

# *The role of the cloud radiative effect in the sensitivity of the Intertropical Convergence Zone to convective mixing*

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1    **The role of the cloud radiative effect in the sensitivity of the Intertropical**  
2                    **Convergence Zone to convective mixing.**

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## ABSTRACT

12 Studies have shown that the location and structure of the simulated Intertrop-  
13 ical Convergence Zone (ITCZ) is sensitive to the treatment of sub-gridscale  
14 convection and cloud-radiation interactions. This sensitivity remains in ide-  
15 alised aquaplanet experiments with fixed surface temperatures. However,  
16 studies have not considered the role of cloud-radiative effects (CRE, atmo-  
17 spheric heating due to cloud-radiation interactions) in the sensitivity of the  
18 ITCZ to the treatment of convection. We use an atmospheric energy input  
19 (AEI) framework to explore how the CRE modulates the sensitivity of the  
20 ITCZ to convective mixing in aquaplanet simulations. Simulations show a  
21 sensitivity of the ITCZ to convective mixing, with stronger convective mixing  
22 favoring a single ITCZ. For simulations with a single ITCZ, the CRE main-  
23 tains the positive, equatorial AEI. To explore the role of the CRE further, we  
24 prescribe the CRE as either zero or a meridionally and diurnally varying cli-  
25 matology. Removing the CRE is associated with a reduced equatorial AEI  
26 and an increase in the range of convective mixing rates that produce a double  
27 ITCZ. Prescribing the CRE reduces the sensitivity of the ITCZ to convective  
28 mixing by 50%. In prescribed-CRE simulations, other AEI components, in  
29 particular the surface latent heat flux, modulate the sensitivity of the AEI to  
30 convective mixing. Analysis of the meridional moist static energy transport  
31 shows that a shallower Hadley circulation can produce an equatorward energy  
32 transport at low latitudes even with equatorial ascent.

## 33 1. Introduction

34 Tropical rainfall is often associated with a discontinuous zonal precipitation band commonly  
35 known as the Intertropical Convergence Zone (ITCZ). The ITCZ migrates between the Northern  
36 and Southern Hemispheres with the seasonal cycle, with a zonal-, time-mean position of approx-  
37 imately 6°N (Schneider et al. 2014). The ITCZ is co-located with the ascending branch of the  
38 Hadley circulation, where strong moist convection leads to high rainfall. The upper branches of  
39 the Hadley circulation typically transport energy poleward, away from the ITCZ. Recent studies  
40 have associated characteristics of the ITCZ with the energy transport by the Hadley circulation  
41 (Frierson and Hwang 2012; Donohoe et al. 2013; Adam et al. 2016; Bischoff and Schneider  
42 2016).

43 A double ITCZ bias is prominent in current and previous generations of coupled general  
44 circulation models (GCMs; Li and Xie 2014; Oueslati and Bellon 2015). The ITCZ is too  
45 intense in the Southern Hemisphere (Lin 2007), resulting in two annual-, zonal-mean tropical  
46 precipitation maxima, one in each hemisphere. A bias remains in atmosphere-only simulations  
47 with prescribed sea surface temperatures (SSTs) (Li and Xie 2014). Aquaplanet simulations  
48 provide an idealised modelling environment in which some complex boundary conditions in  
49 tropical circulation such as land/sea contrasts and orography are removed. However aquaplanet  
50 configurations of GCMs coupled to a slab ocean produce a broad range of tropical precipitation  
51 mean states (Voigt et al. 2016); even prescribing zonally uniform SSTs does not resolve the  
52 inter-model variability (Blackburn et al. 2013).

53

54 *a. Modelling studies*

55 Characteristics of the simulated ITCZ are sensitive to the representation of cloud-radiation inter-  
56 actions (Fermepin and Bony 2014; Li et al. 2015; Harrop and Hartmann 2016). In the deep tropics  
57 the cloud radiative effect (CRE) warms the atmosphere (Allan 2011), with important effects on  
58 tropical circulation (Slingo and Slingo 1988; Crueger and Stevens 2015). The CRE is associated  
59 with a more prominent single ITCZ (Crueger and Stevens 2015; Harrop and Hartmann 2016; Popp  
60 and Silvers 2017). Both Harrop and Hartmann (2016) and Popp and Silvers (2017) investigated  
61 the association between the Hadley circulation and CRE in a range of aquaplanet simulations with  
62 and without the CRE. In all GCMs used, the CRE is associated with increased equatorial rainfall,  
63 an equatorward contraction of the ITCZ, and a strengthening of the mean meridional circulation.  
64 The authors emphasise different mechanisms by which the CRE promotes a single ITCZ. Harrop  
65 and Hartmann (2016) propose that the CRE warms the upper tropical troposphere, which reduces  
66 the convective available potential energy and restricts deep convection to the region of warmest  
67 SSTs, whilst Popp and Silvers (2017) argue that the CRE strengthens the Hadley circulation and  
68 moves the ITCZ equatorward, associated with increased moist static energy (MSE) advection by  
69 the lower branches of the Hadley circulation. The strengthening of the mean circulation is asso-  
70 ciated with the CRE meridional gradient, as the CRE is positive in the tropics and negative in the  
71 extra-tropics ( $\geq \pm 45^\circ$  latitude; Allan 2011). However, it should be noted that the CRE reduces  
72 total tropical-mean ( $\leq \pm 30^\circ$  latitude) precipitation due to reduced radiative cooling (Harrop and  
73 Hartmann 2016).

74 Across a hierarchy of models it has been shown that the simulation of tropical precipitation  
75 is sensitive to the representation of convection (Terray 1998; Frierson 2007; Wang et al. 2007;  
76 Chikira 2010; Mobis and Stevens 2012; Oueslati and Bellon 2013; Bush et al. 2015; Nolan et al.

2016). For example, variations in lateral entrainment and detrainment rates, which alter the representation of deep convection, affect the diurnal cycle of precipitation over the Maritime Continent (Wang et al. 2007) and South Asian monsoon precipitation rates (Bush et al. 2015). Increasing convective mixing strengthens deep convection in convergence zones, associated with an increased moisture flux from subsidence regions (Terray 1998; Oueslati and Bellon 2013).

In full GCMs, complex surface characteristics and boundary conditions including land-sea contrasts, orography and SST gradients, make it challenging to understand the sensitivity of tropical precipitation to the representation of convection (Oueslati and Bellon 2013; Bush et al. 2015). Even in the absence of complex surface topography, aquaplanet studies have also shown that characteristics of tropical precipitation, in particular the location and intensity of the ITCZ, are sensitive to the sub-gridscale treatment of convection (Hess et al. 1993; Numaguti 1995; Chao and Chen 2004; Liu et al. 2010; Mobis and Stevens 2012). Mobis and Stevens (2012) studied the sensitivity of the ITCZ location to the choice of convective parameterisation scheme in an aquaplanet configuration of the ECHAM GCM by comparing the Nordeng (1994) and Tiedtke (1989) schemes, which vary in their formulations of entrainment, detrainment and cloud base mass flux for deep convection. The Nordeng scheme, with a higher lateral entrainment rate, produced a single ITCZ, whilst the Tiedtke scheme produced a double ITCZ. The authors associate the location of maximum boundary layer MSE with the ITCZ location; they argue that mechanisms that control the boundary layer MSE are important to the sensitivity of the ITCZ to the representation of convection. The boundary layer MSE distribution is predominantly controlled by the surface winds, which are influenced by convective heating, allowing variations in convective heating to influence the ITCZ structure. The importance of the surface winds is further emphasised by simulations with prescribed surface winds in the computation of the surface fluxes (Mobis and Stevens 2012). These simulations lead to the conclusion that there is a strong association between surface

101 turbulent fluxes and the ITCZ.

102 While the ITCZ has been shown to be sensitive to the CRE and the convective parameterisation  
103 scheme, no study has separated these effects. This paper will analyse the sensitivity of the ITCZ to  
104 convective mixing in aquaplanet simulations using the Met Office Unified Model (MetUM), and  
105 the role of the CRE in this sensitivity.

## 106 *b. Atmospheric Energy framework*

107 Literature based on a hierarchy of models, as well as reanalysis data and observations, concludes  
108 that the northward displacement of the ITCZ from the equator is anti-correlated with the north-  
109 ward cross-equatorial atmospheric energy transport (Kang et al. 2008; Frierson and Hwang 2012;  
110 Donohoe et al. 2013). Bischoff and Schneider (2014) developed a diagnostic framework to relate  
111 the location of the ITCZ to this energy transport.

112 The zonal-mean atmospheric MSE budget is (Neelin and Held 1987):

$$[AEI] = \partial_t [\hat{h}_e] + \partial_y [\hat{v}h] \quad (1)$$

113 where  $AEI$  is the atmospheric energy input (AEI);  $vh$  is the meridional MSE flux, ( $v$  is meridional  
114 wind;  $h$  is MSE);  $h_e$  is the moist enthalpy;  $[\ ]$  denotes a zonal- and time-mean;  $\hat{\ } represents a mass  
115 weighted vertical integral;  $\partial_y$  is the meridional derivative; and  $\partial_t$  is the time derivative. Local  
116 Cartesian coordinates are printed with  $y = a\phi$ , (where  $a$  is Earth's radius and  $\phi$  is latitude,) but  
117 all calculations are performed in spherical coordinates. Bischoff and Schneider (2014) assume  
118 a statistically steady state ( $\partial_t [\hat{h}_e] = 0$ ) and that  $[\hat{v}h]$  in the tropics is dominated by the zonal-mean  
119 circulation and therefore  $[\hat{v}h]$  equals zero at the ITCZ. Through performing a first-order Taylor  
120 expansion of the equatorial  $[\hat{v}h]$ , Bischoff and Schneider (2014) derive the dependence of the  
121 ITCZ location on the equatorial MSE flux and equatorial AEI:$



$$\delta \approx -\frac{1}{a} \frac{[\widehat{vh}]_0}{[AEI]_0} \quad (2)$$

with the AEI defined as:

$$[AEI] = [S] - [L] - [O] \quad (3)$$

where subscript 0 denotes the equatorial value,  $S$  is the net incoming shortwave radiation at the top of the atmosphere (TOA),  $L$  is the outgoing longwave radiation at the TOA, and  $O$  is the net downward flux at the surface. Bischoff and Schneider (2016) retain higher order terms in the Taylor expansion to derive a framework for negative  $[AEI]_0$ . A negative  $[AEI]_0$  is associated with a double ITCZ as  $[\widehat{vh}]$  no longer increases with latitude; energy is transported equatorward at low latitudes to achieve equilibrium. A double ITCZ is associated with two off-equatorial energy flux equators, where the total meridional energy flux equals zero. Bischoff and Schneider (2016) derive an expression for the locations of a double ITCZ:

$$\delta \approx \pm \frac{1}{a} \left\{ -\frac{6([AEI]_0)}{\partial_{yy}([AEI]_0)} \right\}^{\frac{1}{2}} + \frac{[\widehat{vh}]_0}{2a([AEI]_0)} \quad (4)$$

Note equation 4 is from a corrigendum for the original paper.

Bischoff and Schneider (2014) explore the relationship derived in (2) using an idealised slab-ocean GCM with a prescribed oceanic heat transport. They investigate the effects of the  $[AEI]_0$  and the  $[\widehat{vh}]_0$  through varying the imposed equatorial ocean heat flux and the atmospheric longwave absorption. Changes in both  $[AEI]_0$  and  $[\widehat{vh}]_0$  affect the latitude of the ITCZ; this theoretical relationship is supported in observations and reanalyses (Adam et al. 2016). Bischoff and Schneider (2016) examine the double ITCZ framework (4) using a slab-ocean GCM and varying the tropical and extra-tropical components of the imposed ocean energy flux divergence. An increased tropical ocean energy flux divergence decreases the  $[AEI]_0$ . For double ITCZ scenarios and when  $[\widehat{vh}]_0$  is negligible, decreasing the  $[AEI]_0$  shifts the energy flux equator poleward. The diagnosed energy

141 flux equators from (2) and (4) are close to the simulated precipitation maxima, highlighting the  
 142 association between the AEI and ITCZ.

143 However, Bischoff and Schneider (2014)’s definition of the  $[AEI]$  (3) is chosen as their simu-  
 144 lations prescribe  $O$ , which allows only the TOA energy budget ( $S - L$ ) to vary. This constrains  
 145 the AEI response to model perturbations, as surface radiation and turbulent fluxes are constrained  
 146 at equilibrium, which could reduce the impact of surface-flux feedbacks on the ITCZ. We use  
 147 atmosphere-only simulations with prescribed SSTs, allowing variations in the components of  $O$ .  
 148 As our experiments do not have a closed surface energy balance and we are interested in cloudy-  
 149 sky radiation AEI components, we choose to write the AEI as:

$$[AEI] = [SW] + [LW] + [H] \quad (5)$$

150 where  $SW$  and  $LW$  represent the net atmospheric heating from shortwave and longwave radiation,  
 151 respectively, and  $H$  denotes the atmospheric heating from surface sensible and latent heat fluxes.  
 152 Both fixed SST and prescribed  $O$  frameworks misrepresent the real climate system by restricting  
 153 air-sea coupled feedbacks (discussed further in section 4). From an AEI perspective, Mobis and  
 154 Stevens (2012) severely constrain  $H$  in a subset of experiments by prescribing the surface winds  
 155 when computing the surface fluxes. This reduces the sensitivity of the ITCZ to the convective  
 156 parameterisation scheme.

157 Previous research on the response of the simulated ITCZ to variations in the sub-gridscale rep-  
 158 resentation of convection have not considered the role of the CRE or used an energy budget frame-  
 159 work like that proposed by Bischoff and Schneider (2014). We hypothesise that the sensitivity of  
 160 the ITCZ to these factors can be linked to variations in AEI and  $[\widehat{vh}]$ .

## 2. Methodology

We use variations of an N96 ( $1.25^\circ$  latitude  $\times$   $1.875^\circ$  longitude) aquaplanet configuration of the Met Office Unified Model (MetUM) Global Atmosphere 6.0 (GA6.0) configuration (Walters et al. 2017). The deep convective parameterisation scheme is an altered form of the mass flux scheme in Gregory and Rowntree (1990), including a convective available potential energy closure based on Fritsch and Chappell (1980) and a mixing detrainment rate dependent on the relative humidity (Derbyshire et al. 2004). Unless noted, all simulations are run for three years with a “Qobs” SST profile (Neale and Hoskins 2001), with the first sixty days discarded as spin-up.

### *a. Simulations performed*

To explore the sensitivity of the simulated ITCZ to convective mixing, we perform five simulations varying the lateral entrainment ( $\varepsilon$ ) and detrainment ( $d_m$ ) rates for deep-level convection (Table 1). In GA6.0 these rates are:

$$\varepsilon = 4.5 f_{dp} \frac{p(z) \rho(z) g}{p_*^2} \quad (6)$$

$$d_m = 3.0(1 - RH) \varepsilon \quad (7)$$

Both  $\varepsilon$  and  $d_m$  are given as a fractional mixing rate per unit length ( $m^{-1}$ ). In (6) and (7),  $p$  and  $p_*$  are pressure and surface pressure ( $Pa$ );  $\rho$  is density ( $kg\ m^{-3}$ );  $g$  is gravitational acceleration ( $m\ s^{-2}$ );  $f_{dp}$  is a constant with the default value of 1.13;  $RH$  is relative humidity. We control  $\varepsilon$  and  $d_m$  by scaling  $f_{dp}$  to five values between 0.25 and  $1.5 \times$  the default value: 0.28 (F0.28), 0.57 (F0.57), 0.85 (F0.85), 1.13 (F1.13) or 1.70 (F1.70).

To explore the influence of the CRE on the sensitivity of the ITCZ to convective mixing we perform a companion set of experiments with cloud-radiation interactions removed: F0.28NC,

181 F0.57NC, F0.85NC, F1.13NC and F1.70NC (Table 1). Cloud-radiation interactions are removed  
182 by setting the cloud liquid and cloud ice to zero in the radiation scheme.

183 Finally, a third set of simulations use a prescribed CRE (Table 2) to investigate the relative  
184 importance of  $f_{dp}$  and the CRE to characteristics of the ITCZ. The four simulations have a pre-  
185 scribed, diurnally varying CRE vertical profile computed from a single-year simulation with  $f_{dp}$   
186 equal to 0.57 or 1.13 (PC0.57 and PC1.13, respectively). The CRE is prescribed using cloudy-sky  
187 upward and downward fluxes at each model level at every model timestep. The diurnally varying  
188 CRE profile is computed as a hemispherically symmetric and zonally uniform composite of the  
189 climatological diurnal cycle at each grid point, referenced to local solar time. Two of the four  
190 simulations prescribe a CRE at a different  $f_{dp}$  constant from that in the simulation (F1.13PC0.57,  
191 F0.57PC1.13), whilst the other two simulations use a CRE from the same  $f_{dp}$  value to assess the  
192 sensitivity to prescribing cloud-radiation interactions (F1.13PC1.13, F0.57PC0.57).

### 193 3. Results

#### 194 a. Sensitivity of the ITCZ to the convective mixing.

195 Figure 1a shows the sensitivity of the ITCZ to  $f_{dp}$  with a single ITCZ at higher values (F1.13,  
196 F1.70). Reducing  $f_{dp}$  promotes a double ITCZ, with peak precipitation further away from the  
197 equator (F0.28, F0.57). F0.85 has a marginal double ITCZ with no substantial difference between  
198 equatorial and off-equatorial precipitation. Decreasing  $f_{dp}$  is associated with a weaker horizontal  
199 gradient of the mass meridional streamfunction (Figure 2). F0.28 is the only simulation to  
200 show a reversed Hadley circulation in the deep tropics (Figure 2e), associated with upper-level  
201 zonal-mean equatorial subsidence, typical of a double ITCZ. F0.57 meanwhile has a typical  
202 double ITCZ structure in precipitation but not in the mass meridional streamfunction (Figure 1a

203 and 2d), which we refer to as a “split ITCZ”: two off-equatorial precipitation maxima and two  
204 ascending branches of the Hadley circulation, without any substantial zonal-mean subsidence  
205 equatorward of the precipitation maxima.

206 Convective mixing reduces the difference in MSE between a convective plume, determined by  
207 the boundary layer MSE, and the free-troposphere (Mobis and Stevens 2012), which reduces the  
208 buoyancy of the convective plume. Assuming the sensitivity of the environmental saturated MSE  
209 to  $f_{dp}$  is small, the depth of convection will depend on the boundary layer MSE and  $f_{dp}$ . De-  
210 creasing  $f_{dp}$  will deepen convection for a constant boundary layer MSE, and reduce the minimum  
211 boundary layer MSE at which deep convection occurs. Following weak-temperature gradient  
212 arguments (e.g. Sobel et al. 2001) and assuming a small meridional gradient in free-tropospheric  
213 tropical temperature, and hence a small gradient in the saturated MSE across the deep tropics,  
214 the reduced minimum boundary layer MSE needed for deep convection strengthens convection  
215 in off-equatorial tropical latitudes over cooler SSTs. Stronger off-equatorial deep convection  
216 decreases equatorward low-level winds in the deep tropics, reducing equatorial boundary layer  
217 MSE. Hence, decreasing  $f_{dp}$  is associated with a poleward ITCZ shift and promotes a double  
218 ITCZ. Similar arguments can be made for higher  $f_{dp}$  promoting a single ITCZ.

219 The sensitivity of the ITCZ to  $f_{dp}$  is associated with AEI changes (Figure 1b), with a change  
220 from a single (F1.13) to a double/split ITCZ (F0.28/F0.57) associated with a decrease in the  $[AEI]_0$   
221 (Figure 3d and e). Simulations with a single/double ITCZ in precipitation have a positive/negative  
222  $[AEI]_0$  (Figure 1b), in agreement with Bischoff and Schneider (2014). Changes in cloudy-sky  
223 radiation and latent heat flux are the dominant components of AEI changes (blue and orange  
224 lines, respectively, in Figure 3). In F1.13 the total CRE peaks at approximately  $60 \text{ Wm}^{-2}$  at the  
225 equator and reduces to zero around  $15^\circ$  latitude (blue line in Figure 3b). This equatorial warming  
226 comes almost entirely from the longwave CRE, which dominates the total CRE equatorward

227 of  $10^\circ$  latitude (not shown). In the subtropics,  $20^\circ$  to  $30^\circ$  latitude, low clouds contribute to a  
 228 negative CRE of  $\approx 2 \text{ Wm}^{-2}$ , as longwave cooling from boundary layer clouds is greater than  
 229 the shortwave heating. Without the CRE contribution to the  $[AEI]_0$  in F1.13,  $[AEI]_0$  would be  
 230 negative, suggesting that the CRE maintains the single ITCZ. Removing the CRE from the AEI  
 231 in F1.13 would give an  $[AEI]_0$  of  $-25.7 \text{ Wm}^{-2}$ , assuming that no other AEI components change.  
 232 Using Bischoff and Schneider (2016)’s framework, (4), with values for AEI once removing  
 233 the CRE and assuming that  $[\widehat{v\bar{h}}]_0 \simeq 0 \text{ Wm}^{-1}$ , (associated with an hemispherically symmetric  
 234 atmospheric circulation), predicts a double ITCZ at  $\pm 5.6^\circ$  latitude.

235 The split ITCZ in F0.57 is associated with a substantially reduced equatorial CRE and an  
 236 increased off-equatorial CRE (Figure 3d). We chose CRE profiles from one year of F0.57 and  
 237 F1.13 for our prescribed CRE simulations (Table 2), as these two simulations show CRE profiles  
 238 typical of a double and single ITCZ, respectively; these simulations are analysed in section 3d.  
 239 As the Hadley circulation and ITCZ are associated with the AEI, and the CRE plays a substantial  
 240 role in AEI changes when varying  $f_{dp}$ , we hypothesize that prescribing the CRE will reduce or  
 241 remove the sensitivity of the AEI and ITCZ to  $f_{dp}$ .

### 243 *b. Sensitivity of the ITCZ to convective mixing with no cloud radiative effect*

244 To test our hypothesis above, we first analyse simulations with the CRE removed (Table  
 245 1), similar to Harrop and Hartmann (2016). Figure 4a and Figure 5 show the zonal-mean  
 246 precipitation and mass meridional streamfunction respectively in simulations with no CRE (Table  
 247 1). Removing the CRE at  $f_{dp} = 1.13$  (F1.13NC) leads to a switch from a single to a split ITCZ,  
 248 and a  $\approx 20\%$  weakening of the Hadley circulation (Figure 4a and 5b).

249 Similar to Harrop and Hartmann (2016), removing the CRE cools the tropical ( $\leq 30^\circ$  latitude)

upper-troposphere, destabilizing the atmosphere and reducing the environmental saturated MSE. For a fixed boundary layer MSE and convective mixing rate, removing the CRE deepens convection as the buoyancy of a convective plume increases relative to the saturated MSE of the environment. Hence, removing the CRE reduces the minimum boundary layer MSE for deep convection, strengthening off-equatorial convection over cooler SSTs. Stronger off-equatorial convection decreases equatorward low-level winds in the deep tropics, reducing equatorial boundary layer MSE and promoting a double ITCZ. This mechanism is similar to that proposed for the sensitivity of the ITCZ to  $f_{dp}$  (section 3a). However, when removing the CRE changes in the environmental saturated MSE play the dominant role, whilst for the sensitivity of the ITCZ to  $f_{dp}$ , changes in the convective parcel MSE dominate.

The weaker Hadley circulation and double ITCZ in precipitation in F1.13NC is consistent with AEI changes. In F1.13NC removing CRE reduces the  $[AEI]_0$  by  $\approx 45 \text{ Wm}^{-2}$ , leading to a negative  $[AEI]_0$ , and increases the subtropical AEI by up to  $15 \text{ Wm}^{-2}$  (20 to  $45^\circ$  latitude) (Figure 6f). Across the deep tropics the AEI change is not equal to the CRE diagnosed from F1.13, due to increased turbulent and clear-sky fluxes. These increased fluxes, associated with an equatorward shift of the ITCZ, partially offset the reduction in  $[AEI]_0$ . Hence, the predicted location of the double ITCZ in section 3a when removing the CRE overestimated the poleward shift of the ITCZ. Removing the CRE reduces tropical-domain ( $\leq 30^\circ$  latitude) AEI, which is associated with increased AEI at higher latitudes to maintain equilibrium. Our simulations are consistent with the suggested mechanisms proposed by Popp and Silvers (2017): the ITCZ is located at the maximum boundary layer MSE, and a weaker meridional circulation is associated with a reduced AEI gradient.

At all  $f_{dp}$  removing the CRE reduces the maximum precipitation rate, weakens the Hadley circulation (comparing Figure 1a and 4a), and moves the latitude of peak precipitation poleward

(Figure 7a). The sensitivity of the ITCZ structure to removing the CRE depends on the convective mixing rate: either a broader single ITCZ (F1.70NC), a poleward shift of a double/split ITCZ (F0.28NC and F0.57NC), or a switch from a single to a split/double ITCZ (F0.85NC and F1.13NC). Removing the CRE cools the upper troposphere and reduces the boundary layer MSE required for deep convection. This increases the  $f_{dp}$  value at which the ITCZ transitions from single to split/double.

Removing the CRE changes, but does not remove, the sensitivity of the ITCZ to  $f_{dp}$ . Quantifying the apparent effect of the CRE on the sensitivity of the ITCZ to  $f_{dp}$  is difficult, as the effect depends on both the range of  $f_{dp}$  considered and the metric used (Figure 7). When an off-equatorial ITCZ is simulated in CRE-off simulations ( $0.28 \leq f_{dp} \leq 1.13$ ), including the CRE increases the sensitivity of the ITCZ location to  $f_{dp}$  by  $\approx 30\%$  (comparing the slopes of the solid regression lines in Figure 7a). However, because F1.70NC has a single ITCZ, including the CRE cannot shift the ITCZ equatorward. Hence, when  $0.28 \leq f_{dp} \leq 1.70$  the change in sensitivity reduces to nearly zero (comparing the slopes of the dashed lines). The reduction in sensitivity also depends on the chosen metric; for instance, the maximum precipitation rate has a negligible sensitivity to  $f_{dp}$  in CRE-off simulations but a substantial sensitivity in CRE-on simulations (Figure 7b), highlighting that the CRE has a positive feedback on convection as increasing  $f_{dp}$  is associated with an increased CRE (Figure 8).

Increasing  $f_{dp}$  is associated with an increased tropical-domain CRE (Figure 8), which is counter-intuitive as one might expect that increasing  $f_{dp}$  will lead to lower cloud tops and hence a reduced CRE. However, the maximum cloud top height at the ITCZ is insensitive to  $f_{dp}$  (not shown), but the minimum temperature where the cloud fraction goes to zero (cloud top temperature) is sensitive to  $f_{dp}$  in both CRE-on and CRE-off simulations (Figure 8). The cloud top temperature decreases as  $f_{dp}$  increases (Figure 8), associated with a cooler upper-troposphere.



Furthermore, the increase in SST at the ITCZ location, associated with equatorward contraction of the ITCZ, also contributes to an increased CRE at higher  $f_{dp}$ .

Removing the CRE decreases the sensitivity of the AEI to  $f_{dp}$  (comparing Figure 1b and Figure 4b). The reduced sensitivity of the AEI is associated with a reduced sensitivity of the ITCZ. Latent heat flux variations account for most of the remaining AEI sensitivity to  $f_{dp}$  (Figure 6). In simulations with a double ITCZ (F0.28NC, F0.57NC and F0.85NC), changes in the latent heat flux and AEI have a bi-modal structure, indicating reduced latent heat flux at the location of maximum precipitation in F1.13NC (Figure 6c-e). Changes in the latent heat flux are predominantly controlled by alterations in near-surface wind speed rather than changes in near-surface specific humidity (not shown).

Simulations so far agree with the association in Bischoff and Schneider (2016) between a negative  $[AEI]_0$  and a double ITCZ. However, the negative  $[AEI]_0$  in F0.57, F0.85NC and F1.13NC requires an equatorward transport of energy at low latitudes, but the mean mass meridional streamfunction suggests a poleward transport of energy (Figure 2b, 5c, 5d). In the following subsection we discuss mechanisms for an equatorward energy transport.

### *c. Mechanisms responsible for an equatorward energy transport*

To better understand the response of the mean circulation, associated with ITCZ changes, to varying  $f_{dp}$  and removing the CRE, we partition the divergence of the MSE flux ( $\partial_y[\widehat{v}\widehat{h}]$ ) into two components: the mean circulation ( $\partial_y([\widehat{v}][\widehat{h}])$ ) and the eddy contribution ( $\partial_y[\widehat{v}\widehat{h}] - \partial_y([\widehat{v}][\widehat{h}])$ ). In these simulations it has not been possible to close the atmospheric energy budget (1) due to local energy conservation issues (discussed further in section 4), however the sign of the  $[AEI]_0$  is consistent with the sign of the  $\partial_y[\widehat{v}\widehat{h}]$  in simulations so far. In all simulations the eddy con-

tribution to the meridional MSE flux is substantial across the tropics highlighting that the mean atmospheric circulation is not solely responsible for transporting energy. Furthermore, one should not necessarily assume a correspondence between the required MSE transport and the transport by the mean meridional circulation. In simulations with a single/double ITCZ, both the mean circulation and eddies transport energy poleward/equatorward at low latitudes. In F0.57, which has a negative  $[AEI]_0$  and a split ITCZ, equatorward transport of energy at low latitudes is achieved solely by eddies. When  $f_{dp}$  equals 0.85 and 1.13, a change in the sign of the energy transport by the mean circulation ( $\partial_y([\hat{v}][\hat{h}])$ ) occurs at low latitudes when removing the CRE, however there is still equatorial ascent across most of the troposphere (Figure 5b, c). To understand the sensitivity of the mean circulation to removing the CRE at these convective mixing rates, we partition the change in the MSE flux ( $[\hat{v}][\hat{h}]$ ) into mean circulation changes and MSE variations.

First, the meridional mass flux, denoted by  $V$ , in F1.13NC ( $V_e$ ) is partitioned into two components:

$$V_e = V_c(1 + \alpha) + V_r \quad (8)$$

where  $\alpha = \frac{V_e \cdot V_c}{V_c \cdot V_c} - 1$

Subscripts  $c$  and  $e$  represent the zonal-, time-mean value of the control and experiment simulation (in this case F1.13 and F1.13NC respectively).  $\alpha$  is a globally uniform scaling term calculated using the dot product of the meridional mass fluxes in the tropics (30°N to 30°S). We account for variations in density in  $V$ .  $V_c(1 + \alpha)$  represents a change in strength of the control circulation;  $V_r$  represents a change in circulation structure. Next, the MSE,  $(c_p T + gz + Lq)$ , in the experiment simulation ( $h_e$ ) is written as:

$$h_e = h_c + h_p \quad (9)$$

where subscript  $p$  represents the zonal-, time-mean difference between the two simulations. The change in the MSE flux between the experiment and control simulation can therefore be written as:

$$V_e h_e - V_c h_c = \alpha V_c h_c + V_r h_c + V_c h_p + (\alpha V_c + V_r) h_p \quad (10)$$

Each term in (10) represents a mechanism by which  $vh$  can vary:  $\alpha V_c h_c$  represents circulation intensity changes;  $V_r h_c$  represents changes in circulation structure;  $V_c h_p$  represents MSE profile changes; and  $(\alpha V_c + V_r) h_p$ , represents MSE profile changes correlated with changes in circulation structure and strength.

Three out of the four mechanisms are important in reducing the poleward MSE transport by the Hadley circulation in F0.85NC and F1.13NC (Figure 9): a reduction in Hadley circulation strength (Figure 9e); a shallower mean circulation (Figure 9f); and a reduced MSE export at the top of the Hadley circulation due to lower MSE associated with upper-tropospheric cooling (Figure 9g). MSE profile changes correlated with changes in circulation strength and intensity  $[(\alpha V_c + V_r) h_p]$  are small compared to the other three mechanisms (Figure 9h). As changes in circulation strength ( $\alpha V_c h_c$ ) cannot change the direction of energy transport, the reduced upper-tropospheric MSE ( $V_c h_p$ ) and shallower Hadley circulation ( $V_r h_c$ ) must be responsible for the change in energy transport direction by the mean circulation. At the equator, circulation strength changes ( $\alpha V_c h_c$ ) contribute  $\approx 16\%$  of the reduced  $\partial_y([\hat{v}][\hat{h}])$ ; reduced MSE export by the upper branch of the mean circulation ( $V_c h_p$ ) and a shallower Hadley circulation ( $V_r h_c$ ) contribute  $\approx 34\%$  and  $50\%$  respectively (not shown). Therefore, at certain convective mixing rates, in our case when  $f_{dp} = 0.85$  and  $1.13$ , removing the CRE is not associated with a substantial double ITCZ in the mass meridional streamfunction, even though MSE is transported equatorward at

low latitudes and the  $[AEI]_0$  is negative. Similar behaviour has also been concluded by Popp and Silvers (2017) who found that in certain simulations the zero mass meridional streamfunction remained at the equator even when the  $[AEI]_0$  was negative.

Removing the CRE and varying  $f_{dp}$  are associated with substantial AEI changes which require MSE transport variations. In the two sets of simulations discussed so far, we identified three mechanisms to transport MSE equatorward at low latitudes; which mechanisms dominates depends on the CRE and  $f_{dp}$ . First, in F0.28, F0.28NC and F0.57NC, subsidence across the equatorial region is associated with an equatorward MSE flux at low latitudes (Figure 2e and Figure 5d, e). Secondly, eddy energy transport plays a role in the equatorward MSE flux in F0.28, F0.57, F0.28NC, F0.57NC, F0.85NC. Thirdly, in F0.85NC and F1.13NC a shallower Hadley circulation and reduced upper-tropospheric MSE reduces the MSE exported in the upper branches of the mean circulation, resulting in a net equatorward MSE transport. All other simulations (F0.85, F1.13, F1.70 and F1.70NC) have a single ITCZ associated with a positive  $[AEI]_0$  and poleward MSE transport at low latitudes.

#### *d. Sensitivity of the ITCZ to convective mixing with a prescribed cloud radiative effect.*

To further understand the role of the CRE on the sensitivity of the ITCZ to convective mixing, we perform prescribed-CRE simulations and vary  $f_{dp}$  (Table 2). The prescribed CRE is diagnosed from single-year simulations with  $f_{dp}$  equal to 1.13 or 0.57 (section 2). The effect of prescribing the diurnal cycle of the CRE in a simulation with the same  $f_{dp}$  is minimal; for example, the ITCZ is similar in F1.13PC1.13 and F1.13 (Figure 1 and 10). Hence, we only discuss the mean circulation in F1.13PC0.57 and F0.57PC1.13 (Figure 11a and c).

Similar to CRE-off simulations, the sensitivity of the ITCZ to  $f_{dp}$  reduces in prescribed CRE

384 simulations (Figure 10a) compared to CRE-on simulations (Figure 1a), associated with a reduced  
 385 sensitivity of the AEI to  $f_{dp}$  (Figure 10b, 12a and c). The prescribed CRE heating acts as a fixed  
 386 MSE source, which requires an increase in MSE export and hence increased convective activity.  
 387 In PC1.13 simulations the CRE maximises at the equator, which is associated with increased  
 388 equatorial convective activity and a single ITCZ. In PC0.57 simulations on the other hand, the  
 389 CRE peaks off the equator and promotes a double ITCZ. The root mean squared difference of  
 390 tropical precipitation and the mass meridional streamfunction illustrates that prescribing the  
 391 CRE reduces the sensitivity of the ITCZ and Hadley circulation to  $f_{dp}$  by  $\approx 50\%$  (Table 3).  
 392 Whilst the CRE plays a role in the sensitivity of the ITCZ to convective mixing (for example,  
 393 comparing F1.13PC1.13 and F1.13PC0.57 in Figure 10a), the ITCZ and Hadley circulation are  
 394 still sensitive to  $f_{dp}$ . For example, reducing  $f_{dp}$  (F0.57PC1.13) leads to a weakening in the upper  
 395 branch of the mean circulation whilst changing the prescribed CRE (F1.13PC0.57) intensifies  
 396 the upper branch of the Hadley circulation as the higher  $f_{dp}$  value is associated with a cooler  
 397 upper-troposphere, hence, an intensified upper branch of the mean circulation is required for  
 398 similar MSE transport (comparing F1.13 in Figure 2b to F0.57PC1.13 and F1.13PC0.57 in Figure  
 399 11c and a, respectively). The response of convection to changes in convective mixing is partially  
 400 offset by the effect of prescribing the location of the CRE.

401 As in CRE-off simulations, AEI changes in prescribed CRE simulations when varying  $f_{dp}$   
 402 are predominantly driven by latent heat flux variations. For example, between F1.13PC1.13 and  
 403 F0.57PC1.13, the equatorial latent heat flux reduces whilst the off-equatorial latent heat flux  
 404 increases (Figure 12a). These changes are partially offset by changes in the clear-sky radiation,  
 405 associated with a decrease in the TOA outgoing longwave radiation, due to an increase in  
 406 atmospheric water vapour content. As changes in the ITCZ are associated with AEI changes,  
 407 we conclude that the remaining sensitivity of the ITCZ to  $f_{dp}$  in prescribed CRE simulations is

associated with latent heat flux variations. In simulations where the prescribed CRE is varied but the same  $f_{dp}$  value is used, AEI changes are mostly associated with cloudy-sky radiation (Figure 12b, d). However, latent heat flux variations are of the same order of magnitude as when varying  $f_{dp}$ . Using the same technique described in section 3c, we conclude that a shallower, weaker Hadley circulation is primarily responsible for changes in the MSE transport by the mean circulation when reducing  $f_{dp}$  or changing the prescribed CRE from PC1.13 to PC0.57 (not shown).

F1.13PC0.57 and F0.57PC1.13 have similar, split ITCZs (Figure 10a), yet very different AEI profiles (Figure 10b, Figure 11b and d). F0.57PC1.13 highlights that a double ITCZ in precipitation does not require a negative  $[AEI]_0$  or an equatorward MSE transport (green and black line respectively in Figure 11d), illustrating that a double ITCZ in precipitation is not necessarily associated with an equatorward MSE flux at low latitudes. Instead a negative  $[AEI]_0$  is a sufficient but not a necessary condition for a double ITCZ in precipitation. Due to local energy conservation issues, which are discussed further in section 4, it is challenging to understand F1.13PC0.57, which shows a negative  $[AEI]_0$  and a positive equatorial  $\partial_y[\widehat{vh}]$  (Figure 11b), (contradicting (1) as steady-state has been reached).

#### 4. Discussion

We have analysed aquaplanet simulations with variations to convective mixing to show an association between resultant variations in the AEI and characteristics of the ITCZ. Using the AEI framework we have shown the importance of the CRE in the sensitivity of the ITCZ to convective mixing. In a single ITCZ scenario (F0.85, F1.13 and, F1.70), the CRE is critical in maintaining a positive  $[AEI]_0$ . For example, the  $[AEI]_0$  would be negative without the CRE in F1.13 and F1.70,

associated with a double ITCZ. Changes in cloudy-sky radiation are the dominant cause of AEI changes when varying the convective mixing rate, leading to our hypothesis that prescribing the CRE would remove or reduce the sensitivity of the ITCZ to convective mixing. The fact that the sensitivity of the ITCZ to  $f_{dp}$  remains in CRE-off and prescribed CRE simulations highlights the importance of other AEI components, in particular the latent heat flux. All simulations, with the exception of F0.57PC1.13, are consistent with Bischoff and Schneider (2016): a positive  $[AEI]_0$  is associated with a single ITCZ and a negative  $[AEI]_0$  with a double ITCZ.

CRE-off simulations illustrate that the CRE plays a substantial role in the structure and intensity of the ITCZ. Similar to Harrop and Hartmann (2016), we observe that removing the CRE cools the tropical upper-troposphere, reducing atmospheric stability and resulting in deep convection over cooler SSTs. Stronger convection at higher latitudes reduces equatorial moisture convergence and is associated with a double ITCZ. Removing the CRE also weakens the Hadley circulation which is associated with a reduced AEI gradient between the tropics and sub-tropics, in agreement with Popp and Silvers (2017). The sensitivity of the ITCZ to  $f_{dp}$  reduces when removing the CRE, agreeing with our hypothesis that prescribing the CRE would either remove or reduce the sensitivity of the ITCZ to convective mixing. Quantifying the reduction in sensitivity of the ITCZ to  $f_{dp}$  when removing the CRE remains a challenge due to strong dependence on the chosen metric and range of  $f_{dp}$ . It should also be noted that when removing the CRE other AEI components change, such that the AEI change is not equal to the total CRE that is removed.

In prescribed CRE simulations, ITCZ characteristics are sensitive to both the prescribed CRE and  $f_{dp}$ , however the sensitivity of the ITCZ to  $f_{dp}$  reduces by  $\approx 50\%$  (Table 3). In prescribed CRE simulations the response of convection to changes in convective mixing is offset by the effect of prescribing the location of the CRE. Heating associated with the prescribed CRE is a MSE source, therefore to increase the MSE exported, convective activity increases. The reduction in

sensitivity compliments work by Voigt et al. (2014), who found that prescribing the CRE reduced the sensitivity of the ITCZ to hemispheric albedo perturbations to a similar degree. Thus, the role of the CRE in the sensitivity of the ITCZ to both variations in the convection scheme and boundary forcing appear similar, based on these two studies.

In both CRE-off and prescribed CRE simulations, latent heat flux alterations, associated with circulation changes, are the predominant cause of AEI changes when varying  $f_{dp}$ . Circulation changes when varying  $f_{dp}$  in CRE-off simulations are not associated with clear-sky flux variations, consistent with Harrop and Hartmann (2016), which concluded that changes in the clear-sky radiative cooling do not change the modelled circulation. Mobis and Stevens (2012) highlighted the importance of surface fluxes in reducing the sensitivity of the ITCZ to the convective parameterisation scheme when prescribing the wind speeds in the computation of surface fluxes. Numaguti (1993) and Liu et al. (2010) also concluded that variations in surface evaporation are associated with the ITCZ structure. We highlight that the sensitivity of the ITCZ to convective mixing is predominantly associated with the surface fluxes in the absence of cloud feedbacks.

As noted earlier in sections 3c and 3d, the balance between the diagnosed AEI and diagnosed  $\partial_y[\widehat{vh}]$ , equation (1), does not hold locally in MetUM. The mean of the maximum absolute diagnosed imbalance across the tropics amongst simulations is  $13.4 \text{ Wm}^{-2}$ . More importantly, the diagnosed equatorial energy imbalance ranges from  $6.94 \text{ Wm}^{-2}$  in F0.28NC to  $-20.63 \text{ Wm}^{-2}$  in F1.70 with a mean absolute error of  $9.89 \text{ Wm}^{-2}$ . For all of our simulations apart from F1.13PC0.57, the sign of the equatorial  $d_y[vh]$  and  $[AEI]_0$  are the same, and therefore using  $[AEI]_0$  as a proxy for the direction of energy transport at low latitudes is still valid. In F1.13PC0.57 the difference between the diagnosed  $d_y[vh]$  and  $[AEI]$  is  $-16.9 \text{ Wm}^{-2}$ ; the equatorial  $d_y[vh]$  is positive and  $[AEI]_0$  is slightly negative (Figure 11b). Whilst the local energy imbalance is a concern for F1.13PC0.57, we argue that in all other simulations the local energy imbalance does not affect



our conclusions. There are a number of possible reasons for the localised imbalance of the AEI budget including: non-conservation associated with the semi-Lagrangian advection scheme in MetUM; the use of dry and moist density in different components of the MetUM dynamics and physics; errors in our diagnosis of the MSE budget, for example, not considering density changes within a timestep; or, using an Eulerian approach for diagnosing the energy transport which is inconsistent with the semi-Lagrangian advection scheme. It is worth noting that other studies using the AEI framework have not shown that the MSE energy budget is locally closed, and this problem may not be unique to our study. Nevertheless, the local energy imbalance has challenged our interpretation of some simulations, and highlights that future modelling studies using an atmospheric MSE budget should be cautious.

Variations in the CRE when varying  $f_{dp}$  can lead to a negative  $[AEI]_0$  associated with a net equatorward MSE energy transport at low latitudes. Whilst the predominant response to a negative  $[AEI]_0$  is a double ITCZ associated with equatorward energy transport at low latitudes by the mean circulation (F0.28, F0.28NC and F0.57NC), F0.57, F0.85NC and F1.13NC have shown that a net equatorward MSE transport can occur at low latitudes even with a poleward energy transport by the mean flow at the tropopause. Two mechanisms can lead to this. Firstly, the MSE flux due to eddies contributes a substantial proportion to the total MSE flux (as seen in Figure 11 12b and d), and this can support equatorward MSE transport. In F0.57, the MSE flux due to eddies is responsible for a net equatorward energy transport in the deep tropics. This invalidates the assumption that the energy flux equator is associated with zero MSE transport by the mean circulation, as in Bischoff and Schneider (2016). This is also supported by the equatorward displacement of the energy flux equator (from 2 and 4) relative to maximum precipitation in all simulations except for F0.85NC and F1.70NC (Table 4). The second mechanism (F0.85NC and F1.13NC) is a change in the MSE transport direction due to a shallower Hadley circulation and a

503 lower MSE in the upper-troposphere (section 3c). These changes reduce the MSE export in the  
504 upper branch of the Hadley circulation, resulting in an equatorward MSE transport by the mean  
505 circulation at low latitudes.

506 In our aquaplanet configuration SSTs are fixed which implies an arbitrary but varying oceanic  
507 heat transport to maintain SSTs given a net surface heat flux imbalance. Thus, our aquaplanet  
508 experiments may be viewed as energetically inconsistent. In Bischoff and Schneider (2014)  
509 and Voigt et al. (2016) ocean heat transport, and hence the net downward flux at the surface, is  
510 fixed, constraining the response of AEI components and potentially reducing the sensitivity of  
511 the ITCZ to model perturbations. In reality the ocean circulation, and thus ocean heat transport,  
512 is sensitive to changes in the surface wind stress. Therefore, both the SST and ocean heat  
513 transport could change in response to tropical circulation changes from variations to  $f_{dp}$  or the  
514 prescribed CRE. Recent work has shown that the ocean circulation plays an important role in  
515 the meridional transport of energy (Green and Marshall 2017), and that sensitivities of the ITCZ  
516 found in atmosphere-only simulations do not necessarily hold in a fully coupled model. For  
517 example, coupling reduces the sensitivity of the ITCZ to an interhemispheric albedo forcing (e.g.  
518 comparing Kay et al. (2016) and Hawcroft et al. (2017) to Voigt et al. (2014)). The radiative  
519 effect of clouds on the surface and Ekman heat transport associated with a single ITCZ would be  
520 expected to reduce the equatorial SST gradient, which would promote a double ITCZ (Numaguti  
521 1995; Mobis and Stevens 2012) and may reduce the sensitivity of the ITCZ to convective mixing.  
522 Coupled simulations with an interactive ocean are required to further investigate the sensitivity of  
523 the ITCZ to the CRE and convective mixing.

524

## 5. Conclusions

The double ITCZ bias is a leading systematic error across a hierarchy of models (Li and Xie 2014; Oueslati and Bellon 2015). Inter-model variability in the ITCZ structure persists even in a highly-idealised framework such as an aquaplanet with prescribed SSTs (Blackburn et al. 2013). This study confirms and extends previous research that variations in the convective parameterisation scheme and convective mixing can alter the ITCZ (Figure 1a; Hess et al. 1993; Numaguti 1995; Chao and Chen 2004; Liu et al. 2010; Mobis and Stevens 2012). Higher convective mixing rates are associated with a single ITCZ whilst lower rates are associated with a double ITCZ. As the convective mixing rate reduces, convection at higher latitudes strengthens, decreasing equatorward low-level winds at low latitudes, promoting a double ITCZ structure. The sensitivity of the ITCZ to convective mixing is associated with AEI changes, predominantly caused by CRE variations. For example, the CRE plays an important role in maintaining a positive equatorial AEI, and is therefore associated with a single ITCZ structure (consistent with Harrop and Hartmann (2016) and Bischoff and Schneider (2016)’s framework). When removing the CRE, the response of the ITCZ depends on the convective mixing rate. At low convective mixing rates, where a double ITCZ is simulated with the CRE, precipitation bands shift poleward. At high convective mixing rates the ITCZ broadens, whilst at certain convective mixing rates the ITCZ structure changes from single to double. Quantifying whether the sensitivity of the ITCZ to convective mixing reduces when removing the CRE is challenging, as the sensitivity depends on the range of convective mixing rates and the chosen metric. Prescribing the CRE reduces the sensitivity of the ITCZ to convective mixing by  $\approx 50\%$ . When removing or prescribing the CRE other AEI components, in particular the latent heat flux, play a role in the sensitivity of the ITCZ to convective mixing. Hence, simulations where the ocean heat transport is fixed,

thereby constraining surface fluxes, may underestimate the sensitivity of the ITCZ to changes in model formulation. We have also shown two mechanisms responsible for a net equatorward MSE transport even with no equatorial subsidence: MSE transport by eddies; and a reduced MSE export in the upper branch of the mean circulation due to a shallower Hadley circulation. These mechanisms highlight that caution should be taken when associating changes in the AEI to the ITCZ structure.

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705 TABLE 1. Simulations varying  $f_{dp}$  with cloud-radiation interactions on (CRE-on) and off (CRE-off). F1.13 is  
706 the default integration for GA6.0.

$f_{dp}$	CRE-on	CRE-off
0.28	F0.28	F0.28NC
0.57	F0.57	F0.57NC
0.85	F0.85	F0.85NC
1.13	F1.13	F1.13NC
1.70	F1.70	F1.70NC

707      TABLE 2. Simulations with a prescribed climatology of the CRE diurnal cycle. PC1.13 and PC0.57 represent  
708      the prescribed CRE diurnal cycle from a one-year simulation where  $f_{dp}$  equals 1.13 or 0.57 (respectively).

$f_{dp}$	PC1.13	PC0.57
1.13	F1.13PC1.13	F1.13PC0.57
0.57	F0.57PC1.13	F0.57PC0.57

709 TABLE 3. Root mean squared difference for tropical precipitation and mass meridional streamfunction be-  
710 tween two simulations. Tropical domain defined as 30°N to 30°S. Percentage value is the percentage reduction  
711 compared to F0.57 and F1.13.

Simulations	Precipitation (mm day <sup>-1</sup> )	Mass Meridional Streamfunction ( $\times 10^{10}$ kg s <sup>-1</sup> )
F0.57 & F1.13	2.84	1.78
F0.57PC1.13 & F1.13PC1.13	1.18 (58%)	0.67 (62%)
F0.57PC0.57 & F1.13PC0.57	1.65 (42%)	0.96 (46%)

712 TABLE 4.  $AEI_0$ , location of ITCZ and approximate energy flux equator ( $\delta$ ) using equation 2 or 4 in each  
713 simulation. A single/double ITCZ is assumed when  $AEI_0$  is positive/negative, respectively. Not applicable  
714 (N/A) occurs when  $AEI_0$  and  $\partial_{yy}([AEI])_0$  are both negative and therefore the square root of  $-\frac{6([AEI])_0}{\partial_{yy}([AEI])_0}$  has an  
715 imaginary component.

Simulation	$AEI_0$ (W m <sup>-2</sup> )	ITCZ location (°)	Energy Flux Equator ( $\delta$ ) location (°)
F0.28	-18.1	8.13/-8.13	6.85/-7.06
F0.57	-5.9	4.38/-4.38	0.84/-2.87
F0.85	33.4	1.88	-0.41
F1.13	36.7	0.63	0.22
F1.70	33.7	0.63	0.30
F0.28NC	-4.9	9.38/-9.38	N/A
F0.57NC	-12.2	8.13/-8.13	N/A
F0.85NC	-18.3	6.88/-5.63	6.48/-6.80
F1.13NC	-5.9	4.38/-4.38	3.21/-3.58
F1.70NC	2.0	1.88	2.73
F1.13PC1.13	33.6	0.63	0.16
F1.13PC0.57	-1.7	3.13/-3.13	0.19/-1.75
F0.57PC1.13	20.6	3.13	-0.12
F0.57PC0.57	-14.2	4.38/-4.38	2.70/-2.64

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759 **Fig. 11.** Left: Zonal-, time-mean mass meridional streamfunction ( $\text{kg s}^{-1}$ ) (lined contours) and ver-  
760 tical wind speed ( $\text{m s}^{-1}$ ) (filled contours) for (a) F1.13PC0.57 and (c) F0.57PC1.13. Lined  
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765 total MSE flux  $\partial_y[\widehat{vh}]$ , red dotted line - MSE flux due to mean circulation  $\partial_y[\widehat{v}][\widehat{h}]$ , blue line  
766 -  $\partial_y[\widehat{vh}] - \partial_y[\widehat{v}][\widehat{h}]$ , green line -  $[AEI]$ . . . . . 50

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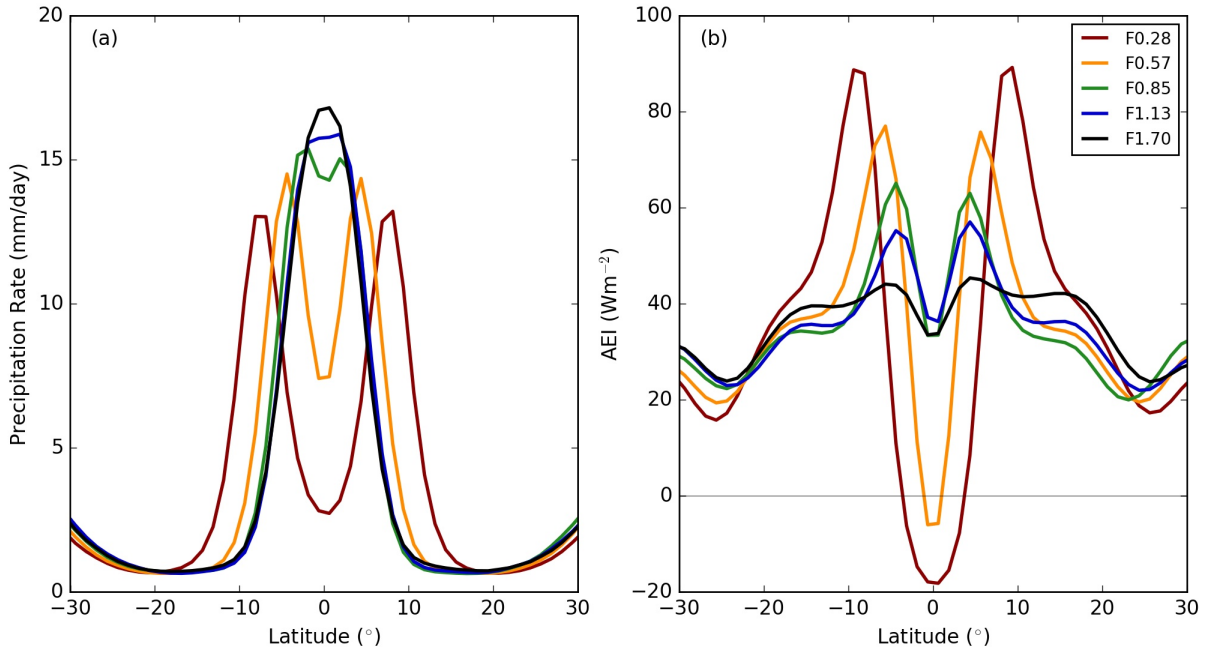
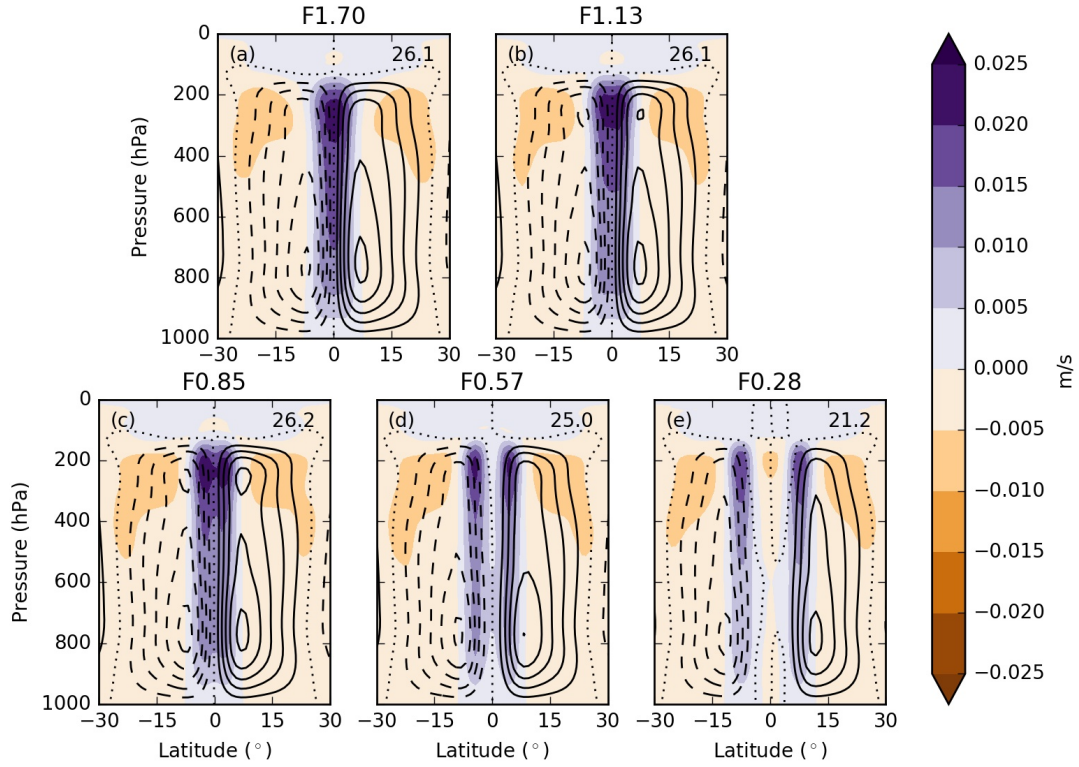


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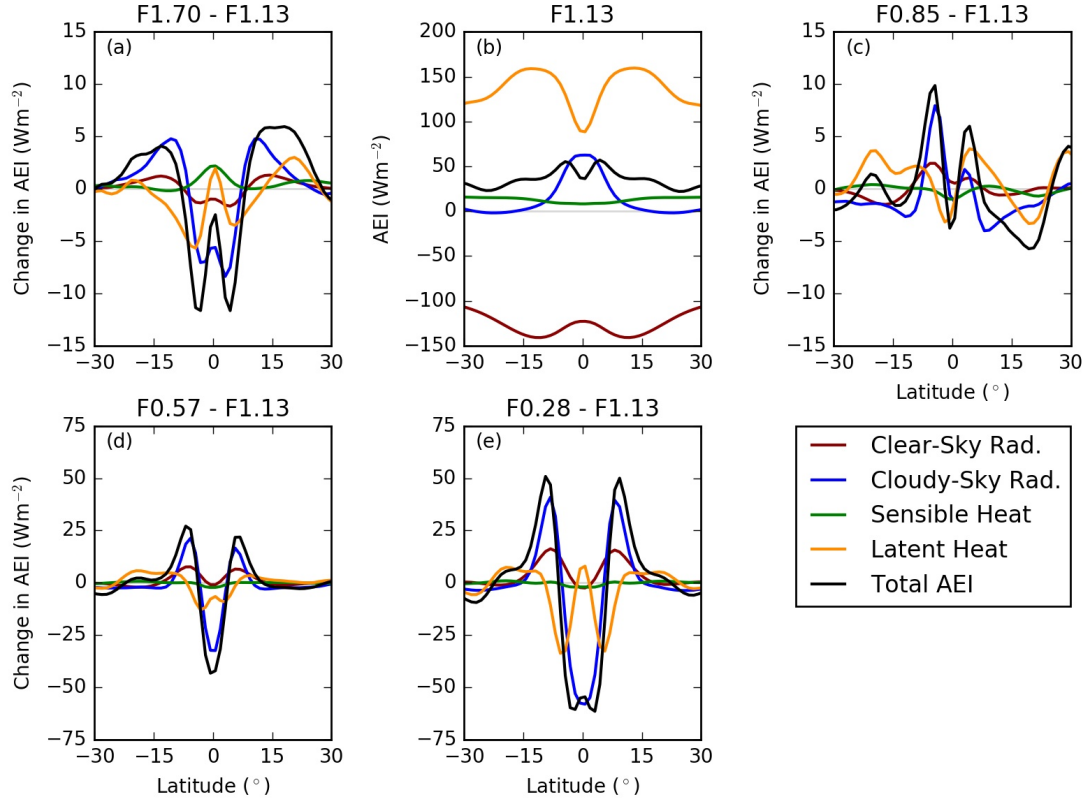
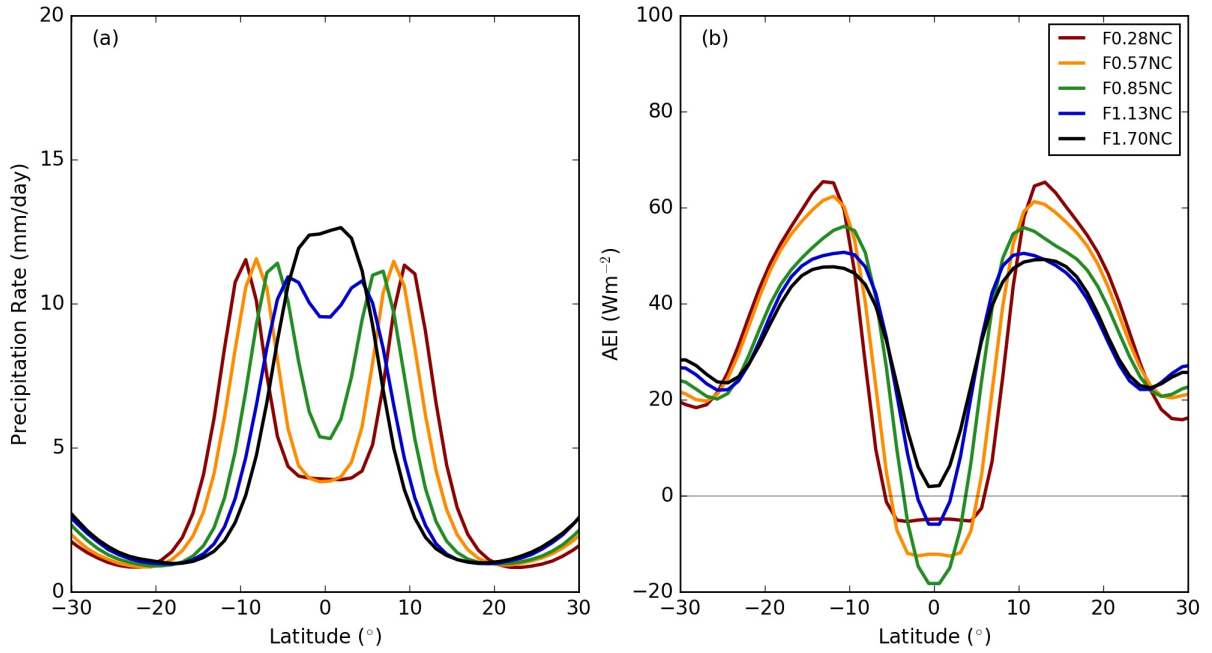
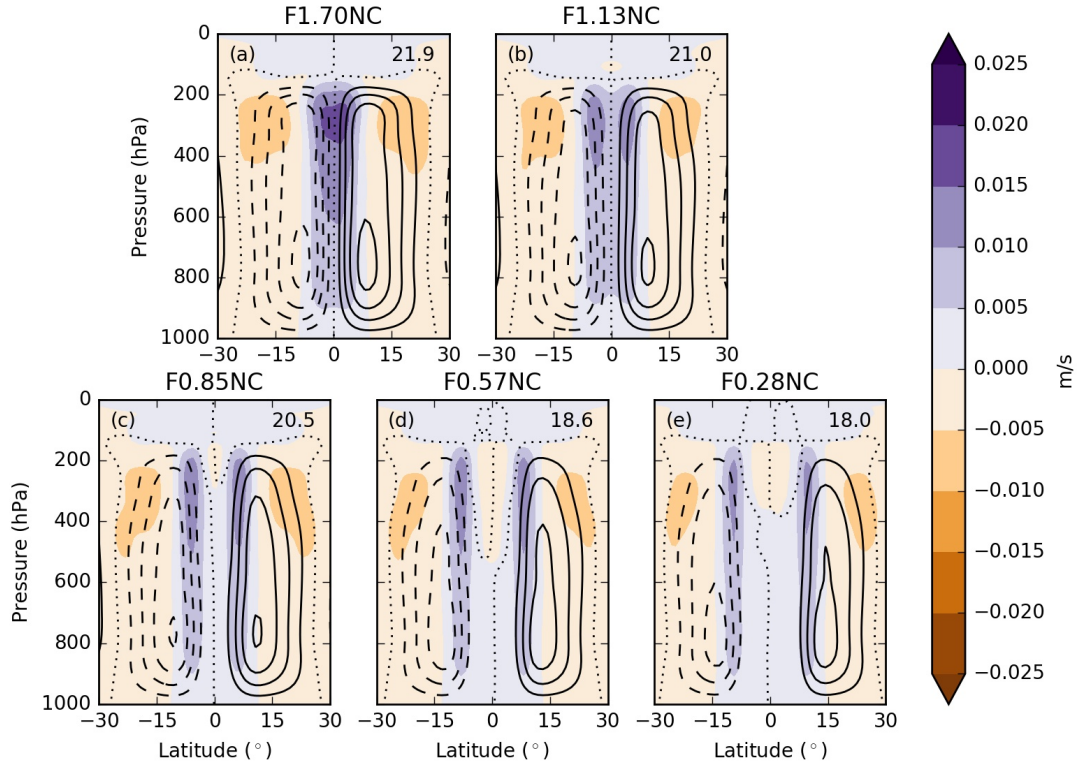


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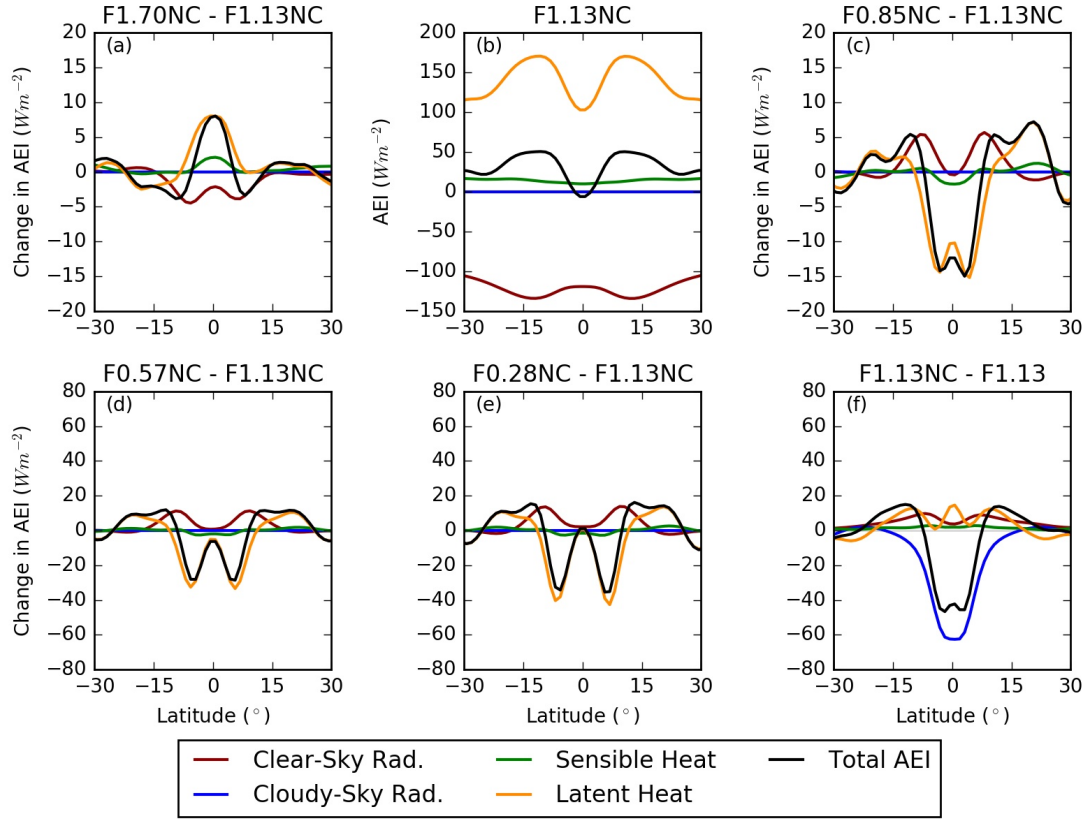


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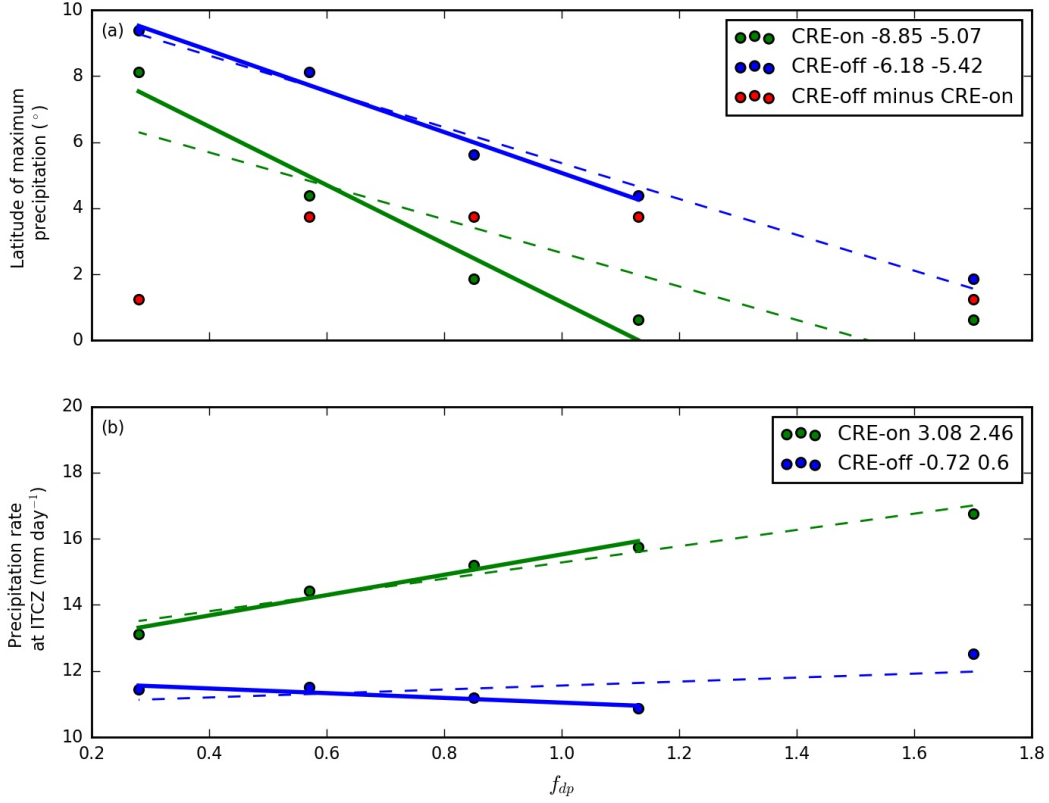
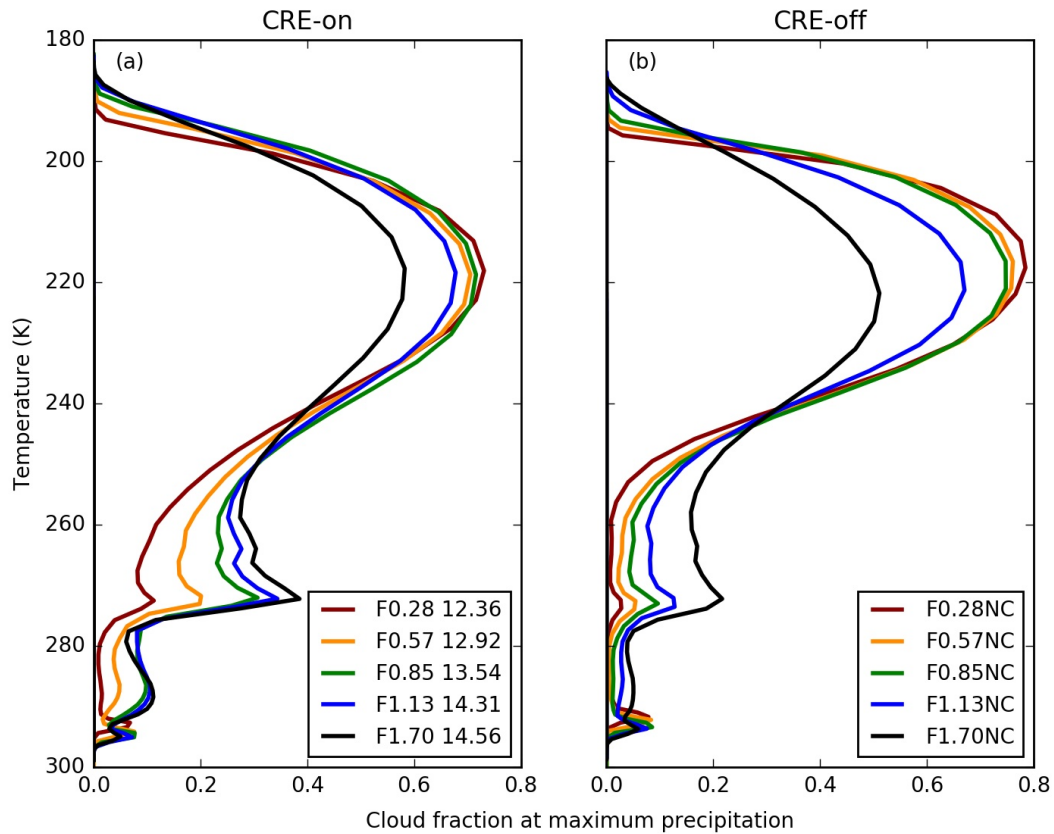


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796 FIG. 8. Zonal-, time-mean cloud fraction against temperature (K) at latitude of maximum precipitation. Left  
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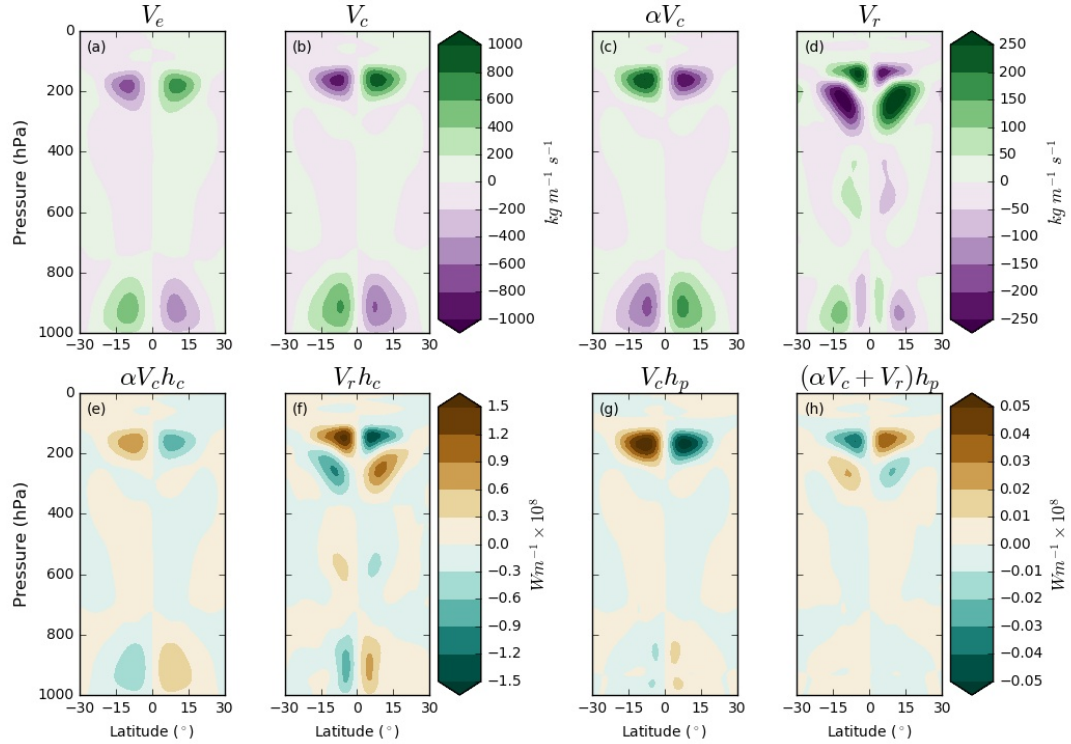


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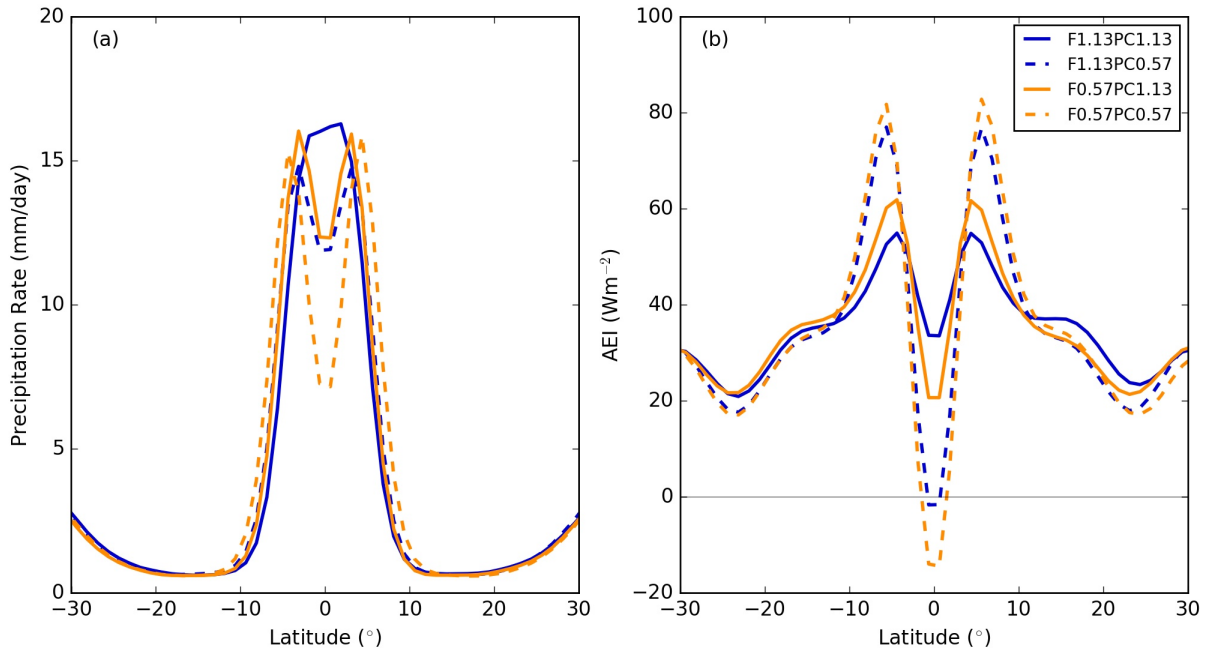


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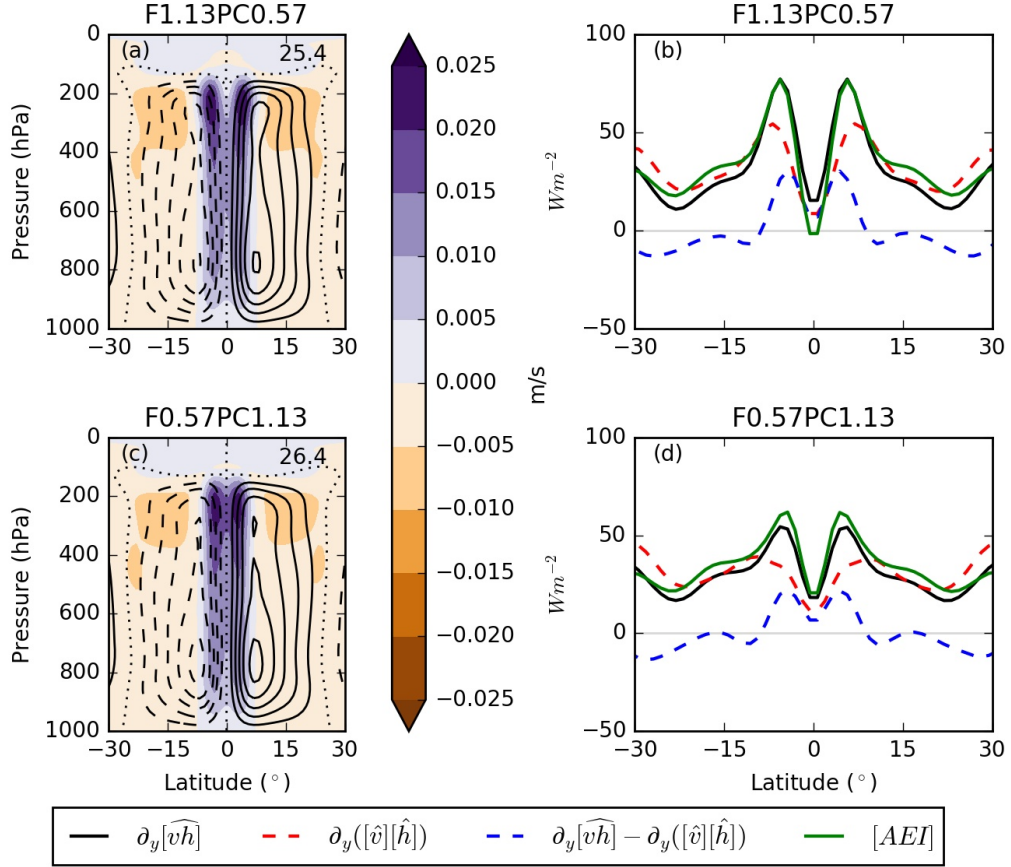


FIG. 11. Left: Zonal-, time-mean mass meridional streamfunction (kg s<sup>-1</sup>) (lined contours) and vertical wind speed (m s<sup>-1</sup>) (filled contours) for (a) F1.13PC0.57 and (c) F0.57PC1.13. Lined contours are in intervals of  $5 \times 10^{10}$ , with dashed contours representing negative values. Dotted contour is zero value and maximum value of the mass meridional streamfunction printed in top right-hand corner of each subplot. Right: Divergence of the MSE flux (W m<sup>-2</sup>) and AEI for (b) F1.13PC0.57 and (d) F0.57PC1.13. Solid black line - Divergence of total MSE flux  $\partial_y[\widehat{v\hat{h}}]$ , red dotted line - MSE flux due to mean circulation  $\partial_y([\hat{v}][\hat{h}])$ , blue line -  $\partial_y[\widehat{v\hat{h}}] - \partial_y([\hat{v}][\hat{h}])$ , green line -  $[AEI]$ .

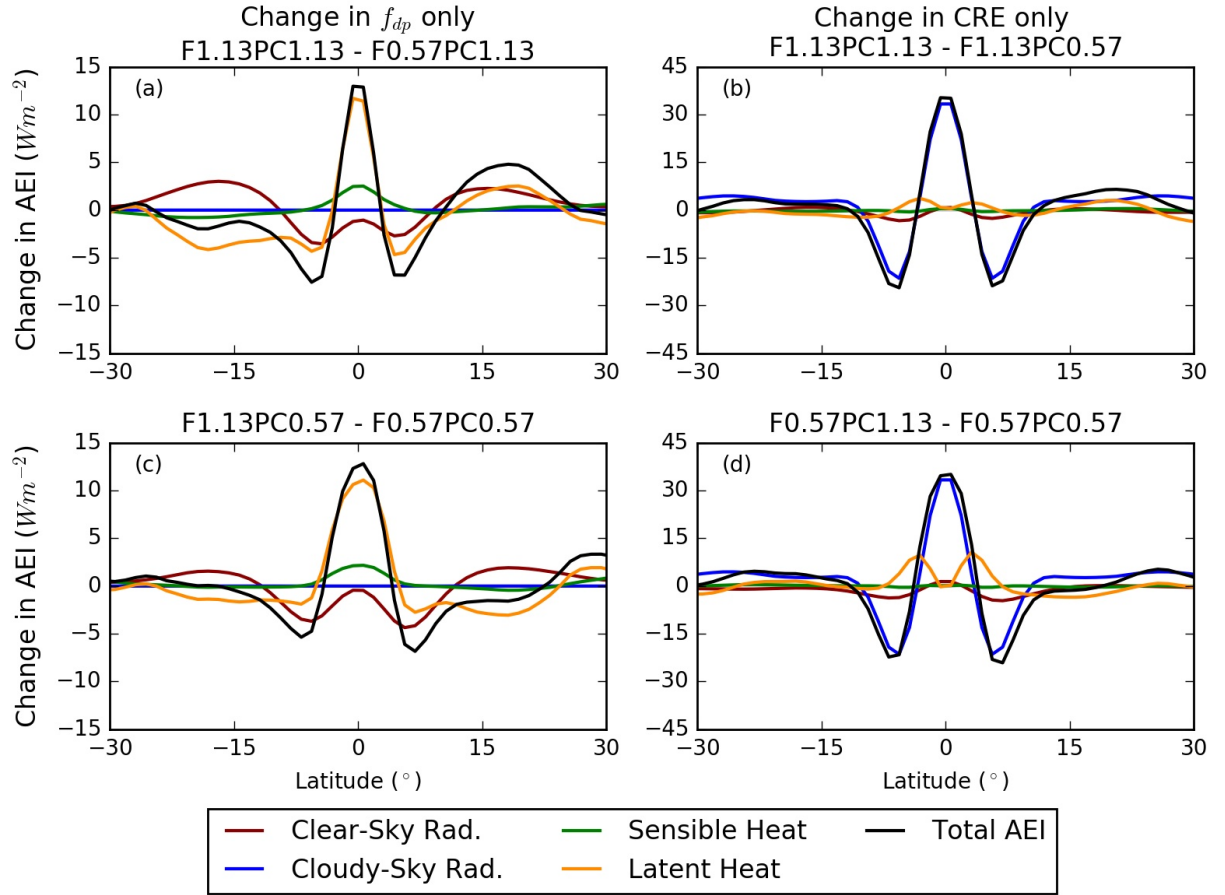


FIG. 12. Changes in zonal-, time-mean AEI contributions ( $W m^{-2}$ ) for prescribed CRE simulations. Comparison of simulations with same  $f_{dp}$  constant (a, c) have y-axis limits of  $-15$  to  $15 W m^{-2}$ , whilst those with a different prescribed CRE (b, d) have y-axis limits  $-45$  to  $45 W m^{-2}$ .