

A systematic review of policy approaches to dairy sector Greenhouse Gas (GHG) emission reduction

Article

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- 1 A systematic review of policy approaches to dairy sector Greenhouse Gas
- 2 (GHG) emission reduction.
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11 Abstract

12 The dairy sector is a significant source of anthropogenic greenhouse gas (GHG) emissions.

13 The increasingly robust emission inventories allow researchers to consider mitigation.

14 However, there is a gap in knowledge regarding the extent to which mitigation research has

15 been implemented as policy. The authors undertook a systematic a review of national-level

16 dairy policy of 23 countries broadly following Preferred Reporting Items for Systematic

17 Reviews and Meta-Analyses (PRISMA) protocols. The aim of the study was to identify

18 international trends in dairy sector GHG emission reduction policy. Sampled countries

19 included the 12 countries with the highest quantity of dairy sector enteric methane emission

and 11 Annex I countries with the largest number of dairy cattle per capita. A total of 34

21 documents were collated containing 62 policies across five themes. Themes included:

22 nutrition, manure, health, breeding and management. Thirty-one policies were identified for

both the high emission nations and Annex I nations with the largest number of dairy cattle per

24 capita. Nutrition based interventions account for 36% (n=11) of all policies identified for

25 high emitting nations. Manure based interventions account for 48% (n=15) of all policies

26 identified for Annex I nations with the largest number of dairy cattle per capita. Across the

27 sample, policymakers favoured manure management strategies (n=24), particularly anaerobic

28 digestion which accounted for 21% (n=13) of all identified policies. Nutrition based

29 mitigation strategies were also preferred (n=17). Policies aimed at reducing sector size were

30 largely ignored (n=4). The results indicate that significant mitigation is unlikely as manure

31 emissions are only a small portion of total dairy sector emissions. The study concludes that

32 policymakers are selecting the less politically sensitive mitigation strategies at the cost of

33 emission reduction.

34 Keywords: systematic review, cow, mitigation, climate change, global warming

35 **1. Introduction**

36 Livestock's Long Shadow (FAO, 2006) introduced the livestock sector as a significant source 37 of global Greenhouse Gas (GHG) emissions. Although the initial estimate of GHG emissions 38 (18% of all anthropogenic GHG emission) (FAO, 2006) has been revised (see FAO, 2010) 39 the publication gained traction within the scientific community, policymakers, and the 40 general public. Since this time, the contribution livestock make to climate change (via GHG 41 emissions) has received significant research interest. The dairy sector is the focal point of 42 such research as it contributes an estimated 4% to total global anthropogenic GHG emissions 43 (FAO, 2010).

Quantifications of emission from northern dairy systems (particularly intensive dairying) are
considered increasingly robust. This has spurred emission mitigation research (e.g. Yan *et al.*,
2010; Doole, 2014; Dutreuil *et al.*, 2014). The less robust emission estimates from the global

46 2010; Doole, 2014; Dutreuil *et al.*, 2014). The less robust emission estimates from the global

47 south have limited mitigation research. However, the need for mitigation remains as it is

48 estimated that approximately 35% of the world's cattle are kept by smallholders in Sub-

49 Saharan Africa and South-Asia alone (Oosting *et al.*, 2014). Thus, effective emission

reduction policy must be developed for the north and south to ensure mitigation can occur ata global scale.

However, over ten years since publication of Livestock's Long Shadow (FAO, 2006), it is unclear what policies have been implemented to reduce the contribution of the dairy sector to global GHG emissions. It is broadly accepted to be government's role to initiate policies that will reduce emissions. Yet, the challenge posed by such a task should not be underestimated as mitigation policy must exist alongside policy tasked with safeguarding food security and climate change adaptation.

The research community increasingly notes that achieving emission reductions from the
livestock sector will be difficult without an overall reduction in sector size. For example,

60 Webb et al., (2014) found that achieving a 20% reduction in United Kingdom livestock sector 61 GHG emissions was not possible without reducing output (or exporting emissions overseas). 62 Similarly, reduced stocking rates were required to reduce emissions from the New Zealand 63 dairy sector (Adler et al., 2013; Doole, 2014). For tropical livestock systems a reduced stocking rate is recommended as it will also deliver additional benefits (such as; improved 64 65 output, and lowering other environment impacts) (Oosting et al., 2014). Yet, to implement 66 policy tasked with reducing sector size will require significant political will. Thus, there is a 67 gap in knowledge regarding the extent to which mitigation research has been implemented as 68 policy.

The study explores this gap in knowledge by undertaking a systematic a review of nationallevel dairy policy of 23 countries. The aim of the study was to identify trends in dairy sector emission reduction policy. By examining trends across nations it becomes possible to identify which inventions are favoured by policymakers and the extent to which dairy sector emission reduction is likely at a global scale.

74 **2. Methods**

75 A systematic review of national-level dairy policy of 23 countries was undertaken broadly 76 following Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) 77 protocols (Liberati et al., 2009; Moher et al., 2009). PRISMA protocols (Liberati et al., 2009; 78 Moher et al., 2009) represent a more robust adjunct to documentary analysis techniques. 79 However, as the investigation did not examine "studies" as the PRISMA statement (a 27 item 80 checklist) (Moher et al., 2009) was designed to investigate, not all components of the 81 statement were relevant. Similarly, as the study did not examine clinical studies, meta-82 analysis techniques and the Cochrane approach were of limited use (Heffernan et al., 2012).

83

2.1. Country selection

The 12 countries with the highest levels of dairy sector enteric methane emission and the 12 Annex I countries with the largest number of dairy cattle per capita were selected for inclusion. The sampling of 12 countries under each approach ensured the sample was representative of global dairy policymaking. The 12 highest emitting countries account for 55% of all enteric methane emissions from dairy cattle. The 12 Annex I countries represent 29% of all Annex I countries. However, five Annex I countries were included amongst the sample of highest enteric methane emitting countries. Therefore, a total of 17 Annex I countries (38% of all Annex I countries) were included in the study. The complete sample of
23 countries contribute 59% of the total global dairy sectors enteric methane emissions.

93 Enteric methane emission was used to indicate dairy sector emissions as the majority of dairy sector emissions are a result of enteric fermentation (FAO, 2006, 2010; Gerber et al., 2011; 94 95 Gerber et al., 2013). A large number of dairy cattle per capita was assumed to indicate that 96 the dairy sector contributes a disproportionally large amount to the country's total GHG 97 emissions (Garnaut, 2008). Annex I countries were targeted as it was expected that these 98 countries would be more aggressive in their attempts to reduce dairy sector GHG emissions. 99 Under the United Nations Framework Convention on Climate Change (UNFCCC), Annex I 100 countries have committed to reducing their GHG emissions to 1990 levels by the year 2000 101 (UNFCCC, 2014a).

102 The FAOSTAT database was used to identify those countries with large dairy sector enteric 103 methane emissions (FAO, 2013a). Data from the year 2013 was used as this was the most 104 recent data available. The countries with the highest emitting dairy sectors (via enteric 105 fermentation) are shown in Table 1. Annex I countries were identified from the UNFCCC 106 website (see UNFCCC, 2014b). The human and dairy cattle population size of each Annex I 107 country was obtained from FAOSTAT (FAO, 2013b). The number of dairy cattle was 108 divided by the human population in each Annex I country to determine the number of dairy 109 cattle per capita (Table 1). The final sample was composed of a total of 23 countries as New 110 Zealand appeared under both sampling strategies.

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- 114
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120	Table 1: The 12 countries with the highest enteric methane emitting dairy sectors and the 12 Annex I countries

121	with the largest number of dairy cattle per capita in 2013 according to FAOSTAT (FAO, 2013a, b).
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Countries with the	Quantity of enteric	Annex I countries	The number
highest enteric	methane emitted by	with the largest	of dairy cows
methane emitting	dairy cows (Tg of	number of dairy	per capita
dairy sectors	CH ₄) ^a	cattle per capita	
India	2.60	New Zealand	1.07
Brazil	1.65	Ireland	0.25
USA ^b	1.18	Belarus	0.16
Sudan	0.83	Lithuania	0.11
China	0.83	Denmark	0.10
Russia ^b	0.77	Netherlands	0.10
Pakistan	0.66	Latvia	0.08
Ethiopia	0.50	Luxembourg	0.08
Germany ^b	0.50	Estonia	0.07
France ^b	0.43	Iceland	0.07
New Zealand ^b	0.43	Switzerland	0.07
Colombia	0.38	Australia	0.07

122 ^a Tg of CH_4 = Teragram of methane.

¹²² ^b Countries with the highest enteric methane emitting dairy sectors which are also Annex I Parties to the United
 ¹²⁴ Nations Framework Convention on Climate Change.

125 126

127 **2.2.** Policy collation

128 Government department websites relevant to the dairy sector for each country were

129 examined. Only national level departments were searched. Websites were required to be in

130 English to ensure a uniform approach to the collection of data. Available translation tools

131 (specifically Google TranslateTM) did not have sufficient functionality to support a uniform

approach. Although the requirement for English language websites may be a potential source

133 of bias, a sampling strategy without uniformity also risks the creation of bias.

134 The focus on English language websites may also be a source of bias in countries where

135 English is a second language (e.g. Brazil, Ethiopia, Pakistan, and Colombia). Such countries

136 are unlikely to translate extensive policy documents into English. English language

- 137 documents identified for these countries are likely a summarized version. This issue was
- 138 managed via the inclusion of National Communications to the UNFCCC and the requirement
- 139 for only an excerpt during data extraction (discussed below).

140 The departments searched within each country are shown in Table 2. As climate change and

141 dairy production can be a cross-cutting issue, the websites of the various environmental

142 departments were also included. The search was conducted over a period of one week (1 - 7

143 December 2014). Sudan and Russia were removed from the analysis as no English language

- 144 departmental website could be identified.
- 145 Departmental websites had a search function of some form located on the homepage.

146 However, there was no way to restrict searches to policy documents. Documents were located

147 manually (electronically) via the policy (or legislative) archive. Within the archive, policy

148 documents were primarily listed via hyperlink to a PDF file.

149 Document relevance was determined from the title of the document. The use of generalist

150 terms was expected to generate a representative sample (Scott, 1990; Whittaker, 2009; Duffy,

151 2010). Titles were examined for an explicit mention of "climate change", "global warming",

152 "mitigation", "adaptation", "dairy", and/or "livestock". The relevant documents were saved

153 (as a PDF) and retained within the sample for content screening. For example, the documents

154 of Pakistan were retrieved from the Ministry of Climate Change. On the Ministry's

homepage, the link "policies" was followed. A total of ten documents were listed. Two

156 document titles included the required keywords. These two documents were saved for content

157 screening.

158 The most recent National Communication to the UNFCCC was also procured from the

159 UNFCCC website (UNFCCC, 2014c, d) for each sampled country. This document was

160 considered indicative of the countries stance on achieving GHG emission reduction from the

- 161 dairy sector.
- 162

2.3. Content screening

163 Each document was reviewed as part of the content screening process. Within each document

164 the text word search function (CTRL+F) was used. The same keywords used to initially

165 identify documents (i.e. "climate change", "global warming", "mitigation", "adaptation",

166 "dairy", and/or "livestock") were again used to determine relevance within the text of each

167 document. However, the explicit mention of a key search term was insufficient to retain the

document within the sample. Rather, the paragraph containing the search term was reviewedfor a specific description of a dairy sector mitigation strategy or methodology.

170 **2.4. Data extraction**

- 171 Data were extracted from the final sample of documents in the form of a precise excerpt
- 172 containing the mitigation strategy. The excerpt was copied from the document and placed
- 173 into a Microsoft Word document. It was necessary to record precise excerpts to ensure all
- 174 collated excerpts are reflective of the point in time in which the search was conducted.

Table 2: The government departments included in the search of dairy sector mitigation policy. The number of documents retrieved and excerpts collated from the documents

176 is also provided.

Country	Website search locations	Policy documents collated	Excerpts collation
ndia	Government of India	3	6
	Department of Animal Husbandry Dairying & Fisheries		
	Department of Agriculture and Co-operation		
	Planning commission		
	National Dairy Development Board		
	Ministry of Environment and Forests		
	Second National communication to the UNFCCC		
Brazil	Government of Brazil	2	1
	Ministry of Agriculture, Livestock and Supply		
	Ministry of the environment		
	Second National communication to the UNFCCC		
JSA	United States Environmental Protection Agency	3	3
	United States Department of Agriculture		
	The White House		
	Sixth National Communication to the UNFCCC		
China	The State Council for the People's Republic of China	1	7
	Ministry of Agriculture of the People's Republic of China		
	Second National communication to the UNFCCC		
Pakistan	Ministry of Climate change	2	5
	Ministry of national food security and research		
	Pakistan Agricultural Research Council		
	First National communication to the UNFCCC		
Ethiopia	Federal Democratic Republic of Ethiopia Ministry of Foreign Affairs	1	6
	Federal Democratic Republic of Ethiopia Ministry of Agriculture and Rural Development		
	First National communication to the UNFCCC		
Germany	Federal Ministry of Food and Agriculture	2	2
	Federal Ministry for the Environment, Nature Conservation, Building and Nuclear Safety (BMUB)		
_	Sixth National Communication to the UNFCCC		
France	Ministry of Agriculture, Agrifood, and Forestry	1	1
	Ministry of Ecology, Sustainable Development and Energy		
	Sixth National Communication to the UNFCCC – abstract only		

New Zealand	Ministry for the Environment	1	0
	Ministry for Primary Industries		
0.1.1.	Sixth National Communication to the UNFCCC	1	2
Colombia	Ministry of Agriculture and Rural Development	1	2
	Ministry of Environment and Sustainable Development		
T 1 1	Second National Communication to the UNFCCC – Executive summary	2	2
Ireland	Department of Agriculture, Food and the Marine	3	3
	Department of the Environment, Community and Local Government		
D I	Sixth National Communication to the UNFCCC		
Belarus	Ministry of Agriculture and Food of the Republic of Belarus	1	1
	Ministry of Natural Resources and Environmental Protection		
	Department of Veterinary and Food Control		
	President of the Republic of Belarus		
	Fifth National Communication to the UNFCCC		
Lithuania	Ministry of Agriculture of the Republic of Lithuania	2	4
	Ministry of Environment of the Republic of Lithuania		
	State Food and Veterinary Service		
-	Sixth National Communication to the UNFCCC	-	_
Denmark	Ministry of Environment and Food	2	5
	The Danish AgriFish Agency		
	Danish Agriculture and Food Council		
	Ministry of Foreign Affairs Denmark		
	The Danish Ministry of Climate and Energy		
	Sixth National Communication to the UNFCCC	_	_
Netherlands	Ministry of Economic Affairs	2	2
	Ministry of Infrastructure and Environment		
	Sixth National Communication to the UNFCCC		
Latvia	Ministry of Agriculture	3	1
	Ministry of Environmental Protection and Regional Development		
	Sixth National Communication to the UNFCCC		
Luxembourg	Ministry of Agriculture, Viticulture and Consumer protection	1	4
	Ministry of Sustainable Development and Infrastructure		
	Sixth National Communication to the UNFCCC		
Estonia	Ministry of Agriculture	2	3
	Ministry of Environment		
	Sixth National Communication to the UNFCCC		
Iceland	Ministry of Fisheries and Agriculture	2	0
	Ministry for the Environment and Natural Resources		
	Sixth National Communication to the UNFCCC		

Switzerland	Federal Office of Agriculture	1	2	177
	The Federal Department of the Environment, Transport, Energy and Communications (DETEC) Sixth National Communication to the UNFCCC			
Australia	Department of Agriculture and Water resources	2	6	178
	Department of the Environment			
	Sixth National Communication to the UNFCCC			<u> 179 </u>
				-1'

184

2.5. **Categorized via theme**

185 Following data extraction, the mitigation strategies were clustered together for further analysis. Grouping was determined by the emission reduction target (i.e. the component of 186 the production system that the intervention targets to achieve a reduction in GHG emissions). 187 188 The themes included nutrition, breeding, health, management, and manure. For example, 189 Danish policy indicates that, "emissions could possibly be reduced by changing the feed 190 given to cattle...." (pp. 45) (The Danish Government, 2013). This intervention was placed 191 within the nutrition theme as it attempts to utilise nutritional pathways to reduce GHG 192 emissions.

193 Any replicated (within country) policies were removed from the analysis at this stage.

194 Additionally, if a legislative or policy statement contained a number of different

195 interventions, each intervention was considered separately. For example, the Australian

196 legislation, Regulation 3.28 identifies feed-based interventions that include five different feed

additives (Commonwealth of Australia, 2014). Each additive was considered as a standalone 198 intervention and placed into a theme accordingly. Ideally, the relationship between enteric

199 and manure methane, and N₂O would be a consideration of reduction interventions (Knapp et

200 al., 2014). However, little evidence of this relationship was identified within the policy set.

201 Similarly, there was no evidence of any potential additive effects of interventions. Thus, it

202 was appropriate to consider interventions individually.

203

197

2.6. **Categorized via topic**

204 Due to the diversity of the interventions within each theme it was necessary to further 205 categorize themes via topic. Interventions were sorted by their mode of action (i.e. how the 206 intervention attempted to achieve a reduction in GHG). Those interventions which were seen 207 to have a similar mode of action were grouped together. For example, Indian policy states, 208 "conversion of high fibre fodder into silage and chaffing/chopping of such fodder would be 209 encouraged" (pp. 21) (Government of India, 2013) whilst Dutch policy states, "...the better 210 the digestibility, the lower the methane emissions." (pp. 72) (Ministry of Infrastructure and 211 the Environment, 2013). Both statements suggest that improvements to the digestibility of 212 feeds will be sought to reduce GHG emission. These two statements were grouped together 213 under the topic of "improved digestibility". Figure 1 provides the schemata for the analysis.

215 ***INSERT FIGURE 1***

- ____

Figure 1: The schemata outlining the various steps conducted to collate national dairy policy from 23 countries. Only 23 countries were included as New Zealand appeared under both country sampling strategies. Brackets indicate the number of variables at each stage of the analysis.

238 **3. Results**

A total of 62 policies were identified from the sampled countries (Table 3). India, China,
Ethiopia and Australia had the most number of policies identified. A total of six policies were
identified for each country. No mitigation policies could be identified for New Zealand and
Iceland.

Thirty-one policies were identified for both the high emission nations and Annex I nations with the largest number of dairy cattle per capita. Nutrition based interventions account for 36% (n=11) of all policies identified for high emitting nations. Manure based interventions account for 48% (n=15) of all policies identified for Annex I nations with the largest number of dairy cattle per capita.

248 Table 4 indicates a difference in the number of policies identified from policy documents and 249 the number of interventions reported in National Communications to the UNFCCC. Annex I 250 countries with the largest number of dairy cattle per capita are under-reporting policy 251 attempts to reduce dairy sector emissions whilst high emission countries are slightly over-252 reporting. However, there is variability between nations. For example, no policies to reduce 253 dairy sector emissions could be identified from the National Communications of India and 254 Australia. Yet, six policies were identified from national policy documents for both countries. 255 Conversely, six policies were identified from the National Communications of China and 256 Ethiopia. No policies were identified in national policy documents.

Table 5 compares the number of polices identified for sampled Annex I and non-Annex I

258 countries. Annex I countries account for 65% (n=15) of the countries sampled and provide

259 58% (n=36) of the policies identified. The majority (n=18) of policies identified in Annex I

260 countries are manure based interventions. Non-Annex I countries demonstrate a broader

261 range of interventions compared Annex I countries. However, 42% (n=11) of the policies

262 identified in non-Annex I countries are focused on nutrition based interventions.

Across the sampled nations, Table 6 indicates that a range of nutrition based interventions

264 (total of 9 different interventions) are used by policymakers to mitigate dairy sector GHG

265 emissions. Anaerobic digestion is the most common mitigation policy selected by

266 policymakers. A total of 21% (n=13) of all sampled policies focus on anaerobic digester

267 installation. Table 6 also indicates that anaerobic digestion is uniformly popular across nearly

- all nations. Breeding cows for higher genetic merit (n=7) and covering of liquid manure
- facilities (n=5) both garner significant policy support internationally.
- Table 3: The distribution of dairy sector greenhouse gas mitigation policies offered by policymakers from 21
- 272 273 countries categorized via theme. Russia and Sudan are not presented as no English language websites could be
- located.

	Country	Number of j	policy intervent	ions identifie	ed in each theme		Total number
	-	Nutrition	Breeding	Health	Management	Manure	
Countries with	India	5			_	1	6
the highest	Brazil					1	1
enteric	USA ^a					2	2
methane	China	1	1	1	2	1	6
emitting dairy	Pakistan	2	2			1	5
sectors	Ethiopia	2	1	1		2	6
	Germany ^a				2		2
	France ^a					1	1
	New Zealand ^a						0
	Colombia	1	1				2
	Sub-total	11	5	2	4	9	31
Annex I	Ireland				1	2	3
countries with	Belarus		1				1
the largest	Lithuania				1	3	4
number of	Denmark	1	1			3	5
dairy cattle	Netherlands	1				1	2
per capita	Latvia					1	1
	Luxembourg				2	2	4
	Estonia				1	2	3
	Iceland						0
	Switzerland		1		1		2
	Australia	4	1			1	6
	Sub-total	6	4	0	6	15	31
Total number		17	9	2	10	24	62

275 Countries with the highest enteric methane emitting dairy sectors which are also Annex I Parties to the United Nations Framework Convention on Climate Change.

Table 4: A comparison of the number of policies tasked with reducing national dairy sector greenhouse gas

284 285 286 emissions as stated by National Communications to the United Nations Framework Convention on Climate Change and other national level policy documents identified from 21 sampled countries. Russia and Sudan are

	Country	Number of policies identified	Number of policies identified	Total number of
		from policy documents	from national communications	policies
Countries	India	6	0	6
with the	Brazil	1	0	1
highest enteric	USA ^a	2	0	2
methane	China	0	6	6
emitting dairy	Pakistan	5	0	5
sectors	Ethiopia	0	6	6
	Germany ^a	1	1	2
	France ^a	0	1	1
	New Zealand ^a	0	0	0
	Colombia	0	2	2
	Total	15	16	31
Annex I	Ireland	2	1	3
countries with	Belarus	0	1	1
the largest	Lithuania	3	1	4
number of	Denmark	4	1	5
dairy cattle	Netherlands	0	2	2
per capita	Latvia	1	0	1
	Luxembourg	0	4	4
	Estonia	3	0	3
	Iceland	0	0	0
	Switzerland	0	2	2
	Australia	6	0	6
	Total	19	12	31
Total number of	of policies	34	28	62
		enteric methane emitting dairy sec ion on Climate Change.	tors which are also Annex I Partie	s to the United
C				
1				
2				
3				

not shown as no English language websites could be located.

300 301 **Table 5:** A comparison of the number policies identified to reduce national dairy sector greenhouse gas emissions from sampled Annex I and non-Annex I countries. Russia and Sudan are not shown as no English language websites could be located.

	Country	Number of p	policy intervent	ions identifie	ed in each theme		Total number
	-	Nutrition	Breeding	Health	Management	Manure	
Annex I	USA				_	2	2
countries	Germany				2		2
	France					1	1
	Belarus		1				1
	Lithuania				1	3	4
	Denmark	1	1			3	5
	Netherlands	1				1	2
	Latvia					1	1
	New Zealand						0
	Ireland				1	2	3
	Luxembourg				2	2	4
	Estonia				1	2	3
	Iceland						0
	Switzerland		1		1		2
	Australia	4	1			1	6
	Sub-total	6	4	0	8	18	36
Non-Annex I	India	5				1	6
countries	Brazil					1	1
	China	1	1	1	2	1	6
	Pakistan	2	2			1	5
	Ethiopia	2	1	1		2	6
	Colombia	1	1				2
	Sub-total	11	5	2	2	6	26
Total number		17	9	2	10	24	62

303	Table 6: The distribution of policies offered by policymakers from 19 countries as dairy sector GHG mitigation strategies. New Zealand and Iceland are not shown as no
304	policies were identified. Russia and Sudan are not shown as no English language websites could be located.

Theme	Topic	Coun	tries wit	th the higl	nest ente	eric meth	nane em	itting dai	ry sector	s	sub-	Anne	x I cour	ntries wi	th the lar	gest nu	mber of	dairy cat	tle per o	capita		sub-	Total
		Ind	Bra	USA ^a	Chi	Pak	Eth	Ger ^a	Fra ^a	Col	total	Ire	Bel	Lit	Den	Net	Lat	Lux	Est	Swi	Aus	total	number
Nutrition	Tannin feeding																				1	1	1
	Eremophila feeding																				1	1	1
	Fats/oils feeding																				1	1	1
	Nitrate supplements																				1	1	1
	Supplement feeding	1			1		1				3												3
	Improve digestibility	1					1			1	3					1						1	4
	Microbe manipulation	2				1					3				1							1	4
	Feed schedule	1									1												1
	Precision Feeding					1					1												1
Manure	Anaerobic digestion	1		1	1	1	1		1		6	1		1	1	1		1	1		1	7	13
	Covering liquid			1							1			1	1		1		1			4	5
	manure facilities																						
	Slurry Spreading											1		1				1				3	3
	Dry spreading		1				1				2												2
	Cooling slurry														1							1	1
Health	Veterinary Services				1		1				2												2
Breeding	High Genetic Merit				1	1	1			1	4		1							1	1	3	7
	Low emission cow					1					1				1							1	2
Management	Intensification				1						1	1										1	2
	Reduced stocking rate				1			1			2							1		1		2	4
	Organic production							1			1			1				1	1			3	4
Total number		6	1	2	6	5	6	2	1	2	31	3	1	4	5	2	1	4	3	2	6	31	62

³⁰⁵ ^a Countries with the highest enteric methane emitting dairy sectors which are also Annex I Parties to the United Nations Framework Convention on Climate Change.

306 Ind=India, Braz=Brazil, USA=United States of America, Chi=China, Pak=Pakistan, Eth=Ethiopia, Ger=Germany, Fra=France, Col=Colombia, Ire=Ireland, Bel=Belgium,

307 Lit=Lithuania, Den=Denmark, Net=the Netherlands, Lat=Latvia, Lux=Luxembourg, Est=Estonia, Swi=Switzerland, Aus=Australia

308 **4. Discussion**

309 A diverse range of polices were collated from the sampled countries. Such diversity is not 310 unexpected as dairy production takes many forms internationally. Interestingly, the number 311 of policies identified under both sampling strategies was the same (Table 3). However, the 312 preferred theme (Table 3) differed between the high emitting nations and the Annex I nations 313 with the largest number of dairy cattle per capita. Differences in preference at the theme 314 level, reflect the more intensive nature of dairy production in Annex I countries (Table 3). 315 This is clearly illustrated by the comparison of Annex I and non-Annex I nations (Table 5). 316 Annex I countries prefer manure based interventions whilst non-Annex I countries prefer 317 nutrition based interventions.

Across the sampled nations, the clustering of interventions around particular themes reveals
commonality. For example, manure management techniques are targeted for emission
reduction across all nations sampled except Germany, Colombia, Belarus and Switzerland.
Targeting manure management for mitigation is a particularly intriguing choice as it is well
documented that the majority of dairy sector emissions are a result of enteric fermentation
(FAO, 2006, 2010; Gerber *et al.*, 2011; Gerber *et al.*, 2013).

324 The importance of manure emissions as a contributor to dairy sector emissions differs 325 depending on how the manure is managed. Yet, even if manure is managed in liquid form 326 (common to intensive production systems such as; the United States) where the conditions 327 are conducive to methane emission, the total quantity of GHG emitted from the manure is 328 relatively small when compared to enteric emissions. For example, in the United States 329 O'Brien et al. (2014) found that manure methane emissions in an intensive production system 330 were a mere 33% of enteric methane emissions. The results suggest that policymakers view 331 manure management as an easy target for reduction (compared with enteric sources). 332 However, by not targeting enteric sources it is unlikely that a significant reduction in dairy

333 sector emissions can ever be achieved.

334 Within manure management, policymakers are particularly focused on anaerobic digestion.

- Anaerobic digestion is likely favoured as it provides multiple benefits (York *et al.*, 2016).
- However, anaerobic digestion is far from applicable to all types of dairy production. For
- 337 example, in pasture based systems (such as Australia, and Ireland) manure is excreted
- directly onto pasture. As a result, only a very small portion of total manure is available for

digestion. Similarly, traditional manure management practices in India (making of dried dung
cakes) are relatively climate change benign (IPCC, 2006; York *et al.*, 2017). Thus, although
manure emissions may be viewed as mitigation "low-hanging fruit", the results illustrate a
need for policymakers to be aware of the nuanced nature of the dairy sector in its various
forms.

344 Nutrition based interventions are also favoured by policymakers, particularly microbe 345 management. Such approaches target the enteric sources responsible for the majority of dairy 346 sector emissions. However, the creation of a low-emission enteric environment is a 347 particularly challenging task. For example, approaches that manipulate rumen microbes (via 348 vaccination against methanogens, defaunation of protozoa, biological control of 349 methanogens, and/or reductive acetogenesis) are far from being commercially available and 350 applicable (Boadi et al., 2004; Eckard et al., 2010; Hristov et al., 2013). Policies based 351 around such technologies will have a significant lag-time between policy

development/implementation and realised emission reduction.

353 Interestingly, attempts to reduce dairy sector size are largely ignored by policymakers. Such 354 an omission illustrates the politicalized environment in which policies must exist. The 355 research community is increasingly aware that a reduced sector size may be required for 356 mitigation (see Adler et al., 2013; Doole, 2014; Webb et al., 2014). However, it appears there 357 is little political will to support such a policy across the sampled nations. This is unsurprising in some nations such as India where cattle have a socio-cultural value with restrictions on 358 359 slaughter. Yet, the broad trend to ignore strategies explicitly aimed at reducing sector size 360 highlights the politically sensitive nature of dairy sector emission mitigation policy as 361 policymakers are required to negotiate embedded societal values. Within India, policies 362 which advocate the use of buffalo (which are generally not afforded the same socio-cultural 363 value as cattle) are an example of the creativity that is required to address politicized policy 364 issues.

365 It could be argued that policy tasked with ensuring intensification and breeding for improved 366 genetic merit are euphemisms for a reduced sector size. Indeed, such terms are likely to 367 receive support from lobby groups and other stakeholders. However, from an emissions 368 perspective, unless productivity improvement is accompanied by a commensurate decrease in

total population size it is unlikely sector emissions will be reduced.

370 The current investigation is not an exhaustive review of national dairy sector policy.

371 Additionally, the study only considered English language documents obtained from internet

based resources. This may have created bias as important dairying nations could not be

included (e.g. Sudan and Russia). The sorting of policies into themes could also be critiqued

374 for introducing bias due to the need for interpretation (Whittaker, 2009). However, the

375 coupling of this interpretative process with the systematic approach taken toward the

376 literature limits the likely introduction of bias from interpretation as the research can be

377 replicated by others whom would likely arrive at the same conclusions (provided they follow

the same protocol).

379 The study sampled only those nations with high levels of dairy sector enteric emissions and 380 Annex I countries with the largest number of dairy cattle per capita. Although this attempted 381 to target those countries which were heavily involved in dairying, important exceptions can 382 be noted. This allowed the contrasting approaches of small and large dairying nations to be 383 examined. For example, Luxembourg has a very small dairy sector. In 2013, Luxembourg 384 had approximately 42 000 dairy cattle (FAO, 2013b). As such, policymakers are unlikely to 385 experience pressure from lobby groups which distort the policy process as would be expected 386 in countries with a large dairy sector (such as; United States). The absence of such political 387 pressure appears to allow policymakers to be more progressive in their approach to mitigation 388 as demonstrated by Luxembourg indicating the need for a reduced sector size. This is a stark 389 contrast to New Zealand which is heavily involved in dairy, yet no mitigation policy could be 390 identified. Thus, the role of political will in the development and implementation of 391 mitigation policy within nations that have an economically important (and powerful) dairy 392 sector should not be underestimated. This is concerning as such countries are responsible for 393 a significant portion of the global dairy sector's GHG emissions. The results of this study 394 clearly suggest that policymakers in these nations are unlikely to be proactive or progressive 395 in their approach to reducing dairy sector emissions. As such, the international community 396 may need to consider strategies to influence national dairy sector policy to drive change.

397 The inclusion of National Communications to the UNFCCC may have influenced the final 398 sample of mitigation strategies. Indeed, there is discrepancy in the number of policies 399 identified from policy documents and those reported in National Communications. The 400 purpose of the UNFCCC reports is for each country to outline the steps taken towards 401 emission reduction commitments. However, the results indicate some countries (e.g. India, 402 Pakistan, and Australia) have not been reporting mitigation policies via the National 403 Communication. Conversely, some nations (e.g. China and Ethiopia) have been reporting the 404 implementation of mitigation without the policies being identified from policy documents. 405 Although the discrepancy may be due to limitations in the search methodology, it may also be 406 an indicator of motivation to conform to international directives (i.e. being seen to be address 407 GHG emissions). Alternatively, it may indicate that some countries are yet to integrate the 408 reports into national policy processes and/or do not have the resources to report achievements 409 via this method. Therefore, it may be necessary for the UNFCCC to reconsider current 410 reporting practices to improve the utility of National Communications as a means of tracking 411 mitigation progress.

412 Although a number of reviews of the available mitigation strategies have been undertaken

413 internationally (e.g. Hristov *et al.*, 2013; Knapp *et al.*, 2014) this investigation is the first

414 attempt at a systematic stocktake of dairy sector GHG emission reduction policy. By taking

415 stock of the current policy environment, it becomes possible to identify the extent to which

the burgeoning body of dairy sector emission research has been adopted by policymakers.

417 **5.** Conclusion

The study demonstrates manure management (primarily anaerobic digestion) and nutrition 418 419 based mitigation strategies are favoured by policymakers. Explicit attempts to reduce 420 emissions via manipulation of sector size remain ignored. The final form of the policy 421 landscape cannot be determined from the results of this investigation. Rather, the results 422 highlight the political sensitivity of mitigation policy. Indeed, there is no panacea that will 423 ensure dairy sector emission reduction. However, the trade-offs that policymakers will be 424 required to consider under the guise of climate change compatible development are likely to 425 be significant. It is only by considering the various trade-offs can the long-term sustainability 426 of the sector be secured.

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