 2H, J).

220 Figure 2 reveals large structural uncertainties in satellite TMT datasets. These
 221 uncertainties reflect different choices in dataset construction, primarily related to the

222 treatment of orbital drift, the impact of orbital drift on sampling the diurnal cycle of
 223 atmospheric temperature [29, 30, 31, 34, 49], and the influence of instrument body
 224 temperature [50, 51]. For example, versions 5.6 and 6.0 of the UAH TMT dataset
 225 have pronounced differences in tropospheric warming in the first third of the satellite
 226 record. These differences (which are probably due to an update in how the UAH
 227 group deals with instrument bias correction) are large enough to lead to different
 228 decisions regarding the statistical significance of initial trends in $\Delta T_{f-o}(k, t)$.

229 Our use of older and newer versions of satellite TMT records highlights the evo-
 230 lutionary nature of these datasets. This evolutionary understanding is not always
 231 well understood outside of the scientific community [33], which is why we choose to
 232 illustrate it in Fig. 2. In the following analysis, however, we focus on newer dataset
 233 versions, which incorporate adjustments for recently identified inhomogeneities, and
 234 are likely to be improved relative to earlier dataset versions [29, 30].

235 Significance of asymmetry statistics

236 The analysis in Fig. 2 focuses on the significance of individual trends in $\Delta T_{f-o}(k, t)$. It
 237 does not consider whether overall asymmetries in p -values (such as the preponderance
 238 of significant positive trends in the difference series) could be due to internal variability
 239 alone. To address this question, we define three asymmetry statistics. The first is
 240 γ_1 , which measures asymmetry in the numbers of significant positive and significant

241 negative trends in $\Delta T_{f-o}(k, t)$. The second and third are the γ_2 and γ_3 statistics,
 242 which provide information on asymmetries in the temporal distribution of individual
 243 p -values. To calculate γ_2 and γ_3 , we split the number of maximally overlapping
 244 difference series trends into a first and second set of approximately equal size (SET
 245 1 and SET 2; see Fig. 2). This is done for each value of the trend length L . The
 246 difference in the total number of significant positive trends in SET 1 and SET 2 is
 247 γ_2 . The difference in “set-average” p -values is γ_3 (see Methods).

248 Figure 3 shows asymmetry statistics for the specific case of maximally overlapping
 249 10-year trends in $\Delta T_{f-o}(k, t)$. The actual values of γ_1 , γ_2 and γ_3 reveal a prepon-
 250 derance of significant positive trends in $\Delta T_{f-o}(k, t)$, a larger number of significant
 251 positive trends in SET 2 than in SET 1, and a sharp decrease in average p -values
 252 between SET 1 and SET 2 (see Figs. 3A, C, and E, respectively). We seek to esti-
 253 mate the likelihood that these actual values could be due to multi-decadal internal
 254 variability alone. We refer to these probabilities subsequently as p_{γ_1} , p_{γ_2} and p_{γ_3} .

255 We begin by randomly selecting 5,000 surrogate “observed” TMT time series
 256 from the CMIP5 control runs (see Methods and Supplementary Figs. S3 and S4).
 257 For each surrogate time series, maximally overlapping L -year trends are compared
 258 with control run distributions of unforced L -year trends; p -values are calculated for
 259 each individual trend, and asymmetry statistics are computed from the p -values. This
 260 procedure yields 5,000-member null distributions of γ_1 , γ_2 and γ_3 . We know *a priori*

261 that the statistical properties of these distributions are solely influenced by natural
 262 internal variability. Actual values of the asymmetry statistics are compared with the
 263 null distributions to estimate p_{γ_1} , p_{γ_2} and p_{γ_3} (see Figs. 3B, D, and F).

264 Figure 4 summarizes these probability estimates. By averaging over satellite
 265 datasets and analysis timescales, we obtain the overall probabilities $\overline{\overline{p_{\gamma_1}}}$, $\overline{\overline{p_{\gamma_2}}}$ and $\overline{\overline{p_{\gamma_3}}}$
 266 (the magenta lines in Fig. 4). For the statistic gauging the asymmetry in the numbers
 267 of positive and negative difference series trends, $\overline{\overline{p_{\gamma_1}}} \approx 0.005$. On average, therefore,
 268 there is only a 1 in 200 chance that the actual preponderance of significant positive
 269 trends in $\Delta T_{f-o}(k, t)$ could be due to internal variability alone (Fig. 4A).

270 Consider next the temporal asymmetries between the properties of difference series
 271 trends in SET 1 and SET 2 (Figs. 4B and C). The likelihood is very small ($\overline{\overline{p_{\gamma_2}}} \approx$
 272 0.004) that random internal fluctuations in climate could fully explain why the number
 273 of significant positive trends in $\Delta T_{f-o}(k, t)$ is larger in SET 2 than in SET 1. For
 274 the third asymmetry statistic, there is less than a 1 in 10 chance ($\overline{\overline{p_{\gamma_3}}} \approx 0.09$) that
 275 the actual decline in average p -values between SET 1 and SET 2 is due to internal
 276 variability alone.

277 The probabilities in Fig. 4 are calculated separately for each asymmetry statistic.
 278 We also considered the joint behavior of γ_1 , γ_2 and γ_3 . We estimated $p_{\gamma_{123}}$, the
 279 likelihood that internal variability alone can simultaneously produce values of γ_1 , γ_2
 280 and γ_3 that are more extreme than their “satellite average” actual values (the brown

281 vertical lines in Figs. 3B, D and F). The calculation of $p_{\gamma_{123}}$ was performed with
 282 the same Monte Carlo-generated sampling distributions employed for computing the
 283 individual probabilities p_{γ_1} , p_{γ_2} and p_{γ_3} .

284 For each of the five analysis timescales, $p_{\gamma_{123}}$ is zero. This indicates that in the
 285 5,000 realizations of surrogate observations, there is not a single realization in which
 286 multi-decadal internal variability can simultaneously explain the actual asymmetries
 287 in the sign and temporal distribution of significant trends in $\Delta T_{f-o}(k, t)$. We cau-
 288 tion, however, that our estimate of $p_{\gamma_{123}}$ relies on non-independent information, and
 289 is therefore likely to be biased: γ_1 , γ_2 , and γ_3 are all calculated from the same set
 290 of p -values for maximally overlapping trends in $\Delta T_{f-o}(k, t)$. Nevertheless, our find-
 291 ings suggest that there is real value in considering the joint behavior of γ_1 , γ_2 and
 292 γ_3 , and that each statistic provides some unique information about the asymmetric
 293 distribution of difference series trends.

294 “Perfect model” analysis

295 It has been posited that the differences between modeled and observed tropospheric
 296 warming rates are solely attributable to a fundamental error in model sensitivity to
 297 anthropogenic greenhouse gas increases [28]. Several aspects of our results cast doubt
 298 on the “sensitivity error” explanation. First, it is difficult to understand why signifi-
 299 cant differences between modeled and observed warming rates should be preferentially

300 concentrated in the early 21st century (see Fig. 2). A fundamental model sensitivity
 301 error should be manifest more uniformly in time. Second, a large sensitivity error
 302 should appear not only in trend behavior, but also in the response to major volcanic
 303 eruptions [46]. After removal of ENSO variability, however, there are no large sys-
 304 tematic model errors in tropospheric cooling following the eruptions of El Chichón in
 305 1982 and Pinatubo in 1991 [15].

306 We performed a “perfect model” analysis to further investigate this issue. We
 307 consider whether asymmetries in the sign and temporal distribution of significant
 308 trends in $\Delta T_{f-o}(k, t)$ could be solely due to the combined effects of a large model
 309 sensitivity error and different realizations of modeled and observed internal variabil-
 310 ity. The “perfect model” study emulates our analysis of the “MMA minus satellite”
 311 difference series. Now, however, the difference series $\Delta T_{f-f}(j, t)$ is formed between
 312 the MMA and each individual HIST+8.5 realization. We calculate “perfect model”
 313 values of the γ_1 , γ_2 and γ_3 statistics not only over 1979 to 2016, but also over three
 314 earlier and two later 38-year analysis periods (see Methods).

315 For each asymmetry statistic, our “perfect model” analysis yields 288 individual
 316 samples. This allows us to explore how γ_1 , γ_2 and γ_3 behave over a large range
 317 of inter-model differences in climate sensitivity and phasing of low-frequency modes
 318 of variability (Supplementary Fig. S5). Because consistently derived estimates of
 319 Equilibrium Climate Sensitivity (ECS) are not available for all CMIP5 models, we

320 use a simple ECS proxy to study relationships between climate sensitivity and the
 321 “perfect model” values of γ_1 , γ_2 and γ_3 . This proxy, $\Delta T_{8.5}$, is the global-mean change
 322 in corrected TMT over 2006 to 2095; $\Delta T_{8.5}$ can be calculated from all 37 models for
 323 which we have RCP8.5 simulations (see Supplementary Fig. S6).

324 Relationships between the “perfect model” results and $\Delta T_{8.5}$ are shown in Sup-
 325 plementary Fig. S7. Results are partitioned into two groups. The first group is for
 326 the three earlier analysis periods (1862 to 1899, 1900 to 1937, and 1940 to 1977). The
 327 second group contains results for three later analysis periods (1979 to 2016, 2020 to
 328 2057 and 2058 to 2095). For both groups of results, there are only weak relationships
 329 between $\Delta T_{8.5}$ and the statistics capturing temporal asymmetries in trend behavior
 330 (γ_2 and γ_3). In contrast, the statistic reflecting asymmetries in trend sign (γ_1) is
 331 highly correlated with $\Delta T_{8.5}$, but only during the three later analysis periods.

332 The latter result has several explanations. First, inter-model differences in ECS
 333 become more pronounced as greenhouse gas forcing increases. These sensitivity dif-
 334 ferences are manifest as a time-increasing spread in tropospheric warming rates (Sup-
 335 plementary Fig. S5). As this spread grows in the 21st century, high-ECS (low ECS)
 336 models yield a larger number of significant negative (positive) trends in the $\Delta T_{f-f}(j, t)$
 337 difference series, and γ_1 becomes more highly correlated with $\Delta T_{8.5}$. Second, as trends
 338 in $\Delta T_{f-f}(j, t)$ become larger, the correlation between $\Delta T_{8.5}$ and γ_1 is less affected by
 339 natural decadal variability (Supplementary Fig. S8).

340 Despite the fact that our “perfect model” analysis encompasses a large range
341 of inter-model climate sensitivity differences, the average actual values of the three
342 asymmetry statistics (the brown vertical lines in Figs. 3B, D, and F) remain unusual.
343 For γ_1 , there are only 12 out of 288 cases where the “perfect model” result exceeds
344 the actual value (Supplementary Fig. S9A). This yields a probability of $p_{\gamma_1} = 0.042$
345 that the actual γ_1 value could be due to the combined effects of a model error in
346 climate sensitivity and different phasing of modeled and observed internal variability.
347 For the statistics gauging temporal asymmetry, this likelihood is even smaller: $p_{\gamma_2} =$
348 0.010 , and $p_{\gamma_3} = 0.038$ (Supplementary Figs. S9B, C). Finally, if the behavior of the
349 asymmetry statistics is examined jointly rather individually, there is only one out of
350 288 cases in which the “perfect model” values of γ_1 , γ_2 and γ_3 are simultaneously
351 more extreme than the average actual values, and $p_{\gamma_{123}} = 0.003$.

352 In contrast, statistically unusual values of all three asymmetry statistics could have
353 been plausibly generated by the temporal coincidence of multiple externally forced
354 and internally generated cooling influences in the early 21st century. Internally driven
355 contributions to the “warming slowdown” arise from the transition to a negative
356 phase of the Interdecadal Pacific Oscillation (IPO) in roughly 1999 [11, 13, 16, 52],
357 and from changes in the phasing of other internal variability modes [14, 53]. Our
358 statistical results are best explained by the combined effects of these known phase
359 changes and by previously identified systematic model forcing errors in the early 21st
360 century [2, 17, 20, 25, 27].

361 Reliability of model variability estimates

362 The credibility of our findings depends on the reliability of model-based estimates of
363 natural variability. If CMIP5 models systematically underestimated the amplitude of
364 tropospheric temperature variability on 10- to 18-year timescales, it would spuriously
365 inflate the significance of individual difference series trends. In previous work, we
366 found no evidence of such a systematic low bias. On average, CMIP5 models slightly
367 overestimated the amplitude of decadal variability in TMT [54].

368 It is more difficult to assess the credibility of our estimated probabilities for the
369 overall asymmetry statistics shown in Figs. 3 and 4. Such an evaluation requires
370 information on model performance in capturing the “real-world” variability of tro-
371 pospheric temperature on longer 30- to 40-year timescales. This information is not
372 directly available from relatively short satellite TMT records, and must instead be
373 inferred from other sources (see Supplementary Information). Such indirect sources
374 do not support a systematic model underestimate of tropospheric temperature vari-
375 ability on 30- to 40-year timescales [55]. Note also that a low bias in model estimates
376 of longer-timescale variability is physically inconsistent [56] with the above-mentioned
377 claim of a high bias in model climate sensitivity [28].

378 A related issue is the fidelity with which models capture the periods of multi-
379 decadal oscillations. Underestimates of these periods could bias the sampling dis-
380 tributions of the γ_2 and γ_3 statistics, in both the “perfect model” analysis and the

381 analysis with surrogate observations. There is some evidence that such an error may
382 exist for the IPO [57], although it is difficult to make a reliable assessment of this
383 type of error given relatively short observational record lengths and the obfuscating
384 effects of low-frequency changes in external forcings [26].

385 In conclusion, the temporary “slowdown” in warming in the early 21st century
386 has provided the scientific community with a valuable opportunity to advance under-
387 standing of internal variability and external forcing, and to develop improved climate
388 observations, forcing estimates, and model simulations. Further work is necessary to
389 reliably quantify the relative magnitudes of the internally generated and externally
390 forced components of temperature change. It is also of interest to explore whether
391 surface temperature yields results consistent with those obtained here for tropospheric
392 temperature.

393 Our analysis is unlikely to reconcile divergent schools of thought regarding the
394 causes of differences between modeled and observed warming rates in the early 21st
395 century. However, we have shown that each hypothesized cause may have a unique
396 statistical signature. These signatures should be exploited in improving understand-
397 ing. While scientific discussion about the causes of short-term differences between
398 modeled and observed warming rates is likely to continue [19], this discussion does
399 not cast doubt on the reality of long-term anthropogenic warming.

400 **Methods**

401 **Satellite temperature data**

402 We use satellite estimates of tropospheric temperature change produced by RSS [29,
403 58], STAR [31, 59, 60], UAH [34], and the University of Washington (UW) [30]. The
404 UW group supplies TMT data for the tropics only. All other groups have near-global
405 coverage of TMT measurements.

406 RSS, UAH, and STAR produce satellite measurements of the temperature of the
407 lower stratosphere (TLS), which is used to correct TMT for the influence it receives
408 from stratospheric cooling. Only RSS and UAH supply measurements of the temper-
409 ature of the lower troposphere (TLT), which we briefly discuss in the main text.

410 UAH provides two different versions (5.6 and 6.0) of their TLS, TMT, and TLT
411 datasets. RSS currently has only one version (3.3) of their TLS and TLT datasets,
412 but two versions (3.3 and 4.0) of their TMT product. Two versions were available
413 for the STAR TLS and TMT datasets (3.0 and 4.0). At present, there is only one
414 version (1.0) of the UW tropical TMT dataset.

415 Satellite datasets are in the form of monthly means on $2.5^\circ \times 2.5^\circ$ latitude/longitude
416 grids. Near-global averages of TMT and TLT were calculated over areas of common
417 coverage in the RSS, UAH, and STAR datasets (82.5°N to 82.5°S for TMT, and

418 82.5°N to 70°S for TLT). All tropical averages are over 20°N to 20°S. At the time this
 419 analysis was performed, satellite temperature data were available for the 456-month
 420 period from January 1979 to December 2016.

421 Method used for correcting TMT data

422 Trends in TMT estimated from microwave sounders receive a substantial contribution
 423 from the cooling of the lower stratosphere [40, 41, 61, 62]. In ref. [40], a regression-
 424 based method was developed for removing the bulk of this stratospheric cooling com-
 425 ponent of TMT. This method has been validated with both observed and model
 426 atmospheric temperature data [41, 63, 64]. Here, we refer to the corrected version of
 427 TMT as TMT_{cr} . The main text discusses corrected TMT only, and does not use the
 428 subscript *cr* to identify corrected TMT.

429 For calculating tropical averages of TMT_{cr} , ref. [61] used:

$$\text{TMT}_{cr} = a_{24}\text{TMT} + (1 - a_{24})\text{TLS} \quad (1)$$

430 where $a_{24} = 1.1$. For the near-global domain considered here, lower stratospheric
 431 cooling makes a larger contribution to TMT trends, so a_{24} is larger [40, 62]. In refs.
 432 [40] and [62], $a_{24} \approx 1.15$ was applied directly to near-global averages of TMT and
 433 TLS. Since we are performing corrections on local (grid-point) data, we used $a_{24} =$
 434 1.1 between 30°N and 30°S, and $a_{24} = 1.2$ poleward of 30°. This is approximately
 435 equivalent to use of the $a_{24} = 1.15$ for globally-averaged data.

436 **Details of model output**

437 We used model output from phase 5 of the Coupled Model Intercomparison Project
438 (CMIP5) [39]. The simulations analyzed here were contributed by 19 different re-
439 search groups (see Supplementary Table S1). Our focus was on three different types
440 of numerical experiment: 1) simulations with estimated historical changes in human
441 and natural external forcings; 2) simulations with 21st century changes in green-
442 house gases and anthropogenic aerosols prescribed according to the Representative
443 Concentration Pathway 8.5 (RCP8.5), with radiative forcing of approximately 8.5
444 W/m² in 2100, eventually stabilizing at roughly 12 W/m²; and 3) pre-industrial con-
445 trol runs with no changes in external influences on climate.

446 Most CMIP5 historical simulations end in December 2005. RCP8.5 simulations
447 were typically initiated from conditions of the climate system at the end of the histori-
448 cal run. To avoid truncating comparisons between modeled and observed atmospheric
449 temperature trends in December 2005, we spliced together synthetic satellite temper-
450 atures from the historical simulations and the RCP8.5 runs. Splicing allows us to
451 compare actual and synthetic temperature changes over the full 38-year length of the
452 satellite record. We use the acronym “HIST+8.5” to identify these spliced simula-
453 tions. Some issues related to splicing are discussed in the Supplementary Information.

454 Supplementary Table S2 provides information on the external forcings in the
455 CMIP5 historical simulations. Details of the start dates, end dates, and lengths of the

456 historical integrations and RCP8.5 runs are given in Supplementary Table S3. Corre-
 457 sponding information for the pre-industrial control runs is supplied in Supplementary
 458 Table S4. In total, we analyzed 49 individual HIST+8.5 realizations performed with
 459 37 different CMIP5 models. Our climate noise estimates rely on pre-industrial control
 460 runs from 36 CMIP5 models.

461 Calculation of synthetic satellite temperatures

462 We use a local weighting function method developed at RSS to calculate synthetic
 463 satellite temperatures from model output [54]. At each model grid-point, simulated
 464 temperature profiles were convolved with local weighting functions. The weights
 465 depend on the grid-point surface pressure, the surface type (land or ocean), and the
 466 selected layer-average temperature (TLS, TMT, or TLT).

467 Statistical analysis

468 We analyze the statistical significance of trends in the temperature difference time
 469 series $\Delta T_{f-o}(k, t)$:

$$\Delta T_{f-o}(k, t) = \overline{\overline{T}}_f(t) - T_o(k, t) \quad (2)$$

$$k = 1, \dots, N_{obs}; \quad t = 1, \dots, N_t$$

470 where $\overline{T}_f(t)$ is the multi-model average atmospheric temperature time series calcu-
 471 lated from the forced HIST+8.5 simulations, and $T_o(k, t)$ is the temperature time
 472 series of the k^{th} observational dataset. Positive (negative) trends in $\Delta T_{f-o}(k, t)$ in-
 473 dicate model-average tropospheric warming that is larger (smaller) than observed.
 474 We seek to determine whether internal variability alone can explain large differences
 475 between expected and observed warming rates (both positive and negative).

476 All trends are calculated with monthly-mean TMT or TLT data. Rather than
 477 focusing on one specific period or timescale, we perform a comprehensive analysis of
 478 difference series trends on timescales ranging from 10 to 18 years, in increments of two
 479 years. These are typical record lengths used for study of the “warming slowdown” in
 480 the early 21st century [16, 19].

481 Our analysis relies on maximally overlapping trends. “Maximally overlapping”
 482 indicates that an L -year sliding window is used for trend calculations. This window
 483 advances in increments of one month until the end of the current window reaches the
 484 final month of the $\Delta T_{f-o}(k, t)$ difference series.

485 In calculating the HIST+8.5 multi-model average (MMA), we specify that j is
 486 a combined index over models and HIST+8.5 realizations. The first averaging step
 487 is over HIST+8.5 realizations, and the second is over models. For processing the
 488 pre-industrial control runs, each model has only one control run, so j is an index over
 489 the number of models only.

490 Anomalies in the satellite observations and HIST+8.5 runs were defined relative to
 491 climatological monthly means calculated over the 38-year period from January 1979
 492 to December 2016. Control run anomalies were defined relative to climatological
 493 monthly means over the full length of each model’s control integration.

494 **Calculating p-values for individual difference series trends**

495 We assess trend significance using weighted p -values, which account for inter-model
 496 differences in control run length [45].

497 The weighted p -value, $\overline{p}_c(i, k, l)'$, is defined as:

$$\overline{p}_c(i, k, l)' = \sum_{j=1}^{N_{model}} p_c(i, j, k, l) / N_{model} \quad (3)$$

498

$$i = 1, \dots, N_{f-o}(l); \quad j = 1, \dots, N_{model}; \quad k = 1, \dots, N_{obs}; \quad l = 1, \dots, N_L$$

499 where i is over $N_{f-o}(l)$, the total number of maximally overlapping L -year trends in
 500 $\Delta T_{f-o}(k, t)$; j is over N_{model} , the number of model control runs; k is over N_{obs} , the
 501 total number of satellite datasets; and l is over N_L , the number of values of the trend
 502 length L . Here, $N_{f-o}(l) = 337$ for 10-year (120-month) trends; $N_{model} = 36$; $N_{obs} = 6$;
 503 and $N_L = 5$ (10, 12, 14, 16, and 18 years).

504 The individual $p_c(i, j, k, l)$ values for each model pre-industrial control run are calcu-

505 lated as follows:

$$p_c(i, j, k, l) = K_c(i, j, k, l) / N_c(j, l) \quad (4)$$

506

$$i = 1, \dots, N_{f-o}(l); \quad j = 1, \dots, N_{model}; \quad k = 1, \dots, N_{obs}; \quad l = 1, \dots, N_L$$

507 where $K_c(i, j, k, l)$ is the number of L -year trends in the j^{th} pre-industrial control
 508 run (for the l^{th} value of the trend length L) that are larger than the current L -year
 509 trend in $\Delta T_{f-o}(k, t)$. The sample size $N_c(j, l)$ is the number of maximally overlapping
 510 L -year trends in the j^{th} control run.

511 Use of maximally overlapping trends has the advantage of reducing the impact
 512 of seasonal and interannual noise on atmospheric temperature trends, both in the
 513 $\Delta T_{f-o}(k, t)$ difference series and in the control runs. It has the disadvantage of de-
 514 creasing the statistical independence of trend samples. Non-independence of samples
 515 is an important issue in formal statistical significance testing, but is not a serious
 516 concern here. This is because $\overline{p}_c(i, k, l)'$ is not used as a basis for formal statistical
 517 tests. Instead, it simply provides useful information on whether trends in $\Delta T_{f-o}(k, t)$
 518 are unusually large or small relative to model estimates of unforced trends.

519 Calculating actual values of asymmetry statistics

520 The p -values in the right-hand column of Fig. 2 reveal pronounced asymmetries.
 521 Three asymmetries are of interest here.

522 The first type of asymmetric behavior relates to the numbers of significant positive
 523 and significant negative trends. For each analysis timescale in Fig. 2, the overlapping
 524 trends computed from the $\Delta T_{f-o}(k, t)$ difference series display a preponderance of
 525 significant positive results. We use the γ_1 statistic to quantify this asymmetry:

$$\gamma_1(k, l) = K_{+ve}(k, l) - K_{-ve}(k, l) \quad (5)$$

where

$$K_{+ve}(k, l) = \sum_{i=1}^{N_{f-o}(l)} M(i, k, l) \quad (6)$$

$$M(i, k, l) = 1 \text{ if } \overline{p_c}(i, k, l)' \leq 0.1$$

$$M(i, k, l) = 0 \text{ if } \overline{p_c}(i, k, l)' > 0.1$$

and

$$K_{-ve}(k, l) = \sum_{i=1}^{N_{f-o}(l)} M(i, k, l) \quad (7)$$

$$M(i, k, l) = 1 \text{ if } \overline{p_c}(i, k, l)' \geq 0.9$$

$$M(i, k, l) = 0 \text{ if } \overline{p_c}(i, k, l)' < 0.9$$

526 The summation variables $K_{+ve}(k, l)$ and $K_{-ve}(k, l)$ in equation (6) are the total num-
 527 bers of significant positive and significant negative trends in $\Delta T_{f-o}(k, t)$ (respec-
 528 tively). $M(i, k, l)$ in equations (7) and (8) is an integer counter, and $\overline{p_c}(i, k, l)'$ is the
 529 weighted p -value for the current maximally overlapping trend, satellite dataset, and
 530 trend length. The significance of individual trends is assessed at the 10% level.

531 The second type of asymmetric behavior in Fig. 2 relates to the temporal distri-

532 bution of significant positive trends in $\Delta T_{f-o}(k, t)$. If we split the total number of
 533 maximally overlapping difference series trends into two equally sized sets, there are
 534 noticeably fewer significant positive trends in the first set (SET 1) than in the sec-
 535 ond set (SET 2). With the γ_2 statistic, we seek to determine whether this temporal
 536 asymmetry is unusual:

$$\gamma_2(k, l) = K_{\text{SET1}}(k, l) - K_{\text{SET2}}(k, l) \quad (8)$$

where

$$K_{\text{SET1}}(k, l) = \sum_{i=1}^{N(l)} M(i, k, l) \quad (9)$$

$$M(i, k, l) = 1 \text{ if } \overline{p_c}(i, k, l)' \leq 0.1$$

$$M(i, k, l) = 0 \text{ if } \overline{p_c}(i, k, l)' > 0.1$$

$$N(l) = [N_{f-o}(l) - 1] / 2$$

and

$$K_{\text{SET2}}(k, l) = \sum_{i=N(l)+1}^{N_{f-o}(l)} M(i, k, l) \quad (10)$$

$$M(i, k, l) = 1 \text{ if } \overline{p_c}(i, k, l)' \leq 0.1$$

$$M(i, k, l) = 0 \text{ if } \overline{p_c}(i, k, l)' > 0.1$$

537 The γ_3 statistic is analogous to γ_2 , but relies on differences between the average
 538 values of $\overline{p_c}(i, k, l)'$ in SET 1 and SET 2:

$$\gamma_3(k, l) = \overline{\overline{p_{c1}}}(k, l)' - \overline{\overline{p_{c2}}}(k, l)' \quad (11)$$

where the average SET 1 and SET 2 p -values, $\overline{\overline{p_{c1}}}(k, l)'$ and $\overline{\overline{p_{c2}}}(k, l)'$, are given by:

$$\overline{\overline{p_{c_1}}}(k, l)' = \sum_{i=1}^{N(l)} \overline{p_c}(i, k, l)' / N(l) \quad (12)$$

$$\overline{\overline{p_{c_2}}}(k, l)' = \sum_{i=N(l)+1}^{N_{f-o}(l)} \overline{p_c}(i, k, l)' / N(l) \quad (13)$$

$$N(l) \approx N_{f-o}(l) / 2$$

539 Unlike γ_1 and γ_2 , the γ_3 statistic is not sensitive to the selected level for assessing
 540 the significance of individual trends in $\Delta T_{f-o}(k, t)$.

541 Overall significance of asymmetry statistics

542 To determine the significance of the actual values of these asymmetry statistics, we
 543 require null distributions of γ_1 , γ_2 and γ_3 , where we know *a priori* that changes in
 544 the statistics are solely due to random realizations of natural internal variability. We
 545 obtain null distributions of γ_1 , γ_2 and γ_3 using surrogate observational temperature
 546 time series from the CMIP5 control runs. The processing steps are as follows:

- 547 1. Randomly select one of the 36 CMIP5 pre-industrial control runs.
- 548 2. From the selected control run, randomly choose the initial month of a 456-month
 549 segment of temperature anomaly data. Ensure that the selected initial month
 550 is valid (*i.e.*, that there are still at least 455 months between the selected initial
 551 month and the end of the current control run). If this condition is not satisfied,

552 continue random selection of an initial month until the first valid month is
 553 obtained. The time series of surrogate observations is comprised of the first
 554 valid month and the next 455 months.

555 3. With the current surrogate observational time series, $T_{surr}(m, t)$, calculate the
 556 weighted p -values, $\overline{p}_c(i, k, l)'$, as in equation (3). Since we are interested in how
 557 γ_1 , γ_2 and γ_3 behave in the presence of natural variability alone, the surrogate
 558 observations are not used to form a difference series – *i.e.*, they are not sub-
 559 tracted from $\overline{T}_f(t)$ (the multi-model average), as was the case with the actual
 560 satellite temperature data. Instead, individual maximally overlapping L -year
 561 trends in the surrogate observations are compared directly with distributions
 562 of control run L -year trends. In computing $\overline{p}_c(i, k, l)'$, the current surrogate
 563 observational time series is excluded from the control runs used to calculate
 564 unforced L -year temperature trends, and the summation in equation (3) is over
 565 $N_{model} - 1$ rather than over N_{model} .

566 4. From the values of $\overline{p}_c(i, k, l)'$ obtained from step 3, calculate the asymmetry
 567 statistics γ_1 , γ_2 and γ_3 , as in equations (5), (8), and (11).

568 5. Store these asymmetry statistics in $\gamma_1(l, m)^*$, $\gamma_2(l, m)^*$ and $\gamma_3(l, m)^*$, where the
 569 index m is over the total number of time series of randomly selected surrogate
 570 observations, and * denotes a statistic calculated with surrogate observational
 571 temperature data.

- 572 6. Return to step 1; repeat steps 1 through 5 until 5,000 surrogate observational
 573 time series have been selected, and 5,000-member distributions of $\gamma_1(l, m)^*$,
 574 $\gamma_2(l, m)^*$ and $\gamma_3(l, m)^*$ have been generated.
- 575 7. For each observational dataset, and for each of the five trend lengths considered
 576 (10, 12, . . . 18 years), compare the actual values of $\gamma_1(k, l)$, $\gamma_2(k, l)$ and $\gamma_3(k, l)$
 577 with their corresponding null distributions – *i.e.*, with $\gamma_1(l, m)^*$, $\gamma_2(l, m)^*$ and
 578 $\gamma_3(l, m)^*$, respectively. Examples of such comparisons are shown in Figs. 3B, D,
 579 and F of the main text for the case of 10-year trends. Determine the probability
 580 that the actual values of $\gamma_1(k, l)$, $\gamma_2(k, l)$ and $\gamma_3(k, l)$ could be due to internal
 581 variability alone. These overall probabilities are $p_{\gamma_1}(k, l)$, $p_{\gamma_2}(k, l)$ and $p_{\gamma_3}(k, l)$.

582 “Perfect model” results

583 Our “perfect model” analysis considers whether an error in model Equilibrium Cli-
 584 mate Sensitivity (ECS), coupled with different phasing of internal climate variability
 585 in the real world and in model HIST+8.5 simulations, could plausibly explain the
 586 actual values of the three asymmetry statistics. To address this question, we form
 587 difference series between tropospheric temperature changes in the HIST+8.5 MMA
 588 and in individual model realizations of HIST+8.5:

$$\Delta T_{f-f}(j, t) = \overline{\overline{T}}_f(t) - T_f(j, t) \quad (14)$$

$$j = 1, \dots, N_{model}; \quad t = 1, \dots, N_t$$

589 where j is an combined index over HIST+8.5 realizations and models used to perform
 590 the HIST+8.5 simulation. We calculate $\Delta T_{f-f}(j, t)$ for six different non-overlapping
 591 456-month periods: the same January 1979 to December 2016 period used for comput-
 592 ing the “MMA minus observed” difference series in equation (2), three earlier periods
 593 (1862 to 1899, 1900 to 1937, and 1940 to 1977), and two later periods (2020 to 2057
 594 and 2058 to 2095). Because two of the three HadGEM2-CC HIST+8.5 realizations com-
 595 mence in December 1959, the sample size is not identical for the six analysis periods:
 596 $N_{model} = 47$ (49) for the first three (last three) periods, yielding a total number of
 597 288 $\Delta T_{f-f}(j, t)$ time series from which asymmetry statistics can be calculated.

598 We process these 288 “MMA minus individual model” difference time series in
 599 the same way we treat the “MMA minus observed” difference series – *i.e.*, we fit
 600 maximally overlapping L -year trends to each $\Delta T_{f-f}(j, t)$ series, estimate weighted
 601 p -values for each overlapping trend (by comparing with control run distributions of
 602 unforced L -year trends), and then use these p -values to calculate asymmetry statistics.
 603 The resulting “perfect model” asymmetry statistics are $\gamma_1(j, l)$, $\gamma_2(j, l)$ and $\gamma_3(j, l)$;
 604 the statistics are indexed over HIST+8.5 realizations and models (the j index) and
 605 over the number of values of the trend timescale (the l index). Distributions of these
 606 statistics are shown in Supplementary Fig. S9 for the 10-year analysis timescale.

607 **Proxy for ECS**

608 ECS information is typically obtained from a $4\times\text{CO}_2$ simulation [65]. Not all mod-
609 eling groups participating in CMIP5 performed this simulation. Here, we have ECS
610 information for only 23 of the 37 CMIP5 models employed in our “perfect model”
611 analysis. To study underlying relationships between Equilibrium Climate Sensitivity
612 (ECS) and the “perfect model” results, we require a proxy for ECS. Our selected
613 proxy is $\Delta T_{8.5}$, the total linear change in near-global averages of corrected TMT in
614 the RCP8.5 simulation. For each realization and model, $\Delta T_{8.5}$ is calculated over the
615 1,080-month period from January 2006 to December 2095 – the longest common pe-
616 riod in the RCP8.5 simulations analyzed here (see Supplementary Table S3). For the
617 23 models with $4\times\text{CO}_2$ simulations, ECS is highly correlated with $\Delta T_{8.5}$ (Supplemen-
618 tary Fig. S6). This provides justification for our use of $\Delta T_{8.5}$ as an ECS proxy in
619 Supplementary Fig. S7. For the models analyzed here, $\Delta T_{8.5}$ ranges from 3.28°C in
620 GISS-E2-R (p1) to 6.28°C in GFDL-CM3.

621 **Sample sizes in tests of asymmetry statistics**

622 In assessing the statistical significance of our asymmetry statistics, we have greater
623 confidence in our ability to rule out internal variability than in our ability to rule
624 out the combined effects of internal variability and a model sensitivity error. This
625 is because the sample size used to test the “internal variability only” explanation

626 (5,000 time series of surrogate observations) is much larger than the sample size in
627 the “perfect model” analysis (288 time series of differences between the MMA and
628 individual model HIST+8.5 realizations). The analysis using surrogate observations
629 explores a much larger phase space in the timing and amplitude of the IPO and other
630 modes of internal variability.

631 **Author contributions**

632 B.D.S., J.C.F., G.P., G.M.F., and E.H. designed the analysis. B.D.S. performed all
633 statistical analyses. J.F.P. calculated synthetic satellite temperatures from model
634 simulation output and provided assistance with processing of observed temperature
635 data. C.M., F.J.W., S.P.-C., Q.F., and C.-Z.Z. provided satellite temperature data.
636 I.C., C.B., and J.F.P. assisted with the processing of the CMIP5 simulations analyzed
637 here. All authors contributed to the writing and review of the manuscript.

638 **Competing financial interests**

639 The authors declare no competing financial interests.

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860 Atmospheric Administration.

861 **Figure 1:** Time series (panel A) and difference series (panel B) of simulated and
 862 observed tropospheric temperature. Results are monthly-mean TMT anomalies for
 863 the 456-month period from January 1979 to December 2016, spatially averaged over
 864 82.5°N-82.5°S and corrected for lower stratospheric cooling [40]. Multi-model average
 865 (MMA) temperature data are from HIST+8.5 simulations performed with 37 different
 866 CMIP5 models; satellite TMT data are for RSS version 4.0 [29]. Model TMT data
 867 were computed using vertical weighting functions that approximate the satellite-based
 868 vertical sampling of the atmosphere [54]. The time series of differences between the
 869 MMA and the RSS data is shown in both raw form and smoothed with a 12-month
 870 running mean (panel B). All anomalies are relative to climatological monthly means
 871 calculated over January 1979 to December 2016. The vertical purple line is plotted at
 872 the time of the maximum global-mean tropospheric warming during the 1997/98 El
 873 Niño. The vertical green lines denote the eruption dates of El Chichón and Pinatubo.
 874 Trends in the MMA and RSS over the full 456 months (the grey and pink lines in panel
 875 A) are 0.291 and 0.199°C/decade, respectively. The corresponding trends over the
 876 early 21st century (January 2000 to December 2016) are 0.286 and 0.191°C/decade.

877 **Figure 2:** Trends (left column) and trend significance (right column) for TMT dif-
 878 ference series. The six difference series are for near-global averages of corrected TMT,
 879 and were computed by subtracting each of the six individual satellite TMT records
 880 from the HIST+8.5 multi-model average TMT time series (see Fig. 1). Maximally
 881 overlapping trends were fit to each 456-month difference series. Results are for trend

882 lengths of $L = 10, 12, 14, 16,$ and 18 years; the overlap between successive L -year
 883 trends is by all but one month. The p -values associated with each L -year difference
 884 series trend were obtained by testing against multi-model distributions of unforced
 885 L -year TMT trends from 36 different CMIP5 control runs. Results are plotted on
 886 the last month of the trend-fitting period. Grey shading denotes the rejection region
 887 (at a stipulated 10% significance level) for the null hypothesis that the difference be-
 888 tween modeled and observed TMT trends is due to internal variability alone. Each
 889 panel in the right-hand column has a lower (upper) rejection region for large posi-
 890 tive (large negative) trends in the model-minus-observed difference series. The lower
 891 (upper) rejection region spans the p -value range 0 to 0.1 (0.9 to 1.0). The y -axis
 892 range was extended to -0.06 to facilitate visual display of p -values at or close to
 893 zero. To calculate the actual values of the γ_2 and γ_3 statistics in Figs. 3D and F,
 894 the maximally overlapping L -year trends were divided into two sets of approximately
 895 equal size (“SET 1” and “SET 2”; see Methods). The dashed vertical lines in the
 896 right-hand column panels denote the final month of the last L -year trend in SET 1.

897 **Figure 3:** Asymmetries in the statistical significance of differences between modeled
 898 and observed tropospheric temperature trends. Results are for maximally overlapping
 899 10-year trends in near-global averages of corrected TMT. We calculate three asymme-
 900 try statistics. The first compares the numbers of significant positive and significant
 901 negative trends in the $\Delta T_{f-o}(k, t)$ difference time series (panel A). Subtracting the
 902 number of significant negative trends from the number of significant positive trends

903 yields the γ_1 statistic (panel B). The second statistic gauges asymmetry in the tem-
 904 poral distribution of positive trends in the difference series (panel C). To quantify
 905 this asymmetry, we split the number of maximally overlapping 10-year trends into
 906 two sets of approximately equal size. Trends sampling earlier (later) portions of the
 907 difference series are in SET1 (SET 2). The difference in the number of positive trends
 908 (SET1 minus SET2) is the γ_2 statistic (panel D). The third asymmetry statistic re-
 909 lies on the average p -values of the individual trends in SET1 and SET2 (panel E).
 910 The difference between these set-average p -values is γ_3 (panel F). The vertical lines
 911 in panels B, D, and F are the actual values of γ_1 , γ_2 and γ_3 . The grey histograms
 912 in panels B, D, and F are null distributions of the asymmetry statistics, which were
 913 generated using 5,000 realizations of surrogate observations (see Methods).

914 **Figure 4:** Overall statistical significance of the γ_1 , γ_2 and γ_3 asymmetry statistics as
 915 a function of the analysis timescale and the satellite data used to compute the “MMA
 916 minus observed” difference time series. Results are estimates of p_{γ_1} , p_{γ_2} and p_{γ_3} , the
 917 probabilities that the actual value of the asymmetry statistic could have been obtained
 918 by natural internal variability alone (panels A, B, and C, respectively). The magenta
 919 lines in panels A, B, and C are the averages (over the three recent observational
 920 datasets and the five analysis timescales) of p_{γ_1} , p_{γ_2} and p_{γ_3} . Zero values of the
 921 probabilities are indicated by colored arrows. The y -axis range in panels A and B is
 922 substantially smaller than in panel C. For further details refer to the caption of Fig.
 923 3 and the Methods section.

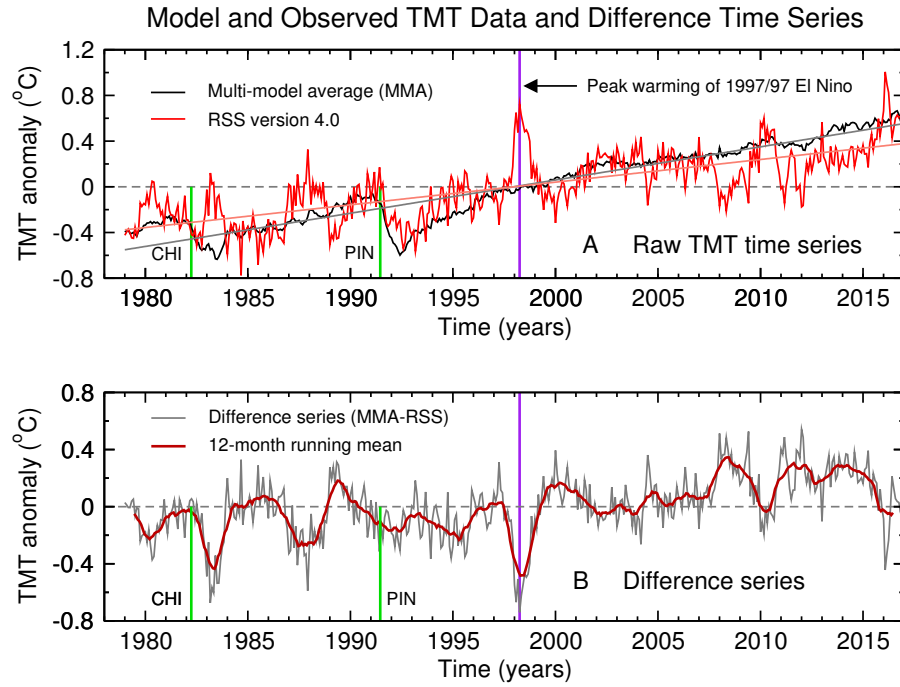


Figure 1: Santer *et al.*

TMT: Tests of Near-Global Difference Series Trends Against Internal Climate Variability

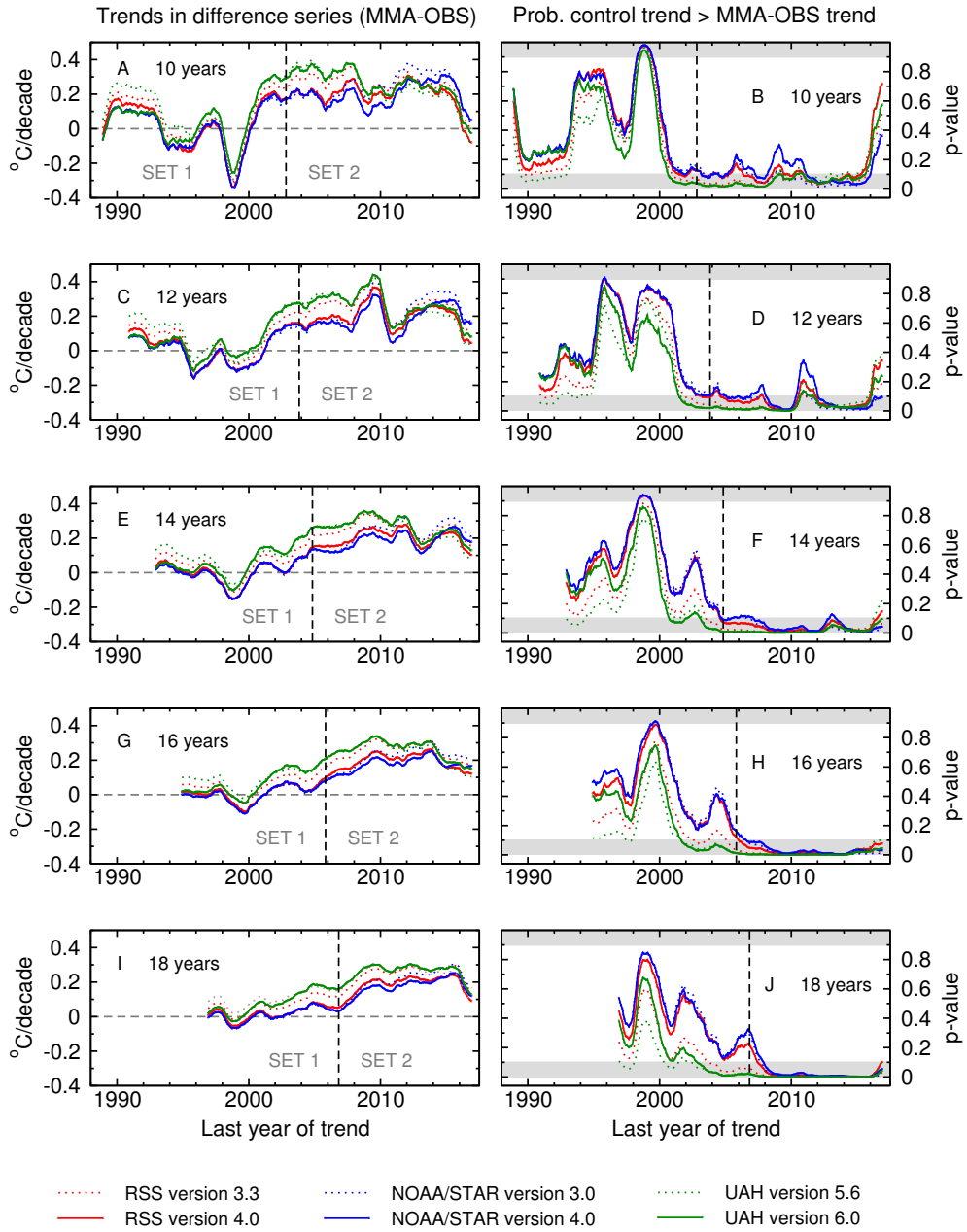


Figure 2: Santer et al.

Asymmetries in Significance of Model-Minus-OBS TMT Differences (10-yr trends)

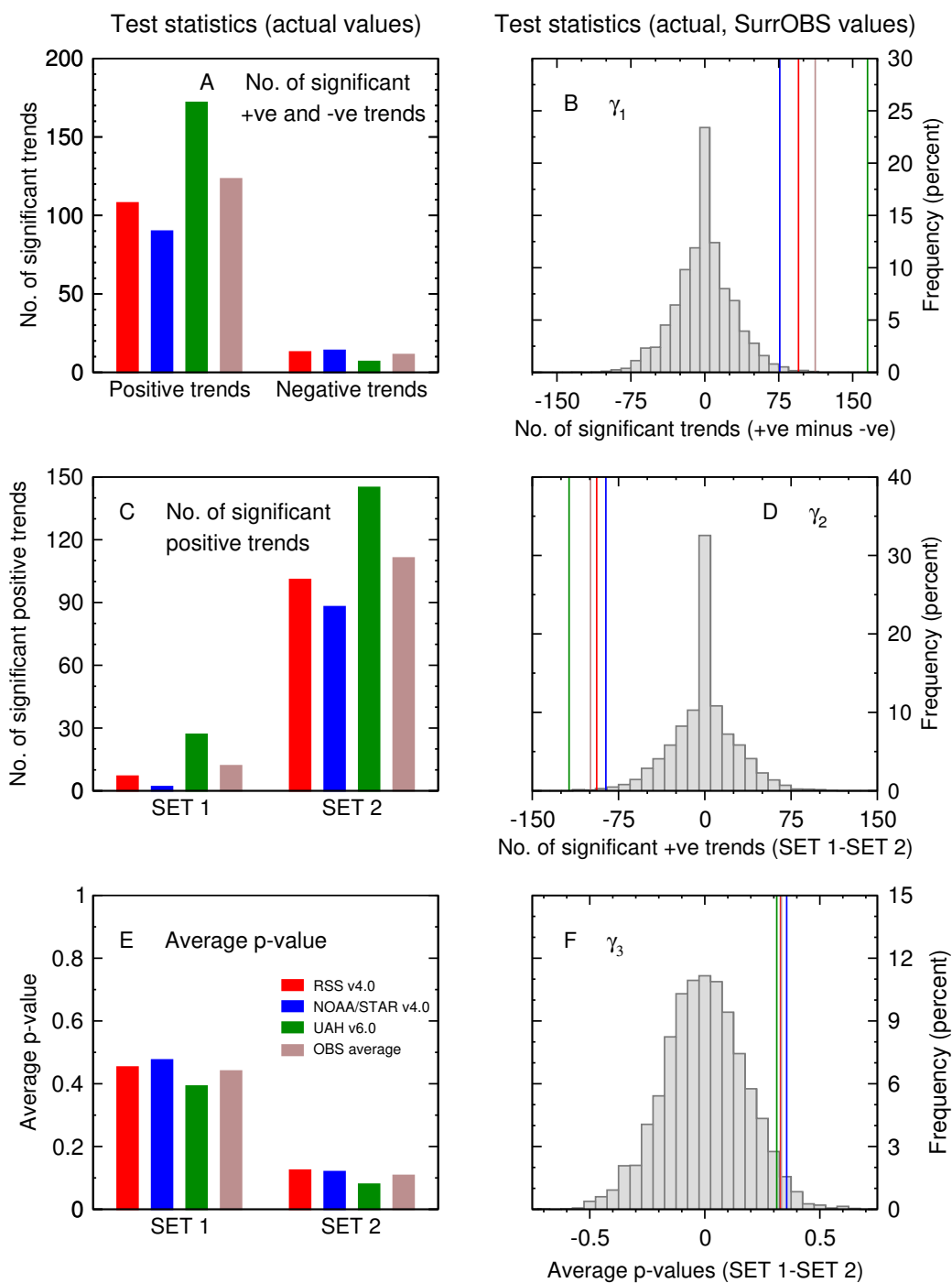


Figure 3: Santer et al.

Overall Significance of Actual Asymmetry Statistics

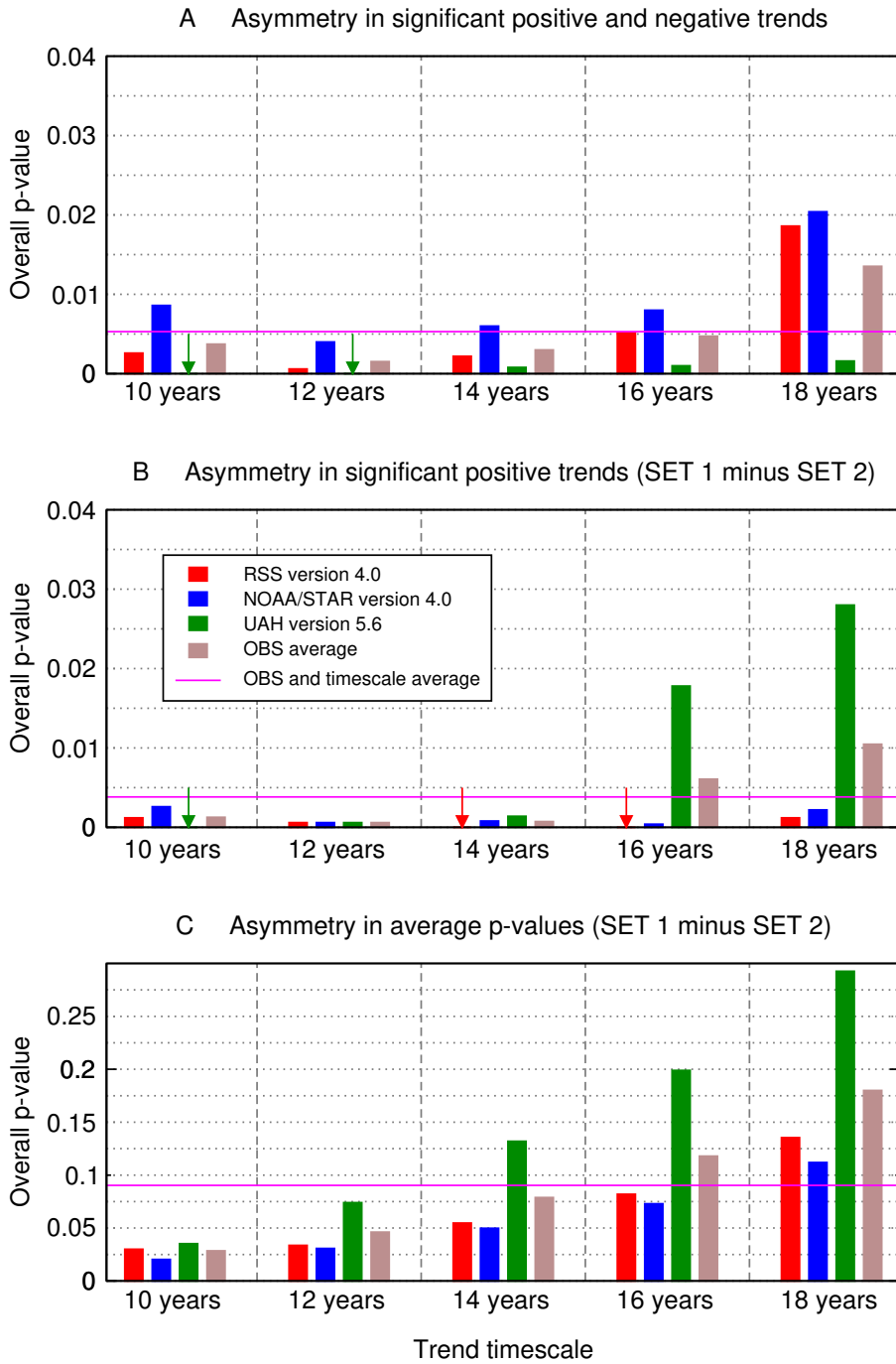


Figure 4: Santer *et al.*