

A systematic review of policy approaches to dairy sector Greenhouse Gas (GHG) emission reduction

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1 **A systematic review of policy approaches to dairy sector Greenhouse Gas**
2 **(GHG) emission reduction.**

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11 **Abstract**

12 The dairy sector is a significant source of anthropogenic greenhouse gas (GHG) emissions.
13 The increasingly robust emission inventories allow researchers to consider mitigation.
14 However, there is a gap in knowledge regarding the extent to which mitigation research has
15 been implemented as policy. The authors undertook a systematic a review of national-level
16 dairy policy of 23 countries broadly following Preferred Reporting Items for Systematic
17 Reviews and Meta-Analyses (PRISMA) protocols. The aim of the study was to identify
18 international trends in dairy sector GHG emission reduction policy. Sampled countries
19 included the 12 countries with the highest quantity of dairy sector enteric methane emission
20 and 11 Annex I countries with the largest number of dairy cattle per capita. A total of 34
21 documents were collated containing 62 policies across five themes. Themes included:
22 nutrition, manure, health, breeding and management. Thirty-one policies were identified for
23 both the high emission nations and Annex I nations with the largest number of dairy cattle per
24 capita. Nutrition based interventions account for 36% (n=11) of all policies identified for
25 high emitting nations. Manure based interventions account for 48% (n=15) of all policies
26 identified for Annex I nations with the largest number of dairy cattle per capita. Across the
27 sample, policymakers favoured manure management strategies (n=24), particularly anaerobic
28 digestion which accounted for 21% (n=13) of all identified policies. Nutrition based
29 mitigation strategies were also preferred (n=17). Policies aimed at reducing sector size were

30 largely ignored (n=4). The results indicate that significant mitigation is unlikely as manure
31 emissions are only a small portion of total dairy sector emissions. The study concludes that
32 policymakers are selecting the less politically sensitive mitigation strategies at the cost of
33 emission reduction.

34 Keywords: systematic review, cow, mitigation, climate change, global warming

35 **1. Introduction**

36 Livestock's Long Shadow (FAO, 2006) introduced the livestock sector as a significant source
37 of global Greenhouse Gas (GHG) emissions. Although the initial estimate of GHG emissions
38 (18% of all anthropogenic GHG emission) (FAO, 2006) has been revised (see FAO, 2010)
39 the publication gained traction within the scientific community, policymakers, and the
40 general public. Since this time, the contribution livestock make to climate change (via GHG
41 emissions) has received significant research interest. The dairy sector is the focal point of
42 such research as it contributes an estimated 4% to total global anthropogenic GHG emissions
43 (FAO, 2010).

44 Quantifications of emission from northern dairy systems (particularly intensive dairying) are
45 considered increasingly robust. This has spurred emission mitigation research (e.g. Yan *et al.*,
46 2010; Doole, 2014; Dutreuil *et al.*, 2014). The less robust emission estimates from the global
47 south have limited mitigation research. However, the need for mitigation remains as it is
48 estimated that approximately 35% of the world's cattle are kept by smallholders in Sub-
49 Saharan Africa and South-Asia alone (Oosting *et al.*, 2014). Thus, effective emission
50 reduction policy must be developed for the north and south to ensure mitigation can occur at
51 a global scale.

52 However, over ten years since publication of Livestock's Long Shadow (FAO, 2006), it is
53 unclear what policies have been implemented to reduce the contribution of the dairy sector to
54 global GHG emissions. It is broadly accepted to be government's role to initiate policies that
55 will reduce emissions. Yet, the challenge posed by such a task should not be underestimated
56 as mitigation policy must exist alongside policy tasked with safeguarding food security and
57 climate change adaptation.

58 The research community increasingly notes that achieving emission reductions from the
59 livestock sector will be difficult without an overall reduction in sector size. For example,

60 Webb et al., (2014) found that achieving a 20% reduction in United Kingdom livestock sector
61 GHG emissions was not possible without reducing output (or exporting emissions overseas).
62 Similarly, reduced stocking rates were required to reduce emissions from the New Zealand
63 dairy sector (Adler *et al.*, 2013; Doole, 2014). For tropical livestock systems a reduced
64 stocking rate is recommended as it will also deliver additional benefits (such as; improved
65 output, and lowering other environment impacts) (Oosting *et al.*, 2014). Yet, to implement
66 policy tasked with reducing sector size will require significant political will. Thus, there is a
67 gap in knowledge regarding the extent to which mitigation research has been implemented as
68 policy.

69 The study explores this gap in knowledge by undertaking a systematic a review of national-
70 level dairy policy of 23 countries. The aim of the study was to identify trends in dairy sector
71 emission reduction policy. By examining trends across nations it becomes possible to identify
72 which inventions are favoured by policymakers and the extent to which dairy sector emission
73 reduction is likely at a global scale.

74 **2. Methods**

75 A systematic review of national-level dairy policy of 23 countries was undertaken broadly
76 following Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA)
77 protocols (Liberati *et al.*, 2009; Moher *et al.*, 2009). PRISMA protocols (Liberati *et al.*, 2009;
78 Moher *et al.*, 2009) represent a more robust adjunct to documentary analysis techniques.
79 However, as the investigation did not examine “studies” as the PRISMA statement (a 27 item
80 checklist) (Moher *et al.*, 2009) was designed to investigate, not all components of the
81 statement were relevant. Similarly, as the study did not examine clinical studies, meta-
82 analysis techniques and the Cochrane approach were of limited use (Heffernan *et al.*, 2012).

83 **2.1. Country selection**

84 The 12 countries with the highest levels of dairy sector enteric methane emission and the 12
85 Annex I countries with the largest number of dairy cattle per capita were selected for
86 inclusion. The sampling of 12 countries under each approach ensured the sample was
87 representative of global dairy policymaking. The 12 highest emitting countries account for
88 55% of all enteric methane emissions from dairy cattle. The 12 Annex I countries represent
89 29% of all Annex I countries. However, five Annex I countries were included amongst the
90 sample of highest enteric methane emitting countries. Therefore, a total of 17 Annex I

91 countries (38% of all Annex I countries) were included in the study. The complete sample of
92 23 countries contribute 59% of the total global dairy sectors enteric methane emissions.

93 Enteric methane emission was used to indicate dairy sector emissions as the majority of dairy
94 sector emissions are a result of enteric fermentation (FAO, 2006, 2010; Gerber *et al.*, 2011;
95 Gerber *et al.*, 2013). A large number of dairy cattle per capita was assumed to indicate that
96 the dairy sector contributes a disproportionately large amount to the country's total GHG
97 emissions (Garnaut, 2008). Annex I countries were targeted as it was expected that these
98 countries would be more aggressive in their attempts to reduce dairy sector GHG emissions.
99 Under the United Nations Framework Convention on Climate Change (UNFCCC), Annex I
100 countries have committed to reducing their GHG emissions to 1990 levels by the year 2000
101 (UNFCCC, 2014a).

102 The FAOSTAT database was used to identify those countries with large dairy sector enteric
103 methane emissions (FAO, 2013a). Data from the year 2013 was used as this was the most
104 recent data available. The countries with the highest emitting dairy sectors (via enteric
105 fermentation) are shown in Table 1. Annex I countries were identified from the UNFCCC
106 website (see UNFCCC, 2014b). The human and dairy cattle population size of each Annex I
107 country was obtained from FAOSTAT (FAO, 2013b). The number of dairy cattle was
108 divided by the human population in each Annex I country to determine the number of dairy
109 cattle per capita (Table 1). The final sample was composed of a total of 23 countries as New
110 Zealand appeared under both sampling strategies.

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120 **Table 1:** The 12 countries with the highest enteric methane emitting dairy sectors and the 12 Annex I countries
 121 with the largest number of dairy cattle per capita in 2013 according to FAOSTAT (FAO, 2013a, b).

Countries with the highest enteric methane emitting dairy sectors	Quantity of enteric methane emitted by dairy cows (Tg of CH ₄) ^a	Annex I countries with the largest number of dairy cattle per capita	The number of dairy cows per capita
India	2.60	New Zealand	1.07
Brazil	1.65	Ireland	0.25
USA ^b	1.18	Belarus	0.16
Sudan	0.83	Lithuania	0.11
China	0.83	Denmark	0.10
Russia ^b	0.77	Netherlands	0.10
Pakistan	0.66	Latvia	0.08
Ethiopia	0.50	Luxembourg	0.08
Germany ^b	0.50	Estonia	0.07
France ^b	0.43	Iceland	0.07
New Zealand ^b	0.43	Switzerland	0.07
Colombia	0.38	Australia	0.07

122 ^a Tg of CH₄ = Teragram of methane.

123 ^b Countries with the highest enteric methane emitting dairy sectors which are also Annex I Parties to the United
 124 Nations Framework Convention on Climate Change.

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127 **2.2. Policy collation**

128 Government department websites relevant to the dairy sector for each country were
 129 examined. Only national level departments were searched. Websites were required to be in
 130 English to ensure a uniform approach to the collection of data. Available translation tools
 131 (specifically Google TranslateTM) did not have sufficient functionality to support a uniform
 132 approach. Although the requirement for English language websites may be a potential source
 133 of bias, a sampling strategy without uniformity also risks the creation of bias.

134 The focus on English language websites may also be a source of bias in countries where
 135 English is a second language (e.g. Brazil, Ethiopia, Pakistan, and Colombia). Such countries
 136 are unlikely to translate extensive policy documents into English. English language

137 documents identified for these countries are likely a summarized version. This issue was
138 managed via the inclusion of National Communications to the UNFCCC and the requirement
139 for only an excerpt during data extraction (discussed below).

140 The departments searched within each country are shown in Table 2. As climate change and
141 dairy production can be a cross-cutting issue, the websites of the various environmental
142 departments were also included. The search was conducted over a period of one week (1 - 7
143 December 2014). Sudan and Russia were removed from the analysis as no English language
144 departmental website could be identified.

145 Departmental websites had a search function of some form located on the homepage.
146 However, there was no way to restrict searches to policy documents. Documents were located
147 manually (electronically) via the policy (or legislative) archive. Within the archive, policy
148 documents were primarily listed via hyperlink to a PDF file.

149 Document relevance was determined from the title of the document. The use of generalist
150 terms was expected to generate a representative sample (Scott, 1990; Whittaker, 2009; Duffy,
151 2010). Titles were examined for an explicit mention of “climate change”, “global warming”,
152 “mitigation”, “adaptation”, “dairy”, and/or “livestock”. The relevant documents were saved
153 (as a PDF) and retained within the sample for content screening. For example, the documents
154 of Pakistan were retrieved from the Ministry of Climate Change. On the Ministry’s
155 homepage, the link “policies” was followed. A total of ten documents were listed. Two
156 document titles included the required keywords. These two documents were saved for content
157 screening.

158 The most recent National Communication to the UNFCCC was also procured from the
159 UNFCCC website (UNFCCC, 2014c, d) for each sampled country. This document was
160 considered indicative of the countries stance on achieving GHG emission reduction from the
161 dairy sector.

162 **2.3. Content screening**

163 Each document was reviewed as part of the content screening process. Within each document
164 the text word search function (CTRL+F) was used. The same keywords used to initially
165 identify documents (i.e. “climate change”, “global warming”, “mitigation”, “adaptation”,
166 “dairy”, and/or “livestock”) were again used to determine relevance within the text of each
167 document. However, the explicit mention of a key search term was insufficient to retain the

168 document within the sample. Rather, the paragraph containing the search term was reviewed
169 for a specific description of a dairy sector mitigation strategy or methodology.

170 **2.4. Data extraction**

171 Data were extracted from the final sample of documents in the form of a precise excerpt
172 containing the mitigation strategy. The excerpt was copied from the document and placed
173 into a Microsoft Word document. It was necessary to record precise excerpts to ensure all
174 collated excerpts are reflective of the point in time in which the search was conducted.

175 **Table 2:** The government departments included in the search of dairy sector mitigation policy. The number of documents retrieved and excerpts collated from the documents
 176 is also provided.

Country	Website search locations	Policy documents collated	Excerpts collation
India	Government of India Department of Animal Husbandry Dairying & Fisheries Department of Agriculture and Co-operation Planning commission National Dairy Development Board Ministry of Environment and Forests Second National communication to the UNFCCC	3	6
Brazil	Government of Brazil Ministry of Agriculture, Livestock and Supply Ministry of the environment Second National communication to the UNFCCC	2	1
USA	United States Environmental Protection Agency United States Department of Agriculture The White House Sixth National Communication to the UNFCCC	3	3
China	The State Council for the People's Republic of China Ministry of Agriculture of the People's Republic of China Second National communication to the UNFCCC	1	7
Pakistan	Ministry of Climate change Ministry of national food security and research Pakistan Agricultural Research Council First National communication to the UNFCCC	2	5
Ethiopia	Federal Democratic Republic of Ethiopia Ministry of Foreign Affairs Federal Democratic Republic of Ethiopia Ministry of Agriculture and Rural Development First National communication to the UNFCCC	1	6
Germany	Federal Ministry of Food and Agriculture Federal Ministry for the Environment, Nature Conservation, Building and Nuclear Safety (BMUB) Sixth National Communication to the UNFCCC	2	2
France	Ministry of Agriculture, Agrifood, and Forestry Ministry of Ecology, Sustainable Development and Energy Sixth National Communication to the UNFCCC – abstract only	1	1

New Zealand	Ministry for the Environment Ministry for Primary Industries Sixth National Communication to the UNFCCC	1	0
Colombia	Ministry of Agriculture and Rural Development Ministry of Environment and Sustainable Development Second National Communication to the UNFCCC – Executive summary	1	2
Ireland	Department of Agriculture, Food and the Marine Department of the Environment, Community and Local Government Sixth National Communication to the UNFCCC	3	3
Belarus	Ministry of Agriculture and Food of the Republic of Belarus Ministry of Natural Resources and Environmental Protection Department of Veterinary and Food Control President of the Republic of Belarus Fifth National Communication to the UNFCCC	1	1
Lithuania	Ministry of Agriculture of the Republic of Lithuania Ministry of Environment of the Republic of Lithuania State Food and Veterinary Service Sixth National Communication to the UNFCCC	2	4
Denmark	Ministry of Environment and Food The Danish AgriFish Agency Danish Agriculture and Food Council Ministry of Foreign Affairs Denmark The Danish Ministry of Climate and Energy Sixth National Communication to the UNFCCC	2	5
Netherlands	Ministry of Economic Affairs Ministry of Infrastructure and Environment Sixth National Communication to the UNFCCC	2	2
Latvia	Ministry of Agriculture Ministry of Environmental Protection and Regional Development Sixth National Communication to the UNFCCC	3	1
Luxembourg	Ministry of Agriculture, Viticulture and Consumer protection Ministry of Sustainable Development and Infrastructure Sixth National Communication to the UNFCCC	1	4
Estonia	Ministry of Agriculture Ministry of Environment Sixth National Communication to the UNFCCC	2	3
Iceland	Ministry of Fisheries and Agriculture Ministry for the Environment and Natural Resources Sixth National Communication to the UNFCCC	2	0

Switzerland	Federal Office of Agriculture The Federal Department of the Environment, Transport, Energy and Communications (DETEC) Sixth National Communication to the UNFCCC	1	2	177
Australia	Department of Agriculture and Water resources Department of the Environment Sixth National Communication to the UNFCCC	2	6	178
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184 **2.5. Categorized via theme**

185 Following data extraction, the mitigation strategies were clustered together for further
186 analysis. Grouping was determined by the emission reduction target (i.e. the component of
187 the production system that the intervention targets to achieve a reduction in GHG emissions).
188 The themes included nutrition, breeding, health, management, and manure. For example,
189 Danish policy indicates that, “emissions could possibly be reduced by changing the feed
190 given to cattle...” (pp. 45) (The Danish Government, 2013). This intervention was placed
191 within the nutrition theme as it attempts to utilise nutritional pathways to reduce GHG
192 emissions.

193 Any replicated (within country) policies were removed from the analysis at this stage.
194 Additionally, if a legislative or policy statement contained a number of different
195 interventions, each intervention was considered separately. For example, the Australian
196 legislation, Regulation 3.28 identifies feed-based interventions that include five different feed
197 additives (Commonwealth of Australia, 2014). Each additive was considered as a standalone
198 intervention and placed into a theme accordingly. Ideally, the relationship between enteric
199 and manure methane, and N₂O would be a consideration of reduction interventions (Knapp *et al.*,
200 2014). However, little evidence of this relationship was identified within the policy set.
201 Similarly, there was no evidence of any potential additive effects of interventions. Thus, it
202 was appropriate to consider interventions individually.

203 **2.6. Categorized via topic**

204 Due to the diversity of the interventions within each theme it was necessary to further
205 categorize themes via topic. Interventions were sorted by their mode of action (i.e. how the
206 intervention attempted to achieve a reduction in GHG). Those interventions which were seen
207 to have a similar mode of action were grouped together. For example, Indian policy states,
208 “conversion of high fibre fodder into silage and chaffing/chopping of such fodder would be
209 encouraged” (pp. 21) (Government of India, 2013) whilst Dutch policy states, “...the better
210 the digestibility, the lower the methane emissions.” (pp. 72) (Ministry of Infrastructure and
211 the Environment, 2013). Both statements suggest that improvements to the digestibility of
212 feeds will be sought to reduce GHG emission. These two statements were grouped together
213 under the topic of “improved digestibility”. Figure 1 provides the schemata for the analysis.

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215 ***INSERT FIGURE 1***

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Figure 1: The schemata outlining the various steps conducted to collate national dairy policy from 23 countries. Only 23 countries were included as New Zealand appeared under both country sampling strategies. Brackets indicate the number of variables at each stage of the analysis.

238 **3. Results**

239 A total of 62 policies were identified from the sampled countries (Table 3). India, China,
240 Ethiopia and Australia had the most number of policies identified. A total of six policies were
241 identified for each country. No mitigation policies could be identified for New Zealand and
242 Iceland.

243 Thirty-one policies were identified for both the high emission nations and Annex I nations
244 with the largest number of dairy cattle per capita. Nutrition based interventions account for
245 36% (n=11) of all policies identified for high emitting nations. Manure based interventions
246 account for 48% (n=15) of all policies identified for Annex I nations with the largest number
247 of dairy cattle per capita.

248 Table 4 indicates a difference in the number of policies identified from policy documents and
249 the number of interventions reported in National Communications to the UNFCCC. Annex I
250 countries with the largest number of dairy cattle per capita are under-reporting policy
251 attempts to reduce dairy sector emissions whilst high emission countries are slightly over-
252 reporting. However, there is variability between nations. For example, no policies to reduce
253 dairy sector emissions could be identified from the National Communications of India and
254 Australia. Yet, six policies were identified from national policy documents for both countries.
255 Conversely, six policies were identified from the National Communications of China and
256 Ethiopia. No policies were identified in national policy documents.

257 Table 5 compares the number of policies identified for sampled Annex I and non-Annex I
258 countries. Annex I countries account for 65% (n=15) of the countries sampled and provide
259 58% (n=36) of the policies identified. The majority (n=18) of policies identified in Annex I
260 countries are manure based interventions. Non-Annex I countries demonstrate a broader
261 range of interventions compared Annex I countries. However, 42% (n=11) of the policies
262 identified in non-Annex I countries are focused on nutrition based interventions.

263 Across the sampled nations, Table 6 indicates that a range of nutrition based interventions
264 (total of 9 different interventions) are used by policymakers to mitigate dairy sector GHG
265 emissions. Anaerobic digestion is the most common mitigation policy selected by
266 policymakers. A total of 21% (n=13) of all sampled policies focus on anaerobic digester
267 installation. Table 6 also indicates that anaerobic digestion is uniformly popular across nearly

268 all nations. Breeding cows for higher genetic merit (n=7) and covering of liquid manure
 269 facilities (n=5) both garner significant policy support internationally.

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271 **Table 3:** The distribution of dairy sector greenhouse gas mitigation policies offered by policymakers from 21
 272 countries categorized via theme. Russia and Sudan are not presented as no English language websites could be
 273 located.

	Country	Number of policy interventions identified in each theme					Total number
		Nutrition	Breeding	Health	Management	Manure	
Countries with the highest enteric methane emitting dairy sectors	India	5				1	6
	Brazil					1	1
	USA ^a					2	2
	China	1	1	1	2	1	6
	Pakistan	2	2			1	5
	Ethiopia	2	1	1		2	6
	Germany ^a				2		2
	France ^a					1	1
	New Zealand ^a						0
	Colombia	1	1				2
<i>Sub-total</i>	<i>11</i>	<i>5</i>	<i>2</i>	<i>4</i>	<i>9</i>	<i>31</i>	
Annex I countries with the largest number of dairy cattle per capita	Ireland				1	2	3
	Belarus		1				1
	Lithuania				1	3	4
	Denmark	1	1			3	5
	Netherlands	1				1	2
	Latvia					1	1
	Luxembourg				2	2	4
	Estonia				1	2	3
	Iceland						0
	Switzerland		1		1		2
Australia	4	1			1	6	
<i>Sub-total</i>	<i>6</i>	<i>4</i>	<i>0</i>	<i>6</i>	<i>15</i>	<i>31</i>	
Total number		17	9	2	10	24	62

274 ^a Countries with the highest enteric methane emitting dairy sectors which are also Annex I Parties to the United
 275 Nations Framework Convention on Climate Change.

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283 **Table 4:** A comparison of the number of policies tasked with reducing national dairy sector greenhouse gas
 284 emissions as stated by National Communications to the United Nations Framework Convention on Climate
 285 Change and other national level policy documents identified from 21 sampled countries. Russia and Sudan are
 286 not shown as no English language websites could be located.

	Country	Number of policies identified from policy documents	Number of policies identified from national communications	Total number of policies
Countries with the highest enteric methane emitting dairy sectors	India	6	0	6
	Brazil	1	0	1
	USA ^a	2	0	2
	China	0	6	6
	Pakistan	5	0	5
	Ethiopia	0	6	6
	Germany ^a	1	1	2
	France ^a	0	1	1
	New Zealand ^a	0	0	0
	Colombia	0	2	2
	<i>Total</i>	<i>15</i>	<i>16</i>	<i>31</i>
Annex I countries with the largest number of dairy cattle per capita	Ireland	2	1	3
	Belarus	0	1	1
	Lithuania	3	1	4
	Denmark	4	1	5
	Netherlands	0	2	2
	Latvia	1	0	1
	Luxembourg	0	4	4
	Estonia	3	0	3
	Iceland	0	0	0
	Switzerland	0	2	2
	Australia	6	0	6
	<i>Total</i>	<i>19</i>	<i>12</i>	<i>31</i>
Total number of policies		34	28	62

287 ^a Countries with the highest enteric methane emitting dairy sectors which are also Annex I Parties to the United
 288 Nations Framework Convention on Climate Change.

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299 **Table 5:** A comparison of the number policies identified to reduce national dairy sector greenhouse gas
300 emissions from sampled Annex I and non-Annex I countries. Russia and Sudan are not shown as no English
301 language websites could be located.

	Country	Number of policy interventions identified in each theme					Total number
		Nutrition	Breeding	Health	Management	Manure	
Annex I countries	USA					2	2
	Germany				2		2
	France					1	1
	Belarus		1				1
	Lithuania				1	3	4
	Denmark	1	1			3	5
	Netherlands	1				1	2
	Latvia					1	1
	New Zealand						0
	Ireland				1	2	3
	Luxembourg				2	2	4
	Estonia				1	2	3
	Iceland						0
	Switzerland		1		1		2
	Australia	4	1			1	6
<i>Sub-total</i>	<i>6</i>	<i>4</i>	<i>0</i>	<i>8</i>	<i>18</i>	<i>36</i>	
Non-Annex I countries	India	5				1	6
	Brazil					1	1
	China	1	1	1	2	1	6
	Pakistan	2	2			1	5
	Ethiopia	2	1	1		2	6
	Colombia	1	1				2
	<i>Sub-total</i>	<i>11</i>	<i>5</i>	<i>2</i>	<i>2</i>	<i>6</i>	<i>26</i>
Total number	17	9	2	10	24	62	

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303 **Table 6:** The distribution of policies offered by policymakers from 19 countries as dairy sector GHG mitigation strategies. New Zealand and Iceland are not shown as no
 304 policies were identified. Russia and Sudan are not shown as no English language websites could be located.

Theme	Topic	Countries with the highest enteric methane emitting dairy sectors									sub- total	Annex I countries with the largest number of dairy cattle per capita										sub- total	Total number		
		Ind	Bra	USA ^a	Chi	Pak	Eth	Ger ^a	Fra ^a	Col		Ire	Bel	Lit	Den	Net	Lat	Lux	Est	Swi	Aus				
Nutrition	Tannin feeding																					1	1	1	
	<i>Eremophila</i> feeding																						1	1	1
	Fats/oils feeding																						1	1	1
	Nitrate supplements																						1	1	1
	Supplement feeding	1			1			1																3	3
	Improve digestibility	1						1		1							1							1	4
	Microbe manipulation	2					1								1									1	4
	Feed schedule	1																						1	1
	Precision Feeding					1																		1	1
Manure	Anaerobic digestion	1		1	1	1	1		1		1		1	1	1		1	1		1		1	7	13	
	Covering liquid manure facilities			1									1	1			1			1			4	5	
	Slurry Spreading										1		1				1						3	3	
	Dry spreading		1				1																2	2	
	Cooling slurry													1										1	1
Health	Veterinary Services				1			1															2	2	
Breeding	High Genetic Merit				1	1	1							1						1	1		3	7	
	Low emission cow					1								1									1	2	
Management	Intensification				1						1												1	2	
	Reduced stocking rate				1				1								1			1			2	4	
	Organic production								1				1				1	1					3	4	
Total number		6	1	2	6	5	6	2	1	2	31	3	1	4	5	2	1	4	3	2	6	31	62		

305 ^a Countries with the highest enteric methane emitting dairy sectors which are also Annex I Parties to the United Nations Framework Convention on Climate Change.

306 Ind=India, Braz=Brazil, USA=United States of America, Chi=China, Pak=Pakistan, Eth=Ethiopia, Ger=Germany, Fra=France, Col=Colombia, Ire=Ireland, Bel=Belgium,

307 Lit=Lithuania, Den=Denmark, Net=the Netherlands, Lat=Latvia, Lux=Luxembourg, Est=Estonia, Swi=Switzerland, Aus=Australia

308 **4. Discussion**

309 A diverse range of policies were collated from the sampled countries. Such diversity is not
310 unexpected as dairy production takes many forms internationally. Interestingly, the number
311 of policies identified under both sampling strategies was the same (Table 3). However, the
312 preferred theme (Table 3) differed between the high emitting nations and the Annex I nations
313 with the largest number of dairy cattle per capita. Differences in preference at the theme
314 level, reflect the more intensive nature of dairy production in Annex I countries (Table 3).
315 This is clearly illustrated by the comparison of Annex I and non-Annex I nations (Table 5).
316 Annex I countries prefer manure based interventions whilst non-Annex I countries prefer
317 nutrition based interventions.

318 Across the sampled nations, the clustering of interventions around particular themes reveals
319 commonality. For example, manure management techniques are targeted for emission
320 reduction across all nations sampled except Germany, Colombia, Belarus and Switzerland.
321 Targeting manure management for mitigation is a particularly intriguing choice as it is well
322 documented that the majority of dairy sector emissions are a result of enteric fermentation
323 (FAO, 2006, 2010; Gerber *et al.*, 2011; Gerber *et al.*, 2013).

324 The importance of manure emissions as a contributor to dairy sector emissions differs
325 depending on how the manure is managed. Yet, even if manure is managed in liquid form
326 (common to intensive production systems such as; the United States) where the conditions
327 are conducive to methane emission, the total quantity of GHG emitted from the manure is
328 relatively small when compared to enteric emissions. For example, in the United States
329 O'Brien *et al.* (2014) found that manure methane emissions in an intensive production system
330 were a mere 33% of enteric methane emissions. The results suggest that policymakers view
331 manure management as an easy target for reduction (compared with enteric sources).
332 However, by not targeting enteric sources it is unlikely that a significant reduction in dairy
333 sector emissions can ever be achieved.

334 Within manure management, policymakers are particularly focused on anaerobic digestion.
335 Anaerobic digestion is likely favoured as it provides multiple benefits (York *et al.*, 2016).
336 However, anaerobic digestion is far from applicable to all types of dairy production. For
337 example, in pasture based systems (such as Australia, and Ireland) manure is excreted
338 directly onto pasture. As a result, only a very small portion of total manure is available for

339 digestion. Similarly, traditional manure management practices in India (making of dried dung
340 cakes) are relatively climate change benign (IPCC, 2006; York *et al.*, 2017). Thus, although
341 manure emissions may be viewed as mitigation “low-hanging fruit”, the results illustrate a
342 need for policymakers to be aware of the nuanced nature of the dairy sector in its various
343 forms.

344 Nutrition based interventions are also favoured by policymakers, particularly microbe
345 management. Such approaches target the enteric sources responsible for the majority of dairy
346 sector emissions. However, the creation of a low-emission enteric environment is a
347 particularly challenging task. For example, approaches that manipulate rumen microbes (via
348 vaccination against methanogens, defaunation of protozoa, biological control of
349 methanogens, and/or reductive acetogenesis) are far from being commercially available and
350 applicable (Boadi *et al.*, 2004; Eckard *et al.*, 2010; Hristov *et al.*, 2013). Policies based
351 around such technologies will have a significant lag-time between policy
352 development/implementation and realised emission reduction.

353 Interestingly, attempts to reduce dairy sector size are largely ignored by policymakers. Such
354 an omission illustrates the politicalized environment in which policies must exist. The
355 research community is increasingly aware that a reduced sector size may be required for
356 mitigation (see Adler *et al.*, 2013; Doole, 2014; Webb *et al.*, 2014). However, it appears there
357 is little political will to support such a policy across the sampled nations. This is unsurprising
358 in some nations such as India where cattle have a socio-cultural value with restrictions on
359 slaughter. Yet, the broad trend to ignore strategies explicitly aimed at reducing sector size
360 highlights the politically sensitive nature of dairy sector emission mitigation policy as
361 policymakers are required to negotiate embedded societal values. Within India, policies
362 which advocate the use of buffalo (which are generally not afforded the same socio-cultural
363 value as cattle) are an example of the creativity that is required to address politicized policy
364 issues.

365 It could be argued that policy tasked with ensuring intensification and breeding for improved
366 genetic merit are euphemisms for a reduced sector size. Indeed, such terms are likely to
367 receive support from lobby groups and other stakeholders. However, from an emissions
368 perspective, unless productivity improvement is accompanied by a commensurate decrease in
369 total population size it is unlikely sector emissions will be reduced.

370 The current investigation is not an exhaustive review of national dairy sector policy.
371 Additionally, the study only considered English language documents obtained from internet
372 based resources. This may have created bias as important dairying nations could not be
373 included (e.g. Sudan and Russia). The sorting of policies into themes could also be critiqued
374 for introducing bias due to the need for interpretation (Whittaker, 2009). However, the
375 coupling of this interpretative process with the systematic approach taken toward the
376 literature limits the likely introduction of bias from interpretation as the research can be
377 replicated by others whom would likely arrive at the same conclusions (provided they follow
378 the same protocol).

379 The study sampled only those nations with high levels of dairy sector enteric emissions and
380 Annex I countries with the largest number of dairy cattle per capita. Although this attempted
381 to target those countries which were heavily involved in dairying, important exceptions can
382 be noted. This allowed the contrasting approaches of small and large dairying nations to be
383 examined. For example, Luxembourg has a very small dairy sector. In 2013, Luxembourg
384 had approximately 42 000 dairy cattle (FAO, 2013b). As such, policymakers are unlikely to
385 experience pressure from lobby groups which distort the policy process as would be expected
386 in countries with a large dairy sector (such as; United States). The absence of such political
387 pressure appears to allow policymakers to be more progressive in their approach to mitigation
388 as demonstrated by Luxembourg indicating the need for a reduced sector size. This is a stark
389 contrast to New Zealand which is heavily involved in dairy, yet no mitigation policy could be
390 identified. Thus, the role of political will in the development and implementation of
391 mitigation policy within nations that have an economically important (and powerful) dairy
392 sector should not be underestimated. This is concerning as such countries are responsible for
393 a significant portion of the global dairy sector's GHG emissions. The results of this study
394 clearly suggest that policymakers in these nations are unlikely to be proactive or progressive
395 in their approach to reducing dairy sector emissions. As such, the international community
396 may need to consider strategies to influence national dairy sector policy to drive change.

397 The inclusion of National Communications to the UNFCCC may have influenced the final
398 sample of mitigation strategies. Indeed, there is discrepancy in the number of policies
399 identified from policy documents and those reported in National Communications. The
400 purpose of the UNFCCC reports is for each country to outline the steps taken towards
401 emission reduction commitments. However, the results indicate some countries (e.g. India,
402 Pakistan, and Australia) have not been reporting mitigation policies via the National

403 Communication. Conversely, some nations (e.g. China and Ethiopia) have been reporting the
404 implementation of mitigation without the policies being identified from policy documents.
405 Although the discrepancy may be due to limitations in the search methodology, it may also be
406 an indicator of motivation to conform to international directives (i.e. being seen to be address
407 GHG emissions). Alternatively, it may indicate that some countries are yet to integrate the
408 reports into national policy processes and/or do not have the resources to report achievements
409 via this method. Therefore, it may be necessary for the UNFCCC to reconsider current
410 reporting practices to improve the utility of National Communications as a means of tracking
411 mitigation progress.

412 Although a number of reviews of the available mitigation strategies have been undertaken
413 internationally (e.g. Hristov *et al.*, 2013; Knapp *et al.*, 2014) this investigation is the first
414 attempt at a systematic stocktake of dairy sector GHG emission reduction policy. By taking
415 stock of the current policy environment, it becomes possible to identify the extent to which
416 the burgeoning body of dairy sector emission research has been adopted by policymakers.

417 **5. Conclusion**

418 The study demonstrates manure management (primarily anaerobic digestion) and nutrition
419 based mitigation strategies are favoured by policymakers. Explicit attempts to reduce
420 emissions via manipulation of sector size remain ignored. The final form of the policy
421 landscape cannot be determined from the results of this investigation. Rather, the results
422 highlight the political sensitivity of mitigation policy. Indeed, there is no panacea that will
423 ensure dairy sector emission reduction. However, the trade-offs that policymakers will be
424 required to consider under the guise of climate change compatible development are likely to
425 be significant. It is only by considering the various trade-offs can the long-term sustainability
426 of the sector be secured.

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