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Food fortification and biofortification as potential strategies for prevention of vitamin D

deficiency

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Running title: 25-hydroxyvitamin D₃ fortified foods

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1 Abstract

2 Hypovitaminosis D is widespread throughout the world. The cutaneous production of vitamin 3 D through sunlight can be limited by several factors (*e.g.* skin pigmentation, sunscreen usage 4 and, increasingly, indoor lifestyle). Thus, diet has become an important strategy to increase vitamin D intake and status. However, there are a limited number of foods (e.g. eggs, oily fish 5 6 and wild mushroom) naturally enriched with vitamin D, and concentrations can vary significantly between and within species. Therefore, the need for vitamin D fortified foods 7 8 (including via direct fortification and biofortification) to support adequacy of vitamin D status 9 [blood 25-hydroxivitamin D (25(OH) D)] is a corollary of several limitations to synthesise vitamin D from sunlight. Ergocalciferol (vitamin D_2) and cholecalciferol (vitamin D_3) can be 10 found in some mushrooms and animal-derived foods, respectively. Evidence has shown 11 12 vitamin D_3 is more effective than vitamin D_2 at raising 25(OH) D blood concentrations. The vitamin D metabolite, 25(OH) D₃, is present in animal-derived foods (*e.g.* meat, eggs and fish), 13 and several intervention trials have shown 25(OH) D₃ to be more effective at raising blood 14 15 25(OH) D concentrations than vitamin D₃. In addition, 25(OH) D₃ supplements may prove to be preferable to vitamin D₃ for patients with certain clinical conditions. However, there is 16 limited evidence on the effect of 25(OH) D₃ fortified foods on human vitamin D status and 17 health. Therefore, long-term randomised controlled trials to evaluate the effect of 25(OH) D₃ 18 19 fortified foods on vitamin D status are needed for both the general population and patients with 20 certain conditions.

21

22 Key words

23 Vitamin D, 25(OH) D, fortification, biofortification, randomised controlled trial, dairy

24 Introduction

Vitamin D is a lipid soluble vitamin that acts as a hormone (Nair & Maseeh 2012), which 25 generally refers to ergocalciferol (vitamin D₂) and cholecalciferol (vitamin D₃) (Tripkovic et 26 27 al. 2012). Vitamin D_2 and vitamin D_3 are produced by fungi and the skin of vertebrates, respectively (Wacker & Holick 2013). The role of vitamin D in musculoskeletal health is well 28 established (Wolff et al. 2008). Recently, vitamin D deficiency has been suggested to be 29 30 associated with several non-musculoskeletal health outcomes, such as cardiovascular disease, certain cancers and type 2 diabetes, although mechanisms are not clear (Wang et al. 2017). 31 Vitamin D status is assessed by measuring the blood concentration of circulating 25-32 hydroxyvitamin D (25(OH) D) (Holick 2009). Widespread hypovitaminosis D is now 33 acknowledged (Hilger et al. 2014), although there is some dispute about the thresholds for 34 35 vitamin D deficiency and insufficiency (Spiro & Buttriss 2014). In the UK, vitamin D deficiency is defined as 25(OH) D <25 nmol/L (SACN 2016). The UK National Diet and 36 Nutritional Survey (NDNS) reported that in 2008-2012 24% men and 21.7% of women (aged 37 38 19-64 years) had vitamin D deficiency (Bates et al. 2014). With seasonal variation, the prevalence of hypovitaminosis D in the UK was alarmingly high during winter and spring. A 39 40 cross-sectional study conducted in the UK by Hypponen and Power (2007) reported that during the winter and spring months 25(OH) D concentrations were <25 nmol/L, <40 nmol/L and <75 41 42 nmol/L in 15.5%, 46.6% and 87.1% of participants, respectively. There are several additional 43 contributors to hypovitaminosis D, such as skin pigmentation, sunscreen usage, and an increasingly indoor lifestyle, all of which reduce the cutaneous production of vitamin D (Holick 44 2004). Furthermore, vitamin D supplement can also contributes to vitamin D intake, however, 45 46 uptake of supplements tends to be low (Hennessy et al. 2017; Datta et al. 2016). As a result, dietary intake of vitamin D has become more important than before (O'Mahony et al. 2011) 47 and in recognition of this, in 2016, the UK Scientific Advisory Committee on Nutrition 48

49 (SACN) recommended the national population dietary of 10 µg vitamin D daily for everyone
50 aged 4 years and older (SACN 2016). As there are a limited number of foods naturally enriched
51 with vitamin D (such as egg yolk, oily fish and wild mushroom) (Schmid & Walther 2013),
52 other strategies to improve vitamin D dietary intake are essential.

53

54 Vitamin D forms, metabolites and absorption

55 The two forms of vitamin D, D₂ and D₃, have similar chemical structures apart from vitamin D₂ having an additional methyl group and double bond (Hollis 1984). Humans and animals 56 57 usually synthesise vitamin D₃ in the skin by converting 7-dehydrocholesterol in the epidermis to pre-vitamin D₃ in response to exposure to ultraviolet B radiation (UVB). Pre-vitamin D₃ then 58 undergoes a temperature-dependent isomerisation to produce vitamin D₃ over approximately 3 59 60 days (Holick & Chen 2008). Vitamin D₂ and D₃, obtained from the diet, are absorbed with 61 long-chain triglycerides in the small intestine and then incorporated into chylomicrons and transported via lymph to the circulation (Guo et al. 2018b). 62

After entering the blood circulation, vitamin D_2 and D_3 follow the same pathways to synthesise the biologically active form of 1, 25(OH)₂ D. There are two hydroxylation reactions: the first reaction occurs in the liver where vitamin D_2 and vitamin D_3 are hydroxylated to 25(OH) D_2 and 25(OH) D_3 by the vitamin D-25-hydroxylase; the second occurs in the kidney where 25(OH) D_2 and 25(OH) D_3 are converted to 1α ,25(OH)₂ D_2 and 1α ,25(OH)₂ D_3 , respectively, by the 25-hydroxyvitamin D-1 α -hydroxylase (DeLuca 1974).

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70 Food sources and content of vitamin D

Vitamin D₂ and D₃ can be found in fungi (*e.g.* mushrooms) and animal-derived foods (*e.g.* eggs,
oily fish), respectively (McCance & Widdowson 2015). In addition, there are significant
quantities of the 25(OH) D metabolite in animal-derived foods (Ovesen *et al.* 2003). Previous

74 studies (Guo et al. 2017b; Lu et al. 2007; Phillips et al. 2011) have showed that the vitamin D 75 concentrations of these foods can vary significantly between and within species (O'Mahony et al. 2011). For example, Phillips et al. (2011) collected and analysed the vitamin D_2 76 77 concentrations in 10 types of mushrooms from retail suppliers in the US, and reported that they were low (0.1-0.3 µg/100 g) in Agaricus bisporus (White Button, Crimini, Portabella) and 78 Enoki, moderate in Shiitake and Oyster (0.4-0.7 µg/100 g), and high in Morel, Chanterelle, 79 Maitake (5.2-28.1 µg/100 g). Furthermore, the vitamin D content of foods may relate to 80 different production systems and the time of the year. For example, our study (Guo *et al.* 2017b) 81 82 investigated eggs from three different production systems (organic, free range and indoor) over 5 months and showed a higher vitamin D₃ content in free range eggs (57.2 \pm 3.1 µg/ kg) and 83 84 organic eggs (57.2 \pm 3.2 μ g/ kg) compared with indoor eggs (40.2 \pm 3.1 μ g/ kg) (P <0.001). A 85 seasonal effect on the vitamin D content of eggs has also been reported by others (Mattila et 86 al. 2011a). The study of Lu et al. (2007) evaluated the vitamin D content of salmon, and found that farmed salmon had only ~ 25% of the vitamin D content of wild salmon and cooking may 87 88 also cause detrimental loss of vitamin D. The study of Jakobsen & Knuthsen et al. (2014) investigated the loss/ retention of vitamin D during different cooking methods (frying, baking 89 90 and boiling) in eggs and margarine. The results showed there was 39-45% retention of vitamin D content in eggs and margarine during baking in an oven for 40 minutes, while frying resulted 91 92 in vitamin D retention of 82-84%. The author concluded that the loss/ retention of vitamin D 93 during typical household cooking should be taken into account when calculating the dietary intake of vitamin D. 94

In general, there are two approaches to fortify foods with vitamin D: 1) 'direct fortification' by adding vitamin D into foods and 2) 'biofortification' of food by fortifying animal's diet with vitamin D (Cashman & Kiely 2016). For countries such as the UK where vitamin D fortification of foods is not mandatory (Kiely & Black 2012), populations have to rely on

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99 dietary sources (including supplements) to maintain an adequate vitamin D status when there 100 are limited sunlight. In the UK, the mean daily vitamin D dietary intake (excluding 101 supplements) was 2.9 and 2.5 μ g/day for men and women, respectively (*NDNS* 2008/2009-102 2011/2012; Bates *et al.* 2014)), which is far less than the current UK dietary reference nutrient 103 intake (RNI) for vitamin D of 10 μ g/day (SACN 2016). Therefore, approaches to increase 104 vitamin D dietary intake have become necessary and urgent.

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106 Comparative effectiveness of different forms of vitamin D at raising blood 25(OH) D

107 concentrations

108 Vitamin D_2 and vitamin D_3

109 Blood 25(OH) D [the summation of 25(OH) D₂ and 25(OH) D₃] concentration is widely used 110 as a biomarker of vitamin D status (SACN 2016). Early studies reported conflicting results on the relative effectiveness of dietary vitamin D₃ compared with vitamin D₂ for increasing 111 serum/plasma 25(OH) D concentrations (Tripkovic et al. 2017). Tripkovic et al. (2012) 112 conducted a systematic review and meta-analysis comparing the effects of dietary vitamin D₂ 113 and vitamin D₃ on serum 25(OH) D concentrations in humans. Data were included from seven 114 randomised controlled trials (RCTs) and the results showed that vitamin D₃ intake led to a 115 greater absolute change in serum/plasma 25(OH) D levels from baseline than vitamin D₂, with 116 a weighted mean difference of 15.23 (95% CI: 6.12, 24.34; Z=3.28; I^2 =81%; P=0.001). 117 Recently, a review by Wilson et al. (2017) summarised the evidence to date on the relative 118 effectiveness of vitamin D₃ and vitamin D₂ at raising 25(OH) D concentrations and concluded 119 that most RCTs showed that vitamin D_3 is more effective. 120

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122 Vitamin D_3 and $25(OH) D_3$

Of the few studies performed, most have found that the vitamin D metabolite 25(OH) D₃ given 123 orally increases vitamin D status more efficiently than oral vitamin D₃, although no consensus 124 has been established for the relative potency of 25(OH) D₃ and vitamin D₃ (Jakobsen 2007). 125 126 Our recent review (Guo et al. 2018b) summarised the available evidence (Cashman et al. 2012; Catalano et al. 2015; Jetter et al. 2014; Navarro-Valverde et al. 2016) comparing 25(OH) D₃ 127 with vitamin D₃ on serum or plasma 25(OH) D₃ concentrations, and concluded that the relative 128 effectiveness of 25(OH) D₃ to vitamin D₃ ranged from 3.13 to 7.14. These variable results 129 probably reflect differences in study designs and/or characteristics of the investigated subjects. 130 131 In addition, evidence from available RCTs (Guo et al. 2018b) indicates that 25(OH) D₃ fortified dairy drink resulted in plasma 25(OH) D reach its peak significantly earlier than with vitamin 132 D₃ fortified dairy drink. Thus, supplementation with 25(OH) D₃ might increase vitamin D status 133 134 more efficiently and effectively than vitamin D₂ and vitamin D₃. Moreover, since the use of 25(OH) D₃ avoids the need for the liver to convert vitamin D₃ to 25(OH) D₃ it may be of 135 particular value to patients with impaired liver function. 136

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138 Food fortification with vitamin D

139 *Direct fortification*

In the US and Canada, several common foods, such as milk, orange juices, breakfast cereals, 140 yogurts and cheeses are fortified with vitamin D (Holick et al. 2011). In Europe, vitamin D 141 142 mandatory and voluntary fortification policies and practice vary from country to country (Spiro & Buttriss 2014). A meta-analysis was performed by Black et al. (2012), which included 143 sixteen RCTs to evaluate the efficacy of vitamin D food fortification for improving vitamin D 144 145 status. The results showed a mean intake of vitamin D of $11 \mu g/day$ from fortified foods (range 3-25 µg/day) increased serum/plasma 25(OH) D by 19.4 nmol/L (95% CI: 13.9-24.9), which 146 corresponded to a 1.2 nmol/L (95% CI: 0.72, 1.68) increase in serum/plasma 25(OH) D for 147

each 1 µg ingested. Thus, vitamin D direct fortification could be an effective strategy toincrease vitamin D status in the general UK population.

In the US and Canada, much of the vitamin D intake is from fortified foods (Fulgoni et al. 150 2011; Langlois et al. 2010). The major fortified foods contributing to vitamin D intake in these 151 countries are fluid milk, ready-to-eat cereals and margarine (Calvo et al. 2004; Feldman et al. 152 2011). The study by Langlois et al. (2010) estimated vitamin D status among 5306 individuals 153 aged 6-79 years in the 2007-2009 Canadian Health Measures Survey and showed that the 154 mean 25(OH) D concentration was 67.7 nmol/L, and that 4% and 10% of the population had 155 156 vitamin D deficiency (<27.5 nmol/L) and inadequacy (<37.5 nmol/L), respectively. In addition, subjects who consumed vitamin D fortified milk had higher 25(OH) D concentrations than 157 non-consumers. In addition, voluntary fortification of foods with vitamin D has occurred in 158 159 Finland since 2003 (Pilz et al. 2018), and the data from the Finnish Health 2011 Survey showed 160 that mean serum 25(OH) D increased from 47.6 nmol/L in year 2000 to 65.4 nmol/L in 2011 (Jaaskelainen et al. 2017). However, a recent review (Calvo & Whiting 2013) questioned the 161 adequacy of vitamin D fortified foods in the US and Canada to meet the needs of all race, 162 gender and age groups. Furthermore, a review by Kiely et al. (2012) pointed out well-designed 163 sustainable fortification strategies are needed to take account for diversity in food consumption 164 patterns. In the UK, the food fortification policy was effective in preventing rickets in the 165 166 1950s; however, the mandatory vitamin D fortification policy was banned when over-167 fortification of some milk products led to cases of hypercalcaemia in young children (British Pediatric Association 1956). More research is needed to explore the safety of vitamin D 168 fortification, including the range of products and doses of vitamin D added in each. 169

170

171 Biofortification

Biofortification of vitamin D is an alternative strategy to increase vitamin D intakes in countriesand regions where policies and practices limit use of 'direct fortification'.

Our previous review provides an overview of recent vitamin D biofortification studies (Guo 174 et al. 2018b), and found that the amount of vitamin D₃ and 25(OH) D₃ in eggs, fish and milk 175 increases in response to vitamin D₃ supplementation of the diets of hens, fish and cows. 176 However, evidence relating to 25(OH) D₃ supplementation of animals' diets is very limited, 177 with the only available data for hens (Guo et al. 2018b). Interestingly, egg enrichment studies 178 (Duffy et al. 2017; Mattila et al. 2011b) showed that supplementing hens' diets with 25(OH) 179 180 D_3 results in an increase in the 25(OH) D_3 concentration, but not vitamin D_3 of the egg yolk. Thus, foods biofortified or fortified with either vitamin D_3 or 25(OH) D_3 are likely to have a 181 variable effect on human vitamin D status (Mattila et al. 2011b). 182

183 Our recent milk biofortication study (Guo et al. 2018a) used a total of 60 dairy cows randomised to vitamin D_3 or $25(OH) D_3$ dietary supplementing treatments, within the maximum 184 permitted European Union (EU) vitamin D₃ concentration (2 mg/day vitamin D₃) for feed. The 185 results showed that supplementing dairy cows' feed with 25(OH) D₃ significantly increased 186 circulating plasma concentrations of 25(OH) D₃ in the cows. However, there was also no 187 significant effect of the treatment on milk 25(OH) D₃ concentrations (P=0.193), the mean 188 25(OH) D₃ concentrations for non-fortified and 25(OH) D₃ dietary treatments were 869 and 189 190 1001 ng/kg, respectively. In addition, the vitamin D concentration (100-3,300 ng/kg) of the 191 biofortified milk was negligible and far less than the current UK vitamin D recommended intake of 10 µg/day (SACN 2016). In the future, more studies are needed to explore which 192 forms and doses of vitamin D added to animal diets, within the bounds of EU regulation (EC 193 194 2017; EFSA 2012), including those of fish, may have the greatest impact on human dietary quality. 195

196

197 Evidence from human intervention studies with $25(OH) D_3$ fortified foods

Evidence of the effect of 25(OH) D₃ fortified food on increasing vitamin D status is limited. 198 We were the first to compare the effects of dairy drinks fortified with either 20 μ g 25(OH) D₃ 199 200 or 20 µg vitamin D₃ on changes in human 24-hour vitamin D status (Guo *et al.* 2017a). The results showed plasma 25(OH) D₃ was significantly higher after the 25(OH) D₃ fortified dairy 201 drink compared with the vitamin D₃ fortified dairy drink and control (non-fortified dairy drink), 202 which was reflected in the 1.5-fold and 1.8-fold greater incremental area under the curve of 203 plasma $25(OH) D_3$ for the 0-8 hour response, respectively. However, we did not investigate the 204 205 long-term effects of consuming the 25(OH) D₃ and vitamin D₃ fortified dairy drinks.

Hayes et al. (2016) conducted an 8-week RCT to compare the effects of consuming vitamin 206 D₃ or 25(OH) D₃ biofortified eggs (7 per week for 8 weeks), obtained from feeding hens with 207 208 the maximum concentration of vitamin D₃ or 25(OH) D₃ lawfully allowed in their diets, with a 209 control treatment (≤ 2 commercial eggs/week), on winter serum 25(OH) D concentrations in healthy adults. At the 8 week follow-up in winter the vitamin D status of the subjects who 210 consumed the vitamin D₃ or 25(OH) D₃ biofortified eggs was maintained [50.4 nmol/L 211 (SD=21.4) and 49.2 nmol/L (SD=16.5) for vitamin D₃ and 25(OH) D₃ group, respectively], 212 while the control group's vitamin D status significantly decreased over winter (-6.4 \pm 6.7 213 nmol/L). In contrast with our study (Guo et al. 2017a), there was no significant difference 214 between vitamin D₃ and 25(OH) D₃ biofortified egg consumption on the participants' serum 215 216 25(OH) D concentrations. The reason is unknown, but maybe because baseline vitamin D status (mean 46.2 nmol/L) was much higher than our study (mean 31.7 nmol/L), and vitamin D dose 217 (3.5-4.5 µg/egg) for fortified eggs (Hayes et al. 2016) was only 20% of ours (20 µg/day) (Guo 218 219 et al. 2017a)..

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221 **25(OH) D**₃ supplementation and human health

222 As an alternative strategy to increase vitamin D status, it is possible that supplementation with 25(OH) D₃ may benefit human health more than with vitamin D₃, although the evidence is 223 limited. A study of Bischoff-Ferrari et al. (2012) provided 20 µg/day of 25(OH) D₃ or vitamin 224 225 D₃ to 20 healthy postmenopausal women over 4 months [mean baseline serum 25(OH) D concentration was 42 nmol/L]. The results showed 25(OH) D₃ supplementation resulted in a 226 more immediate and sustained increase of serum 25(OH) D concentrations than vitamin D₃ 227 228 supplementation. The mean 25(OH) D concentration increased to 221 nmol/L and 99 nmol/L for 25(OH) D_3 and vitamin D_3 supplementation, respectively. In addition, 25(OH) D_3 229 230 supplementation was found, on average, to result in a 2.8-fold increased odds of maintained or improved lower extremity function (OR=2.79, 95% CI: 1.18-6.58), and a 5.7 mmHg decrease 231 in systolic blood pressure compared with vitamin D₃ (P=0.0002). In another study, Jean et al. 232 233 (2008) provided 10-30 µg/day 25(OH) D₃ to haemodialysis patients for 6 months, and the 234 results showed vitamin D status increased from 30 nmol/L to 126 nmol/L, and 25(OH) D₃ supplementation corrected their excess bone turnover. 235

A review by Brandi & Minisola (2013) summarised the available evidence in this area and 236 concluded that for populations that have specific conditions (such as long-lasting vitamin D 237 osteomalacia, liver failure, latrogenic inhibition of liver 25-hydroxylases, inactivating 238 mutations of genes encoding liver 25-hydroxylasese, kidney failure with elevated PTH, 239 nephrosis, transplanted patients, male hypogonadism), supplementation with $25(OH) D_3 may$ 240 241 prove to be preferable to vitamin D_3 . The reasons might be because $25(OH) D_3$ avoids the need for hepatic metabolism of vitamin D_3 to 25(OH) D_3 , which results in 25(OH) D_3 more quickly 242 entering the blood circulation (Holick 1995; Ross et al. 2011). 243

Currently, vitamin D_2 and vitamin D_3 are legally permitted to be added to foods, but addition of 25(OH) D_3 is not (EC No 1925/2006). Future studies should focus on better defining the long-term effects of 25(OH) D₃ fortified foods on vitamin D status and human health, compared
to vitamin D₃ and vitamin D₂.

248

249 Conclusions and future directions

Vitamin D deficiency and insufficiency have become global problems, especially where 250 sunlight is limited by latitude, cultural reasons or lifestyle (Hilger et al. 2014). The UK 251 government advisory committee, SACN, recommends an intake of 10 µg/day of vitamin D for 252 the UK general population (SACN 2016). However, it is a great challenge to meet this 253 254 recommendation from solely natural dietary sources and uptake of supplements tends to be low. Two potential strategies to increase vitamin D content of food are direct fortification and 255 256 biofortification via animal diet supplementation. However, evidence from RCTs is limited on 257 the effect of vitamin D fortified foods on human vitamin D status and human health. The available evidence suggests that the vitamin D metabolite, 25(OH) D₃, might be more efficient 258 than vitamin D_2 and D_3 at raising serum or plasma 25(OH) D_3 concentrations in both general 259 260 healthy subjects and clinical patients. In addition, 25(OH) D₃ may have an advantage of improving the health of certain clinical patients, although the evidence for this is limited. 261 Therefore, 25(OH) D₃ fortified foods (including direct fortification and biofortication) should 262 be further explored in the future, and additional RCTs should be conducted to investigate the 263 effect of 25(OH) D₃ fortified foods on vitamin D status and human health in both healthy 264 265 subjects and clinical patients.

266

267 Conflict of interest

268 The authors have no conflict of interest to disclose.

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