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## Statistics of Convective Cloud Turbulence from a Comprehensive Turbulence Retrieval Method for Radar Observations

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Turbulent mixing processes are important in determining the evolution of convective clouds, 1 2 and the production of convective precipitation. However, the exact nature of these impacts remains uncertain due to limited observations. Model simulations show that assumptions made 3 in parametrising turbulence can have a marked effect on the characteristics of simulated 4 clouds. This leads to significant uncertainty in forecasts from convection-permitting numerical 5 weather prediction (NWP) models. This contribution presents a comprehensive method to 6 7 retrieve turbulence using Doppler weather radar to investigate turbulence in observed clouds. This method involves isolating the turbulent component of the Doppler velocity spectrum 8 width, expressing turbulence intensity as an eddy dissipation rate,  $\varepsilon$ . By applying this method 9 throughout large datasets of observations collected over the southern UK using the (0.28° 10 11 beam-width) Chilbolton Advanced Meteorological Radar (CAMRa), statistics of convective

cloud turbulence are presented. Two contrasting case days are examined: a shallow "shower" 12 case, and a "deep convection" case, exhibiting stronger and deeper updrafts. In our 13 observations,  $\varepsilon$  generally ranges from  $10^{-3} - 10^{-1}$  m<sup>2</sup> s<sup>-3</sup>, with the largest values found 14 within, around and above convective updrafts. Vertical profiles of  $\varepsilon$  suggest that turbulence is 15 much stronger in deep convection; 95<sup>th</sup> percentile values increase with height from 0.03 – 0.1 16  $m^2 s^{-3}$ , compared to approximately constant values of  $0.02 - 0.03 m^2 s^{-3}$  throughout the depth 17 of shower cloud. In updraft regions on both days, the 95<sup>th</sup> percentile of  $\varepsilon$  has significant (p < 18  $10^{-3}$ ) positive correlations with the updraft velocity, and the horizontal shear in the updraft 19 20 velocity, with weaker positive correlations with updraft dimensions. The *ɛ*-retrieval method presented considers a very broad range of conditions, providing a reliable framework for 21 turbulence retrieval using high-resolution Doppler weather radar. In applying this method 22 across many observations, the derived turbulence statistics will form the basis for evaluating 23 the parametrisation of turbulence in NWP models. 24

25

Keywords: Radar; Doppler spectrum width; turbulence; convection; eddy dissipation rate; clouds.

#### 28 1 Introduction

The effects of turbulence on the structure and evolution of convective clouds remain unclear in 29 observations and numerical weather prediction (NWP) models. The turbulent entrainment of dry 30 environmental air into cumulus clouds has long been known to play an important role in their growth 31 and decay (Blyth, 1993). The specific location of entrained air can have a varied and substantial 32 impact on resulting air motions within the cloud (Blyth et al., 1988). Turbulent mixing within clouds 33 significantly impacts the microphysical processes governing the initiation of convective 34 precipitation; the presence of turbulence accelerates cloud drop growth through increased rates of 35 36 collision and coalescence (Grover and Pruppacher, 1985; Khain and Pinsky, 1995; Vohl et al., 1999;

Falkovic *et al.*, 2002; Pinksy and Khain, 2002). Although there is much evidence for the effects of
turbulence on cloud processes, there remains uncertainty in their precise nature, and the implications
for cloud evolution.

In recent years, regional numerical weather prediction (NWP) has improved to sufficient 40 resolution that it is worthwhile abandoning the parametrisation of deep convective clouds, and, 41 instead, allowing the unstable growth of explicit convective clouds. However, it is not feasible to 42 43 forecast using resolutions sufficient to properly resolve all of the important features of the flow. Hence such models are known as 'convection-permitting models' (CPMs, Clark et al., 2016). Physical 44 processes occurring on scales below those resolved in CPMs, such as turbulence, remain 45 parametrised. CPMs generally adopt mixing-length-based turbulence closure schemes from Large-46 Eddy Simulation (LES) models, such as the Smagorinsky-Lilly sub-grid scheme. It is not often clear 47 whether the assumptions implicit in these schemes (such as the ability of the model to resolve an 48 inertial sub-range of turbulence) are valid for CPMs, especially when using grid-lengths larger than 49 100 m. Model simulations show that the configuration of turbulence parametrisations can have a 50 51 profound effect on the characteristics of simulated clouds (e.g. Hanley et al., 2015). Until we advance 52 our understanding of the effects of turbulence in observed clouds, justifiable attempts to evaluate and improve these parametrisations are difficult to make. 53

To improve our understanding of turbulence in observed clouds for model evaluation, 54 observations of convective storm turbulence can be made with Doppler weather radar. By isolating 55 the turbulent component to the Doppler velocity spectrum variance, near-instantaneous observations 56 of turbulence can be made across large swathes of atmosphere. Turbulence retrieval with weather 57 radar has clear benefits over using methods such as aircraft or ascent measurements which can only 58 59 collect time-series information from single points in space. Radar retrieved fields of turbulence, expressed for convenience in terms of the dissipation rate of turbulent kinetic energy,  $\varepsilon$ , can be used 60 to investigate relationships with storm strength and structure in a statistical sense for model 61 evaluation. 62

The accuracy to which  $\varepsilon$  can be derived using the Doppler variance method is dependent on the 63 accurate removal of variances associated with processes aside from inertial sub-range turbulence. 64 Due to this somewhat indirect approach, evaluation of the Doppler variance method has previously 65 been necessary through comparison of  $\varepsilon$  estimates with in situ measurements and other radar retrieval 66 techniques. Using Doppler weather radar, Labitt (1981) and Meischner et al. (2001) demonstrated 67 68 good agreement between  $\varepsilon$  derived from the Doppler variance method when compared with coordinated aircraft measurements in convective storms. Brewster and Zrnic (1986) found a high level 69 of agreement between  $\varepsilon$  from Doppler variance and  $\varepsilon$  estimated from the "spatial spectra" method – 70 a method which involves taking the Fourier transform of a dataset of Doppler velocity measurements 71 sampled either along a single ray at a given time, or at a fixed range gate over a period of time. 72 Bouniol et al. (2003) performed a similar evaluation of the Doppler variance method using the spatial 73 spectra method with a vertically-pointing Doppler cloud radar. Point-for-point comparison of  $\varepsilon$  from 74 75 the two methods showed a high level of agreement, especially for larger values. They concluded that the Doppler variance provides a reliable estimate of  $\varepsilon$ . The spatial spectra method itself has been 76 evaluated by Shupe et al. (2012), who analysed Doppler velocity time series sampled with a 77 vertically-pointing cloud radar in stratocumulus clouds. They found  $\varepsilon$  estimates from spatial spectra 78 to correspond well with aircraft and sonic anemometer measurements. Albrecht et al. (2016) 79 examined cloud-top entrainment processes in non-precipitating stratocumulus using vertically-80 pointing Doppler cloud radar. In this study, estimates of  $\varepsilon$  were derived using both the Doppler 81 82 spectrum variance and the Doppler velocity power spectrum (Fang et al., 2014), with good agreement found between the two methods. Methods to retrieve  $\varepsilon$  at vertical incidence in (precipitating and non-83 84 precipitating) stratocumulus using Doppler cloud radar are not well suited to retrieve  $\varepsilon$  with scanning Doppler weather radar in precipitating convective clouds; as pertains to this study. 85

For scanning Doppler weather radar, the most significant contributor to Doppler variance aside from turbulence is generally shear of the radial wind across the three dimensions of the beam (see Section 5), which requires careful separation from turbulence before estimates of  $\varepsilon$  can be made.

Melnikov and Doviak (2009) present a detailed method to retrieve  $\varepsilon$  from the Doppler spectrum in 89 vertical cross-sections through stratiform precipitation collected using an S-band Doppler weather 90 radar. In their study, the radial and elevation shear components are calculated by least-squares fitting 91 92 contiguous Doppler velocity measurements separately in each direction. A similar, though more sophisticated technique is applied in Section 5.3 to use linear regression to fit a 2-D linear velocity 93 surface model (Neter and Wasserman, 1974) to Doppler velocities to evaluate shear over a spatial 94 scale that we can specify and fix, guided by estimates of the inertial sub-range outer-scale (see Section 95 5.2). Using this method, we have been able to test the sensitivity of retrieved  $\varepsilon$  to the scale over which 96 shear is calculated and removed (Section 5.5). 97

Melnikov and Doviak (2009) calculated the azimuthal (transverse) shear from velocity gradients 98 between two adjacent scans separated by 2°. They found variances from azimuthal shear to be small 99 compared to elevation shears in stratiform clouds. However, stronger horizontal shears are likely to 100 be found in the convective clouds analysed in this application; in particular, along the edges of 101 updrafts (e.g. Istok and Doviak, 1986). In the present application, our radar data includes one scan 102 performed through one azimuth per cloud. Consequently, we have developed new methods to 103 estimate the azimuthal shear component from the radial shear alone (Section 5.4), allowing for its 104 variance contribution to be estimated when adjacent scans are not available. 105

Generally, past studies focus on single storm cases when using radar methods to investigate 106 convective storm turbulence (e.g. Brewster and Zrnic, 1986; Istok and Doviak, 1986). Often, the 107 contributions to the Doppler spectrum width from mechanisms aside from turbulence are either 108 109 purely assumed to be negligible, or are shown to be negligible only for the purpose of the application. As a result, a comprehensive method to retrieve  $\varepsilon$  from radar fields under a wide range of conditions, 110 and the statistical assessment of  $\varepsilon$  that such a method permits, have not been presented. In developing 111 this comprehensive approach, comparison is made with the more limited approaches that have 112 appeared in the literature. 113

In Sections 2 – 5 of this paper, we present methods to accurately determine  $\varepsilon$  from radar fields. This includes a summary of the conditions under which certain terms in the Doppler spectrum width equation can be neglected, and detailed methods for their calculation when they cannot. By applying this method across a dataset of radar observations, we have performed a statistical assessment of  $\varepsilon$  in convective storms; this is presented in Section 6.

119

#### 120 2 Data and Methods

#### 121 2.1 DYMECS – Radar observations with CAMRa

This investigation follows on from the Dynamical and Microphysical Evolution of Convective 122 Storms (DYMECS) project (Stein et al., 2014). The primary objective of DYMECS is to apply a 123 124 statistical approach to investigate the dynamics, morphology and evolution of convective storms over southern England, both in radar observations and in high-resolution Met Office Unified Model 125 (MetUM) simulations. An innovative track-and-scan method was used to obtain radar observations 126 of hundreds of convective storms in 2011-2012. These were collected using the Chilbolton Advanced 127 Meteorological Radar (CAMRa) located at the Chilbolton Observatory in Hampshire, UK. CAMRa 128 129 is a 3 GHz (S-band) Doppler weather radar with dual-polarisation capability. The 25-m diameter antenna provides an angular beam-width of 0.28°. The narrow beam provides elevation,  $\theta$  and 130 azimuthal,  $\varphi$  resolutions of 100 m at 20 km range, and 500 m at 100 km range. In the radial direction, 131 the pulse has a length of 75 m, however, this is averaged to 300 m in our observations. 132

Observations were collected by scanning with CAMRa in two modes: elevation scanning with RHIs (range-height indicator) and azimuthal scanning with PPIs (plan-position indicator). By alternating between these two modes, detailed observations of hundreds of convective storms were collected on 40 days between July 2011 and August 2012. These observations have been compared

6

with MetUM simulations to characterise storm morphology (Stein *et al.*, 2014) and convective
updraft characteristics (Nicol *et al.*, 2015) in model and observations.

In Section 6, turbulence retrievals are analysed with corresponding fields of vertical velocity 139 140 retrieved by Nicol et al. (2015) for DYMECS observations made on 20 April 2012 and 25 August 2012. These updraft velocities were estimated from the Doppler velocity by vertically integrating 141 142 local changes in horizontal convergence under the assumption of flow continuity, accounting for the 143 changes in density with height. The use of horizontal convergence to estimate vertical velocity removes the need to consider corrections for hydrometeor fall-speeds. The method required a zero-144 velocity boundary condition, either at the surface or cloud echo top. A weighted combination of 145 146 velocity derived under both conditions was developed to minimise the vertical propagation of errors. In using only single-Doppler measurements, the omission of convergence in the direction 147 perpendicular to the scanning plane led to a consistent under-estimation of the vertical velocity. To 148 correct for this under-estimation, the suitable scaling for the vertical velocity was estimated from 149 500-m grid-length simulations of the MetUM for each case. These were made under assumptions that 150 151 the simulated three-dimensional wind flows were suitably realistic and that the range of observed vertical velocities was represented in the model. The uncertainty in retrieved updraft velocities was 152 estimated through point-for-point comparison of the scaled retrievals with model updrafts. For 153 updraft velocities larger than 1 m s<sup>-1</sup> (as analysed in this study), a root-mean-square difference of 154 2.5 m s<sup>-1</sup> was found. It is likely that this uncertainty introduces scatter into the relationships between 155  $\varepsilon$  and characteristics of updraft velocity presented in Section 6, resulting in weaker measured 156 correlations than may exist between  $\varepsilon$  and the true updraft strength. 157

158

#### 159 2.2 Dissipation rates from CAMRa

160 Doppler weather radar, such as CAMRa, can be used to infer characteristics of atmospheric 161 turbulence from observations of the radial velocity field. The mean Doppler velocity  $\bar{\nu}$ , is the

reflectivity-weighted average of radial point velocities found within a resolution volume (the volume of atmosphere observed by a single radar pulse,  $V_6$ ). The Doppler spectrum variance  $\sigma_v^2$ , estimated by CAMRa, is the variance in the velocity of reflectors within  $V_6$ . Therefore,  $\sigma_v^2$  includes velocity variance due to the turbulent motion of hydrometeors, among contributions from several other mechanisms. We assume that  $\sigma_v^2$  can be decomposed into a sum of statistically independent variance contributions (Doviak and Zrnic, 1984).

168 
$$\sigma_v^2 = \sigma_s^2 + \sigma_t^2 + \sigma_{TV}^2 + \sigma_a^2 + \sigma_o^2$$
(1)

169 Where  $\sigma_{v}^{2}$  has contributions primarily from radial wind shear across the sample volume  $\sigma_{s}^{2}$ , 170 turbulence  $\sigma_{t}^{2}$ , the distribution of hydrometeor fall-velocities  $\sigma_{TV}^{2}$ , antenna rotation  $\sigma_{\alpha}^{2}$ , and 171 hydrometeor oscillations  $\sigma_{0}^{2}$ .

Using the theoretical framework presented by Frisch and Clifford (1974), we can calculate the eddy dissipation rate,  $\varepsilon$  from  $\sigma_t^2$ . Details of turbulent motion cannot be directly measured from  $\sigma_v^2$ . We can only infer  $\sigma_t^2$  from  $\sigma_v^2$  by accounting for all other variance contributions in (1), either by subtracting their variance from  $\sigma_v^2$ , or by demonstrating that they are negligibly small compared to  $\sigma_t^2$ .

177 The eddy dissipation rate is the rate of energy transfer through the inertial sub-range of isotropic 178 turbulence. For calculations of  $\varepsilon$  to be accurate,  $\sigma_t^2$  must consist only of velocity variance due to 179 eddies with a spatial scale less than the largest scale of the inertial sub-range,  $\Lambda_0$ . Ensuring this 180 involves the careful separation of shear and turbulence, which is summarised in Section 5.

181 Once  $\sigma_t^2$  has been determined,  $\varepsilon$  can be estimated from,

182 
$$\varepsilon \approx \frac{1}{\alpha} \left[ \frac{\sigma_t^2}{1.35A \left( 1 - \frac{\gamma^2}{15} \right)} \right]^{\frac{3}{2}}$$
(2a)

183 
$$\varepsilon \approx \frac{1}{\beta} \left[ \frac{\sigma_{\rm t}^2}{1.35A \left( 1 + \frac{\xi^2}{15} \right)} \right]^{\frac{3}{2}}$$
(2b)

184 Where  $\alpha$  (in metres) is the angular standard deviation of the two-way Gaussian beam pattern in the 185 transverse (or elevation) direction (see  $\sigma_2$  in Appendix S1), multiplied by the range from the radar.  $\beta$ 186 is the standard deviation of the pulse in the radial dimension (assumed uniform; for CAMRa  $\beta$  = 187 26.25m). From this,  $\gamma^2 = 1 - \left(\frac{\beta}{\alpha}\right)^2$  and  $\xi^2 = 1 - \left(\frac{\alpha}{\beta}\right)^2$ , and A is the universal constant of inertial 188 sub-range turbulence, with a value of 1.6.

If  $\alpha > \beta$ , then (2a) is used, with (2b) to be used if  $\alpha < \beta$ . This distinction has often been ignored in past studies, which typically employ a simplified version of (2a) to determine  $\varepsilon$  (as stated in Doviak and Zrnic (1984)). For CAMRa,  $\alpha > \beta$  at all ranges further than 17.9 km from the radar, so a similar approximation could be used. However, the application of (2a) and (2b) is straight-forward and any further approximation should be unnecessary.

Values of  $\sigma_v^2$  generally range from  $1 - 25 \text{ m}^2 \text{ s}^{-2}$  in our observations. In reality, the negligibility 194 of terms in (1) depends on their value relative to  $\sigma_t^2$ , and as a result, no fixed variance value will 195 always be negligibly small. Assuming that turbulence is only significant when  $\sigma_t^2 > 5 \text{ m}^2 \text{ s}^{-2}$  (this 196 translates to  $\varepsilon > 0.03 \text{ m}^2 \text{ s}^{-3}$  when  $\alpha = \beta$ ), we choose a negligibility threshold  $\sigma_{\text{neg}}^2$ , of 0.5 m<sup>2</sup> s<sup>-2</sup> 197 for the purpose of this application. Whereby, variance contributions that are less than  $\sigma_{neg}^2$  can be 198 neglected. We can test the impact of this selection on  $\varepsilon$  by determining the maximum combined 199 variance of terms we may neglect. The variance contribution from  $\sigma_{\alpha}^2$  is small enough to be ignored 200 completely ( $\sigma_{\alpha}^2 < 0.01 \text{ m}^2 \text{ s}^{-2}$ , see Section 4). We can calculate  $\sigma_s^2$  directly (Section 5), so no 201 element of this contribution is neglected, regardless of value compared to  $\sigma_{neg}^2$ . However, 202 contributions from  $\sigma_{TV}^2$  and  $\sigma_o^2$  are not simple to measure directly in our observations. Contributions 203 from  $\sigma_{TV}^2$  can be larger than  $\sigma_{neg}^2$  for rain and hail (Section 3), while  $\sigma_0^2$  is generally less than 204 0.25 m<sup>2</sup> s<sup>-2</sup> (Section 4). A maximum error would be incurred in  $\sigma_t^2$  of 0.75 m<sup>2</sup> s<sup>-2</sup> when neglecting 205

206  $\sigma_{TV}^2$  at 0.5 m<sup>2</sup> s<sup>-2</sup> (in the extreme case that hail or heavy rain is observed very close to the radar) and 207  $\sigma_0^2$  at 0.25 m<sup>2</sup> s<sup>-2</sup>. If  $\sigma_t^2 = 5 \text{ m}^2 \text{ s}^{-2}$ , this would translate to a 21.6% positive error in  $\varepsilon$ . The error 208 decreases as turbulence becomes more significant, to only 4.5% when  $\sigma_t^2 = 25 \text{ m}^2 \text{ s}^{-2}$ , and is 209 independent of the range of the  $\sigma_t^2$  observation.

The range of  $\varepsilon$  values we can estimate using the Doppler spectrum width technique is determined 210 from the range of  $\sigma_v^2$  values we can observe. This is related to the maximum ambiguous velocity 211 interval (Nyquist velocity) of the radar. Keeler and Passarelli (1990) state that reliable measurements 212 213 of the Doppler spectrum width can only be made between 0.02 - 0.2 of the Nyquist interval. CAMRa has a Nyquist interval of 30 m s<sup>-1</sup>, so we can only reliably observe  $\sigma_{\nu}$  between 0.6 – 6 m s<sup>-1</sup>, 214 corresponding to  $\sigma_v^2$  of  $0.36 - 36 \text{ m}^2 \text{ s}^{-2}$ . In the case where  $\sigma_t^2 = \sigma_v^2$ , we can determine the maximum 215 detectable range in  $\varepsilon$  from using this method with CAMRa. If observing such a range in  $\sigma_t^2$  at a range 216 of 50 km, (the typical range of our storm observations), this would correspond to a maximum 217 detectable range in  $\varepsilon$  of  $10^{-3} - 1 \text{ m}^2 \text{ s}^{-2}$ . 218

The following three sections outline methods to assess the contribution of the non-turbulent terms in (1). By either calculating these terms directly, or showing that they are negligibly small compared to  $\sigma_t^2$ , we can remove them from  $\sigma_v^2$ . This allows us to find  $\sigma_t^2$  as a residual velocity variance, and then convert this to  $\varepsilon$  using (2a) and (2b).

223

## 224 **3** Doppler variance due to a distribution of hydrometeor fall velocities, $\sigma_{TV}^2$

#### 225 3.1 Theoretical framework and derivation of spectral variance equations

In a given sample volume  $V_6$ , the presence of a distribution of hydrometeor diameters will lead to a distribution of hydrometeor fall velocities. In the circumstance where the radar beam is not perpendicular to hydrometeor velocity, this broadens the Doppler velocity spectrum. The observed variance contribution,  $\sigma_{TV}^2$  in (1), is at its maximum for a vertically pointing radar beam and decreases

with angle from zenith. Values of  $\sigma_v^2$  include the total variance of hydrometeor velocity within the pulse volume. According to (1), the variance in hydrometeor velocity from a fall-speed distribution ( $\sigma_{TV}^2$ ) is statistically independent from the variance in hydrometeor velocity resulting from air motions within the cloud (included in  $\sigma_s^2$  and  $\sigma_t^2$ ). Consequently, we require no assumptions regarding the vertical motion of air within the cloud when estimating  $\sigma_{TV}^2$ .

Previous studies to estimate turbulence characteristics from Doppler velocity spectra typically 235 assume  $\sigma_{TV}^2$  to be negligible (e.g. Frisch and Clifford, 1974; Chapman and Browning, 2001; 236 Meischner et al., 2001; Melnikov and Doviak (2009)) unless observations were made at vertical 237 incidence (Brewster and Zrnic, 1986). The expected variance due to  $\sigma_{TV}^2$  is reduced significantly by 238 scanning at lower elevations (often the reason  $\sigma_{TV}^2$  is assumed negligible), however, this does not 239 ensure the contribution is always negligibly small. Melnikov and Doviak (2009) neglected variance 240 contributions from  $\sigma_{TV}^2$  purely by assuming they remained below 0.2 m<sup>2</sup> s<sup>-2</sup> when scanning at 241 elevations below 20° through stratiform precipitation. However, results presented in Section 3.2 242 suggest  $\sigma_{TV}^2$  from raindrops can reach 1 m<sup>2</sup> s<sup>-2</sup> when scanning at 20°, though this remains dependent 243 on radar reflectivity. The objectives of this section are to: provide a means to estimate  $\sigma_{TV}^2$  when 244 required, provide justification when neglecting  $\sigma_{TV}^2$  contributions (showing that  $\sigma_{TV}^2 < \sigma_{neg}^2$ ), and 245 inform how future scanning strategies for turbulence retrieval can be tailored to ensure  $\sigma_{TV}^2$  is always 246 negligible. 247

For application to RHI radar observations, we classify two hydrometeor types based on the height of the 0°C isotherm,  $z_{0^{\circ}C}$ , which is estimated from the location of bright-band radar reflectivity in our observations. Though  $z_{0^{\circ}C}$  varies for different DYMECS case days, the average height is ~ 1.5 km. For simplicity, we assume any reflectivity returned from below this level is due to liquid raindrops, and any reflectivity from above is due to ice aggregates. By making this simple distinction, we can estimate  $\sigma_{TV}^2$  in all areas of an RHI scanning domain. In addition to aggregates and raindrops, graupel and hail are also important hydrometeor types, especially in convective clouds. We therefore

extend our analysis to assess the impact of hail, and consider the effects of graupel (treated as low-density hailstones) in Section 3.3.

We assume the reflectivity for a given  $V_6$  is dominated by one hydrometeor type, and that hydrometeors are falling vertically downwards at terminal velocity relative to the vertical air motions within the cloud. We assume hailstones are dry and are of solid ice with homogeneous density. For hydrometeor mass calculations, we assume that both raindrops and hailstones are spherical.

To estimate the relative size of  $\sigma_{TV}^2$  when compared to  $\sigma_v^2$ , we can characterise  $\sigma_{TV}^2$  as the variance of the reflectivity-weighted mean fall velocity in  $V_6$  as,

$$\sigma_{\mathrm{TV}_{j}}^{2} = \overline{W_{j}^{2}} - \overline{W}_{j}^{2}, \qquad (3)$$

where  $\sigma_{TV_j}^2$  has units m<sup>2</sup>s<sup>-2</sup>, *W* is the reflectivity-weighted hydrometeor fall velocity, and *j* refers to the hydrometeor type. We estimate  $\overline{W_j^2}$  and  $\overline{W_j^2}$  by evaluating the following integrals,

266 
$$\overline{W_j^2} = \frac{\int_0^\infty V_j(D)^2 M_j(D)^2 n_j(D) dD}{\int_0^\infty M_j(D)^2 n_j(D) dD},$$
 (4)

267 
$$\overline{W}_{j}^{2} = \left(\frac{\int_{0}^{\infty} V_{j}(D) M_{j}(D)^{2} n_{j}(D) dD}{\int_{0}^{\infty} M_{j}(D)^{2} n_{j}(D) dD}\right)^{2},$$
(5)

where  $V_j(D)$ ,  $M_j(D)$  and  $n_j(D)$  are terminal velocity-diameter, mass-diameter and particle-size distribution (DSD) relationships for hydrometeor *j*, respectively, and *D* is the hydrometeor diameter in metres.

In (4) and (5), we assume that particle reflectivity is proportional to  $M_j(D)^2$ . We are in the Rayleigh scattering regime, and hence this is a reasonable assumption for a 3 GHz radar. The integral  $R_j \int_0^\infty M_j(D)^2 n_j(D) dD$  provides the radar reflectivity in mm<sup>6</sup> m<sup>-3</sup>. The term  $R_j$  is cancelled out in (4) and (5), but is given by,

275 
$$R_{j} = 10^{18} \frac{|K_{j}|^{2}}{|K_{\text{water}}|^{2}} \left(\frac{6}{\pi \rho_{j}}\right)^{2}, \qquad (6)$$

where  $|K_j|^2$  and  $\rho_j$  are the dielectric factor and density of hydrometeor *j*.

277 Terminal velocity-diameter relationships are commonly expressed as simple power laws,

278 
$$V_i(D) = p_i D^{q_j}$$
, (7)

where *V* is the fall velocity and *D* is the drop diameter. For ice aggregates, *D* is the melted diameter. Values of *p* and *q* for raindrops, ice aggregates and hailstones are taken from Atlas and Ulbricht (1977), Gunn and Marshall (1958) and Cheng and English (1982), respectively. These have been converted into S. I. units (See Table 1).

283 The hydrometeor mass M, as a function of particle diameter D, can be expressed in the form,

$$M_j(D) = a_j D^{b_j} \tag{8}$$

where M and D are in S. I. units.

We assume that the DSD of each hydrometeor class can be approximated by an exponential distribution of form given by Marshall and Palmer (1948).

288 
$$n_j(D) = N_{0_j} \exp(-\lambda_j D)$$
(9)

Where  $N_{0_j}$  and  $\lambda_j$  are the intercept  $(n_j(D=0))$  and slope parameters, respectively, for hydrometeor type *j*. We consider this a suitable approximation; spectral broadening owing to a distribution in fall velocity has been shown to be nearly independent of the precise shape of the size distribution (Lhermitte, 1963).

For rain and ice aggregates, values of  $\rho$ ,  $|K|^2$ , a, b and  $N_0$ , are sourced from the UK Met Office

Unified Model microphysics scheme, as summarised in Stein *et al.* (2014) (See Table 1). For hail, we use an  $N_0$  of  $1.2 \times 10^4$  m<sup>-4</sup> taken from Waldvogel *et al.* (1978). The sensitivity of  $\sigma_{TV_j}^2$  to  $N_{0_j}$ is discussed in Section 3.3.

To evaluate (3), we first substitute (7) – (9) into (4) and (5) using values from Table 1. By using a gamma function solution for the integrals in (3) we derive expressions for Doppler spectral variance contribution for the three hydrometeor varieties. At this point, they are functions only of DSD parameter,  $\lambda_i$ . Stein *et al.* (2014) provide an expression relating  $\lambda_i$  to radar reflectivity,  $Z_i$ ,

301 
$$\lambda_{j} = \left(\frac{R_{j}a_{j}^{2}N_{0_{j}}\Gamma(1+2b_{j})}{Z_{j}}\right)^{\frac{1}{1+2b_{j}}},$$
 (10)

where  $Z_j$  is the radar reflectivity of hydrometeor *j* and has linear units of mm<sup>6</sup> m<sup>-3</sup>.

Substituting (10) into the  $\sigma_{TV_j}^2(\lambda_j)$  expressions and simplifying using values from Table 1, produces spectral variance equations for rain, ice aggregates and hail,

305 
$$\sigma_{\rm TV_{rain}}^2 = 0.62 \, Z^{0.191} \sin^2 \theta_{\rm el} \,, \tag{11}$$

306 
$$\sigma_{\rm TV_{agg}}^2 = 0.029 \, Z^{0.119} \sin^2 \theta_{\rm el} \,, \tag{12}$$

307 
$$\sigma_{\rm TV_{hail}}^2 = 1.7 \, Z^{0.143} \sin^2 \theta_{\rm el} \,, \tag{13}$$

Where Z is in mm<sup>6</sup> m<sup>-3</sup>,  $\sigma_{TV_j}^2$  has units of m<sup>2</sup> s<sup>-2</sup> and  $\theta_{el}$  is the elevation angle of the reflectivity observation measured from the surface. Together, these expressions can be used to estimate the Doppler variance contribution due to the distribution of hydrometeor fall speeds in V<sub>6</sub>.

311

## 312 3.2 Analysis of $\sigma_{TV_i}^2$

Reflectivity measurements in our observations with CAMRa are generally no less than -20 dBZ (the minimum detectable echo at 10 km range), and no more than 60 dBZ. In our application, we

therefore classify  $\sigma_{TV_j}^2(Z_j = -20 \text{ dBZ})$  and  $\sigma_{TV_j}^2(Z_j = 60 \text{ dBZ})$  as the minimum and maximum potential variances we encounter from each hydrometeor type. Equations (11) – (13) show that  $\sigma_{TV_j}^2$  increases with radar reflectivity and elevation angle of observation. Assuming a vertically pointing radar beam, and given  $Z_j$  in the range of -20 dBZ to 60 dBZ,  $\sigma_{TV_{rain}}^2$  increases from 0.26 to 8.62 m<sup>2</sup> s<sup>-2</sup>,  $\sigma_{TV_{agg}}^2$  from 0.02 to 0.15 m<sup>2</sup> s<sup>-2</sup> and  $\sigma_{TV_{hail}}^2$  from 0.90 to 12.53 m<sup>2</sup> s<sup>-2</sup>. For DYMECS observations, RHIs scanned at a maximum elevation angle of

15°. Figure 1a displays (11) – (13) for a vertically pointing beam (black lines) and for 15° elevation (grey lines). Compared with a vertically pointing beam, if  $Z_j$  is sampled at 15° elevation, values of  $\sigma_{TV_j}^2$  are respectively reduced by a factor of 14.

A maximum  $\sigma_{TV_{agg}}^2$  of 0.15 m<sup>2</sup> s<sup>-2</sup> suggests that the contribution from ice aggregates is always less than  $\sigma_{neg}^2$ . Assuming that ice aggregates constitute all hydrometeors above  $z_{0^{\circ}C}$ , then  $\sigma_{TV}^2$  is negligible for all observations made above this level. For rain observations, which we assume are limited to below  $z_{0^{\circ}C}$ , the equivalent maximum of 8.62 m<sup>2</sup> s<sup>-2</sup> is comparably large, and so  $\sigma_{TV_{rain}}^2$ cannot always be neglected. Using (11), we see  $\sigma_{TV_{rain}}^2$  (60 dBZ)  $< \sigma_{neg}^2$  for all rain observed at  $\theta_{el} <$ 13.9°.

Under the circumstances that:  $\sigma_{TV_{agg}}^2$  is always negligible,  $\sigma_{TV_{rain}}^2$  is negligible when  $\theta_{el} < 13.9^\circ$ , 330  $z_{0^{\circ}C}$  can be estimated, and hail is not present, we can describe the negligibility of  $\sigma_{TV}^2$  in terms of a 331 332 minimum range from the radar,  $R_{\min}$ . For our application,  $R_{\min}$  is simply the range from the radar a pulse reaches a height of  $z_{0^{\circ}C}$  when transmitted at  $\theta_{el} < 13.9^{\circ}$ . In our RHI observations (where 333  $z_{0^{\circ}C} \sim 1.5 \text{ km}$ ),  $\sigma_{TV}^2$  is negligibly small everywhere at ranges further than 6.1 km from the radar. 334 While  $\sigma_{TV}^2$  can still be significant due to rain occurring nearer than  $R_{\min}$ , below  $z_{0^{\circ}C}$ , it remains 335 conditional on both  $Z_{rain}$  and  $\theta_{el}$ . Observations used in this application were rarely made closer than 336 30 km from the radar, and so we neglect  $\sigma_{TV}^2$  for rain and ice aggregates. 337

According to (13), hail can contribute more to  $\sigma_v^2$  than rain. However, hail is generally a much 338 339 less common, more localised occurrence than rain. As a result, the detection of hail using retrieved 340 radar parameters (e.g. hail differential reflectivity  $H_{DR}$ , Depue *et al.* (2007)) is necessary before (13) can be reliably applied. If observations do indeed include hail, (13) suggests that  $\sigma^2_{TV_{hail}}$ (60 dBZ) 341 falls below  $\sigma_{\text{neg}}^2$  for all hail observations made at  $\theta_{\text{el}} < 11.5^\circ$ . Due to the potential for hail presence 342 both above and below  $z_{0^{\circ}C}$ , negligibility based on range from radar is not stated. However, as the 343 minimum range of observations was 30 km, hail would need to be observed at 6 km altitude for 344  $\sigma^2_{\rm TV_{hail}}$  to exceed  $\sigma^2_{\rm neg}$ , which is unlikely to have occurred. 345

Based on our threshold for negligibility  $\sigma_{neg}^2$ , the estimation of  $z_{0^{\circ}C}$ , and under the assumptions made in the derivation of (11) – (13), we can neglect variance contributions from  $\sigma_{TV}^2$  in our observations. Due to the dependence of (11) – (13) only on *Z* and  $\theta_{el}$ , we expect this conclusion to hold true for other scanning weather radars.

350

## 351 3.3 Sensitivity of $\sigma_{TV_{rain}}^2$ and $\sigma_{TV_{hail}}^2$ to assumptions

In this section, we examine the sensitivity of our results to some of the assumptions made in the derivation of (11) and (13). For ice aggregates, no reasonable sensitivity testing has resulted in the factor 3 increase in  $\sigma_{TV_{agg}}^2$  required to even conditionally exceed  $\sigma_{neg}^2$ . As a result, sensitivity tests involving ice aggregates have been omitted from this discussion, and we conclude that  $\sigma_{TV_{agg}}^2$  is always negligible.

For rain and hail, we expect little uncertainty in the majority of values in Table 1. The first potential source of uncertainty lies with the treatment of hail as dry with the density of solid ice. We compare  $\sigma_{TV_{hail}}^2$  when hailstones are dry with the density of solid ice (assumed in (13)), to lowdensity and melting hailstones. Melting hailstones will possess a thin outer layer of liquid water, appearing to the radar as large raindrops. To simulate this effect, we change the dielectric factor

 $|K_{\text{hail}}|^2$ , in (6) from 0.174 to 0.93. Resulting variance contributions are 21% lower than for dry 362 363 hailstones for any given reflectivity. Assuming all hailstones below  $z_{0^{\circ}C}$  have a liquid water layer, this reduction leads to  $\sigma_{TV_{hail}}^2$  (60 dBZ)  $\approx \sigma_{TV_{rain}}^2$  (60 dBZ) below  $z_{0^\circ C}$ . For observations made below 364  $z_{0^{\circ}C} = 1.5$  km, we find that  $\sigma_{TV}^2 < \sigma_{neg}^2$  at all ranges further than 6.5 km from the radar, regardless 365 of hydrometeor type. If we further consider melting hailstones consisting of low-density ice that is 366 more consistent with graupel ( $\rho_{hail} = 500 \text{ kg m}^{-3}$ ), this leads to a combined reduction in  $\sigma_{TV_{hail}}^2$  of 367 34%, at which point  $\sigma_{TV_{hail}}^2$  (60 dBZ)  $< \sigma_{TV_{rain}}^2$  (60 dBZ), and we revert to neglecting  $\sigma_{TV}^2$  at ranges 368 further than 6.1 km. 369

A second source of uncertainty lies with the chosen values of  $N_0$ ; respective values for rain and 370 hail are assumed constant. For rain, we use  $N_{0_{rain}} = 8 \times 10^6 \text{ m}^{-4}$  from Marshall and Palmer 371 (1948), who demonstrate its independence of rainfall intensity. The assumption of a constant  $N_{0_{hail}}$ 372 is not as safe as for raindrops as it depends on the largest hail diameter,  $D_{max}$ , and has been shown 373 to vary from  $10^3 - 10^5 \text{ m}^{-4}$  (Ulbricht, 1974). Our chosen value of  $N_{0_{\text{hail}}} = 1.2 \times 10^4 \text{ m}^{-4}$  from 374 Waldvogel *et al.* (1978) is roughly in the centre of this range, and is very similar to values of  $1.1 - 10^{-10}$ 375  $1.4 \times 10^4 \text{ m}^{-4}$  presented by Ulbricht (1977). We test the effect of decreasing values of N<sub>0</sub> for rain 376 and hail by an order of magnitude. This decrease is chosen to be large enough to roughly account for 377 the maximum potential variability in  $N_0$ . The result is a 55% increase in  $\sigma_{TV_{rain}}^2$  and a 39% increase 378 in  $\sigma_{TV_{hail}}^2$ . Such a large increase in  $\sigma_{TV_{rain}}^2$  is unlikely given the confidence in our selection of  $N_{0_{rain}}$ 379 (Marshall and Palmer, 1948). However, the corresponding increase for  $\sigma_{TV_{hail}}^2$  is more likely realised 380 given the stated uncertainty in  $N_{0_{hail}}$ . Such an increase would imply that  $\sigma_{TV_{hail}}^2(60 \text{ dBZ}) < \sigma_{neg}^2$ 381 only if observed at  $\theta_{el} < 9.8^{\circ}$ . By instead increasing values of  $N_0$  by an order of magnitude (not 382 shown), we see a reduction in  $\sigma_{TV_{hail}}^2$  and  $\sigma_{TV_{rain}}^2$  of 36% and 28%, respectively. 383

384 A final source of uncertainty lies with the selected velocity-diameter relationship for hail, 385  $V_{\text{hail}}(D)$ . There is a broader diversity in these relationships in the literature than for rain; we assume

the V<sub>rain</sub>(D) power law provided by Atlas and Ulbricht (1977) to be accurate. Figure 1b compares 386  $\sigma_{\text{TV}_{\text{hail}}}^2$  from (13) derived using  $V_{\text{hail}}(D)$  from Cheng and English (1982), Ulbricht (1977), and 387 Pruppacher and Klett (1978). As the  $V_{hail}(D)$  proposed by Ulbricht (1977) involves the same 388 exponent (q = 0.5) as that used for (13), the resulting effect is a 29% increase in  $\sigma_{TV_{\text{hail}}}^2$  for all 389 390 reflectivity owing to the different values of p. The  $V_{\text{hail}}(D)$  relationship from Pruppacher and Klett (1978) however, involves q = 0.8. This leads to a change in exponent in (13), causing a decrease in 391  $\sigma_{\text{TV}_{\text{hail}}}^2(Z < 40 \text{ dBZ})$  and an increase for  $\sigma_{\text{TV}_{\text{hail}}}^2(Z > 40 \text{ dBZ})$ .  $\sigma_{\text{TV}_{\text{hail}}}^2(60 \text{ dBZ})$  is increased by 43%. 392 Figure 1b suggests that the selection of  $V_{\text{hail}}(D)$  can have a substantial and varied effect on  $\sigma_{\text{TV}_{\text{hail}}}^2$ 393 which somewhat limits how precisely we can state the conditions that allow us to neglect  $\sigma_{TV_{hail}}^2$ . 394

395

## 396 **4** Spectral variance due to antenna rotation, $\sigma_{\alpha}^2$ and hydrometeor oscillations, $\sigma_{o}^2$ .

397 The movements of the radar antenna while scanning will broaden the Doppler spectrum. 398 Assuming a constant antenna scan rate  $\alpha$ , in rad s<sup>-1</sup>, the spectral variance contribution due to antenna 399 rotation is provided by Doviak and Zrnic (1984),

400 
$$\sigma_{\alpha}^{2} = \left(\frac{\alpha\lambda\cos\theta_{\rm el}\sqrt{\ln(2)}}{2\pi\theta_{1}}\right)^{2} , \qquad (14)$$

401 where  $\lambda$  is the wavelength of the radar in metres,  $\theta_{el}$  is the elevation angle from the surface, and  $\theta_1$ 402 is the one-way half-power beam width in radians.

For CAMRa,  $\lambda = 0.0975 \text{ m}$  and  $\theta_1 = 5 \times 10^{-3} \text{ rad}$ . During DYMECS, RHI and PPI observations were made using scan speeds of  $\alpha_{\text{RHI}} = 7 \times 10^{-3} \text{ rad s}^{-1}$  and  $\alpha_{\text{PPI}} = 35 \times 10^{-3} \text{ rad s}^{-1}$ . The contribution from  $\sigma_{\alpha}^2$  is largest when scanning horizontally ( $\cos(\theta_{\text{el}} = 0) = 1$ ); in this case  $\sigma_{\alpha}^2 < 0.01 \text{ m}^2 \text{ s}^{-2}$  for both RHI and PPI observations, making a negligible ( $\sigma_{\alpha}^2 < \sigma_{\text{neg}}^2$ ) contribution to  $\sigma_{\nu}^2$ . Observations collected at non-zero elevations (up to 15° in DYMECS) would

408 only reduce the value of  $\sigma_{\alpha}^2$ . Equation (14) can be used simply to determine the contribution of  $\sigma_{\alpha}^2$ 409 for radars with much faster scanning speeds.

410 The oscillation of hydrometeors can contribute to  $\sigma_v^2$ , and has been speculated in Zrnic and Doviak 411 (1989) to lead to over-estimation of  $\varepsilon$ . They find that  $\sigma_0^2$  decreases with rain-rate, and generally does 412 not increase above 0.25 m<sup>2</sup> s<sup>-2</sup>, so we neglect these contributions.

413

### 414 5 Spectral broadening due to shear of the radial wind, $\sigma_s^2$

#### 415 5.1 Spectral variance equations for shear

Since we are justified in neglecting  $\sigma_{TV}^2$ ,  $\sigma_{\alpha}^2$  and  $\sigma_0^2$  in (1) for DYMECS observations, we are left with Doppler variance contributions from shear and turbulence. We can derive the turbulent contribution from,

419 
$$\sigma_t^2 = \sigma_v^2 - \sigma_s^2, \qquad (15)$$

420 for use in (2a) and (2b) to calculate  $\varepsilon$ .

In (15),  $\sigma_s^2$  represents the sum of Doppler variance contributions from the shear of the radial wind in the elevation  $\theta$ , azimuthal (transverse across the beam)  $\varphi$ , and radial r, directions. Similar to  $\sigma_v^2$ ,  $\sigma_s^2$  can be decomposed into a sum of statistically independent variance contributions from shear in each direction.

425 
$$\sigma_s^2 = \sigma_{s\theta}^2 + \sigma_{s\varphi}^2 + \sigma_{sr}^2 \tag{16}$$

Various equations have been used in past literature to calculate  $\sigma_{s\theta}^2$  and  $\sigma_{s\phi}^2$  that are not mutually consistent (e.g. Chapman and Browning, 2001). In Appendix S1, we provide a derivation of these equations that produces results in agreement with those stated in Doviak and Zrnic (1984). An expression for  $\sigma_{sr}^2$  is also taken from Doviak and Zrnic (1984), assuming a rectangular transmitted pulse.

431 
$$\sigma_{s\theta}^2 = \frac{(|S_{\theta}|R\theta_1)^2}{16\ln 2}$$
(17)

432 
$$\sigma_{s\varphi}^{2} = \frac{\left(|S_{\varphi}|R\theta_{1}\right)^{2}}{16\ln 2}$$
(18)

433 
$$\sigma_{sr}^2 = \left(\frac{0.35|S_r|c\tau}{2}\right)^2 \tag{19}$$

Where *R* is the radial distance from the radar in metres, *c* is the speed of light in m s<sup>-1</sup>, and  $\tau$  is the pulse duration in seconds (for CAMRa,  $\tau = 0.5 \,\mu$ s).  $|S_{\theta}|$ ,  $|S_{\varphi}|$  and  $|S_r|$  are shear magnitudes in s<sup>-1</sup>, calculated from the mean Doppler velocity field. In (17) – (19), velocity and reflectivity gradients are assumed to be linear across *V*<sub>6</sub>. Equations (17) and (18) differ only in the shear involved, as the beam profiles in the  $\theta$  and  $\varphi$  dimensions are the same.

In application to CAMRa, the variability of  $\sigma_{s(\theta,\varphi,r)}^2$  with |S| and R is illustrated in Figure 1c. For  $|S_r|$  in the range of 0 to  $0.02 \text{ s}^{-1}$ ,  $\sigma_{sr}^2$  increases with  $|S_r|^2$  from 0 to  $0.28 \text{ m}^2 \text{ s}^{-2}$ . As the pulse length is constant,  $\sigma_{sr}^2$  does not vary with range. If  $|S_r| < 0.027 \text{ s}^{-1}$  then  $\sigma_{sr}^2 < \sigma_{neg}^2$ , indicating that for our observations,  $\sigma_{sr}^2$  is negligibly small except in cases of extreme shear. However, although  $\sigma_{sr}^2$  is likely to be small, our chosen method of calculating shear (Section 5.3) permits direct measurement of  $|S_r|$ to be made simply. We therefore include contributions from  $\sigma_{sr}^2$  in  $\sigma_s^2$ .

At 30 km range, for  $|S_{\theta,\varphi}|$  in the range of  $0 - 0.02 \text{ s}^{-1}$ ,  $\sigma_{s(\theta,\varphi)}^2$  increases from  $0 - 0.75 \text{ m}^2 \text{ s}^{-2}$ . At 150 km range, this increase is to 18.7 m<sup>2</sup> s<sup>-2</sup> when  $|S_{\theta,\varphi}|$  is  $0.02 \text{ s}^{-1}$ . This suggests that, even at the minimum range of 30 km, if  $|S_{\theta,\varphi}| > 0.016 \text{ s}^{-1}$ , then  $\sigma_{s(\theta,\varphi)}^2$  is always greater than  $\sigma_{neg}^2$  for our data. Given that shears of this magnitude are quite possible (especially in the elevation direction),  $\sigma_{s(\theta,\varphi)}^2$ will need to be considered for all of our observations. The high resolution of CAMRa means that radial velocity shear measured over small distances often result in negligible (less than 0.5 m<sup>2</sup> s<sup>-2</sup>) contributions to  $\sigma_{\nu}^2$ , however, as illustrated, this is not true for shear of sufficient values. To ensure

accuracy in point-to-point values of  $\varepsilon$ , and consistency in application across full RHI scans, we measure and remove  $\sigma_s^2$  at each point in our data. In Section 6.2, we provide and discuss an example retrieval for a shower cloud (Figure 8) in which  $\sigma_s^2$  exceeds  $\sigma_{neg}^2$  quite widely and represents a significant proportion of  $\sigma_t^2$ . This example is used to highlight the potential for significant overestimation of  $\varepsilon$  in our cases if shear corrections are neglected.

#### 457 5.2 Separation of shear and turbulence - theory

The separation of shear and turbulence is a significant challenge. However, methods to make this distinction are guided by the framework employed to derive  $\varepsilon$  from  $\sigma_v^2$  summarised in Section 2.2. The calculation of  $\sigma_s^2$  is necessary to remove velocity variance contributions to  $\sigma_v^2$  from outside the range of scales sampled by the radar. The scale over which to calculate shear (hereafter referred to as  $\Lambda_s$ ) in (17) – (19), should ideally be equal to the largest scale of the inertial sub-range,  $\Lambda_0$ . However,  $\Lambda_s$  should be strictly no larger than  $\Lambda_0$ , otherwise the inclusion in  $\sigma_t^2$  of variance from outside the inertial sub-range will lead to an over-estimation of  $\varepsilon$ .

Without the means to routinely estimate  $\Lambda_0$  for each of the convective storm observations 465 collected in DYMECS, we refer to past literature. Past studies have utilised Doppler spatial spectra 466 and aircraft measurements to estimate  $\Lambda_0$  in individual convective clouds (Battan, 1975; Knupp and 467 Cotton, 1982; Brewster and Zrnic, 1986). They found that  $\Lambda_0$  can be as large as 1.5 - 3 km. These 468 469 estimates were made in severe thunderstorms/hailstorms with strong, large-scale circulations. In comparison, the convective storms constituting the DYMECS observations are generally much 470 weaker, limiting how applicable these values are to our cases. We assume  $\Lambda_0$  scales with the size of 471 the largest eddy-generating mechanisms in a convective cloud, i.e. the main updraft circulation. If 472 this circulation is shallow, we expect  $\Lambda_0$  to be small as the downscale cascade to isotropic turbulence 473 begins at a smaller eddy scale. As updraft heights on DYMECS case days generally ranged from 3 – 474 8 km (Nicol *et al.*, 2015), we assume  $\Lambda_0 \sim 1$  km for this application. Chapman and Browning (2001) 475 476 found a factor two change in  $\Lambda_0$  to have very little effect on their resulting values of  $\epsilon$ . However, this

involved assuming a  $\Lambda_0$  of only 200 m for shallow shear layers, so we test the sensitivity of our retrieved  $\varepsilon$  to the value of  $\Lambda_s$ , summarised in Section 5.5.

479

#### 480 5.3 Separation of shear and turbulence – linear velocity surface approach

The application of methods to distinguish  $\sigma_s^2$  from  $\sigma_t^2$  will depend on the relationship between the spatial resolution of the radar and  $\Lambda_0$ . As long as the largest dimension of  $V_6$  is less than  $\Lambda_0$ ,  $\sigma_t^2$  can be used to estimate  $\varepsilon$  (Frisch and Clifford, 1974). As this is generally the case for a radar of CAMRa's resolution, scanning deep convective clouds,  $\sigma_s^2$  can then be determined from radial velocity shear calculated over enough contiguous  $V_6$  volumes to constitute a spatial scale of  $\Lambda_0$ .

To evaluate shear over a constant spatial scale in data with polar co-ordinates is not straightforward. With two-dimensional radar data, the most effective way to achieve this is to use leastsquares regression to fit a velocity surface to Doppler velocity data. A suitable framework for this velocity surface is taken from Neter and Wasserman (1974), and has been applied in previous  $\varepsilon$ retrieval studies (Istok and Doviak 1986, Meischner *et al.*, 2001). When applied to RHIs, the velocity surface is given by

492

$$V_i = V_0 + S_\theta l_{\theta_i} + S_r l_{r_i} + E_i \tag{20}$$

493 where

494 
$$l_{\theta_i} = R_0(\theta_i - \theta_0) \quad ; \quad l_{r_i} = R_i - R_0$$

The range from the radar is given by R,  $\theta$  is the elevation angle in radians, and  $(\theta_0, R_0)$  is the centre point of the surface.  $V_i$  is the radial velocity at the point  $(\theta_i, R_i)$ , and  $E_i$  is the velocity difference between the data and the surface.  $V_0$  is the estimated central point velocity and  $S_{\theta}$  and  $S_r$  are linear elevation and radial shears, respectively.  $l_{\theta_i}$  and  $l_{r_i}$  are the elevation and radial distances between  $V_i$ and  $V_0$ .

500 The parameters  $V_0$ ,  $S_\theta$  and  $S_r$  are determined from the matrix operation,

501 
$$\begin{bmatrix} V_0 \\ S_\theta \\ S_r \end{bmatrix} = \begin{bmatrix} n & \sum l_{\theta_i} & \sum l_{r_i} \\ \sum l_{\theta_i} & \sum l_{\theta_i}^2 & \sum l_{r_i} l_{\theta_i} \\ \sum l_{r_i} & \sum l_{\theta_i} l_{r_i} & \sum l_{r_i}^2 \end{bmatrix}^{-1} \begin{bmatrix} \sum V_i \\ \sum V_i l_{\theta_i} \\ \sum V_i l_{r_i} \end{bmatrix}$$
(21)

Centred to best approximation on a chosen Doppler velocity point, neighbouring data points are used to constitute (as closely as is possible) a  $\Lambda_s - by - \Lambda_s$  grid of data, *G*. Using velocities from *G*, (21) is used to compute linear shears  $S_{\theta}$  and  $S_r$ , which are attributed back to the data point at the centre of *G*. By completing this process for all points in a scan, we obtain fields of  $S_{\theta}$  and  $S_r$ , calculated over a fixed scale. If  $\Lambda_s = \Lambda_0$ ,  $S_{\theta}$  and  $S_r$  are representative of large eddies and/or velocity gradients in the ordered background flow. As a result, they can be used in (17) and (19) to determine  $\sigma_{s\theta}^2$  and  $\sigma_{sr}^2$ .

In applying (20) to our observations, we find that data located less than ~  $\frac{\Lambda_s}{2}$  from the edge of 509 observed clouds will be lost in surface fitting. The grid G will only be partially filled with data for 510 those V located on the periphery of reflectivity echoes, meaning (21) cannot be performed. The 511 degree of data loss therefore increases with the value of  $\Lambda_s$ . As we can only account for  $\sigma_s^2$  where 512 shear can be measured, this data loss is imposed on retrieved fields of  $\varepsilon$ . Consequently, this limits 513 our ability to investigate values of  $\varepsilon$  associated with entrainment processes near cloud edges. 514 However, turbulence associated with entrainment into updrafts can still be retrieved in cases where 515 updrafts are further than  $\sim \frac{\Lambda_s}{2}$  from the edge of the radar echo (e.g. Figure 6c and 6f). Although we 516 lose peripheral data, we benefit from the removal of noise in low reflectivity areas around cloud 517 edges, which can develop large values of  $\sigma_v^2$ . The 300-m range resolution of our observations restricts 518 values of  $\Lambda_s$  to multiples of 300 m in order to include whole radial cells, and a minimum of 600 m to 519 include at least two radial cells for the calculation of shear. Under these restrictions, assuming  $\Lambda_0 \sim$ 520 1 km, we select  $\Lambda_s = 900$  m for our observations. 521

522

#### 523 5.4 Variance from azimuthal shear, $\sigma_{s\varphi}^2$

When RHI or PPI scans are performed, the radial velocity field is observed in two dimensions, 524 the radial direction and the scanning direction. However, these fields include data from three-525 dimensional sample volumes. In terms of RHIs, Doppler variance from azimuthal shear,  $\sigma_{s\varphi}^2$ 526 contributes to  $\sigma_v^2$ , but we are unable to directly estimate it due to scanning in the elevation direction. 527 Unless an adjacent RHI is performed, separated from the first by an angular distance comparable to 528 the width of the beam,  $S_{\varphi}$  cannot be determined directly. As shown in Section 5.1, variance 529 contributions from  $\sigma_{s\varphi}^2$  cannot be ignored in our observations. To account for  $\sigma_{s\varphi}^2$  in circumstances 530 where it cannot be measured directly, we investigate statistical relationships between  $|S_{\varphi}|$  and  $|S_r|$ 531 derived from PPI radar observations. 532

533 PPI scans were performed alongside RHIs scans on DYMECS case days. Doppler velocity fields 534 from PPI scans can be differentiated in the radial and azimuthal directions to determine fields of  $|S_{\varphi}|$ 535 and  $|S_r|$ . By collecting many co-located pairs of  $|S_{\varphi}|$  and  $|S_r|$  from these fields, we attempt to 536 parametrise  $|S_{\varphi}|$  as a function of  $|S_r|$ . Using the result,  $|S_r|$  found in RHIs can be used to estimate 537  $|S_{\varphi}|$ , and its uncertainty, allowing us to account for all components of  $\sigma_s^2$  in RHI scans.

In order for relationships derived between  $|S_{\varphi}|$  and  $|S_r|$  to be of most benefit, we must impose they are calculated over a mutual spatial scale, consistent with that used to calculate  $|S_{\theta}|$  and  $|S_r|$  in RHIs, i.e.  $\Lambda_s = 900$  m. To achieve this, we use a version of (20) tailored to PPI scans, where  $S_{\theta}l_{\theta_i}$  is replaced by  $S_{\varphi}l_{\varphi_i}$ , and  $l_{\varphi_i}$  is the azimuthal distance between  $V_i$  and  $V_0$ . By generating  $|S_{\varphi}|$  and  $|S_r|$ values for all  $V_6$  across many PPIs, we could build a dataset consisting of co-located values of  $|S_{\varphi}|$ and  $|S_r|$  for statistical assessment.

Figure 2 shows the independent distributions of approximately  $10^6$  values of  $S_{\varphi}$  and  $S_r$  sourced from 31 PPIs taken on 20 April 2012 at varying elevations.  $S_{\varphi}$  and  $S_r$  are both approximately normally distributed. The combined two-dimensional distribution of  $S_{\varphi}$  and  $S_r$  is circular Gaussian,

approximately centred on  $S_r = S_{\varphi} = 0$ . Once the magnitude of the values in the combined distribution is taken, which is the quantity relevant to  $\sigma_s^2$ ,  $|S_r|$  is divided into contiguous intervals of width  $1 \times 10^{-4} \text{ s}^{-1}$ . For each of these, we extract the associated dataset of  $|S_{\varphi}|$ , and generate its probability density function (PDF). Figure 3 demonstrates that the resulting PDFs are very well approximated by the gamma distribution, given for a random variable *x*, by (22).

552 
$$\gamma(x|k,l) = \frac{x^{k-1}e^{-\frac{x}{l}}}{\Gamma(k)l^k}$$
(22)

Using (22), we can accurately simulate the change in the distribution of  $|S_{\varphi}|$  with  $|S_r|$ . For each  $|S_r|$  interval, we extract the gamma distribution parameters k (shape) and l (scale) from the corresponding distribution of  $|S_{\varphi}|$ . By numerically fitting functions to relationships between (k, l)and  $|S_r|$ , we define k and l in terms of  $|S_r|$ ,

557 
$$k = \begin{cases} |S_r|(A_1|S_r| + A_2) + A_3, & \text{if } |S_r| \le 0.0017 \text{ s}^{-1} \\ B_1|S_r| + B_2, & \text{otherwise} \end{cases}$$
(23)

558  $l = C_1 |S_r| + C_2$  (24)

where coefficient values are provided in Table 2. For a given value of  $|S_r|$ , we use (23) and (24) to produce a PDF of  $|S_{\varphi}|$ , and derive our estimate of  $|S_{\varphi}|$  as the mean of this distribution. For a gamma distribution, the mean is simply the product of *k* and *l*.

Figure 4 shows the change in median and inter-quartile range (IQR) percentiles of  $|S_{\varphi}|$  with  $|S_r|$ . Distributions of  $|S_{\varphi}|$  get broader with  $|S_r|$ . As a result, the size of the IQR, which provides a confidence interval for  $|S_{\varphi}|$ , increases with  $|S_r|$ . The median values of  $|S_{\varphi}|$  increase with  $|S_r|$ according to (25) which was obtained by least-squares fitting a quadratic function to the median curve in Figure 4. Mean values of  $|S_{\varphi}|$  also increase with  $|S_r|$ , with values approximately 25% larger than the median.

568  $|S_{\varphi}|_{\text{med}} = |S_r|(D_1|S_r| + D_2) + D_3$  (25)

569 where coefficient values are provided in Table 2.

Using (23) – (25),  $|S_{\varphi}|$ , and an estimate of its uncertainty, can be determined from  $|S_r|$  alone.  $|S_{\varphi}|$ is then used in (18) to calculate its variance contribution,  $\sigma_{s\varphi}^2$ . We can now account for all components of  $\sigma_s^2$  in (16), subtract  $\sigma_s^2$  from  $\sigma_v^2$  to find  $\sigma_t^2$  using (15), and use  $\sigma_t^2$  in (2a) and (2b) to determine  $\varepsilon$ .

#### 574 5.5 Sensitivity of $\varepsilon$ to $\Lambda_s$

To perform this sensitivity test,  $\varepsilon$  is determined in RHI scans of convective clouds using different values of  $\Lambda_s$  in methods to calculate  $\sigma_s^2$ . We use 44 RHI scans performed on 25 August 2012 which provide  $3.5 \times 10^5$  comparable data points for each  $\Lambda_s$  applied. For all scans, we retrieve  $\varepsilon(\Lambda_s)$  where  $\Lambda_s$  is 600 m, 900 m, 1500 m, 2100 m, and 2700 m. As described in Section 5.3, the degree of peripheral data loss in velocity surface fitting increases with  $\Lambda_s$ . To ensure that we are comparing the same data across different  $\Lambda_s$ , the degree of data loss seen when  $\Lambda_s = 2700$  m has been imposed on all other fields of  $\varepsilon$  for each scan.

Figure 5 displays the PDFs of  $\varepsilon(\Lambda_s)$  using the combined data from all RHIs. It shows that the distribution of  $\varepsilon$  is largely insensitive to  $\Lambda_s$ , though there is a small increase in the likelihood of low values of  $\varepsilon$  (less than 0.01 m<sup>2</sup> s<sup>-3</sup>) with decreased  $\Lambda_s$ . When calculating shear over a smaller  $\Lambda_s$ , the shear magnitude, and therefore  $\sigma_s^2$ , is likely to be higher. This means we remove more of  $\sigma_v^2$  due to shear, and subsequently derive a lower  $\varepsilon$ , with the converse true if  $\Lambda_s$  is large. As the change in PDFs of  $\varepsilon$  is small in Figure 5, we can make rough estimations of  $\Lambda_0$  (and therefore  $\Lambda_s$ ) in the absence of direction measurements, without incurring large errors in  $\varepsilon$ .

589

#### 590 6 Dissipation rate statistics in DYMECS observations

#### 591 6.1 DYMECS case studies

By applying the methods detailed in Section 2-5 across many radar scans, we have performed a 592 statistical assessment of  $\varepsilon$  in convective storms. We use two contrasting DYMECS days in 2012 as 593 case studies; 20 April (hereafter the "shower" case) and 25 August (hereafter "deep convection" 594 case). In the shower case, low pressure was situated on the east coast of the UK. Convective showers 595 initiated over southern England in the late morning hours, and drifted north-eastwards through the 596 day. In the deep convection case, low pressure was situated over the Irish Sea. Convective storms 597 were more intense and widespread across southern England, with thunderstorms widely reported in 598 the afternoon (Hanley et al., 2015). Radar observations were collected using CAMRa in both cases, 599 using a scanning algorithm that prioritised more active convective cells, guided in real time by Met 600 Office network radar observations (Stein *et al.*, 2014). As this scanning strategy involved sequential 601 scans of the same cells, a subset of these observations has been taken to include only independent 602 603 convective storm RHI observations for analysis. This subset consists of 33 RHIs in the shower case, and 44 RHIs for deep convection, however, owing to the 200-km range of CAMRa, multiple 604 convective storms were often present in single RHI scans. In the shower case, these observations 605 show that convection grew to 6 km in height, with updraft vertical velocities w, typically ranging 606 from  $1 - 4 \text{ m s}^{-1}$ . In the deep convection case, convection grew to 10 km with typical w ranging 607 from  $2 - 8 \text{ m s}^{-1}$  (Nicol *et al.*, 2015). 608

609

#### 610 6.2 Example $\varepsilon$ retrievals for convective showers and deep convection

Figures 6 and 7 display examples of retrieved  $\varepsilon$  for individual convective clouds on the shower and deep convection case days, respectively. These examples have been selected to reflect the typical convective storms observed on each day. Figure 6 depicts a convective shower of 6 km height, with a diffuse updraft region where w is  $1 - 3 \text{ m s}^{-1}$  (Figure 6c), with a region of strong divergence present in the Doppler velocity above the updraft (Figure 6b). As shown in Figure 6f,  $\varepsilon$  typically ranges from  $0.01 - 0.08 \text{ m}^2 \text{ s}^{-3}$  with the largest values found within the vicinity of the main updraft.

The example of deep convection displayed in Figure 7 has a depth of 10 km, and shows multi-cell 617 characteristics with numerous updraft-downdraft circulations present in Figure 7c. The dominant 618 updraft (~ 34 km from the radar) is narrower and much stronger than for the shower case, with w 619 ranging from  $8 - 12 \text{ m s}^{-1}$ . Divergence is again apparent in the Doppler velocity towards the upper 620 levels of the cloud (Figure 7b). Figure 7f indicates that turbulence is more intense and widespread 621 than for the shower case, with values of  $\varepsilon$  typically ranging from  $0.03 - 0.3 \text{ m}^2 \text{ s}^{-3}$ . These values are 622 again associated with the main updraft, with the most intense turbulence ( $\epsilon > 0.3 \text{ m}^2 \text{ s}^{-3}$ ) found 623 624 towards the top of the cloud, above the updraft.

In many of the cloud cases that were examined to derive the statistics of  $\varepsilon$  presented later in this section, values of  $\sigma_s^2$  were small compared to  $\sigma_t^2$  and largely remained below  $\sigma_{neg}^2$ . The retrievals presented in Figures 6 and 7 provide examples of this; values of  $\sigma_s^2 > \sigma_{neg}^2$  were absent in the shower cloud and were restricted to a small cluster of 36 pulse volumes in the deep cloud case. This region is evident in Figure 7e, located from 7.5 – 8 km in height at an approximate range of 33 – 34 km. Although  $\sigma_s^2$  was significant in this region, values remained less than 15% of  $\sigma_t^2$  suggesting that  $\sigma_s^2$ could have been neglected in these two cloud examples without significantly over-estimating  $\varepsilon$ .

Shear corrections are, however, not negligible for all cloud cases considered, especially for those 632 located further from the radar. According to (17) and (18), variances from azimuthal and elevation 633 shear components increase with range squared. Figure 8 presents the ratio of  $\sigma_s^2$  to  $\sigma_t^2$  in an example 634 cloud observed between 90 – 115 km from the radar on 20 April 2012. Within the region of  $\sigma_s^2 >$ 635  $\sigma_{\text{neg}}^2$  (black contour) values of  $\sigma_s^2$  vary between 30% – 70% of  $\sigma_t^2$ . Neglecting  $\sigma_s^2$  in this region would 636 result in the over-estimation of mean  $\epsilon$  by 52%. Given that clouds were commonly observed 100 km 637 (or further) from the radar, Figure 8 provides an example of the requirement to remove  $\sigma_s^2$  in our data 638 to ensure accurate retrievals of  $\varepsilon$ . 639

By inspecting many retrievals of ε in convective clouds across two contrasting days of convection,
the rest of this section is focused on relating ε to convective storm characteristics in a statistical sense.

#### 643 6.3 Vertical distribution of $\varepsilon$ in convective clouds

Statistics have been collected for  $\varepsilon$  in vertical layers of 1-km depth from the subsets of RHI observations described in Section 6.1. Using this approach, we can assess the vertical distribution of  $\varepsilon$  in convective clouds (where Z > -20 dBZ), and see how this differs for showers and deep convection.

Figure 9 shows how the median, and  $25^{th}$ ,  $75^{th}$  and  $95^{th}$  percentiles of  $\epsilon$  change with height in the 648 cloud; 0 - 6 km for showers, 0 - 10 km for deep convection. For showers and deep convection, the 649 95<sup>th</sup> percentiles of  $\varepsilon$  (hereafter  $\varepsilon_{95}$ ) from 0 – 1 km are approximately the same, at ~ 0.025 m<sup>2</sup> s<sup>-3</sup>. 650 For the shower case, this remains approximately constant with height, varying between 0.02 - 0.03651  $m^2 s^{-3}$  and peaking at a height of 5 km. For deep convection,  $\varepsilon_{95}$  increases significantly with height, 652 becoming twice as large as for the shower case at 6 km height (0.05 m<sup>2</sup> s<sup>-3</sup>), and increasing to 0.1 653  $m^2 s^{-3}$  at 10 km height. The median  $\varepsilon$  is an approximately constant 0.01  $m^2 s^{-3}$  throughout the 6-654 km depth of the shower cases. For the same depth of deep convective cloud, the median is almost 655 identical to the shower case, and then increases from 0.01 - 0.03 m<sup>2</sup> s<sup>-3</sup> from 6 - 10 km. 656

The 25<sup>th</sup> and 75<sup>th</sup> percentiles of  $\varepsilon$  follow a very similar pattern to this, indicating that from 0 – 6 km the average intensity of turbulence is very similar for both cases. The reason for this is highlighted by Figure 6f and 7f; turbulence is locally intense, but a large proportion of the cloud area is only weakly turbulent in both cases ( $\varepsilon \le 0.01 \text{ m}^2 \text{ s}^{-3}$ ). This was often the case throughout observations on both days which serves to explain why the bulk of  $\varepsilon$  values are so similar. Where the cloud is turbulent however, values of  $\varepsilon$  are much larger in deep convection with much stronger circulations, which is reflected in the notable difference in  $\varepsilon_{95}$  between the two cases.

664

#### 665 6.4 $\varepsilon$ in convective updraft regions

As we are most interested in the turbulent regions of individual convective clouds, and turbulence in observations tends to be associated with convective updrafts (see Figures 6 and 7), we refine this investigation to  $\varepsilon$  located only in convective updraft regions.

The following method has been selected in part to ensure it can be applied identically to numerical 669 model data in future investigations. To detect coherent updraft regions, a flood-fill algorithm is 670 applied to vertical cross-sections of w (T. Stein, personal communication), to automatically detect 671 contiguous regions with w above specified thresholds, and record their co-ordinates. By taking the 672 four spatial extremes of these co-ordinates, a box is drawn around an updraft – defined as an updraft 673 region. Updrafts are often irregular in shape in observations, so this approach includes some data 674 surrounding the updraft in the defined region. As a result, we benefit from including some 675 information about turbulence associated with an updraft, without it having to be co-located with 676 specific values of *w*. 677

Once updraft regions are defined, they are filtered by width and depth to avoid the inclusion of 678 very small, insignificant updrafts that are detected by the algorithm. For the shower case, we used a 679 minimum threshold w of 1 m s<sup>-1</sup>, and a minimum depth of 2 km. For the deep convection case, we 680 used a minimum threshold w of 1.5 m s<sup>-1</sup>, and a minimum depth of 3 km. In both cases, a minimum 681 updraft region width of 1.5 km was imposed. The lower thresholds for w and depth used in the shower 682 case were chosen due to the weaker, shallower updrafts observed on that day. Using this approach, 683 77 updraft regions were detected in the shower case, and 101 regions for deep convection. The co-684 685 ordinates of each region can then be super-imposed on fields of  $\varepsilon$  for analysis.

Figure 10 displays scatter plots relating  $\varepsilon_{95}$  for each updraft region to its (a) 95<sup>th</sup> percentile of *w* (hereafter  $w_{95}$ ), (b) 95<sup>th</sup> percentile of the magnitude of the horizontal gradient in *w*,  $\left|\frac{dw}{dx}\right|_{95}$ , (c) updraft width, and (d) updraft depth. In Figure 10a, we see that  $\varepsilon_{95}$  has a significant (p < 10<sup>-3</sup>) positive correlation with  $w_{95}$  for both showers (r = 0.425) and deep convection (r = 0.594). Correlations with  $\left|\frac{dw}{dx}\right|_{95}$  (Figure 10b) are marginally stronger than with  $w_{95}$  (r = 0.517 for showers, r = 0.671

for deep convection). This suggests that strong gradients in *w* are more important in generating turbulence than *w* alone. Weaker positive correlations exist between  $\varepsilon_{95}$  and the width and depth of updrafts for both showers (r = 0.295 for width, and r = 0.314 for depth), and deep convection (r = 0.309 for width, and r = 0.390 for depth). This indicates that the intensity of turbulence is not highly sensitive to the dimensions of the updraft. The consistency of correlations between the two cases, albeit with a smaller range in variable values for the shower case, suggests that these relationships may not be restricted to individual cases, or days of observation.

698 To produce Figure 11, all  $\varepsilon$  values in an updraft region are added to a distribution based on  $w_{95}$ . By doing this, we can assess how the full distribution of  $\varepsilon$  changes with  $w_{95}$  in the two cloud types, 699 instead of just the largest values. These distributions are displayed in the form of cumulative density 700 functions (CDFs) for every 2 m s<sup>-1</sup> interval in  $w_{95}$ . In both the showers and deep convection, a trend 701 towards a lower probability of small  $\varepsilon$ , and a higher probability of large  $\varepsilon$  is seen with  $w_{95}$ . In both 702 cases, small values of  $\varepsilon$  (less than 0.01 m<sup>2</sup> s<sup>-3</sup>) are approximately twice as likely to appear in updrafts 703 with  $w_{95} < 4 \text{ m s}^{-1}$ , than for those  $w_{95} > 4 \text{ m s}^{-1}$ . In the shower case,  $\varepsilon$  larger than 0.05 m<sup>2</sup> s<sup>-3</sup> 704 has a probability of less than 0.01 in all updraft regions ( $w_{95} < 6 \text{ m s}^{-1}$ ); whereas for the same  $w_{95}$ 705 706 intervals of deep convection the probability is as large as 0.12. This indicates that stronger turbulence is more likely to be found in deep convective clouds than for showers of the same updraft strength. 707 However, we see only a snapshot of information for each convective cloud; turbulent energy will 708 709 take time to reach dissipation scales, in which time updrafts could have weakened considerably. The probability of large values of  $\varepsilon$  (more than 0.1 m<sup>2</sup> s<sup>-3</sup>) is 0 for the shower case, but as high as 0.05 710 for deep convection. When  $w_{95}$  is  $2-4 \text{ m s}^{-1}$ , the CDFs of  $\varepsilon$  are very similar for both cases, further 711 indicating that  $\varepsilon$  may be a function of storm characteristics independent of case, or day of observation. 712 713

#### 714 7 Summary and Conclusions

A comprehensive analysis of processes contributing to the width of the Doppler velocity spectrum has been performed, with the objective of developing a rigorous algorithm to estimate turbulence intensity expressed as a dissipation rate.

New equations to quantify the spectral broadening effect due to a distribution of hydrometeor fall speeds ( $\sigma_{TV}^2$ ) have been presented for ice aggregates, raindrops and hail. We conclude that  $\sigma_{TV_{agg}}^2$  is always negligibly small, and  $\sigma_{TV_{rain}}^2$  and  $\sigma_{TV_{hail}}^2$  are negligible when observing at elevations lower than 13.9° and 11.5°, respectively. We find that  $\sigma_{TV}^2$  can be larger than 8 m<sup>2</sup> s<sup>-2</sup> if scanning vertically through heavy rain or hail, and recommend avoiding high-elevation scanning when attempting to retrieve turbulence from the spectrum width.

Methods have been presented to remove contributions to  $\sigma_v^2$  from shear over scales larger than those sampled by the radar. This was achieved by evaluating shear over a constant spatial scale ( $\Lambda_s$ ), using linear velocity surface fitting techniques as employed in past studies. Resulting values of  $\varepsilon$ have been found to be insensitive to  $\Lambda_s$ . To permit the estimation of  $\varepsilon$  from  $\sigma_t^2$ , it is of key importance that the largest dimension of  $V_6$  is lower than  $\Lambda_0$ .

To account for spectrum width contributions from shear in the azimuthal direction, we have derived a new equation for the median azimuthal shear as a function of radial shear alone. This can be used to account for 3-D shear broadening in 2-D radar scans, and can be used simply to further improve the accuracy of retrieved  $\varepsilon$ . After noting incorrect equations for the calculation of  $\sigma_s^2$  in the literature, we conclude the correct equations are those derived in Appendix S1.

By applying the retrieval method across many observations on two contrasting DYMECS case days, we have produced statistics of  $\varepsilon$  in convective clouds. Turbulence is generally much stronger in deep convective cloud (0.03 – 0.3 m<sup>2</sup> s<sup>-3</sup>) than in shower cloud (0.01 – 0.08 m<sup>2</sup> s<sup>-3</sup>). In both cases, the majority of cloud is generally weakly turbulent, with significant turbulence co-located with, but not limited to, areas of shear and buoyancy. Strong turbulence is more widespread towards the top of deep convective cloud, while vertical profiles of turbulence are approximately constant in

shower cloud. In updraft regions, turbulence is strongly correlated with updraft strength, and there is
evidence that gradients in the vertical velocity are more important in generating strong turbulence
than the updraft velocity alone. Turbulence is only weakly correlated with the spatial dimensions of
updrafts.

Our method has sourced, developed, and added to many decades of turbulence retrieval research to form the most comprehensive approach to date. Though we have ultimately applied the method to a specific radar and observational dataset, the considerations made in Sections 2 - 5 are suitably general, forming a reliable framework for turbulence retrieval with other high-resolution radars capable of sampling inertial sub-range turbulence.

Following directly from this research, we have collected new observations of convective clouds with CAMRa, under an improved scanning strategy better suited to turbulence retrieval. By performing multiple RHI scans separated by small azimuthal distances across clouds, we aim to investigate the 3-D structures of turbulence in convective storms.

We have also used the results of this investigation to evaluate the performance of the 753 Smagorinsky-Lilly sub-grid scheme through direct comparisons with  $\varepsilon$  in high-resolution NWP 754 simulations of the observed cases. The degree to which our observations can be used more generally 755 to evaluate turbulence characteristics in CPMs (without the need to simulate the observed cases) is 756 not clear. However, at the very least, our observations can provide guidance for the typical 757 characteristics of  $\varepsilon$  in clouds for comparison with other high-resolution CPM simulations, given that 758  $\varepsilon$  can be found as a diagnostic output from the turbulence parametrisation. To ultimately improve the 759 760 versatility of our results, we aim to extend our observations to more diverse cloud cases to assess the 761 degree to which our statistics are case-dependent.

762

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769	
770	Supporting information:
771	Appendix S1 – Derivation of spectral variance equations for shear
772	
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851		
852	Figure Captions	
853		
854	<b>Figure 1.</b> (a) Change in $\sigma_{TV_j}^2$ for rain, ice aggregates and hail, with radar reflectivity $Z_j$ , and elevation	
855	angle, $\theta_{el}$ . Black lines refer to observations made at vertical incidence; grey lines at $\theta_{el} = 15^{\circ}$ . (b)	
856	The impact on $\sigma_{TV_{hail}}^2$ of using different $V_{hail}(D)$ relationships in the derivation of (13); (1) p	
857	142.6, $q = 0.5$ , (2) $p = 162.0$ , $q = 0.5$ , (3) $p = 359.0$ , $q = 0.8$ . Results are displayed for $\sigma_{TV_{hail}}^2$	

sampled at vertical incidence ( $\theta_{el} = 90^{\circ}$ ). (c) The change in  $\sigma_{s\theta}^2$ ,  $\sigma_{s\varphi}^2$  and  $\sigma_{sr}^2$  (Eq. (17) – (19)) with shear magnitude, |S|. Variances  $\sigma_{s\theta}^2$ , and  $\sigma_{s\varphi}^2$  are displayed for ranges 30 km and 150 km, which are roughly the minimum and maximum ranges of radar observations in the DYMECS data. Shears larger than 0.02 s<sup>-1</sup> were uncommon in our observations. In each panel, the threshold for negligibility  $\sigma_{neg}^2$ , is plotted for reference as a dashed line at 0.5 m<sup>2</sup>s<sup>-2</sup>.

Figure 2. Independent distributions of  $1 \times 10^6$  values of  $S_{\varphi}$  and  $S_r$  sourced from 31 PPI scans performed on 20 April 2012.

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Figure 3. Change in the PDFs of observed  $|S_{\varphi}|$  for three selected intervals of  $|S_r|$  (solid lines). Distributions of  $|S_{\varphi}|$  are well approximated by Gamma PDFs (22) (dashed lines). The width of each  $|S_r|$  interval is  $1 \times 10^{-4} \text{ s}^{-1}$ , and the interval of  $|S_r|$  for each distribution is displayed in the figure titles.

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**Figure 4.** The change in the median, 25<sup>th</sup> and 75<sup>th</sup> percentile values of  $|S_{\varphi}|$  with  $|S_r|$ .

873

874 Figure 5. The insensitivity of distributions of  $\varepsilon$  to the scale  $\Lambda_s$ , over which shear is calculated for 875  $\sigma_s^2$ .

876

**Figure 6.** Example  $\varepsilon$  retrieval for an RHI scan of a convective storm performed on the 20 April 2012 (showers). Included is (a) radar reflectivity, (b) Doppler velocity, (c) vertical velocity, (d) total Doppler variance, (e) Doppler variance due to shear, and (f) eddy dissipation rate displayed in  $\log_{10}$  units. The grey contour outlines reflectivity returns of -20 dBZ.

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Figure 7. Equivalent to Figure 6, an example retrieval of ε for an RHI scan of a convective storm
performed on the 25 August 2012 (deep convection).

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**Figure 8.** The ratio of shear  $(\sigma_s^2)$  and turbulent  $(\sigma_t^2)$  contributions to Doppler variance in an example shower cloud observed on 20 April 2012. The location of values of  $\sigma_s^2$  that exceed  $\sigma_{neg}^2$  is indicated by the black contour. In this example, neglecting  $\sigma_s^2$  in the contoured region results in the considerable over-estimation of mean  $\varepsilon$  by 52%.

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Figure 9. Comparison of the vertical distribution of various percentiles of  $\varepsilon$  in convective clouds (Z > -20 dBZ) on 20 April (showers) and 25 August (deep convection), 2012. Percentiles for each 1 km layer are plotted at the midpoint of that layer.

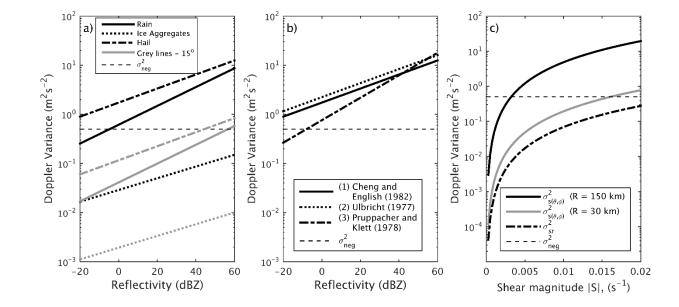
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Figure 10. Scatter plots comparing the 95<sup>th</sup> percentile of  $\varepsilon$  for each updraft region  $\varepsilon_{95}$ , on 20 April (showers) and 25 August (deep convection), 2012, to the following corresponding statistics: (a) the 95<sup>th</sup> percentile of vertical velocity  $w_{95}$ , (b) the 95<sup>th</sup> percentile of the magnitude of the horizontal gradient in vertical velocity  $\left|\frac{dw}{dx}\right|_{95}$ , (c) the updraft width, and (d) the updraft depth.

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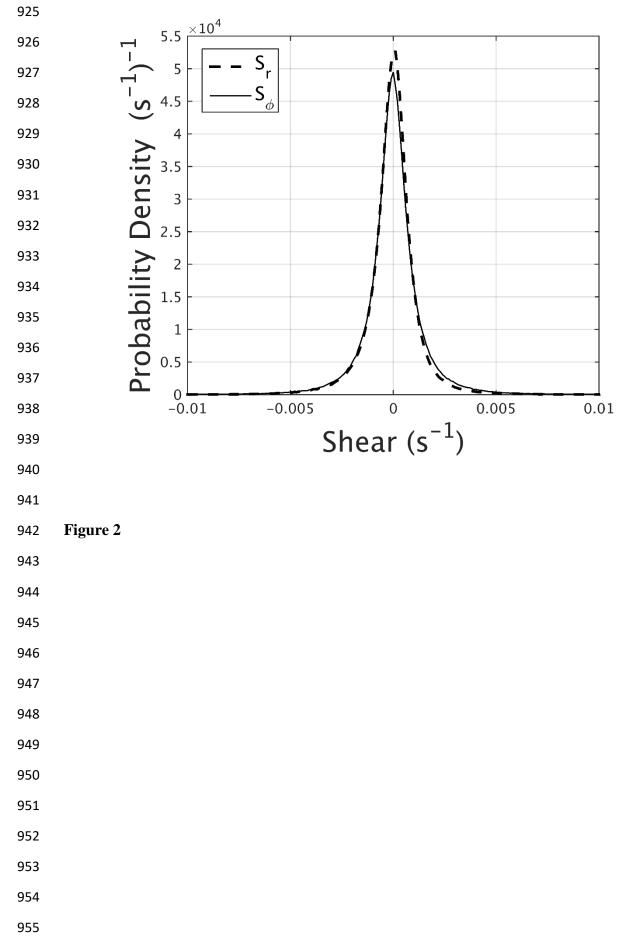
**Figure 11.** The change in the cumulative density function (CDF) of  $\varepsilon$  in updraft regions with different 95<sup>th</sup> percentile values of w ( $w_{95}$ ) for 20 April 2012 shower updrafts (black lines) and 25 August 2012 deep updrafts (grey lines). Values of  $w_{95}$  did not exceed 6 m s<sup>-1</sup> in any shower updraft region. Values of  $w_{95}$  smaller than 2 m s<sup>-1</sup> were not found in any deep updraft region.

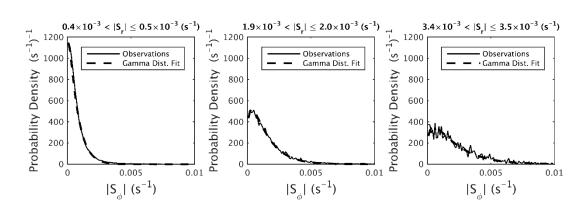
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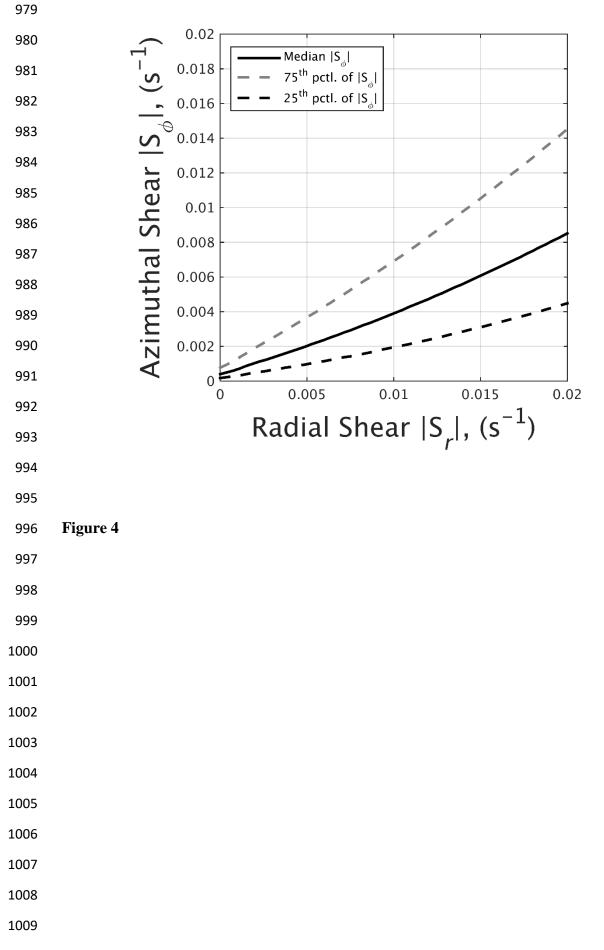
Observing turbulence in convective clouds



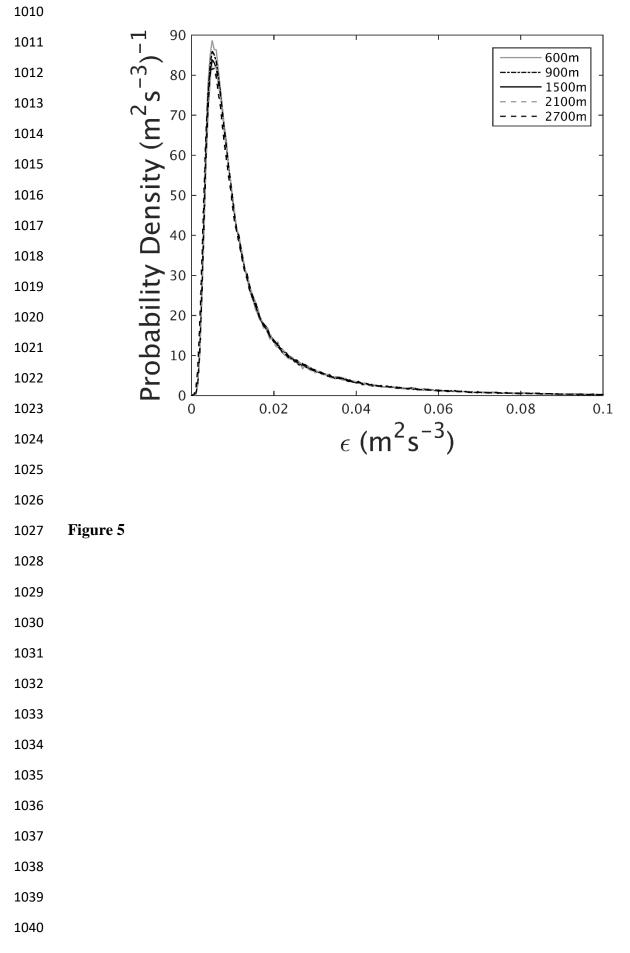


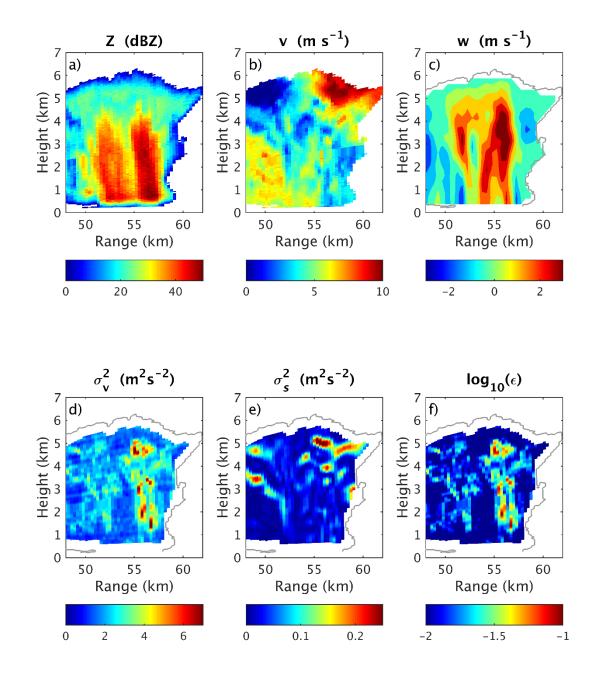
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Observing turbulence in convective clouds

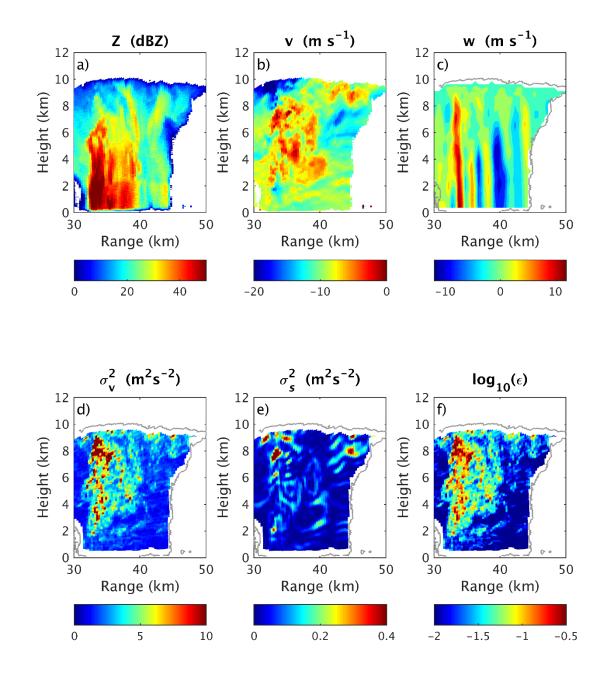


Observing turbulence in convective clouds



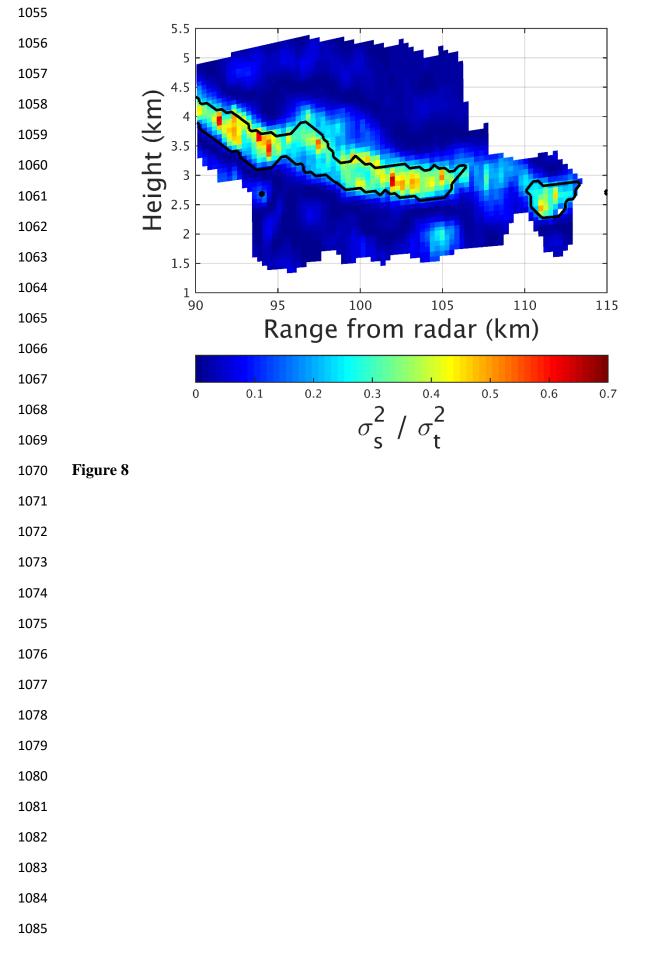


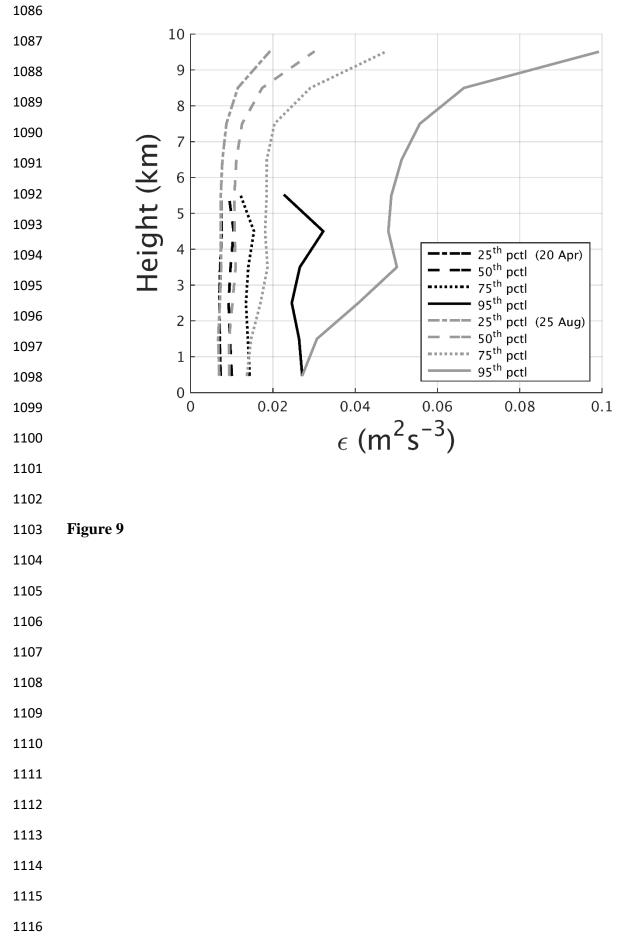
- **Figure 6**

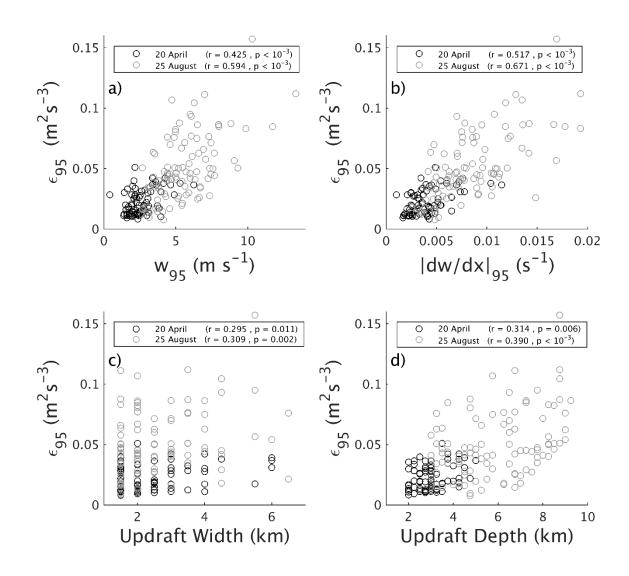


### 1049 Figure 7

Observing turbulence in convective clouds







- 1118 Figure 10

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